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ATTORNEY GENERAL RICHARD BLUMENTHAL  
PRESCRIPTION DRUG ABUSE HEARING

DECEMBER 11, 2001  
9:08 A.M.

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23       Legislative Office Building  
          Room 2-E  
24       Hartford, Connecticut

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1           MR. BLUMENTHAL: If I may welcome  
2 everyone, these are familiar settings for many of you,  
3 and for the commissioner and myself having been in the  
4 legislature; but for those of you who are here for the  
5 first time, welcome to our Legislative Office Building  
6 and to these hearings on prescription drug abuse and  
7 measures that we can take against prescription drug  
8 abuse.

9           We have a broad cross-section, very  
10 impressive group of speakers this morning to address  
11 what has been a quiet, but consistently devastating  
12 problem over the years; prescription drug abuse has  
13 afflicted many of our citizens and communities with  
14 results that have been often devastating, debilitating,  
15 extremely costly to many of our citizens.

16           There has been a tendency to focus on  
17 OxyContin as the prescription drug abuse most  
18 fashionable, perhaps most troubling in its results at  
19 the moment, but it is indeed only at the moment that it  
20 seems to have attracted this kind of attention, and the  
21 problem really is a much broader, more far-reaching and

- 22 fundamental one having to do with abuse of powerful
- 23 painkillers and other prescription drugs that
- 24 potentially offer tremendous promise and benefits to
- 25 people who suffer from severe chronic pain, but even as

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1 they offer great promise, they also come with  
2 tremendous pitfalls and problems: abuse and addiction  
3 leading to criminal wrongdoing, doctors, diversion.

4           The question is who should receive these  
5 drugs, who benefits from them, and who should not, and  
6 what we can do to make sure that those who legitimately  
7 need and deserve these drugs continue to have them  
8 available, but at the same time stop abuse and  
9 addiction and the kind of criminal wrongdoing that all  
10 too often has flowed from them.

11           Other states have employed methods such  
12 as electronic prescription drug monitoring programs to  
13 combat abuse. These systems allow for review by state  
14 law enforcement officials of the prescription of  
15 controlled substances and would also allow physicians  
16 to determine whether their patients or prospective  
17 patients already are receiving prescription drugs from  
18 another physician. That proposal, along with others,  
19 will be reviewed in this hearing, and I hope that this  
20 hearing is simply a first step, perhaps only the first  
21 of a number of hearings, that will address a number of

22 these issues.

23 I want to thank Commissioner Fleming,

24 who has been very interested and involved in this

25 issue. I will be talking to him and others who are

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1 represented from the state of Connecticut here today  
2 about those prospective solutions.

3 I also wellcome Cindy Denne and Sam  
4 Siegelman: Cindy Denne, who is the bureau chief at the  
5 Department of Public Health, and Sam Siegelman at  
6 DHMAS, I notice that we have been joined by Senator  
7 Edith Prague, and I welcome her.

8 I want to thank my staff, most  
9 particularly Richard Kehoe, Justin Kronholm -- Justin  
10 Kronholm is here, and many of you who dealt with him in  
11 the last few days; and also attorneys on my staff,  
12 Arnie Menchel, Michael Cole, and Ted Doolittle,  
13 assistant attorney generals who have been instrumental  
14 in organizing this hearing and in working on this  
15 problem.

16 With that, I would like to ask whether  
17 any of the other panelists this morning have any  
18 opening remarks. First Commissioner Fleming who has  
19 been a very strong and stalwart ally in this effort.

20 Thank you, Commissioner Fleming.

21 COMMISSIONER FLEMING: Thank you, Mr.

22 Attorney General. It's also my pleasure being here  
23 this morning. Having spent a number of years here in  
24 the legislature, I understand what a public hearing is  
25 all about, and that is for us to listen to what really

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1 an impressive list of experts I expect will have to say  
2 in the course of today.

3 I've also asked to sit in from my  
4 agency, from the Department of Consumer Protection's  
5 drug division, the chief of enforcement, Bill Ward, and  
6 Leo Roberge, who is the head of the drug division.  
7 They will be taking plenty of notes, looking for any  
8 ideas that will come out of this hearing.

9 We have been working as an agency over  
10 the last several months with the Attorney General's  
11 office and with other agencies that deal with the issue  
12 of prescription drugs and how prescriptions are written  
13 to prepare a proposal which we will present to the next  
14 session of the legislature dealing with electronic  
15 monitoring of drugs. That is still in draft form, and  
16 so any additional information that we might gather from  
17 this hearing today we would find very helpful, and I  
18 would hope sometime in the early winter when the  
19 legislature reconvenes that I will have an opportunity  
20 to be where you are, and that is to sit before a group  
21 of legislators and make the case for that proposal.

22 Thank you.

23 MR. BLUMENTHAL: Thank you,

24 commissioner.

25 MS. DENNE: I just would like to thank

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1 Attorney General Blumenthal for pulling together this  
2 very important hearing, and I expect to hear some  
3 expert testimony today that will be very helpful to the  
4 department as it formulates its policies in terms of  
5 the differentiation between medical need and abuse of  
6 prescription drugs. Thank you.

7 MR. SIEGELMAN: On behalf of the  
8 Department of Mental Health and Addiction Services, I  
9 also would like to thank the Attorney General.  
10 Although we work more on the demand reduction side of  
11 things, it's not unaffected by supply side issues, and  
12 we look forward to learning from the experts at this  
13 hearing as well.

14 MR. BLUMENTHAL: Thank you. I know that  
15 we've been joined, I've seen Representative Dickman and  
16 Representative Sawyer who have since joined us along  
17 with Senator Talgri (phon sp), and I thought I saw  
18 Deputy Commissioner Starkowski.

19 I apologize to anyone whom I've missed  
20 in the course of announcing those who are here.

21 We'd like to move right along and ask

22 the chief state's attorney, Jack Bailey, if he would

23 please address us.

24 MR. BAILEY: Good morning.

25 MR. BLUMENTHAL: Good morning, sir.

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1 MR. BAILEY: Good morning.

2 For the record, I'm John M. Bailey,  
3 Chief State's Attorney for the state of Connecticut.

4 I welcome the opportunity to be here  
5 this morning to address the very serious problem of  
6 prescription drug abuse. As Chief States Attorney, I  
7 serve as the chief law enforcement officer of the state  
8 of Connecticut. I'm responsible for the overall  
9 administration of the division of criminal justice.

10 As you know, Dick, under the  
11 constitution, I'm responsible for the investigation and  
12 prosecution of all criminal matters in the state of  
13 Connecticut. This includes illegal use of prescription  
14 drugs.

15 Let me begin by stressing the importance  
16 of keeping this issue in perspective. The misuse of  
17 prescription drugs is only one element of the substance  
18 abuse crisis that is facing the nation today. It is a  
19 crisis that touches all aspects of American life and as  
20 such is a crisis that requires a comprehensive  
21 approach. Yes, prescription drug abuse is a serious

22 problem, but there are those who would argue that  
23 illegal drugs such as heroin poses a far greater threat  
24 because of a far greater number involved. Again, my  
25 point is that we must keep this problem in

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1 perspective. We must resist the temptation to follow  
2 the headlines and focus the bulk of our attention and  
3 resources on the drug of the day simply because the  
4 media chooses to do so.

5           If you could recall last winter, the  
6 talk of the town in Washington was the illegal club  
7 drug Ecstasy. By summer Washington had shifted its  
8 attention to the legal prescription drug OxyContin.  
9 Prosecuting cases involved both of these drugs.

10           One problem has not gone away because  
11 the media and Congress has chosen to focus on another  
12 drug. That is why it's so critical that we develop a  
13 comprehensive plan that addresses all components of the  
14 substance abuse crisis; a comprehensive plan that  
15 brings together all involved to work together towards  
16 one goal. If we simply choose to follow the headlines,  
17 we are doomed to failure. Yes, we might solve today's  
18 problem, but we won't prevent tomorrow's.

19           We also must resist the temptation to  
20 look for a simple solution. Some, for example, have  
21 suggested the way to stop abuse of a specific drug is

22 to ban the manufacture and sale of those drugs. Keep  
23 in mind those drugs are already strictly regulated.  
24 They are classified as Schedule II narcotics. And the  
25 reason they are classified that way is because they are

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1 highly potential for abuse and addiction. A physician  
2 cannot phone in a prescription for a Schedule II drug,  
3 nor can he order an automatic refill. Nevertheless,  
4 despite the existing regulations, these drugs are being  
5 abused.

6           The question for policy makers becomes  
7 what we can do to stop the abuse while keeping these  
8 drugs available to those who are in need of them. And  
9 we cannot understate the fact that there are many  
10 people, as you said, Mr. Attorney General, who do need  
11 these medications and who do benefit from them. Many  
12 in the health care community consider OxyContin a  
13 milestone in the treatment of patients with chronic and  
14 extreme pain.

15           Just as important, though, is the fact  
16 that banning specific prescription drugs will simply  
17 not work. The same can be said for the glue that  
18 children use to make model airplanes or the various  
19 other legal household chemicals that have become the  
20 means of substance abuse. If we ban one prescription  
21 drug today, you can be sure that drug dealers will find

22 another prescription drug to peddle tomorrow. The  
23 criminals who are peddling drugs whether they are legal  
24 or illegal are making tremendous profits, and they are  
25 not about to look for a new line of work. That is why

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1 it is so important that we develop a comprehensive plan  
2 toward dealing with substance abuse, whether the  
3 substance is legal, prescription drugs obtained through  
4 illegal means, or illegal drugs smuggled across the  
5 borders and sold on the streets.

6           So what does this comprehensive plan  
7 involve? It involves everyone at every level of  
8 government, from the police officer who makes an arrest  
9 to the prosecutor who makes the case in court and the  
10 legislators who make the laws and the judges who apply  
11 them. And they must be involved with the private  
12 sector. The drug manufacturer must be prepared to make  
13 their products less vulnerable to abuse. The health  
14 care community must be more diligent in reporting  
15 suspected abuse and doctors who recklessly and  
16 unethically prescribe drugs.

17           We have made significant progress in  
18 developing comprehensive plans. I commend Governor  
19 Rowland and the General Assembly for the actions they  
20 have taken in recent years, whether additional  
21 resources for drug treatment or tougher penalties for

22 drug dealers. Yet much work still lies before us.

23           In terms of today's topic, prescription

24 drugs, the division of criminal justice supports the

25 concept of a prescription-monitoring program. We

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1 commend the Department of Consumer Protection, the  
2 Attorney General's Office, the Drug Enforcement Agency,  
3 and the National Alliance for Model State Drug Laws and  
4 all those who pursue the concept. A properly  
5 structured prescription monitoring program will be  
6 another step forward, but, again, keep in mind that it  
7 will only be one of many tools, and only one component  
8 of a very comprehensive plan.

9           We have, as you know, Commissioner  
10 Fleming and Attorney General, we have arrested Dr.  
11 Dudley Hall, mainly because it was reported that he was  
12 prescribing more of OxyContin than anyone else in the  
13 state of Connecticut. What we did was we put  
14 undercover officers as patients entering his office.  
15 We had to pay \$150 for the first time in his office.  
16 After that, we had to pay \$50, all cash, 100 percent  
17 cash, for him writing other prescriptions. And right  
18 now he's facing 300 to 838 years.

19           These are only allegations, but I think  
20 they're very important because I think monitoring  
21 prescriptions could be real progress for us in the

22 state of Connecticut. And we really don't have to  
23 change the law because 21a-274 -- excuse me.  
24 Cooperation between law enforcement, that means we can  
25 exchange information, if you get a call or Commissioner

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1 Fleming gets a call, we can share that information with  
2 the division, and we can begin the administration.

3 MR. BLUMENTHAL: I want to thank you for  
4 your testimony, Chief State's Attorney Bailey, and for  
5 your work on this problem which will be excellent,  
6 along with, obviously, the State Police and your entire  
7 office, and I hope that you will be part of developing  
8 that kind of comprehensive plan which I think is so  
9 valuable.

10 In the Hall case, as I recall, there  
11 were hundreds of thousands of dollars in state money.

12 MR. BAILEY: Right.

13 MR. BLUMENTHAL: Which is one of the  
14 reasons for our interest, because state spending on  
15 OxyContin alone now is at the projected level of \$7.4  
16 million for this year alone, which has quadrupled in  
17 the last four years.

18 MR. BAILEY: I think, Mr. Attorney  
19 General, if we all get together and make a plan, I  
20 think it will work. Thank you.

21 MR. BLUMENTHAL: Thank you. I would

22 like to again thank you for being here today.

23           Captain Peter Warren, commanding officer

24 of the State of Connecticut Statewide Narcotics

25 Division.

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1           CAPTAIN WARREN: Good morning.

2           MR. BLUMENTHAL: Good morning, captain.

3           CAPTAIN WARREN: My name is Captain

4 Peter Warren, and I appreciate the opportunity to be

5 here today. And I applaud all of you for your efforts

6 to discuss the growing problems that are related to the

7 use of OxyContin here in Connecticut.

8           For the past four years, I have served

9 as the commanding officer of the Statewide Narcotics

10 Task Force. From my position as the commanding officer

11 of this task force, and also from my position as the

12 national president of the National Alliance of State

13 Drug Enforcement Agencies, an alliance of the nation's

14 50 state police and highway patrol departments that

15 conduct narcotic enforcement in the United States, I am

16 well aware of the problem that our nation faces from

17 the abuse of prescription drugs, primarily these days

18 from the abuse of OxyContin.

19           Today the abuse of OxyContin is one of

20 the most significant concerns to law enforcement

21 agencies across the country, especially to those of us

22 along the East Coast. Abuses of this legitimate drug  
23 are often caused by those individuals who are heroin  
24 addicts who seek out an over-the-counter prescription  
25 drug that closely mirrors heroin.

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1           The OxyContin medication is well-known  
2 as a legal and effective painkiller for cancer patients  
3 and others. OxyContin should never be denied to those  
4 patients who need this powerful medication and who use  
5 it lawfully. However, the abuses of OxyContin and the  
6 crimes caused by the illegal use of OxyContin needs our  
7 immediate attention, especially here in Connecticut  
8 before the problem becomes far too significant, as it  
9 has in other states.

10           OxyContin is often obtained illegally by  
11 those addicts or those who profit from the high returns  
12 of illegally selling these pills on the street, by  
13 doctor shopping, from forging prescriptions, and  
14 through theft of OxyContin shipments that are destined  
15 for pharmacies here in Connecticut.

16           As Mr. Bailey just mentioned, detectives  
17 of the Statewide Narcotics Task Force and others  
18 recently arrested a doctor who was engaged in the  
19 distribution of OxyContin at an alarming rate.  
20 Patients easily received excessive numbers of  
21 prescriptions from this doctor for OxyContin. This

22 should show all of us the high demand for this drug  
23 when a trained medical doctor risks everything to turn  
24 a profit on illegally distributing this drug.

25           In another case, we recently arrested an

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1 individual who was responsible for distributing air  
2 shipments of OxyContin to pharmacies. This individual  
3 was being paid to pilfer portions of OxyContin  
4 shipments that were destined to the pharmacies here in  
5 Connecticut so that an associate could sell these pills  
6 on the street. This also shows that people are willing  
7 to risk their jobs to steal this OxyContin for others.

8           It is not uncommon for OxyContin to be  
9 sold for as much as sixty-five to eighty-five dollars  
10 per pill, depending upon the dosage and the number of  
11 pills that are being sold and that have been stolen.  
12 This compares to approximately the \$6 cost when the  
13 pills are sold legally over the counter. OxyContin is  
14 also commonly sold on the streets for roughly \$1 per  
15 milligram, meaning that an 80-milligram dose of  
16 OxyContin can easily cost \$80 on the street, a  
17 significant markup from its six- to eight-dollar over-  
18 the-counter cost.

19           Oftentimes OxyContin is sold on the  
20 streets by patients who legally obtain these pills but  
21 who sell a portion of their prescription on the

22 streets, as they are aware of the high demand for these  
23 pills and the high returns by selling these pills they  
24 can realize.

25           Last week I attended a national HIDTA

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1 conference in Washington, D.C. HIDTA stands for high  
2 intensity drug trafficking area. In Connecticut we  
3 have three counties designated as HIDTA counties.

4           Generally the focus of HIDTA initiatives  
5 is cocaine, heroin, and methamphetamines. The same is  
6 true in Connecticut for the HIDTA initiatives that I  
7 manage for the Connecticut State Police Department.  
8 However, when the national HIDTA conference -- as does  
9 NASDEA, the alliance I serve as president of -- sets  
10 aside portions of our meetings to discuss the growing  
11 problems associated with OxyContin abuse, it should  
12 make all of us realize that OxyContin is a significant  
13 problem that is confronting us both at the national and  
14 at the state levels.

15           I strongly support the efforts being  
16 made here today to strengthen and enhance the  
17 prescription process here in Connecticut. The  
18 significant criminal problems that are directly related  
19 to OxyContin abuse are well documented from Maine to  
20 the Carolinas. This should make all of us realize that  
21 we need to closely monitor the prescription process for

22 OxyContin here in Connecticut.

23           This does not mean that I am

24 recommending we monitor or second-guess the

25 prescriptions written by doctors and filled by

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1 pharmacists. What I am suggesting is that we consider  
2 having these prescriptions electronically filed by  
3 doctors to pharmacists to eliminate abuses of forged  
4 prescriptions of OxyContin.

5 I would also support Superior Court  
6 legislation that creates a statewide database for  
7 health care professionals to access so they can easily  
8 identify those individuals who have recently obtained  
9 OxyContin from other health care professionals  
10 already. This would reduce some of the abuses of  
11 OxyContin by those individuals who doctor shop to  
12 receive multiple prescriptions of OxyContin for either  
13 personal abuse of this drug or for personal profit.

14 I would also support new legislation for  
15 both OxyContin as well as for Ecstasy, another illegal  
16 drug problem that Connecticut and the nation is facing.

17 I would support new legislation that prohibits the  
18 specific illegal possession, sale, use, or distribution  
19 of these two drugs. I would also suggest that new  
20 legislation aimed at these two drugs set penalties for  
21 both the total weight and the number of pills that an

22 individual or illegal drug organization is found in  
23 possession of. For these two drugs, the number of  
24 pills one is found in possession of should be the  
25 standard for the appropriate criminal penalty, not the

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1 weight alone.

2           While I support new criminal legislation  
3 aimed at those who abuse these drugs, I would also  
4 support legislative language that mandates treatment  
5 for those abusers of both OxyContin and Ecstasy.  
6 Specific legislation aimed at abuses of OxyContin and  
7 Ecstasy would show the public that Connecticut has a  
8 clear interest in prohibiting the illegal use of these  
9 drugs and in protecting our children.

10           Again, I appreciate the invitation to be  
11 here today, and any time that I or the State Police or  
12 Statewide Narcotics Task Force can be of assistance,  
13 please call me.

14           MR. BLUMENTHAL: Thank you for being  
15 here and for that excellent, very balanced, and  
16 insightful testimony and the work that you've been  
17 doing over many years on this problem.

18           Could you tell us just the three  
19 counties in Connecticut that are designated as high  
20 usage or high level?

21           CAPTAIN WARREN: Yes. Those counties

22 are Fairfield County, New Haven County, and Hartford  
23 County.

24 MR. BLUMENTHAL: Thank you.

25 Commissioner.

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1           COMMISSIONER FLEMING: Captain, you  
2 mentioned the theft of shipments into Connecticut.

3           CAPTAIN WARREN: Yes.

4           COMMISSIONER FLEMING: Can you give me a  
5 little more detail on that, the extent of that problem  
6 as it comes into the state? How widespread is it, say,  
7 over the last five or six years of controlled  
8 substances?

9           CAPTAIN WARREN: It has not been a  
10 significant problem, but it has occurred on occasion,  
11 and the occasion I'm talking about is just a couple of  
12 months old. Excuse me for not getting into  
13 particulars, but it is still an active investigation.  
14 We have made an arrest on it. And we are working very  
15 closely with this freight company because they don't  
16 want this to be occurring from their employees or at  
17 their terminals.

18           So it's not a widespread problem, but it  
19 has occurred, and every time that we've been aware of  
20 it, we've made arrests on it. It would be foolish not  
21 to think that it's happening more often than we're

22 aware of, but we have an excellent working relationship  
23 based on our HIDTA initiatives with all the airfreight  
24 companies here in Connecticut. They have been great to  
25 work with, they've been very supportive of our efforts,

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1 and I look forward to working with them in the future.

2 COMMISSIONER FLEMING: Thank you.

3 MR. BLUMENTHAL: Thank you, captain.

4 MS. DENNE: May I make one comment?

5 MR. BLUMENTHAL: Sure.

6 MS. DENNE: Captain, I just want to

7 point out the importance, and I know we work closely

8 with the State Police, of keeping the Department of

9 Public Health in the loop when there is an arrest of a

10 health care professional that we license because in

11 turn we can protect the public health --

12 CAPTAIN WARREN: Certainly.

13 MS. DENNE: -- by taking an action

14 against that license.

15 CAPTAIN WARREN: Certainly.

16 MS. DENNE: Thank you.

17 CAPTAIN WARREN: Thank you.

18 MR. BLUMENTHAL: Thank you, captain.

19 Please convey our thanks also to the commissioner

20 Spada.

21 CAPTAIN WARREN: I will.

22 MR. BLUMENTHAL: I should mention that  
23 the Connecticut Chiefs of Police Association will be  
24 submitting testimony. They could not be here today.  
25 The same is true of the Pharmaceutical Industrial

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1 Association, and the National Alliance for Model State  
2 Drug Laws. They will all be participating by  
3 submitting testimony.

4 Commissioner Starkowski, Michael  
5 Starkowski.

6 COMMISSIONER STARKOWSKI: Good morning,  
7 Attorney General Blumenthal. For the record, my name  
8 is Michael Starkowski. I'm the Deputy Commissioner of  
9 the Department of Social Services. Thank you for  
10 inviting me here today at this important public hearing  
11 on the use and abuse of prescription drugs.

12 What I would like to do first is try to  
13 put some things in perspective, try to go over some of  
14 the national growth in expenditures on drugs, some of  
15 the expenditures of DSS, and an overview of our present  
16 systems and what we feel will have the capability to do  
17 in the future to avoid some of this fraud and abuse.

18 We acknowledge whole-heartedly that  
19 there is a problem in our pharmaceutical system.  
20 Unfortunately, state-funded programs are not exempt  
21 from fraud and abuse by either clients or providers.

22 We feel we are taking some positive steps in enhancing  
23 our systems in the future to avoid some of this fraud  
24 and abuse.

25 I have a presentation that I'd like to

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1 just go over some of the high points of the  
2 presentation. Spending on retail prescription drugs  
3 nationally rose over 18.8 percent from 1999 to 2000  
4 from \$111 billion to \$131 billion. It's the highest  
5 cost factor in the health care industry right now. The  
6 increase in prescription drugs accounted for 44 percent  
7 of the overall increase in health expenditures.  
8 Spending for physician and hospital services accounted  
9 for 32 percent and 21 percent respectively.

10           So as you can see, what we have to do,  
11 in addition to fighting fraud and abuse, is try to  
12 control the expenditures of this program.

13           In Medicaid nationally between 1990 and  
14 2000, the cost of prescription drugs and the  
15 expenditures on prescription drugs went from \$4.8  
16 billion to over \$17 billion. As we go into detail, we  
17 can show you in the state of Connecticut, our  
18 expenditures followed that trend pretty closely.

19           This year we're expecting to spend over  
20 \$300 million on our pharmaceutical programs. In the  
21 Medicaid program alone we expect to spend over \$237

22 million, and in the ConnPACE program we expect to spend  
23 somewhere in the neighborhood of \$50 million going up  
24 to almost \$75 million in the year 2003.

25           We do serve a number of Connecticut

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1 residents, though. Counting our fee for service  
2 program, our managed care program, our ConnPACE  
3 program, our SAGA program, we serve well over 300,000  
4 individuals in the state of Connecticut and either  
5 provide assistance or full coverage for purchase of  
6 pharmaceuticals.

7           Let me talk about some of the things  
8 that we have in place right now. As everyone knows, we  
9 have an automated system in place right now where we  
10 have a claims processing system, and our claims  
11 processing system, which processes somewhere in the  
12 range of 24 million claims per year, has a number of  
13 features in it which provide some capabilities to  
14 identify fraud and abuse.

15           We have prospective and retrospective  
16 drug utilization review. Prospective drug utilization  
17 review, for those people who aren't aware of what it  
18 is, actually, we look at early refills, we look at drug  
19 interaction, and we look at inappropriate dispensing  
20 based on the therapies that are provided for that  
21 particular diagnosis.

- 22 In retrospective drug utilization
- 23 review, what we do is we look at patterns and abuses
- 24 after the fact based on the claims after they're paid.
- 25 We have a lock-in program for abusers.

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1 What we do is when we find a client who is abusing the  
2 system, what we do is we restrict that individual's  
3 freedom of choice, we provide a lock-in to a specific  
4 pharmacy, we agree with that pharmacy that the  
5 individual will be locked into that pharmacy, and right  
6 now we have about 240 individuals in our programs that  
7 are locked in to specific pharmacies for abuse.

8           We provide educational letters to  
9 providers and practitioners describing ways to avoid  
10 abuse and trying to train them on patterns of abuse by  
11 clients that may doctor shop.

12           In addition to that, we do some manual  
13 manipulation of information. We have a number of  
14 systems in the agency that gather information for us.  
15 We have the Medicaid Management Information System, as  
16 I said before, which processes about 24 million health-  
17 care claims a year.

18           We have a pharmacy point-of-sale claims  
19 transaction system. That is for people who go into a  
20 pharmacy and swipe a card, and that will capture all  
21 the information and identify them as eligible for our

22 programs.

23           We have a surveillance utilization

24 review system, another independent system where we

25 gather information from other systems and try to

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1 manipulate that information, and we have the drug  
2 utilization review reporting system that reports on  
3 either the prospective and retrospective drug  
4 utilization review.

5 All of those systems right now require  
6 extensive manual manipulation in order to do  
7 comparisons to try to find out other information.

8 The constraints in the system right  
9 now. Timeliness. When we try to develop reports right  
10 now for those systems, because it requires significant  
11 manual manipulation, it requires a significant amount  
12 of time, sometimes months to get information.

13 Restraints again or constraints: The  
14 data. What we use right now is paid claims data. So  
15 when we do an analysis, the analysis is after the  
16 fact. When we try to compile the information and put  
17 the information together, the information may go back  
18 as far as 15 months in order to try to gather the  
19 information, put it in one environment that's  
20 workable.

21 The system is rigid. Right now it's a

22 hard-coded mainframe system, and what happens is DSS

23 needs have to be subservient to what's ever coded in

24 the software and what we can get out of the system.

25           There is a cost factor in the system

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1 right now. Each report we try to access from the  
2 system has an identified cost for the number of hours  
3 it took for a programmer to write that report. And in  
4 addition to that, it's a relational database system.  
5 So we don't have the ability to cross fields, to cross  
6 vendors, and to try to compare usually unrelated data  
7 that may produce a trend in a particular -- with a  
8 particular provider or a particular client.

9           Having said all that, we have done some  
10 extensive reviews on OxyContin. What we have done is  
11 we have identified the volume paid by DSS, the volume  
12 of claims that have been paid, we have identified the  
13 highest prescribing practitioners, we have reviewed  
14 their diagnoses and frequency, we shared the findings  
15 with appropriate legal and law enforcement officers, we  
16 quantified the inappropriate prescribing and actually  
17 we focused some investigations.

