

## Form AU-964 Surety Bond and Release

**Purpose:** A registered nonresident prime or general contractor working in Connecticut, and a surety company licensed to do business in Connecticut, use **Form AU-964** to post a surety bond for a specific project over \$250,000 to ensure all taxes due to the State of Connecticut from the contract, including all subcontractors directly under the prime or general contractor are paid to Department of Revenue Services (DRS). Read the instructions on the reverse side before you complete this form. If you need assistance, call **860-541-7538**, Monday through Friday, during business hours.

<b>Part I: Nonresident Prime or General Contractor Information</b>		
Name	Connecticut Tax Registration No.	
Address (Street or PO Box, City, State, and ZIP Code)		
<b>Part II: Customer of Nonresident Prime or General Contractor (owner, lessee, or other person having authority to enter into a contract)</b>		
Name	Address (Street or PO Box, City, State, and ZIP Code)	
<b>Part III: Surety Company Information</b>		
Name	Bond No.	Bond Amount, 5% of Total Contract Price
Address (Street or PO Box, City, State, and ZIP Code)		
<b>Part IV: Project Information</b> <input type="checkbox"/> Check if this bond is for a change order.		
Physical Location of Project (Street, City or Town)		Name of Project
Commencement Date	Completion Date	Total Contract Price not to be less than \$250,000
<p>Conditions of the obligation for the project detailed above:</p> <ul style="list-style-type: none"> <li>• The nonresident prime or general contractor has entered into a contract related to real property at a Connecticut location for a contract price of \$250,000 or more.</li> <li>• The nonresident prime or general contractor and the surety company are posting a bond of 5% of the total contract price, including any change orders and add-ons, with DRS to ensure that all taxes that become due and owing during the period of the contract will be paid.</li> <li>• If the nonresident prime or general contractor pays all taxes, interest, and penalties within three years from the last day of the month succeeding the reporting period in which the contractor posted the bond, the bond expires; otherwise the obligation remains in full force.</li> <li>• This bond jointly and severally binds the nonresident prime or general contractor and the surety company, their heirs, executors, administrators, successors, and assigns for payment of this obligation.</li> </ul>		
<p><b>Nonresident Prime or General Contractor Declaration:</b> I, an authorized agent of the nonresident prime or general contractor, declare under the penalty of law that I have examined Form AU-964 and, to the best of my knowledge and belief it is true, complete, and correct. I understand the penalty for willfully delivering a false document or return to DRS is a fine of not more than \$5,000, or imprisonment for not more than five years, or both.</p>		
Print Name		Title
Authorized Signature		Date
<p><b>Surety Company Declaration:</b> I, an authorized agent of the surety company named above, declare under the penalty of law that I have examined this Form AU-964 and, to the best of my knowledge and belief it is true, complete, and correct. I understand the penalty for willfully delivering a false document or return to DRS is a fine of not more than \$5,000, or imprisonment for not more than five years, or both.</p>		
Print Name	Title	Raised Seal:
Authorized Signature	Date	
<b>~~ For DRS Use Only ~~</b>		
<p><b>Release by Authorized DRS Agent:</b> I, an authorized agent of the DRS, have examined the tax liabilities covered by this Form AU-964 and am releasing the nonresident prime or general contractor named above and the surety named from this bond related to the project and timeframe described above. The DRS will retain the original copy of this form.</p>		
Print Name	Title	Stamp:
Authorized Signature	Date	

## Instructions

**Part I:** Enter the name and complete address of the nonresident prime or general contractor furnishing the bond. Include the nonresident prime or general contractor's Connecticut tax registration number.

**Part II:** Enter the name and complete address of the customer of the nonresident prime or general contractor.

**Part III:** Enter the name and complete address of the surety company that guarantees this bond. Include the bond number and bond amount, which must be 5% of the total contract price.

**Part IV:** Check the box if the bond is for a change order occurring after the bond for the initial contract was furnished to DRS. Enter the name of the project and the complete address including the street address and the city or town where the project is physically located. Enter the commencement date of this project or change order. Enter the date by which the nonresident prime or general contractor is expected to complete work on this project or change order. Enter, in words and figures, the total amount to be paid to the nonresident prime or general contractor under the contract. Indicate if this amount is an estimate. This amount cannot be for a contract less than \$250,000.

**Declarations:** An authorized representative for the nonresident prime or general contractor and the surety company must sign and date the declaration on Form AU-964. The name of the nonresident prime or general contractor and the surety company must be exactly as it appears on the bond. The raised corporate seal of the surety company must be affixed by its signature on Form AU-964.