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AGENDA ITEMS FOR THE STATE BOND COMMISSION
JANUARY 30, 2015
ROOM 1E LEGISLATIVE OFFICE BUILDING
10:30 A.M.

<u>SOURCE OF FUNDS</u>	<u>ITEM NO.</u>	<u>GENERAL OBLIGATION ALLOCATIONS</u>	<u>REVENUE BONDS/OTHER TRANSACTIONS</u>
PUBLIC ACT #98, 2014 SECTION 1-7	1	\$1,500,000 =====	=====
PUBLIC ACT #98, 2014 SECTION 16-21	2	=====	\$3,000,000 =====
PUBLIC ACT #239, 2013 AS AMENDED SECTION 1-7	3	\$2,281,312 =====	=====
PUBLIC ACT #239, 2013 AS AMENDED SECTION 8-11	4	\$20,000,000 =====	=====
PUBLIC ACT #239, 2013 AS AMENDED SECTION 27-30	5-6	\$6,382,000 =====	\$9,200,000 =====
PUBLIC ACT #189, 2012 AS AMENDED SECTION 8-15	7	\$5,100,000 =====	=====
PUBLIC ACT #57, 2011 AS AMENDED SECTION 1-7	8-10	\$9,975,241 =====	=====
PUBLIC ACT #57, 2011 AS AMENDED SECTION 20-26	11-13	\$52,186,122 =====	=====
PUBLIC ACT #57, 2011 AS AMENDED SECTION 75	14	\$751,623 =====	=====
PUBLIC ACT #7, 2007 JUNE SPECIAL SESSION AS AMENDED SECTION 20-26	15	\$3,657,525 =====	=====

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PUBLIC ACT #242, 2007 AS AMENDED SECTION 121	16	\$10,000,000 =====	=====
PUBLIC ACT #5, 2005 JUNE SPECIAL SESSION AS AMENDED SECTION 17	17	\$5,000,000 =====	=====
SPECIAL ACT #1, 2002 MAY SPECIAL SESSION AS AMENDED SECTION 1-7	18	\$600,000 =====	=====
PUBLIC ACT #270, 1990 AS AMENDED SECTION 33	19	\$22,750,000 =====	=====
PUBLIC ACT #420, 1986 AS AMENDED SECTION 1-10	20	\$110,000,000 =====	\$370,000,000 =====
DECLARATION OF OFFICIAL INTENT PURSUANT TO FEDERAL INCOME TAX REGULATIONS	21	=====	=====

FINDINGS

AGENDA ITEMS FOR THE STATE BOND COMMISSION
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UNLESS OTHERWISE NOTED, THE SECRETARY OF THE STATE BOND COMMISSION HAS ON FILE THE FOLLOWING MATTERS WITH RESPECT TO EACH REQUEST MADE TO THE STATE BOND COMMISSION FOR TODAY'S MEETING AS DETAILED THROUGH THE ATTACHED AGENDA ITEMS.

1. ANY HUMAN SERVICES FACILITY COLOCATION STATEMENT IF SO REQUESTED BY THE SECRETARY OF THE OFFICE OF POLICY AND MANAGEMENT PURSUANT TO CGS §4B-23.
2. ANY PROJECT WHICH INVOLVES THE USE OF 25 ACRES OR MORE OF PRIME FARMLAND FOR NONAGRICULTURAL PURPOSES HAS BEEN REVIEWED IN ACCORDANCE WITH THE PROVISIONS OF PUBLIC ACT NO. 83-102 (AN ACT CONCERNING STATE PROJECTS WHICH TAKE PRIME FARMLAND) AND THAT THE COMMISSIONER OF AGRICULTURE HAS FILED A STATEMENT INDICATING THAT EACH SUCH PROJECT PROMOTES AGRICULTURE OR THE GOAL OF AGRICULTURAL LAND PRESERVATION, OR THERE IS NO REASONABLE ALTERNATIVE SITE FOR THE PROJECT, OR THE PROJECT IS NOT APPLICABLE UNDER THE ACT.
3. ANY CAPITAL DEVELOPMENT IMPACT STATEMENT REQUIRED TO BE FILED WITH THE SECRETARY OF THE OFFICE OF POLICY AND MANAGEMENT, IF SO REQUIRED BY THE SECRETARY, PURSUANT TO CGS §4B-23.
4. ANY ADVISORY STATEMENT REGARDING THE STATE CONSERVATION AND DEVELOPMENT POLICIES PLAN REQUIRED BY CGS §16A-31 FOR EACH ITEM FOR WHICH AN ADVISORY STATEMENT IS REQUIRED BY CGS §16A-31, AND THE ITEM IS EITHER IN CONFORMITY WITH THE PLAN OR NOT ADDRESSED THEREIN.
5. ANY STATEMENT REQUIRED IN ACCORDANCE WITH THE PROVISIONS OF PUBLIC ACT 06-194 AS TO THE FULL COST OF THE PROJECT OR PURPOSE WHEN COMPLETED AND THE ESTIMATED OPERATING COST OF ANY STRUCTURE, EQUIPMENT OR FACILITY TO BE CONSTRUCTED OR ACQUIRED.