18           I think one of the previous speakers  
19 talked about Dr. Hall. We were part of that  
20 investigation. What we discovered in that, though, is  
21 we also discovered that a number of our clients were

22 also purchasing OxyContin on their own. So not only  
23 were they purchasing pharmaceuticals paid for by the  
24 Medicaid program, they also had available cash to go  
25 in, doctor shop, and then purchase the prescription

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1 from either that pharmacy or another pharmacy and pay  
2 for the entire prescription and not get it entered into  
3 our system.

4           What we did to try to remedy the problem  
5 is, Governor Rowland last year recommended the  
6 installation of a decision support system, the  
7 legislature approved that through the appropriations  
8 act. We have approximately \$2.5 million to go out and  
9 develop and implement a decision support system which  
10 is actually equivalent to a data warehouse that would  
11 give us the automated capability of grabbing data from  
12 multiple systems and then compare that data in those  
13 systems to try to combat some of this fraud and abuse.

14           As for timeliness, the queries can be  
15 developed by users once we put up a decision report  
16 system and reports can be received literally within  
17 hours. The data that we will use in that system will  
18 be real-time data, so there will be claims data that is  
19 submitted today will be batched at night and downloaded  
20 into a decision support system for manipulation through  
21 software.

22 Flexibility, we'll have ultimate  
23 flexibility in the system. It will be able to capture,  
24 it will be able to sort, it will be able to compare and  
25 report data from multiple fields and multiple systems.

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1           The cost, as I said before, the cost in  
2 the existing system is we pay by report. In the system  
3 that we're designing and that we have going up and are  
4 keying on, the design and implementation and all the  
5 reporting will be included on the front end of the cost  
6 to the system.

7           And as far as relations, the system  
8 itself will have some built-in algorithms in order to  
9 try to compare some information, and it will have some  
10 funding logic in the system which will be inherent in  
11 the software, compare relations between therapy,  
12 diagnosis, quantity of drug prescribed or actually the  
13 prescription that's prescribed.

14           We feel that this system will improve  
15 detection and recovery of fraud, either be it provider  
16 or client fraud, increase overpayment protection, and  
17 actually we hope we can get out of the pay and chase  
18 since right now all our systems rely on old data and we  
19 end up paying the claim and months later we have to go  
20 after the provider or client who was fraudulent; we're  
21 in that situation where we have to pay and chase. We

22 think we will be able to identify abusers much more  
23 quickly on the front end, we will have prevention  
24 ability through the use of alerts or thresholds so the  
25 system will have some inherent logic in it that will

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1 send an alert to either us or the pharmacist or our  
2 prior authorization advocate to alert us to the  
3 situation in real time where we think there may be  
4 fraud or abuse.

5           We think the cost effectiveness of the  
6 system will be greatly improved. We are estimating  
7 right now that in the first full year of operation we  
8 could probably save the state of Connecticut  
9 approximately \$10 million in our Medicare program.

10           We will be able to do targeted  
11 monitoring and analysis. So in this particular  
12 situation, if it's OxyContin, we could target  
13 individuals that are receiving OxyContin through the  
14 automated system -- I don't mean target those  
15 individuals, but target the data that is all that we  
16 have on that individual, be it medical services or  
17 other services provided.

18           We think what it will provide some  
19 overall program integrity. We think we have program  
20 integrity now, but having the ability to do some real-  
21 time analysis and real-time monitoring really puts us

22 at an advantage.

23 At the end of my presentation, what I

24 did was I included two charts that actually show that

25 since 1998, our expenditures alone in the Department of

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1 Social Services, OxyContin have increased over 446  
2 percent. So we would appreciate any efforts that this  
3 panel or the legislature or Attorney General Blumenthal  
4 and Commissioner Fleming would recommend to try to help  
5 us.

6 MR. BLUMENTHAL: 446 percent over what  
7 time period?

8 COMMISSIONER STARKOWSKI: From June of  
9 1998 to January of '01.

10 MR. BLUMENTHAL: And I assume that the  
11 system that you've outlined, which we welcome and  
12 commend you for instituting, would be easily integrated  
13 with an overall prescription drug-monitoring program  
14 for private payments as well as the kinds of data that  
15 you're collecting?

16 COMMISSIONER STARKOWSKI: Yes. We feel  
17 that the data warehouse or the decision support system  
18 will have the capability to act or interact with a  
19 number of systems. One of the basic tenets of the RFP  
20 will make sure that it has open architecture available  
21 and accessible to other systems to capture data and

22 move data.

23 MR. BLUMENTHAL: Your feeling is the

24 system that you have instituted could save the state

25 \$10 million in either waste or unnecessary prescription

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1 drugs?

2           COMMISSIONER STARKOWSKI: Yes. It's our  
3 estimate, based on national projections on what we've  
4 done with decision support systems, that in the first  
5 full year of operation, we would save \$10 million.

6           MR. BLUMENTHAL: Well, we would want to  
7 talk to you further, commissioner, about some of the  
8 details of what you're doing and what could be done to  
9 strengthen overall state efforts in this area. I don't  
10 want to take too much of your time now because we have  
11 other witnesses. Thank you.

12           COMMISSIONER STARKOWSKI: Thank you.

13           COMMISSIONER FLEMING: Just one quick  
14 question, if I may.

15           You mentioned that you have locked in  
16 about 240 clients.

17           COMMISSIONER STARKOWSKI: Yes.

18           COMMISSIONER FLEMING: Looking at some  
19 of the stats that you gave us, it looks like we have  
20 got about 116,000 individuals between various state and  
21 federal programs that -- is the 240 a reflection of the

22 degree of abuse or just of the ability to track what's  
23 going on out there? Because 240 individuals being  
24 locked in, you feel you have a problem with them,  
25 versus 116,000 between Medicaid, the state programs,

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1 ConnPACE and so forth, is a very small number.

2 My question is: It seems like you're  
3 doing a good job if you only have 240 people locked  
4 in. Is that an indication --

5 COMMISSIONER STARKOWSKI: I would go out  
6 on a limb and say it's probably a reflection of the  
7 constraints on our ability to identify additional  
8 abusers. And in addition to the 116,000 that is  
9 represented in the material I provided today, there is  
10 another 240,000 that are in a managed care system and  
11 Medicaid, so actually there's like 350,000 people who  
12 we have pharmaceutical responsibility for.

13 COMMISSIONER FLEMING: The second  
14 question: Do the federal regs allow you to lock in  
15 those clients that are utilizing federal funds or is  
16 that just -- I know we can do it with the state  
17 programs. Would the federal funds, if you find abuse,  
18 allow you to lock somebody in?

19 COMMISSIONER STARKOWSKI: Yes.

20 COMMISSIONER FLEMING: Thank you.

21 MR. SIEGELMAN: Just a question, deputy

22 commissioner.

23           In terms of the people that you do have

24 locked in, are any other efforts made around disease

25 management or referral for substance abuse treatment in

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1 order to deal with the underlying problem in addition  
2 to just limiting access to the drugs?

3           COMMISSIONER STARKOWSKI: I wouldn't  
4 call it disease management because I think "disease  
5 management" is a buzz word that's been around for a  
6 while, and most people have a different interpretation  
7 of it.

8           MR. SIEGELMAN: Case management pieces  
9 for it for attempting to engage people over time in  
10 addition to limiting their options, trying to move them  
11 in more appropriate treatment.

12           COMMISSIONER STARKOWSKI: We do. We try  
13 to not only work with the client, but we also try to  
14 work with the prescribing practitioners to make sure  
15 that they understand what the issue is with that  
16 particular individual so that if we have a particular  
17 provider that's been providing services, or multiple  
18 providers, we try to get to those providers and make  
19 them aware of the situation so they can take some  
20 steps.

21           MR. BLUMENTHAL: Commissioner, I would

22 like to thank you again for being here and thank you  
23 for the work that you and your staff are doing with my  
24 office and others in this area. Thank you very much.  
25           COMMISSIONER STARKOWSKI: Thank you.

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1 MR. BLUMENTHAL: Dr. Russell Portenoy.

2 DR. PORTENOY: Thank you for inviting me  
3 to the hearings. My name is Dr. Russell Portenoy. I'm  
4 chairman of the Department of Pain Medicine and  
5 Palliative Care, Beth Israel Medical Center and  
6 Veterans Medical Center in New York City, professor of  
7 neurology at Albert Einstein College of Medicine. I'm  
8 a past president of the American Pain Society and  
9 current secretary of the International Association for  
10 the Study of Pain. I also serve on the board of  
11 directors of the American Pain Foundation, and I'm vice  
12 chairman of the American Board of Hospice and  
13 Palliative Medicine. I've been involved in this area  
14 for two decades dealing with patients with chronic  
15 pain, and I've had a special interest in the four  
16 international conferences on pain management and  
17 chemical dependency and a fifth conference coming up in  
18 June of this year.

19 My testimony today is focused on medical  
20 use and abuse of OxyContin. It's based on my  
21 experience as a clinician and my knowledge of pain

22 medicine and opioid therapy. As disclosure, I will  
23 state that I have accepted honoraria for participating  
24 in educational symposia sponsored by several  
25 corporations that manufacture opioid drugs, including

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1 Purdue Pharma, and my department has received grants  
2 from these companies for projects involving  
3 professional education and research.

4           There's been intense media attention  
5 focused on OxyContin abuse. The published statistics  
6 and the poignant stories of people damaged by addiction  
7 have justifiably raised concerns about the dangers  
8 associated with this drug. In response, there's been a  
9 call from some quarters for increased regulation of  
10 this drug, or of opioid drugs in general. In some  
11 states, actions that increase monitoring or limit  
12 access for some patients already have been taken  
13 administratively and new laws have been proposed.

14           Specialists in pain management are  
15 concerned, however, about the potential -- we are  
16 concerned about the potential for abuse, but we are  
17 equally concerned about a backlash that could  
18 potentially increase the undertreatment of pain. We  
19 fear that overregulation, ill-conceived enforcement  
20 policies, and worsening social stigma as a result of  
21 media attention will reduce the appropriate prescribing

22 of these drugs and increase the suffering of patients

23 who have unrelieved pain.

24 I wanted to frame the issue for you a

25 little bit and talk specifically about OxyContin.

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1 Since the 1980s, there has been a worldwide clinical  
2 consensus that opioid drugs are the first-line approach  
3 for treatment of severe pain and chronic pain related  
4 to cancer, AIDS, and other serious medical illnesses.

5 Despite this consensus, there have been numerous  
6 studies showing undertreatment is persisting.

7           Cancer pain is undertreated to the tune  
8 of about 50 percent in a study that I did myself  
9 several years ago, showed that 82 percent of patients  
10 with severe AIDS-related pain were not receiving opioid  
11 drugs. So we have a major problem with under-  
12 treatment.

13           Undertreatment is a complex problem. In  
14 part, it's related to physicians' fear of regulation.  
15 This is a very real concern. In 1998, for example, I  
16 and colleagues collaborated with the Medical Society of  
17 New York to do a survey of 1300 New York State  
18 physicians, and we found that more than half reported  
19 themselves to be moderately to very concerned about  
20 regulatory oversight and that one-quarter to one-half  
21 admitted to changing the way they prescribe medicines

22 solely because of concern of regulatory scrutiny.

23 One-third to one-quarter changed the way they

24 prescribed not because of the medical issues, but

25 whether they were going to prescribe a certain way that

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1 would yield investigation and potential sanction by the  
2 state. So fear of regulatory oversight is a very real  
3 phenomenon.

4           Now, despite persistent undertreatment,  
5 it had been improving for use in cancer pain. It  
6 followed to some extent the release on the U.S. market  
7 of a long-acting morphine formulation called MS Contin  
8 which is manufactured by Purdue Pharma. The  
9 educational program that followed the launch of this  
10 drug sought to dispel deeply-held myths about opioid  
11 therapy, reverse misconceptions, and decrease stigma.  
12 The later release on the U.S. market of other long-  
13 acting opioid drugs by other corporations was  
14 accompanied by similar educational programs that were  
15 pursued by the companies that released these drugs that  
16 Purdue Pharma engaged in and was mostly focused on an  
17 effort to reduce stigma and reverse some of the myths  
18 and misconceptions that were viewed as barriers for  
19 appropriate prescribing.

20           Now, as opioid use increased in cancer  
21 pain, pain specialists began to rethink the traditional

22 prohibition about the use of these drugs for chronic  
23 nonmalignant pain. After more than a decade of debate,  
24 a 1997 consensus statement jointly issued by the  
25 American Pain Society and the American Academy of Pain

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1 Medicine supported the use of opioid therapy for  
2 selected patients with chronic nonmalignant pain.  
3 Similar consensus statements followed from the American  
4 Society of Addiction Medicine and by the Canadian Pain  
5 Society. In response to this changing perspective  
6 about the role of these drugs, those in the regulatory,  
7 law enforcement communities, and many state  
8 legislatures had indeed tried to reassure physicians  
9 that appropriate prescribing for patients with any type  
10 of severe chronic pain, if done in the normal course of  
11 medical behavior, would not lead to risk of  
12 investigation or sanction. The Federation of State  
13 Boards of Medical Examiners issued model guidelines and  
14 a variety of states passed intractable pain treatment  
15 laws in an effort to reassure doctors.

16           Now, most pain specialists believe that  
17 opioids are still greatly underused in the management  
18 of chronic painful disease, cancer pain, and seriously  
19 ill patients who are close to death.

20           The studies are compelling, but we think  
21 that undertreatment of pain using opioid drugs in a

22 huge population of nonmalignant pain is ongoing. We  
23 have a huge population of chronic pain patients in this  
24 country, and most studies show that somewhere between  
25 10 and 20 percent of the adult population have chronic

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1 pain. At minimum that is 50 or 60 million. There is  
2 no possible way that pain specialists could take charge  
3 of that prescribing; the use of opioid therapy in an  
4 appropriate way has to be brought down to the level of  
5 primary care provider. Now, at the same time, pain  
6 specialists are expecting that chronic nonmalignant  
7 pain is going to increase exponentially during the next  
8 decade.

9           As the consensus statement begins to  
10 have some influence on prescribing practices, we also  
11 recognize that abuse is a major issue. In this  
12 context, I think it's very important that we understand  
13 the difference between abuse and diversion and the  
14 problem of addiction, which is a medical problem, which  
15 has a strong biologic basis -- studies suggest and most  
16 experts believe that no more than about 10 percent of  
17 adult patients have the capacity, genetically-  
18 determined capacity, to develop addictive disease.  
19 Notwithstanding that, it is clear that abuse is a major  
20 problem and efforts have to be made to manage it and  
21 prevent diversion.

22            Now, pain specialists believe that  
23 carefully selected patients can receive those drugs and  
24 have their drug-related behaviors monitored over time,  
25 and abuse and addiction can be treated. Indeed, people

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1 like myself who specialize in this really believe the  
2 vast majority of patients who are addicts can be  
3 treated with opioids in an appropriately medically  
4 supervised way. So opioid therapy clearly has a role  
5 to play even in that subpopulation of patients who may  
6 be predisposed to addictive disease.

7           So what about oxycodone and OxyContin.  
8 The active ingredient in OxyContin is the opioid  
9 oxycodone, which has been commercially available for  
10 decades. It provides a convenient long-acting delivery  
11 system for a drug that is commonly administered in many  
12 short-acting proprietary and generic formulations, and  
13 that is also available in the market in a variety of  
14 formulations. There is no scientific evidence that  
15 oxycodone is any more addictive than morphine or any  
16 other drug. Meaning to say that patients who are  
17 biologically predisposed to the disease of addiction  
18 are no more likely to select oxycodone than any other  
19 other drug. Those biologically predisposed to the  
20 disease of addiction are no more likely to be converted  
21 to addicts by therapeutic exposure to oxycodone or

22 morphine or methadone or to any other neuroanesthetic drug  
23 used therapeutically. From the medical perspective, we  
24 know that patients vary dramatically in the way they  
25 respond from drug to drug. So we know, for example,

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1 some patients therapeutically will do much better on  
2 oxycodone than other drugs.

3           Now, experience with OxyContin by pain  
4 specialists has confirmed what this would lead us to  
5 expect: That some patients do very well with OxyContin  
6 and some don't prefer it as compared to other long-  
7 acting opioid drugs like MS Contin or Cadian or  
8 Duragesic or methadone. Some prefer OxyContin; some  
9 prefer others.

10           When Purdue Pharma was developing  
11 OxyContin, it opted to study the drug in populations  
12 with chronic nonmalignant pain, low back pain and  
13 arthritis patients. The studies were positive.  
14 Recently I collaborated in a study of patients with  
15 painful diabetic neuropathy, and the study was again  
16 positive.

17           After the drug's launch, the company  
18 chose to market it to nonspecialists with a focus on  
19 chronic nonmalignant pain, and they were allowed to do  
20 that by the Food and Drug Administration. The type of  
21 marketing approach and educational approach that went

22 along with the marketing was very similar with what  
23 they had done 15 years ago with MS Contin being  
24 targeted to treatment of patients for cancer pain. It  
25 was an approach that marketing and education focused on

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1 trying to dispel the myths and misconceptions that we  
2 know exist out there and are impediments to the  
3 appropriate prescribing.

4           Now presumably the combination of  
5 marketing and education of the primary care community,  
6 combined with the huge, unmet need that exists among  
7 the population with unrelieved pain led to a very rapid  
8 increase in OxyContin sales, and as sales increased, we  
9 had pockets of serious abuse develop in many states,  
10 mostly along the East Coast. Among those were people  
11 with known histories of substance abuse, but  
12 undoubtedly some patients who had not yet declared  
13 themselves as having the capacity to develop addiction  
14 used OxyContin as a so-called gateway drug; it may have  
15 been legitimately and may have been appropriately  
16 prescribed by the physician, but the patients lost  
17 control of it and developed the disease of addiction.

18           Many of these individuals were  
19 highlighted in the press. These were some of the most  
20 poignant and troubling stories that we have read about  
21 in the last year, patients who were not known to be

22 substance abusers. There is no way to know -- there is  
23 no evidence that the amount of abuse by known abusers  
24 or the use of the drug as a gateway drug is more than  
25 would be expected with any drug that has the kind of

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1 sales that OxyContin showed. And we also don't know  
2 the extent to which the media may have been involved in  
3 generating interest in OxyContin within the community  
4 of substance abusers.

5           Now, having said that, however, it's a  
6 reasonable presumption that the OxyContin problem is  
7 greater than it would have been had the company and  
8 professional societies linked together and focused more  
9 attention on the risks of the drugs, on the risks of  
10 all opioid drugs. For 20 years we have received  
11 educational programming from the pharmaceutical  
12 industry and from professional societies, many of which  
13 I've been involved with, that tended to try to minimize  
14 risks because we were dealing with the problem of  
15 undertreatment as the overriding concern. So our  
16 educational programs minimized risk and sought to  
17 dispel misconceptions and thereby implicitly encouraged  
18 greater and greater use, perhaps increasing the  
19 possibility that some patients would develop addiction  
20 anew and reports that increased access to the drugs  
21 would increase the problem among known addicts.

22           So the approach to opioid drugs with  
23 legitimate medical purposes should really shift a bit  
24 now, and I can say that the professional societies have  
25 now begun to recognize this. A liaison group, for

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1 example, has been formed among the Pain Society, the  
2 American Academy of Addiction Medicine, solely because  
3 of the need to change the approach to the way we've  
4 been thinking and promoting the use of these drugs in  
5 the professional community.

6           Now we know that the approach has to be  
7 a balanced perspective that recognizes the essential  
8 medical nature of these drugs and the fact that they  
9 are underused and thereby incorporates the expectation  
10 that use will increase, but at the same time recognizes  
11 that as use increases and as less-skilled physicians  
12 begin to use these drugs in primary care, we may also  
13 see an increase in abuse and diversion and addiction.  
14 We have to be able to increase appropriate therapeutic  
15 use and minimize the risk associated with increased  
16 use.

17           The Drug Enforcement Administration  
18 recognized the need for that balance and reached out to  
19 the medical community, and just several weeks ago,  
20 there was a joint release by the DEA with 21  
21 professional societies of a consensus statement calling

22 for balance in the way that opioid drugs are used by  
23 both the professional community and also in the law  
24 enforcement and the regulatory community.  
25           So we should move forward on this issue

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1 of balance, highlighting several critical points. The  
2 first is a recognition by everybody that opioid therapy  
3 is essential for millions of patients with acute and  
4 chronic pain. There is an epidemic of undertreated  
5 pain. Driving up here, I heard on the radio about the  
6 epidemic of OxyContin abuse. Every time I hear about  
7 the epidemic of OxyContin, I think of controlled pain,  
8 the enormous cost of human suffering, health care  
9 utilization, and lost productivity that occurs as a  
10 result of people with chronic pain unable to get access  
11 to proper medication. So we have an epidemic of  
12 unrelieved pain.

13           Opioid drugs are not a panacea. I  
14 myself have considered -- I consider myself rather  
15 conservative in who I select for opioid therapy and who  
16 I tell doctors to treat. But I think a mainstream view  
17 at the present time is that they are clearly  
18 appropriate for a subgroup of patients with chronic  
19 nonmalignant pain. So that the increase in use of  
20 opioid drugs for the treatment of chronic nonmalignant  
21 pain means you will see more utilization. You will see

22 increased utilization by physicians and, of course,  
23 increased costs within that huge increase. You would  
24 expect it to grow, and that would be my view.  
25           Second, decisions concerning regulation

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1 of opioid drugs should be based on the evidence and not  
2 based on anecdotes, as poignant as they are in the  
3 press, some really horrible stories, that touch me as  
4 much as anybody else; but clearly we can't base policy  
5 and regulatory oversight on the story of someone who  
6 unfortunately used OxyContin as a gateway drug and then  
7 developed the devastation of addiction. We have to go  
8 by the evidence.

9           Third, we should recognize that there's  
10 a lot of complexity in responding to the abuse of  
11 legitimate drugs that are now underprescribed for  
12 therapeutic purposes. It is very important to  
13 recognize that regulatory actions that are intended to  
14 reduce abuse might have the unintentional consequence  
15 of reducing appropriate prescribing and thereby  
16 increase the problem of uncontrolled pain. For  
17 example, there are many actions the state could take to  
18 reduce OxyContin prescribing, to reduce access to the  
19 drug to pain specialists, to not pay for the drug to  
20 indigent populations; there are lots of actions that a  
21 state or a regulatory agency could do to reduce use of

22 an opioid drug. The question is whether or not reduced

23 usage is showing reduced abuse or is actually

24 increasing the problem of undertreatment.

25           It's very important to have some

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1 evidence that as use is reduced through certain state  
2 actions, what one is doing is managing the problem of  
3 abuse and diversion and not contributing to under-  
4 treatment. This can be done by monitoring programs  
5 that incorporate some ongoing quality analysis,  
6 independent studies that can be done in the population,  
7 end user studies, specific prescriber studies, laws and  
8 regulations that have evaluated in an empirical way  
9 over time to determine whether or not what was intended  
10 actually happened.

11           Finally, it is also clear now that the  
12 professional societies and those in law enforcement and  
13 the regulatory communities have to get together and  
14 have a dialogue on an ongoing basis. That's why I was  
15 so pleased about this hearing, why I was very pleased  
16 to be invited to come and testify, why the actions of  
17 DEA were met with such a positive feeling on the part  
18 of the professional community, because reaching out by  
19 the DEA to sit on the same side of the table as the  
20 physicians has not happened before.

21           There has to be an ongoing dialogue so

22 we can understand what balance is. We have got to  
23 reduce abuse and diversion but at the same time improve  
24 pain management so we can design educational programs  
25 for physicians that are reassuring them that

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1 investigation and sanction won't follow legitimate  
2 medical use.

3           I have to tell you that given the  
4 current climate among physicians, appearing at a  
5 hearing like this or reading that the state is not  
6 interested in stopping legitimate prescribing won't  
7 have any influence. Physicians are already frightened  
8 about regulatory oversight. And they need to have  
9 systems in place that they feel are protective, and  
10 they need to have an ongoing dialogue with the law  
11 enforcement and regulatory communities so that they  
12 know that the issue of balance is on the front burner.

13           The last comment, of course, relates to  
14 the need for treatment. We need much more treatment  
15 for patients who have addictive disease. I don't think  
16 we're ready to market these drugs directly to the  
17 public, as some people have said the trend is moving  
18 toward. I think that would be a mistake. We have a  
19 big problem with the disease of addiction. This is a  
20 highly prevalent disease, it is devastating, it has  
21 huge costs to society, and I think we have to be very

- 22 careful as this epidemic of uncontrolled pain is
- 23 addressed with increasing prescribing that we are
- 24 cautious of what we do to potentially increase
- 25 addiction like direct marketing of abusable drugs to

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1 the general public, some proportion of whom will have  
2 addictive disease even if not yet manifested.

3 Thank you.

4 MR. BLUMENTHAL: Thank you very much,  
5 Dr. Portenoy. I want to reassure you that our purpose  
6 is not, and I want to stress this point to you and to  
7 everyone, not to deny this drug or make it less  
8 accessible to people who suffer from severe chronic  
9 pain. The drug OxyContin and others like it have been  
10 a Godsend to those people, and there are probably many  
11 more who are part of a population that are undertreated  
12 in terms of pain, but I think that we would be  
13 irresponsible if we ignore the risks that you have  
14 highlighted so eloquently that there is for abuse and  
15 addiction; whether it's 10 percent or 20 percent or 5  
16 percent, there is a part of the population that is at  
17 risk of addiction and now is addicted, and if we deny  
18 that the problem exists, we would be completely  
19 irresponsible and ultimately do great damage to the  
20 many people who benefit from this drug, because it  
21 would be subject to even stronger oversight.

22           So I agree with you that there is a need  
23 for balance and for concern, as to the people who need  
24 the drug, and I take it from your remarks that more  
25 physicians, primary care physicians, will be presumably

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1 prescribing this drug and perhaps need more  
2 information, more education, more training in pain  
3 management.

4 DR. PORTENOY: Right. I think that's a  
5 very important point. The educational programming that  
6 has been out there for a quarter century really has not  
7 focused on those issues that interface between pain and  
8 chemical dependency. Now, that's getting redressed  
9 now, fortunately, but it is going to take years to get  
10 that education out. That's part of the solution, I  
11 think.

12 I think we actually are in extreme  
13 agreement, as somebody might say. We actually agree  
14 with each other, and I think the issue is just to keep  
15 all of those issues, the need for education, the need  
16 for treatment, the need for law enforcement and  
17 regulatory structures that are effective and prompt,  
18 the need for better management of pain with an  
19 expectation of increasing use over time, we have to  
20 keep all those things on the front burner at the same  
21 time and just maintain this dialogue.

22           Again, I would ask, I would suggest to  
23 you, the state of Connecticut is, talking about EDT  
24 systems and so forth, to think about collecting some  
25 empirical data that builds it into the system up

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1 front. Most states that have EDT systems have no  
2 empirical data other than what the system provides  
3 them.

4 MR. BLUMENTHAL: Right.

5 DR. PORTENOY: Since those are sales  
6 data, people engaging in illicit acts, one doesn't get  
7 the big picture of what's happening in the population  
8 of chronic pain, primary care providers. You like to  
9 know you have a system that works and doesn't cause  
10 more damage in increasing undertreated pain.

11 MR. BLUMENTHAL: One of the purposes of  
12 the system, my own purpose in being here today is to  
13 increase the amount of data that is available so we can  
14 make more informed decisions, quite honestly, an  
15 increase in the dialogue between the law enforcement  
16 community and the professional community, which I think  
17 has been lacking in this area, at least in Connecticut,  
18 I think maybe even nationally. You know, when you look  
19 at other industries, whether it is securities or  
20 utilities, where there is loads of communication  
21 between the oversight body and the professionals, and

22 in this one there's a lot less. Thank you.

