

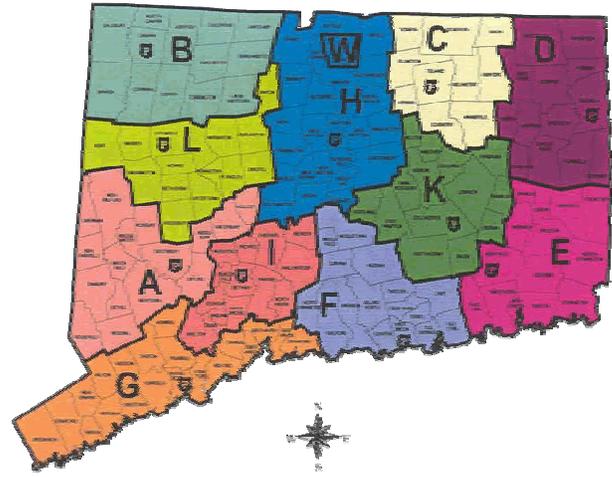


DEPARTMENT OF PUBLIC SAFETY

AGENCY PURPOSE

The Department of Public Safety is committed to providing for the protection of the public by efficient and effective utilization of resources through education, prevention, technology and enforcement activities:

- To solve crime and bring criminals to justice.
- To fairly and impartially enforce state and federal laws and regulations.
- To enhance community services and relations through Community Policing.
- To maintain a progressive working environment of career development and equal opportunities.
- To foster mutual and collaborative efforts among the various disciplines both inside and outside the Department.
- To acquire and maintain effective and efficient technology and facilities which provide a quality work environment.



TROOP MAP

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2005-2006</u>	<u>2006-2007</u>
• Remove Inflation	-397,512	-935,296
• Transfer Equipment to CEPF	-2,056,500	-1,449,000
• Reduce Overtime	-2,000,000	-2,000,000
• Fund Non-ERIP Accruals through the RSA Account	-265,294	-273,252
• Reduce Compensation Increases for Managers & Confidentials in FY07 <i>Provide 2% general wage increase and delay PARS by 6 months</i>	0	-100,308
• Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees <i>Limit increases to 2% in FY06 and delay PARS by 6 months</i>	-4,420	-12,362
• Reduce Funded Vacancies <i>Reduces position count to reflect 25 unfunded vacancies.</i>	0	0
• Defer Helicopter Maintenance until FY08	0	-530,000
• Reduce Size of Administrative Civilian Fleet	-90,000	-90,000

Within Current Services

• Reallocate Homeland Security Funding to DEMHS <i>Per PA 04-219 the Division of Homeland Security is within the Department of Emergency Management and Homeland Security effective 1/1/05.</i>	-2,026,828	-1,991,363
• Provide Funding for Replacement Trooper Class in FY06	1,029,000	1,531,025

Reallocations or Transfers

• Return IT Positions to Agencies <i>Returning unionized IT personnel to their original agencies per the Governor's decision.</i>	612,363	616,605
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AGENCY SUMMARY

Personnel Summary

	2004-2005 Total Authorized	2005-2006 Change From 2004-2005	2005-2006 Total Recommended	2006-2007 Change From 2005-2006	2006-2007 Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	1,775	-21	1,754	0	1,754
<u>Other Positions Equated to Fulltime</u>					
General Fund	11	0	11	0	11

Financial Summary	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	106,493,211	109,113,680	106,843,966	110,344,106	107,958,184
Other Expenses	22,898,169	22,990,457	22,672,636	23,942,514	22,637,636
<u>Capital Outlay</u>					
Equipment	1,000	2,057,500	1,000	1,450,000	1,000
<u>Other Current Expenses</u>					
Stress Reduction	53,354	54,048	53,354	54,751	53,354
Fleet Purchase	5,801,233	5,804,752	5,636,233	5,884,292	5,636,233
Workers' Compensation Claims	2,444,796	2,476,578	2,476,578	2,508,774	2,508,774
TOTAL - Other Current Expenses	8,299,383	8,335,378	8,166,165	8,447,817	8,198,361
<u>Pmts to Other than Local Govts</u>					
Civil Air Patrol	36,758	37,236	36,758	37,720	36,758
TOTAL - General Fund	137,728,521	142,534,251	137,720,525	144,222,157	138,831,939
<u>Additional Funds Available</u>					
Federal Contributions	6,741,700	999,800	999,800	1,003,000	1,003,000
Private Contributions	20,147,900	16,595,000	16,595,000	16,558,000	16,558,000
TOTAL - All Funds Net	164,618,121	160,129,051	155,315,325	161,783,157	156,392,939



EMERGENCY MANAGEMENT AND HOMELAND SECURITY

The Division of Homeland Security and the Office of Emergency Management have been transferred from the Department of Public Safety and the Military Department respectively to the new Department of Emergency Management and Homeland Security effective January 1, 2005 in accordance with Public Act 04-219 .

AGENCY PURPOSE

The Department of Emergency Management and Homeland Security is charged to develop, administer, and coordinate a comprehensive statewide Emergency Management and Homeland Security Program that encompasses all human-made and natural hazards, and includes prevention, mitigation, preparedness, response and recovery components to ensure the safety and well-being of the citizens of Connecticut.

The new DEMHS will consist of an Office of the Commissioner and four Divisions, including a Division of Organizational

Development, Grants Management, and Technology Resources; a Division of Operations, Prevention and Response; a Division of Planning and Preparedness and a Division of Finance and Administration. These Divisions are evolving with existing staffing from the former Division of Homeland Security and the former Office of Emergency Management, and a proposal for a new Division of Finance and Administration.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Transfer Equipment to CEPF
- Fund Non-ERIP Accruals through the RSA Account
- Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees
Limit increases to 3% in FY06 and 2% in FY07.

2005-2006 2006-2007

-221,837	-44,873
-36,688	-39,162
0	-3,030

New or Expanded Services

- Establish an Administrative Function for DEMHS
Add 19 positions and associated expenses for an administrative function.

2005-2006 2006-2007 2007-2008

1,549,000	1,566,500	1,643,195
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AGENCY SUMMARY

Personnel Summary

Permanent Full-Time Positions

	2004-2005 Total Authorized	2005-2006 Change From 2004-2005	2005-2006 Total Recommended	2006-2007 Change From 2005-2006	2006-2007 Total Recommended
General Fund	0	47	47	0	47
Federal Contributions	0	33	33	0	33

Financial Summary

Capital Outlay

	2004-2005 Estimated	2005-2006 Current Services	2005-2006 Total Recommended	2006-2007 Current Services	2006-2007 Total Recommended
Equipment	0	166,100	100	43,973	100
TOTAL - General Fund	0	3,001,232	4,291,707	2,889,862	4,369,297

Additional Funds Available

Federal Contributions	0	12,706,500	12,706,500	10,854,597	10,854,597
Private Contributions	0	1,530,038	1,530,038	1,552,988	1,552,988
TOTAL - All Funds Net	0	17,237,770	18,528,245	15,297,447	16,776,882



POLICE OFFICER STANDARDS AND TRAINING

AGENCY PURPOSE

The Police Officer Standards and Training Council is responsible for the certification of all police officers, law enforcement instructors and police training programs requiring certification throughout the State of Connecticut.

- It is the primary provider of training for municipal, state agency and state university police officers in the areas of basic law enforcement, in-service law enforcement and professional development.
- It is responsible for all of the certified police training activities at the Connecticut Police Academy.
- It develops and revises a comprehensive police training and education plan, which includes approving the

operations of police training schools, approving courses of study, certifying instructors and setting minimum employment standards and certification requirements.

- It offers professional, advanced, specialized and continuing education to veteran police officers as part of the license renewal process, which requires each police officer to satisfactorily complete at least 45 hours of certified review training every three years.
- It also may revoke the certification, i.e. license, of police officers under certain statutory conditions.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Remove Inflation
- Transfer Equipment to CEPF
- Fund Non-ERIP Accruals through the RSA Account
- Reduce Compensation Increases for Managers & Confidentials in FY07
provide 2% general wage increase and delay PARS by 6 months
- Carryforward FY05 Funds

2005-2006 2006-2007

-11,900	-31,953
-38,000	-46,200
-45,963	0
0	-9,305
-105,190	0

Reallocations or Transfers

- Return IT Positions to Agencies
returning unionized IT personnel to their original agencies per the Governor's decision

60,520	60,520
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AGENCY SUMMARY

Personnel Summary

Permanent Full-Time Positions

	2004-2005 Total Authorized	2005-2006 Change From 2004-2005	2005-2006 Total Recommended	2006-2007 Change From 2005-2006	2006-2007 Total Recommended
General Fund	24	1	25	0	25

