



Criminal Justice Information System

# Governing Board Meeting

January 26, 2017

# Agenda

- Hosting CT: CHIEF Update
- CISS Project Update
- DCJ SharePoint Site Expansion/Vote
- Project Health Check

# Hosting CT: CHIEF

- Status on CT: Chief
  - Wethersfield and Enfield PDs are live
  - New Britain PD has an approved contract
  - Plainville PD – Final contract sent for signatures
  
- To date 1 production incident support ticket had been opened for CJIS – Total support time was 1.75 hours to resolve
  
- State work effort is currently being tracked during Plainville and New Britain PDs deployment

# CISS Project Update

## RMS

### Certification

- CT: CHIEF and ACCUCOM
  - 2 of 12 RMS vendors in CT
  - Cover roughly 20 of 93 Police Departments
- Discussions on contractual agreements with New World, SunGard and TriTech will continue starting early February
  - Design documents will be sent out at the end of January 2017
- High priority to onboard all RMS vendors

# CISS Project Update

RELEASE	Q3 2016			Q4 2016			Q1 2017			Q2 2017			Q3 2017			Q4 2017	
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV
3	SEARCH	POR, CRMVS								Production Ready							
6	SEARCH	CIB, DOC CASE MANAGEMENT, DMV DRIVERS and VEHICLES									Production Ready						
4	WORKFLOW	UAR & MISDEMEANOR SUMMONS - FULL PAYLOAD: DOCUMENT REDACTION, ANNOTATION, RELEASE											Production Ready				
5	SEARCH	LAW RMS, ECM DOCS SEARCH & RETRIEVE															
8	WORKFLOW	POST ARREST, ARRAIGNMENT, DISPOSITION, POST JUDGMENT											Production Ready				
7	SEARCH/ RETRIEVE	INFRACTIONS											Production Ready				
9	SEARCH	SOR, CMIS, MNI/CCH, WEAPONS, COLLECT (WANTED PERSONS)															



# CISS Project Update

## Plans and Completions

- **R3 – Search Sources (CRMVS & POR) & Saved Searches**
  - Defect and Change Request review for fixes ✓
  - Development thru 2/10/2017
  
- **R4 – RMS UAR & Misdemeanor Summons Electronic Data**
  - Development thru 3/17/2017
  
- **R5 – Search Source (RMS) & Events**
  - Development thru 6/9/2017 – Dependent on R4

# CISS Project Update

## Plans and Completions *cont.*

- **R6 – Search Sources (CIB w/Documents, DOC/BOPP CM)**
  - Development thru 2/24/2017
  
- **R7 – Infractions**
  - Merged with Release 6 via a change control
  - Requirements session scheduled for 10/25/16 ✓
  - Hold design session ✓
  
- **R8 – Post Arrest, Arraignment, Post Judgement & Case Setup**
  - Development thru 4/21/2017

# CISS Project Update

## Plans and Completions *cont.*

- **R9 – Search Sources (SOR, CMIS, MNI/CCH, Weapons & Wanted)**
  - Outstanding items for DESPP files
    - Need SOR vendor contact information ✓
    - Need SOR vendor commitment ✓
    - Need to get a resource on board to do work efforts ✓
  - Finalize Requirements for CT Wanted file ✓
  - Hold Design session for Wanted data

# CISS Project Update

## Projections

- **R3 – Search Sources (CRMVS & POR) & Saved Searches**
  - Systems testing to restart on 2/13/2017
  - User Acceptance testing to restart on 4/5/2017
  - Production GO LIVE – 5/28/2017
  
- **R4 – RMS UAR & Misdemeanor Summons Electronic Data**
  - Continue Development
  
- **R5 – Search Source (RMS) & Events**
  - Continue Development
  
- **R6 – Search Sources (CIB, DOC/BOPP CM & DMV)**
  - Continue Development

# CISS Project Update

## Projections *cont.*

- **R7 – Infractions**
  - Merge with Release 6
  
- **R8 – Post Arrest, Arraignment, Post Judgement & Case Setup**
  - Start Development
  
- **R9 – Search Sources (SOR, CMIS, MNI/CCH, Weapons & Wanted)**
  - Begin Data Replications for the following sources:
    - SOR – Need CJIS approval and PO
    - Wanted – Received DESPP approval
    - Weapons – Start to work with data vendor
    - Hold Design session for Wanted data

# CISS Project Update

## Project Risks

- State Budget Cuts
  - Stakeholder involvement with CISS project may be impacted by State budget cuts
  