23           COMMISSIONER FLEMING: Good morning.

24 You raised, I think, probably the most important issue

25 for the state as they consider how to deal with this

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1 issue, and that is, I'll call it the doctor fear  
2 factor, and that fear factor creating undertreatment of  
3 pain; and so as a regulator, as we are looking at  
4 putting together suggestions to the legislature in the  
5 next session, I'm going to need to answer some  
6 questions about that.

7           I also, as a human being, I'll bet you  
8 every person in this room has had a member of their  
9 family go through a situation -- I have -- go through a  
10 situation where they've been in tremendous pain, and  
11 it's an awful thing to watch. So I want to be sure  
12 that I'm balancing these things out.

13           In Connecticut, at the present time we  
14 do monitor prescription use of controlled substances,  
15 and we sort of do it the old-fashioned way, and there  
16 is a lot to be said for an old-fashioned way. We go to  
17 the pharmacies and we review records and every one of  
18 the agents that works for my agency is a trained  
19 pharmacist, and they make judgments about the patterns  
20 that they see. What we are thinking of proposing to  
21 the legislature is what other states have, which is an

22 electronic means by which we collect that data, it  
23 comes into my agency, and those same pharmacists look  
24 at that data and make those same types of judgments;  
25 and so what I would like to ask you, and I look at your

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1 resume, it's really fantastic, but can you -- do you  
2 have any evidence, could you supply us any evidence  
3 that would compare a state like Connecticut that does  
4 this the old-fashioned way versus approximately 20  
5 other states that now use this electronic system where  
6 that fear factor has increased, where the fact that  
7 you've put this electronic monitoring system in place,  
8 it has in fact caused doctors not to properly  
9 prescribe?

10 DR. PORTENOY: Unfortunately, I don't  
11 think those data exist, and I've asked for them myself,  
12 and I don't think they exist.

13 I also think it's complex in other  
14 states because of what's happening -- what the  
15 individual EDT systems look like. For example, in New  
16 York, we have a system that now still requires us to  
17 purchase special prescriptions. So that even though  
18 the hated triplicate is no longer there, the doctors  
19 still have a visible reminder that every time they pick  
20 up and write a prescription for a Schedule II drug,  
21 somebody is looking. So in New York my expectation

22 would be that the EDT system is not going to have the  
23 relief of the fear factor to the same extent that the  
24 systems in other states that are invisible to doctors  
25 do.

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1           But the empirical data which people have  
2 called for for ten years just isn't there,  
3 unfortunately. I think that reflects the reality that  
4 the regulatory and the law enforcement community have  
5 focused on a problem and they view reducing use as a  
6 proxy for better control. Now only as we are coming  
7 into this century with this dialogue are people  
8 beginning to say maybe reducing use is adding to the  
9 clinical burden and is not actually what we want. We  
10 want reduced use among abusers and diverters. We don't  
11 want reduced use among primary care treaters treating  
12 elderly.

13           You are sitting in a position of being a  
14 demonstration state for the rest of the country because  
15 if you design your program in a smart way that keeps it  
16 as invisible to the prescribers as possible, you attach  
17 it to education and dialogue and you measure outcome  
18 empirically, maybe after a year, you could make a  
19 contribution to this whole area of drug regulation that  
20 hasn't been there.

21           MR. BLUMENTHAL: For some of the

22 prescribers, the system shouldn't be invisible. For  
23 some of the prescribers there should be some sense of  
24 oversight of somebody watching and caring if there is  
25 overuse or misuse, right?

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1 DR. PORTENOY: If -- we're moving to the  
2 question of how one judges misuse and overuse. If in  
3 fact there is a legitimate concern that a prescriber  
4 lacks the skills and is unintentionally misusing or,  
5 even worse, is engaging in actions of profit or some  
6 other type of diversion, then there is no question that  
7 the state has a role to play and it should be there,  
8 and I would hope that it would -- it would target its  
9 response based on what the true need is and not to  
10 eliminate the license from someone who needs training  
11 and not to continue somebody's licensure if they're  
12 dishonest.

13 MR. BLUMENTHAL: Thank you.

14 COMMISSIONER FLEMING: One thing that we  
15 have done over the last year or so, my agency has been  
16 meeting with members of the industry, with law  
17 enforcement, with the professionals on the medical side  
18 to try to come up with a proposal that everybody would  
19 buy into. Now, I don't want to water it down to a  
20 point where it doesn't work, but I think what you're  
21 saying to me is you're a scientist and this is really

22 more of a political science problem. We need to try to  
23 balance those interests, and your recommendation, which  
24 I think is a good one, which I've not heard before, is  
25 that this process that we put together to develop this

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1 legislation needs to continue after it goes onto the  
2 books.

3 DR. PORTENOY: Right.

4 COMMISSIONER FLEMING: So that all of  
5 the different parties, law enforcement, regulators,  
6 doctors, pharmacists, are talking on a regular basis so  
7 that whatever judgments we're making about  
8 prescriptions in the way it's occurring, that we take  
9 new technology, thoughts about management of pain into  
10 account. It's a hard thing to do, but I think that's  
11 sort of our challenge to put this legislation together.

12 DR. PORTENOY: That's right. Thank you.

13 MR. BLUMENTHAL: Thank very much. I  
14 hope you don't mind if we call on you again as we  
15 design this demonstration program. Thank you.

16 Kathleen Anderson.

17 MS. ANDERSON: Good morning.

18 MR. BLUMENTHAL: Good morning.

19 MS. ANDERSON: My name is Kathleen  
20 Anderson, and I'm the director of governmental affairs  
21 for the American Society for RSD-CRPS.

22           The American Society applauds the  
23 initiatives of Attorney General Blumenthal in  
24 addressing the issues of opioid drug abuse as well as  
25 the crisis in pain management. We appreciate his open-

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1 door policy in encouraging those affected by the  
2 OxyContin controversy to step forward and voice their  
3 concerns.

4           The recent debate regarding OxyContin  
5 and the future of opioid analgesics is of great concern  
6 to the members of the American Society for RSD and the  
7 community of patients and caregivers we represent.  
8 Reflex sympathetic dystrophy is the worst imaginable  
9 pain known to man or women and rates a 42 out of 50 on  
10 the McGill Pain Index. Placing additional restrictions  
11 on opioid analgesics will prolong the suffering of RSD  
12 patients.

13           Presently pain management centers in  
14 Connecticut are limited. Treatments revolve around  
15 medications, physical, and psychological therapy, and  
16 invasive surgical procedures. It takes an average of  
17 two years to be diagnosed with RSD, and once diagnosed,  
18 most patients must see an average of 4.5 physicians  
19 before their pain is treated. How much longer will it  
20 take these patients to get relief if tighter  
21 restrictions are enforced? Will they live that long?

22 Suicide is one of the leading causes of death in RSD  
23 patients in the United States today. Until more  
24 facilities are established and HMO's cover their  
25 treatments, patients will continue to use primary care

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1 physicians and a variety of specialists to obtain pain  
2 medications for pain relief. Knowing these facts, you  
3 cannot limit the dispensing of opioids to only pain  
4 specialists.

5           Research in the area of neuropathic pain  
6 and other chronic pain syndromes is just beginning to  
7 surface. If tighter restrictions are placed on the  
8 distribution of opioids, we will see less interest by  
9 pharmaceutical companies in researching pain mechanisms  
10 and developing effective drugs. The American Society  
11 for RSD supports the implementation of an electronic  
12 prescription monitoring program. It would be a more  
13 accurate and efficient method of tracking Schedule II,  
14 III, IV, and V controlled substances. It is imperative  
15 that physicians practicing proper pain management not  
16 be targeted as suspicious.

17           We would like to know how balance will  
18 be kept. Physicians need to be responsible for  
19 diagnosing patients and prescribing opioids  
20 conscientiously. Pharmacists must also be accountable  
21 for tracking and recognizing suspicious activities.

22 Pharmaceutical companies need to improve the structure  
23 of these medications to reduce abuse. The ability to  
24 disable a time-released formula by crushing it needs to  
25 be addressed. It is hazardous and life threatening to

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1 both the pain patients and the drug addicts.  
2 Ultimately, it is the responsibility of state and  
3 federal law enforcement to curtail the illegal  
4 dispensing of drugs enforcing stricter consequences for  
5 those who abuse opioids.

6           I am a mother of an 18-year-old who has  
7 been suffering with RSD for over three years. Do I  
8 worry about the effects, the long-term effects of her  
9 medication and possible addiction? Yes. Of course I  
10 do. But I'll tell you my worries are secondary to  
11 the -- to the torture she endures. The pain is  
12 debilitating and relentless. It is inhumane.

13           Perhaps a plan to assist those suffering  
14 with this debilitating disease and other chronic pain  
15 ailments in Connecticut could be initiated and thus  
16 prove to be a more effective vehicle in curtailing  
17 opiate abuse:

18           One, establish multidisciplinary pain  
19 clinics; two, implement the electronic prescription  
20 plan; three, introduce legislation requiring  
21 certification in pain management for the medical

22 community; four, encourage pharmaceutical companies to

23 earmark a percentage of their profits for these

24 centers.

25 We feel addressing the issue in this

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1 manner creates a win/win situation. A, patients will  
2 have the specialized care they need; B, prescriptions  
3 are monitored more effectively for abuse; C, physicians  
4 in the medical community are engaged in pain  
5 management, which will enable them to better identify  
6 abusers and refer them to drug rehabilitation; and, D,  
7 pharmaceutical companies would contribute back into a  
8 system that addresses the needs of the pain patients  
9 and substance abusers.

10           People in pain are a vulnerable  
11 population. We need to pursue education, awareness,  
12 and research in the area of chronic pain. Until pain  
13 is better understood, we need to place the burden on  
14 those responsible for drug abuse; not the victims  
15 themselves. The American Society for RSD-CRPS asks  
16 Attorney General Blumenthal to rethink his position of  
17 placing further restrictions on dispensing of opioid  
18 analgesics.

19           MR. BLUMENTHAL: Thank you for being  
20 here, Mrs. Anderson. As you know, a number of  
21 proposals you made are ones that I've advocated, and I

22 would agree with you that the restrictions should not  
23 be placed on the recipients, legitimate recipients, of  
24 these kind of painkillers because this drug OxyContin  
25 and others like it have been a Godsend for them. So I

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1 welcome your being here today so we can develop some of  
2 the balance and measure and constructive kinds of  
3 proposals that you just suggested. Thank you.

4 MS. ANDERSON: Thank you.

5 MR. BLUMENTHAL: Nicholas Gugliotti.

6 MR. GUGLIOTTI: I'm married. I'm  
7 disabled with a liver disease and also chronic migraine  
8 headaches.

9 About three years ago, my physician  
10 recommended that I see a pain management specialist  
11 because I was suffering from chronic cluster migraines,  
12 and they were very, very difficult to live with. The  
13 pain management specialist put me on OxyContin, and it  
14 did help the headaches, but after about three or four  
15 months, I began to feel very lethargic and very dull in  
16 my activities, and I didn't like the way I felt, and I  
17 asked the doctor if there was anything more that I  
18 could do or anything different that I could do. The  
19 doctor suggested that I increase the dosage of the  
20 OxyContin, and so I did that.

21 About three more months went by, I tried

22 to give it as much time as I could to see what the  
23 outcome would be. I was in severe pain, so it was a  
24 help in that regard, but I began to feel depressed, I  
25 began to feel other side effects that were very

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1 uncomfortable. So with my wife, I sought out another  
2 pain management specialist. I was referred to someone  
3 affiliated with Yale; the doctor there recommended that  
4 I continue with OxyContin, increase the dosage, and  
5 recommended that I take it morning and evening so that  
6 I would have it in my system 24 hours a day. I  
7 continued to feel relief from pain, but other side  
8 effects that are troubling; went back and saw the  
9 doctor a few times and told him I was very concerned  
10 about feeling depressed and didn't know if it was the  
11 result of the medication or not. He suggested that not  
12 alleviating the pain could create depression and could  
13 create some of the other symptoms that I was having.  
14 So he suggested that I continue to take the OxyContin,  
15 which I did.

16           At this point, it was about nine months  
17 or so I had been taking the medication, and my wife  
18 began to become concerned because I was having  
19 difficulty maintaining my grip, I guess. I was very,  
20 very troubled, I was emotionally upset, and I felt that  
21 I needed, at this point, I needed the medication; if I

22 didn't take it, I felt very uncomfortable. So we went  
23 to the emergency room. I was seen by a physician  
24 there. He seemed to agree with the other diagnoses  
25 that I should continue with the medication. They sent

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1 me home. I continued to take the medication.

2           Two weeks later I had what I would  
3 consider something close to a nervous breakdown. I  
4 just couldn't do. I felt very much overwhelmed by the  
5 situation that I was in, back to the hospital, I was  
6 admitted to the hospital, and put in a psychiatric  
7 ward, which was very difficult for me. I was a  
8 businessman before I became disabled with illness. I  
9 was respected in my community, and it was a difficult  
10 thing for me. I stayed there for eight days and went  
11 through detox, which was horrible, probably the worst  
12 thing that I've ever experienced in my life.

13           I never took OxyContin that wasn't  
14 prescribed, I never took a dose more than I was told to  
15 take. In fact, I would cut pills in half and try to  
16 limit it because I didn't like some of the side  
17 effects, but I was continually told by people I thought  
18 were professionals and specialists that there was no  
19 harm, there was no danger of addiction, that pain  
20 medication, when administered where there is genuine  
21 pain, very rarely creates addiction. I definitely

22 became addicted to the medication.

23 I heard some testimony from Dr. Portenoy

24 that there is a certain percentage of people that are

25 perhaps predisposed. I didn't know that before. I

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1 didn't even know that until today, to tell you the  
2 truth. No one ever told me that. No physician ever  
3 told me that. If that was the case, I'm just at this  
4 point very surprised that no one saw that that could  
5 have been a possibility for me.

6           After 50 years of living, after getting  
7 a Master's degree and being in business, never having a  
8 problem with addiction of any kind, having been given  
9 pain medicine along the way, my life, various  
10 operations, so forth, never had any reason to believe  
11 that this kind of problem would occur. I realize as I  
12 sit here that I am one of the anecdotal stories that  
13 the doctor referred to. And I realize that there is  
14 some very eloquent testimony about people in pain.  
15 Believe me, when I hear this lady speak about her  
16 daughter who is in pain, I would be the first person to  
17 say that I would want that young lady to receive the  
18 help. I'm not here to say that pain medication is  
19 bad. I'm not here to say that I know enough about  
20 OxyContin that I should tell you what to do. But I am  
21 here to tell you that I believe through no fault of my

22 own, I almost lost my marriage, I almost lost my  
23 family, and were it not for the fact that I had such a  
24 supportive family, I had a strong faith in God, I think  
25 I would have taken my life. I felt at a few points in

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1 my life that it was not worth going on, and I believe  
2 it was directly related to the medication that I was  
3 taking.

4 MR. BLUMENTHAL: We want to thank you  
5 for being here and for sharing with us that story and  
6 sharing with us so eloquently because it adds a  
7 perspective, obviously, to the problem --

8 MR. GUGLIOTTI: Yes.

9 MR. BLUMENTHAL: -- that we see, and  
10 particularly, as I gather from you, the absence of  
11 disclosure to you about the potential pitfalls and  
12 problems that could accompany use of this drug at the  
13 time that you began using it.

14 MR. GUGLIOTTI: Yes, sir. Even when I  
15 went and asked for help because of side effects that  
16 were troubling, that I believe should have been at  
17 least a caution light that someone should have looked  
18 further, and all I was told was I should take more, and  
19 I feel in hindsight that was a mistake.

20 MR. BLUMENTHAL: Thank you. Thank you  
21 very much.

22 Is Mr. Joe Andrews here?

23 MR. ANDREWS: Good morning.

24 MR. BLUMENTHAL: Good morning.

25 MR. ANDREWS: My name is Joe Andrews,

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1 and this is my father-in-law, Rheinhold Luther. And --

2 MR. BLUMENTHAL: I'm sorry, could you  
3 identify him? Could you speak up a little bit?

4 MR. ANDREWS: His name is Rheinhold  
5 Luther.

6 MR. BLUMENTHAL: Welcome to both of  
7 you. Thank you for being here.

8 MR. ANDREWS: I want to talk about my  
9 wife, who was under a doctor's care for OxyContin that  
10 she was taking, and living with her and her being a  
11 health care professional herself, she knew what the  
12 effects of the medication should be, what -- and took  
13 great care of herself, and she was a very intelligent  
14 person; and this medication, although she took it only  
15 under a year, I could see in her that it caused, at the  
16 beginning, it caused confusion, which even got worse,  
17 which I can't even describe, like the effects of that  
18 medication, the side effects -- I can't even begin to  
19 describe. But it's -- basically it would cause  
20 confusion, disillusionment and like that.

21 Although she was under the care of a

22 doctor, we had been to the hospital, we had been to the  
23 emergency room clinic, and no one -- no one got to the  
24 bottom of the problem, which was that drug itself, and  
25 that drug took her life, and it took her life because

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1 no one -- I mean she -- she went -- let me back up a  
2 little bit.

3           She went from confusion and everything  
4 forward to addiction and -- and it took her life, and  
5 all that was because -- I believe in my opinion of what  
6 the time I shared with my wife and everything, that  
7 this medication should only be administered in a  
8 hospital setting with adequate hospital personnel who  
9 are adequately supervised, and it should not be  
10 administered through a physician or anybody else and  
11 given to people outside a hospital care setting,  
12 because there's no way that I believe -- experience  
13 when we went to the walk-in clinic, which I didn't know  
14 at the time, but there are people there that they're  
15 not even -- they're not physicians, so they don't know  
16 what to look for. They don't know what signs, danger  
17 signs, are or anything like that. And all these things  
18 revolve around the care of a person, and to get that  
19 adequate care, with this particular medication, I think  
20 should only be done in a hospital setting, and that's  
21 all I wanted to say.

22 MR. BLUMENTHAL: Thank you very much for  
23 those comments. Sir.

24 MR. LUTHER: My name is Rheinhold  
25 Luther.

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1           COMMISSIONER FLEMING: See the button  
2 right in front of you, push that down. Now we will be  
3 able to hear you better.

4           MR. LUTHER: Okay. I'll repeat what I  
5 just said.

6           My name is Rheinhold Luther. I'm the  
7 father of Joe's wife Julie.

8           Julie developed severe migraine  
9 headaches as aftereffects of Lyme disease, and she was  
10 on various treatments and various doctors until she  
11 finally was given OxyContin. In the beginning, it  
12 looked like it was a wonder drug. She improved very  
13 quickly, she became much more involved in things, and  
14 she was becoming her natural self like she used to be.  
15 However, after a while, she started to become  
16 disoriented, she fell a couple of times, and this all  
17 happened -- well, she was treated for eight months  
18 totally, approximately, but in the last four weeks,  
19 these incidences of disorientation kept increasing, and  
20 finally one weekend it got to the point where we  
21 insisted that she seek immediate help, and this is

22 when she got the treatment that Joe referred to; they  
23 took x-rays, and even though she was totally  
24 incoherent, they sent her home. And she got home and  
25 later she was dead.

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1           So Joe lost a wife; we lost our  
2 daughter. Not only that, but Joe was left financially  
3 devastated as far as the costs go.

4           MR. BLUMENTHAL: Thank you.

5           Just one question, Mr. Luther or Mr.  
6 Andrews. Was the prescription from a primary physician  
7 or from a specialist?

8           MR. LUTHER: It was from pain, Salvation  
9 Center.

10          MR. BLUMENTHAL: And did your daughter,  
11 your wife, go to a hospital? Is that what I heard you  
12 say?

13          MR. LUTHER: No. She went to a medical  
14 clinic.

15          MR. ANDREWS: I had also taken her to  
16 a -- I had also taken her to Bridgeport Hospital one  
17 time, where they had some idea what the problem was.  
18 They didn't -- they were reserved in treating her  
19 because they didn't know what -- they didn't know what  
20 the problems were, what the problem had to do with, the  
21 medication, and that's the whole problem. If whoever

22 is administering that medication needs -- needs to be  
23 the one taking care of that person. And they need to  
24 have that, the proper care. If they don't have that,  
25 then you lose the communication, you lose what the

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1 problem is, and you lose a life.

2 MR. BLUMENTHAL: Well, for myself, I  
3 think for other members of the panel, we thank you for  
4 being here today and for sharing with us your story and  
5 your courage. I thank you.

6 We're going to hear from three panelists  
7 at once, but I think maybe before we do so, maybe we'll  
8 take a break. I don't know how our reporter is doing,  
9 but why don't we take a five-minute break and then come  
10 back with Dr. Li, Dr. Fallon, and Dr. Herzog.

11 (Recess: 10:40 to 11:02 a.m.)

12 MR. BLUMENTHAL: If I may call you back  
13 to order. We're going to hear from Dr. Charlene Li,  
14 Dr. Barbara Fallon, and Dr. Alfred Herzog.

15 And if you could just press the buttons  
16 in front of you, your mikes will turn on. Thank you  
17 all for being here today. Dr. Li.

18 DR. LI: Thank you, Attorney General  
19 Blumenthal and panel members. Good morning.

20 My name is Charlene Li, and I am the  
21 immediate past president of the Connecticut Academy of

22 Family Physicians. I am also an associate clinical  
23 professor at the University of Connecticut School of  
24 Medicine and a former member of the Commission on  
25 Education at the American Academy of Family

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1 Physicians. I am currently in private practice in  
2 Windham, Connecticut. I would like to take this  
3 opportunity to speak to you on behalf of the  
4 Connecticut Academy concerning -- regarding their  
5 concerns about the abuse of prescription drugs and  
6 electronic surveillance of prescriptions.

7           We are all keenly aware of the benefits  
8 of controlled drugs, including narcotics for pain and  
9 drugs such as Ritalin for attention deficit disorder.  
10 However, we are also very aware of and concerned about  
11 the dangers of these prescription medications when they  
12 are used inappropriately and not in the manner that was  
13 originally intended. As family physicians, we are  
14 trained to look at the big picture and to approach  
15 problems not only looking at the problem itself, but  
16 also at all the associated possible contributing  
17 factors. Taking this approach, we feel there must be a  
18 multifaceted approach to curbing the use of appropriate  
19 medications.

20           First, patient education. When patients  
21 are prescribed medications that have an abusive or

22 addictive potential, they must, must be informed  
23 regarding this fact. All too often patients are not  
24 aware of the potential dangers of these medications and  
25 the dangers they can pose to themselves or others who

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1 may use their medications inappropriately. The  
2 patient's physician should provide this information and  
3 education with additional information provided by the  
4 patient's pharmacist.

5           Second, physician education. Physicians  
6 should be aware of the addictive potential and abuse  
7 potential of all the medications that they prescribe.  
8 While all of us are aware of the addictive potential of  
9 narcotics, not all physicians may be aware of the modes  
10 of abuse of such medication such as Ritalin, OxyContin,  
11 or other such drugs. Physicians need to be educated  
12 about the current use on the street of legitimately  
13 prescribed medications. The state could assist  
14 physicians by providing such information. Physicians  
15 should also be reminded of the importance of accurately  
16 and consistently documenting the amount of controlled  
17 medication that they prescribe in a patient's chart.  
18 In this way, the physician has direct knowledge of the  
19 patient's drug use and can identify patterns of  
20 excessive or inappropriate use.

21           Third, the pharmaceutical industry's

22 responsibility. The pharmaceutical industry must take  
23 responsibility to inform physicians of the appropriate  
24 use of their medications and also potential abuse  
25 issues, if appropriate. In the case of narcotic

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1 medication, it is critical that the information on the  
2 use of the medication, when to prescribe it, and for  
3 what type of patient and patient situation be based on  
4 appropriate clinical data and not on the desire to  
5 increase sales of the product.

6           Finally, electronic prescription  
7 surveillance. With the use of computers comes the  
8 abilities to monitor a patient's prescription drug  
9 use. In the case of the larger pharmacy chains, there  
10 is an ability to check a patient's prescription drug  
11 profile within the entire system. This ability can be  
12 helpful in identifying patients who may be receiving  
13 multiple prescriptions for the same medication from  
14 several different physicians. Certainly, I have had  
15 instances where a pharmacist has notified me that one  
16 of my patients is receiving a narcotic medication not  
17 only from me, but also from another physician in a  
18 different part of this state. If there were to be a  
19 larger, all-encompassing electronic system, this  
20 capability would be more comprehensive.

21           However, I think if we are to consider a

22 comprehensive electronic surveillance system for  
23 prescription medication, we must be sure that such a  
24 system has safeguards to assure that information  
25 obtained from the surveillance is used appropriately

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1 and in a manner that assures privacy. Additionally,  
2 there must be special considerations in view of the  
3 upcoming implementation of the Health Insurance  
4 Portability and accountability Act, or HIPAA.

5           The concerns of the academy are the  
6 following: Number one, if it is found that a patient  
7 is receiving multiple controlled medication from  
8 different physicians, a method of informing physicians  
9 must be developed that does not compromise patient  
10 privacy or interfere with the doctor/patient  
11 relationship.

12           Two, if a patient is taking controlled  
13 medications on a regular basis, but in an appropriate  
14 amount for an appropriate reason, it is important that  
15 the information be kept confidential. Inappropriate  
16 release of this sort of information could negatively  
17 impact the patient's job, insurability, et cetera.

18 Even if the use is inappropriate, this information  
19 should be used to educate and treat the patient in a  
20 manner consistent with patient confidentiality.

21           Three, if the physician is found to be

22 prescribing controlled medications in a pattern that is  
23 judged to be outside the norm, appropriate evaluation  
24 of the physician's practice type should be undertaken  
25 before any potentially negative actions are taken. For

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1 instance, a physician who provides hospice care as a  
2 substantial part of his or her practice may prescribe a  
3 drug such as OxyContin frequently, but appropriately,  
4 whereas a dermatologist is unlikely to need to  
5 prescribe a medication like OxyContin with any  
6 frequency.

7           Information regarding individual  
8 physicians' prescribing patterns must not be made  
9 public in order to prevent inappropriate labeling of  
10 physicians. An example of how this type of release of  
11 raw information can have a negative impact on physician  
12 practices occurred about 12 years ago when Hartford  
13 Courant released cesarean section rates of  
14 obstetricians of Greater Hartford. One obstetrical  
15 group was reported as having cesarean rates  
16 significantly higher than that of any other group. The  
17 fact was that particular group performed cesarean  
18 sections for a group of family physicians and also a  
19 group of midwives, thus artificially increasing their  
20 numbers. Since the article did not explain this, but  
21 published only the raw numbers, their practice suffered

22 unwarranted negative publicity.

23           The Connecticut Academy of Family

24 Physicians urges the state to consider the following:

25           One, urge pharmaceutical companies to

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1 develop products that have decreased abuse potential  
2 and ensure that that marketing is appropriate; two,  
3 develop education programs for physicians and the  
4 public regarding the abuse potential of prescription  
5 medications; three, provide adequate programs and  
6 facilities to treat patients who may be abusing  
7 medications and also provide support to their families;  
8 four, develop safeguards for any electronic  
9 surveillance system that may be developed to protect  
10 the privacy of patients and physicians and to ensure  
11 the sanctity of the doctor-patient relationship.

