

GOVERNOR'S OFFICE

AGENCY PURPOSE

The Governor is the elected constitutional officer who is responsible for:

- The executive direction and supervision of the general administration of the state.
- The appointment of commissioners of departments, members of boards and commissions, trustees and other officials.

- The presentation of the budget recommendations to the General Assembly.
- The approval or veto of legislation passed by the General Assembly.

The new Office of Economic Development Policy will, as part of the Governor's Office, direct the state's economic development policy and ensure that Connecticut is prepared to retain and attract employers.

RECOMMENDED ADJUSTMENTS

Expansion Adjustments

- Governor's Jobs Initiative - Establish Office of Economic Development Policy

Funding is provided for three positions and expenses. Also included is \$250,000 for a study to determine what structural and programmatic changes are needed to insure that economic development policy retains and creates jobs in the state.

2006-2007

535,000

AGENCY SUMMARY

<i>Personnel Summary</i>	2004-2005	2005-2006	2006-2007	2006-2007	2006-2007
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	37	37	37	3	40
<u>Financial Summary</u>					
	2004-2005	2005-2006	2006-2007	2006-2007	2006-2007
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	2,264,648	2,826,047	2,886,509	0	2,886,509
Other Expenses	268,130	379,116	379,116	0	379,116
<u>Capital Outlay</u>					
Equipment	100	100	100	0	100
<u>Other Current Expenses</u>					
Office of Economic Development Policy	0	0	0	535,000	535,000
<u>Pmts to Other Than Govts</u>					
New England Governors' Conference	79,378	88,000	92,000	0	92,000
National Governors' Association	92,770	100,600	102,600	0	102,600
TOTAL - Pmts to Other Than Govts	172,148	188,600	194,600	0	194,600
TOTAL - General Fund	2,705,026	3,393,863	3,460,325	535,000	3,995,325



SECRETARY OF THE STATE

AGENCY PURPOSE

The Secretary of the State seeks to educate and inform the public of services, programs and responsibilities of the office, and advocates issues, policies and programs, which promote a healthy democracy and an active, engaged citizenry, with emphasis on encouraging young people to participate in civic life.

The office administers, interprets, and implements all state and federal laws pertaining to elections, primaries, nominating procedures, campaign finance and the acquisition and exercise of voting rights. Personal disclosure statements from state and candidate committees are filed with the office and are available for public view electronically on the Secretary of the State's web site. The office also encourages and monitors the implementation of the National Voter Registration Act and other voter registration efforts in Connecticut. The Secretary of the State is the official keeper of all acts, orders, grants, and resolutions of the General Assembly, publishes the *State Register and Manual*, receives and maintains legislation, regulations and other executive branch records as required by statute, and administers Connecticut's notary public program.

Commercial Recording Division

The Commercial Recording Division maintains and makes information available to the public regarding corporations, limited partnerships, limited liability companies, statutory trusts, limited liability partnerships, Uniform Commercial Code and trademarks by reviewing, recording, copying, computerizing, and certifying documents for and of public record. The division also administers the Address Confidentiality Program. This program provides victims of domestic violence, sexual assault, stalking, and risk of injury to a minor with a substitute address to use with state and local government agencies. This prevents public access to participant's actual address on government records.

E-Government

The Secretary of the State is responsible for a number of E-Government initiatives and maintains the Centralized Voter Registration System, Connecticut Online Commercial Recording Database (CONCORD), Connecticut Finance Information System (CFIS), and the agency web site.

RECOMMENDED ADJUSTMENTS

Reallocations or Transfers

- Reallocate Telecommunication Expenses to the Public Defender Services Commission 2006-2007
-10,800
Telecommunication expenses previously paid for Public Defenders by the Secretary of the State's office will now be paid by Public Defenders.

Technical Adjustments

- Reallocate Funds to the Board of Accountancy -47,625
Funds are adjusted to reflect the actual staff that were transferred when the board became a separate agency.
- Transfer Salary Adjustment Funds for Settled Bargaining Units 50,138
Necessary funding is transferred from the central Reserve for Salary Adjustment account to agency budgets.

AGENCY SUMMARY

Personnel Summary	2004-2005 Authorized	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	34	31	31	0	31
Financial Summary	2004-2005 Actual	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
Personal Services	1,598,335	1,886,583	2,022,359	2,513	2,024,872
Other Expenses	970,874	1,257,986	1,288,189	-10,800	1,277,389
<u>Capital Outlay</u>					
Equipment	1,000	100	100	0	100
TOTAL - General Fund	2,570,209	3,144,669	3,310,648	-8,287	3,302,361



LIEUTENANT GOVERNOR'S OFFICE

AGENCY PURPOSE

The Lieutenant Governor is the elected constitutional officer who is charged with:

- Succeeding the Governor in the event the office becomes vacant during the term.
- Operating the state government during the Governor's absence from the state.
- Providing overall assistance to the Governor.
- Presiding over the state Senate and casting the tie-breaking vote when the Senate is equally divided.

AGENCY SUMMARY

<i>Personnel Summary</i>	2004-2005 Authorized	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	5	5	5	0	5
<i>Financial Summary</i>	2004-2005 Actual	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
Personal Services	369,689	424,454	430,598	0	430,598
Other Expenses	69,574	87,070	87,070	0	87,070
<u>Capital Outlay</u>					
Equipment	0	100	100	0	100
TOTAL - General Fund	439,263	511,624	517,768	0	517,768



STATE ELECTIONS ENFORCEMENT COMMISSION

AGENCY PURPOSE

The Elections Enforcement Commission seeks to improve public confidence in the electoral process and in those officials who seek or hold a public office. The Commission seeks to achieve this objective by focusing on the following core functions:

- Monitor compliance with elections and campaign finance laws.
- Conduct investigations of complaints concerning possible violations of the state laws governing elections, primaries, and referenda.
- Audit financial disclosure statements filed by state, district and municipal candidates for public office, political parties, and political action committees.
- Render formal and informal advisory opinions and rulings.
- Conduct educational seminars, and publish explanatory guides to enhance compliance with the campaign finance laws.

RECENT HIGHLIGHTS

- Designated by the General Assembly to implement comprehensive campaign finance reform legislation as contained in Public Act 05-5 of the October Special Session, including a new public financing program for eligible statewide and General Assembly candidates; redesign and implement an electronic filing program for campaign reporting and enforce new bans on lobbyist and state contractor contributions.
- Completed 266 investigations in FY 04-05, and imposed \$69,300 in civil penalties for violations of election laws.
- Audited half of all candidates' campaign finance reports in connection with the 2002 General Assembly campaign and issued comprehensive report, and 60% of municipal candidates in connection with 2003 municipal elections.
- Promulgated regulations for handling of complaints filed under the Help America Vote Act (HAVA) as the state agency responsible for enforcement of this act.
- Completed study of push polling in Connecticut, as required by PA 05-188, and submitted report to Joint Committee on Government Administration and Elections. Push polling is a political campaign technique in which an individual or organization attempts to influence or alter the view of respondents under the guise of conducting a poll.
- Conducted study of implementation of new absentee voting application procedures as prescribed in PA 05-235.
- Updated and published several training materials for compliance with campaign finance laws.

RECOMMENDED ADJUSTMENTS

Technical Adjustments

	<u>2006-2007</u>
• Increase Per Diem Compensation <i>Increases Commissioners' Per Diem rate from \$50 to \$150.</i>	9,000
• Transfer Salary Adjustment Funds for Settled Bargaining Units <i>Necessary funding is transferred from the central Reserve for Salary Adjustment account to agency budgets.</i>	115,849
• Additional Funding to Support Agency Expansion <i>Increase Other Expenses to support the increase in staff and areas of responsibility mandated by PA05-5.</i>	77,566
• Increase Funding for Personal Services <i>Increase compensation for senior executive management for increased duties and responsibilities as mandated by PA05-5.</i>	17,281
• Provide Funding to Contract for Computer Maintenance and Copier Leasing	58,891

AGENCY SUMMARY

<i>Personnel Summary</i>	2004-2005 Authorized	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	13	14	14	0	14

Budget Summary

<i>Financial Summary</i>	2004-2005 Actual	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
Personal Services	856,389	1,023,199	1,030,775	133,130	1,163,905
Other Expenses	69,625	87,611	87,516	136,457	223,973
<u>Capital Outlay</u>					
Equipment	4,000	23,500	7,500	0	7,500
<u>Other Current Expenses</u>					
Commission's Per Diems	0	0	0	9,000	9,000
TOTAL - General Fund	930,014	1,134,310	1,125,791	278,587	1,404,378



OFFICE OF STATE ETHICS

AGENCY PURPOSE

The Office of State Ethics (OSE) was established as an independent state agency created to build integrity in state government and maintain the trust and confidence of the people of Connecticut. Per Public Act 05-183, effective July 1, 2005, the office was established as successor to the former State Ethics Commission.

Its mission is to administer and enforce Connecticut's Code of Ethics for Public Officials and State Agencies (including officials and employees of quasi-public agencies and state consultants and contractors) and the Code of Ethics for Lobbyists.

Within the OSE, there is an Executive Director, a General Counsel, and Ethics Enforcement Officer and a nine-member Citizen's Ethics Advisory Board (CEAB). The CEAB appoints the Executive Director who in turn appoints all other classified and unclassified agency employees.

By statute, the OSE must have a legal division and a separate ethics enforcement division. The legal division is responsible

for providing the CEAB with advice on matters before it, and under the direction of the General Counsel, providing information and written and oral opinions to persons subject to the codes of ethics and to the general public. The enforcement division is responsible for investigating complaints brought to the OSE.

The Ethics Enforcement Officer may bring alleged violation of the codes before a judge trial referee for a probable cause hearing. Upon a finding of probable cause by the judge trial referee, the CEAB initiates hearings. A judge trial referee (who has not been involved with the probable cause finding) presides over these hearings and makes rulings on evidentiary issues; however, he or she has no vote in any decision of the CEAB. Such hearings are open to the public. The OSE also maintains required lobbyist registrations and reports, as well as statements of financial interest from designated public officials.