Financial Summary

	2004-2005 Estimated	2005-2006 Current Services	2005-2006 Total Recommended	2006-2007 Current Services	2006-2007 Total Recommended
Personal Services	1,597,586	1,805,582	1,759,619	1,824,454	1,815,149
Other Expenses	890,044	901,944	784,854	921,997	890,044
<u>Capital Outlay</u>					
Equipment	1,000	39,000	1,000	47,200	1,000
TOTAL - General Fund	2,488,630	2,746,526	2,545,473	2,793,651	2,706,193
<u>Additional Funds Available</u>					
Federal Contributions	667,779	743,580	743,580	799,562	799,562
Private Contributions	57,536	60,444	60,444	63,466	63,466
TOTAL - All Funds Net	3,213,945	3,550,550	3,349,497	3,656,679	3,569,221



BOARD OF FIREARM PERMIT EXAMINERS

AGENCY PURPOSE

- The Board of Firearms Permit Examiners is a seven member board appointed by the Governor to hear appeals in regard to permits to sell or carry pistols and revolvers.
- In connection with its responsibility, the Board provides a booklet on firearms laws to issuing authorities, attorneys, legislators and the public.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Remove Inflation
- Reduce Compensation Increases for Managers & Confidentials in FY07
provide 2% general wage increase and delay PARS by 6 months

2005-2006 2006-2007

-453 -1,229

0 -1,478

AGENCY SUMMARY

Personnel Summary

Permanent Full-Time Positions

	2004-2005 Total Authorized	2005-2006 Change From 2004-2005	2005-2006 Total Recommended	2006-2007 Change From 2005-2006	2006-2007 Total Recommended
General Fund	1	0	1	0	1

Financial Summary

	2004-2005 Estimated	2005-2006 Current Services	2005-2006 Total Recommended	2006-2007 Current Services	2006-2007 Total Recommended
Personal Services	71,710	76,356	76,356	80,991	79,513
Other Expenses	34,842	35,295	34,842	36,071	34,842
<u>Capital Outlay</u>					
Equipment	100	100	100	100	100
TOTAL - General Fund	106,652	111,751	111,298	117,162	114,455



DEPARTMENT OF MOTOR VEHICLES

AGENCY PURPOSE

- Perform public safety functions through enforcement of the statutes concerning motor vehicles and their operation.
- Issue credentials for motor vehicles, their operators and vehicle-related businesses.
- Deliver high quality, innovative services to customers.
- Impose sanctions on the credential-holders who violate laws and regulations.
- Collect revenues for the construction and maintenance of the State's highways, collect information on revenues, credentials and credential-holders, and provide it to all those with a legitimate need to know.

RECENT HIGHLIGHTS

Fiscal Year 2003-04 Fast Facts	
1.4 million	Calls to Phone Center
2.2 million	Visitors to Branch Offices
3.02 million	Registered Motor Vehicles
2.3 million	Licensed Drivers
14,000	Voters Registered
\$ 401.7 million	Revenue Collected by DMV

- Implemented the main line clearance system portion on the Commercial Vehicle Information Network (CVISN).
- Relocated the Bridgeport and Danbury branch offices to new leased facilities.
- Implemented the new decentralized emissions testing program.
- Issued a Request for Proposal for re-engineering the agency's registration processing systems.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2005-2006</u>	<u>2006-2007</u>
• Remove Inflation	-204,737	-559,963
• Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees <i>Limit increases to 3% in FY06 and 2% in FY07</i>	-14,952	-36,859
• Reduce Compensation Increases for Managers & Confidentials in FY07 <i>Provide 2% general wage increase and delay PARS by 6 months</i>	0	-81,879
• Reduce Funded Vacancies <i>Funding for 5 positions.</i>	-283,700	-283,700
• Delay Vision Screening Requirement on Renewal of Driver License <i>Delay of two years from July 1, 2005 to a new effective date of July 1, 2007.</i>	-1,015,668	-1,001,178
• Postpone Norwich Branch Office Rental <i>Delay the rental of the branch by one year from January 1, 2006 to a revised estimated occupancy date of January 1, 2007.</i>	-90,000	-90,000

Reallocations or Transfers

• Return IT Positions to Agencies <i>Returning unionized IT personnel to their original agencies per the Governor's decision. Transfer includes a total of 30 positions - 22 previously transferred to DoIT and an additional 8 (funding provided to DMV in the mid-term at time of transfer).</i>	0	0
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New or Expanded Services

	<u>2005-2006</u>	<u>2006-2007</u>	<u>2007-2008</u>
• Enhance Process for Issuing New Licenses and Identification Cards <i>Add 11 positions and associated costs to aid in the verification of background and identity documents of applicants.</i>	651,924	680,700	721,542

AGENCY SUMMARY

Personnel Summary

	2004-2005 Total Authorized	2005-2006 Change From 2004-2005	2005-2006 Total Recommended	2006-2007 Change From 2005-2006	2006-2007 Total Recommended
<u>Permanent Full-Time Positions</u>					
Special Transportation Fund	595	36	631	0	631
Auto Emissions	93	0	93	0	93
Special Funds, Non-Appropriated	2	0	2	0	2
Federal Contributions	23	0	23	0	23

Budget Summary

Other Positions Equated to Fulltime

Special Transportation Fund	80	-80	0	0	0
Auto Emissions	1	-1	0	0	0
Federal Contributions	1	-1	0	0	0

Financial Summary

	2004-2005 Estimated	2005-2006 Current Services	2005-2006 Total Recommended	2006-2007 Current Services	2006-2007 Total Recommended
Personal Services	36,296,359	37,813,999	37,994,951	38,587,600	38,693,542
Other Expenses	14,176,581	14,871,975	14,761,603	15,315,129	14,870,420
<u>Capital Outlay</u>					
Equipment	754,436	637,250	637,250	996,425	996,425
<u>Other Current Expenses</u>					
Insurance Enforcement	643,541	662,847	654,481	682,733	659,785
Commercial Veh Info Sys & Networks Prj	283,000	286,679	283,000	292,986	283,000
VISION SCREENING PROGRAM	0	1,015,668	0	1,001,178	0
TOTAL - Other Current Expenses	926,541	1,965,194	937,481	1,976,897	942,785
TOTAL - Special Transportation Fund	52,153,917	55,288,418	54,331,285	56,876,051	55,503,172
<u>Additional Funds Available</u>					
Auto Emissions	6,892,013	7,074,824	7,074,824	7,292,758	7,292,758
Federal Contributions	4,079,651	1,350,000	1,350,000	1,350,000	1,350,000
TOTAL - All Funds Net	63,125,581	63,713,242	62,756,109	65,518,809	64,145,930



MILITARY DEPARTMENT

AGENCY PURPOSE

- The Military Department is composed of the Connecticut Army National Guard, Air National Guard, Office of Emergency Management, and the State Militia.
- The State mission is to provide trained and disciplined forces for domestic emergencies or as otherwise required by law.
- The federal mission is to maintain properly trained and equipped units available for prompt mobilization for war or national emergencies.
- Collectively, the Connecticut Army and Air National Guards, and the organized Militia augment federal, state, and local authorities in the event of emergencies, funding and training assistance to communities and conducts community service programs.



RECENT HIGHLIGHTS

- Deployed more than 50 Air National Guard personnel to Kuwait in support of Operation Iraqi Freedom.
- Deployed 14 Air National Guard personnel to Qatar, Kuwait, Iraq, United Arab Emirates, France, Italy and Germany in support of the global war on terrorism.
- Deployed more than 65 personnel to five different states in support of Operation Grecian Firebolt.
- Deployed more than 100 personnel to Afghanistan in support of Operation Enduring Freedom.
- Deployed 61 personnel to support the National Capitol Region in Joint Air Defense Operations.
- Deployed 15 personnel from the 103 ACS to various Continental United States locations to support Joint Experimental Forces Exercises.
- Deployed more than 155 National Guard Soldiers in support of Operation Iraqi Freedom rotation #2.
- Mobilized 130 National Guard Soldiers in support of Operation Iraqi Freedom rotation #3.
- Return of more than 40 National Guard Airmen and 580 National Guard Soldiers from Southwest Asia in support of Operation Iraqi Freedom rotation #1.
- Return of more than 170 National Guard Soldiers from Southwest Asia in support of Operation Enduring Freedom rotation #1.
- Return of more than 400 National Guard Soldiers to provide security at military installations in the Northeast in support of Operation Noble Eagle.
- Connecticut was selected to receive a heavy Weapons of Mass Destruction – Civil Support Team, currently validation in May of 2005. The team contains highly skilled National Guardsmen, which execute military assistance to local and state authorities at domestic WMD incident sites.
- Provided Tuition Assistance to 350 Air National Guard members attending state universities and colleges throughout the state.
- environmental posture for the next few decades.
- Implemented a Quick Response Force (QRF) to rapidly respond to threats within the state, with recent deployments to Millstone, bridges on Interstate 95, the New Haven Fuel Farm and rail stations from New Haven to Greenwich.
- Teamed with the Northwest Regional Workforce Investment Board to bring the About Face Academy to Waterbury, a youth skills program designed to provide economically disadvantaged youth with academic guidance, job and life skills training, and work experience.
- Partnered with Gov. Rell in Operation Embracing Loving Families (ELF) to provide support and assistance to Connecticut service members and their families during the Holiday season.
- Implemented “Operation Santa Express,” to help families of deployed soldiers during the holiday season, delivering more than 650 gifts to CNG families of deployed soldiers during the holiday season.
- Counter-drug support successfully assisted over 25 Law Enforcement Agencies in acquiring 129 military items to be utilized as law enforcement support equipment valued at over \$327,903.
- Assisted in over 2479 arrests and the seizure of over \$6,125,772 worth of illegal drugs by pairing intelligence analysis personnel with law enforcement agencies.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services	<u>2005-2006</u>	<u>2006-2007</u>	
• Remove Inflation	-38,144	-105,943	
• Transfer Equipment to CEPF	-136,600	-118,200	
• Carryforward FY05 Funds	-105,969	0	
• Fund Non-ERIP Accruals through the RSA Account	-57,725	-78,885	
• Reduce Compensation Increases for Managers & Confidentials in FY07 <i>Provide 2% general wage increase and delay PARS by 6 months</i>	0	-11,627	
• Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees <i>Limit increases to 3% in FY06 and 2% in FY07</i>	-1,479	-6,411	
Within Current Services			
• Reallocate OEM funding to DEMHS <i>Per PA 04-219 the Office of Emergency Management is within the Department of Emergency Management and Homeland Security effective 1/1/05.</i>	-974,404	-898,499	
Reallocations or Transfers			
• Return IT Positions to Agencies <i>Returning unionized IT personnel to their original agencies per Governor's decision</i>	66,327	66,327	
New or Expanded Services			
• Create Veteran's Service Bonus <i>Provides an honorary service bonus of \$50 per month to Connecticut National Guard veterans who serve at least 90 consecutive days in Southwest Asia for Operation Enduring Freedom or Operation Iraqi Freedom.</i>	<u>2,550,000</u>	<u>1,000,000</u>	<u>1,000,000</u>