12 Thank you.

13 MR. BLUMENTHAL: Thank you very much.

14 Dr. Fallon.

15 DR. FALLON: Good morning. Good  
16 morning, Attorney General. My name is Barbara Fallon,  
17 and I am a physician and founding member of the  
18 Connecticut Cancer Pain Initiative, a voluntary, grass  
19 roots organization composed of physicians, nurses,  
20 pharmacists, internal medicine, medical oncology, pain  
21 management, and critical care. I have presented

22 written testimony which was presented and discussed  
23 with Attorney General Blumenthal and his staff in  
24 October. As a result of that meeting, I would like to  
25 emphasize the following points:

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1           Patients with chronic pain, including  
2 patients with cancer, should have access to proper  
3 assessment and treatment of their pain. Mandates to  
4 curb diversion and use of opioids should be carefully  
5 drafted to prevent an adverse impact on patients,  
6 doctors, and pharmacists. Opioids are safe and  
7 effective in the treatment of pain. When used as  
8 prescribed, they rarely result in psychiatric and  
9 behavioral disease of addiction. Whereas over-the-  
10 counter analgesics such as acetaminophen or Tylenol,  
11 aspirin, can cause kidney failure, liver disease, and  
12 internal bleeding, especially in the elderly. Opioids  
13 do not pose such risks. Patients may receive the  
14 maximum dosage, mistakenly believing that more will be  
15 better -- it is not -- and that drugs must be safe  
16 because they're over the counter.

17           Opioids are dosed individually and are  
18 titrated to the relief of pain. There is no fixed  
19 dose. Thus, many patient's pain is controlled at the  
20 lower dosages, while some patients, especially patients  
21 with cancer, may need 10 to 15 times that amount.

22 MS Contin, long-acting medication such  
23 as those in pill form, provide patient dosages that are  
24 potent and can be given every 12 hours. If you needed  
25 360 milligrams of long-acting oxycodone, would you

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1 prefer to take two tablets or nine tablets at each

2 dose?

3           Restricting the dosage amount could in

4 fact have an adverse impact on patients because of the

5 numbers of pills they would need to take, and pain

6 medication is not the only medication that they take.

7 Many are on complex regimens for bowel symptoms,

8 sometimes nausea and vomiting, respiratory problems, a

9 variety of things. So this is one thing we need to

10 take into account if we are thinking about adjusting

11 the dosages.

12           The only alternatives to these

13 medications is invasive therapy, placing an intra-

14 catheter device or catheter placement into the spine.

15 These are much more restrictive to patients, more

16 expensive, and more risky.

17           Along with measures to curb opioid

18 abuse, CCPI strongly encourages the Attorney General to

19 work with professional societies to establish minimum

20 proficiency standards in treating pain. Education in

21 pain is all but absent in medical schools and residency

22 training and continuing education is poorly attended

23 because it is not required.

24 Education of health care providers about

25 pain should be paired with a curriculum in chemical

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1 dependency, as many doctors do not recognize substance  
2 abuse until very late in a patient's course, making  
3 treatment less successful. Providing information about  
4 proper prescribing for pain should reduce the vast  
5 number of patients needlessly in pain and provide  
6 earlier recognition and referral for treatment of  
7 substance abuse cases.

8           I would like to make a comment referable  
9 to the previous patients who provided testimony that  
10 depression and chronic pain certainly can coexist. The  
11 affect of acute pain is anxiety, but the affect of  
12 chronic pain is depression. Depression needs to be  
13 recognized and treated, as do any side effects of any  
14 medication that cause changes in a patient's mental  
15 status, and clearly that was not appropriately done,  
16 from what we've heard this morning.

17           I would also like to say that any  
18 competent prescriber would inform patients that they  
19 should never cut long-acting medications in order to  
20 reduce the dosage, as that would certainly allow an  
21 increased release of medication and possibly could

22 contribute to chemical dependency along the way.

23 That's not my specialty. I would not want to testify

24 to that as fact.

25 Finally, along with my colleagues from a

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1 variety of disciplines here today, I would urge caution  
2 against overreaction and remind us that when college  
3 students overdose after binge drinking, we do not ban  
4 alcohol. OxyContin has a legitimate medical use, while  
5 alcohol does not. More medical expenditures, more  
6 suffering, more death occur from alcohol abuse than  
7 properly prescribed opioids. Don't get me started on  
8 tobacco. Thank you very much.

9           MR. BLUMENTHAL: I wish you would get  
10 started on tobacco. Dr. Herzog.

11           DR. HERZOG: Good morning, Attorney  
12 General Blumenthal, and members of the hearing panel.  
13 I am thankful to you all for having this hearing  
14 today.

15           I'm obviously here with my hat as  
16 president of the state medical society, also want you  
17 to know my real job, also, I still see patients in my  
18 practice, small as it, and a lot of them are pain  
19 patients. Again, thank you for giving me the  
20 opportunity to speak with you about this important  
21 problem.

22           Given the rapidly increasing number,  
23 strength and complexity of today's pharmaceuticals, the  
24 CSMS recognizes that the time has come to institute an  
25 appropriate system to monitor medical prescriptions,

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1 though there is a need for strong pain medication for  
2 all kinds of patients, and we must put together an  
3 appropriate pain management program. We welcome the  
4 opportunity to really talk with you and develop such a  
5 program; one that does not inhibit the appropriate use  
6 of these medications, however strong they may be. All  
7 of these issues are important ones.

8           Physicians should not be limited to  
9 prescribing particular kinds of medications by the  
10 specialty they have. We should all be given access to  
11 prescribing these medications. My type of cases have  
12 been mentioned, depression, chronic pain often go  
13 together. Yes, anxiety too, sometimes all of the above  
14 in an individual. While certain specialties, such as  
15 oncology and pain management, may treat patients with  
16 larger needs for pain medication, may prescribe more  
17 frequently in higher amounts because of patient needs,  
18 all of us, whether we be internists, we should have  
19 available to us the ability to prescribe these  
20 medications appropriately for people who need them.

21           Now, having said that, we must

- 22 acknowledge there is a tremendous need for appropriate
- 23 pain relief and acknowledge that there is a need to do
- 24 it sensibly. However, limiting physician's abilities
- 25 could have a negative impact for very good work done

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1 today in Connecticut in providing adequate, good pain  
2 relief for our patients. While programs and coverage  
3 should certainly exist to cover issues like pain  
4 addiction, as we know, it is a possible side effect of  
5 these medications, we would suggest that companies,  
6 that is, pharmaceutical companies, should not be  
7 required to pay for such programs. Instead, what we  
8 would like is to have these pharmaceutical companies  
9 help develop an information program for physicians and  
10 education programs for patients who need the  
11 medications.

12           It takes time as a physician to treat  
13 patients like this, and that's something often that you  
14 can't do in seven minutes. You know the problems we  
15 have these days, managed care companies -- don't get me  
16 going on that -- don't like to pay for that. It's too  
17 much time. However, we need to do it right. We do  
18 feel and think that instead the companies should really  
19 help with these kinds of programs and pay for them.

20           Now, the other piece of the mix is  
21 addiction services; quite frankly, we need to make sure

22 our health insurance companies fund those addiction  
23 programs. It is rather difficult. So there is a need  
24 to deal with this problem.  
25 Yes, an ongoing need exists to improve

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1 education for both physicians and patients. They need  
2 to have their medications. Physicians must  
3 aggressively educate patients, given the appropriate  
4 time, given the appropriate information to educate them  
5 about the potential for addiction and potential for  
6 abuse. It is small, but it's real, but it can happen.

7           It reminds me of a particular patient of  
8 mine, not on OxyContin, but something like that, for  
9 the past ten years, never increased the dose. We have  
10 talked a lot about why that hasn't happened and what  
11 else we can do to make that not happen. So these are  
12 the type of issues that take time.

13           Now, there is no doubt that the  
14 physicians need to be educated more on this. We are  
15 hoping for that. We want that to happen. Hopefully we  
16 can develop that in the overall system. We need to  
17 work with one another to make it possible.

18           Existing and developing technology  
19 offers a great opportunity to assist in our efforts to  
20 prevent the inappropriate use of prescription drugs,  
21 really makes monitoring of this quite readily

22 available. Electronic monitoring is certainly a very  
23 sensible way to approach this problem, and we want to  
24 work with you in setting that up. However, we want you  
25 to consider at least three caveats that have been

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1 voiced by my colleagues here, but I would like to  
2 emphasize them, setting up an appropriate electronic  
3 monitoring system, the right way to go, but also some  
4 caution in how you do that:

5           One, safeguards must be developed to  
6 ensure confidentiality. I can't emphasize that  
7 enough. It is so crucial for both the patients,  
8 physicians, pharmacists; and it can be done. I always  
9 tell my patients, look, there is a very simple way for  
10 us, there should only be one physician prescribing your  
11 medication. Pick your favorite pharmacy. Your choice,  
12 but pick a pharmacy. Even before setting it up,  
13 certainly can be done more appropriately once you set  
14 up the program. Accountability problem, we need to  
15 ensure the confidentiality; otherwise, the program  
16 doesn't fly.

17           Number two, appropriate people, such as  
18 treating physicians and pharmacists, should have  
19 accurate and rapid access to information. Methods to  
20 inform physicians without compromising privacy or the  
21 doctor-patient relationship must be part of the

22 program. It can be done. We know it can.

23           Number three, finally, the program

24 should not interfere with the legitimate prescribing of

25 controlled substances. You have previously, Dr.

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1 Portenoy was asked is there evidence of decreased  
2 prescribing, I don't know about electronic monitoring,  
3 but I can tell you when New York instituted its  
4 triplicate form program, there was evidence that  
5 prescribing medications decreased; the more onerous the  
6 task becomes, the physicians give up. They will send  
7 the patients elsewhere. They won't say, "You don't  
8 need it." They will say, "I'm not into this anymore.  
9 See somebody else."

10           In fact, there was a decrease in  
11 prescribing. Please help us with that. We would like  
12 to work with you to make that happen. And it certainly  
13 has potential to decreasing misuse. You have a  
14 tremendous opportunity to benefit more of our citizens,  
15 and we're looking forward to helping you set that up.  
16 Thank you very much.

17           MR. BLUMENTHAL: Thank you. I would say  
18 that I am very sympathetic. I support the safeguards  
19 that you, Dr. Herzog, and Dr. Li both have mentioned,  
20 and I want to thank, really, all three of you for  
21 helping to educate me and my office. We've met before

22 and spoken, as I have with so many others who appeared  
23 today, and you have really performed an enormous  
24 service in providing us your insight and information.  
25 I thank you for being here today. Thank you.

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1           Keith Macdonald. Good morning, and  
2 thank you very much for being here. I know you made  
3 probably the longest trip of anyone to join us, and I  
4 want to express my gratitude to you.

5           MR. MACDONALD: Thank you, Chairman  
6 Blumenthal. It's a project that the state of Nevada  
7 has been willing to travel to many states about. Our  
8 prescription monitoring programs, we think has been  
9 effective, and, in fact, the preceding witnesses were a  
10 good segue to essentially what Nevada does.

11           We sat through many hearings such as  
12 you're doing relative to what we should do in the state  
13 of Nevada. The information about prescription drug  
14 abuse, misuse, and correct use of was anecdotal at  
15 best. We kept hearing many concerns, we had reports  
16 from the medical examiner's offices and other  
17 information, but we did not know exactly what was  
18 happening. So approximately in 1995, we set about with  
19 our legislature to promulgate a project called the  
20 Controlled Substance Abuse Prevention Task Force, and  
21 that task force was made up of a group of people who

22 would include district attorneys, law enforcement  
23 officers, doctors, both on the regulatory side as far  
24 as association goes and other individuals to actually  
25 advise and set the policies of the program. The

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1 legislative intent was, to be legal, which we believe  
2 it has under the HIPAA act as well as Whalen v. Roe, a  
3 Supreme Court ruling; we believed that it had to be  
4 confidential, and that was utmost in our mind because  
5 this information must be held in that respect for both  
6 patients and doctors. We wanted the program to be  
7 transparent so that it didn't affect doctors' practices  
8 or pharmacy practices. It has been alluded to here,  
9 indeed, that information is being collected, but it's  
10 being collected by drugstore chains and organizations  
11 for some commercial purposes, but it is not being  
12 collected between the chains. So the information we  
13 want to gather is for our entire state. And most  
14 importantly, we did not want to infringe upon the  
15 practice of medicine and particularly pain management  
16 because, as has been alluded to, there were some real  
17 concerns about people getting appropriate treatment,  
18 and that is absolutely the case.

19           We had some secondary concerns. We  
20 wanted it to be a prevention and intervention process  
21 rather than a law enforcement process. The reason

22 being it costs about five times the amount of money to  
23 place a person in the criminal justice system than it  
24 does to put them in drug treatment. So to arrest all  
25 the people -- I'll give you another figure here in a

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1 minute -- was just not a possibility for our state. We  
2 do allow referral to the criminal justice system when  
3 we see a patient getting too many prescriptions in a  
4 month, we knew that they had been to Kinko's and had  
5 copied a good prescription and went all over the state  
6 with it. So there is referrals to the criminal justice  
7 system. Importantly, we did not want a chilling effect  
8 upon the industry of prescriptions in the state of  
9 Nevada because all of the issues occurred here, if you  
10 intervene in certain manners, it may cause the  
11 inability to give people appropriate treatment. And  
12 then, most of all, we want to involve all the stake-  
13 holders so there wasn't any contest. There was a lot  
14 of turf battles occurred on who should run a program,  
15 and so on, and I, with the Board of Pharmacy, am just  
16 one of the administrators of the program. There are  
17 other administrators, and, in fact, a task force made  
18 up of people I mentioned previously do set the policies  
19 for this organization and project.

20           We looked at being on line, rather than  
21 being retrospective. We are retrospective. We collect

22 the data, it's a month late; however, I can tell you  
23 that most drug abuse doesn't change within a month. It  
24 continues unabated. So the retrospective concept is  
25 all right. However, the online process would be much,

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1 much more helpful to practitioners, except the cost  
2 goes up about five times. The processing of a POS, or  
3 point-of-sale prescription, is around 25 cents. We are  
4 operating our program at about four and a half cents  
5 per prescription. The project was to inform doctors  
6 about patients who were getting drugs.

7           The most common illegal act is doctor  
8 shopping or doctor hopping; the patient goes to this  
9 doctor, that doctor, and to 40 or 50. Our best patient  
10 had gone to 212 in a year's time. So our task force  
11 set up a threshold that when patients saw more than  
12 five practitioners or more than five pharmacies or more  
13 than 300 oral dosage units in 60 days, or two months,  
14 it would generate a document, a drug utilization  
15 review, which we euphemistically have called profiles,  
16 and we don't like to use that name because a drug  
17 profile connotes something bad today in terms of  
18 profiling, but we sent a drug utilization review  
19 document to the doctors.

20           Well, when we first -- before I talk  
21 about numbers, when we first identified the number of

22 people in our state that were going to more than five  
23 doctors and five pharmacies, it was 1700 people.  
24 Again, if you put those in the criminal justice system,  
25 that doubled the prison population in our state.

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1           COMMISSIONER FLEMING: How many are  
2 there?

3           MR. MACDONALD: A million point seven  
4 when we started the program. It is now over 2 million  
5 people. So we raised the threshold to ten  
6 practitioners, ten pharmacies, and 500 oral dosage  
7 units in 60 days. When we raised that threshold, we  
8 had 4200 people, and a document that's been presented  
9 to you, although not titled, I guess I didn't want to  
10 claim ownership, does show that in the first year in  
11 1997, legislation was '95, the first year we got the  
12 project under way was in 1997, and you'll see the  
13 patient profile activity, that the patients who  
14 exceeded the drug threshold were 4,179 people. That  
15 were individuals that had seen ten doctors, ten  
16 pharmacies, or over 500 oral dosage units in 60 days.

17           What we do then is send to the doctor  
18 with a cover letter saying this is information for the  
19 purposes of better treatment of the patient. It's not  
20 a threatening letter at all. It is just a document  
21 that suggests that this will help them better utilize

22 information for the care of their patient. They  
23 receive that document, and they can do a number of  
24 things. They can do something about the patient.  
25 Quite honestly, where insurance programs and HMO's and

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1 others that limit doctor's time, they most often start  
2 from their practice. They often get the patient, the  
3 profile, and say, "I see what you're doing. You better  
4 do something about this, but you aren't going to do it  
5 here."

6           We would hope that they would refer to  
7 pain management doctors. Often the people call us, the  
8 controlled substances task force, and ask what to do.  
9 And we recommend, number one, that they see a doctor  
10 about pain management because invariably that's the  
11 most common concern that exists out there, they have  
12 unmitigated pain. Secondly, we talk to them if they  
13 don't have pain, but they've gotten into the use of  
14 drugs inappropriately, we talk to them about seeing an  
15 addictionologist. Also, we have a list of drug  
16 treatment programs that we will provide them if they  
17 have a concern that they would like to get off the drug  
18 and so on. So we work this project through pharmacies  
19 and doctors.

20           We've had in four, actually five years  
21 now, because the year 2001 is almost over, we've had

22 one case of confidentiality destruction, and that was  
23 through a pharmacist that had given an employer the  
24 list of the drugs the patient was taking in the  
25 interests, in his thinking, of public safety. The

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1 officer -- the person was an officer in the local law  
2 enforcement agency. He was charged by the state board  
3 of pharmacy and fined to the tune of around \$2,000, and  
4 charged for the destruction of confidentiality. We  
5 hold this information to be absolutely confidential,  
6 and we don't like it to be disbursed anywhere.

7           We wondered what the outcomes of the  
8 program would be, and these are outcomes that we had  
9 looked for; that we would be able to advise pharmacists  
10 and patients -- excuse me -- pharmacists and  
11 practitioners, and that we would be able to counsel  
12 patients to pain management, appropriate pain  
13 management or addictionalologists or a drug treatment  
14 program. Also, as has been mentioned, if you see an  
15 outlier on a scale of people who are prescribing drugs,  
16 refer them to their licensing boards and let the  
17 licensing boards decide whether the treatment is  
18 appropriate or not. For the most part, people writing  
19 the program will not know. When we have a patient  
20 getting, we don't care if it's three wheelbarrows full  
21 of drugs if it is going to one doctor and one pharmacy

22 and that doctor is an oncologist or pain management  
23 doctor, they are wavered from our concerns, we don't  
24 care because that's probably in the best interest of  
25 the patient.

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1           In the worst of cases we've referred to  
2 law enforcement. And occasionally we run across the  
3 case, but I can tell you in our state, as it is across  
4 the country, law enforcement has varied, and, frankly,  
5 our district attorneys and law enforcement people don't  
6 have a large interest in prescription drug law  
7 violations. So our referral system is quite small in  
8 total numbers.

9           Some of the concerns have been expressed  
10 here. People would like to have empirical analytical  
11 knowledge about what happens to patients after they've  
12 been identified. Well, that's very hard. You would  
13 have to almost have one-on-one interviews to get that,  
14 but it would be important if a state could afford  
15 that. Were they referred to drug treatment, did they  
16 drop off the program because they changed their name  
17 and address and are back somewhere else as another  
18 person, or did they leave the state. We don't have  
19 that information.

20           One of the features of the program, if  
21 you set it up, will be the fact that you will have much

22 more knowledge than you ever wanted, and it does become

23 concerning because you will see how large this problem

24 is, you will see what the cause of the problem is, and

25 if I may be very offhanded, it isn't OxyContin, it's

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1 hydrocodone. You're going to see there are other drugs  
2 that are much more involved in the abuse of  
3 prescription drugs than the drug du jour that you are  
4 looking at currently. The outcomes are listed on the  
5 document.

6           The people that we profiled, the average  
7 number of medical practitioner visits has dropped --  
8 now, these are the people we sent out drug utilization  
9 reports on -- has dropped from 22 to 12, nearly a 50  
10 percent increase; the average number of pharmacies that  
11 people are seeing have gone from 16 to 12; the average  
12 number of prescriptions has gone from 159 to 56; and  
13 average number of dosages, which was astronomical, has  
14 gone from 9,000, people who we first identified as  
15 exceeding the threshold, were getting 9,700 doses a  
16 year, and we have reduced that to around 3,300 doses.  
17 So we have been able to measure the outcomes.

18           Now, this is not empirical analysis  
19 because we didn't go out and interview the patients and  
20 find out where they may have ended up, and we do have  
21 some knowledge that they ended up in the coroner's

22 office and they overdosed and passed away, making their  
23 utilization suddenly drop to zero.

24           The doctors really like this program.

25 We have a stack of letters, and we invite you or any

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1 other state to contact the state medical association in  
2 Nevada, if you will, the State Board of Medical  
3 Examiners, or their counterparts in any licensing  
4 capacity, the dental board, the dental association, or  
5 any of the groups because they have found this has been  
6 an extremely helpful program for reaching those  
7 individuals.

8           This has been a very short review of  
9 what the Nevada program does. I'm trying to limit it  
10 because you have a number of speakers, but I would be  
11 happy to answer any questions at this time.

12           MR. BLUMENTHAL: Well, we hope that we  
13 can call on you in other settings by phone --

14           MR. MACDONALD: You may.

15           MR. BLUMENTHAL: -- for the benefit of  
16 your wisdom and experience, because it would be  
17 enormously helpful.

18           MR. MACDONALD: We would be happy to  
19 entertain your officers here, if you don't send them  
20 with too much gambling money.

21           COMMISSIONER FLEMING: They have it

22 here.

23 MR. BLUMENTHAL: We have an abundance of

24 it here. Let me ask one quick question.

25 MR. MACDONALD: Sure.

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1           MR. BLUMENTHAL: The four-and-a-half  
2 cent versus twenty-five-cent figures you mentioned, are  
3 those current or are they from 1997?

4           MR. MACDONALD: No. They are current.  
5 Our cost is approximately four and a half cents, I  
6 think it's about 4.6 cents is what we're operating  
7 under today. The point of sales, operating one, but  
8 the point of sales system cost around 25 cents a script  
9 to get the information transferred. Many of those are  
10 in operation for insurance companies, but they are  
11 online; online time is costly to monitor. That might  
12 be a different cost. It would be very effective if the  
13 persons who could access the information were limited,  
14 however, and there is a danger there because if you  
15 have any person can access this information, there are  
16 some that attempt to use it for inappropriate purposes.

17           I can give you examples. Law  
18 enforcement officers having, of course, a dispute with  
19 a spouse and he shows up in the office and attempts to  
20 give us a subpoena, and we don't allow subpoenas to  
21 obtain information, only court orders. He shows up

22 with a subpoena, he's actually trying to get  
23 information that the spouse used too many drugs so he  
24 could enhance his obtaining the children, keep her from  
25 having the child, that type of thing.

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1           MR. BLUMENTHAL: But in many instances,  
2 that point-of-sale information has already been formed  
3 that it could be accessed, is it not?

4           MR. MACDONALD: That is true with a  
5 variety of companies, probably 150 companies that are  
6 doing that. How you would gather them together is --  
7 is a question.

8           MR. BLUMENTHAL: But that could reduce  
9 the cost, couldn't it?

10          MR. MACDONALD: Yes, it could. Another  
11 thing is that -- each pharmacy, once a day, rather than  
12 having a point of sale, once a day could submit  
13 information. The chain stores usually do theirs in the  
14 middle of the night, they drop their information which  
15 they get to a marketing company called IMS --

16          MR. BLUMENTHAL: Right.

17          MR. MACDONALD: -- and/or have available  
18 for their own computers.

19          MR. BLUMENTHAL: A lot of that  
20 information is already submitted in almost immediately  
21 to IMS right now, is it not?

22 MR. MACDONALD: That's correct.

23 MR. BLUMENTHAL: Thank you very much.

24 MR. MACDONALD: All right. Thank you.

25 MR. BLUMENTHAL: I'm sorry.

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1 MR. MACDONALD: Sure.

2 COMMISSIONER FLEMING: It is an old  
3 legislative habit. I would like to ask you a few  
4 questions in the hearing.

5 MR. MACDONALD: Yes.

6 COMMISSIONER FLEMING: The method by  
7 which Nevada pays for this, when this program was set  
8 up and you started in '95, it's been running since '97,  
9 how does the state pay for that? What does it cost  
10 you?

11 MR. MACDONALD: The first year we  
12 obtained grants and our legislation authorized that,  
13 the obtaining of grants and gifts, and we solicited two  
14 drug firms, and they were kind enough to provide us  
15 with substantial grants, and the State Board of Medical  
16 Examiners provided us with a sizable grant to start the  
17 program. We also raised in Nevada, we licensed the  
18 practitioners who have controlled substance authority  
19 with the controlled substance registration. That fee  
20 was \$30 a buy number, which we equate to \$10 a year.  
21 It helped run the program. Approximately now the

22 program runs \$120,000 a year to operate. That's one  
23 and three-quarters person. Those persons also do some  
24 other things, but primarily they do the work of the  
25 task force.

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1           COMMISSIONER FLEMING: So it's self-  
2 funded. The statistics that you've submitted between  
3 '97 and 2000, I don't need to know this now, but when  
4 you go back to Nevada, if you could tell me out of the  
5 types that you profile and found possible over-  
6 utilization, can you break that out by type of drug,  
7 say your top ten?

8           MR. MACDONALD: Yes. I have that  
9 information statistically in my briefcase. I would be  
10 happy to provide it to you. The top drug is  
11 hydrocodone; the second drug is Alprazolam. OxyContin  
12 is the fourth or fifth drug. The only other drug in  
13 Schedule II in the top ten is Methylphenidate. So  
14 there are only two Schedule II drugs in the top ten.  
15 The majority are the "pam" family, or diazepam.

16           COMMISSIONER FLEMING: The pharmacists  
17 that worked in my agency, they thought that would be  
18 the pattern, but if you have got some statistics on  
19 that, that would be very helpful.

20           One other stat, it's probably not under  
21 your jurisdiction, but we've had testimony here this

22 morning from our Department of Social Services about  
23 the amount of money that the state spends using state  
24 dollars and federal dollars to pay for these types of  
25 drugs. If you have any statistics from your, whatever

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1 you call your Department of Social Services in Nevada  
2 that would show the costs before and after this program  
3 was implemented, that would be very helpful to us as  
4 well when we go before the legislature and they ask us  
5 questions about it may cost a little more to set  
6 something up and there may be a large savings down the  
7 road as well. That would be helpful if you have it  
8 now.

9           MR. MACDONALD: I am embarrassed to say  
10 it, but I like honesty. Nevada is the last state in  
11 the United States to obtain medical management  
12 information system in the Medicaid program. They don't  
13 have it operational yet. They are the 50th state, and  
14 it's not operational, so they have collected no data  
15 regarding the reduction of cost to Medicaid patients.  
16 Any other practices have not collected it either, to my  
17 knowledge, so we don't have a cost reduction knowledge  
18 that is accurate.

19           COMMISSIONER FLEMING: If you would like  
20 some help setting that up, you can talk to our people  
21 here.

22 MR. MACDONALD: Send some money.

23 COMMISSIONER FLEMING: Thank you very

24 much.

25 MR. BLUMENTHAL: Thank you very much.

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1 MR. MACDONALD: All right.

2 MR. BLUMENTHAL: Dr. David Haddox.

3 DR. HADDOX: Thank you very much for the  
4 opportunity to appear. For the record, I'm Dr. J.  
5 David Haddox, Senior Medical Director for Health Policy  
6 at Purdue Pharma, LP, in Stamford. I am past president  
7 of the American Academy of Pain Medicine. In my  
8 capacity as president of the American Academy of Pain  
9 Medicine before I worked for Purdue Pharma, I worked in  
10 the pain management that Dr. Portenoy referred to in  
11 his testimony, to study the interface of pain and  
12 addiction, to provide better education, clear  
13 definitions for physicians in both the field of  
14 addiction and pain. Incidentally, that initial meeting  
15 was funded by a grant from Purdue.