RECENT HIGHLIGHTS

- Members of the CEAB have been appointed.
- The CEAB has selected a permanent executive director.
- The Executive Director is in the process of building a new office staff while maintaining and improving the services the office provides to the people of Connecticut and to those who are regulated by it.

RECOMMENDED ADJUSTMENTS

Technical Adjustments

- | | |
|---|-----------------------------|
| • Provide Funds for the Implementation of PA 05-183 | <u>2006-2007</u>
100,576 |
| <i>Increase funding in Personal Services by \$116,934 and Equipment by \$49,000 for the establishment of the new Office of State Ethics. Also, a reduction of \$66,258 by eliminating the Lobbyist Electronic Filing Program. The new Information Technology Initiatives account will cover filing and other functions.</i> | |
| • Enhance Internal Control and Fiscal Reporting | 241,258 |
| <i>Establish new Other Current Expense accounts: Judge Trial Referee Fees, Reserve for Attorney Fees, and Information Technology Initiatives to aid in the agency's expanded functions.</i> | |

AGENCY SUMMARY

Personnel Summary	2004-2005 Authorized	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	12	8	16	3	19
<u>Financial Summary</u>					
	2004-2005 Actual	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
Personal Services	741,675	561,662	1,268,194	116,934	1,385,128
Other Expenses	103,929	107,822	110,195	0	110,195
<u>Capital Outlay</u>					
Equipment	0	45,100	100	49,900	50,000
<u>Other Current Expenses</u>					
Lobbyist Electronic Filing Program	42,000	64,832	66,258	-66,258	0
Judge Trial Referee Fees	0	0	0	25,000	25,000
Reserve for Attorney Fees	0	0	0	50,000	50,000
Information Technology Initiatives	0	0	0	166,258	166,258
TOTAL - Other Current Expenses	42,000	64,832	66,258	175,000	241,258
TOTAL - General Fund	887,604	779,416	1,444,747	341,834	1,786,581



FREEDOM OF INFORMATION COMMISSION

AGENCY PURPOSE

The Freedom of Information (FOI) Commission is an independent, government oversight agency charged with administering and enforcing Connecticut's FOI Act and thereby ensuring that the people of our state have full access to the records and meetings of all public agencies to the extent provided by law. In furtherance of this purpose, the commission focuses on the following core functions:

- Settling complaints informally through an ombudsman or mediation process.
- Deciding complaints by a speedy, inexpensive process designed for lay people.

- Representing the commission, by staff counsel, in all court appeals from its decisions and in all other litigation affecting the commission.
- Rendering declaratory rulings that apply the FOI Act to situations of general concern.
- Conducting programs, publishing literature, answering written and oral inquiries and performing other educational functions so that the requirements of the law will be known and understood by everyone upon whom the act confers rights and duties.

RECENT HIGHLIGHTS

- In 2005, the Commission integrated the commemoration of its 30th anniversary into its public education program through several special events and public speaking programs and the production of new literature aimed at broadening the reach of Freedom of Information in Connecticut. Some of the new initiatives included a renewed community outreach program and the design, production and distribution of an FOI poster.
- In the calendar year ending December 31, 2004, the Commission received a total of 582 formal citizen

complaints, an increase of 25% over the 2003 total of 465 complaints. Staff resolved 365, or 63%, of the complaints without a hearing in 2004. The remaining 217 complaints resulted in hearings, an increase of 59% over the 142 hearings in 2003. The commission received more than 600 complaints in calendar year 2005.

- The Commission has provided an annual average of 53 workshops and speakers in the past five calendar years, including calendar year 2005.

RECOMMENDED ADJUSTMENTS

Technical Adjustments

	<u>2006-2007</u>
• Enhance Bilingual Services <i>Add 1 attorney position.</i>	84,800
• Ethics Commission Position Transfer <i>Fund 2 positions that have been transferred from the Office of State Ethics in accordance with PA05-183, Sec. 36.</i>	157,654
• Transfer Salary Adjustment Funds for Settled Bargaining Units <i>Necessary funding is transferred from the central Reserve for Salary Adjustment account to agency budgets.</i>	59,994

AGENCY SUMMARY

<i>Personnel Summary</i>	2004-2005 Authorized	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	15	19	17	3	20
<u><i>Financial Summary</i></u>					
	2004-2005 Actual	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
Personal Services	1,386,733	1,608,322	1,421,998	295,448	1,717,446
Other Expenses	103,865	147,160	148,292	3,000	151,292
<u><i>Capital Outlay</i></u>					
Equipment	1,000	49,000	38,200	4,000	42,200
TOTAL - General Fund	1,491,598	1,804,482	1,608,490	302,448	1,910,938



JUDICIAL SELECTION COMMISSION

AGENCY PURPOSE

To evaluate, investigate and recommend qualified candidates for consideration by the Governor for nomination as judges for the superior court, the appellate court and the supreme court.

- The Judicial Selection Commission consists of 12 members: No more than six members can be from the same political party and none can be an elected or appointed state official or hold a statewide political party office. Of the 12 members, 6 must not be attorneys.
- The commission seeks qualified candidates for consideration by the governor for nomination as judges for the superior court, appellate court and supreme court. It must also evaluate incumbent judges who seek reappointment to the same court.
- The commission develops a listing of qualified candidates by investigating and interviewing the candidates, including incumbent judges seeking appointment to a different court.

AGENCY SUMMARY

<i>Personnel Summary</i>	2004-2005 Authorized	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	1	1	1	0	1
<i>Financial Summary</i>	2004-2005 Actual	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
Personal Services	77,224	81,040	85,395	0	85,395
Other Expenses	21,228	27,691	21,691	0	21,691
<u>Capital Outlay</u>					
Equipment	0	5,100	100	0	100
TOTAL - General Fund	98,452	113,831	107,186	0	107,186



STATE PROPERTIES REVIEW BOARD

AGENCY PURPOSE

Review and approve State transactions involving:

- The acquisition and development of land and buildings for State use.
- Leasing of private buildings for State Agencies.
- Sale or lease of surplus State buildings and lands.
- Acquisition of farms or development rights.
- Assignment of State Agencies to State buildings.
- The selection of and contracts for DPW project consultants.
- Lease and/or purchase of Group Homes for DMR.
- Lease of warehouse/distribution space at the CT Regional Market.
- Leases, Operating or Concession Agreements at State airports and piers.
- Acquisition of highway and railroad rights-of-way and related facilities.
- Other transactions mandated by the Legislature.

RECENT HIGHLIGHTS

Five Years In Review

	<u>2000-01</u>	<u>2001-02</u>	<u>2002-03</u>	<u>2003-04</u>	<u>2004-05</u>
Number of Transactions	672	635	517	449	399
Average Calendar Days to Process	10.69	7.62	8.33	10.3	9.73
Savings to the State	\$3,143,292	\$1,617,272	\$14,675,147	\$797,391	\$1,236,715

The Board processed 399 transactions in 9.73 calendar days, including weekends and holidays during the FY ending 2005 as opposed to 10.3 calendar days in the preceding fiscal year.

Documented savings were \$1,236,715 which represent 2.8 times the Board's annual operating expenditures. Not tabulated are future savings that can not be precisely quantified on the date of the Board's action.

RECOMMENDED ADJUSTMENTS

Technical Adjustments

- Centralize Business Operations
Per Sections 60 (c) and 60 (d) of PA 05-251, the Department of Administrative Services has consolidated personnel, payroll, affirmative action and business office functions for certain state agencies into the department. 2006-2007
-39,917
- Transfer Salary Adjustment Funds for Settled Bargaining Units 19,071
Necessary funding is transferred from the central Reserve for Salary Adjustment account to agency budgets.

AGENCY SUMMARY

<i>Personnel Summary</i>	2004-2005 Authorized	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	5	4	5	-1	4
<i>Financial Summary</i>	2004-2005 Actual	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
Personal Services	274,248	242,660	310,670	-20,846	289,824
Other Expenses	155,730	177,982	183,294	0	183,294
<u>Capital Outlay</u>					
Equipment	5,593	1,000	1,000	0	1,000
TOTAL - General Fund	435,571	421,642	494,964	-20,846	474,118



CONTRACTING STANDARDS BOARD

AGENCY PURPOSE

The Contracting Standards Board is a nine member board appointed to oversee all state contracts. Some of the Board's duties will be to:

- Draft and maintain a uniform procurement code and develop a procurement training program for state officials.
- Conduct a comprehensive review of existing state contracting and procurement laws, regulations and practices.
- Review and certify that a state contracting agency's procurement processes are in compliance with the code and assist state contracting agencies in complying with the uniform procurement code.
- Triennially conduct audits of state contracting agencies to ensure compliance with the uniform procurement code and recertify each state contracting agency's procurement processes and provide agencies with notice of any certification deficiency.
- Issue recommendations regarding the disqualification or suspension of contractors from bidding or proposing on state contracts to the extent required or permitted in accordance with applicable law.

AGENCY SUMMARY

	2004-2005 Authorized	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
<i>Personnel Summary</i>					
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	0	0	10	0	10
<i>Financial Summary</i>					
	2004-2005 Actual	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
Personal Services	0	0	669,988	0	669,988
Other Expenses	0	0	325,000	0	325,000
<u><i>Capital Outlay</i></u>					
Equipment	0	0	1,000	0	1,000
TOTAL - General Fund	0	0	995,988	0	995,988



STATE TREASURER

AGENCY PURPOSE

- The State Treasurer is an elected constitutional officer who is responsible for the custody of the property and money of the State and pays out those monies on warrants drawn and presented by the State Comptroller.
- The Treasurer invests the temporarily idle monies in the State's General Fund as well as the assets of the State pension and other funds.
- The mission of the Office is to serve as the premier Treasurer's Office in the nation through effective management of public resources, high standards of professionalism and integrity, and expansion of opportunity for the citizens and businesses of Connecticut.
- With the approval of the State Bond Commission, the Treasurer administers the sale of State bonds, payment of the interest thereon and their redemption.
- With the approval of the Governor, the Treasurer may borrow short-term funds, which are binding on the State and redeemed by the Treasurer when there are funds available for such purpose.