AGENCY SUMMARY

Personnel Summary	2004-2005 Total Authorized	2005-2006 Change From 2004-2005	2005-2006 Total Recommended	2006-2007 Change From 2005-2006	2006-2007 Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	55	-10	45	0	45
Federal Contributions	78	-55	23	0	23
Private Contributions	6	-6	0	0	0
<u>Other Positions Equated to Fulltime</u>					
General Fund	0	2	2	0	2
Financial Summary	2004-2005 Estimated	2005-2006 Current Services	2005-2006 Total Recommended	2006-2007 Current Services	2006-2007 Total Recommended
Personal Services	3,762,797	2,941,528	2,851,244	3,058,338	2,961,415
Other Expenses	2,079,078	2,361,038	2,251,993	2,422,000	2,326,882
<u>Capital Outlay</u>					
Equipment	1,000	137,600	1,000	119,200	1,000
<u>Other Current Expenses</u>					
Veteran's Service Bonuses	0	0	2,550,000	0	1,000,000
TOTAL - General Fund	5,842,875	5,440,166	7,654,237	5,599,538	6,289,297
<u>Other Current Expenses</u>					
Honor Guards	306,803	310,791	306,803	317,628	306,803
TOTAL - Soldiers, Sailors and Marines' Fund	306,803	310,791	306,803	317,628	306,803
<u>Additional Funds Available</u>					
Special Funds, Non-Appropriated	121,733	0	0	0	0
Bond Funds	141,386	156,150	156,150	129,200	129,200
Federal Contributions	14,290,037	7,935,537	7,935,537	7,914,813	7,914,813
Private Contributions	1,557,229	51,461	51,461	53,163	53,163
TOTAL - All Funds Net	22,260,063	13,894,105	16,104,188	14,014,342	14,693,276



COMMISSION ON FIRE PREVENTION AND CONTROL

AGENCY PURPOSE

- Reduce death, injury and property damage due to fire, emergencies and other man-made or natural disasters by increasing the proficiency of firefighters through training, education and certification.
- Provide technical assistance, guidance and resource services to the fire and emergency services community.
- Raise the fire and life safety awareness level of the public.

RECENT HIGHLIGHTS

- Increased participation in the Statewide Fire – Rescue Disaster Response Plan.
- Delivered specialized terrorism related training program on “Planning and Responding to Terrorist Incidents and Civil Disturbances.”
- Continued administration of the 800- FIRE LINE program encouraging volunteer recruitment and retention.
- Provided administrative and financial assistance to the establishment of a statewide honor guard initiative.
- Printed and disseminated 13,000 copies of the publication “In Connecticut... Parents’ Legal Responsibility When Your Child Sets a Fire”. A Spanish version was also developed.
- Continued support for the Regional Foam Trailer program.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2005-2006</u>	<u>2006-2007</u>
• Remove Inflation	-9,235	-23,564
• Transfer Equipment to CEPF	-207,400	-300,800
• Carryforward FY05 Funds	-40,681	0
• Fund Non-ERIP Accruals through the RSA Account	-17,000	-24,000
• Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees <i>Limit increases to 3% in FY06 and 2% in FY07</i>	-1,394	-3,429
• Reduce Compensation Increases for Managers & Confidentials in FY07 <i>Provide 2% general wage increase and delay PARs by 6 months.</i>	0	-2,625

AGENCY SUMMARY

Personnel Summary

	2004-2005 Total Authorized	2005-2006 Change From 2004-2005	2005-2006 Total Recommended	2006-2007 Change From 2005-2006	2006-2007 Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	20	0	20	0	20
<u>Other Positions Equated to Fulltime</u>					
General Fund	4	0	4	0	4

Financial Summary

	2004-2005 Estimated	2005-2006 Current Services	2005-2006 Total Recommended	2006-2007 Current Services	2006-2007 Total Recommended
Personal Services	1,586,502	1,623,042	1,591,541	1,657,715	1,627,661
Other Expenses	588,552	609,787	572,978	624,116	600,552
<u>Capital Outlay</u>					
Equipment	100	207,500	100	300,900	100
TOTAL - General Fund	2,175,154	2,440,329	2,164,619	2,582,731	2,228,313
<u>Additional Funds Available</u>					
Private Contributions	315,000	0	0	0	0
TOTAL - All Funds Net	2,490,154	2,440,329	2,164,619	2,582,731	2,228,313



DEPARTMENT OF BANKING

AGENCY PURPOSE

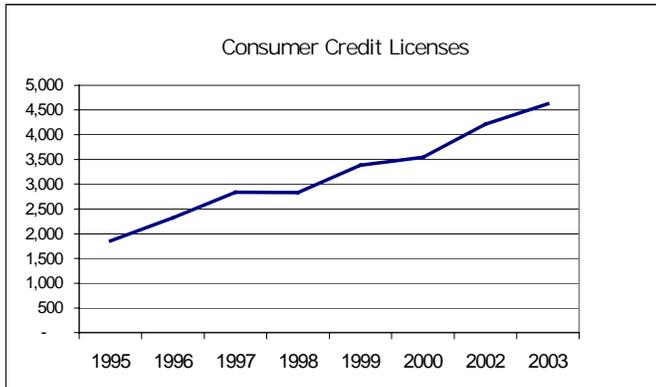
- Ensure the safety and soundness of regulated depository institutions.
- Administer the state's banking and related laws.
- Protect Connecticut consumers and investors.

RECENT HIGHLIGHTS

- The trend for the establishment of new banks continued during 2002-2003. The Bank Examination Division opened one state-chartered bank, Connecticut River Community Bank in Wethersfield, and one foreign banking organization, Fortis Bank, a Belgium Bank, located in Stamford. Four state-chartered banks are in various stages of organization: Higher One Bank, New Haven; Customers' Bank, West Haven; Connecticut Bank and Trust Company, Hartford; and The Bank of Southeastern Connecticut, New London. In addition, Department officials have held informal discussions with interested organizers from other areas within the state.
- In response to the nationwide wave of corporate and accounting scandals, the Department sponsored two well-attended training conferences for bank directors and chief executive officers to address ways they can become more effective in meeting their fiduciary obligations.
- The Consumer Credit Division enacted a requirement of registration and standards for registration applicable to individuals that take mortgage applications from

consumers. Registration enables the Department to better monitor the activities of these individuals and to initiate enforcement action when there is non-compliance with the standards for registration. Since October 2002, the Department has registered nearly 15,000 mortgage originators. *(This number is not included in the chart shown)*

- The Securities and Business Investments Division imposed \$1,408,748 in fines for violations of the state's securities laws during 2002-03. In addition, in April 2003, securities regulators, including Connecticut, reached a settlement with nine of the nation's largest investment firms.
- The Department's Securities Division Director, Ralph A. Lambiase, was named president of the North American Securities Administrators Association (NASAA), which represents state and provincial securities regulators in the United States, Canada, Mexico, and Puerto Rico.
- During 2002-03, over \$4 million was returned to consumers and investors through the Department's efforts. The Consumer Credit Division's complaint function obtained \$2,292,570 in adjustments and reimbursements on behalf of consumers during the fiscal year, while assisting consumers with 2,365 complaints. Securities Division intervention resulted in the return of \$1,589,135 to the investing public. The agency's security deposit investigator resolved 198 landlord tenant disputes during the fiscal year and recovered \$71,081 for Connecticut residents who had complained that landlords had unjustly withheld their refundable rental security deposits. The Bank Examination Division responded to 2,944 complaints and recovered \$138,565 for consumers who had been wronged by their financial institution.



RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Remove Inflation
- Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees
Limit increases to 3% in FY06 and 2% in FY07.
- Reduce Compensation Increases for Managers & Confidentials in FY07
Provide 2% general wage increase and delay PARS by 6 months.
- Reduce Funded Vacancies
- Freeze Out-of-State Travel at FY2004 Level

	<u>2005-2006</u>	<u>2006-2007</u>
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	-30,225	-81,407
	-6,214	-15,318
	0	-40,803
	-54,000	-54,000
	-87,730	-87,730

Reallocations or Transfers

- Return IT Positions to Agencies
Returning unionized IT personnel to their original agencies per the Governor's decision.

	0	0
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New or Expanded Services

- Carryforward FY05 Funds
Provide \$700,000 from FY05 funding for an integrated compliance registration database system for licensure, registration, examination and enforcement activities.

	<u>2005-2006</u>	<u>2006-2007</u>	<u>2007-2008</u>
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	0	0	0
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AGENCY SUMMARY

Personnel Summary	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Total Authorized	Change From 2004-2005	Total Recommended	Change From 2005-2006	Total Recommended
<u>Permanent Full-Time Positions</u>					
Banking Fund	127	2	129	0	129
Financial Summary	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	8,934,389	8,746,564	8,686,350	8,886,164	8,776,043
Other Expenses	2,269,701	2,147,630	2,029,675	2,198,812	2,029,675
<u>Capital Outlay</u>					
Equipment	125,000	127,000	127,000	23,500	23,500
<u>Other Current Expenses</u>					
Fringe Benefits	4,075,539	4,353,235	4,353,235	4,599,863	4,599,863
Indirect Overhead	562,333	409,362	409,362	234,140	234,140
TOTAL - Other Current Expenses	4,637,872	4,762,597	4,762,597	4,834,003	4,834,003
TOTAL - Banking Fund	15,966,962	15,783,791	15,605,622	15,942,479	15,663,221
<u>Additional Funds Available</u>					
Private Contributions	32,150	32,100	32,100	32,100	32,100
TOTAL - All Funds Net	15,999,112	15,815,891	15,637,722	15,974,579	15,695,321



INSURANCE DEPARTMENT

AGENCY PURPOSE

- To protect the consumer by administering and enforcing the insurance laws and regulations in the most responsive and cost effective manner.
- To ensure financial reliability and responsibility of all licensed entities while maintaining an open, competitive market.

RECENT HIGHLIGHTS

- The Insurance Department received a full five year re-accreditation from the National Association of Insurance Commissioners (NAIC) in March of 2004. The Financial Regulation Standards and Accreditation Program was developed by the NAIC to strengthen solvency oversight. The Examination Division with the assistance of the Legal Division worked diligently to attain this important designation. Maintaining NAIC accreditation is a priority with respect to the adequate statutory and administrative authority to regulate the financial condition and corporate affairs of insurance companies, the resources necessary to carry out the authority and an organizational structure to promote effective solvency regulation.
- The Consumer Affairs Division receives, reviews and responds to complaints and inquiries from Connecticut residents concerning insurance-related problems. During Fiscal Year 2002-2003, 13,361 formal complaints were logged into the Division's computer database. Additionally, the Division responded to 3,647 requests for informational pamphlets and booklets. Consumer Affairs also conducted a number of outreach programs designed to focus on, respond to and educate consumers on insurance matters. During Fiscal Year 2002-2003, the outreach program continued to focus on senior groups, Hispanic groups, small business owners, health fairs and medical providers. During the year, the Division's outreach representatives spoke to more than 3,000 Connecticut residents.
- During Fiscal Year 2003-2004, the Legal Division promulgated four regulations, assisted the Department divisions in 103 administrative enforcement proceedings or stipulated settlements that resulted in the assessment of \$1,114,714.08 in fines and penalties.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2005-2006</u>	<u>2006-2007</u>
• Remove Inflation	-16,600	-75,617
• Carryforward FY05 Funds	-306,150	-367,694
<i>Carry forward funding from FY 05 to continue the agency's data migration of its information systems from a Legacy Wang system to an ORACLE based system.</i>		
• Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees <i>Limit increases to 3% in FY06 and 2% in FY07.</i>	-8,465	-19,768
• Reduce Compensation Increases for Managers & Confidentials in FY07 <i>Provide 2% general wage increase and delay PARS by 6 months.</i>	0	-31,759
• Reduce Funded Vacancies	-160,000	-160,000

Reallocations or Transfers

• Return IT Positions to Agencies <i>Returning unionized IT personnel to their original agencies per the Governor's decision.</i>	0	0
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New or Expanded Services

	<u>2005-2006</u>	<u>2006-2007</u>	<u>2007-2008</u>
• Carryforward FY05 Funds <i>Carry forward \$200,000 for an independent third party review of medical malpractice insurance filings. Also, carry forward \$165,000 for software upgrades to effectively interface with agency customers.</i>	0	0	0

AGENCY SUMMARY

Personnel Summary

	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Total	Change From	Total	Change From	Total
	Authorized	2004-2005	Recommended	2005-2006	Recommended
<u>Permanent Full-Time Positions</u>					
Insurance Fund	149	0	149	0	149

Financial Summary	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	11,024,837	11,699,131	11,530,666	11,834,943	11,623,416
Other Expenses	2,258,154	2,666,760	2,344,010	2,823,881	2,380,570
<u>Capital Outlay</u>					
Equipment	99,150	95,500	95,500	135,500	135,500
<u>Other Current Expenses</u>					
Fringe Benefits	5,036,369	6,123,248	6,123,248	6,417,716	6,417,716
Indirect Overhead	547,719	452,518	452,518	76,960	76,960
TOTAL - Other Current Expenses	5,584,088	6,575,766	6,575,766	6,494,676	6,494,676
TOTAL - Insurance Fund	18,966,229	21,037,157	20,545,942	21,289,000	20,634,162
<u>Additional Funds Available</u>					
Special Funds, Non-Appropriated	160,000	175,000	175,000	195,000	195,000
TOTAL - All Funds Net	19,126,229	21,212,157	20,720,942	21,484,000	20,829,162



OFFICE OF THE CONSUMER COUNSEL

AGENCY PURPOSE

- The Office of Consumer Counsel (OCC) is an independent state agency with the responsibility of advocating Connecticut consumers' interests in all matters with respect to utility services and public service companies.
- To ensure that all of Connecticut's consumers receive the highest level of utility services at the lowest overall cost, the OCC is authorized to participate in any regulatory or judicial proceedings, federal or state, affecting such interests.
- OCC fulfills its statutory responsibility by representing the interests of Connecticut's utility consumers in proceedings before the DPUC, which determines rates and services, and in proceedings before other state and federal regulatory agencies, courts, and other forums.
- The OCC is a party to all contested matters before the Department of Public Utility Control (DPUC) and is authorized to appeal state regulatory decisions to court.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2005-2006</u>	<u>2006-2007</u>
• Remove Inflation	-6,521	-19,965
• Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees <i>Limit increases to 3% in FY06 and 2% in FY07</i>	-2,826	-6,966
• Reduce Compensation Increases for Managers & Confidentials in FY07 <i>Provide 2% general wage increase and delay PARS by 6 months</i>	0	-4,016
• Fund Out-of-State Travel at FY04 Level	-2,518	-6,127

AGENCY SUMMARY

Personnel Summary

	2004-2005 Total Authorized	2005-2006 Change From 2004-2005	2005-2006 Total Recommended	2006-2007 Change From 2005-2006	2006-2007 Total Recommended
<u>Permanent Full-Time Positions</u>					
Consumer Counsel and Public Utility Fund	17	0	17	0	17

Financial Summary

	2004-2005 Estimated	2005-2006 Current Services	2005-2006 Total Recommended	2006-2007 Current Services	2006-2007 Total Recommended
Personal Services	1,099,703	1,137,589	1,134,763	1,160,205	1,149,223
Other Expenses	501,652	611,075	602,036	628,128	602,036
<u>Capital Outlay</u>					
Equipment	12,100	39,400	39,400	34,750	34,750
<u>Other Current Expenses</u>					
Fringe Benefits	503,884	510,434	510,434	521,664	521,664
Indirect Overhead	69,262	69,262	69,262	173,912	173,912
TOTAL - Other Current Expenses	573,146	579,696	579,696	695,576	695,576
TOTAL - Consumer Counsel/Public Utility Fund	2,186,601	2,367,760	2,355,895	2,518,659	2,481,585



DEPARTMENT OF PUBLIC UTILITY CONTROL

AGENCY PURPOSE

- To ensure that safe, reliable, and fairly priced utility services are available throughout Connecticut.
- To balance the public's need for adequate utility service at reasonable rates with the providers' right to earn a reasonable return on their investment in those industries still wholly regulated.
- To design and oversee competitive utility service (telephone, commercial/industrial natural gas and electric service) with equity among the competitors while affording customers the economic benefit of competition along with adequate customer service.
- To assure that cable franchises are awarded and operated according to statute and regulation and that customer service is being provided in a timely and courteous manner.
- To perform management audits of public service companies.
- To perform research and analysis to provide data and support for adjudicatory functions.
- To monitor and enforce utility safety requirements.
- To resolve individual consumer complaints.
- To increase consumers' knowledge of their rights.
- To analyze and assess utility companies' rate increase requests through an independently prepared and presented case.
- To educate consumers about changes taking place in the utility industry.