16 I'm a physician, I'm board certified in  
17 pain medicine, general psychiatry, addiction  
18 psychiatry; I also have completed a residency in  
19 anesthesiology, and I have a dental degree. My  
20 complete CV is attached to my testimony.

21 With me today is Howard R. Udell,

22 executive vice president and general counsel of Purdue

23 Pharma. I have provided additional information about

24 Purdue Pharma in my file testimony.

25 For now let me state Purdue Pharma was

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1 founded by and is managed by physicians. We are a  
2 research-oriented pharmaceutical company which seeks to  
3 provide a benefit to patients through new and improved  
4 treatments for diseases and for people in pain.

5           Purdue Pharma is a Connecticut company.  
6 We moved our headquarters from Yonkers, New York, in  
7 1973, bringing 150 jobs to Norwalk. For 27 years we  
8 expanded in Norwalk, and when we outgrew our facility  
9 there, we stayed in Connecticut and established new  
10 headquarters in Stamford. Since moving to Connecticut,  
11 we have added 817 jobs to the state's economy. We now  
12 employ 967 people in Connecticut, a total of 3,071  
13 nationwide. Purdue Pharma has been a success story and  
14 at the very core a Connecticut success story. We  
15 obviously had a special interest in being here.

16           The availability of OxyContin is  
17 critical for millions of patients who are suffering  
18 from moderate to severe pain where a continuous around-  
19 the-clock analgesic is needed for an extended period of  
20 time. Unfortunately for those people, many of whom I  
21 have personally treated, concern generated by the

22 criminal use of OxyContin has significantly increased  
23 in some locations, with the result that some patients  
24 are asking for their doctors to switch them to less  
25 effective medications, and some pharmacies are no

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1 longer willing to carry OxyContin. Purdue Pharma  
2 receives alarming reports like this every day.  
3           At the same time, naive teenagers and  
4 others are abusing OxyContin and other prescription  
5 drugs. For some, the consequences are tragic. They do  
6 not understand that the abuse of prescription  
7 medication can be as lethal as the abuse of illicit  
8 drugs. Abuse of prescription medication is a public  
9 health problem for this country that we must all join  
10 together to address. This hearing is therefore both  
11 important and timely.

12           I appear today because we want to  
13 continue our collaborative relationship with you, Mr.  
14 Attorney General, to stop the abuse and diversion of  
15 our product by criminals and, as you do, we also want  
16 to make sure it remains available to meet the needs of  
17 persons in pain.

18           I also have a deep and personal reason  
19 for appearing here today. Before joining Purdue  
20 Pharma, I practiced and taught medicine for 20 years.  
21 For 11 of those years I specialized in the relatively

22 new field of pain medicine. When I attended medical  
23 school, I received less classroom instruction in the  
24 assessment and management of pain than it takes me to  
25 drive from Stamford to Hartford and back, even on a

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1 good traffic day. In this regard, however, my medical  
2 school is not unique. We were no different than other  
3 medical schools even today. It is fair to say most  
4 doctors are trained to treat a patient's disease, not  
5 to treat their pain.

6           I grew up among on the mining  
7 communities of West Virginia. I did not have to go to  
8 medical school to learn about pain. I've seen the  
9 effects of pain on injured miners and their families  
10 since I was a young boy. Because I spent a lifetime  
11 seeing and treating pain, I welcome the chance to  
12 address the critical comment of use and abuse.

13           While all the voices in this debate are  
14 important, we must be especially careful to listen to  
15 patients who, without medicines like OxyContin, would  
16 be left in pain. We urge you to talk directly to some  
17 of those patients. They are not addicts. They are not  
18 criminals. They are people who, because of cancer,  
19 sickle cell anemia, nerve injuries, low back pain, or  
20 some other physical insult or disease that had the  
21 quality of their lives taken away from them by

22 unrelieved pain.

23 Today's testimony bears on a significant

24 question of health policy: How to address the problems

25 of abuse and diversion which accompany the sale of a

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1 controlled drug like OxyContin without restricting its  
2 availability to meet the needs of doctors and patients  
3 to the effective management of pain. It's a question  
4 of balance.

5           This question, however, is neither new  
6 nor unique to OxyContin, as you heard. It has existed  
7 as long as controlled substances have been available.  
8 It is a critical question. We are confident that  
9 Purdue has devoted more resources and efforts than has  
10 any pharmaceutical company to seeking the answers.

11           Amidst all the publicity and controversy  
12 you heard this morning, a few facts stand out. First,  
13 the problem of chronic pain in this country is enormous  
14 and expensive. According to organizations like the  
15 American Pain Foundation, an estimated 50 million  
16 Americans suffer from chronic pain, with a cost  
17 approximating \$100 billion a year in lost work days,  
18 excessive or unnecessary hospitalizations, unnecessary  
19 surgical procedures, and inappropriate medications and  
20 the patient-incurred expenses of self-treatment.

21           But even that staggering number fails to

22 capture the essence of chronic pain in America.

23 Moderate to severe pain cannot be adequately expressed

24 merely by numbers. It is individual and it is

25 personal. It is intense. It is debilitating. It

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1 destroys the capacity to perform life's simplest  
2 functions, and could even destroy the will to live. I  
3 have certainly seen this in my practice. Anyone who  
4 has cared for a loved one in pain knows more about the  
5 impact of pain than I could ever hope to describe. For  
6 those fortunate not to have experienced significant  
7 pain for themselves or to have cared for someone, let  
8 me ask you to imagine a life where you can't get out of  
9 bed, you can't go to work, you can't take a walk, you  
10 can't hug your child, you can't hug your spouse, or  
11 even kneel in prayer. That can be and often is the  
12 life of a patient with chronic pain.

13           Second, chronic pain has been  
14 historically undertreated. Only in the past decade has  
15 public and medical opinion swung decisively in the  
16 other direction, based on the proven effectiveness of  
17 individualized therapy, including opioids, in treating  
18 pain and the startling improvement in quality of life  
19 such therapy offers to patients.

20           The United States Drug Enforcement  
21 Administration itself acknowledges that for many

22 patients opioid analgesics are the most appropriate way  
23 to treat pain.

24           Third, OxyContin is widely recognized as  
25 a highly effective treatment for pain. When used under

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1 the supervision of a physician, it's also an extremely  
2 safe medication. Its 12-hour controlled release  
3 mechanism affords an extended dose of pain medication,  
4 allowing patients to sleep through the night or to go  
5 to work, and avoiding wide fluctuations or changes in  
6 blood levels which can cause side effects. Many  
7 patients have told their doctors, have told our  
8 company, and have told me personally that OxyContin  
9 gave them their life back. I can't tell you the number  
10 of times in my practice I heard that almost exact  
11 phrase from patients.

12           Purdue shares all of your commitments,  
13 Mr. Attorney General and commissioners, to fighting  
14 abuse and diversion of controlled medicines. Abuse and  
15 diversion harms patients with pain, it harms the  
16 abusers, and it harms the cause of pain sufferers and  
17 those who treat them, and it harms Purdue and its  
18 products. Importantly, abuse and diversion threatens  
19 sound health policy, whose course should be driven by  
20 the health needs of millions of patients, not by the  
21 actions of relatively few criminal diverters.

22 Purdue has taken a leadership role and  
23 has provided and continues to provide assistance to law  
24 enforcement communities in preventing the illegal  
25 importation of OxyContin from Mexico and Canada.

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1 Purdue has also changed the tablet markings on  
2 OxyContin exported for sale to Mexico and Canada to  
3 assist law enforcement in determining country of origin  
4 in drug seizures to frustrate smugglers and make it  
5 easier for law enforcement to identify illegally  
6 imported medications.

7           To more than 7,000 officers nationwide,  
8 we have provided placebos to law enforcement for use in  
9 reverse sting or controlled buy undercover operations,  
10 we voluntarily worked with the FDA to change the  
11 warnings in our package inserts, and we're educating  
12 youngsters about the dangers of prescription drug  
13 abuse, a program that is not replicated anywhere in the  
14 United States presently, even including the Bayer  
15 curriculum.

16           In our view, prescription monitoring  
17 programs, or PMP's, can be a good tool. The PMP's in  
18 Kentucky, the one you heard about in Nevada can serve  
19 as very useful models. PMP's can reduce doctor  
20 shopping and diversion from good medical practices to  
21 identify persons who are receiving controlled

22 substances from other doctors. Purdue supports the  
23 adoption by all states of well designed PMP's and urges  
24 that care be taken to provide uniform standards for  
25 collection, storage, and retrieval of data.

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1 Purdue has also worked with Congress to  
2 develop legislation to provide states with incentives  
3 to adopt PMP's that meet minimum federal requirements.

4 We are here today to support your  
5 efforts in Connecticut to develop your own PMP. We are  
6 eager to work with groups represented here to design  
7 and support such legislation. In addition, we are  
8 prepared to utilize our resources to explain the  
9 benefits of an appropriately designed system to  
10 physicians and other members of the health care  
11 community to gain support for such legislation.

12 We have also seen a draft proposal made  
13 by the Department of Consumer Protection. The draft in  
14 our view contains many of what we consider to be the  
15 most important attributes of the ideal prescription  
16 monitoring program, and laudable attributes of an ideal  
17 prescription monitoring program in that this maximizes  
18 the benefit to the public health; it provides useful  
19 information to clinical management to authorized health  
20 care professionals; it assists in the detection of  
21 prescription fraud and doctor shopping; it allows for

22 case management interventions, based on pharmaceutical

23 usage and, while fulfilling these objectives, will

24 protect patient privacy and create little or no

25 intrusion burden into or additional burden on the

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1 practices of prescribers and dispensers.

2           Our largest area of concern, however, is  
3 that any PMP be designed primarily to benefit  
4 physicians and pharmacists in patient medical care.  
5 Purdue Pharma hopes to be able to work with your  
6 office, Mr. Attorney General, with the commissioners  
7 and their staffs, with Connecticut's law enforcement  
8 authorities to draft additional bill provisions to  
9 protect patient needs, to prevent abuse, and to save  
10 the state valuable health care dollars lost to fraud.  
11 Ultimately solving the problem of prescription drug  
12 abuse requires the cooperation of many elements in our  
13 communities, law enforcement, schools, religious  
14 leaders, parents, family, the courts, the medical  
15 community, the press, and federal and state  
16 legislatures, government agencies, social service  
17 providers, and the pharmaceutical industry. Purdue is  
18 helping through our specific programs and our  
19 cooperation with the other elements in the community.

20           As noted, we think appropriately  
21 designed PMP's would also be a step in the right

22 direction. What is needed, above, beyond, and in  
23 conjunction with all of these measures is cooperation  
24 and common purpose among all parties essential to  
25 solving the problem of prescription drug abuse. This

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1 is a long-standing societal problem that requires a  
2 reasoned solution. We are committed to partnering with  
3 all involved.

4           Management of pain is critical in this  
5 country. OxyContin has proved itself an effective  
6 weapon in the fight against pain, returning many  
7 patients to their families, to their work, and to their  
8 enjoyment of life. This advance should not be stunted  
9 or reversed because of the illegal activities of a  
10 small percentage of people who divert and abuse the  
11 drug. The answer to the problem of prescription drug  
12 abuse lies in education, information, enforcement, and  
13 cooperation, not restrictions that would deny patients  
14 effective treatment or therapy.

15           Thank you very much.

16           MR. BLUMENTHAL: Thank you. And I might  
17 just say we welcome your cooperation being here today,  
18 and I know my office and I have met with  
19 representatives of Purdue Pharma on a number of  
20 occasions, three or more, as have my colleagues around  
21 the country from other states in working with them, as

22 you know, in a multi-state task force, and we had an  
23 opportunity as recently as last Thursday to talk about  
24 this problem and some of the steps that Purdue Pharma  
25 has taken and hopefully will take.

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1 I noted in your description of those  
2 steps, unless I missed it, you didn't mention the  
3 changes in marketing, promotion, advertising. I wonder  
4 if you could discuss some of those and maybe some of  
5 the others that you have planned.

6 DR. HADDOX: Well, one thing that we're  
7 doing as far as educational activities is we are  
8 spending a great deal of time now, in cooperation with  
9 law enforcement, educating physicians and pharmacists,  
10 nurses on how to spot drug abuse, because that's an  
11 area that is typically poorly taught in medical school  
12 as well and also how to do things to prevent diversion,  
13 because a lot of physicians don't realize they are  
14 being taken by the criminal element from time to time.

15 We are also developing an entire line of  
16 abuse-resistant analgesic medications. This is a very  
17 significant technical challenge, as you are aware from  
18 your meetings with us; but we do believe we can  
19 overcome some of these challenges. In fact, we have  
20 been working on this since before OxyContin was  
21 marketed. The technical obstacles are substantial, but

22 we do think we can bring gradually to the market a line

23 of drugs that will be less desirable to abusers and yet

24 fully effective for patients with legitimate need.

25 MR. BLUMENTHAL: I wonder, without

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1 asking you to divulge any confidential information,  
2 whether you could elaborate a little bit more on what  
3 the timing may be on some of those disabling resistant  
4 kind of drugs using alexon or naloxone, which I  
5 understand actually have been reported publicly, so I  
6 don't know that it's even confidential.

7 DR. HADDOX: That's correct. Yes. One  
8 of the theories involves different ways of compounding  
9 narcotic antagonists, the drugs that will neutralize or  
10 reverse the effect of the medication in a way such that  
11 the patient will get the full effect of the medication  
12 but that an abuser would neutralize that and not get  
13 any effect of it; therefore, it would not be desirable  
14 to them. We hope to be submitting clinical trials  
15 evidence on the first of those compounds to the FDA  
16 next year.

17 MR. BLUMENTHAL: Thank you. Thank you  
18 very much.

19 DR. HADDOX: Thank you, sir.

20 MR. BLUMENTHAL: We hope to continue to  
21 be in consultation with you in the future, and thank

22 you and Mr. Udell for being here.

23 DR. HADDOX: I will look forward to it.

24 Thank you.

25 MR. BLUMENTHAL: Karen Weingrod and Dr.

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1 James Duffy.

2 MS. WEINGROD: Good morning, and thank  
3 you for the opportunity to speak today. My name is  
4 Karen Weingrod. I'm director of the Connecticut  
5 Coalition to Improve End-of-Life Care, a statewide  
6 organization comprised of providers, government and  
7 community agencies, and individuals committed to  
8 improving end-of-life care across the continuum of  
9 health care services.

10 My testimony today does not reflect the  
11 coalition's official position as our bylaws and  
12 operating procedures prohibit lobbying and advocacy and  
13 policy provisions. However, based on my experience  
14 with end-of-life care issues, I would like to make  
15 several comments regarding the critical importance of  
16 treatment of pain for dying patients.

17 Pain control is the integral component  
18 of passionate quality end-of-life care. A study by the  
19 American Health Decisions, a national coalition of  
20 citizen groups concerned about ethical issues and  
21 health care, many Americans are more fearful of how

22 they will die rather than of death itself. They want  
23 their pain to be managed, regardless of the possible  
24 risks of addiction or hastening death, and they prefer  
25 to die as naturally as possible in familiar

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1 surroundings with loved ones.

2           Pain management is one of the most  
3 important things the health care system can do for  
4 those who are terminally ill. As one man in Idaho  
5 stated, "I think that pain is one of the worst things.  
6 A person needs whatever is available to stop the pain.  
7 You have got to help them stop the pain."

8           One of the greatest fears voiced by  
9 participants in these focus groups is that they or  
10 their loved ones will die in extreme pain. This  
11 tremendous concern was also evident in focus groups  
12 convened over the past two years across the state of  
13 Connecticut to discuss end-of-life issues. Recognizing  
14 that we must work to end the abuse of narcotic  
15 analgesics by individuals misusing these medications,  
16 it is essential to appropriate treatment for pain.

17           Consideration of the following two  
18 points is key to the delicate balancing approach:  
19 Patients must be able to freely express their degree of  
20 pain with the expectation that it will be treated  
21 appropriately and in confidence and that there will be

22 no stigma attached to their need for and receipt of a

23 narcotic pain medication prescribed for their

24 condition.

25           Recent studies indicate that under-

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1 treatment of pain is a serious problem in the United  
2 States, even for critically or terminally ill patients,  
3 given the insurance companies' insurance practices.  
4 Narcotic abuse should not further restrict access to  
5 care by imposing an unintended chilling effect on level  
6 of treatment necessary to effectively manage severe  
7 pain.

8 Thank you for the opportunity to address  
9 these issues.

10 We must pursue a balanced approach that  
11 focuses on protecting and serving patients in need of  
12 pain control medication with safeguards to prevent  
13 abuse. It would be an immeasurable disservice to enact  
14 policies that compromise patient care at the end of  
15 life.

16 MR. BLUMENTHAL: Thank you.

17 DR. DUFFY: Good morning, Chairman  
18 Blumenthal, members of the committee. My name is James  
19 Duffy. I'm an associate professor of psychiatry at the  
20 University of Connecticut. I'm board certified in  
21 psychiatry, palliative care and hospice medicine. I am

22 also the chairman of the steering committee of the  
23 Connecticut Coalition to Improve End-of-Life Care, and  
24 I thank you for this opportunity.  
25           My comments on the issue of the use and

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1 abuse of prescription medications, particularly opiate  
2 medications, are as follows:

3           First, and most importantly, we must  
4 distinguish between the goal of assuring effective pain  
5 treatment versus the goal of enforcing the law.  
6 Effective, compassionate pain relief of those of us who  
7 are suffering should not be contaminated with the goal  
8 of apprehending criminals.

9           Opiate medications are an effective,  
10 vital, and irreplaceable part of our therapeutic  
11 armamentarium. Furthermore, recent advances in  
12 medications such as OxyContin offer significant  
13 clinical advantages that enable us to more effectively  
14 manage the pain of our patients. The fact that some  
15 individuals choose to abuse these drugs should not  
16 impinge upon what I believe are the civil rights, the  
17 civil rights of law abiding citizens, to receive the  
18 most effective pain relief available to them. Indeed,  
19 as you will hear shortly, an objective review of the  
20 research indicates that the problem is not that opiates  
21 are being abused, rather, they're being underprescribed

22 by people like us to those who need them.

23           So I believe the goal of any legislation

24 addressing issues of prescription drug use and abuse

25 should first focus on assuring that Connecticut

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1 residents receive optimum pain relief. In this regard,  
2 I believe modern medicine has been remarkably effective  
3 with these pain treatments; however, the American and  
4 Connecticut public continues to experience unnecessary  
5 pain.

6           To this end, I would like to share with  
7 you some of the results of the recent study funded by  
8 the Hartford-based Donaghue Foundation and carried out  
9 by the American Society of Law, Medicine and Ethics  
10 published in December of 2000. The findings of the  
11 study are disturbing and are an indictment of our  
12 health care community. These findings include, first:

13           Less than one-third of the patients in  
14 the state with chronic pain consider their pain to be  
15 under adequate control.

16           Despite the fact that 70 percent of  
17 patients with chronic pain described their physicians  
18 as understanding and supportive, only 22 percent of  
19 these patients said their physicians had actually  
20 helped them a lot or controlled the pain.

21           It's disturbing that 43 percent of

22 cancer patients continue to experience significant pain

23 relief and only 22 percent of patients with RSD

24 experienced significant pain relief.

25           It's also concerning that patients who

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1 are referred by their physicians to experts in pain  
2 control in the state typically receive no additional  
3 extra relief from their symptoms.

4           The study also reports that physicians  
5 and nurses attending our two medical schools are  
6 currently receiving inadequate training in pain  
7 management.

8           Connecticut physicians, the study  
9 reports, are ignorant about the federal and state laws  
10 concerning these drugs and are therefore paranoid and  
11 concerned about being prosecuted. It seems to me that  
12 we have another crisis of not only OxyContin and  
13 prescription, but also a crisis in health care.

14           The Connecticut Coalition to Improve  
15 End-of-Life Care, Robert Wood Johnson, has recently  
16 completed a number of focus groups trying to understand  
17 what Connecticut residents experience and feel about  
18 end-of-life care in our state. As always, they provide  
19 us with wisdom and insight as to what actually is going  
20 on. Some of the findings of these focus groups are as  
21 follows:

22 Connecticut health care providers,  
23 according to our focus groups, lack sufficient  
24 knowledge of pain and palliative care.  
25 Connecticut health care providers,

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1 according to their patients, are often ignorant about  
2 the resources available to them and their patients who  
3 are experiencing terminal painful illness.

4           Connecticut families and patients do not  
5 feel educated about their options when it comes to pain  
6 and symptom control, and they feel that their health  
7 care institutions have not developed effective  
8 mechanisms for treating pain in end-of-life issues.

9           Finally, they all feel that the  
10 reimbursement through the experience of palliative care  
11 and pain control by third-party payers, excluding  
12 Medicare, is totally inadequate.

13           So based on these research findings, it  
14 must be concluded that we as a society are failing to  
15 respond to the needs of our fellow citizens when it  
16 comes to their pain and their symptoms at the end of  
17 their lives.

18           I do believe, however, something can be  
19 done to improve this state of affairs, and I would  
20 suggest consideration of the following measures:

21           I think first we need to educate

22 physicians and health care providers on pain management  
23 and also on palliative care issues. Pain management  
24 should be a core skill set of all physicians who care  
25 for patients, not just experts. Despite the fact that

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1 these skills are quite simple to acquire, physicians  
2 continue to display ignorance in this area. So in this  
3 regard, I must begrudgingly support a mandatory  
4 educational requirement in pain management as part of  
5 physician licensing. This training should include not  
6 just medical, but also legal aspects of pain  
7 management. I believe these educational initiatives  
8 should be funded by the state and funded by cooperation  
9 between the state medical society, our two medical  
10 schools, and our Connecticut Department of Public  
11 Health.

12           I would suggest that we need to educate  
13 our public about their options and rights when it comes  
14 to pain management and end-of-life care. All of our  
15 residents should be informed that they have a right to  
16 expect that their pain be managed at the end of their  
17 life. This should be, a great belief, a matter of  
18 civil rights and not just health care.

19           I would urge you to consider acquiring  
20 health care reimbursement for palliative care of all  
21 third-party payers, which is not currently the case in

22 this state. I would suggest instituting laws,  
23 regulations, or guidelines that protect physicians from  
24 sanctions for providing what is actually an adequate  
25 pain relief in certain groups of patients.

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1           Finally, we need to assure that patients  
2 with pain continue to have access to the most effective  
3 analgesics available today. In this regard, OxyContin  
4 should not be singled out for particular mandatory  
5 regulatory restriction. As we have heard, this is  
6 actually just one of many drugs that have the capacity  
7 to be abused. OxyContin is an effective medication.  
8 It has brought relief to so many patients suffering  
9 from severe pain.

10           Finally, just the thought that although  
11 in recent years we have witnessed a remarkable, almost  
12 tidal wave of technological advances in medicine, it is  
13 regrettable that we have managed to transplant the  
14 human heart, but we cannot respond compassionately to  
15 the suffering of those not in need of one. Thank you.

16           COMMISSIONER FLEMING: Thank you very  
17 much. Any questions?

18           MS. DENNE: No.

19           COMMISSIONER FLEMING: Thank you. Mark  
20 Cooney and John Parisi.

21           MR. COONEY: Good morning. I'm here to

22 talk a little bit about --

23           COMMISSIONER FLEMING: Just for the

24 record, state your name.

25           MR. COONEY: My name is Mark Cooney. I

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1 represent the Chain Association, it is a Connecticut  
2 association of drugstore chains. I'm here to talk a  
3 little bit about the monitoring of the drug  
4 substances.

5           Currently we have stores that we  
6 represent in New York and Massachusetts for our  
7 company, and they currently are monitoring  
8 prescriptions that are Schedule II, and the idea of  
9 monitoring in all the classes to us just seems to be a  
10 large amount of information, and these classes were  
11 designed based off the addiction rates, and having the  
12 Schedule II's monitored seems to be the best route to  
13 go to try to look more along the classes for addiction  
14 rates.

15           One thing we try to do is, as a company  
16 and as an association, is to decrease the workloads for  
17 our pharmacists. In today's day and age, to try to  
18 decrease prescription errors and the amount of work  
19 pharmacists have to do, concentrate on filling  
20 prescriptions correctly and getting information to the  
21 customers, the counseling to our patients, is becoming

22 our major focus, and creating any more work to our  
23 pharmacists in these situations just seems to be going  
24 the opposite direction of what we're looking for.

25           We are in favor, obviously, of

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1 controlling prescriptions that have a high potential  
2 for abuse and everything else. One thing we've looked  
3 at in the other two states is the amount of  
4 prescriptions that are sent in for the amount of  
5 controls --

6 MR. BLUMENTHAL: The other two states  
7 being?

8 MR. COONEY: New York and Massachusetts.

9 MR. BLUMENTHAL: I'm sorry?

10 MR. COONEY: New York and Massachusetts.

11 The information is sent in to these  
12 states, and once it does, a fair amount of it is coming  
13 back rejected for missing parts of information from the  
14 prescription. At that point, this information has to  
15 be taken back down, broken up, given back to the  
16 stores, pharmacists have to go back through, research  
17 the information, add in whatever information is  
18 missing, that has to be sent back in to the corporate  
19 level, and that information is again sent back to the  
20 states. So, in other words, we're creating more work,  
21 you know, at the store levels, you know, for our

22 pharmacy, and we're trying to go the other way. We're  
23 trying to decrease the workloads of our pharmacists.

24           MR. BLUMENTHAL: Run through that  
25 again. What happens?

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1           MR. COONEY: What happens is when  
2 prescriptions are filled, the information is  
3 electronically sent to these states once a month, and  
4 that information is processed by the states for  
5 monitoring controls, any prescriptions filled in the  
6 Schedule II classification. Of those prescriptions,  
7 there are a large amount that are being rejected for  
8 either missing information, bad data, something along  
9 that line, and what they're doing at that point is  
10 sending it back to the companies, they are breaking  
11 down that information, taking that information, sending  
12 it to the store level, and they have to again research  
13 what's missing, whether it's a serial number, whatever  
14 it may be, reprocess the information, and send it back.

15           We are, of course, in favor of  
16 monitoring Schedule II prescriptions. Again, those  
17 were designed for the degree of abuse. Now, spreading  
18 that out to drugs that are in classes, you know, III's,  
19 IV's, and V's just seems to be a lot of excess  
20 information. If there are prescriptions that are in  
21 those classes that are, you know, being abused, maybe

22 we should look at those medications and move those to a

23 Schedule II classification.

24 MR. BLUMENTHAL: Let me just ask you,

25 you're with Stop & Shop?

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1 MR. COONEY: Yes.

2 MR. BLUMENTHAL: And where are you  
3 based?

4 MR. COONEY: I cover -- I'm the regional  
5 pharmacy manager. I cover our stores in Connecticut  
6 and New York.

7 MR. BLUMENTHAL: You don't cover  
8 Massachusetts?

9 MR. COONEY: I have in the past. But I  
10 am licensed in both Massachusetts and New York.

11 MR. BLUMENTHAL: And don't you now keep  
12 and compile information about prescriptions that are  
13 filled?

14 MR. COONEY: Yes.

15 MR. BLUMENTHAL: And don't you in fact  
16 transmit that information to IMS?

17 MR. COONEY: Yes. For Schedule II's in  
18 those two states.

19 MR. BLUMENTHAL: All right. And when  
20 IMS gets that information, does it send it back to you  
21 and create all the work that you referred to?