RECOMMENDED ADJUSTMENTS

Technical Adjustments

- Transfer Salary Adjustment Funds for Settled Bargaining Units
Necessary funding is transferred from the central Reserve for Salary Adjustment account to agency budgets.

2006-2007

148,193

AGENCY SUMMARY

<i>Personnel Summary</i>	2004-2005 Authorized	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	52	53	53	0	53
<i>Financial Summary</i>	2004-2005 Actual	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
Personal Services	3,089,871	3,771,265	3,924,021	148,193	4,072,214
Other Expenses	314,630	323,309	338,388	0	338,388
<u><i>Capital Outlay</i></u>					
Equipment	100	100	100	0	100
TOTAL - General Fund	3,404,601	4,094,674	4,262,509	148,193	4,410,702



STATE COMPTROLLER

AGENCY PURPOSE

The Office of State Comptroller has a wide variety of duties and projects which originate from both its constitutional charges and directives from the legislature such as:

- Administering state payroll, retirement, employee benefits, health insurance and accounting systems.
- Analyzing state expenditures and receipts.
- Preparing financial statements and reports as required by statute.
- Monitoring and assisting state agencies to achieve compliance with accounting system procedures and to maximize accountability, standardization and cost effectiveness.

RECENT HIGHLIGHTS

- The agency completed implementation of Phase 1 of Core-CT - an integrated state financial, human resource and payroll system - and began implementation of Phase 2, which is expected to be completed in 2006.
- The agency centralized administration of the state employee Deferred Compensation program. Participants will benefit from lower fees and expanded support. Similar changes are scheduled for the 403(b) and Alternative Retirement programs effective January 1, 2006.

RECOMMENDED ADJUSTMENTS

Technical Adjustments

- Transfer Salary Adjustment Funds for Settled Bargaining Units
Necessary funding is transferred from the central Reserve for Salary Adjustment account to agency budgets. 2006-2007
898,625
- Provide Funds for Increased Energy Costs 1,520

AGENCY SUMMARY

	2004-2005	2005-2006	2006-2007	2006-2007	2006-2007
<i>Personnel Summary</i>	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	247	256	259	0	259
<i>Financial Summary</i>	2004-2005	2005-2006	2006-2007	2006-2007	2006-2007
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	15,177,419	18,115,733	18,518,941	898,625	19,417,566
Other Expenses	4,702,131	5,532,535	5,205,286	1,520	5,206,806
<u>Capital Outlay</u>					
Equipment	100	100	0	0	0
<u>Other Current Expenses</u>					
CORE Financial Systems	86,814	0	0	0	0
<u>Pmts to Other Than Govts</u>					
Governmental Accounting Standards Bd	19,570	19,570	19,570	0	19,570
TOTAL - General Fund	19,986,034	23,667,938	23,743,797	900,145	24,643,942



DEPARTMENT OF REVENUE SERVICES

AGENCY PURPOSE

- Administers the tax laws of the State of Connecticut
- Collects the tax revenues in the most cost effective manner.
- Strives for the highest level of voluntary compliance among all Connecticut taxpayers through accurate, efficient and courteous customer services.
- Executes its duties in a manner which instills public confidence in the integrity and fairness of the State's tax programs.

RECENT HIGHLIGHTS

- Managed tax revenue deposits of \$11.5 billion, and produced, distributed and processed more than 5.5 million tax returns.
- Participation in cost-efficient, non-paper (Telefile, electronic filing, and personal computer filing) personal income tax filing accounted for 48 percent of returns filed.
- Compliance during fiscal 2005 included 90 criminal arrests and about \$2.2 million in collection of owed taxes, penalties and fines.
- Administration of the Abusive Tax Shelter Compliance Initiative saw participation from approximately 140 individuals and corporations. This program generated more than \$20 million in additional revenue.
- Mandated electronic filing for 24,754 Sales and Use tax filers and 23,000 Withholding tax filers. These taxpayers use the Department's free web-based Fast-File business tax filing program. The Agency has seen a 112 percent increase in the number of Fast-File participants during the last fiscal year.
- The Appeals/Litigation process closed 1,082 cases with a resolved dollar value in excess of \$62 million. Appellate inventory was reduced by 102 cases to 858 cases, (an 11 percent reduction) while the average age of inventory declined by 72 days to 358 (a 17 percent reduction). Of Appellate Division total determinations, 96 percent became final and were not appealed.
- The Suspicious Filer Exchange program in fiscal year 2004-2005 successfully blocked more than 200 fraudulent state income tax returns, saving more than \$572,000 in fraud refunds. The program saved more than \$89,000 in refunds in one peak-filing day.
- Implemented the second phase of the Integrated Tax Administration System (ITAS) to provide a case management approach to customer service and Agency collections.

RECOMMENDED ADJUSTMENTS

Reductions

- Carryforward FY2006 Funds

2006-2007

-200,000

Technical Adjustments

- Transfer Salary Adjustment Funds for Settled Bargaining Units

5,272,074

Necessary funding is transferred from the central Reserve for Salary Adjustment account to agency budgets.

- Provide Funds for Increased Energy Costs

15,506

AGENCY SUMMARY

<i>Personnel Summary</i>	2004-2005	2005-2006	2006-2007	2006-2007	2006-2007
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	661	731	731	0	731
<u><i>Financial Summary</i></u>					
	2004-2005	2005-2006	2006-2007	2006-2007	2006-2007
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	47,637,922	51,597,933	50,434,330	5,272,074	55,706,404
Other Expenses	9,049,322	9,695,216	10,973,425	-184,494	10,788,931
<u><i>Capital Outlay</i></u>					
Equipment	2,880	100	100	0	100
<u><i>Other Current Expenses</i></u>					
Collection and Litigation Contingency	337,307	425,767	425,767	0	425,767
TOTAL - General Fund	57,027,431	61,719,016	61,833,622	5,087,580	66,921,202



DIVISION OF SPECIAL REVENUE

AGENCY PURPOSE

The Division of Special Revenue is the regulatory agency charged with ensuring the highest degree of integrity in the operation of all legalized gambling activity within the State, by ensuring compliance with the gaming laws of the State of Connecticut and monitoring compliance with the Tribal-State Agreements governing gambling at Tribal casinos. In furtherance of this purpose, the Division focuses on the following core functions:

- Ensuring compliance with statutes, regulations, and procedures, to assure the public that all legalized gambling is conducted in a fair and honest manner.
- Licensing, registering or permitting only suitable individuals, organizations and vendors of equipment and services to be employed by, or contracted with gaming

licensees or permittees within the State, or to sell Lottery tickets.

- Providing due process and an opportunity to be heard to those individuals or entities denied initial licensure or whose current license is in the process of being revoked, pursuant to the Uniform Administrative Procedures Act.
- Testing wagering systems and related equipment to insure the integrity of games.
- Conducting independent audits to ensure operations are compliant with statutory and regulatory requirements.
- Collecting pari-mutuel taxes and regulation fees, and paying grants to municipalities.

RECENT HIGHLIGHTS

- Launched a new Website.
- Reinvigorated the Problem Gambling Council by establishing a permanent home and Chairperson.
- Facilitated the passage of needed lottery statutes, during the legislative session, 2004-2005.
- Instituted a new vaccination program at greyhound tracks and established database to confirm that vaccinations are up-to-date.
- Updated the licensing and lottery regulations.
- Received requested ruling from the Attorney General indicating that three-button slot machines are illegal in Connecticut and developed procedures as how to proceed with the situation when it arises.
- The Division issued new laptops to all Charitable Game Liaison Officers, which has allowed the Liaison Officers to perform their fieldwork more efficiently.
- Installed photoelectric wall switches in certain building areas to conserve on energy.

RECOMMENDED ADJUSTMENTS

Technical Adjustments

- Transfer Salary Adjustment Funds for Settled Bargaining Units 2006-2007
421,654
Necessary funding is transferred from the central Reserve for Salary Adjustment account to agency budgets.
- Provide Funds for Increased Energy Costs 57,788

AGENCY SUMMARY

	2004-2005 Authorized	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
Personnel Summary					
<u>Permanent Full-Time Positions</u>					
General Fund	113	119	119	0	119
Financial Summary					
	2004-2005 Actual	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
Personal Services	5,116,360	5,536,405	5,511,243	421,654	5,932,897
Other Expenses	1,068,012	1,201,306	1,300,177	57,788	1,357,965
<u>Capital Outlay</u>					
Equipment	99	100	100	0	100
TOTAL - General Fund	6,184,471	6,737,811	6,811,520	479,442	7,290,962



STATE INSURANCE & RISK MANAGEMENT BOARD

AGENCY PURPOSE

- Promote a coordinated insurance and risk management program within the State.
- Protect the assets of the State of Connecticut by developing and implementing risk management and loss prevention programs.
- Determine method by which the State shall insure/self-insure.
- Obtain broadest coverage at the most reasonable cost.
- Designate agent of record and select insurance companies.
- Utilize risk management methods such as exposure identification, loss control, risk transfer or risk assumption.

RECENT HIGHLIGHTS

The Board implemented a risk management initiative by purchasing a software program designed to detect sprinkler valves that are locked and in a closed position that would be a

disaster should a fire occur. The software program was purchased for the University of Connecticut, Storrs Campus and the University of Connecticut Health Center.

RECOMMENDED ADJUSTMENTS

Technical Adjustments

- Transfer Salary Adjustment Funds for Settled Bargaining Units

Necessary funding is transferred from the central Reserve for Salary Adjustment account to agency budgets.