RECENT HIGHLIGHTS

- Effectuated the requirements of PA 03-135 for the Transitional Standard Offer
- Worked with regional and federal entities regarding electric utility issues
- Approved a mechanism to bring environmentally friendly power to Connecticut
- Pursued Consumer Education Outreach regarding electric restructuring and energy conservation
- Granted an overbuild CATV franchise to a municipal utility
- Continued to review basic cable TV rates to ensure compliance with federal mandates
- Coordinated efforts among electric utilities regarding capacity needs and focused on methods to reduce the possibility of shortages of electricity in southwestern CT
- Revoked the licenses of telecommunications providers that do not comply with state requirements
- Took steps to ensure a competitive market in the supply of electricity
- Began e-mail service to case participants to reduce the Department's costs
- Expanded capability to receive filings from case participants via the internet to further reduce cost and staff time

RECOMMENDED SIGNIFICANT CHANGES

<i>Reductions to Current Services</i>	<u>2005-2006</u>	<u>2006-2007</u>
• Remove Inflation	-25,408	-68,263
• Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees <i>Limit increases to 3% in FY06 and 2% in FY07</i>	-22,489	-55,438
• Reduce Compensation Increases for Managers & Confidentials in FY07 <i>Provide 2% general wage increase and delay PARS by 6 months</i>	0	-30,344
• Fund Out-of-State Travel at FY04 Level	-29,974	-29,974
• Achieve Efficiencies <i>Reflect actual spending levels by reducing Equipment by \$76,000 and Nuclear Energy Advisory Council by \$8,000 in FY06; \$29,000 and \$8,000 removed respectively in FY07.</i>	-84,000	-37,000
<i>Reallocations or Transfers</i>		
• Return IT Positions to Agencies <i>Returning unionized IT personnel to their original agencies per the Governor's decision. Transfer 2 positions from DoIT.</i>	0	0

AGENCY SUMMARY

<i>Personnel Summary</i>	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Total Authorized	Change From 2004-2005	Total Recommended	Change From 2005-2006	Total Recommended
<i>Permanent Full-Time Positions</i>					
Consumer Counsel and Public Utility Fund	133	2	135	0	135
Siting Council	12	0	12	0	12

Budget Summary

Financial Summary	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	9,996,127	10,318,805	10,296,316	10,473,671	10,387,889
Other Expenses	1,894,798	1,845,073	1,713,824	1,887,701	1,760,824
<u>Capital Outlay</u>					
Equipment	135,584	143,200	143,200	145,200	145,200
<u>Other Current Expenses</u>					
Fringe Benefits	4,575,006	4,634,481	4,634,481	4,736,440	4,736,440
Indirect Overhead	1,000	146,555	146,555	72,609	72,609
Nuclear Energy Advisory Council	10,200	10,333	2,200	10,560	2,200
TOTAL - Other Current Expenses	4,586,206	4,791,369	4,783,236	4,819,609	4,811,249
TOTAL - Consumer Counsel/Public Utility Fund	16,612,715	17,098,447	16,936,576	17,326,181	17,105,162
<u>Additional Funds Available</u>					
Siting Council	1,987,806	1,996,396	1,996,396	2,037,439	2,037,439
TOTAL - All Funds Net	18,600,521	19,094,843	18,932,972	19,363,620	19,142,601

OFFICE OF THE MANAGED CARE OMBUDSMAN

AGENCY PURPOSE

- Assist managed care consumers with plan selections, understanding their rights and responsibilities, and accessing services through information and intervention.
- Provide education to the public, providers, agencies and others regarding problems and concerns of health care consumers and make recommendations to resolve those concerns.

RECENT HIGHLIGHTS

During calendar year 2003, OMCO conducted over 100 outreach programs to educate consumers about the roles and responsibilities of the agency and identify the specific

interventions we may offer to assist them in resolving issues with managed care organizations.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2005-2006</u>	<u>2006-2007</u>
• Remove Inflation	-2,775	-7,530
• Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees <i>Limit increases to 3% in FY06 and 2% in FY07.</i>	-2,744	-6,763
• Reduce Compensation Increases for Managers & Confidentials in FY07 <i>Provide 2% general wage increase and delay PARS by 6 months.</i>	0	-1,549
• Freeze Out-of-State Travel at FY2004 Level	-14,000	-14,000

AGENCY SUMMARY

Personnel Summary

	2004-2005 Total Authorized	2005-2006 Change From 2004-2005	2005-2006 Total Recommended	2006-2007 Change From 2005-2006	2006-2007 Total Recommended
<u>Permanent Full-Time Positions</u>					
Insurance Fund	3	0	3	0	3

Financial Summary

	2004-2005 Estimated	2005-2006 Current Services	2005-2006 Total Recommended	2006-2007 Current Services	2006-2007 Total Recommended
Personal Services	222,071	255,084	252,340	265,505	257,193
Other Expenses	216,899	158,746	141,971	163,501	141,971
<u>Capital Outlay</u>					
Equipment	2,600	1,200	1,200	1,200	1,200
<u>Other Current Expenses</u>					
Fringe Benefits	101,753	128,570	128,570	140,528	140,528
Indirect Overhead	38,091	38,091	38,091	19,643	19,643
TOTAL - Other Current Expenses	139,844	166,661	166,661	160,171	160,171
TOTAL - Insurance Fund	581,414	581,691	562,172	590,377	560,535



DEPARTMENT OF CONSUMER PROTECTION

AGENCY PURPOSE

- Eliminate the hazards of adulterated, contaminated, or unsanitary food products by regulating the manufacture and sale of food products in the State of Connecticut.
- Prevent the diversion of all controlled drugs by regulating the manufacture, distribution and sale of drugs, cosmetics and medical devices.
- Prevent the sale of alcoholic liquor to minors and intoxicated persons and ensure that licensed premises are safe and sanitary by regulating the distribution, sale, and dispensation of alcoholic liquor.
- Ensure that 193,000 people or businesses in 190 trades and professions are qualified to offer their services and/or products.
- Ensure the accuracy of all weighing and measuring devices to provide a fair and equitable marketplace for both buyer and seller.
- Protect both consumers and businesses from unfair, deceptive or unsafe practices through consumer education, mediation, arbitration and enforcement activities.

RECENT HIGHLIGHTS

- Improved delivery of on-line services to the public that makes new information available immediately to the agency's customers and standardizes access.
- Expanded the agency's educational program to include a course for pharmacy professionals, in both community and institutional settings, which emphasizes the prevention of prescription errors.
- Enhanced enforcement of Connecticut's Liquor Control Act during the 2003-2004 fiscal year. With the assistance of a federal grant, the agency continued to work with municipal and state police departments on alcohol compliance checks designed to prevent underage drinking. During this period, the agency conducted 265 compliance checks as a direct result of the federal grant. In addition, the agency increased its efforts to provide specialized training to state and local law enforcement agencies – training 350 state and local police officers on laws and regulations regarding underage drinking.
- Continued efforts to protect consumers from unscrupulous home improvement contractors operating in Connecticut by conducting multi-agency sting operations which resulted in the arrests of unregistered home improvement contractors and unprecedented requests for new applications. Furthermore, \$2.4 million in restitution was paid to Connecticut consumers from the Home Improvement Guaranty Fund during Fiscal 2003-2004.

