

Form AU-967

Request for Certificate of Compliance

Purpose: An unverified nonresident subcontractor must submit Form AU-967 to request a Certificate of Compliance when **all** work on the project is complete including change orders and punch list items. A Certificate of Compliance from the Department of Revenue Services (DRS) authorizes full or partial release of held back monies from the contractor who withheld the 5% from the unverified subcontractor.

Part I: Nonresident Unverified Subcontractor Information	
Name	Connecticut Tax Registration No.
Address (Street or PO Box, City, State, and ZIP Code)	
Type of Organization:	
<input type="checkbox"/> Limited liability company (LLC) <input type="checkbox"/> Corporation <input type="checkbox"/> Sole proprietorship	
<input type="checkbox"/> Single member LLC (SMLLC) <input type="checkbox"/> S Corporation <input type="checkbox"/> Other (explain):	
Part II: Contractor Holding Back Payment from Unverified Nonresident Subcontractor	
Name	Connecticut Tax Registration No.
Address (Street or PO Box, City, State, and ZIP Code)	
Part III: Project Information	
Physical Location of Project (Street, City or Town)	Name of Project
Commencement Date for Subcontractor	Completion Date for Subcontractor
Subcontractor's Contract Amount with Contractor in Part II	Withheld Amount
Conditions	
<ul style="list-style-type: none">• Subcontractor's work on this project is complete.• Subcontractor is registered for all applicable taxes with DRS.• Subcontractor has filed all tax returns related to this project including sales and use tax and Connecticut withholding tax.	
Subcontractor Declaration: I, an authorized agent of the nonresident unverified subcontractor, declare under the penalty of law that I have examined Form AU-967 and, to the best of my knowledge and belief, it is true, complete, and correct. I understand the penalty for willfully delivering a false document or return to DRS is a fine of not more than \$5,000, or imprisonment for not more than five years, or both.	
Print Name	Title
Authorized Signature	Date
Prime or General Contractor Declaration: I, an authorized agent of the prime or general contractor, declare under the penalty of law that I have examined Form AU-967 and, to the best of my knowledge and belief, it is true, complete, and correct. I understand the penalty for willfully delivering a false document or return to DRS is a fine of not more than \$5,000, or imprisonment for not more than five years, or both.	
Print Name	Title
Authorized Signature	Date