2006-2007

20,502

AGENCY SUMMARY

	2004-2005 Authorized	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
<i>Personnel Summary</i>					
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	3	3	3	0	3
<i>Financial Summary</i>					
	2004-2005 Actual	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
Personal Services	209,591	245,031	242,194	20,502	262,696
Other Expenses	10,052,674	11,933,336	13,181,352	0	13,181,352
<u><i>Capital Outlay</i></u>					
Equipment	1,000	1,500	100	0	100
<u><i>Other Current Expenses</i></u>					
Surety Bonds State Officials & Emps	51,209	29,700	92,750	0	92,750
TOTAL - General Fund	10,314,474	12,209,567	13,516,396	20,502	13,536,898
Other Expenses	942,910	2,635,000	2,770,000	0	2,770,000
TOTAL - Special Transportation Fund	942,910	2,635,000	2,770,000	0	2,770,000
TOTAL - ALL FUNDS	11,257,384	14,844,567	16,286,396	20,502	16,306,898



GAMING POLICY BOARD

AGENCY PURPOSE

The Gaming Policy Board works in cooperation with the Division of Special Revenue to implement and administer the statutory and regulatory provisions governing legalized gambling.

Statutory responsibilities include:

- Advising the Governor on statewide plans and goals for legalized gambling.
- Assisting the Division of Special Revenue Executive Director in the development of regulations and other matters.
- Approving, suspending or revoking association and affiliate licenses.
- Setting greyhound racing dates in the State; and approving the types of pari-mutuel wagering to be permitted.
- Approving Division contracts for goods and services.
- Approving regulations prior to adoption for all programs.
- Hearing all appeals taken under subsection (j) of section 12-574 and section 12-802b of the general statutes.

AGENCY SUMMARY

<i>Financial Summary</i>	2004-2005	2005-2006	2006-2007	2006-2007	2006-2007
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Other Expenses	1,484	2,903	2,903	0	2,903
TOTAL - General Fund	1,484	2,903	2,903	0	2,903



OFFICE OF POLICY AND MANAGEMENT

AGENCY PURPOSE

- Support the Governor in development, implementation and analysis of various policies.
- Prepare executive budget and execute biennial budgets as passed by the General Assembly.
- Provide analyses, evaluations and recommendations to the Governor and the Secretary regarding the financial implications of state policies and practices.
- Formulate policy pertaining to the relationship between the state and Connecticut's municipalities.
- Improve the effectiveness of state services by ensuring the efficient use of resources through research, policy development and interagency coordination.
- Deliver timely and effective labor relations and collective bargaining services on behalf of the state as an employer.
- Review and monitor the core financial management policies and practices in state agencies.

The Mission of the Office of Policy and Management is to provide information and analysis that the Governor uses to formulate public policy goals for the State and to assist State agencies and municipalities in implementing policy decisions on behalf of the people of Connecticut.

- Provide statewide organizational management to state agencies.
- Ensure the implementation of programs enacted by law.
- Review and approve agency legislative proposals.

RECENT HIGHLIGHTS

Health Care Cost Containment Committee (HCCCC)

Participated in the Health Care Cost Containment Committee (HCCCC) efforts as the state developed a Request for Proposals (RFP) for healthcare insurance for active and retired state employees, and evaluated responses to the RFP.

Interest Arbitration

Negotiated and concluded interest arbitration for the following contracts with the following unions: Connecticut State Police (NP-1), New England Health Care Employees (NP-6/P-1), and Administrative and Residual Employees (P-5). These awards were submitted to the legislature and approved.

Negotiated and began interest arbitration with the Connecticut State Employees Association (NP-8), (P-3A), (P-3B), (P-4) and Connecticut Employees Union Independent (NP-2).

Personal Service Agreement (PSA)

Developed and issued revised standards for personal service agreements (PSAs) and monitored their incorporation into the contracting procedures of Executive Branch agencies.

Emergency Management and Homeland Security

Transitioned the Office of Emergency Management in the Military Department and the Division of Homeland Security in the Department of Public Safety into the new Department of Emergency Management and Homeland Security, in accordance with Public Act 04-219.

Conservation and Development Policies Plan (C&D Plan)

Obtained passage of the Conservation and Development Policies Plan (C&D Plan) for Connecticut, 2005-2010 (Public Act 05-205). The C&D Plan is predicated on six growth management principles that were introduced by OPM to help improve interagency planning. For the first time, OPM has made the C&D Plan's Locational Guide Map and associated data layers available on its website.

New Britain Pension Bonds

Assisted the City of New Britain, in conjunction with the State Treasurer's Office, in issuing pension bonds under C.G.S. Sec.

7-374c. The proceeds were used to extinguish the unfunded liability associated with the entrance of fire and police employees of the City into the State of Connecticut Municipal Employees Retirement Fund (MERF).

Municipal Grant Database

Developed a database containing a five (5) year history by town of ten (10) municipal grant/reimbursement programs administered by OPM. To facilitate one-stop shopping for users seeking additional grant information, the site is linked to the State Department of Education's Grant database.

Revaluation Policies and Procedures Report

Submitted a comprehensive *Report Regarding Revaluation Policies and Procedures* to members of the General Assembly, in compliance with Public Act 04-2 (May 11 Special Session). The report explains the ways that real property of various types is valued, methods of collecting and verifying property information, the impact of the recent real estate market on property values and programs available to mitigate resultant shifts in the tax burden. The report further examines Connecticut's policy relative to real property revaluation and contains recommendations for statutory and regulatory clarifications and improvements.

Nursing Home Care Initiatives

Continued development and implementation of numerous initiatives to provide alternatives to nursing home care through a multi-agency effort coordinated by OPM. Assisted living services are available in state-funded congregate housing, federally-funded HUD housing facilities, and private pay assisted living facilities with over 350 residents enrolled in the programs as of June 30, 2005 and over 800 residents served since the programs began in 2001.

Energy Demand Response Programs

Began participation of state facilities in energy demand response programs. Due to congestion and constraints on the system that supplies electricity to the southwestern part of the state, ISO-New England, the operators of electric system,

have developed a variety of programs to help alleviate or mitigate an electrical supply crisis in that part of the state.

A New State Department of Energy

Governor Rell proposes the establishment of a new State Department of Energy that will include the transfer of the Office of Policy and Management/Energy Management and Policy Development unit to the new agency. It will be a central repository for energy planning, research and oversight.

HEARTH Program

Pursuant to Public Act 05-2 (October Special Session), OPM is administering the HEARTH program. This program provides grants of up to \$50 to be used by households to offset the cost of receiving a home heating equipment tune-up. The grants can also be used towards the costs of installing a water

heater insulation blanket, window film or programmable thermostats.

Adriaen's Landing Project

Continued lead role in the development of Adriaen's Landing, a mixed use economic development project in Hartford. The Connecticut Convention Center opened to the public on June 2, 2005, along with its related parking garages and energy facility.

State Board of Accountancy Transition

Developed and implemented a transition plan to provide personnel, payroll, business office and information technology functions to the State Board of Accountancy, which in accordance with Section 5 of Public Act 05-287, is within OPM for administrative purposes only effective July 1, 2005.

RECOMMENDED ADJUSTMENTS

Reductions

- | | <u>2006-2007</u> |
|--|------------------|
| • Eliminate State Funding for Leadership, Education, Athletics in Partnership Program | -850,000 |
| • Transfer Funding for Contingency Needs to Private Providers
<i>\$8,000,000 appropriated from FY 05 surplus funds for FY 07 Contingency Needs is transferred to various state agencies in conjunction with an approximately \$9.3 million appropriation to provide a 2% cost of living increase to private providers, effective October 1, 2006.</i> | -1,500,000 |
| • Carryforward FY2006 Funds | -1,500,000 |

Reallocations or Transfers

- | | |
|---|----------|
| • Reallocate OPM Positions to the New Department of Energy
<i>Five Energy Policy positions are transferred from the Office of Policy and Management to the new state Department of Energy.</i> | -235,000 |
|---|----------|

Technical Adjustments

- | | |
|--|------------|
| • Establish a Criminal Justice Policy and Planning Division
<i>Modify the Fiscal Year 2007 appropriation as a result of Section 61 of Public Act 05-3, June Special Session, which transferred funds and positions to OPM for a Criminal Justice and Planning Division.</i> | 200,000 |
| • Transfer Census Data Center
<i>Provide funding to OPM to reimburse the University of Connecticut's Center for Economic Analysis and Population Research for census related activity.</i> | 75,000 |
| • Transfer Salary Adjustment Funds for Settled Bargaining Units
<i>Necessary funding is transferred from the central Reserve for Salary Adjustment account to agency budgets.</i> | 297,233 |
| • Provide Funds for Increased Energy Costs | 10,000,000 |
| • Re-estimate Requirements for Manufacturing Machinery and Equipment Program
<i>Expenditures for FY 06 were significantly below the appropriation for this account. A corresponding reduction is carried forward to FY 07.</i> | -2,500,000 |

Expansion Adjustments

- | | |
|--|-----------|
| • Pick-Up Expiring Federal Funds for Energy Programs
<i>In response to the Federal Department of Energy reductions, additional resources are added to this budget. The resources will then be forwarded to the new state Department of Energy with the transfer of energy policy personnel from OPM.</i> | 100,000 |
| • Increase Agency Staffing Levels
<i>Additional funding is recommended for Personal Services costs needed for legislative mandates involving justice planning, conservation and development planning.</i> | 335,000 |
| • CJIS - Application Support/System Maintenance
<i>Funding is recommended for application support and system maintenance for the Criminal Justice Information System (CJIS) Offender Based Tracking System.</i> | 452,000 |
| • Modify CCEDA Funding
<i>Provide additional funding for operations (energy) and marketing costs for the Capital City Economic Development Authority which, in addition to its own duties, is charged with supporting both the Connecticut Convention Center and the Greater Hartford Convention and Visitors Bureau.</i> | 3,187,500 |
| • Combat Urban Violence
<i>Funds are provided for a grant program to municipalities that is targeted toward preventing violent criminal justice activity involving young people in urban areas.</i> | 4,250,000 |

