



STATE OF CONNECTICUT

OFFICE OF STATE ETHICS

CITIZEN'S ETHICS ADVISORY BOARD OFFICE OF STATE ETHICS 18-20 Trinity Street, Hartford, CT 06106

MINUTES OF THE MAY 17, 2018 REGULAR MEETING

The Citizen's Ethics Advisory Board ("Board") of the Office of State Ethics ("OSE") held a Regular Meeting on Thursday, May 17, 2018, in the 5th Floor Conference Room, 18-20 Trinity Street, Hartford, CT 06106.

Chair Dena Castricone called the meeting to order at 1:06 p.m.

The following Board members were present:

Dena Castricone, Chair
Charles F. Chiusano
Beth Cook
Jason Farrell

Richard Lang
Cheryl Lipson
Nichelle Mullins

The following staff members of the OSE were present:

Carol Carson, Executive Director
Barbara Housen, General Counsel
T.J. Jones, Ethics Enforcement Officer
Nancy Nicolescu, Director of Education &
Communications
Peter Lewandowski, Associate General Counsel

Mark Crayton, Assistant Ethics Enforcement
Officer
Mark Wasielewski, Deputy Ethics Enforcement
Officer
Alaaeldin Ali, Temporary Clerk of the Board
Michael Morrissey, Senior Investigator

The following topics were addressed during the meeting:

1. The minutes of the April 19, 2018 Regular Meeting were presented to the Board for approval.

On the motion of Mr. Chiusano, seconded by Ms. Lipson, the Board voted six (6) to zero (0) to approve the minutes of the April 19, 2018 Regular Meeting.

2. Chair Castricone volunteered to serve as the UAPA Hearing Officer for hearings scheduled for June 28, 2018.
3. General Counsel Housen and Chair Castricone provided proposed modification language for Board Policy No. 4.

Following discussion and on the motion of Mr. Lang, seconded by Mr. Chiusano, the Board voted five (5) to two (2), with Ms. Cook and Mr. Farrell opposed, to adopt Board Policy No. 4, as amended.

4. General Counsel Housen presented the Legal Division Report. She reported that the OSE's Brief in the court appeals of Advisory Opinion 2017-2 will be filed on Monday May 21st, 2018.
5. Director of Education & Communications Nancy Nicolescu, presented the Education and Communications Report.
6. Ethics Enforcement Officer Jones presented the Enforcement Division Report. He reported that a probable cause hearing is scheduled for June 27, 2018 and, if probable cause is found, a full Board hearing would need to be empaneled within 30 days. He noted the number of matters scheduled for the UAPA hearings scheduled for May 24, 2018, adding that a number of them will likely be settled prior to the hearing.
7. Mr. Jones presented the audit report for TransCanada Power Marketing, LTD. There were two adverse findings in this matter.

On the motion of Mr. Chiusano, seconded by Ms. Cook, the Board voted seven (7) to zero (0) to approve the audit report for TransCanada Power Marketing, LTD.

8. Executive Director Carson reported on Senate Bill 176, which passed unanimously in both chambers of the General Assembly on the final evening of session. She also noted the passage of Senate Bill 175, which has several sections that amend the Ethics Codes. She noted the budget for FY 2019 is the same as the FY 2018 budget. She introduced Senior Investigator Michael Morrissey to the Board and highlighted his role at the OSE.

On the motion of Mr. Chiusano, seconded by Ms. Cook, the Board voted seven (7) to zero (0) to enter Closed Session to discuss the status of Docket No. 2017-15, which is confidential pursuant to General Statutes §§ 1-82a.

The following Board members were present for the Closed Session:

Dena Castricone, Chair
 Charles F. Chiusano
 Beth Cook
 Jason Farrell

Richard Lang
 Cheryl Lipson
 Nichelle Mullin

The following staff members were present for the Closed Session:

Carol Carson, Executive Director
 Barbara Housen, General Counsel
 T.J. Jones, Ethics Enforcement Officer

Following the Closed Session, the Board reconvened in public session.

On the motion of Mr. Chiusano, seconded by Ms. Lipson, the Board voted seven (7) to zero (0) to enter Executive Session to discuss strategy and negotiations regarding the pending court appeals of Advisory Opinion 2017-2, pursuant to General Statutes §§ 1-200 (6) (B) and 1-210 (b) (4).

The following Board members were present for the Executive Session:

Dena Castricone, Chair
Charles F. Chiusano
Beth Cook
Jason Farrell

Richard Lang
Cheryl Lipson
Nichelle Mullin

The following staff members were present for the Executive Session:

Carol Carson, Executive Director
Barbara Housen, General Counsel
T.J. Jones, Ethics Enforcement Officer
Peter Lewandowski, Associate General Counsel
Alaaeldin Ali, Temporary Clerk of the Board

Following the Executive Session, the Board reconvened in public session.

On the motion of Mr. Chiusano, seconded by Mr. Lang, the Board voted nine (9) to zero (0) to adjourn the meeting.

The meeting was adjourned at 1:45 p.m.

Respectfully submitted by,


Carol Carson
Executive Director
Office of State Ethics

Date approved
(By the Citizen's Ethics Advisory Board)