RECOMMENDED SIGNIFICANT CHANGES

<i>Reductions to Current Services</i>	<u>2005-2006</u>	<u>2006-2007</u>
• Remove Inflation	-17,567	-49,669
• Transfer Equipment to CEPF	-143,985	-164,685
• Fund Non-ERIP Accruals through the RSA Account	-153,000	-152,725
• Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees <i>Limit increases to 3% in FY06 and 2% in FY07</i>	-7,961	-19,624
• Reduce Compensation Increases for Managers & Confidentials in FY07 <i>provide 2% general wage increase and delay PARS by 6 months</i>	0	-22,640
• Carryforward FY05 Funds <i>Personal Services - \$73,926 and Other Expenses - \$50,315</i>	-124,241	0
<i>Reallocations or Transfers</i>		
• Return IT Positions to Agencies <i>returning unionized IT personnel to their original agencies per the Governor's decision</i>	144,803	144,803

AGENCY SUMMARY

<i>Personnel Summary</i>	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Total Authorized	Change From 2004-2005	Total Recommended	Change From 2005-2006	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	149	2	151	0	151
Private Contributions	23	0	23	0	23
<u>Other Positions Equated to Fulltime</u>					
General Fund	2	0	2	0	2

Financial Summary	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	8,986,240	9,675,059	9,440,172	9,824,767	9,629,778
Other Expenses	1,237,366	1,553,933	1,486,051	1,566,035	1,516,366
<u>Capital Outlay</u>					
Equipment	100	144,085	100	164,785	100
TOTAL - General Fund	10,223,706	11,373,077	10,926,323	11,555,587	11,146,244
<u>Additional Funds Available</u>					
Federal Contributions	95,380	45,000	45,000	45,000	45,000
Private Contributions	2,737,713	2,717,988	2,717,988	2,791,910	2,791,910
TOTAL - All Funds Net	13,056,799	14,136,065	13,689,311	14,392,497	13,983,154



DEPARTMENT OF LABOR

AGENCY PURPOSE

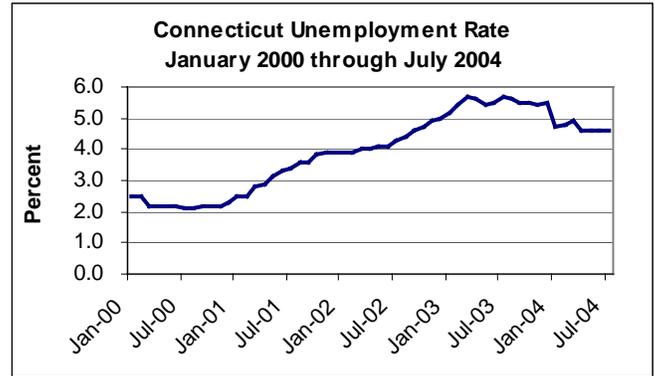
The mission of the Department of Labor is to protect and promote the interests of Connecticut's workers and assist workers and employers to be competitive in the global economy.

The Department accomplishes its mission by providing a variety of services that benefit the workplace. These services include: income support that assists workers between jobs and stimulates the local economy; protection on the job (through wage and safety regulations, working conditions, and on-site health and safety consultations); work-related training programs; job search and recruitment assistance (through the Connecticut Job Bank and local and regional job fairs); tax credit incentive programs and maintenance of the collective bargaining relationship. As the Connecticut arm of the U.S. Bureau of Labor Statistics, the Department of Labor (DOL) collects, analyzes and disseminates workforce data to inform businesses, the general public, educational institutions, and government planners and policymakers about employment issues and trends.

The services provided by DOL and the resulting successes of individuals who use those services are heavily impacted by

Connecticut's unemployment rate. The chart below identifies the unemployment rates experienced in the years January 2000 through July 2004.

UNEMPLOYMENT RATE (Seasonally Adjusted)



RECENT HIGHLIGHTS

Career Express

The new employment center on wheels, The Career Express, contains eight high-tech computer workstations featuring labor market information, self-directed job search software, Web-based learning, occupational skill assessment, computer software training and tutorial programs. It features state of the art training technology, a 42-inch interior plasma TV with SMART Board overlay and a 42-inch exterior plasma TV. The Career Express will be used for a variety of employment and training initiatives, including job search assistance, employee recruitment for Connecticut employers, providing labor market information, computer/Internet training, job fairs, trades shows, conferences, educational seminars, Rapid Response and many other services.

Quality Improvement Award

A Connecticut Department of Labor Lean Government initiative designed to streamline the agency's Benefit Payment Control Unit has earned the Agency a Silver Connecticut Quality Improvement Award Innovation Prize.

Television Pilot Program

With the help of a grant from the USDOL, the DOL developed a workforce TV program in conjunction with Connecticut Public Television and the Employment Channel. This effective awareness and outreach effort began in April 2004. The

program is part of DOL's effort to provide a comprehensive outreach and marketing effort to promote the One-Stop Career Center services to Faith-Based and Community-Based organizations. The broadcast medium provides essential career and job search information for people working with job seekers and people transitioning careers. Reaching thousands of Connecticut citizens on a weekly basis, the program airs twice a week on Wednesdays and Saturdays.

Unemployment Compensation Filing

DOL offers a state of the art interactive voice response system for unemployment compensation claim filing. Workers who become jobless may initiate the claim process and file their weekly claims through the telephone system known as *TeleBenefits*. The DOL is developing a system that will offer Internet claim filing. This service will help off-load telephone calls during peak filing periods.

Apprenticeship Self-Registration

The DOL was first in the nation to implement a self-registration process for those employers sponsoring apprenticeship programs in the state via its Web site. Employers that are approved apprenticeship sponsors can register their employees as apprentices and employers that wish to sponsor a registered apprenticeship program can begin the process online.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Remove Inflation
- Transfer Equipment to CEPF
- Fund Non-ERIP Accruals through the RSA Account
- Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees
Limit increases to 3% in FY06 and 2% in FY07
- Reduce Compensation Increases for Managers & Confidentials in FY07
Provide 2% general wage increase and delay PARS by 6 months

	<u>2005-2006</u>	<u>2006-2007</u>
	-533,739	-1,448,184
	-67,817	-94,779
	-126,395	-143,299
	-12,517	-17,236
	0	-33,278

• Eliminate Individual Development Accounts	-250,000	-250,000
• Eliminate Opportunity Industrial Centers	-400,000	-400,000
• Carryforward FY05 Funds	-145,726	0

Reallocations or Transfers

• Return IT Positions to Agencies	157,455	157,455
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Returning unionized IT personnel to their original agencies per the Governor's decision.

New or Expanded Services

• Create the 21st Century Skills Fund	<u>2005-2006</u>	<u>2006-2007</u>	<u>2007-2008</u>
	3,000,000	3,000,000	0

The Twenty-First Century Skills Fund program will expand work-study opportunities; expand child care programs; and establish a competitive grant program that awards grants to providers of innovative short courses, flexible class schedules, "contextual learning" curricula related to job skills, innovative distance learning or on-site learning initiatives, including employers, community-technical colleges, vocational-technical schools, local or regional boards of education or regional educational service centers that offer adult education programs and community-based education and training providers.

AGENCY SUMMARY

Personnel Summary	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Total Authorized	Change From 2004-2005	Total Recommended	Change From 2005-2006	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	120	-3	117	0	117
Employment Security Admin Fund	680	18	698	0	698
Special Funds, Non-Appropriated	1	0	1	0	1
Private Contributions	17	-5	12	0	12
<u>Other Positions Equated to Fulltime</u>					
Employment Security Admin Fund	38	1	39	0	39
Financial Summary	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	7,306,307	7,776,562	7,528,154	7,949,612	7,755,799
Other Expenses	1,133,683	1,148,713	1,097,453	1,173,931	1,133,683
<u>Capital Outlay</u>					
Equipment	2,000	69,817	2,000	96,779	2,000
<u>Other Current Expenses</u>					
Workforce Investment Act	23,062,580	23,362,394	23,062,580	23,876,367	23,062,580
Jobs First Employment Services	16,188,098	16,398,543	16,188,098	16,759,311	16,188,098
Opportunity Industrial Centers	400,000	405,200	0	414,114	0
Individual Development Accounts	250,000	253,250	0	258,822	0
21st Century Skills Fund	0	0	3,000,000	0	3,000,000
TOTAL - Other Current Expenses	39,900,678	40,419,387	42,250,678	41,308,614	42,250,678
TOTAL - General Fund	48,342,668	49,414,479	50,878,285	50,528,936	51,142,160
<u>Other Current Expenses</u>					
Occupational Health Clinics	671,470	680,199	671,470	695,163	671,470
TOTAL - Workers' Compensation Fund	671,470	680,199	671,470	695,163	671,470
<u>Additional Funds Available</u>					
Employment Security Admin Fund	88,097,827	89,825,210	89,825,210	94,166,460	94,166,460
Special Funds, Non-Appropriated	356,837	100,000	100,000	100,000	100,000
Bond Funds	286,550	0	0	0	0
Federal Contributions	19,635	20,224	20,224	20,831	20,831
Private Contributions	2,353,334	1,175,944	1,175,944	1,197,381	1,197,381
TOTAL - All Funds Net	140,128,321	141,216,056	142,671,133	146,708,771	147,298,302