- CJIS FBI Clarification about Wanted Persons Data
  - FBI approval has been received. DESPP legal has reviewed and sent response back to the FBI – **CLOSED on 1/18/2017**
  
- Project Schedule Impacts
  - Delays in prior releases and current releases could cause a need to extend the CISS current schedule
  
- CISS Demand on Stakeholders
  - CISS is in a project life cycle that is very demanding on Stakeholders to support 6 active releases



# CISS Project Update

## Project Risks *cont.*

- Release 9 data sources not yet replicated
  - Resource constraints with DESPP for:
    - Weapons
    - Wanted

**Mitigation:** A resource has started on 1/23/2017 for DESPP

- SharePoint Upgrade to 2016
  - Currently CISS is being developed under SharePoint 2010
    - SharePoint 2010 is at the end of mainstream support in October 2017

**Mitigation:** A review of the findings will be done to determine what needs to be done now and/or could wait until Phase 2

# CISS Project Update

## Risks Mitigation

- Working with Agencies to baseline the lifecycle of work efforts for interfacing with CISS
  - Reviewing of resource needs to meet the schedule for development and testing
  - Review of Agency busy periods that could impact the schedule
- Seek approval of proposed deliverable schedule change for Phase 1
- Project Checkpoint meetings every two weeks to review the progress and identify any potential impacts early

# CISS Project Update

## Creation of Guiding Principles

- Worked with Stakeholders to develop the guiding principles:
  1. Search sources should be delivered in a complete package to ensure the completeness of the data available to users
  2. Workflow data should be delivered as a whole, in order to minimize the impact of change to the current workflow thereby avoiding the possibility of additional work
  3. UAT testing expectations should be set up early enough to quantify Stakeholder's timeline
  4. Stakeholders should be allowed to see development process earlier to help identify any potential gaps in scope or defects before UAT to avoid costly changes

# CISS Project Update

## Creation of Guiding Principles cont.

5. Work with Superior Court Operations to understand their work process. Then draft a new process with their assistance to allow a smooth migration to the new adjusted business process that includes the electronic abilities of CISS
6. The CJIS PMO needs to recognize and account for the dependencies between Stakeholders who need work process changes now versus those who need to prepare for the impact to process changes in the future

# CISS Project Update

## Project Schedule Next Steps

- Create a schedule that satisfies the key success factors and guiding principles from the Stakeholders
- Deploy sooner for sources that are ready and have agreement from Stakeholders

# CISS Project Update

## CISS Deployment Strategy Key Points:

- New Proposed Project Schedule from Conduent (Xerox) for Internal CJIS Review
  - Extension of 3-4 months from November 2017
  - Expected additional Costs
- SharePoint Upgrade from 2010 to 2016 Needed
- CISS User Deployment Training and Access Authorization Plan

# CISS Project Update

## CISS Support Strategy Key Points:

- Operational Support - Request For Proposal (RFP)
- Effort to Hire State Employees Once Executive Director is Hired
- Current Consultants Utilized
- Help Desk Support
  - Current Hours 8:00 AM – 4:30 PM
  - CISS User Support
  - CJIS Agencies Support
  - RMS Vendors Support
  - Service Level Agreement (SLA)
- Change Control Board
- System Center
  - Monitors and Real Time Dashboards
- Future CISS User Group
  - User Driven Planned Releases



# CISS Project Update

## Governance Committee Update

### Issues Log

<b>Description</b>	<b>Assigned to</b>	<b>Status or Due Date</b>
A suggestion was made to develop a "clarification on intended use" to send to FBI to confirm intent to utilize COLLECT data as part of CISS search.	Antoinette Webster, James Harris	Tentatively Closed