AGENCY SUMMARY

<i>Personnel Summary</i>	2004-2005 Authorized	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	146	152	152	5	157
<i>Financial Summary</i>	2004-2005 Actual	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
Personal Services	11,242,953	12,655,725	12,984,325	658,333	13,642,658
Other Expenses	1,417,657	1,847,693	1,886,325	547,900	2,434,225
<u>Capital Outlay</u>					
Equipment	1,000	100	100	18,000	18,100
<u>Other Current Expenses</u>					
Energy Contingency	0	21,600,000	0	10,000,000	10,000,000
Litigation Settlement Costs	1,227,998	0	0	0	0
Automated Budget Sys & Data Base Link	24,593	63,612	63,612	0	63,612
Leadership, Educ, Athletics-Partnership	850,000	850,000	850,000	-850,000	0
Cash Management Improvement Act	0	100	100	0	100
Justice Assistance Grants	4,180,172	3,514,514	3,514,514	0	3,514,514
Neighborhood Youth Centers	0	1,200,000	1,200,000	0	1,200,000
Licensing and Permitting Fees	0	500,000	500,000	0	500,000
TOTAL - Other Current Expenses	6,282,763	27,728,226	6,128,226	9,150,000	15,278,226
<u>Pmts to Other Than Govts</u>					
Tax Relief for Elderly Renters	15,155,011	15,923,689	16,891,590	0	16,891,590
Regional Planning Agencies	0	640,000	640,000	0	640,000
HEARTH Program	0	1,000,000	0	0	0
Residential Facilities Heating	0	2,000,000	0	0	0
TOTAL - Pmts to Other Than Govts	15,155,011	19,563,689	17,531,590	0	17,531,590
<u>Pmts to Local Governments</u>					
Reimb Property Tax-Disability Exempt	250,000	530,381	576,142	0	576,142
Distressed Municipalities	7,454,831	7,800,000	7,800,000	0	7,800,000
Prop Tax Relief Elder-Circuit Breaker	20,505,899	20,505,899	20,505,899	0	20,505,899
Prop Tax Relief Elderly Freeze Program	1,601,602	1,400,000	1,200,000	0	1,200,000
Property Tax Relief for Veterans	2,917,205	2,970,099	2,970,099	0	2,970,099
Relocate Hartford City Offices	258,387	0	0	0	0
P.I.L.O.T. New Mfg Machine & Equipment	50,729,721	50,729,721	50,729,721	-4,000,000	46,729,721
Capital City Economic Development	3,518,313	4,712,500	4,712,500	3,187,500	7,900,000
Waste Water Treatmt Facility Host Town	100,000	0	0	0	0
Urban Violence Grants	0	0	0	4,250,000	4,250,000
TOTAL - Pmts to Local Governments	87,335,958	88,648,600	88,494,361	3,437,500	91,931,861
TOTAL - General Fund	121,435,342	150,444,033	127,024,927	13,811,733	140,836,660



DEPARTMENT OF VETERANS' AFFAIRS

AGENCY PURPOSE

- To provide comprehensive assistance and formal representation to veterans, their spouses and/or eligible dependents in obtaining rights, benefits and privileges to which they may be entitled under federal, state and local laws.
- To deliver a responsive system of inpatient and long-term health care and clinical support to Connecticut's veterans.
- To provide a domiciliary level of care, substance abuse treatment, and associated services which facilitate rehabilitation and the return to independent living to the greatest extent possible.

RECENT HIGHLIGHTS

New 125 Bed Health Care Facility

Groundbreaking for a new \$31,200,000, state of the art, 125-bed facility at the State Veterans Home is anticipated in June 2006. This project is being funded by 65% from the Federal Government and 35% from the State. Completion is expected in December 2007 or January 2008.

Water Loop System

Also in the summer of 2006, groundbreaking is expected on a new \$4,600,000 state of the art water loop system for the grounds of the State Veterans Home. This project is being funded by 65% from the Federal Government and 35% from the State. Completion is expected in the fall of 2007.

Renovations and Improvements to Existing Facility

There have been continuous renovations and improvements to bring the existing facility up to date and code compliant. Current capital improvements and upgrades include: a repair to the West domicile elevator, a new airflow system in the hospital canteen, renovations to the heating systems in residence 11 and 12, an upgrade to the Commissary power

transformer, remodeling of the domicile weight room, replacement of 30HP and 60HP pump motors and controls, a valve replacement in the power plant, a new roof on residences 13 and 15, and an air conditioner in the Auditorium.

Connecticut Cares for Its Own Stand Down

For the first time in five years, the Department of Veterans' Affairs hosted "Connecticut Cares for its Own" Stand Down on September 30th, 2005. Some 50 state, federal, and private service organizations were on site to offer assistance to the nearly 500 needy and homeless veterans that came for help. The veterans received state and federal VA benefits information, assistance from various state agencies, and free haircuts, meals, and clothing from DVA.

Residential and Rehabilitation Services

Residential and Rehabilitation Services collaborated with the Department of Education to offer computer and other educational classes to housed and domicile veterans.

RECOMMENDED ADJUSTMENTS

Technical Adjustments

- | | |
|--|------------------|
| • Transfer Salary Adjustment Funds for Settled Bargaining Units | <u>2006-2007</u> |
| <i>Necessary funding is transferred from the central Reserve for Salary Adjustment account to agency budgets.</i> | 546,152 |
| • Provide Funds for Increased Energy Costs | 446,988 |
| • Provide Funds for Veterans Contact List and Registry | 60,000 |
| <i>In compliance with PA 05-3 Sec. 62(a), \$60,000 is transferred from the Military Department to the Department of Veterans' Affairs to administer a veterans' contact list and registry.</i> | |

AGENCY SUMMARY

<i>Personnel Summary</i>	2004-2005 Authorized	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
<hr/>					
<i>Permanent Full-Time Positions</i>					
General Fund	311	301	301	0	301
<hr/>					
<i>Financial Summary</i>	2004-2005 Actual	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
Personal Services	21,365,843	14,210,513	22,681,525	606,152	23,287,677
Other Expenses	7,078,959	6,710,292	6,710,292	446,988	7,157,280

Budget Summary

Capital Outlay

Equipment	1,000	1,000	1,000	0	1,000
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Other Current Expenses

Support Services for Veterans	200,000	200,000	200,000	0	200,000
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TOTAL - General Fund	<u>28,645,802</u>	<u>21,121,805</u>	<u>29,592,817</u>	<u>1,053,140</u>	<u>30,645,957</u>
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Pmts to Other Than Govts

Burial Expenses	0	900	900	0	900
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Headstones	249,970	250,000	250,000	0	250,000
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TOTAL - Pmts to Other Than Govts	<u>249,970</u>	<u>250,900</u>	<u>250,900</u>	<u>0</u>	<u>250,900</u>
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TOTAL - Soldiers, Sailors and Marines' Fund	<u>249,970</u>	<u>250,900</u>	<u>250,900</u>	<u>0</u>	<u>250,900</u>
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TOTAL - ALL FUNDS	<u>28,895,772</u>	<u>21,372,705</u>	<u>29,843,717</u>	<u>1,053,140</u>	<u>30,896,857</u>
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OFFICE OF WORKFORCE COMPETITIVENESS

AGENCY PURPOSE

The Office of Workforce Competitiveness (OWC) serves as the Governor's principal workforce development policy agency and acts as:

- Liaison between the Governor and various federal, state and local entities involved in workforce development issues.
- Staff support to the Connecticut Employment and Training Commission (CETC) and the Governor's JOBS Cabinet.

The CETC, which is a partnership of appointed private and public sector volunteers, oversees the development of statewide workforce development policy. The Governor's JOBS Cabinet guides the implementation of integrated, coordinated, multi-agency education and training programs and services that are responsive to customers and labor force needs. A major task of the OWC is to oversee implementation of the federal Workforce Investment Act of 1998 (WIA) on behalf of the Governor, the JOBS Cabinet and the CETC.

RECENT HIGHLIGHTS

- Provided services including education and training, case management, and job placement to approximately 4,000 individuals through the Jobs Funnel program. 750 have been placed in union and non-union construction jobs at an average starting hourly wage of \$16.
- Over 975 individuals and small businesses in Connecticut have been contacted or received some type of technical assistance resources through the Small Business Innovation Research Initiative (SBIR). A number of SBIR Phase I and II proposals have been submitted to the federal government because of this Initiative, and over \$975,000 in funding has been received.
- Subsidized a program developed by the Spanish American Merchants Association (SAMA). The Technical Assistance and Resource Program will enhance the efforts of the Latino/Minority-owned small businesses in targeted communities to grow and produce job opportunities for residents of those communities through the provision of a range of technical assistance, training and support services.
- Connecticut Career Choices program has expanded to include Health Care/Medical Careers pilot sites across the state. The program is developing a health science and technology curriculum based on industry-accepted skill standards established by the National Consortium on Health Science and Technology Education.

RECOMMENDED ADJUSTMENTS

Reductions

- Adjust Agency Accounts

Adjust accounts to reflect historical spending patterns.

2006-2007

-283,098

Technical Adjustments

- Transfer SAMA Funds from DOL to OWC

Pursuant to section 31 of PA 05-3, the funds are transferred from the Department of Labor to the Office of Workforce Competitiveness.

300,000

- Transfer Salary Adjustment Funds for Settled Bargaining Units

Necessary funding is transferred from the central Reserve for Salary Adjustment account to agency budgets.