OFFICE OF VICTIM ADVOCATE

AGENCY PURPOSE

- Monitors and evaluates the provision of services to crime victims in Connecticut.
- Advances policies throughout the state that promote the fair and just treatment of victims throughout the criminal justice process.
- Provides oversight and advocacy when the criminal justice system fails crime victims.
- Ensures that the voices of crime victims play a central role in Connecticut's response to violence and those victimized by crime.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2005-2006</u>	<u>2006-2007</u>
• Remove Inflation	-395	-1,424
• Transfer Equipment to CEPF	-5,500	-3,500
• Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees <i>Limit increases to 3% in FY06 and 2% in FY07</i>	-2,637	-6,501
• Carryforward FY05 Funds <i>Includes \$3,500 in Personal Services and \$476 in Other Expenses.</i>	-3,976	0

AGENCY SUMMARY

Personnel Summary

Permanent Full-Time Positions

	2004-2005 Total Authorized	2005-2006 Change From 2004-2005	2005-2006 Total Recommended	2006-2007 Change From 2005-2006	2006-2007 Total Recommended
General Fund	3	0	3	0	3

Financial Summary

	2004-2005 Estimated	2005-2006 Current Services	2005-2006 Total Recommended	2006-2007 Current Services	2006-2007 Total Recommended
Personal Services	197,677	209,042	202,905	220,322	213,821
Other Expenses	29,912	46,307	45,436	51,336	49,912
<u>Capital Outlay</u>					
Equipment	100	6,000	500	4,000	500
TOTAL - General Fund	227,689	261,349	248,841	275,658	264,233
<u>Additional Funds Available</u>					
Bond Funds	0	0	5,500	0	3,500
TOTAL - All Funds Net	227,689	261,349	254,341	275,658	267,733



COMMISSION ON HUMAN RIGHTS & OPPORTUNITIES

AGENCY PURPOSE

- To enforce human rights laws to end illegal discrimination in employment, housing, public accommodations and credit transactions.
- To monitor compliance with state contract compliance laws and with laws requiring affirmative action in the state government.
- To provide education to the public regarding the protections afforded by Connecticut's civil rights laws.

RECENT HIGHLIGHTS

- The hiring of a new Executive Director, R. Hamisi Ingram, effective August 16, 2004.
- Ongoing infrastructure reorganization to provide more efficient and timely resolution of discrimination complaints.
- Reformation of agency organization in order to comply with state statutes.
- Emphasis on modern techniques to address current nuances in instances of discrimination.
- Enhanced reliance upon task force methodologies to address institutional and systemic patterns of practice of illegal discrimination.
- Emphasis on mediation is made throughout the complaint process. During the FY2004, total of \$2.3 million was obtained through settlement efforts. Additional settlement awards were obtained at the public hearing stage.
- The agency has put a greater emphasis on field visits and in person witness interviews. The complaint investigative process is being streamlined from the intake through the investigation stage.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2005-2006</u>	<u>2006-2007</u>
• Remove Inflation	-7,256	-19,631
• Transfer Equipment to CEPF	-105,000	-121,750
• Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees in FY07 <i>Limit increases to 3% in FY06 and 2% in FY07</i>	-22,312	-31,630
• Reduce Compensation Increases for Managers & Confidentials in FY07 <i>Provide 2% general wage increase and delay PARS by 6 months</i>	0	-16,163
• Carryforward FY05 Funds	-476,845	0

Reallocations or Transfers

• Return IT Positions to Agencies <i>Returning unionized IT personnel to their original agencies per the Governor's decision.</i>	86,193	86,193
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AGENCY SUMMARY

Personnel Summary

	2004-2005 Total Authorized	2005-2006 Change From 2004-2005	2005-2006 Total Recommended	2006-2007 Change From 2005-2006	2006-2007 Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	97	1	98	0	98

Financial Summary

	2004-2005 Estimated	2005-2006 Current Services	2005-2006 Total Recommended	2006-2007 Current Services	2006-2007 Total Recommended
Personal Services	6,193,454	6,518,848	6,047,231	6,636,728	6,588,935
Other Expenses	554,267	561,437	526,727	573,664	554,267
<u>Capital Outlay</u>					
Equipment	950	106,000	1,000	122,750	1,000
<u>Other Current Expenses</u>					
Martin Luther King, Jr. Commission	6,650	6,736	6,650	6,884	6,650
TOTAL - General Fund	6,755,321	7,193,021	6,581,608	7,340,026	7,150,852



OFFICE OF PROTECTION AND ADVOCACY FOR PERSONS WITH DISABILITIES

AGENCY PURPOSE

To protect and advance the civil and human rights of people with disabilities by:

- Investigating and exposing patterns of discrimination and abuse of rights.
- Pursuing legal and administrative remedies for violations of rights.

- Providing information, referral, technical assistance and training to help empower individuals and groups to effectively advocate for themselves.
- Working with advocacy groups, service systems and communities to develop effective safeguards against discrimination and abuse.

RECENT HIGHLIGHTS

- Completed monitoring of major federal consent decree concerning rights of deaf and hard-of-hearing persons to effective communications in all Connecticut acute care hospitals.
- Initiated investigations into suspected neglect-related deaths of clients of mental health and mental retardation service systems, and into alleged civil rights violations in special education schools.
- Sponsored major forum on eliminating use of restraint and seclusion in programs serving adults and children with mental illnesses.

- Initiated project to monitor compliance with polling place accessibility requirements and to encourage people with disabilities to exercise voting rights.
- Supported initiative to ensure women with disabilities have access to mammography and other gynecological health services.
- Investigated concerns regarding rights of inmates with mental illness confined in super-max facility; obtained settlement agreement securing screening, treatment and other safeguards.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2005-2006</u>	<u>2006-2007</u>
• Remove Inflation	-5,266	-14,276
• Transfer Equipment to CEPF	-11,900	-10,700
• Fund Non-ERIP Accruals through the RSA Account	-4,000	-4,000
• Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees in FY07 <i>Provide 2% general wage increase and delay PARS by 6 months</i>	0	-802
• Reduce Compensation Increases for Managers & Confidentials in FY07 <i>Limit increases to 3% in FY06 and 2% in FY07</i>	0	-4,896
• Carryforward FY05 Funds <i>Reduces \$52,389 in Personal Services and \$13,800 Other Expenses in FY06.</i>	-66,189	0

AGENCY SUMMARY

Personnel Summary

	2004-2005 Total Authorized	2005-2006 Change From 2004-2005	2005-2006 Total Recommended	2006-2007 Change From 2005-2006	2006-2007 Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	36	0	36	0	36
Federal Contributions	12	0	12	0	12

Financial Summary

	2004-2005 Estimated	2005-2006 Current Services	2005-2006 Total Recommended	2006-2007 Current Services	2006-2007 Total Recommended
Personal Services	2,189,556	2,285,604	2,229,215	2,312,699	2,303,001
Other Expenses	402,882	408,148	389,082	417,158	402,882
<u>Capital Outlay</u>					
Equipment	950	12,000	100	10,800	100
TOTAL - General Fund	2,593,388	2,705,752	2,618,397	2,740,657	2,705,983
<u>Additional Funds Available</u>					
Bond Funds	21,000	11,900	11,900	-1,200	-1,200
Federal Contributions	1,463,151	1,475,094	1,475,094	1,489,094	1,489,094
Private Contributions	200	100	100	0	0
TOTAL - All Funds Net	4,077,739	4,192,846	4,105,491	4,228,551	4,193,877



OFFICE OF THE CHILD ADVOCATE

AGENCY PURPOSE

The Office of the Child Advocate (OCA) is an independent state agency established in 1995 to protect the civil, legal and special rights of the children of Connecticut and to advance policies throughout the state that promote their well-being and best interests. The mission of the Office of the Child Advocate is to oversee the protection and care of Connecticut's children and to advocate for their well-being.

Statutory responsibilities include:

- Evaluating the procedures for and the delivery of services to children by state agencies or other entities that receive public funding.
- Investigating inquiries and complaints regarding children, recommending changes in state policies concerning children and conducting programs of public education.
- Proposing systemic reform through legislative advocacy and formal legal action.
- Reviewing the facilities and procedures at all public and private facilities where children are placed, providing training and technical assistance to children's attorneys.
- Reviewing the number of special needs children in foster or permanent care facilities and recommending changes in placement policies and procedures.
- Serves or designates a person to serve as a member of the child fatality review panel.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Remove Inflation
- Transfer Equipment to CEPF
- Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees
Limit increases to 3% in FY06 and 2% in FY07

2005-2006 2006-2007

-1,808	-6,536
-5,500	-5,500
-3,140	-7,740

New or Expanded Services

- Add an Attorney Position

2005-2006 2006-2007 2007-2008

83,980	79,670	85,330
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AGENCY SUMMARY

Personnel Summary

Permanent Full-Time Positions

	2004-2005 Total Authorized	2005-2006 Change From 2004-2005	2005-2006 Total Recommended	2006-2007 Change From 2005-2006	2006-2007 Total Recommended
General Fund	8	1	9	0	9

