



STATE OF CONNECTICUT

OFFICE OF STATE ETHICS

CITIZEN'S ETHICS ADVISORY BOARD OFFICE OF STATE ETHICS 18-20 Trinity Street, Hartford, CT 06106

MINUTES OF THE NOVEMBER 15, 2018 REGULAR MEETING

The Citizen's Ethics Advisory Board ("Board") of the Office of State Ethics ("OSE") held a Regular Meeting on Thursday, November 15, 2018, in the 5th Floor Conference Room, 18-20 Trinity Street, Hartford, CT 06106.

Chair Dena Castricone called the meeting to order at 1:04 p.m.

The following Board members were present:

Dena Castricone, Chair
Mary Bigelow
Charles F. Chiusano
Beth Cook

Kevin Johnston, Vice-Chair
Richard Lang
Cheryl Lipson

The following staff members of the OSE were present:

Carol Carson, Executive Director
Barbara Housen, General Counsel
T.J. Jones, Ethics Enforcement Officer
Nancy Nicolescu, Director of Education & Communications
Brian O'Dowd, Deputy General Counsel

Peter Lewandowski, Associate General Counsel
Mark Crayton, Assistant Ethics Enforcement Officer
Mark Wasielewski, Deputy Ethics Enforcement Officer
Alaaeldin Ali, Temporary Clerk of the Board

The following topics were addressed during the meeting:

1. The minutes of the October 18, 2018 Regular Meeting were presented to the Board for approval.

On the motion of Mr. Chiusano, seconded by Ms. Lipson, the Board voted seven (7) to zero (0) to approve the minutes of the October 18, 2018 Regular Meeting.

On the motion of Mr. Chiusano, seconded by Ms. Lipson, the Board voted seven (7) to zero (0) to add to the agenda the November 8, 2018 Special Meeting minutes.

2. The minutes of the November 8, 2018 Special Meeting were presented to the Board for approval.

On the motion of Ms. Cook, seconded by Mr. Chiusano, the Board voted seven (6) to zero (0), with Mr. Johnston abstaining, to approve the minutes of the November 8, 2018 Special Meeting.

3. The following client lobbyists were chosen randomly for audit, pursuant to General Statutes § 1-96a (b). The audits will be conducted in the order that the lobbyists were selected. The period to be audited is January 1, 2016 to December 31, 2018.

- Wheeler Clinic, Inc
- Families for Excellent Schools/Fight for Fairness
- CATRALA of CT
- JPMorgan Chase Holdings LLC
- Fresenius Medical Care, NA
- CT Food Assoc.
- UNITE HERE
- Connecticut State Golf Association
- Public Consulting Group c/o Multistate Associates
- Spectra Energy Transmission II, LLC

4. The Board reviewed the proposed regular meeting schedule and UAPA hearing schedule for 2019.

On the motion of Mr. Johnston, seconded by Ms. Cook, the Board voted seven (7) to zero (0) to approve the 2019 Regular Meeting Schedule and the 2019 UAPA Hearing Schedule.

5. Associate General Counsel Lewandowski presented proposed amendments to the Regulations.

On the motion of Ms. Cook, seconded by Ms. Lipson, the Board voted seven (7) to zero (0) to approve the proposed amendments to the Regulations.

6. General Counsel Housen reported that the Petition for Declaratory Ruling No. 2018-A filed by Western Connecticut Council of Governments (WestCOG) was withdrawn on November 15, 2018 by Francis R. Pickering, Executive Director, WestCOG.
7. General Counsel Housen presented the Legal Division Report. She noted that the next scheduled date for the Board Hearing In the Matter of Charmaine Thurmand (Dckt. No. 2017-15) is December 20, 2018, subject to any change by Judge Trial Referee Kenefick.
8. Director of Education and Communications Nicolescu presented the Education and Communications Reports.
9. Ethics Enforcement Officer Jones presented the Enforcement Division Report. He reported that there is one matter scheduled for a UAPA hearing on Thursday, November 29, 2018.
10. Assistant Ethics Enforcement Officer Crayton presented the following audit reports:

- CT Federation School Administrators, for which there were two adverse findings

On the motion of Mr. Chiusano, seconded by Mr. Johnston, the Board voted seven (7) to zero (0) to approve the audit report for CT Federation School Administrators.

- CC1 Owner, LLC, for which there was one adverse finding

On the motion of Mr. Johnston, seconded by Mr. Chiusano, the Board voted six (6) to zero (0), with Chair Castricone abstaining, to approve the audit report for CC1 Owner, LLC.

- Northwest CT Public Safety Communication Center, Inc., for which there was one adverse finding

On the motion of Mr. Johnston, seconded by Mr. Chiusano, the Board voted six (6) to zero (0), with Chair Castricone abstaining, to approve the audit report for Northwest CT Public Safety Communication Center, Inc.

11. Executive Director Carson reported on the nomination of Ms. Nicolescu to the Steering Committee of the Council on Governmental Ethics Laws (COGEL). A vote approving the nomination will take place on December 12, 2018 at COGEL's annual conference.

On the motion of Mr. Chiusano, seconded by Ms. Cook, the Board voted seven (7) to zero (0) to enter Executive Session to discuss strategy and negotiations pursuant to General Statutes §§ 1-200 (6) (B) and 1-210 (b) (4) with respect to Superior Court decisions in Edsall et al. v. Citizen's Ethics Advisory Board et al. (Docket No. CV176039437) and University of Connecticut v. Citizen's Ethics Advisory Board et al. (Docket No. CV176039438).

The following Board members were present for the Executive Session:

Dena Castricone, Chair
Mary Bigelow
Charles F. Chiusano
Beth Cook

Kevin Johnston, Vice-Chair
Richard Lang
Cheryl Lipson

The following staff members were present for the Executive Session:

Carol Carson, Executive Director
Barbara Housen, General Counsel
T.J. Jones, Ethics Enforcement Officer

Peter Lewandowski, Associate General Counsel
Brian O'Dowd, Deputy General Counsel
Alaaeldin Ali, Temporary Clerk of the Board

Following the Executive Session, the Board reconvened in public session.

On the motion of Mr. Chiusano, seconded by Ms. Cook, the Board voted seven (7) to zero (0) to add to the agenda consideration of Superior Court decisions in Edsall et al. v. Citizen's Ethics Advisory Board et al. (Docket No. CV176039437) and University of Connecticut v. Citizen's Ethics Advisory Board et al. (Docket No. CV176039438).

On the motion of Ms. Cook, seconded by Mr. Chiusano, the Board voted seven (7) to zero (0) to appeal the Superior Court decisions in Edsall et al. v. Citizen's Ethics Advisory Board et al. (Docket No. CV176039437) and University of Connecticut v. Citizen's Ethics Advisory Board et al. (Docket No. CV176039438).

On the motion of Mr. Johnston, seconded by Ms. Lipson, the Board voted seven (7) to zero (0) to adjourn the meeting.

The meeting was adjourned at 2:40 p.m.

Respectfully submitted by,



Carol Carson
Executive Director
Office of State Ethics

Date approved
(By the Citizen's Ethics Advisory Board)