22 MR. COONEY: Right.

23 MR. BLUMENTHAL: IMS does?

24 MR. COONEY: Yes.

25 MR. BLUMENTHAL: But you do that

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1 voluntarily?

2 MR. COONEY: It is a requirement in  
3 those two states.

4 MR. BLUMENTHAL: Sorry?

5 MR. COONEY: It is a requirement in  
6 those states.

7 MR. BLUMENTHAL: I'm talking about IMS,  
8 marketing services.

9 MR. COONEY: Yes.

10 MR. BLUMENTHAL: They don't send it back  
11 to you and say, "Give us all this additional  
12 information," do they, or do they?

13 MR. COONEY: That I don't know.

14 MR. BLUMENTHAL: But if this information  
15 were compiled correctly and completely in the first  
16 instance, it won't be sent back to you?

17 MR. COONEY: Correct.

18 MR. BLUMENTHAL: Mr. Parisi.

19 MR. PARISI: My name is John Parisi.  
20 I'm a pharmacist and owner of Ivery & Dudley Pharmacy  
21 and Health Education Center in Winsted. I am also

22 president of the Connecticut Pharmacists Association.

23 My desire today is to bring you the point of view of

24 the health professional that patients see most

25 frequently and the professional with five to six years

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1 of drug therapy education: a pharmacist. The  
2 pharmacist is also the gatekeeper of all prescription  
3 medications, and this point is one of significance.

4           The pharmacist shares the concerns of  
5 law enforcement regarding using the prescription  
6 medication. Our main goal is to make certain that  
7 every patient gets the proper medication for his or her  
8 medical condition and takes his or her medications  
9 properly. If any drug gets into the wrong hands or is  
10 abused, this is abuse. Every day, pharmacists across  
11 the state monitor thousands of prescriptions for proper  
12 drug, proper dosage, side effect profiles, and, most  
13 importantly, communicate with the patient about any  
14 concerns that they have about their drug therapy. Part  
15 of this monitoring is being sure the patients are not  
16 overusing or abusing medications. Routinely  
17 pharmacists call other pharmacists about possible  
18 pharmacy shopping by patients. Routinely pharmacists  
19 refuse to fill prescriptions from patients who are  
20 either unfamiliar to them or in situations where the  
21 prescription itself cannot be verified.

22           OxyContin is the new darling of the  
23 press, spotlighted in every Sunday edition early in  
24 2001. Actually, you may notice that this has already  
25 been relegated to the back page. The question we are

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1 facing is should we add another layer of regulation on  
2 top of what is already in place just because of press  
3 coverage? Is OxyContin being abused more than any  
4 other controlled substance or is it in vogue due to the  
5 publicity? Is OxyContin being abused more than other  
6 forms of oxycodone, the active ingredient in  
7 OxyContin?

8           As a community pharmacist involved with  
9 home-based hospice programs in our area, we dispense  
10 many different medications to patients faced with  
11 terminal illnesses. Pain medications are just part of  
12 hospice care, but a key part. One of the first things  
13 we tell our hospice patients is that we tell them we  
14 should be able to keep them pain free, carrying on  
15 normal life. This knowledge allows the patient to move  
16 on to other important areas of life without the fear of  
17 writhing in pain or being heavily sedated during their  
18 final days. A misconception is that hospice is only  
19 provided in hospitals. Actually, the majority of  
20 hospice patients are cared for in their homes with the  
21 support of hospice teams made up of nurses, physicians,

22 pharmacists, clergy, and others.

23           In our pharmacy practice, pain

24 management is one of our specialties. We need all pain

25 medications available to our patients when they need

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1 them. This means if a physician needs to order a  
2 medication at 4:00 a.m., it happens many times, that  
3 medication must be available at 4:00 a.m., not five or  
4 six hours later. Any restrictions on where the  
5 medications can be obtained are unacceptable. Every  
6 licensed pharmacy must be able to dispense every  
7 medication that is needed by the public. Safeguards  
8 are already in place.

9           I did an analysis of all OxyContin  
10 prescriptions dispensed in my pharmacy in 2001 and have  
11 brought many interesting things into focus.  
12 Oncologists do not write most pain prescriptions  
13 because the most common form of chronic pain seems to  
14 be back pain. Limiting prescribing of OxyContin to  
15 oncologists would be a detriment to the majority of  
16 pain sufferers.

17           OxyContin prescriptions were a small  
18 percentage of all oxycodone prescriptions written.  
19 Actually about 6 percent were OxyContin 80-milligram.  
20 The majority of oxycodone prescriptions were for  
21 oxycodone and acetaminophen combinations (Percocet,

22 Tylox) or aspirin combinations such as Percodan. When  
23 looking at abuse, do not assume all oxycodone  
24 prescriptions are OxyContin. These other products have  
25 been on the market since the early 1960s. The cost of

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1 OxyContin, however, was the highest of all oxycodone  
2 formulations. If the cost of OxyContin to the state of  
3 Connecticut is the issue, this should be addressed  
4 separately.

5           The Drug Control Division is  
6 recommending electronic monitoring of controlled  
7 substance prescriptions. The Connecticut Pharmacists  
8 Association is in support of this regulation as long as  
9 the individual pharmacies do not bear any additional  
10 cost. Computer programming is expensive and a  
11 pharmacy's margin is very small. There is no way that  
12 the increased cost, including even a 4 1/2 cent  
13 transmission fee, can be passed on, as pharmacies have  
14 no control over drug retail prices in up to 90 percent  
15 of the prescriptions dispensed.

16           Getting the right drug to the patient  
17 when the patient needs the drug is the foundation  
18 therapy is built upon. When a loved one is in pain,  
19 making a caregiver feel like a criminal to obtain the  
20 pain relieving medication is in itself a crime.  
21 Pharmacy has led the battle to get proper pain

22 medication prescribed to patients so that issues such  
23 as assisted suicide do not have to be discussed.  
24 Finally, physicians are more likely to order adequate  
25 doses of pain medications so patients can have quality

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1 of life in their final months. Any talk about  
2 increased surveillance, increased paperwork, or  
3 increased certification will only decrease physicians'  
4 desire to prescribe proper dosages of pain medications  
5 to the terminally ill.

6 MR. BLUMENTHAL: Thank you, Mr. Parisi.

7 MR. PARISI: You're welcome.

8 MR. BLUMENTHAL: And thank you for being  
9 here today.

10 MR. PARISI: Thank you.

11 MR. BLUMENTHAL: Both of you, Mr. -- I  
12 understand if your main concern, if I may put it most  
13 simply, is that there shouldn't be a cost to the  
14 pharmacy of this monitoring program?

15 MR. PARISI: That is our main concern of  
16 the management program. I don't think we have any  
17 trouble. That certainly can be done, and we support  
18 anything that cuts down on abuse of medication. We  
19 have no trouble with that.

20 MR. BLUMENTHAL: So long as there were  
21 no restriction on the number or kind of pharmacies,

22 which is not part of this proposal, I want to assure

23 you, you wouldn't have any objection to it?

24 MR. PARISI: No. We certainly want to

25 see free access. We feel that's really important to

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1 the patient.

2 MR. BLUMENTHAL: Thank you very much.

3 Thank you.

4 Sherry Green of the National Alliance

5 for Model State Drug Laws is not going to be with us as

6 we announced earlier. So I'm going to ask Pat Good to

7 please join us now.

8 MS. GOOD: Good afternoon.

9 MR. BLUMENTHAL: Thank you for being so

10 patient.

11 MS. GOOD: Sure. No problem. My name

12 is Pat Good, and I'm with the Drug Enforcement

13 Administration. I'm in the Office of Diversion

14 Control. That's an area that specializes in illicit

15 drug and chemical activities, and I'm the chief of the

16 liaison and policy section.

17 In that section, we have had a lot of

18 involvement with prescription monitoring issues over

19 the last 30 years, and we are sort of a federal

20 ombudsman for the programs. I appreciate the

21 opportunity to speak to you today regarding the abuse

22 of controlled substances and the role that electronic  
23 prescription monitoring programs can play in dealing  
24 with this problem. Certainly the recent problems with  
25 OxyContin have focused more attention on this issue,

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1 but as we have heard many others say, this is obviously  
2 not the only issue.

3           As a little bit of background,  
4 legitimately manufactured controlled substances play an  
5 important role in our life. We all heard many people  
6 state that they're essential to the well-being of those  
7 in pain. DEA does not dispute that, in fact, has come  
8 out in favor of acknowledging that. However, the  
9 diversion of legitimately manufactured controlled  
10 substances is a major cause of drug-related  
11 dependencies, medical emergencies, and fatalities. The  
12 Substance Abuse and Mental Health Services  
13 Administration, or SAMHSA, which conducts the annual  
14 National Household Survey on Drug Abuse, estimated that  
15 in 1999, the last year for which it had a full report,  
16 2.6 million people reported nonmedical use of  
17 prescription pain relievers. That's 2.6 million  
18 people. The DAWN network, Drug Abuse Early Warning  
19 network, also administered by SAMHSA, reported in 2000  
20 that mentions for oxycodone were 108 percent higher  
21 than was reported in 1998. Again, that's the generic

22 oxycodone, encompassing all products with that  
23 ingredient. Also in the year 2000, 2 percent of all  
24 emergency room mentions were for oxycodone products.  
25 This doesn't make it the highest by any stretch, but it

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1 certainly is a change from years past.

2           A little background in the federal law.

3 The Controlled Substances Act was enacted in 1970, and

4 it set up a closed distribution system for controlled

5 substances. And this is important here because many

6 drugs are abused by drug abusers, many of them licit,

7 but the illicit drugs are set up in a framework where

8 they all originate from some licit source. All of

9 those sources have certain legal requirements and

10 recordkeeping requirements, registrants deal with

11 registrants, and sales must be made only to other

12 people that are properly licensed, unless they're being

13 delivered to a patient by a legitimate doctor. All the

14 parties to the transaction have to keep records, so

15 ostensibly we should be able to go back and track

16 everything from the time it's manufactured to the time

17 it's delivered to a patient.

18           As a supplement to this, DEA has a

19 system called ARCOS which captures reports of drugs

20 distributed to the wholesale level and down to the

21 retail level of all opioids or all Schedule II drugs

22 and all opioids and Schedule III. That system called  
23 ARCOS is given to the states periodically at least once  
24 a year, and it can report consumption levels for any  
25 given class of drugs or particular drug product. It

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1 can tell where your state ranks per capita on the  
2 consumption levels compared to the national averages.  
3 It can be imported down to the mid-purchaser. Those  
4 purchasers are generally pharmacies or hospitals. So  
5 with any given state, let's say Connecticut, we can  
6 tell you that X amount of a particular drug was  
7 supplied to the pharmacies in your state, and we can  
8 tell you which pharmacies bought the most, which ones  
9 bought the least, we can tell you how you stack up  
10 nationally, whether you're first, middle, low in  
11 consumption.

12           These figures don't mean a whole lot.  
13 Somebody's always going to be highest, and it could all  
14 be legitimate. Somebody is going to be lowest. The  
15 variance between the highest and the lowest may be very  
16 small.

17           The curious part, though, is it stops at  
18 the retail level. We can tell you that 20 pharmacies  
19 bought 80 percent of your OxyContin. We can't tell you  
20 what the 20 pharmacies did with it. That's where  
21 prescription monitoring systems come into play. When

22 there is a problem, the prescription monitoring systems  
23 can backtrack and see just what is going on. They can  
24 serve as a warning system; when complaints are  
25 generated, they can allow complaints to be resolved

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1 more expeditiously than what would happen if we had to  
2 send teams of investigators running around to every  
3 pharmacy in town trying to backtrack specific doctor's  
4 prescriptions or specific patients' prescriptions.

5           When we have to go on site and do this  
6 tracking, we're creating an aura that perhaps we are  
7 investigating or checking on people. It becomes public  
8 knowledge because the pharmacist looks over our  
9 shoulders and knows who we are looking at. The  
10 monitoring system allows you to do this in the privacy  
11 of your office without infringing on anybody's freedoms  
12 or rights.

13           It also is an aid to prescribers who may  
14 suspect their patients are doctor shopping because in  
15 many states the doctors can contact the state agency  
16 and obtain from them information on any patients they  
17 suspect might be seeing multiple doctors.

18           This is all done within a strict  
19 framework of privacy. The data is never authorized to  
20 be given to anyone who does not already have the legal  
21 authority to see it. By that I mean agencies like DEA,

22 agencies like state boards of pharmacy, already have  
23 the legal authority to go into a pharmacy and review  
24 prescription records. So those same kinds of agencies  
25 would be granted legal authority through whatever

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1 statutes enact a monitoring system to do just that with  
2 the proper limits on service.

3           They also, as we've seen from states  
4 like Nevada and many others, they've served as a way to  
5 deal with public health issues. They have helped  
6 people get into treatment, they have helped uncover  
7 outmoded practice standards or people that may be using  
8 drug therapies that are no longer in favor. So this is  
9 not only looked at a law enforcement measure. In fact,  
10 many states look at them as a public health measure.  
11 DEA has long supported states in their efforts to  
12 prevent and detect abuse and diversion of  
13 pharmaceutical controlled substances through the  
14 implementation of such programs. They consider these  
15 our primary ways of clamping down on doctor shopping  
16 and prescription mills because they raise the level of  
17 awareness and they enable investigations to be  
18 conducted swiftly and unobtrusively.

19           Some of the states that have problems  
20 with OxyContin make an interesting comparison, if you  
21 look at the states with monitoring versus those that do

22 not have it. OxyContin, as you know, was introduced  
23 back in '95 by Purdue Pharma. You have heard about the  
24 product itself, and you've heard also about the abuse  
25 issues that face us today. We looked at a number of

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1 data sources, including medical examiner data, and as  
2 of November 1st, that data showed that there were 117  
3 deaths verified as being OxyContin specific and another  
4 179 nationwide possibly related to OxyContin.

5           We have also looked at data -- we have  
6 heard IMS mentioned. We have to purchase that data.  
7 It's restricted what we can do with it. We cannot  
8 access patient names, doctor names; we only can get  
9 aggregate data. But in getting that, we found that  
10 five states with the highest per capita rates of  
11 prescriptions for OxyContin were also five states that  
12 did not have a prescription monitoring portion, and  
13 they were the five states reporting the most abuse of  
14 the product. Those states were Alaska, West Virginia,  
15 Delaware, New Hampshire, and Florida.

16           Conversely, the states at the bottom of  
17 the lowest per capita rate of abuse all had long-  
18 standing prescription monitoring programs, and they  
19 report very few problems. Those states being New  
20 Mexico, which has since lost its monitoring program,  
21 Illinois, Texas, New York, and California.

22           Curiously, a sixth state does have a  
23 monitoring program. That state is Kentucky, and two  
24 things play here that I think are important to note.  
25 Having the system is only the beginning. What you do

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1 with the information is very, very critical.

2           Kentucky's system is outstanding, it  
3 captures Schedule II, III, and IV drugs. It has a  
4 small staff of people monitoring it, and their  
5 resources are dedicated to responding to requests from  
6 physicians to make sure their patients are not doctor  
7 shopping. It was not particularly proactive in  
8 identifying potential problems. Once the problem is  
9 noted, however, it is a great resource to try to track  
10 down possible violators.

11           Also, a state like Kentucky has  
12 bordering states of Virginia, West Virginia, Ohio, all  
13 without monitoring programs, that did not have  
14 monitoring programs, so that abusers can avoid being  
15 monitored themselves.

16           The states with monitoring programs now  
17 number 15, and there are about 7 or 8 more that are  
18 like you trying to get legislation rolling. The  
19 start-up costs vary, and some good news I do have about  
20 costs that may spark some interest, the Congress of the  
21 United States was so impressed with the ability of

- 22 monitoring programs to deal with issues that have
- 23 emerged before the publicity of OxyContin that the
- 24 Senate Appropriations Committee put \$2 million in a DEA
- 25 budget for Byrne grants for states to start up

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1 prescription monitoring programs. We shortly expect to  
2 have with BJA, the Bureau of Justice Assistance, some  
3 of the criteria established. So we expect to -- the  
4 states to use this as seed money to start programs of  
5 this type. Again, we offer whatever support we can  
6 provide.

7           We believe that the monitoring programs  
8 provide an excellent tool in monitoring diversion  
9 issues, and we also believe very strongly in the  
10 balanced approach to preventing diversion while  
11 assuring access to the patients who truly need  
12 controlled substances, whether they're opioids or other  
13 types.

14           I appreciate the opportunity to speak to  
15 you and would be happy to answer any questions.

16           MR. BLUMENTHAL: Thank you very much for  
17 being with us and making the trip.

18           I wasn't sure whether you made reference  
19 to it just now, but my understanding is that there is  
20 some grant money available to states who are seeking to  
21 establish this kind of program?

22 MS. GOOD: Yes. The budget that was  
23 recently signed has \$2 million in it to be allocated to  
24 the states through the Bureau of Justice Assistance in  
25 the form of Byrne grants.

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1 MR. BLUMENTHAL: Is that in the  
2 Department of Justice?

3 MS. GOOD: It's in the Department of  
4 Justice, and we will shortly have parameters and  
5 criteria, and we will make sure that state agencies  
6 engaged in this process are made aware of it.

7 MR. BLUMENTHAL: How soon do you think  
8 that money will be available?

9 MS. GOOD: I would say in the next  
10 couple of months.

11 MR. BLUMENTHAL: Thank you.

12 One other question. If you were -- and  
13 I don't mean to ask you an unfair question. If you  
14 were to pick a state or a couple of states whom you  
15 would recommend Connecticut should follow, in other  
16 words, the states that have the best so far of those  
17 monitoring programs, could you suggest one or two?

18 MS. GOOD: Well, there are some  
19 parameters that differ from one state to another.

20 No one likes the paper forms, I'll say  
21 that right up front, because they are not invisible to

22 the prescriber and they're somewhat of a pain in the  
23 neck. But they're really the only mechanism right now  
24 that can take away the possibility of someone issuing  
25 fraudulent prescriptions because you can have a fistful

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1 of fake prescriptions and the pharmacist can dutifully  
2 submit them to the monitoring program and nobody will  
3 be the wiser. So there is a benefit with having the  
4 forms.

5           The states with the forms are New York,  
6 Texas, and I'm running out of -- I forget who. But  
7 those are -- I have one coming on.

8           Some other attributes that are very  
9 effective, Nevada is extremely effective in the way  
10 they use their data. They also capture all schedules,  
11 like Kentucky, which is a definite benefit in the sense  
12 that it is true that if you create a, quote, stigma, or  
13 reporting requirement around one group of drugs, that  
14 someone's going to be diverting that type of drug, they  
15 can move to another schedule. So there is a benefit to  
16 doing all schedules, especially in opioids, because so  
17 many combinations are in Schedule III. So for those I  
18 would recommend Nevada and Kentucky. There are  
19 similarities and differences.

20           DEA has a publication that will run  
21 through the way each state is set up. We would be

22 happy to provide that to you.

23 MR. BLUMENTHAL: That would be very

24 useful if you could. Maybe my office could contact

25 you.

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1 MS. GOOD: Sure.

2 MR. BLUMENTHAL: Thank you.

3 COMMISSIONER FLEMING: Thank you again  
4 for being here now this afternoon. I would just like  
5 to thank you and the DEA for all the past help that you  
6 have been to my agency. I know we had had two very  
7 high-profile cases this year with diversion of  
8 controlled substances, but on a regular basis, what a  
9 lot of people don't see is the amount of interaction  
10 that goes on between DEA and the agents in the  
11 Department of Consumer Protection's drug abuse as well  
12 as the other law enforcement, and it seems to work very  
13 well.

14 MS. GOOD: I think you can thank one of  
15 the gentlemen in the back of the room for that, then.

16 MR. BLUMENTHAL: Who is that?

17 MS. GOOD: Steve Simes (phon sp) is our  
18 local supervisor, and he should be -- he was back  
19 there.

20 MR. BLUMENTHAL: Is he still? Thank you  
21 for your help.

22           COMMISSIONER FLEMING: I have spent a  
23 little bit of time in a surveillance van. It is not  
24 terribly comfortable. I know those guys have a tough  
25 job. But it's the day-to-day stuff that's extremely

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1 helpful to us.

2           You did mention, I was aware that there  
3 was federal -- there is federal money available that my  
4 agency would qualify for. The only question I did  
5 have, for \$2 million, in the scheme of things, is not a  
6 great deal of money, and the amount of grants would be  
7 how much, and for when you say start-up, how long would  
8 it be for?

9           MS. GOOD: I'm not sure we have the  
10 answers to that yet. We met with the senate  
11 appropriations staff last week to iron out what they  
12 envision the money to be for and what we thought would  
13 be realistic. I think we were somewhat apart.

14           They were looking to give small amounts  
15 to as many states as possible to get them off the  
16 ground, and we wanted to make sure the amounts given  
17 were meaningful enough to make sense. So we were  
18 looking at the fewer states, high amounts; they were  
19 looking at more states, lower amounts.

20           But in talking to some of the folks  
21 about how much it actually cost to get the technology

22 rolling, if the grants were 150 to 200,000, that seems  
23 to cover the technology piece. We were looking at up  
24 to 500,000 needed for the entire infrastructure set-  
25 up. So I don't think there has been a restriction set

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1 yet. We are in the early stages of meeting with DJA to  
2 develop realistic cost assessment and standards.

3           We also right now only have the budget  
4 for one year. We have no guarantees it will be in next  
5 year's budget.

6           COMMISSIONER FLEMING: I understand  
7 that. Thank you. Thank you very much.

8           MR. BLUMENTHAL: Thank you very much.

9           COMMISSIONER FLEMING: Before you set  
10 those standards, if you want to give us about a quarter  
11 of that, fine.

12           MS. GOOD: I will put you on the list.

13 No problem.

14           MR. BLUMENTHAL: Diana Norris.

15           MS. NORRIS: Good afternoon, Attorney  
16 General Blumenthal, Special Counsel Kehoe, and invited  
17 panel witnesses. Thank you for the opportunity to  
18 provide testimony on behalf of Connecticut Nurses'  
19 Association.

20           I am Diana Norris, an advanced practices  
21 nurse, and a member of the Connecticut Nurses'

22 Association Professional Practice Committee. I'm also

23 assistant director for the Eastern Health System

24 Network Hospitalist Program.

25 We would like to commend the Attorney

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1 General for bringing together professionals with a  
2 variety of perspectives and expertise related to drug  
3 control, law enforcement, and health care before  
4 drafting legislation. Any successful prescription  
5 monitoring legislation must be designed and implemented  
6 with a balanced perspective containing appropriate  
7 checks and balances.

8           Prescription drug abuse affects millions  
9 of Americans. This number itself is not important.  
10 What is important is that a large number of people  
11 suffer from addiction to prescription drugs, resulting  
12 in families that are torn apart, lives that are  
13 destroyed, and people who die. A prescription  
14 monitoring system with a clear mission created by a  
15 group with a balanced perspective could assist in  
16 efforts to bring prescription drug abusers into  
17 treatment and recovery. This kind of system could also  
18 provide a mechanism for identifying illegal activity  
19 and ensure that the prescribing of legitimate, helpful  
20 medications continues for patients who need them.

21           This issue must be addressed with a

22 balanced approach because patients must receive  
23 medications that are needed, prescribers must not be  
24 reluctant to provide medications that will help their  
25 patients, especially now, when there is a threat of

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1 malpractice if pain is not well managed, and non-  
2 punitive systems for treatment must be in place for  
3 individuals suffering from the disease of addiction.

4           The focus of any board developing a  
5 prescription monitoring system must include  
6 establishing a committee consisting of individuals who  
7 have a broad base of knowledge and perspectives of  
8 Connecticut issues and who would be affected by this  
9 kind of legislation. This committee should be  
10 empowered to determine the needs before any legislation  
11 is developed; determining the flow of prescription  
12 medications from production through dispensing and  
13 identifying gaps in accountability for the drugs  
14 produced; determining through a systematic process what  
15 monitoring systems are already in place and where gaps  
16 exist; establishing the extent of problems related to  
17 prescription abuse in Connecticut; identification of  
18 current drug treatment confidentiality issues and  
19 rights and developing legislation that would be  
20 consistent; determining what is the necessity of a  
21 prescription monitoring system, what needs to be

22 accomplished. Would we improve the system of  
23 identification of drug abusers in order to intervene in  
24 obtaining treatment, if this is a major problem, or  
25 would this be a system to catch individuals in criminal

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1 behavior, which may not be a major problem? Would we  
2 add another layer of reporting and monitoring which  
3 would make it more difficult for prescribers and more  
4 costly? Would we design a program based on best  
5 practices?

6           Legislation for a prescription  
7 monitoring system needs to take into account the  
8 following: Defining the mission or missions for the  
9 program based on the identified needs; reflecting the  
10 latest research and trends about treatment (alternative  
11 programs versus a punitive system); considering the  
12 costs, given the extent of current competing interests;  
13 ensuring confidentiality for any data that is developed  
14 and that access to the data is based on a very  
15 selective need to know; ensuring that systems for  
16 identification and referrals for health care workers  
17 who have as an occupational hazard prescription drug  
18 abuse, and that the systems are used to intervene  
19 rather than using the criminal justice system.

20           Legislation of that nature is complex  
21 and should be based on a thorough review of needs.

22 Language needs to be carefully crafted by individuals  
23 without political agendas who have the knowledge and  
24 skills to determine Connecticut's needs.

25           In the 2001 legislative session, it was

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1 clear during hearings before the Public Health  
2 Committee related to mental health issues that  
3 alternative programs for drug abuse and impairment are  
4 needed, not punitive systems and incarceration.

5           The Connecticut Nurses' Association's  
6 interest in developing legislation for prescription  
7 monitoring is multileveled and includes its interest  
8 and expertise in patient care, prescribing for any  
9 advanced practice nurses, impaired nurses and health  
10 care workers in their recovery and return to safe  
11 practice, confidentiality issues and the safety of the  
12 public health. We want to be included in developing  
13 any legislation that concerns prescription monitoring,  
14 and I thank you for the opportunity to address this  
15 important issue; and the Connecticut Nurses'  
16 Association looks forward to continued work together  
17 for a healthier Connecticut.

18           MR. BLUMENTHAL: Thank you very much.

19           We have a panel now involving Susan  
20 Richter, Dr. Ed Hargas, Jeffrey Mendenhall.

21           Thank, you Ms. Norris.

22 MS. NORRIS: Thank you.

23 MS. RICHTER: Good afternoon.

24 MR. BLUMENTHAL: Good afternoon.

25 MS. RICHTER: I appreciate the

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1 opportunity to make some comments this afternoon. My  
2 name is Susan Richter, and I'm the vice president of  
3 Patient Support for the New England division of the  
4 American Cancer Society. My background is nursing, and  
5 I'm a charter member of the Connecticut Cancer Pain  
6 Initiative also.

7           As you have heard many times so far  
8 today, pain and its undertreatment is a major public  
9 health problem in this country. Today over 8 1/2  
10 million persons in the United States have had cancer,  
11 and more than 70 percent of them experienced pain from  
12 the disease. Unrelieved pain causes needless suffering  
13 and destroys the quality of life at a time when nearly  
14 all cancer pain can be relieved.

15           There are many barriers to the  
16 management of pain that include inadequate training of  
17 health care professionals, poor communication between  
18 patient and health care professional, fear of  
19 regulatory scrutiny by the health care professional,  
20 and perhaps the top reason the cancer pain patients  
21 refuse treatment and health care providers undertreat

22 pain is the fear of addiction.

23           Recent news reports have focused

24 primarily on addiction and abuse of pain relievers.