Financial Summary

	2004-2005 Estimated	2005-2006 Current Services	2005-2006 Total Recommended	2006-2007 Current Services	2006-2007 Total Recommended
Personal Services	494,295	554,765	626,605	580,182	650,112
Other Expenses	131,211	112,457	116,687	126,190	124,464
<u>Capital Outlay</u>					
Equipment	100	6,000	500	6,000	500
<u>Other Current Expenses</u>					
Child Fatality Review Panel	77,866	80,751	79,713	82,319	79,509
TOTAL - General Fund	703,472	753,973	823,505	794,691	854,585
<u>Additional Funds Available</u>					
Bond Funds	0	0	9,500	0	5,000
TOTAL - All Funds Net	703,472	753,973	833,005	794,691	859,585



WORKERS' COMPENSATION COMMISSION

AGENCY PURPOSE

The Workers' Compensation Commission:

- Administers the workers' compensation laws of the State of Connecticut
- Adjudicates and resolves disputes arising from the workers' compensation process
- Promotes safety in the workplace

- Retrains permanently injured employees to enable them to return to the workforce
- Educates employees and employers on their rights and responsibilities under the law
- Reviews applications for managed care plans
- Certifies self-insurance applications

RECENT HIGHLIGHTS

Rehabilitation Services

The restructuring of Connecticut's only rehabilitation program for injured workers has required the implementation of a "client as co-manager" philosophy. Following its reorganization, the five Rehabilitation Coordinators continue to strive to return injured workers to the workplace. Over the past year, 3,017 clients were contacted for retraining and placement skills and 482 clients successfully returned to the workforce.

Website Accessibility

The Commission's continually expanding website received over 467,000 visits last year. Customers viewed 1.9 million web pages and downloaded 194,000 agency forms and publications in "real" time. As the only publisher of newly-issued Compensation Review Board Opinions, the site also provided 218,000 visitors access to its online opinions last year alone. Much progress has been made in retrofitting older web pages to be more accessible to disabled populations, resulting in quicker, more reliable online service to the public.

Safety & Health Program

During FY 2004 our two Safety Program Officers visited 1,462 employers. The Commission's goal is to increase the number of worksite visits and provide the training necessary for the development of effective safety and health committees at various locations throughout the state.

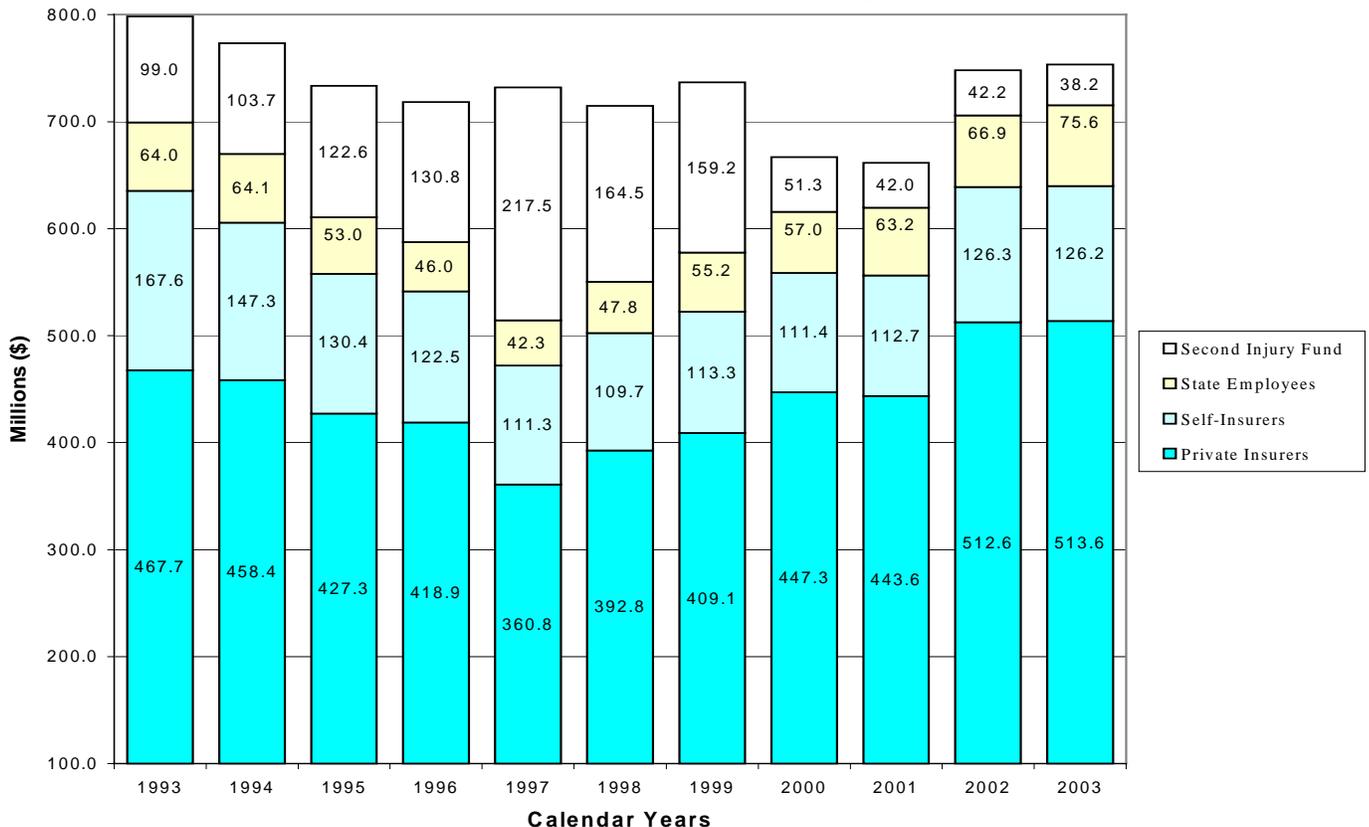
Hearing Dockets

Although Commission hearing activity continues to increase, the agency continues to maintain its long-term goal of providing high level services by holding the maximum waiting period for Informal Hearings to four weeks (from a high of 16 weeks in 1995).

Electronic Data Interchange

In conjunction with the Commission's partnership with DOIT, the agency is developing a First Report of Injury Electronic Solution to replace the existing EDI environment. This industry enhancement will provide sweeping cost efficiencies and speedier access to insurance providers and employers.

Workers' Compensation Payouts



RECOMMENDED SIGNIFICANT CHANGES

<i>Reductions to Current Services</i>	<u>2005-2006</u>	<u>2006-2007</u>
• Remove Inflation	-86,141	-235,120
• Reduce Funded Vacancies	-258,546	-273,983
• Use FY05 Funds for the Update of WCC Systems	-85,000	-617,350
<i>Provide funding through funds carried forward to replace the Commission's First Report of Injury System and to support conversion of the Commission's Workers' Compensation System to a Web-enabled application.</i>		
• Reduce Compensation Increases for Managers & Confidentials in FY07 <i>provide 2% general wage increase and delay PARS by 6 months</i>	0	-5,214
• Reduce Rehabilitative Services	-1,000,000	-1,000,000
• Freeze Out-of-State Travel at FY2004 Level	-27,177	-27,177
<i>Reallocations or Transfers</i>		
• Return IT Positions to Agencies <i>Returning unionized IT personnel to their original agencies per the Governor's decision.</i>	258,462	258,462

AGENCY SUMMARY

<i>Personnel Summary</i>	2004-2005 Total Authorized	2005-2006 Change From 2004-2005	2005-2006 Total Recommended	2006-2007 Change From 2005-2006	2006-2007 Total Recommended
<u><i>Permanent Full-Time Positions</i></u>					
Workers' Compensation Fund	133	0	133	0	133
<i>Financial Summary</i>	2004-2005 Estimated	2005-2006 Current Services	2005-2006 Total Recommended	2006-2007 Current Services	2006-2007 Total Recommended
Personal Services	8,286,235	8,692,112	8,522,818	8,827,710	8,643,094
Other Expenses	2,979,528	2,925,211	2,773,597	3,526,426	2,773,547
<u><i>Capital Outlay</i></u>					
Equipment	181,225	289,000	289,000	51,250	51,250
<u><i>Other Current Expenses</i></u>					
Criminal Justice Fraud Unit	530,837	537,738	530,837	549,569	530,837
Rehabilitative Services	3,061,704	3,101,507	2,061,704	3,169,740	2,061,704
Fringe Benefits	3,853,832	5,312,156	5,222,904	5,483,423	5,388,842
Indirect Overhead	1,519,404	725,855	725,855	338,613	338,613
TOTAL - Other Current Expenses	8,965,777	9,677,256	8,541,300	9,541,345	8,319,996
TOTAL - Workers' Compensation Fund	20,412,765	21,583,579	20,126,715	21,946,731	19,787,887
<u><i>Additional Funds Available</i></u>					
Private Contributions	97,081	98,344	98,344	100,507	400,507
TOTAL - All Funds Net	20,509,846	21,681,923	20,225,059	22,047,238	20,188,394