PUBLIC ACT #98, 2014
SECTION 1-7

ITEM NO. 1

OFFICE OF POLICY AND MANAGEMENT

TRANSIT-ORIENTED DEVELOPMENT AND PREDEVELOPMENT ACTIVITIES

REQUESTED: An Allocation and Bond Authorization (to agency) \$1,500,000
FROM: Sec. 2(d) Acct. No. 17151-OPM20000-43550

Total Authorized	\$7,000,000
Previous Allocations	-0-
Balance Unallocated	<u>\$7,000,000</u>

REASON FOR REQUEST:

These funds are requested to finance grants-in-aid for transit-oriented development planning to various municipalities as detailed below.

<u>Municipality</u>	<u>Project</u>	<u>This Request</u>
Berlin	Establish a Village District along Farmington Avenue around the train station in Kensington	\$150,000
Bethel	Planning for Bethel Train Station area, including technical analysis and public outreach	100,000
Bridgeport	Master plan around the new Barnum train station	150,000
Enfield	Master plan for the future Thompsonville train station	100,000
Meriden	Infrastructure and traffic engineering study in the vicinity of the train station	125,000
Milford	Conceptual site plan and market analysis for the Milford train station area	150,000
New Britain	Planning for redevelopment around CTfastrak stations	250,000
New Haven	Comprehensive transportation demand management program	125,000
Stratford	Stratford Center Complete Streets improvement plan	200,000
Wallingford	Master plan around the train station	75,000
West Hartford	New Park Avenue transit area Complete Streets study	<u>75,000</u>
	Total Grants-in-Aid, This Request	<u>\$1,500,000</u>

PUBLIC ACT #98, 2014
SECTION 16-21

ITEM NO. 2

DEPARTMENT OF TRANSPORTATION

DEVELOPMENT OF A COMPREHENSIVE ASSET MANAGEMENT PLAN IN ACCORDANCE WITH FEDERAL REQUIREMENTS

REQUESTED: An Allocation and Bond Authorization (to agency) \$3,000,000
FROM: Sec. 17(a) Acct. No. 13033-DOT57000-43623

Total Authorized	\$10,000,000
Previous Allocations	<u>-0-</u>
Balance Unallocated	<u>\$10,000,000</u>

REASON FOR REQUEST:

These funds are requested to initiate the develop and implement a comprehensive Transportation Asset Management System in compliance with federally legislated mandates under Moving Ahead for Progress in the 21st Century (MAP-21).

The plan will consist of risk-based asset management plans for highway and public transportation assets incorporating agency wide process improvements.

Total Estimated Cost	\$10,000,000	
Less: Future Funding	<u>7,000,000</u>	
Total, This Request		<u>\$3,000,000</u>

SPECIAL ACT #239, 2013
AS AMENDED
SECTION 1-7

ITEM NO. 3

OFFICE OF POLICY AND MANAGEMENT

DEVELOPMENT AND IMPLEMENTATION OF DATABASES IN THE CORE FINANCIAL SYSTEM
ASSOCIATED WITH RESULTS-BASED ACCOUNTABILITY

REQUESTED: An Allocation and Bond Authorization (to Agency) \$2,281,312

FROM: Sec. 2(a)(5) Acct. No. 17141-OPM20000-43462

Total Earmarking	\$5,000,000
Previous Allocations	<u>2,718,688</u>
Balance Unallocated	<u>\$2,281,312</u>

REASON FOR REQUEST:

These funds are requested to finance the implementation of new web-based business intelligence software. The new software will replace the primary CORE-CT data query software and advanced analytic and reporting capabilities. This request will provide additional support to the rollout of Human Resource analytics modules and added financial analytics.

Funds of Request as follows:

Total, This Request \$2,281,312

PUBLIC ACT #239, 2013
AS AMENDED
SECTION 8-11

ITEM NO. 3-A

DEPARTMENT OF HOUSING

FOR VARIOUS HOUSING PROJECTS AND PROGRAMS AS AUTHORIZED UNDER SECTION 9(1) OF
PUBLIC ACT 13-239

FLEXIBLE HOUSING PROGRAM

REQUESTED: Use of Previously Allocated Funds Available (to agency) \$5,000,000

FROM: Sec. 9(1) Acct. No. 12063-DOH46900-40237

REASON FOR REQUEST:

These funds are requested to finance grants-in-aids and loans for various housing projects and programs under the Flexible Housing Program as detailed below.

- A. These funds are requested to finance critical capital and emergency needs at housing State Sponsored Housing Portfolio properties.

Funds are requested as follows:

Total, This Request \$3,000,000

- B. These funds are requested finance predevelopment costs for planning activities for State Supported Housing portfolio properties.