# CISS Project Update

<b>CISS PHASE-1 BOND FUND BUDGET SUMMARY TO 12/31/16</b>		
<b>FUNDING</b>		<b>TOTALS</b>
Bond Funds Provided in 2011	\$ 8,000,000	
Bond Funds Provided in 2012	\$ 7,700,000	
Bond Funds Provided in 2013	\$ 4,720,000	
Bond Funds Provided in 2014	\$ 7,900,000	
Bond Funds Provided in 2015	\$ 5,500,000	
Bond Funds Provided in 2016	\$ 17,100,000	
<b>CISS Budget Commitment*</b>		<b>\$50,920,000</b>
<b>CISS BOND EXPENDITURES</b>		
<b>BUDGETED FISCAL YEAR</b>		
FY 2012	\$ 3,909,326	
FY 2013	\$ 6,382,674	
FY 2014	\$ 5,519,499	
FY 2015	\$ 7,631,994	
FY 2016	\$ 6,569,250	
FY 2017 (July - December 2016)	\$ 4,864,363	
<b>Total CISS Expenses from Bond Fund</b>	<b>\$ 34,877,105</b>	
<b>CISS EXPECTED FUTURE BOND EXPENDITURES FROM 12/01/16 TO DATE 12/31/17</b>		
Expected costs for CJIS	\$ 12,676,770	
Xerox Contract Remaining Costs	\$ 6,964,960	
High Risk Project 10% Contingency	\$ 1,267,677	
<b>Total Future Expected Cost</b>	<b>\$ 20,909,407</b>	
<b>Total Expected Costs for CISS Phase -1**</b>		<b>\$55,786,512</b>
<b>Expected Additional Bond Funds Needed***</b>		<b>(\$4,866,512)</b>
Remaining Bond Fund Approved Requests	\$ 10,000,000	
<b>Expected Bond Funds Remaining for CISS Phase-2</b>		<b>\$5,133,488</b>
<b>Note:</b>		
* Bond Funds received to date.		
** Planned 18 State Positions Not Filled and Budgeted to Be Paid from Inmate Phone Revenues. Consultants are Used Instead of State Employees Who Are Paid from Bond Funds.		
*** Additional Bond Funds for FY 18 of \$10 M Planned		



# CISS Project Update

<b>CISS PHASE-1 BOND FUND BUDGET ANALYSIS AS OF 12/31/16</b>		
<b>Total Future Expected Cost</b>		
<b>Total Expected Costs for CISS Phase -1</b>	<b>\$ 55,786,512</b>	
<b>Original Budget Estimate From MTG Consultants for Phase -1</b>	<b>\$ 37,810,000</b>	
<b>Gross Difference Between Total Expected Costs for CISS Phase -1 and Original Budget Estimate From MTG Management Consultants for Phase -1</b>		<b>\$ 17,976,512</b>
<b>Unplanned Incremental Costs</b>		
<b>Cost of Using Consultants Paid from Bond Funds Instead of Inmate Phone Revenue</b>	<b>\$ 11,158,081</b>	
<b>CISS Contract Amendment Incremental Costs</b>	<b>\$ 4,033,556</b>	
<b>Total Unplanned Incremental Costs*</b>		<b>\$ 15,191,636</b>
<b>Total Expected Difference**</b>		<b>\$ 2,784,876</b>
<b>Note:</b>		
*Planned 18 State Positions Paid from Inmate Phone Revenues Not Filled. Consultants are Used Instead of State Employees using Bond Funds Plus the Cost of Contract Amendment.		
**CISS Operational Funding from the General Funds not provided until Phase-1 is complete. MTG Management Consultants Budget Assumed Operational Dollars would be provided as each release went into production. Second, the SharePoint upgrade from 2010 to 2016 was not planned but an estimate has been added to the numbers.		

# DCJ SharePoint

- Request from the Division of Criminal Justice (DCJ).
  - Expansion of the SharePoint site utilizing the CJIS external facing SharePoint server similar to the existing Homicide Cold Case
- Opportunities:
  - Use existing system resources from CJIS
  - CJIS partners to collaborate on CJIS data for a critical investigation that would otherwise not be possible
  - Collects information for future CJIS SharePoint use.
  - “Do more with less”

# DCJ SharePoint Site

## DCJ SharePoint Site Expansion Proposal

- Decision to expand the DCJ SharePoint site utilizing the CJIS external facing SharePoint server