25 These reports unfortunately contribute to the under-

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1 treatment of pain by reinforcing myths and  
2 misconceptions and may harm the quality of life of  
3 cancer patients. Since addiction is such a common fear  
4 that patients hold, such fear may prevent them from  
5 taking their medications. It also encourages family  
6 members to urge them to hold off as long as possible.

7           The American Cancer Society has set  
8 ambitious goals for 50 percent reduction in cancer  
9 mortality, a 25 percent reduction in cancer incidents  
10 and measurable improvement in the quality of life by  
11 the year 2015. Pain is the most feared complication of  
12 cancer, and it is a major quality-of-life issue  
13 affecting those with cancer. Meeting these goals will  
14 require us to have new partnerships and will require a  
15 commitment from both the private and public sectors.

16           In the year 2000, Congress has  
17 designated this decade as the decade of pain. This is  
18 an opportune time for everyone concerned about this  
19 critical issue to step forward and offer to spread the  
20 facts about pain relief. We need survivors, family  
21 members, health care professionals, legislators,

- 22 educators, employers, researchers, and media
- 23 professionals to continue to provide the dialogue that
- 24 will help dispel the myths and misconceptions that
- 25 currently limit the existing opportunity to have good

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1 treatment. The American Cancer Society strongly  
2 supports statewide educational initiatives that address  
3 patient and provider fear of regulatory action in order  
4 to reduce barriers to appropriate pain medication.

5           Public and provider education is greatly  
6 needed to correct these widely held and false beliefs  
7 that create barriers for patients attempting to access  
8 the pain control that they needed. Cancer patients  
9 have the right to know that their pain can be relieved  
10 and that proper cancer pain management does not result  
11 in addiction. Physicians and other medical  
12 practitioners have the right to know that they will not  
13 be prosecuted for appropriately treating their  
14 patients.

15           And the American Cancer Society  
16 recognizes that there is an urgent need to improve the  
17 quality and availability of cancer pain treatment. The  
18 society also recognizes that there are strong societal  
19 interests in assuring the appropriate use of controlled  
20 substances. We believe that attention to the misuse of  
21 controlled substance has overshadowed and impeded

22 attempts to manage pain. Currently we have not found a  
23 proper balance between these two issues, and that has  
24 been a great cost at the expense of those who need to  
25 have their pain controlled. The society believes that

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1 concern for pain management should receive equal  
2 footing and funding with other health initiatives from  
3 federal and state government and/or private agencies.

4           On a personal note, a little over a year  
5 ago, my brother, dying from advanced melanoma in a  
6 Connecticut hospital, faced his own struggle for pain  
7 relief. As his advocate, I attempted to overcome the  
8 huge obstacles that were before us without success. In  
9 the process, I was actually asked, "Are you trying to  
10 kill your brother?" I was so disappointed to learn  
11 that all our educational efforts had yet to change many  
12 attitudes, behaviors, and beliefs about cancer pain  
13 relief.

14           The American Cancer Society stands ready  
15 to work together with federal, state, and local  
16 officials to ensure that policies intended to curb  
17 abuse and diversion of pain medications have minimal  
18 impact on legitimate pain management for cancer  
19 patients and to ensure that consumers and providers  
20 receive the education needed to dispel misconceptions  
21 and relieve unnecessary suffering. Please help me in

- 22 creating a real sense of urgency for this critical
- 23 issue. Let's help people with cancer understand their
- 24 right to request and receive effective pain management.
- 25 We do not believe that cancer care can be complete

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1 until pain is under control. Thank you.

2 MR. BLUMENTHAL: Thank you. Which of  
3 you would like to go?

4 MR. MENDENHALL: Okay. My name is  
5 Jeffrey Mendenhall. I have several hats to wear. I am  
6 clinical supervisor of the Branford Home Care Office of  
7 The Connecticut Hospice, Inc., where as the chairman of  
8 the Pain Care Committee, I am also chairman of the  
9 Connecticut Cancer Initiative for this year and up  
10 through next year. I'm going to read some excerpts  
11 from my written testimony today.

12 MR. BLUMENTHAL: We will have your  
13 written testimony.

14 MR. MENDENHALL: I understand that.

15 MR. BLUMENTHAL: I can assure you that  
16 we will read it or have read it in most cases. So you  
17 can feel free to summarize.

18 MR. MENDENHALL: Yes, indeed.

19 During the past year local media and  
20 some politicians have tended to exaggerate and distort  
21 the nature and scope of drug-related criminal behavior

22 in our communities, even to warn of an impending  
23 epidemic of abuse. But the only real documented  
24 epidemic is the public health crisis caused by chronic  
25 pain. Based on 2000 census data, approximately 600 to

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1 700,000 adults living in Connecticut are living in  
2 daily routine pain.

3           For many patients, families, and their  
4 physicians, their reasonable and proper caution has  
5 been turned into irrational fear; fear of the only  
6 class of drugs proven safe and effective in the  
7 treatment of moderate to severe pain. Opiophobia is a  
8 sadly common occurrence, both in the general and  
9 professional communities, and it is the single greatest  
10 barrier to effective pain management, here and  
11 throughout the world.

12           Clinical research and experience have  
13 shown roughly 90 percent of this pain can be  
14 substantially, if not completely, relieved using simple  
15 interventions, including the use of carefully managed  
16 opioid medications. I believe the failure to treat  
17 pain when we have the knowledge and resources to do so  
18 is morally and ethically equivalent to torture.

19           I also believe it is crucial to  
20 understand that pain is not just pain. Pain impacts  
21 all aspects of a person's life, and it can become soul

- 22 destroying. It can also be life-threatening,
- 23 decreasing immune function; and when chronic pain
- 24 persists, it can become a progressive crippling,
- 25 disease; RSD referred to earlier.

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1           While I am actively involved in pain  
2 management at the end of life, today I am more  
3 concerned about the vast majority of persons who suffer  
4 pain. Those with chronic nonmalignant pain. Chronic  
5 noncancer pain in adults who are not terminally ill is  
6 often viewed as malingering, as an annoyance, and,  
7 worst of all, drug seeking. Hospice patients  
8 mistakenly believe that it is, quote, foolish to worry  
9 about addiction in patients who are dying. Incorrect.  
10 To be correct, it is foolish and dangerous to worry  
11 about addiction as a precondition for treating pain.

12           We know that diversion and abuse of  
13 prescription drugs is an historic problem, as is the  
14 rate of substance abuse and addiction in our  
15 population. I don't know of any reliable evidence that  
16 the rate of abuse has increased. It's also well to  
17 remember that prescription drug abusers typically use  
18 several drugs together and cocktail often with  
19 alcohol. To blame one drug is not only unfair, it is  
20 inaccurate and inflammatory.

21           MR. BLUMENTHAL: You don't mean to

22 suggest that alcohol is prescribed?

23 MR. MENDENHALL: No, no, I do not. Of

24 course not. But it is legal, of course. I'm only

25 attempting to get across the point that people who

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1 use -- misuse prescription drugs typically are misusing  
2 a number of drugs, and they often are using alcohol at  
3 the same time.

4 MR. BLUMENTHAL: I see. Okay. Maybe I  
5 misunderstood you.

6 You know, just to state the obvious,  
7 this hearing is not about whether there should be pain  
8 relief for people who suffer from -- I think I speak  
9 for this panel and for most people I know, to say that  
10 there should be increased availability and  
11 accessibility of these drugs for people who  
12 legitimately need and deserve them. I think I've  
13 referred to these drug as a Godsend.

14 MR. MENDENHALL: Yes.

15 MR. BLUMENTHAL: Our concern is not with  
16 trying to restrict the flow of any drug that can be  
17 useful in treating pain. I hope you haven't  
18 misunderstood our purpose here today.

19 MR. MENDENHALL: No. But as I said, we  
20 have seen great reluctance, we have seen people go from  
21 being normally cautious to being almost irrationally

22 afraid of using drugs, not only drugs that would be  
23 effective in controlling pain. It is the chilling  
24 effect that I think a lot of us are worried about. I  
25 was really heartened to hear about that joint statement

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1 that came out of Washington, D.C., with the DEA  
2 administrator, Mr. Hutchinson; Dr. Portenoy was  
3 involved in the press conference. One of the things  
4 that I'm recommending is that we look at that statement  
5 here in Connecticut as something of a mission statement  
6 for a coalition that would help to guide us toward a  
7 more balanced and rational public policy.

8           My concern as I walked across the  
9 pedestrian bridge this morning and somebody said, "Oh,  
10 are you here for the OxyContin hearing?" I didn't know  
11 that it was about OxyContin. I knew it was about  
12 public health, public safety, and ultimately public  
13 policy. Obviously OxyContin has been the big drug news  
14 this year. But I've worked, you know, in oncology and  
15 hospice, and over the years, other opioid medications  
16 have been the drug of choice, the flavor of the month,  
17 as people have sometimes said. It's unfortunate that  
18 diversion and substance abuse occurs, but that's  
19 criminal behavior. We need to trust both the public  
20 health and the pain management issues in addition to  
21 the public safety on the criminal side of the question.

22 MR. BLUMENTHAL: Can you comment on the  
23 monitoring program? Would anyone like to comment on  
24 the monitoring program that's been suggested? Which,  
25 by the way, would not only cover OxyContin.

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1           MR. MENDENHALL: I understand that. We  
2 had a presentation from Mr. Roberge at our most recent  
3 meeting last week. I think many of us were impressed  
4 with, were in accord with that sort of thing.

5           MR. BLUMENTHAL: Right.

6           MR. MENDENHALL: I moved from California  
7 a little over two years ago, so I am familiar with  
8 living in a state where there is monitoring and a  
9 triplicate program.

10          MR. BLUMENTHAL: Did that program have a  
11 chilling effect as one of the things you mentioned as  
12 one of your apprehensions?

13          MR. MENDENHALL: Well, what I would say,  
14 what they finally did in California in triplicates in  
15 treating people with terminal illness is to get an  
16 exemption for triplicate prescriptions for patients who  
17 are terminally ill. Doctors treating the terminally  
18 ill were able to write C2, Schedule II prescriptions,  
19 without prescriptions, they only had to write on the  
20 bottom of the prescription pad the number of the law,  
21 which I can't remember at the moment, it was a senate

22 bill, they would write the number and exempt, and they  
23 were able to write those kind of prescriptions.

24           But it did have a chilling effect in the  
25 sense that only about 30 percent of the doctors in

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1 California had triplicate writing privileges. Which,  
2 depending upon how you look at it, is a good thing or a  
3 bad thing.

4           What tended to happen, and you may have  
5 heard about the Bergman case in California, where a man  
6 was seriously undertreated for his pain in part because  
7 his doctor did not have triplicate writing privileges.  
8 There were many other factors, the hospital that was  
9 involved should have gotten involved and been much more  
10 proactive. Hydrocodone is a C3 drug, this is  
11 California, the triplicate program, you don't have to  
12 have a triplicate to write for Vicodin. So many people  
13 write for Vicodin. And that is what -- one of the  
14 reasons this poor man got into so much trouble, his  
15 doctor kept writing more and more Vicodin prescriptions  
16 and not -- he wasn't able -- he should have referred  
17 him to somebody who could have written him triplicate  
18 for morphine.

19           DR. HARGUS: Can I just comment, just  
20 about that one point? I believe Dr. Portenoy commented  
21 about it. When they established the reporting format

22 in New York, which was triplicate, they -- there was a  
23 rapid decrease in the amount of those -- those narcotic  
24 drugs, and not just a decrease in these drugs, but a  
25 switch to other less, you know, effective medicines.

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1           MR. BLUMENTHAL: Was that -- was that a  
2 temporary switch?

3           DR. HARGUS: Which? I will just make a  
4 little point about this in Connecticut. You seem to --  
5 when we got this report justifying the electronic  
6 monitoring of controlled substances, everybody seemed  
7 to be proud to state that, oh, it hasn't affected,  
8 Massachusetts has gone up, Rhode Island is going up,  
9 New York is going up. Well, it's not cause and effect  
10 there. What has happened is I think docs are beginning  
11 to realize that patients are grossly being under-  
12 treated. It's not the fact that this provided them a  
13 safety network or some great assurance that they  
14 wouldn't be prosecuted. It's the fact that people are  
15 beginning to wake up, you know, Massachusetts, a lot of  
16 bright people, but they wake up late too.

17           MR. BLUMENTHAL: Let's turn to you, if  
18 you have --

19           DR. HARGUS: I didn't want to --

20           MR. BLUMENTHAL: Did you want to finish?

21           MR. MENDENHALL: If I could, just with a

22 couple of brief presentations.

23 MR. BLUMENTHAL: Sure.

24 MR. MENDENHALL: I won't say much more.

25 I believe that all parties involved in

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1 this hearing should endorse the joint statement by the  
2 DEA administrator and pain management coalition that  
3 was part of that press release on October 23rd, and  
4 that is part of my statement.

5           Connecticut insurance companies should  
6 cover consultations at Association for the Society of  
7 Pain Management-approved comprehensive pain management  
8 centers in contiguous states because we do not have a  
9 comprehensive pain management center that meets the  
10 standards of this group in Connecticut. We had one at  
11 Yale. It has since been closed. So we are a state  
12 without that full multidisciplinary pain management  
13 center. We don't have that.

14           I personally took my wife to see Dr.  
15 Portenoy in New York City because we couldn't find a  
16 pain management specialist that was able to treat the  
17 problems that she has. We were fortunate that our  
18 insurance paid for that, but most people are not that  
19 fortunate. I strongly suggest that we look at  
20 requiring that kind of coverage until such time as we  
21 have a full-service facility.

22 I also think it's important that we  
23 consider the Medicaid side of the equation when it  
24 comes to hospice. Connecticut is one of very few  
25 states, I think less than ten now, that does not

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1 provide a Medicaid hospice benefit. This adversely  
2 effects poor and disadvantaged persons at the end of  
3 life. We must implement this benefit which would  
4 provide the sort of comprehensive pain and inter-  
5 disciplinary pain management which I believe all  
6 parties agree is effective and the most cost  
7 effective. It is also simply an outrage, I think,  
8 since Connecticut is the home of the first hospice in  
9 America, that we do not provide that kind of care for  
10 all of our patients, all the people who live in the  
11 state.

12           And, finally, I think that all primary  
13 care providers, ranging from physicians, nurses,  
14 pharmacists, physician assistants, I've talked with  
15 physician assistant classes at a couple of universities  
16 in the southern part of the state, and they are not  
17 getting pain management training, I can tell you that.  
18 We need to require that all of these primary care  
19 providers have some continuing education, continuing  
20 medical education a minimum amount of time before their  
21 relicensure, and also that all of the clinical training

22 programs in the state provide education and pain  
23 management and end-of-life care that would also include  
24 substance abuse and addiction.

25 MR. BLUMENTHAL: Well, I thank you for

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1 those very well-taken points. I agree with you,  
2 certainly, on the last point; and on the other two,  
3 which are a little bit beyond the purview of this  
4 hearing --

5 MR. MENDENHALL: I understand. I was  
6 trying to make a more systemic look at how we could  
7 work with some of these other issues.

8 MR. BLUMENTHAL: I would like to work  
9 with you on those points either as part of this process  
10 or separately.

11 MR. MENDENHALL: Yes.

12 MR. BLUMENTHAL: Certainly, you may know  
13 I have taken some fairly strong stands about insurance  
14 coverage and HMO coverage on some of these issues; and  
15 also with respect to Medicaid funding and hospice, I  
16 have also publicly been an advocate, but I would like  
17 to work with you on it. Thank you.

18 DR. HARGUS: I'm Dr. Ed Hargus. I am  
19 certified in hospice and palliative care medicine, pain  
20 management, through the American Board of Anesthesia,  
21 and pain management through the American Academy of

22 Pain Medicine, the board of pain medicine. I have been  
23 a practitioner in pain since about 1985, and I may be  
24 the oldest, oldest continuing care pain provider in the  
25 state of Connecticut. There may be a couple of guys

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1 still alive. We've been doing this for quite a while,  
2 and I established a pain clinic at our local hospital  
3 in Southeastern Connecticut many years ago.

4 I submitted written testimony which can  
5 stand, but I would just like to make a few other points  
6 here that need to be stressed: One, Dr. Portenoy said  
7 the fear factor cut down the prescription usage or  
8 prescribers, a fourth to a half of prescribers changed  
9 their pattern of writing prescriptions. I think that  
10 is absolutely true in the state of Connecticut. I have  
11 seen patients almost on a daily basis who were referred  
12 because of what I perceive as the fear of the primary  
13 care practitioner to take care of the narcotic  
14 prescriptions of that patient.

15 I have seen patients, you know, the last  
16 few months when I was in practice, we had a couple of  
17 patients back to back, young people who suffered severe  
18 crush injuries to their legs and had ample reason to  
19 have lots of pain, and they both came in and they both  
20 had pain control, and I scratched my head, saying,  
21 "Well, that's great. What are you here to see me

22 for?" And they were here to see me because their  
23 regular doctors would not continue writing narcotic  
24 prescriptions for them. They had established pain  
25 control, but their primary doctors would not prescribe

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1 narcotic medications for them.

2           And I don't think the doctors are bad  
3 people. I think, you know, there is a real fear, these  
4 are very difficult patients to take care of. They  
5 consume a lot of time, and a lot of paperwork, too, by  
6 the way, but they consume a lot of time, and you don't  
7 get reimbursed for patients who have terrible  
8 problems. You get reimbursed for patients who have  
9 five-minute problems. So reimbursement is a big issue  
10 regarding some of these things.

11           So it's a very real, real thing, and I  
12 think people don't really realize it. You think you're  
13 doing a great thing and a good thing. Well, you may be  
14 doing way more harm than good; to save \$10 million or  
15 so, you may be causing a great deal of harm to people  
16 in the state of Connecticut who already can't get pain  
17 relief and pain care.

18           Whether you know it or not, Dr. Duffy  
19 mentioned the Donohue study, Connecticut statewide pain  
20 study. It took about two years to do. So this is no  
21 little ditzel study. This took quite a bit of time.

22 And it showed these patients, like you said, are  
23 grossly undertreated in the state of Connecticut. But  
24 it also showed, a point you should be very aware of,  
25 that there are few people certified in the state of

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1 Connecticut, pain care, few are certified in hospice  
2 and palliative care medicine.

3           We are looked upon as the experts, yet  
4 there are very few of us, and the other docs would  
5 maybe like us to be taking care of lots and lots and  
6 lots of tons of patients; but you know what? There is  
7 only so many hours in a day that we have. So that's a  
8 key issue here.

9           You heard that Yale closed its pain  
10 clinic, UConn closed its pain clinic, the University of  
11 Massachusetts closed its pain clinic. They are not  
12 evil places, but it is tough to do this stuff.

13           And for the Attorney General, I think  
14 there are some legal aspects that no one has even  
15 broached here. There are contractual issues between  
16 hospitals and pain practitioners. For instance, most  
17 of the pain practitioners in the state of Connecticut  
18 are certified, I believe, through the American Board of  
19 Anesthesiology. Most hospitals you will find, I think,  
20 have contractual relationships, exclusive contracts  
21 which bar other practitioners from providing pain

22 services to patients in their communities and their  
23 areas. That is not a 100 percent number, but it is --  
24 but it is a reality. And I think that it may be time  
25 that those kind of laws and that kind of contractual

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1 relationship should not be allowed to exist in a state  
2 or in a part of the country where services are under-  
3 represented.

4           Well, the other thing that -- I don't  
5 want to say disturbs me, but the devil is always in the  
6 detail, always in the detail. When I read paragraph 5  
7 here, the commissioner shall adopt regulations, blah,  
8 blah, blah, electronic monitoring, et cetera, the  
9 adoption of these regulations pursuant to the statutes  
10 may be initiated upon the passage of this bill, I got  
11 terribly worried because this was all great, and then  
12 all of a sudden the real details of this and how you --  
13 if this is adopted, it will be the details that will  
14 destroy, harm patients, harm pain care or not.

15           So there was no apparent mention here of  
16 oversight, outcomes, who is going to -- who is going to  
17 do this, what groups are going to be represented, who  
18 aren't going to be represented, who is going to look  
19 over this whole process. I think that there is the  
20 real, real rub here.

21           If you establish this, you know, you

- 22 think that this will help pain practitioners, and I
- 23 have to tell you that in the most idealistic world it
- 24 would help me as a pain practitioner because I would
- 25 have access to information that I sometimes can't get.

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1 You know, I'll throw out at least one example of a  
2 patient I had come in that, you know, that I had a call  
3 on, it was a patient of mine for many years with a  
4 chronic pain problem on methadone, who seemed to me to  
5 be a model patient, and I got a call from someone  
6 outside, said, "Oh, he's selling these drugs." Click.  
7 I sort of have to worry about that, and I called up, I  
8 forget which office, and in the state of Connecticut  
9 you have about three offices I can get on the phone and  
10 say, hey -- maybe four, if I call the Attorney General.

11           COMMISSIONER FLEMING: That is almost as  
12 hard as trying to get through to a doctor.

13           DR. HARGUS: I got through that.

14           COMMISSIONER FLEMING: Because I've had  
15 trouble getting through to doctors, but I don't know  
16 what it is that you're referring to there. But my  
17 agency has not released any draft of any legislation.  
18 You're correct, the devil is in the details.

19           MR. BLUMENTHAL: What do you have  
20 there? I'm sorry.

21           DR. HARGUS: I have an act concerning --

22           COMMISSIONER FLEMING: I have no idea  
23 what that document is. There is an old expression in  
24 politics about sausage making and law making. It  
25 appears there always is a lot of work to be done, and

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1 the devil is in the details, as you say. Oftentimes  
2 what the legislature will do is they'll ask a  
3 commissioner to adopt regulations so that individuals  
4 such as you will have an opportunity to come before the  
5 administrative agency to make sure that we get it  
6 right. I can assure you, if we go forward with this,  
7 that you will have input into that process.

8           But I think the other point that needs  
9 to be made here is that no one sitting up here is  
10 interested in trying to prevent somebody who is in need  
11 of this type of medication from being relieved of pain,  
12 and we can all tell a story about someone close to us  
13 that has experienced pain. I watched my sister die  
14 from cancer, so I can assure you, she received an award  
15 in this room from a previous governor for her courage  
16 from the Connecticut Cancer Association. So don't  
17 misinterpret some of the motives. But if you had an  
18 opportunity to see some of the things I've seen on the  
19 streets in the community where abuse of these  
20 substances can destroy a community, then you would  
21 understand why we're trying to balance these two needs.

22 My agency has responsibility to  
23 legitimately regulate the use of these drugs, and I've  
24 seen what good they can do in the case of my sister and  
25 I have also seen the terrible harm they can do in a

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1 community in some of our urban areas when somebody does  
2 abuse it, in some case a doctor who has sworn to do no  
3 harm abuses. What we're trying to do is find that  
4 common ground and want to work with you to do that. So  
5 try to keep that in mind.

6           It's not an easy process. It won't be  
7 easy for the legislators that sit up here and will have  
8 to decide how best to do that and to the extent to give  
9 the commissioner the authority to adopt regulations to  
10 make it work.

11           DR. HARGUS: Well, I may be unduly  
12 paranoid, but I think with good cause. I had a  
13 complaint filed against me years ago by a patient  
14 stating I addicted that patient to narcotic  
15 medications. This is a patient with RSD, severe RSD.  
16 Eventually totally cured, eventually back to work,  
17 everything, who saw a psychiatrist, truly got a multi-  
18 disciplinary approach, who refused to go into a  
19 substance abuse counseling session. It took the  
20 Department of Consumer Protection, it took them 14  
21 months to get an answer to me as a physician.

22           How do you think I felt for 13 months?  
23 How do you think other doctors, you know, we're human  
24 beings too, our profession depends upon our license,  
25 and if there's any -- any problem with our license,

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1 whether it's true or not, you risk your livelihood. I  
2 think that's a very real threat to people. So you have  
3 to be extremely careful with your legislation.

4 MR. BLUMENTHAL: We want to thank you  
5 for being here today. We have other people who have  
6 waited for a while to talk to us, and we appreciate  
7 your talking to us. Thank you very much.

8 I'm going to go a little bit out of  
9 order. If James Giglio is here. Since you've come all  
10 the way from New York and we're running a little bit  
11 late, I'm going to go to your point on the schedule.

12 Thank you for joining us.

13 MR. GIGLIO: Thank you for inviting me.  
14 My name is James Giglio. I'm director of the New York  
15 State Bureau of Controlled Substances. Thank you for  
16 the opportunity to present New York State's experience  
17 in curtailing the trafficking and abuse of illicit and  
18 controlled substances. We are flattered that you would  
19 consider New York to share our program with you while  
20 you develop your own.

21 New York has found no problem, no

22 activity as effective dealing with this problem as our  
23 own prescription monitoring program. Our program  
24 currently exists of an official form and electronic  
25 monitoring. In New York State, regulation of illicit

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1 controlled substances is the responsibility of the  
2 Bureau of Controlled Substances.  
3           The bureau is located within the  
4 Department of Health and is charged with the  
5 administration and enforcement of the New York State  
6 Controlled Substances Act, those substances which are  
7 prescribed, administered, dispensed and are valuable in  
8 the treatment of illness and disease. As such, these  
9 medications must be accessible to those who need them.  
10 However, when abused or used improperly, the same  
11 medications also have a potential to cause drug  
12 dependency, personal injury, impairment, and even  
13 death. It is the bureau's responsibility to assure  
14 that these drugs are accessible for legitimate medical  
15 purposes while at the same time preventing diversion  
16 and abuse.

17           This is accomplished by monitoring and  
18 regulating illicit and controlled substances from the  
19 point of their manufacture and distribution, through  
20 prescribing and dispensing and ultimately patient use.  
21 The major focus about the bureau's activities and the

22 ones I've been invited to give testimony before this

23 panel today is New York's official prescription

24 program.

25           Since 1972 there's been required

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1 prescription for Schedule II controlled substances in  
2 New York, be prescribed on an official New York State  
3 prescription form, and substances such as Valium,  
4 Librium, also be prescribed on the official forms. The  
5 prescription forms are issued to licensed practitioners  
6 in multiples of 25, imprinted with the practitioner's  
7 name, address, phone number, and a sequential serial  
8 number. With each prescription order, practitioners  
9 must indicate their specialty. This specialty is taken  
10 into consideration when evaluating an order.

11           Before issuing the forms, which cost  
12 practitioners \$12.50 for a book of 25, the bureau  
13 verifies that the practitioner is currently licensed by  
14 the state and appropriately registered with the Drug  
15 Enforcement Administration, the DEA registered  
16 address. Registration information is updated quarterly  
17 with data supplied by DEA and the New York State  
18 Department of Health.

19           From 1972 to April of 2001, the bureau  
20 issued a three-part prescription consisting of an  
21 original and two carbon copies, commonly referred to as

22 a triplicate. The way that system would operate is  
23 this: The prescribing practitioner would complete the  
24 prescription and retain one copy for his or her  
25 records. The patient would then take the original and

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1 one copy to the pharmacy to be filled. The pharmacy  
2 would mail the remaining paper copy to the department,  
3 where the prescription information would be stored and  
4 made accessible for monitoring and analysis.

5           On May 1st this year, pursuant to  
6 landmark controlled substances legislation which,  
7 significantly, addressed pain management needs,  
8 legislation went into effect in New York State for  
9 prescriptions on a single-part form. The new form has  
10 security features to deter scanning and photocopying.  
11 It has a heat-sensitive ink component. If an attempt  
12 is made to alter the prescription with water or  
13 chemical solvents, the word "void" appears in three  
14 different languages. It also has a rub-off feature on  
15 the front which the word "void" appears. Instead of  
16 the dispensing pharmacy having to mail in paper copies  
17 every month, the required prescription data can now be  
18 submitted to us electronically.