Funds are requested as follows:

Total, This Request \$2,000,000

PUBLIC ACT #239, 2013
AS AMENDED
SECTION 8-11

ITEM NO. 4

DEPARTMENT OF HOUSING

PERMANENT SUPPORTIVE HOUSING INITIATIVES ESTABLISHED IN SECTION 17a-485c OF THE GENERAL STATUTES

REQUESTED: An Allocation and Bond Authorization (to Agency) \$20,000,000

FROM: Sec. 9(2) Acct. No. 12065-ECD46000-40240

Total Earmarking	\$20,000,000
Previous Allocations	-0-
Balance Unallocated	<u>\$20,000,000</u>

REASON FOR REQUEST:

These funds are requested, along with those under Item 6, to finance various housing projects under the Permanent Supportive Housing Initiative and the Flexible Housing Program.

These funds will finance the development of an additional 144 units of supportive housing, provide supplemental funding for 11 units of previously approved supportive housing under the Permanent Supportive Housing Initiative administered by the Connecticut Housing Finance Authority and provide for various units of affordable housing as detailed below.

Funds are requested as follows:

<u>Project/Location</u>	<u>This Request</u>
Center Street II (supplemental funding) -11 Units Supportive/ 9 Units Affordable - Manchester	\$ 257,000
Liberty Gardens -10 Units - Hartford	4,000,000
Sanford Commons - 17 Units Supportive/16 Units Affordable/ 9 Units Workforce - Hamden	7,970,000
Harrison Apartments - 102 Units - Bridgeport	5,000,000
Milestone Apartments - 15 Units Supportive/ 15 Units Affordable - Bridgeport	<u>9,155,000</u>
Total, This Request	<u>\$26,382,000</u>

Financing:

P.A. 13-239, Sec. 9(2), This Request	\$20,000,000
P.A. 13-239, Sec. 28, Item 6	<u>6,382,000</u>
Total	<u>\$26,382,000</u>

PUBLIC ACT #239, 2013
AS AMENDED
SECTION 27-30

ITEM NO. 5 REVISED

DEPARTMENT OF HOUSING

FOR VARIOUS HOUSING PROJECTS AND PROGRAMS AS AUTHORIZED UNDER SECTION 28 OF
PUBLIC ACT 13-239

FLEXIBLE HOUSING PROGRAM

REQUESTED: Use of Previously Allocated Funds Available (to agency) **\$4,200,000**

FROM: Sec. 28 Acct. No. 12063-DOH46900-40237

REASON FOR REQUEST:

These funds are requested to finance grants-in-aids and loans for various housing projects and programs under the Flexible Housing Program as detailed below.

At the January 12, 2015 meeting of the State Bond Commission funds were allocated to provide a grant-in-aid to the Housing Authority of the Town of Deep River, or another eligible applicant as such term is defined in Section 8-37pp of the Connecticut General Statutes, to finance rehabilitation of 26 units and creation of 18 units of affordable elderly rental housing at Kirtland Commons.

The purpose of this request is to change the financing to a **\$3.2** million grant-in-aid and a \$1 million forty year loan at 1% interest.

Funds are requested as follows:

Total Estimated Cost	\$4,857,815	
Less: Other Funds	<u>657,815</u>	
Total, This Request		<u>\$4,200,000</u>

PUBLIC ACT #239, 2013
AS AMENDED
SECTION 27-30

ITEM NO. 6

DEPARTMENT OF HOUSING

FOR VARIOUS HOUSING PROJECTS AND PROGRAMS AS AUTHORIZED UNDER SECTION 28 OF
PUBLIC ACT 13-239

FLEXIBLE HOUSING PROGRAM

REQUESTED:	An Allocation and Bond Authorization (to agency)	\$6,382,000
FROM:	Sec. 28	Acct. No. 12063-DOH46900-40237
	Total Earmarking	\$90,000,000
	Previous Allocations	<u>18,019,346</u>
	Balance Unallocated	<u>\$71,980,654</u>

REASON FOR REQUEST:

These funds are requested, along with those under Item 4, to finance various housing projects under the Permanent Supportive Housing Initiative and the Flexible Housing Program.

Financing:

P.A. 13-239, Sec. 9(2), Item 4	\$20,000,000
P.A. 13-239, Sec. 28, This Request	<u>6,382,000</u>
Total	<u>\$26,382,000</u>

PUBLIC ACT #189, 2012
AS AMENDED
SECTION 8-15

ITEM NO. 7

DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION

FOR A PROGRAM TO ESTABLISH ENERGY MICROGRIDS TO SUPPORT CRITICAL MUNICIPAL INFRASTRUCTURE

REQUESTED:	An Allocation and Bond Authorization (to agency)	\$5,100,000
FROM:	Sec. 9(b)(2)	Acct. No. 12052-DEP43000-43531
	Total Earmarking	\$25,000,000
	Previous Allocations	<u>15,000,000</u>
	Balance Unallocated	<u>\$10,000,000</u>

REASON FOR REQUEST:

These funds are requested to finance grants-in-aid under the microgrid program to support critical infrastructure as detailed below.