- **Vote to approve**

# CISS Project Health Check

Reporting Period 10/08/2016– 12/14/2016

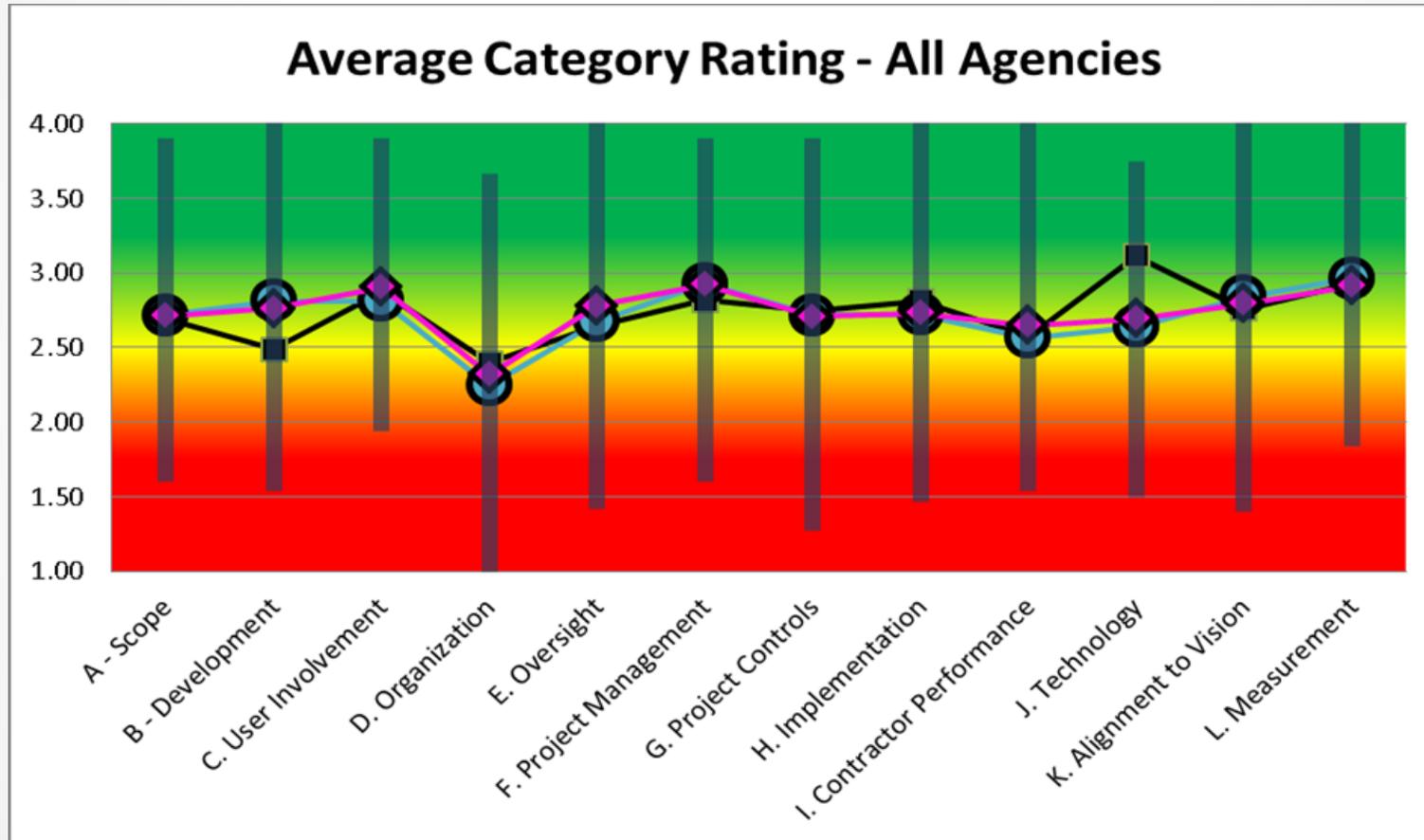
## Looming Schedule Impacts

There were only slight changes in the overall score from last quarter. The overall project score increased from 2.72 to 2.74. Stakeholders are worried that Agencies will not be able to meet the project's demands with the resources they will have in place, and there is concern that other items may have significant impacts on the project schedule, such as the SharePoint upgrade.

Many Agencies did note this time that they are seeing delivered progress. Some Agencies are very pleased that the project has implemented functionality important to their Agency.