13,185

AGENCY SUMMARY

<i>Personnel Summary</i>	2004-2005 Authorized	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	5	5	5	0	5
<u>Financial Summary</u>					
	2004-2005 Actual	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
Personal Services	346,917	379,918	430,793	13,185	443,978
Other Expenses	216,281	485,889	501,824	-200,000	301,824
<u>Capital Outlay</u>					
Equipment	0	100	100	0	100

Budget Summary

Other Current Expenses

CETC Workforce	2,709,134	2,189,156	2,179,237	-83,098	2,096,139
Jobs Funnel Projects	1,000,000	1,000,000	1,000,000	0	1,000,000
Connecticut Space Grant College Consortium	50,000	0	0	0	0
Connecticut Career Choices	800,000	800,000	800,000	0	800,000
Nanotechnology Study	200,000	0	0	0	0
SBIR Initiative	250,000	250,000	250,000	0	250,000
Career Ladder Pilot Programs	0	500,000	500,000	0	500,000
Spanish American Merchant Association	0	300,000	0	300,000	300,000
TOTAL - Other Current Expenses	<u>5,009,134</u>	<u>5,039,156</u>	<u>4,729,237</u>	<u>216,902</u>	<u>4,946,139</u>
TOTAL - General Fund	5,572,332	5,905,063	5,661,954	30,087	5,692,041



BOARD OF ACCOUNTANCY

AGENCY PURPOSE

To license and regulate public accountants to ensure that the highest standards of integrity and professionalism are maintained by Connecticut's certified public accountants and licensed public accountants.

RECOMMENDED ADJUSTMENTS

Technical Adjustments

- Fully Fund Positions

2006-2007

47,625

Funds are adjusted to reflect the actual staff that were transferred when the Board of Accountancy became a separate agency.

AGENCY SUMMARY

<i>Personnel Summary</i>	2004-2005 Authorized	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	0	4	4	0	4
<i>Financial Summary</i>	2004-2005 Actual	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
Personal Services	0	228,305	232,375	47,625	280,000
Other Expenses	0	89,182	79,682	0	79,682
<u>Other Current Expenses</u>					
Information Technology	0	25,000	0	0	0
TOTAL - General Fund	0	342,487	312,057	47,625	359,682



AGENCY PURPOSE

The Department of Administrative Services (DAS) offers support to state agencies by:

- Providing Human Resource policy direction and assistance
- Distributing surplus property and ordering and distributing federally donated foods
- Managing the state's fleet of vehicles

- Procuring goods and services
- Collecting money owed the state
- Providing document management services
- Assisting small agencies with personnel, payroll, affirmative action and business office functions.

RECENT HIGHLIGHTS

Procurement

- Implemented the *State Contracting Portal* on the DAS website. Designed in response to Executive Order #3 the portal helps to ensure open, honest and accessible government contracting. The portal serves 287 agency, municipal, and not-for-profit users and 16,940 registered suppliers.
- Certified 1106 small businesses and 901 minority businesses as part of the State's Small and Minority Business Certification Program.
- Realized first year savings of over \$3.75 million in contract and purchasing costs from the Buy Smart Buy Together Spend Management Program.
- Developed a program for the Core-CT purchasing and inventory systems that verifies data integrity and other key elements of vendors' contract data. The program builds and cleanses files for accuracy and decreases processing time substantially.
- Pre-qualified 328 building contractors through the Contractor Prequalification Program. Additionally, there are 219 companies currently working on the Prequalification Application and 63 submitted new or renewal applications.
- Developed and offered a state purchasing class, *Procurement 101*, through the DAS Procurement Learning Center. This hands-on class offers agency purchasing staff opportunities to get the basic procurement, legal, policy and process information required to do their jobs in an open, transparent, and ethical manner.
- Achieved \$1,748,305 in sales of State Surplus Property.
- Presented information on Environmentally Preferable Purchasing (EPP) and how to use state contracts at 21 forums and conferences. Over 100 DAS contracts include environmentally preferable products and services.

Human Resource Strategic Management

- Created and deployed comprehensive tools and support to assist state agencies in conducting workforce analysis and workforce planning.

- Represented the state in the response to the Government Performance Project ("Grading the State") improving the grade for human resources systems.
- Deployed the first functional on-line exit interview system for separating employees.
- Organized and delivered training sessions covering workplace violence prevention, sexual harassment prevention, ethics, and diversity in the workplace to recently appointed state agency officials.
- Delivered 12 training courses to 676 state employee registrants through the Human Resources Learning Center.

Fleet Operations

- Ordered 450 alternative fuel vehicles in order to meet federal mandates for a cleaner environment and a decreased dependence on foreign oil. DAS also plans to add 135 hybrid gas/electric vehicles to the state's fleet.

CORE-CT Support

- Created and conducted training classes for Core-CT Purchasing and Procurement Functions. Over 1,200 state employees received training.

Delinquent Accounts

- Collected over \$5.7 million through tax intercepts on behalf of the Department of Administrative Services, the Connecticut Student Loan Foundation, the Department of Social Services Child Support division and the Department of Labor.
- Collected an additional \$4.3 million in state delinquent accounts.

Collection Services

- Generated over \$800 million in paid claims for services provided by the state.
- Developed and implemented the insurance notification program that will allow DAS to collect an additional \$10 million yearly.

RECOMMENDED ADJUSTMENTS

Technical Adjustments

2006-2007

- Centralize Business Operations 2,293,927
Per PA 05-251, Sec. 60 (c) and (d), the Department of Administrative Services has consolidated personnel, payroll, affirmative action and business office functions for certain state agencies into the department.
- Transfer Salary Adjustment Funds for Settled Bargaining Units 760,112
Necessary funding is transferred from the central Reserve for Salary Adjustment account to agency budgets.

AGENCY SUMMARY

<i>Personnel Summary</i>	2004-2005 Authorized	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	227	285	249	37	286
<u>Financial Summary</u>					
	2004-2005 Actual	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
Personal Services	15,294,757	18,846,249	17,326,909	3,054,039	20,380,948
Other Expenses	1,145,994	1,256,438	1,345,488	-286,137	1,059,351
<u>Capital Outlay</u>					
Equipment	1,000	1,000	1,000	0	1,000
<u>Other Current Expenses</u>					
Tuition Reimburs Training, Travel	0	0	0	1	1
Labor - Management Fund	64,636	0	0	0	0
Loss Control Risk Management	243,346	309,157	309,157	-30,916	278,241
Employees' Review Board	47,850	52,630	52,630	0	52,630
Quality of Work-Life	36,631	350,000	350,000	0	350,000
Refunds of Collections	30,744	20,000	30,000	0	30,000
W. C. Administrator	5,249,000	5,322,486	5,322,486	-31,170	5,291,316
Hospital Billing System	103,210	101,005	101,005	0	101,005
Correctional Ombudsman	0	0	0	299,000	299,000
TOTAL - Other Current Expenses	5,775,417	6,155,278	6,165,278	236,915	6,402,193
TOTAL - General Fund	22,217,168	26,258,965	24,838,675	3,004,817	27,843,492



DEPARTMENT OF INFORMATION TECHNOLOGY

AGENCY PURPOSE

DOIT was created in 1997 to make the State of Connecticut a leader in the effective use of technology to improve government operations and services. It carries out this mission through close partnership with client agencies and

development of enterprise-wide solutions to meet the common IT needs among more than 60 client agencies in the executive branch.

RECENT HIGHLIGHTS

Connecticut Education Network

The Connecticut Education Network initiative, which links 1,100 K-12 schools, the state's 350 libraries, and over 100 college and university campuses, is currently in its final phase of deployment. More than 200 CEN connections are now in place in the state's cities and towns. Connecticut is now the first state in the nation to have the framework for an all-optical K-20 network, providing state of the art connectivity to large and small school districts and colleges throughout the state.

Public Safety and Criminal Justice Projects

DOIT continues to facilitate and develop IT projects that support state public safety and criminal justice operations. In 2005, this included the launch of the new Automated Fingerprint Identification System, the CT Fire Incident Reporting System, the Online Boiler Inspection System, and continued work on CT Sex Offender Registry System upgrades.

Health Insurance Portability and Accountability Act

In 2005, DOIT completed and published eleven Health Insurance Portability and Accountability Act (HIPAA) policies. In 2004, DOIT developed an online training program for impacted agencies and in 2005 DOIT completed training of 3000 employees through the DOIT Learn Center web site.

Emergency Management Support

DOIT coordinated a geospatial emergency management portal system and geospatial laboratory at the State Emergency

Operations Center (EOC) in conjunction with the Governor's Interim Geospatial Council. The system was the first of its kind in the nation using data from state, federal and local agencies and was used extensively for decision support and reporting for the duration of the TOPOFF3 exercise.

Telecommunications Savings

Executed new telecommunication contracts which offer more favorable rates for state telecommunication services. In three service areas alone - cellular phones, toll free lines, and data transmission lines - cost savings exceed \$1.1 million. In addition, network services contract renegotiations have resulted in more than \$530,000 in annual savings.

Achieved statewide telecommunication savings by reducing directory assistance calls and migrating more than 3000 cellular phones to lower cost plans. In addition, removal of unused spare telephone lines and more than 1700 calling cards from active use has resulted in annual savings of more than \$20,000.

State of Connecticut Website – CT.gov

The CT.gov portal continues to grow in size and traffic. DOIT has migrated 48 agencies to date, with 16 agencies in the conversion process. CT.gov received 13.9 million visits in 2005, an increase from 7.9 million in 2004. Site visitors viewed pages on CT.gov 116.9 million times during this time period and downloaded more than 11.02 million documents.

RECOMMENDED ADJUSTMENTS

Reallocations or Transfers

- Reallocate Funding for APS, BOSS and CORE Positions

The Automated Personnel System and the Business Office Support System have ongoing usage due to the need to access historical information.

2006-2007

0

Technical Adjustments

- Enhance IT Management Services for the Department of Social Services

Additional resources are provided to enhance IT applications, operations and infrastructure oversight at the Department of Social Services.

