
IN THE MATTER OF:

**REED FRANCIS BIRMINGHAM
D/B/A BIRMINGHAM WEALTH
STRATEGIES
CRD NO. 5526970**

CERTIFICATION

DOCKET NO. CRF-19-8509-S

The undersigned hereby certifies, on behalf of the Banking Commissioner (“Commissioner”), that:

1. On October 10, 2019, the Commissioner issued an Order to Cease and Desist, Order to Make Restitution, Notice of Intent to Fine and Notice of Right to Hearing (collectively, “Notice”) against Reed Francis Birmingham d/b/a Birmingham Wealth Strategies (“Respondent”), a copy of the Notice is attached hereto and incorporated by reference herein;
2. The Order to Cease and Desist and Order to Make Restitution provided that they would remain in effect and become permanent against Respondent if a hearing was not requested within 14 days of their receipt;
3. On October 10, 2019, the Notice was sent by certified mail, return receipt requested, to Respondent;
4. On October 15, 2019, Respondent received the Notice;
5. Respondent has failed to request a hearing on the allegations set forth in the Order to Cease and Desist and Order to Make Restitution within 14 days of their receipt; and
6. On October 30, 2019, the Order to Cease and Desist and Order to Make Restitution issued against Respondent became permanent.

**JORGE L. PEREZ
BANKING COMMISSIONER**

Dated at Hartford, Connecticut,
this 31st day of October 2019.

By: _____/s/_____
Elena Zweifler
Staff Attorney