Funds are requested as follows:

<u>Recipient</u>	<u>Microgrid for:</u>	<u>Estimated Cost</u>
University of Bridgeport	Power to dining hall, recreation center, student center, police station and two residence halls to provide emergency shelter for city residents	\$2,200,000
City of Milford	Power to Parsons Government Center, middle school, senior housing and senior center for emergency shelter and operations	<u>2,900,000</u>
Total, This Request		<u>\$5,100,000</u>

PUBLIC ACT #57, 2011
AS AMENDED
SECTION 1-7

ITEM NO. 8

DEPARTMENT OF ADMINISTRATIVE SERVICES

INFRASTRUCTURE REPAIRS AND IMPROVEMENTS, INCLUDING FIRE, SAFETY AND COMPLIANCE WITH THE AMERICANS WITH DISABILITIES ACT, IMPROVEMENTS TO STATE-OWNED BUILDINGS AND GROUNDS, ENERGY CONSERVATION AND OFF SITE IMPROVEMENTS, AND PRESERVATION OF UNOCCUPIED BUILDINGS AND GROUNDS, INCLUDING OFFICE DEVELOPMENT, ACQUISITION, RENOVATIONS FOR ADDITIONAL PARKING AND SECURITY IMPROVEMENTS

REQUESTED: An Allocation and Bond Authorization \$70,000

FROM: Sec. 2(e)(3) Acct. No. 17121-DAS23000-43491
Project No. BI-2B-9000

Total Earmarking	\$12,500,000
Previous Allocations	<u>12,203,590</u>
Balance Unallocated	<u>\$ 296,410</u>

REASON FOR REQUEST:

These funds are requested to finance flooring replacement the Office of the Chief Medical Examiner at 11 Shuttle Road in Farmington.

Funds are requested as follows:

Total, This Request \$70,000

PUBLIC ACT #57, 2011
AS AMENDED
SECTION 1-7

ITEM NO. 9

DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION

ALTERATIONS, RENOVATIONS AND NEW CONSTRUCTION AT STATE PARKS AND OTHER RECREATION FACILITIES, INCLUDING AMERICANS WITH DISABILITIES ACT IMPROVEMENTS

REQUESTED: An Allocation and Bond Authorization (to agency) \$2,389,451

FROM: Sec. 2(i)(2) Acct. No. 17121-DEP43000-43496

Total Earmarking	\$45,000,000
Previous Allocations	<u>22,762,766</u>
Balance Unallocated	<u>\$22,237,234</u>

REASON FOR REQUEST:

These funds are requested to award a construction contract based on bids received on January 7, 2015 for a new Meigs Point Nature Center facility at Hammonasset Beach State Park in Madison.

This project will create or retain approximately 50 construction related jobs.

Funds are requested as follows:

		<u>Previous Funding</u>	<u>This Request</u>
Construction	\$2,592,000	\$ 734,400	\$1,857,600
Contingency	259,200		259,200
Architect/Engineer's Fee	235,000	197,100	37,900
Construction Administration	88,500		88,500
Other	62,921		62,921
DAS Fee	<u>166,660</u>	<u>83,330</u>	<u>83,330</u>
Total	<u>\$3,404,281</u>	<u>\$1,014,830</u>	<u>\$2,389,451</u>

Financing:

P.A.11-57, Sec. 2(i)(2), This Request	\$2,389,451
Previous Funding	250,000
Renewable Energy Funds	224,830
Federal Funds	<u>540,000</u>
Total	<u>\$3,404,281</u>

PUBLIC ACT #57, 2011
AS AMENDED
SECTION 1-7

ITEM NO. 10

DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION

ALTERATIONS, RENOVATIONS AND NEW CONSTRUCTION AT STATE PARKS AND OTHER RECREATION FACILITIES, INCLUDING AMERICANS WITH DISABILITIES ACT IMPROVEMENTS

REQUESTED: An Allocation and Bond Authorization (to agency) \$7,515,790

FROM: Sec. 2(i)(2) Acct. No. 17121-DEP43000-43496

Total Earmarking	\$45,000,000
Previous Allocations	<u>22,762,766</u>
Balance Unallocated	<u>\$22,237,234</u>

REASON FOR REQUEST:

These funds are requested to award a construction contract based on bids received on December 10, 2014 for a new elevated restroom and concession complex at Hammonasset Beach State Park in Madison.

The project also includes demolition of the west beach concession building and parking lot improvements

This project will create or retain approximately 128 construction related jobs.