234,000

- Provide Funds for Increased Energy Costs

221,420

Expansion Adjustments

- Provide Technical Support for the CJIS and Offender Based Tracking System

Funds are provided to transition from consultants to state IT staff for the technical support of CJIS and OBTS.

485,000

AGENCY SUMMARY

<i>Personnel Summary</i>	2004-2005 Authorized	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	318	75	77	20	97
Special Transportation Fund	71	4	2	2	4
Banking Fund	5	2	2	0	2
Insurance Fund	5	1	1	0	1
<i>Financial Summary</i>	2004-2005 Actual	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
Personal Services	7,520,528	4,469,111	6,947,189	1,820,730	8,767,919
Other Expenses	8,646,421	8,787,813	9,017,270	-1,355,517	7,661,753
<u><i>Capital Outlay</i></u>					
Equipment	0	100	100	0	100
<u><i>Other Current Expenses</i></u>					
Health Insurance Portability/Accountability	95,766	0	0	0	0
Connecticut Education Network	0	2,960,747	3,239,119	0	3,239,119
TOTAL - Other Current Expenses	95,766	2,960,747	3,239,119	0	3,239,119
TOTAL - General Fund	16,262,715	16,217,771	19,203,678	465,213	19,668,891

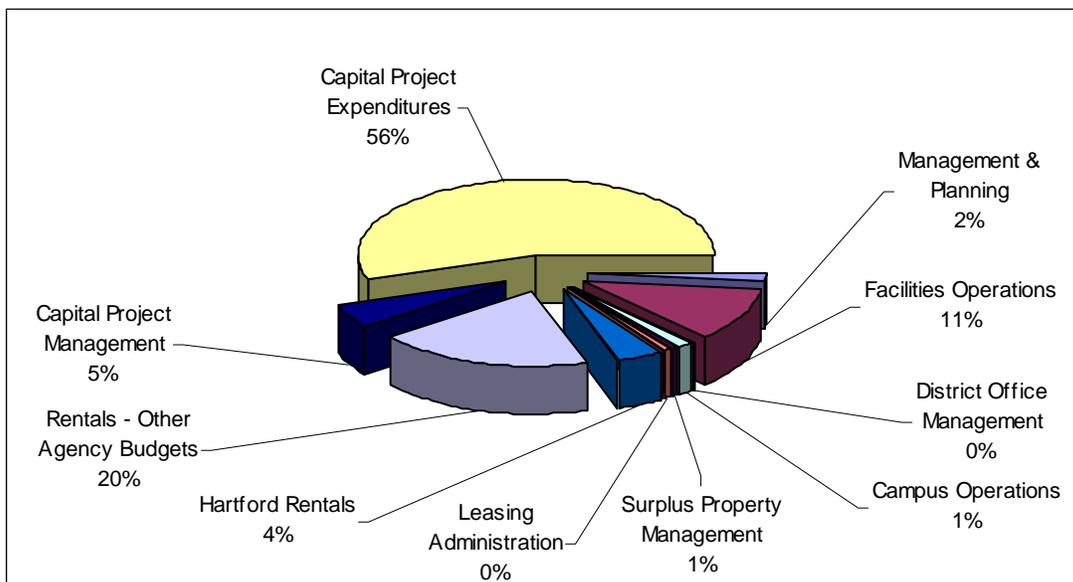


DEPARTMENT OF PUBLIC WORKS

AGENCY PURPOSE

- Supervise care and control State property, including buildings and grounds in Hartford, district office facilities in the major urban centers, various locations outside of Hartford by agreement and surplus property of the state, with some exceptions.
- Purchase, lease and acquire property and space for state agencies.
- Provide technical assistance to agencies in developing plans for improvement to their facilities.
- Provide construction planning and management services for all capital improvements undertaken by the state, with some exceptions.
- Provide energy management technical support and guidance on the state's energy policy.
- Collaborate with OPM Assets Management in the sale of surplus state property.
- Maintain an inventory of state land and buildings, including space utilization information.
- Establish and maintain security standards for all facilities, housing, offices and equipment of the state, with some exceptions.

State Expenditures from DPW Programs
FY 2005 Cash Outlay - \$178.5 Million



RECENT HIGHLIGHTS

The department has initiated projects exceeding \$9.8 million through the end of FY 2005 from the \$12 million State Building Energy Conservation Program fund provided under PA 01-9.

Industry Advisory Council

DPW and the Connecticut construction industry have created a joint working group called the "Industry Advisory Council". This group is made up of the major construction industry associations and the DPW. This group's mission statement is to "Work collaboratively through communication, conceptualization, and implementation to provide efficient and effective solutions, which will produce high quality projects within schedule and budget, at a fair price".

Open House and Seminar at the Hartford State Armory

Nearly 300 consultants representing some 85 firms took part in a day-long open house hosted by DPW on November 10, 2004 at the Hartford State Armory. The event provided

seminars for the staffs of architectural and engineering firms regarding new DPW policies and procedures, and to provide the opportunity to present their services to DPW managers and project managers. DPW managers conducted four seminars; "Acquiring Work with DPW", "Legal Issues", "The DPW Formal Consultant Selection Process", and "The Consultant Evaluation Process".

DPW Facility Earns National Energy Award

The State of Connecticut was awarded an Energy Star Label for superior energy efficiency at a 75-year-old building in Hartford, at 79 Elm Street, occupied by the state Department of Environmental Protection and managed by the state Department of Public Works. DPW totally renovated and expanded the structure in 1989. By achieving excellence at this building, the state is demonstrating that a government building can save money and benefit the environment with a sound energy management strategy, Federal EPA officials said.

Qualifications Based Selection (QBS)

DPW has continued to develop standards for the Qualifications Based Selection (QBS) process. The DPW QBS Selection manual for Formal Design Consultants was published in February of 2005. This manual provides a more tightly defined selection process and provides objective criteria for the evaluation and selection of Consultants.

Contract Awards

In FY 2005, a total of fourteen (14) formal construction contracts for various projects were awarded by the Commissioner of Public Works. The aggregate value of these formal contracts was \$154,631,257. Thirteen (13) additional construction project awards with a total contract value of \$3,666,631 were made under informal procedures. One (1) Design-Build Contract and two (2) Design-Build contract amendments were approved by the SPRB and executed in FY 2005 with a total contract value of \$9,393,894.

RECOMMENDED ADJUSTMENTS**Technical Adjustments**

	<u>2006-2007</u>
• Centralize Business Operations <i>Per Sections 60 (c) and 60 (d) of PA 05-251, the Department of Administrative Services has consolidated personnel, payroll, affirmative action and business office functions for certain state agencies into the department.</i>	-319,857
• Transfer Salary Adjustment Funds for Settled Bargaining Units <i>Necessary funding is transferred from the central Reserve for Salary Adjustment account to agency budgets.</i>	177,134
• Provide Funds for Increased Energy Costs	2,848,012

Expansion Adjustments

• Relocation of Teachers' Retirement Board Offices	280,000
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AGENCY SUMMARY

Personnel Summary	2004-2005 Authorized	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	154	150	154	-5	149
Financial Summary	2004-2005 Actual	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
Personal Services	6,069,335	6,353,298	6,722,263	-58,938	6,663,325
Other Expenses	17,866,242	19,902,014	19,902,014	3,128,012	23,030,026
<u>Capital Outlay</u>					
Equipment	1,000	100	100	0	100
<u>Other Current Expenses</u>					
Management Services	4,156,545	4,213,683	4,213,683	0	4,213,683
Rents and Moving	7,671,253	9,173,925	9,665,624	0	9,665,624
Capitol Day Care Center	109,250	109,250	109,250	0	109,250
Facilities Design Expenses	5,083,411	5,271,912	5,299,639	-83,785	5,215,854
TOTAL - Other Current Expenses	17,020,459	18,768,770	19,288,196	-83,785	19,204,411
TOTAL - General Fund	40,957,036	45,024,182	45,912,573	2,985,289	48,897,862



ATTORNEY GENERAL

AGENCY PURPOSE

The Attorney General is the chief legal officer of the State of Connecticut. The Attorney General's office serves as legal counsel to all state agencies and represents the people of the State of Connecticut to protect the public interest.

Critical missions of this office include:

- Represent and advocate the interests of the state and its citizens as vigorously as possible.

- Ensure that state government acts within the letter and spirit of the law.
- Protect public resources for present and future generations.
- Preserve and enhance the quality of life for all of our citizens.
- Safeguard the rights of our most vulnerable citizens.

RECOMMENDED ADJUSTMENTS

Technical Adjustments

- | | |
|---|-----------------------------|
| • Transfer Salary Adjustment Funds for Settled Bargaining Units | <u>2006-2007</u>
331,557 |
| <i>Necessary funding is transferred from the central Reserve for Salary Adjustment account to agency budgets.</i> | |
| • Provide Funds for Increased Energy Costs | 3,870 |

AGENCY SUMMARY

<i>Personnel Summary</i>	2004-2005 Authorized	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
<hr/>					
<u>Permanent Full-Time Positions</u>					
General Fund	312	312	312	0	312
<hr/>					
<i>Financial Summary</i>	2004-2005 Actual	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
Personal Services	24,617,565	26,806,995	28,563,936	331,557	28,895,493
Other Expenses	1,444,436	1,468,726	1,518,704	3,870	1,522,574
<u>Capital Outlay</u>					
Equipment	0	100	100	0	100
TOTAL - General Fund	26,062,001	28,275,821	30,082,740	335,427	30,418,167



OFFICE OF THE CLAIMS COMMISSIONER

AGENCY PURPOSE

For instances in which there is no statute specifically granting permission to sue, the legislature has adopted a statutory scheme which allows persons to petition the Claims Commissioner for permission to sue the state.

- Receive claims filed against the state pursuant to section 4-141 of the Connecticut General Statutes.
- Conduct hearings for claims seeking more than \$7,500 and/or permission to sue the state.

