
IN THE MATTER OF:

FASTSIGNS INTERNATIONAL, INC.

**STIPULATION
AND AGREEMENT**

No. ST-19-894-B

WHEREAS, the Banking Commissioner (the “Commissioner”) is responsible for administering Chapter 672c of the Connecticut General Statutes, the Connecticut Business Opportunity Investment Act (the "Act");

WHEREAS, FASTSIGNS International, Inc. (the “Franchisor”) of 2542 Highlander Way, Carrollton, Texas 75006 is a Texas corporation formed on April 30, 1986. Prior to January 31, 2000, the Franchisor was known as American Fastsigns, Inc.;

WHEREAS, the Commissioner, acting pursuant to Section 36b-71 of the Act and through the Securities and Business Investments Division (the “Division”) of the Department of Banking, has conducted a review of the Franchisor’s activities to determine if it has violated or is about to violate any provision of the Act or any regulation or order under the Act;

WHEREAS, as a result of such review, the Commissioner has ascertained that, based on representations made by the Franchisor in conjunction with the Division’s inquiry, between February 13, 2003 and April 13, 2004, the Franchisor sold an unregistered business opportunity to a Connecticut resident in contravention of Sections 36b-67(1) and 36b-62(a) of the Act;

WHEREAS, the Franchisor has furnished the Division with proof supporting its claim that Connecticut sales occurring on and after April 13, 2004 would have qualified for the exclusion in Section 36b-61(2)(D) of the Act;

WHEREAS, Section 4-177(c) of Chapter 54 of the Connecticut General Statutes permits the resolution of a contested case by stipulation or agreed settlement;

WHEREAS, the Franchisor desires to settle the matters described herein and, without admitting or denying the allegations herein, voluntarily enters into this Stipulation and Agreement, acknowledging that this Stipulation and Agreement is in lieu of any court action or administrative proceeding against the Franchisor, its officers, directors, employees, agents or representatives adjudicating any issue of fact or law on the specific matters described herein;

WHEREAS, the Franchisor, through its execution of this Stipulation and Agreement, voluntarily waives any rights it may have to seek judicial review or otherwise challenge or contest the terms and conditions of this Stipulation and Agreement;

I, Catherine Monson, state on behalf of FASTSIGNS International, Inc., that I have read the foregoing Stipulation and Agreement; that I know and fully understand its contents; that I am authorized to execute this Stipulation and Agreement on behalf of FASTSIGNS International, Inc. and that FASTSIGNS International, Inc. agrees freely and without threat or coercion of any kind to comply with the terms and conditions stated herein.

FASTSIGNS International, Inc.

By _____/s/_____
Catherine Monson
President

On this 31 day of July 2019, personally appeared Catherine Monson, signer of the foregoing Stipulation and Agreement, who, being duly sworn, did acknowledge to me that she was authorized to execute the same on behalf of FASTSIGNS International, Inc., a corporation, and acknowledged the same to be her free act and deed, before me.

_____/s/_____
Notary Public
My Commission Expires: March 1, 2023