Funds are requested as follows:

		<u>Previous Funding</u>	<u>This Request</u>
Construction	\$6,151,500	\$ 20,000	\$6,131,500
Contingency	615,150		615,150
Architect/Engineer's Fee	494,160	370,620	123,540
Construction Administration	307,575		307,575
Other	124,675	8,015	116,660
DAS Fee	<u>442,730</u>	<u>221,365</u>	<u>221,365</u>
Total	<u>\$8,135,790</u>	<u>\$620,000</u>	<u>\$7,515,790</u>

Financing:

P.A.11-57, Sec. 2(i)(2), This Request	\$7,515,790
Renewable Energy Funds	20,000
Previous Funding	<u>600,000</u>
Total	<u>\$8,135,790</u>

PUBLIC ACT #57, 2011
AS AMENDED
SECTION 20-26

ITEM NO. 11

DEPARTMENT OF ADMINISTRATIVE SERVICES

INFRASTRUCTURE REPAIRS AND IMPROVEMENTS, INCLUDING FIRE, SAFETY AND COMPLIANCE WITH THE AMERICANS WITH DISABILITIES ACT, IMPROVEMENTS TO STATE-OWNED BUILDINGS AND GROUNDS, ENERGY CONSERVATION AND OFF SITE IMPROVEMENTS, AND PRESERVATION OF UNOCCUPIED BUILDINGS AND GROUNDS, INCLUDING OFFICE DEVELOPMENT, ACQUISITION, RENOVATIONS FOR ADDITIONAL PARKING AND SECURITY IMPROVEMENTS

REQUESTED: An Allocation and Bond Authorization \$10,000,000

FROM: Sec. 21(d)(2) Acct. No. 17131-DAS23000-43491
Project No. BI-2B-9000

Total Earmarking	\$192,500,000
Previous Allocations	<u>127,748,151</u>
Balance Unallocated	<u>\$ 64,751,849</u>

REASON FOR REQUEST:

These funds are requested to ongoing renovation and improvements to 450 Columbus Boulevard in Hartford for occupancy by various state agencies.

These improvements include roof replacement, plaza repairs, HVAC and plumbing improvements and design services.

Funds are requested as follows:

Total, This Request \$10,000,000

PUBLIC ACT #57, 2011
AS AMENDED
SECTION 20-26

ITEM NO. 12

DEPARTMENT OF EDUCATION

REGIONAL VOCATIONAL-TECHNICAL SCHOOL SYSTEM

ALTERATIONS AND IMPROVEMENTS TO BUILDINGS AND GROUNDS, INCLUDING NEW AND REPLACEMENT EQUIPMENT, TOOLS AND SUPPLIES NECESSARY TO UPDATE CURRICULA, VEHICLES AND TECHNOLOGY UPGRADES AT ALL REGIONAL VOCATIONAL-TECHNICAL SCHOOLS

REQUESTED: An Allocation and Bond Authorization \$3,177,740

FROM: Sec. 21(k) Acct. No. 17131-SDE64000-43357
Project No. BI-RT-864

Total Earmarking	\$28,000,000
Previous Allocations	<u>22,264,558</u>
Balance Unallocated	<u>\$ 5,735,442</u>

REASON FOR REQUEST:

These funds are requested to award a construction contract based on bids received on October 15, 2014 for ADA renovations and improvements at Vinal Technical High School in Middletown.

This project will create or retain approximately 39 construction related jobs.

Funds are requested as follows:

	<u>Previous Funding</u>	<u>This Request</u>
Construction (BID)	\$1,849,000	\$1,849,000
Contingency	184,900	184,900
Architect/Engineer's Fee	291,800	200,000
Construction Administrator	100,000	100,000
Hazardous Materials	275,000	75,000
Testing and Inspections	125,000	200,000
Relocation Costs	200,000	125,000
Equipment	275,000	200,000
DAS Fee	<u>327,040</u>	275,000
Total	<u>\$3,627,740</u>	<u>\$450,000</u>
	<u>75,000</u>	<u>252,040</u>
	<u>\$450,000</u>	<u>\$3,177,740</u>

Financing:

P.A. 11-57, Sec. 21(k), This Request	\$3,177,740
P.A. 11-57, Sec. 2(l), Previous Funding	<u>450,000</u>
Total	<u>\$3,627,740</u>

PUBLIC ACT #57, 2011
AS AMENDED
SECTION 20-26

ITEM NO. 13

COMMUNITY COLLEGE SYSTEM

NAUGATUCK VALLEY COMMUNITY COLLEGE

ALTERATIONS, RENOVATIONS AND IMPROVEMENTS TO FOUNDERS HALL

REQUESTED: An Allocation and Bond Authorization \$39,008,382

FROM: Sec. 21(l)(3) Acct. No. 17111-CCC78000-43463
Project No. BI-CTC-442

Total Earmarking	\$39,008,382
Previous Allocations	-0-
Balance Unallocated	<u>\$39,008,382</u>

REASON FOR REQUEST:

These funds are requested to award a construction contract based on bids received on December 12, 2014 for renovations to Founders Hall for Allied Health and Nursing at Naugatuck Valley Community College in Waterbury.

This project consists of improvements to classrooms and laboratories, including satellite space for nursing programs of Western Connecticut State University and University of Connecticut.

This project will create or retain approximately 560 construction related jobs.

