



STATE OF CONNECTICUT

OFFICE OF STATE ETHICS

CITIZEN'S ETHICS ADVISORY BOARD OFFICE OF STATE ETHICS 18-20 Trinity Street, Hartford, CT 06106

MINUTES OF THE APRIL 18, 2019 REGULAR MEETING

The Citizen's Ethics Advisory Board ("Board") of the Office of State Ethics ("OSE") held a Regular Meeting on Thursday, April 18, 2019, in the 5th Floor Conference Room, 18-20 Trinity Street, Hartford, CT 06106.

Chair Dena Castricone called the meeting to order at 1:01 p.m.

The following Board members were present:

Dena Castricone, Chair

Mary Bigelow

Charles F. Chiusano (joined the meeting by
teleconference at 1:04)

Beth Cook

Kevin Johnston, Vice-Chair

Jason Farrell

Richard Lang

Nichelle Mullins

The following staff members of the OSE were present:

Carol Carson, Executive Director

Brian O'Dowd, Acting General Counsel

T.J. Jones, Ethics Enforcement Officer

Mark Wasielewski, Deputy Ethics Enforcement
Officer

Marc Crayton, Asst. Ethics Enforcement Officer

Peter Lewandowski, Associate General Counsel

Diane Buxo, Paralegal Specialist

Nancy Nicolescu, Director of Education &
Communications

Alaaeldin Ali, Temporary Clerk of the Board

The following topics were addressed during the meeting:

1. The minutes of the March 21, 2019 Regular Meeting was presented to the Board for approval.

On the motion of Ms. Bigelow, seconded by Mr. Farrell, the Board voted six to zero, with Mr. Johnston abstaining, to approve the minutes of the March 21, 2019 Regular Meeting.

2. Chair Castricone appointed Mr. Farrell to serve as the Hearing Officer for the UAPA hearing scheduled for Thursday, May 23, 2019. Ms. Castricone thanked Ethics Enforcement Officer T.J. Jones for his service and wished him the best in his new position as Executive Director of the

California Fair Political Practices Commission. Board members expressed their appreciation for the excellent leadership he provided to the OSE for more than twelve years.

3. Acting General Counsel O'Dowd presented the Petition for Advisory Opinion submitted by William M. Bloss.

On the motion of Mr. Johnston, seconded by Mr. Farrell, the Board voted eight to zero to consider the Petition for Advisory Opinion submitted by William M. Bloss.

4. Acting General Counsel O'Dowd presented the Draft Advisory Opinion No. 2019-1, Petition from Katie S. Dykes.

On the motion of Mr. Farrell, seconded by Ms. Cook, the Board voted eight to zero to approve Advisory Opinion No. 2019-1.

5. Acting General Counsel O'Dowd presented the Legal Division report. He noted that the appeals period involving Docket No. 2017-15, In the Matter of a Complaint against Charmane Thurmand, concludes on Monday, April 22, 2019.
6. Director of Education & Communications Nicolescu presented the Media and Training Reports. She reported on the status of training for the General Assembly and Governor Ned Lamont.
7. Deputy Enforcement Officer Wasielewski presented the Enforcement Division report. He reported that there are eight matters currently scheduled for the April 25, 2019 hearing and he stated that one probable cause hearing is currently scheduled on June 6, 2019.
8. Deputy Enforcement Officer Wasielewski presented the lobbyist audit report for JP Morgan Chase Holdings, LLC. There were no adverse findings.

On the motion of Ms. Cook, seconded by Ms. Bigelow, the Board voted seven to zero, with Mr. Lang abstaining, to approve the audit report for JP Morgan Chase Holdings, LLC.

9. Executive Director Carson reported on pending personnel changes. She discussed the status of the filing of Statements of Financial Interests (SFIs), noting that as of the Board meeting, 1595 of the 2553 required filers have filed, representing 62 percent of total filers. All but 31 of the SFIs filed were electronic.
10. Executive Director Carson discussed the status of the Board's legislative proposals. Three bills are in the House and three in the Senate. She reported that S.B. 1039 passed the Senate on consent.

On the motion of Ms. Cook, seconded by Mr. Farrell, the Board voted eight to zero to enter into a Closed Session to discuss the status of matters that are confidential pursuant to General Statutes §§ 1-82a and 1-93a.

The following Board members were present for the Closed Session:

Dena Castricone, Chair
Mary Bigelow
Charles F. Chiusano (by teleconference)
Beth Cook
Kevin Johnston, Vice-Chair

Jason Farrell
Richard Lang (abstained from the discussion
and left the meeting at 1:37 p.m.)
Nichelle Mullins

The following staff members were present for the Closed Session:

Carol Carson, Executive Director
Brian O'Dowd, Acting General Counsel
Peter Lewandowski, Associate General Counsel

Mark Wasielewski, Dep. Ethics Enforcement
Officer
Marc Crayton, Asst. Ethics Enforcement Officer
Alaaeldin Ali, Temporary Clerk of the Board

On the motion of Ms. Cook, seconded by Ms. Mullins, the Board voted seven to zero to adjourn the meeting.

The meeting was adjourned at 2:45 p.m.

Respectfully submitted by,

Carol Carson
Executive Director
Office of State Ethics

Date approved
(By the Citizen's Ethics Advisory Board)