19           To date, over 95 percent of New York  
20 pharmacies have been certified to submit prescription  
21 data electronically, either by tape, diskette, or

22 encrypted over the Internet to a secure Web site.  
23 Those pharmacies not submitting electronically are  
24 required to submit data manually on a form provided by  
25 the bureau.

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1           We are currently nearing the end of a  
2 transition period during which both triplicate and  
3 single-part forms are valid for use. After December  
4 31st, the triplicate will become invalid and all  
5 official prescriptions must be written on a single-part  
6 form.

7           The new system is already providing  
8 advantageous -- I'm sorry -- is already proving  
9 advantageous to the bureau in its prescription,  
10 dispensing, and diversion concerns. Under the old  
11 triplicate prescription system, prescription  
12 information from mail copies had to be data entered,  
13 which created backlog and put limits on accessibility.  
14 Now with the vast majority of prescription information  
15 being submitted electronically, prescribing and  
16 dispensing data can be accessed virtually on a real-  
17 time basis.

18           I was going to go through a couple of  
19 the programs or couple of reports that we can generate,  
20 but for time I'll just skip over that.

21           MR. BLUMENTHAL: Thank you.

22 MR. GIGLIO: It is sometimes argued that  
23 official prescription monitoring programs interfere  
24 with or inhibit the legitimate prescribing and  
25 dispensing of controlled substances to meet patients'

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1 medical needs. Several years back, the bureau  
2 undertook a review of its official prescription data.  
3 We found from 1984 to 1994 that prescribing of Schedule  
4 II opioids, hydrocodone increased by 25 percent and  
5 morphine by 661 percent. This data led us to conclude  
6 that practitioners have prescribed and continue to  
7 prescribe controlled substances that are appropriate  
8 for their patients.

9           Confirming this is forms the bureau  
10 issues annually to practitioners. In 1989 when we  
11 placed benzodiazepines on the official form, we were  
12 issuing some 3.4 million forms per year. This year we  
13 anticipate issuing approximately 7 million. This  
14 demonstrates the effectiveness of New York's  
15 prescription monitoring program.

16           We recently received a report from the  
17 Drug Enforcement Administration regarding the  
18 consumption of OxyContin on both a state and national  
19 basis. Data reveals that in New York State OxyContin  
20 consumption per 100,000 population was below the U.S.  
21 average in both 1999 and 2000. Of the 54 U.S. states

22 and protectorates for which data was provided, New York

23 ranked 50th in consumption for 1999 and 49th in

24 consumption for 2000.

25 We believe that these facts are not a

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1 coincidence. We believe that oversight afforded by our  
2 prescription monitoring program curtails the diversion  
3 not only of OxyContin in New York but also of the other  
4 drugs required on the official form.

5           Finally, I would like to commend this  
6 panel for recognizing the threat to public health and  
7 looking into ways by which it can be prevented. As  
8 both professionals and private citizens, we are all in  
9 this fight together. My hope is my presentation today  
10 of the success of New York's prescription monitoring  
11 program assisted the state of Connecticut in  
12 implementing one of its own. Thank you.

13           MR. BLUMENTHAL: You have definitely  
14 assisted us, sir, and thank you for being here. We  
15 would like to get back to you to learn more about your  
16 program as we move forward. Thank you.

17           MR. GIGLIO: By all means. Thank you.

18           MR. BLUMENTHAL: Lori Zehe.

19           MS. ZEHE: Hi.

20           MR. BLUMENTHAL: Good afternoon.

21           MS. ZEHE: Good afternoon. How are you?

22           Mr. Blumenthal and Commissioner Fleming  
23 and the other members of the panel: I'm pleased that I  
24 was invited to be here to speak with you today about  
25 the issue of prescription drug abuse.

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1           For those of you I don't know, I do not  
2 know, my name is Lori Zehe, and I am the executive  
3 director of Capitol Area Substance Abuse Council which  
4 covers 16 towns west of the Connecticut River,  
5 including the city of Hartford. I've been working in  
6 the field of substance abuse for the last 12 years; 8  
7 here in Connecticut and 5 in Ohio.

8           The issue of prescription drug abuse is  
9 not new. It's been a reality for a number of years,  
10 for many decades, as a matter of fact. However, the  
11 recent rash of deaths due to OxyContin abuse has pushed  
12 this issue to the public -- into into the public eye.  
13 As with many drugs of abuse, the ability to snort the  
14 drug of choice makes it much easier for an individual  
15 to use rather than to be selective or take the length  
16 of time that it takes to digest the drug. And this has  
17 resulted in a number of younger people experimenting  
18 with drugs such as OxyContin and other prescription  
19 drugs and becoming addicted to many of them.

20           As we move forward looking at public  
21 policy, we need to keep in mind that OxyContin is only

22 one of many prescriptions that are abused. We need to  
23 be measured in our approach to avoid throwing out the  
24 baby with the bath water. None of us are for that.  
25 These drugs are effective when used as prescribed.

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1 OxyContin is considered a Godsend for many seriously  
2 ill individuals, especially end stage cancer patients  
3 for whom prescription drugs delivered on a four-hour  
4 schedule are not sufficient for pain management.

5           We must balance the need for pain  
6 management with the propensity for misuse and abuse.  
7 In speaking with my colleagues across the state, we are  
8 aware that young people are abuse -- well, young people  
9 and older people are abusing OxyContin and other pills  
10 and are paying for them in many ways: They are  
11 stealing them from parents' or relatives' medicine  
12 cabinets, they are buying them on the streets, they are  
13 writing prescriptions from a parent's prescription pad  
14 if there is a child of a doctor. They're also being  
15 prescribed often for teenagers who have had things such  
16 as knee surgery. I don't know how appropriate that  
17 is.

18           I also learned in the last few days that  
19 there is another way that young people are obtaining  
20 prescription drugs, and that is many of them are trying  
21 to get jobs as pharmacy technicians, because there is

22 no current regulation of pharmacy technicians, from my  
23 understanding, and it is very easy, if they are  
24 counting out drugs, instead of putting 60 in a bottle,  
25 pocketing a couple in their pocket. I did just learned

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1 this from one of my staff members who works with the  
2 community and works with young people who are abusing  
3 drugs.

4           Frequently they divide the pill into  
5 quarters and take it with alcohol, creating a  
6 synergistic effect. More often the pills are crushed  
7 and inhaled, creating a quicker and more intense high.

8           Another source of prescription drug  
9 abuse that I don't know if anyone talked about today,  
10 it is well-known that many seniors have a tendency to  
11 share their prescriptions with others: "This worked  
12 for me, so, here, you should try this." In most cases,  
13 it's done innocently without malice, but totally  
14 unaware of the consequences of taking prescription  
15 drugs that have been prescribed for others.

16           What would a reasonable approach be to  
17 reduce prescription drug abuse? I brought you a few  
18 suggestions that I hope are helpful to this body.

19           First, effective prevention and  
20 education. We'll never end the abuse of drugs by  
21 eliminating them. The only way we will succeed in

22 reducing drug use is by reducing the demand for drugs.

23 Effective substance abuse prevention and education

24 programs are necessary. They're necessary to target

25 specific populations of students, parents, teachers,

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1 youth workers, social workers, and others.

2           Substantial research has been done on  
3 prevention programs in the last 10 to 15 years. We now  
4 know what works and what doesn't work. We also know  
5 that we must use multiple strategies and multiple  
6 domains with consistent booster sessions: Public  
7 awareness, information dissemination, education,  
8 capacity building within our communities and  
9 individuals, alternate activities, environmental and  
10 policy change, which is what you are looking at, and  
11 early intervention.

12           Unfortunately, prevention programs have  
13 been traditionally underfunded. Just as an example, my  
14 own organization, which is one of 13 regional action  
15 councils, was cut three times in the fiscal year '02  
16 budget. Our core funding was a base funding of  
17 \$75,000. That's now down to a little over \$50,000. So  
18 we have to continue to look elsewhere for funding.

19           We also in the state of Connecticut have  
20 an excellent system of local regional -- whoops, I'm  
21 sorry -- local prevention councils in every state --

22 excuse me -- in every city and town in the state. And  
23 so no one else has that kind of infrastructure, but  
24 those local prevention councils that work in our town,  
25 town officials, residents, parents, and students are

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1 also underfunded. They receive from 1800 to \$10,000.

2 They have not had an increase in ten years.

3           Public policy has to change to provide  
4 sufficient resources dedicated to prevention efforts if  
5 we're going to impact the issue of prescription drug  
6 abuse.

7           Second, we need to look at early warning  
8 symptoms -- systems. In Connecticut we need to  
9 establish an early warning system that alerts all  
10 parties to the latest drugs of abuse. Nationally the  
11 Drug Abuse Warning Network utilizes information  
12 submitted by hospital emergency rooms across the  
13 country. As far as I know, at this time there are no  
14 Connecticut hospitals in that network. Connecticut  
15 needs to establish some type of warning system for  
16 emergency rooms in order to spot trends in drug abuse  
17 as they emerge. This is not only true of prescription  
18 drug abuse, but it's true across the board.

19           I also do a lot of work with inhaling  
20 abuse. Again, the state of Connecticut has no  
21 reporting system. So we only find out about the

22 emergency room deaths and such through other sources.

23           Third, we need to look at regulation and

24 enforcement. While we do not want to discourage

25 physicians from prescribing drugs for the appropriate

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1 patients or pharmacies from stocking them, there is a  
2 need for improved regulation. I would recommend five  
3 steps.

4           The first regulation should be  
5 tightening and enforcing what we have, those  
6 regulations on prescribing and dispensing prescription  
7 drugs that are already in place here in Connecticut.

8           The next step should be developing or  
9 enhancing a system of monitoring prescriptions by  
10 individuals and physicians.

11           In addition, physicians should be better  
12 educated on prescribing these drugs cautiously, as the  
13 earlier example I gave you of a young student who  
14 received OxyContin for knee surgery. I don't know if  
15 that was appropriate or not. Just need to hope that  
16 the doctor did do it appropriately.

17           Pharmacists must be thoroughly trained  
18 in identifying forged prescriptions, as well as  
19 improving the storage of those drugs subject to theft  
20 and misuse. With our new, modern technology, maybe the  
21 time has come to develop a new method of writing

22 prescriptions that make it less likely that they can be  
23 forged. This would also eliminate the errors in  
24 dispensing prescriptions that occur due to, not to  
25 insult any physicians, but the notorious handwriting of

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1 physicians.

2           In addition, we should look also to  
3 those talking about pharmacies that this whole issue of  
4 pharmacy technicians, having kids who are already using  
5 drugs trying to get those jobs is a concern, and how  
6 can we tighten up that system.

7           The final step, manufacturers should  
8 review the composition of these drugs just to determine  
9 if there is a way to reformulate them to achieve the  
10 same results for the patient while making them less  
11 susceptible to abuse. This also includes manufacturers  
12 giving clear instructions to the physician, the  
13 pharmacist, and the patient.

14           Lastly, the fourth issue that we need to  
15 look at is treatment. Last, by no means least, is the  
16 availability of treatment services on demand. Those  
17 unfortunate individuals who succumb to the lure and  
18 addiction of drugs must be provided with treatment  
19 services at the appropriate level of care for their  
20 particular situation. When an addicted individual  
21 recognizes the need for treatment, he or she is

22 motivaed at that point. Telling somebody they've got  
23 to wait for two hours, two days, or two weeks, and many  
24 times more, is unacceptable because at the next  
25 craving, the addict will overcome his ability to resist

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1 and a precious moment of opportunity is lost.

2           Here again, there is a lack of treatment  
3 services at all levels. Waiting lists are common.  
4 Efforts have been made to improve services in the state  
5 and in the Hartford area, but we continue to be unable  
6 to place individuals in treatment.

7           On the adolescent level, which really  
8 concerns me much, the picture is even bleaker.  
9 Adolescent drug abuse is not recognized by parents or  
10 teachers. Schools attempt to deal with the behavior  
11 problems that result but are hesitant to refer a child  
12 for a substance abuse assessment. It's my  
13 understanding if the school identifies the problem as  
14 substance abuse, they are then responsible for the cost  
15 of special education and treatment services. With  
16 school budgets as tight as they are, educators are  
17 extremely reluctant to incur those expenses.

18           Those are the recommendations that I  
19 bring before you that I tried to gather from my own  
20 work, my staff, and my colleagues across the state; and  
21 in conclusion, I would just like to applaud you, Mr.

22 Blumenthal, Commissioner Fleming, for making a priority  
23 of this issue of prescription drug abuse, and also  
24 after hearing some of testimonies today, I'm sure that  
25 you on the panel really need the wisdom of Solomon, and

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1 I hope that you come close to achieving the right mix,  
2 and that you use every tool available to you to come to  
3 a reasoned approach to this issue.

4 MR. BLUMENTHAL: We want to thank you  
5 for being here. We will be sure to call on your wisdom  
6 again. Thank you.

7 MS. ZEHE: Thank you.

8 MR. BLUMENTHAL: Carl Mahler and Steven  
9 Levin, please.

10 MR. MAHLER: Hi.

11 MR. BLUMENTHAL: Thank you for being  
12 here.

13 MR. MAHLER: Good afternoon. I promise  
14 I will be brief.

15 My name is Carl Mahler. I'm a nurse. I  
16 am the vice president for the Hospice Council of  
17 Connecticut. It is called Hospice and Palliative Care  
18 of Connecticut. I represent the 27 Medicare certified  
19 programs that provide for over 8,000 patients who died  
20 in our hospice programs last year.

21 These terminally ill families and

22 patients choose hospice care because these programs  
23 offer excellent pain and symptom control, education and  
24 choices. They allow dignity and control over the  
25 quality of life time. The interdisciplinary team of

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1 experts that provides this care includes the patient's  
2 primary physician, the nurse, the social workers, the  
3 home health aide, the trained volunteers, clergy and  
4 bereavement counselors. All these volunteers work  
5 together to palliate not only their physical symptoms  
6 that arise when a loved one is facing the end of life.

7           Pain can be the most disruptive symptom  
8 and yet the most treatable one as we've talked about  
9 today. There is an enormous amount of education that  
10 happens when patients are placed on a hospice program.  
11 There is a lot of education that families are fearful  
12 of addiction and of the very large doses of medication  
13 that are provided at the end of life to make those  
14 patients comfortable. There is a lot of education  
15 about the physicians, as you've heard today. Many  
16 physicians are very hesitant to order more pain  
17 medication because they are unfamiliar with the dosing  
18 requirements of the terminally ill patient in pain.

19           Whatever this legislation accomplishes,  
20 it must not negatively impact the hospice patients, as  
21 you have said. We agree with that. There is a

22 concern, however, that physicians must not become  
23 hesitant to properly prescribe or paranoid of the  
24 oversight the legislation may propose. They may be  
25 afraid to treat the patient appropriately.

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1           Additional concerns that we would  
2 present for your consideration are: That when the  
3 legislation, if it is presented and approved, that the  
4 physicians have a lot of education around the details.  
5 Some physicians will just hear this new law and  
6 cringe. When the legislation is communicated, it  
7 should reassure the prescribers that pain management is  
8 not being targeted, that the targets of this  
9 legislation are the practitioners who sell for their  
10 own gain.

11           The other concerns that we have are  
12 about patient confidentiality, also about the  
13 monitoring, what type of ongoing monitoring will occur  
14 to assure that this legislation has no detrimental  
15 effects on patients' pain control. Will the monitoring  
16 body include experts in pain control to speak to  
17 adverse effects if and when they are identified?

18           Finally, we understand that  
19 prescriptions will not be monitored in hospitals. Will  
20 that in fact then encourage physicians to just admit  
21 patients to the hospital because there they won't be

22 afraid to prescribe the larger doses of medications?

23 Is this, then, the best place to aggressively treat

24 pain? Most hospice patients prefer to be at home and

25 to live there until they die.

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1 Thank you for this opportunity to voice  
2 our concerns. I think we all agree that the patient  
3 has to be our first consideration and their comfort and  
4 how this legislation may affect them.

5 MR. BLUMENTHAL: Thank you very much.

6 DR. LEVIN: Good afternoon. I admire  
7 your fortitude for plowing through all this.

8 MR. BLUMENTHAL: Thank you for your  
9 fortitude. I noticed both of you have been here most  
10 of the day. Thank you.

11 DR. LEVIN: My name is Dr. Steven  
12 Levin. I currently practice in New Haven, Connecticut.

13 I share of the views of the care  
14 providers that have testified today.

15 Since arriving in Connecticut in 1997, I  
16 have been actively involved in pain education and  
17 patient advocacy through my associations with the Yale  
18 University School of Medicine, the American Cancer  
19 Society, the Connecticut Cancer Pain Initiative, the  
20 Connecticut Hospice, and the American Society for Law,  
21 Medicine and Ethics as well as numerous national

22 societies that focus on improving treatment.

23           Despite the increasing awareness of the

24 importance of properly evaluating and treating pain,

25 efforts to provide sufficient medical resources have

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1 been lacking. There are many barriers to the  
2 management of pain, including inadequate training of  
3 health care professionals, poor communication between  
4 patients and providers, the fear of regulatory scrutiny  
5 by health care providers. Perhaps the top reason that  
6 patients refuse treatment and are fearful of providing  
7 treatment is fear of addiction.

8           The medical community is slowly evolving  
9 understanding of the nature and magnitude of this  
10 epidemiological crisis that has led to incomplete  
11 treating of caregivers in general. The American  
12 Society for Law, Medicine and Ethics conducted a pain  
13 management study in Connecticut which presented the  
14 results of a public conference in December of 2000 at  
15 the Connecticut Hospital Association. This conference  
16 confirmed that most of the physicians feel equipped --  
17 this survey confirmed that most physicians feel ill-  
18 equipped to offer pain management control to their  
19 patients. Meanwhile, the medical schools in  
20 Connecticut have felt compelled to reduce their  
21 commitment to clinical pain management services and

22 physician training, apparently because of financial  
23 concerns. Unfortunately, this reduction in academic  
24 pain management resources comes at a time when  
25 expansion of these resources is needed.

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1           Many physicians report that they are  
2 aware of other physicians who have been subjected to  
3 disciplinary proceedings for prescribing opioid  
4 medications and this has made them more conservative in  
5 prescribing medications for their patients. Review  
6 from public records from 1980 has shown that only a  
7 handful of cases involving disciplinary action have  
8 occurred relating to the treatment of pain, contrary to  
9 the general perception of physicians. Assuming the  
10 public record is reflective of the actual number of  
11 proceedings against physicians for prescribing opioid  
12 medications to treat pain, we might conclude that the  
13 appearance of the regulatory oversight can have a  
14 chilling effect on treatment.

15           Recent news reports have focused  
16 primarily on addiction and abuse of pain relievers.  
17 These reports unfortunately contribute to the under-  
18 treatment of pain by reinforcing misconceptions and may  
19 harm the quality of life for patients. Since addiction  
20 is a common fear that patients hold, such a fear may  
21 prevent them from taking their prescribed medicine.

22 I've had examples of this within my own family. It may  
23 cause family members to encourage people to not seek  
24 treatment. Fear of addiction seems unfounded to this  
25 magnitude for patients with pain, as numerous studies

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1 have shown that less than 1 percent of patients  
2 actually suffer addiction. And while we should not  
3 ignore the possibility of addiction, we should view  
4 these concerns in light of nearly 30 percent of our  
5 population that may suffer significant chronic pain  
6 symptoms. Evidence in support of appropriate pain  
7 treatment details improved quality of life for patients  
8 and decreased medical and disability costs for society.

9           As we attempt to resolve concerns over  
10 pain medications abuse and diversion, we must be  
11 careful to allow and promote access to appropriate  
12 medical care for legitimate patients. Basic fairness  
13 compels access -- to protect access to medical  
14 treatments to the many people who require them and not  
15 punish them for inappropriate or illegal misuse of  
16 these treatments by others. Our collective actions in  
17 this regard must be undertaken with the acknowledgment  
18 that chronic pain and cancer pain touch our lives,  
19 those of our friends, and those of our family.

20           The proposal for the electronic  
21 monitoring of controlled substances has several

22 theoretical advantages in helping patients to  
23 identify -- I'm sorry -- identify patients who may not  
24 be taking medications appropriately or in identifying  
25 physicians who require continuing medical education.

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1 First, should real-time prescription information be  
2 made available to physicians attempting to monitor  
3 compliance in patients on opioid medications, and  
4 deviations from structured care plans could be detected  
5 earlier. Secondly, prescription patterns could be  
6 monitored regionally and information about high-risk  
7 areas could trigger more intensive surveillance in  
8 these high-risk areas. Thirdly, such a system could  
9 help to identify knowledge barriers that could trigger  
10 CME for care providers. Continuing medical education,  
11 that is.

12           However, the proposed electronic  
13 monitoring system raises concerns, not the least of  
14 which is a possible chilling effect on appropriate  
15 patient care if such is seen as a mechanism to promote  
16 disciplinary action against care providers. Also the  
17 system could create inadvertent barriers to patient  
18 care if it is overly burdensome. Therefore, the  
19 implementation of the system should come only after  
20 testing confirms that reporting is an efficient and  
21 economical process. Finally, the system that appears

22 to be proposed may not provide the rapid feedback that

23 physicians could utilize in improving monitoring of

24 compliance of patients.

25 I would reiterate that Congress has

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1 designated this as the "Decade of Pain." This is an  
2 opportune time for everyone concerned about this  
3 privilege to step forward and offer to help spread the  
4 facts about pain relief.

5           There is a strong societal interest in  
6 assuring appropriate use of controlled substances. I  
7 believe that potential misuse of controlled substances  
8 has and will continue to overshadow and impede proper  
9 pain management if we're not careful. Efforts to  
10 improve public safety against misuse and diversion of  
11 opioid medications are important, but should not come  
12 at the expense of this continued challenge of continued  
13 treatment.

14           I thank the panel for its attention to  
15 this important issue and allowing me to share my  
16 thoughts on this issue. And in particular I would like  
17 to thank Attorney General Blumenthal for the actions  
18 that he has already taken on behalf of a number of my  
19 patients. I am happy to report to you that these  
20 actions have resulted in favorable outcomes and has in  
21 one instance saved a patient at risk of undergoing

22 potentially life-threatening treatments.

23 I'm happy to share these success stories

24 with you. I look forward to working together and in

25 ways we can share many other success stories together.

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1 MR. BLUMENTHAL: Thank you very much for  
2 being here. I hope we will continue to have  
3 opportunities to work together and create more success  
4 stories. Thank you very much.

5 We are very sensitive to the concerns,  
6 as you gathered from sitting here, that both of you  
7 have raised so cogently today. Thank you.

8 Our last witness today is Jeffrey  
9 Casberg.

10 MR. CASBERG: Good afternoon.

11 MR. BLUMENTHAL: Good afternoon.

12 MR. CASBERG: Again, my name is Jeff  
13 Casberg, Director of Pharmacy Services, ConnectiCare.

14 I would like to thank you for allowing  
15 us to participate in this forum. Just a couple of  
16 brief points.

17 First I'm a licensed pharmacist in the  
18 state of Connecticut, practicing in the state of  
19 Connecticut for the last seven years. So I have some  
20 expertise in this in promoting appropriate use of  
21 medications for members.

22 I will make three brief points and keep  
23 it brief here. Number one is ConnectiCare's concerned  
24 about the appropriate use of controlled substances;  
25 number two, we're concerned that any legislation passed

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1 would inhibit the access to needed pain medication at  
2 the point of sale; and, number three, we would like to  
3 offer continued assistance in developing any  
4 legislation in the future.

5           In the point of appropriate utilization,  
6 abuse of controlled substances is not new to health  
7 care professionals. It's been going on for years and  
8 years. As we have seen from other witnesses in other  
9 states, there are controls around the utilization of  
10 controlled substances in other states. So I am  
11 interested in participating in the development of this  
12 for the state of Connecticut.

13           I think the abuse of OxyContin in the  
14 last couple years has increased dramatically, which has  
15 brought us to this legislation right now. This topic,  
16 actually, we have a committee that meets quarterly of  
17 about 10 physicians from the state of Connecticut, some  
18 of the physicians may serve yourselves. We meet  
19 quarterly, we discuss their use of medications for all  
20 of our membership. There are 275,000 members in the  
21 state of Connecticut.

22           We met two months ago on this very  
23 issue. We discussed OxyContin, and we raised four  
24 proposals. I will tell you what they actually  
25 proposed. The first one we proposed was at the point

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1 of sale to allow the initial prescription to come  
2 forward for 60 tablets or so, which allows them to walk  
3 out the door of the pharmacy with a prescription and at  
4 a later point request medical necessity criteria for  
5 continued use. That was the first.

6           The second one is continue what we have  
7 currently done for several years: Retrospectively look  
8 at prescriptions for our clients and develop thresholds  
9 as so much prescriptions per member per quarter, number  
10 of doctors they have seen, type of medication used, and  
11 then eliminate unnecessary reporting physicians through  
12 looking at other diagnosis criteria such as cancer or  
13 other things such as that. Then once we develop that,  
14 send that out to practitioners, describing to them  
15 which possible patients could be abusing the  
16 medications.

17           So we've been doing that on a quarterly  
18 basis for several years. That was probably number two,  
19 retrospective drug review.

20           Third was a similar non-physician  
21 focused education, but towards the member. It would be

- 22 a little softer. Basically running an electronic data,
- 23 looking at members who basically are pharmacy shoppers,
- 24 members who go to several pharmacies, getting different
- 25 types of medications, trying to avoid edits at point of

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1 sale, and sending members who do that educational  
2 points why it's best to use one pharmacy, why it is  
3 better to use one pharmacy rather than multiple  
4 pharmacies.

5           Number four is to do increased education  
6 to physicians.

7           After presenting these four proposals to  
8 our physicians on the committee, the final proposal was  
9 to stay with what we had currently been doing. Not to  
10 put point-of-sale edits in, but to remain with the  
11 retrospective drug utilization review with possible  
12 abuse potential with some of their patients. The  
13 committee decided they do not want their point-of-sale  
14 edits appropriate especially in the realm of pain where  
15 it is an acute need where they need the medications at  
16 that time. And after reviewing the number of patients  
17 on these medications, it was felt it was abuse, but the  
18 abuse is the exception rather than the rule, and that  
19 in our current situation with retrospective drug review  
20 that can address this, rather than putting additional  
21 stops at the point of sale or physicians or causing

22 increased paper hassle.

23           So that is a summary of why we decided

24 to stay with what we are currently doing. And

25 ConnectiCare is concerned about putting legislation

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1 around electronic edits and would be more than willing  
2 to work with you on developing and providing our  
3 expertise in this area.

4 MR. BLUMENTHAL: Thank you very much.

5 I think we're done with the witnesses  
6 that we had scheduled. We may well want to schedule  
7 another such informational hearing, and I stress that  
8 it has been informational. It's provided a lot of very  
9 useful insight and data to us, and I hope to members of  
10 the public, and we want to thank everyone who has  
11 participated.

12 COMMISSIONER FLEMING: Also, I'd like to  
13 thank the Attorney General for organizing this. It's  
14 been very helpful to me. If my agency decides to go  
15 forward with legislation on this topic, much of what  
16 was said here today will help us to develop whatever  
17 the legislature decides they want to take up during the  
18 short session. So I appreciate everybody coming out  
19 and giving us your thoughts.

20 MR. BLUMENTHAL: Thank you all.

21 (Public Hearing adjourned at 1:53 p.m.)

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