Funds are requested as follows:

	<u>Previous Funding</u>	<u>This Request</u>
Construction	\$26,645,000	\$26,645,000
Contingency	2,664,500	2,664,500
Architect/Engineer's Fee	4,373,748	1,133,729
Studies	503,645	503,645
Hazardous Materials	980,000	980,000
Specialty Consultants	640,937	288,497
Testing and Inspections	133,890	129,738
Construction Administrator	1,465,475	1,206,000
Equipment	2,717,134	2,717,134
Telecommunications	1,047,377	1,047,377
Art	266,450	187,258
DAS Fee	<u>2,040,672</u>	<u>1,505,504</u>
Total	<u>\$43,478,828</u>	<u>\$39,008,382</u>

Financing:

P.A. 11-57, Sec. 21(l)(3), This Request	\$39,008,382
P.A. 09-2, Sec. 42(e)(5)(B), Previous Funding	<u>4,470,446</u>
Total	<u>\$43,478,828</u>

PUBLIC ACT #57, 2011
AS AMENDED
SECTION 75

ITEM NO. 14

OFFICE OF POLICY AND MANAGEMENT

INTERTOWN CAPITAL EQUIPMENT PURCHASE INCENTIVE PROGRAM

REQUESTED: An Allocation and Bond Authorization (to Agency) \$751,623

FROM: Sec. 75 Acct. No. 12052-OPM20000-43515

Total Earmarking	\$10,000,000
Previous Allocations	<u>959,708</u>
Balance Unallocated	<u>\$ 9,040,292</u>

REASON FOR REQUEST:

These funds are requested to provide grants-in-aid under the intertown capital equipment purchase incentive program as detailed below.

The program is established to provide grants to municipalities to jointly acquire by purchase or by lease, equipment and vehicles necessary to the performance or delivery of a required governmental function or service.

<u>Towns/Equipment</u>	<u>State Grant</u>
Ansonia and Derby - shared food service truck	\$ 14,939
Coventry, South Windsor and Tolland - computer equipment	34,193
Coventry and Mansfield - catch basin cleaner	80,299
Lyme and Old Lyme - patrol boat	3,389
New Haven and Woodbridge - chassis and dump trailer	109,780
Oxford, Beacon Falls, Derby and Seymour - storm drain vacuum truck	250,000
Simsbury and Bloomfield - track paver	20,000
Simsbury and East Granby - road side mower	28,500
Simsbury, Avon, Bloomfield, Canton, Farmington and Granby - trailer mounted hot boxes	52,500
Warren and NHCOC - street sweeper	136,662
Weston, Darien, Easton, Greenwich, New Canaan, Norwalk, Stamford, Westport and Wilton - equipment for technical investigation unit of southwest Connecticut	<u>21,361</u>
Total, This Request	<u>\$751,623</u>

PUBLIC ACT #7, 2007
JUNE SPECIAL SESSION
AS AMENDED
SECTION 20-26

ITEM NO. 15

DEPARTMENT OF ADMINISTRATIVE SERVICES

DEVELOPMENT AND IMPLEMENTATION OF INFORMATION TECHNOLOGY SYSTEMS FOR
COMPLIANCE WITH THE HEALTH INSURANCE PORTABILITY AND ACCOUNTABILITY ACT

REQUESTED: An Allocation and Bond Authorization (to agency) \$3,657,525

FROM: Sec. 21(c) Acct. No. 17081-DAS23000-43324

Total Earmarking	\$6,310,500
Previous Allocations	-0-
Balance Unallocated	<u>\$6,310,500</u>

REASON FOR REQUEST:

These funds are requested to finance on-going compliance with the provisions of Title II of the Health Insurance Portability and Accountability Act (HIPPA) under rules promulgated by the Department of Health and Human Services.

These funds will be used to finance a HIPPA required periodic review of compliance and development of solutions to any compliance issues for the Departments of Correction and Mental Health and Addiction Services. The request also funds upgrades to firewalls and intrusion prevention to maintain compliance across systems that serve all agencies.

Funds are requested as follows:

Total, This Request \$3,657,525

PUBLIC ACT #242, 2007
AS AMENDED
SECTION 121

ITEM NO. 16

DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION

FOR THE PURPOSE OF FUNDING ANY ENERGY SERVICES PROJECT THAT RESULTS IN INCREASED EFFICIENCY MEASURES IN STATE BUILDINGS PURSUANT TO SECTION 16a-38I, OR FOR ANY RENEWABLE ENERGY OR COMBINED HEAT AND POWER PROJECT IN STATE BUILDINGS

REQUESTED: An Allocation and Bond Authorization \$10,000,000

FROM: Sec. 121 Acct. No. 17121-DEP43000-43120

Total Earmarking	\$10,000,000
Previous Allocations	-0-
Balance Unallocated	<u>\$10,000,000</u>

REASON FOR REQUEST:

These funds are requested to finance projects to increase energy efficiency in state facilities. It is These projects will results in significant energy savings in annual operating costs.

The release of these funds will be controlled through the allotment process pending project definition.

