



STATE OF CONNECTICUT
 DEPARTMENT OF BANKING
 260 CONSTITUTION PLAZA • HARTFORD, CT 06103-1800



Jorge L. Perez
 Commissioner

 *
 IN THE MATTER OF: *
 *
 STEPHEN M. THOMPSON *
 *

CERTIFICATION
DOCKET NO. CF-18-8308-S

The undersigned hereby certifies, on behalf of the Banking Commissioner (“Commissioner”), that:

1. On June 7, 2018, the Commissioner issued an Order to Cease and Desist, Notice of Intent to Fine and Notice of Right to Hearing (collectively, “Notice”) against Stephen M. Thompson (“Respondent”), a copy of the Notice is attached hereto and incorporated by reference herein;
2. The Order to Cease and Desist provided that it would remain in effect and become permanent against Respondent if a hearing was not requested within 14 days of its receipt;
3. On June 8, 2018, the Notice was sent by certified mail, return receipt requested, to Respondent;
4. On July 10, 2018, the Notice was served on the Commissioner, and on July 13, 2018, in accordance with Section 36b-33(h) of the General Statutes of Connecticut, Notice of Service on the Banking Commissioner In the Matter of: Stephen M. Thompson dated July 13, 2018 (“Notice of Service”), was sent by certified mail, return receipt requested, to Respondent;
5. Respondent has failed to request a hearing on the allegations set forth in the Order to Cease and Desist within 14 days of the date of the Notice of Service; and
6. On July 28, 2018, the Order to Cease and Desist issued against Respondent became permanent.

JORGE L. PEREZ
 BANKING COMMISSIONER

Dated at Hartford, Connecticut,
 this 23rd day of August 2018.

By: Paul A. Bobuff
 Paul A. Bobuff
 Principal Attorney