- Adjudicate, with or without hearings, all claims against the state for less than \$7,500.
- Process all claims in an expeditious manner.
- Decide which claims are "just and equitable" and meet the other statutory elements warranting a waiver of the Sovereign Immunity of the State.

RECOMMENDED ADJUSTMENTS

Technical Adjustments

- FY07 Reduction - Adjudicated Claims

2006-2007

-21,504

AGENCY SUMMARY

	2004-2005 Authorized	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
Personnel Summary					
<u>Permanent Full-Time Positions</u>					
General Fund	4	4	4	0	4
Financial Summary					
	2004-2005 Actual	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
Personal Services	236,737	240,944	264,453	0	264,453
Other Expenses	18,916	36,258	51,258	0	51,258
<u>Capital Outlay</u>					
Equipment	100	100	100	0	100
<u>Other Current Expenses</u>					
Adjudicated Claims	64,262	115,000	115,000	-21,504	93,496
TOTAL - General Fund	320,015	392,302	430,811	-21,504	409,307



DEPARTMENT OF ENERGY

AGENCY PURPOSE

In an effort to streamline and centralize the energy planning and policy efforts of the State, the Governor establishes the new Department of Energy to tackle energy issues that affect both Connecticut's industries and residents. The new agency will incorporate energy-related personnel and resources that the State has in order to better prepare itself for the changing energy environment.

The Department of Energy will take a proactive stance to plan and prepare for the next energy crisis and bring together resources to minimize the effect such events can have on the State.

The new department will consist of 8 General Fund positions and 2 federally funded positions; 5 existing positions will come from the Office of Policy and Management energy unit and 5 new positions will be established.

(Two positions and funding will be reduced in the Department of Public Utility Control to reflect the centralization of energy planning functions within the new DOE.)

RECOMMENDED ADJUSTMENTS

Reallocations or Transfers

- Reallocate OPM Positions to the New Department of Energy

2006-2007

235,000

To centralize the planning and policy efforts of the State, the Office of Policy and Management Energy Division consisting of 3 General Fund positions and 2 federally funded positions is transferred to the new Department of Energy.

Expansion Adjustments

- Establish a New Department of Energy

615,000

Five new planning and energy specialist positions are established for this new agency to research energy issues and disseminate information concerning the purchase and use of energy products.

AGENCY SUMMARY

<i>Personnel Summary</i>	2004-2005 Authorized	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	0	0	0	8	8
<u>Financial Summary</u>					
	2004-2005 Actual	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
Personal Services	0	0	0	688,900	688,900
Other Expenses	0	0	0	141,100	141,100
<u>Capital Outlay</u>					
Equipment	0	0	0	20,000	20,000
TOTAL - General Fund	0	0	0	850,000	850,000



DIVISION OF CRIMINAL JUSTICE

AGENCY PURPOSE

To investigate and prosecute crime in Connecticut

The Division of Criminal Justice (DCJ) operates out of some 50 offices, located in 13 Judicial Districts, 20 Geographic Areas, 13 Juvenile Matters and 4 Housing Court locations.

RECENT HIGHLIGHTS

Medicaid Fraud Control Unit

Received an award from the United States Attorney for the District of Connecticut for the Division's investigation of the Hillcrest Healthcare Center.

Cold Case Unit

Secured a murder conviction for a 2002 slaying and issued the state's first John Doe warrant charging a suspect based solely on a DNA profile. The successes of the Cold Case Unit helped the Division receive a federal grant to enhance its ability to solve cold cases via DNA analysis.

Tip Line

Established a toll-free "tip line" to allow the public to confidentially report allegations of corruption at the state and local levels of government which received over 200 calls in 2005. Calls to the toll-free number, 1-888-742-2726, are processed by the Public Integrity Bureau.

Case Presentation Unit.

Utilized federal funding in the continued development of the Division's new Case Presentation Unit. This specialized unit, based at the Office of the Chief State's Attorney in Rocky Hill, assists prosecutors statewide in utilizing the latest technology in trial presentations.

Information Technology

Acquired additional federal resources to continue the ongoing program to modernize the Information Technology infrastructure. This includes enhancement of the Division's ability to participate in AMBER alerts, as well as to increase the information gathering capabilities of the Public Integrity Bureau.

Training Program for Prosecutors

In June 2003, the Division inaugurated its first Annual Training Program for prosecutors. Over 180 Division personnel attended an enhanced, intensive, two-day program. The Division's position on the vital importance of training is evidenced by the Training Program being mandatory for prosecutors with less than ten years experience and was affirmed by the receipt of a federal grant award in support of the annual program. Due to DCJ's recognition of training as a constant component throughout employment, a comprehensive training manual has been developed for new prosecutors and as a guide for experienced prosecutors.

Provided educational opportunities for over 20 law students through the Division's highly successful internship program during FY2005. Significant progress (67%) was made in meeting the Affirmative Action goal for Protective Services in the Hartford region.

RECOMMENDED ADJUSTMENTS

Technical Adjustments

- | | |
|--|-------------------------------|
| • Transfer Salary Adjustment Funds for Settled Bargaining Units
<i>Necessary funding is transferred from the central Reserve for Salary Adjustment account to agency budgets.</i> | <u>2006-2007</u>
3,145,452 |
| • Annualize FY06 Deficiency in Forensic Sex Evidence Exams Account | 560,000 |
| • Provide Funds for Increased Energy Costs | 98,434 |

Expansion Adjustments

- | | |
|---|---------|
| • Combat Urban Violence
<i>Funds are provided for six new positions to staff the Racketeering and Continuing Criminal Activities Unit which will employ a vertical prosecution approach to maximize effectiveness in combating urban violence.</i> | 400,000 |
| • Expand Civil Litigation Bureau
<i>One additional prosecutor position is provided to prevent a backlog of federal habeas corpus petitions and to improve response time in compliance with the federal court deadline.</i> | 53,553 |
| • Increase Criminal Justice Executive Pay Plan
<i>Funds are provided to increase the salaries of the 13 State's Attorneys within the Criminal Justice Executive pay plan by \$3000 effective October 1, 2006.</i> | 32,768 |

AGENCY SUMMARY

<i>Personnel Summary</i>	2004-2005 Authorized	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	532	515	517	7	524
<i>Financial Summary</i>	2004-2005 Actual	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
Personal Services	36,395,219	37,352,912	37,574,155	3,669,768	41,243,923
Other Expenses	2,396,384	2,295,177	2,411,553	106,934	2,518,487
<u>Capital Outlay</u>					
Equipment	1,000	7,000	1,000	61,895	62,895
<u>Other Current Expenses</u>					
Forensic Sex Evidence Exams	774,980	1,250,000	640,000	560,000	1,200,000
Witness Protection	182,598	372,913	372,913	0	372,913
Training and Education	55,622	80,961	81,351	5,000	86,351
Expert Witnesses	163,748	232,731	236,643	0	236,643
Medicaid Fraud Control	638,620	696,762	658,448	-113,390	545,058
TOTAL - Other Current Expenses	1,815,568	2,633,367	1,989,355	451,610	2,440,965
TOTAL - General Fund	40,608,171	42,288,456	41,976,063	4,290,207	46,266,270



CRIMINAL JUSTICE COMMISSION

AGENCY PURPOSE

The Criminal Justice Commission is an autonomous body constitutionally charged to appoint certain prosecutors of the Division of Criminal Justice. The Commission makes appointments of statutorily mandated prosecutors, as well as other prosecutors requested by the Chief State's Attorney, who is responsible for the administration of the Division of Criminal Justice. The Commission's appointment authority includes the Chief State's Attorney, Deputy Chief State's Attorneys, State's Attorneys and Deputy Assistant State's Attorneys. The Commission may also investigate complaints concerning the performance of the Chief State's Attorney,

State's Attorneys, and others. If necessary, the Commission may remove these appointees for cause.

- The terms of appointment for prosecutors required by statute are: Chief State's Attorney, five years; Deputy Chief State's Attorneys, four years and State's Attorneys, eight years.
- The Commission is composed of the Chief State's Attorney and six members nominated by the Governor and appointed by the General Assembly, two of whom must be judges of the Superior Court. The Governor appoints the chairperson.

RECENT HIGHLIGHTS

The Commission appointed seven new Deputy Assistant State's Attorneys to serve as prosecutors throughout the Division of Criminal Justice.

AGENCY SUMMARY

<i>Financial Summary</i>	2004-2005 Actual	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
Other Expenses	169	500	500	0	500
TOTAL - General Fund	169	500	500	0	500



STATE MARSHAL COMMISSION

AGENCY PURPOSE

The State Marshal Commission is an independent agency that oversees the administration of the state marshals. The commission consists of eight appointed members and two non-voting representatives of the state marshals.

- The commission is the appointing authority for new state marshals.
- The commission coordinates with other state agencies activities that serve the common mission of those agencies.

- The Commission sets professional standards, including training requirements and minimum fees for execution and services of process.
- The commission may remove a state marshal for cause after due notice and hearing.
- The commission must periodically review and audit records and accounts of state marshals.

RECENT HIGHLIGHTS

The Commission organized a sweep with the assistance of 3 other agencies that resulted in the arrest of 30 parents that owed a total of more that \$1 million in child support.

The Commission conducted training that resulted in 25 State Marshals being qualified to join a team that has as its purpose to serve orders of *capias mittimus* to parents that refuse to pay child support.

AGENCY SUMMARY

<i>Personnel Summary</i>	2004-2005 Authorized	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	4	4	4	0	4
<u><i>Financial Summary</i></u>					
	2004-2005 Actual	2005-2006 Estimated	2006-2007 Appropriated	2006-2007 Net Adjustments	2006-2007 Revised Recommended
Personal Services	185,194	208,965	249,689	0	249,689
Other Expenses	74,914	108,992	113,801	0	113,801
<u><i>Capital Outlay</i></u>					
Equipment	0	100	100	0	100
TOTAL - General Fund	260,108	318,057	363,590	0	363,590