Funds are requested as follows:

Total, This Request \$10,000,000

PUBLIC ACT #5, 2005
 JUNE SPECIAL SESSION
 AS AMENDED
 SECTION 17

ITEM NO. 17

DEPARTMENT OF HOUSING

HOUSING TRUST FUND

REQUESTED: An Allocation and Bond Authorization (to Agency) \$5,000,000

FROM: Sec. 17 Acct. No. 12066-ECD46000-40001

Total Earmarking	\$220,000,000
Previous Allocations	<u>179,760,338</u>
Balance Unallocated	<u>\$ 40,239,662</u>

REASON FOR REQUEST:

These funds are requested to provide a loan to Threadmill Partners LLC to assist in the redevelopment of a former mill complex at 12 River Road in the Borough of Pawcatuck of the Town of Stonington. The project will result in 40 market rate units and 18 affordable units.

The loan will be provided at an interest rate of 1% for forty-two years. This project was previously approved under the Flexible Housing program. The project no longer meets the parameters of the Flexible Housing program due to a change in the income criteria for the affordable units.

Funds are requested as follows:

Total Estimated Cost	\$25,337,811	
Less: CHFA Financing	7,740,000	
Federal Historic Tax Credits	4,797,500	
State Historic Tax Credits	4,445,530	
Miscellaneous funding sources	1,754,781	
Developer Equity	<u>1,600,000</u>	
Total, This Request		<u>\$5,000,000</u>

SPECIAL ACT #1, 2002
MAY SPECIAL SESSION
AS AMENDED
SECTION 1-7

ITEM NO. 18

DEPARTMENT OF ADMINISTRATIVE SERVICES

VARIOUS SECURITY IMPROVEMENTS

REQUESTED:	An Allocation and Bond Authorization	\$600,000
FROM:	Sec. 2(c)	Acct. No. 17021-DAS23000-42399 Project No. BI-2B-76
	Total Earmarking	\$3,000,000
	Previous Allocations	<u>2,400,000</u>
	Balance Unallocated	<u>\$ 600,000</u>

REASON FOR REQUEST:

These funds are requested to finance the ongoing costs of security improvements at various state facilities. These funds will provide for implementation of various recommended capital improvements for enhanced security.

Security improvements may include access control systems, cameras, lighting, fencing, modifications to entrances and public areas and related improvements in accordance with standards developed by the Department of Administrative Services

Funds are requested as follows:

STotal, This Request	<u>\$600,000</u>
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PUBLIC ACT #270, 1990
AS AMENDED
SECTION 33

ITEM NO. 19

DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT

MANUFACTURING ASSISTANCE ACT

REQUESTED: An Allocation and Bond Authorization (to agency) \$22,750,000

FROM: Sec. 33 Acct. No. 12034-ECD46000-40221

Total Earmarking	\$1,215,300,000
Previous Allocations	<u>1,046,847,325</u>
Balance Unallocated	<u>\$ 168,452,675</u>

REASON FOR REQUEST:

These funds are requested to finance various business expansion and retention projects as detailed below.

- A. These funds are requested to provide a grant-in-aid and loan to Conair Corporation to assist with renovations and improvements and installation of a fuel cell at a new, expanded facility in Stamford. The company will retain 446 jobs and create up to 250 new jobs.

This request provides for an \$8 million loan at an interest rate of 2% for ten years with principal deferred for five years and a \$1 million grant-in-aid. Up to \$8 million in loan forgiveness will be provided if the company reaches various job retention and creation goals within five years and maintains them for twelve consecutive months.

Funds are requested as follows:

Total Estimated Cost	\$27,400,000	
Less: Company Funds	16,650,000	
CII Financing	750,000	
Other Funds	<u>1,000,000</u>	
Total, This Request		\$9,000,000

- B. These funds are requested to provide a loan to Sylvan R. Shemitz Designs, Inc., d/b/a The Lighting Quotient, to assist with the purchase of machinery and equipment for its facility in West Haven. The company will retain 105 jobs and create 8 new jobs within three years.

The loan will be provided at an interest rate of 2% for ten years with principal deferred for two years.

Funds are requested as follows:

Total Estimated Project cost	\$2,009,549	
Less: Company Funds	1,009,549	
Other Funds	<u>250,000</u>	
Total, This Request		\$750,000

ITEM 19 CONT.

- C. These funds are requested to provide a loan to Goodway Technologies Corporation to assist with leasehold improvements and related costs associated with an expanded facility in Stamford. The company will retain 79 jobs and create up to 20 new jobs.

The loan will be provided at an interest rate of 2% for ten years with principal and interest deferred for four years. The company will be eligible for loan forgiveness of \$650,000 if it creates and retains 99 jobs within two years.

Funds are requested as follows:

Total Estimated Cost	\$2,673,446	
Less: Company Funds	<u>1,673,446</u>	
Total, This Request		\$1,000,000

- D. These funds are requested to provide a loan to Jarden Corporation. to assist with fitout and construction for relocation of its executive staff from New York to Norwalk. The company will up to create 75 jobs within four years.