# CISS Project Health Check

Reporting Period 10/08/2016– 12/14/2016



Last Year Values



Last Quarter Values



Current Quarter Values

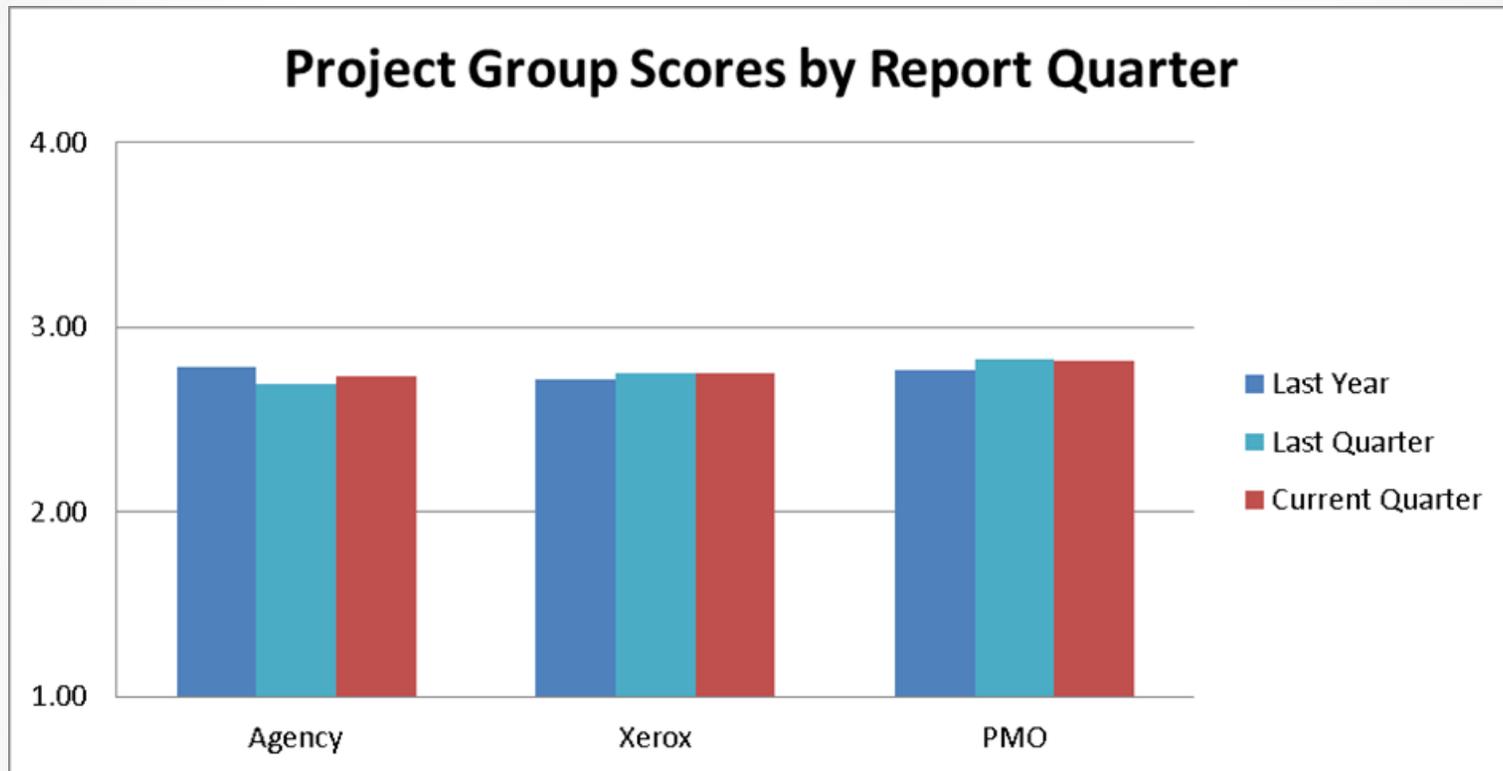
# CISS Project Health Check

Reporting Period 10/08/2016– 12/14/2016

- Stakeholders' main concern continues to be the timeline. Most Agencies will face additional budget cuts this year, pressing already limited resources to meet the tight project timeline.
- In summary the main concerns from those involved are:
  - Difficult to meet the timeline for full implementation with fewer resources available
  - Concern that critical functionality will be cut from the project scope
  - The schedule is changing due to release reorganization and will likely experience additional changes from the SharePoint upgrade
  - Executive Director position has not been filled

# CISS Project Health Check

Reporting Period 10/08/2016– 12/14/2016



- AGENCY – The biggest concern was continued delays, impacting later releases.
- XEROX – Awaiting clarity on the SharePoint upgrade impacts.
- PMO – Needs to alter communication now that many agencies do not participate in the Governance Committee Meetings.

# CISS Project Health Check

Reporting Period 10/08/2016– 12/14/2016

## Key Risks

- Risk #4 – The absence of the Executive Director will continue to negatively impact the project.
- Risk #5 – The lack of a plan to operationally support the system may impact the long-term success of the project.
- Risk #11 – State budget cuts impact project resource availability, either directly or indirectly. Agencies will have a harder time meeting CISS project needs. Having fewer resources could also impact the on-time implementation of new Agency systems that are important to CISS success.
- Risk #13 – The SharePoint upgrade takes longer than anticipated, causing major rework and significant delays, stalling the project.

# CISS Project Health Check

## Looking Forward



### **Administer**

- Health Assessment Surveys
- Planned: 03/06/2017

### **Perform**

- Interviews
- Planned: 03/13/2017

### **Review**

- Report to the Governing Board
- Planned: 04/27/2017

# Upcoming Meeting



➤ **Thursday, April 27, 2017**

**1:30 PM**

**300 Corporate Place, Rocky Hill, CT 06067**