The loan will be provided at an interest rate of 2% for ten years with principal deferred for four years and amortized over the life of the loan. The company will be eligible for \$1.5 million in loan forgiveness if it reaches 60 jobs and \$2 million in loan forgiveness if it reaches 75 jobs within four years.

Funds are requested as follows:

Total Estimated Cost	\$3,028,000	
Less: Company Funds	<u>1,028,000</u>	
Total, This Request		\$2,000,000

- E. These funds are requested to provide a loan to Fuel Cell Energy, Inc. to assist with expansion of operations in Torrington and Danbury. The company will retain 538 jobs and create up to 325 new jobs within five years. The expansion will be implemented in two phases with a \$10 million loan for each phase.

The phase 1 loan will be provided at an interest rate of 2% for fifteen years with principal deferred for four years. The company will be eligible for \$5 million in loan forgiveness if it retains and creates 703 jobs and achieves specific revenue criteria. The future phase 2 loan will include \$5 million in loan forgiveness if it retains and creates 863 jobs and meets specific revenue criteria.

Funds are requested as follows:

Total Estimated Cost	\$65,635,000	
Less: Company Funds	45,635,000	
Future State Loan	<u>10,000,000</u>	
Total, This Request		\$10,000,000

Grand Total, This Request \$22,750,000

PUBLIC ACT #420, 1986
AS AMENDED
SECTION 1-10

ITEM NO. 20

DEPARTMENT OF ENVIRONMENTAL PROTECTION

CLEAN WATER FUND

REQUESTED:	1.) An Allocation and Bond Authorization of General Obligation Bonds (to agency)	\$ 110,000,000
	2.) An Allocation and Bond Authorization of Revenue Bonds (to agency)	<u>370,000,000</u>
	Total	\$480,000,000
FROM:	Acct. No. 21014-OTT14230-40001	\$ 110,000,000
	Acct. No. 21015-OTT14230-42318	370,000,000

	Revenue Bonds	G.O. Bonds
Total Authorized	\$3,137,580,000	\$1,512,625,976
Previous Allocations	<u>2,425,180,000</u>	<u>1,227,625,976</u>
Balance Unallocated	<u>\$ 712,400,000</u>	<u>\$ 285,000,000</u>

REASON FOR REQUEST:

These funds are requested to provide loans and grants to various municipalities or public water systems in accordance with Section 22a-478 of the Connecticut General Statutes to assist in Clean Water Fund project costs. The Department of Environmental Protection will disburse the funds to Clean Water projects or Drinking Water projects as outlined in the Department of Environmental Protection Priority Project Program and the Department of Public Health Priority List of Projects when each individual project is ready to proceed.

In accordance with Public Act 86-420, as amended, a combined sewer project shall receive a grant of fifty percent of the cost and a loan of fifty percent of the cost. Any other eligible water quality project shall receive a grant of twenty percent of the cost and a loan of eighty percent of the cost. However, a construction project undertaken for nitrogen removal shall receive a project grant of up to thirty per cent of the cost of the project associated with nitrogen removal and a loan for the remainder of the costs of the project, not exceeding one hundred per cent. Also in accordance with Public Act 86-420, as amended, revenue bonds shall not constitute a charge, lien or encumbrance, legal or equitable, upon any property of the State or of any political subdivision thereof, except the property mortgaged or otherwise encumbered under the provisions and for the purposes of Sections 22a-475 to 22a-483 of the General Statutes, inclusive.

The projects on the Clean Water Priority list are estimated to create or retain approximately 8,000 construction related jobs.

STATE TREASURER/OFFICE OF POLICY AND MANAGEMENT

REQUESTED: Approval of Requests and Certificates and Resolutions and the Filing of the State Treasurer's Certificate as to State Indebtedness and the Declaration of Official Intent Pursuant to Federal Income Tax Regulations

SUGGESTED MOTION:

RESOLVED, (1) that the requests and certificates and the appropriate resolutions to effect the bond authorizations approved today are hereby approved and adopted; (2) that the resolutions and the State Treasurer's certificate as to state indebtedness made in accordance with section 3-21 of the general statutes, as amended, be made a part of the record of today's meeting; (3) that the State hereby declares the official intent of the State required pursuant to Section 1.150-2 of the Federal Income Tax Regulations, Title 26, and, if applicable, pursuant to Section 54A(d) of the Internal Revenue Code of 1986, as amended, with respect to each of the agenda items approved today, to the effect that the State reasonably expects to reimburse from the proceeds of borrowings, including qualified tax credit bonds, any and all expenditures paid from the bond fund accounts designated and functionally described in each such agenda item or bond authorization resolution, in an amount anticipated not to exceed the amount of the authorization contained in such item or bond authorization resolution, and that the Secretary of the Office of Policy and Management and the Treasurer are authorized to amend this declaration of official intent on behalf of the State for any such item; and (4) that the State Treasurer is authorized to make representations and enter into agreements to provide secondary market disclosure information with respect to borrowings by State authorities or other entities, or by the State itself, in connection with which the State may be an obligated party under Rule 15c2-12 of the Securities and Exchange Commission.