

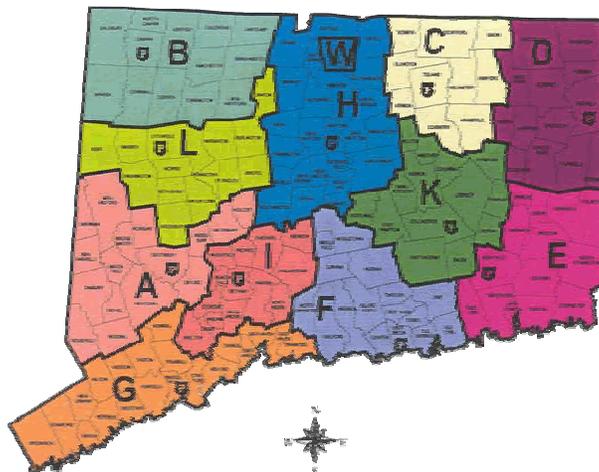


# DEPARTMENT OF PUBLIC SAFETY

## AGENCY PURPOSE

The Department of Public Safety is committed to providing for the protection of the public by efficient and effective utilization of resources through education, prevention, technology and enforcement activities:

- To solve crime and bring criminals to justice.
- To fairly and impartially enforce state and federal laws and regulations.
- To enhance community services and relations through Community Policing.
- To maintain a progressive working environment of career development and equal opportunities.
- To foster mutual and collaborative efforts among the various disciplines both inside and outside the Department.
- To acquire and maintain effective and efficient technology and facilities which provide a quality work environment.



**TROOP MAP**

## RECENT HIGHLIGHTS

### ***Mass Transit Security***

Provided enhanced mass transit system security in Connecticut following terrorist attacks in London. Troopers provided enhanced security patrols on the Metro North and Amtrak trains from Connecticut to New York City. Additionally troopers conducted checks of Connecticut transit facilities and bus stations throughout the state. Assessments of all mass transit systems were provided to increase security.

### ***Task Force to Combat Violent Crime in Hartford***

Joined forces with the Hartford Police Department to form an investigative and uniformed task force to combat violent crime in the city's northeast section. A coordinated joint operation was conducted over an eight week period resulting in over 50 warrants issued, narcotics and handguns seized.

### ***Replacement Trooper Class***

On January 20, 2006 the Department started the 117<sup>th</sup> replacement state police trooper class of 80 recruits with an anticipated 64 graduates.

### ***Automated Fingerprint Identification System (AFIS).***

Implemented an upgrade to the Automated Fingerprint Identification System (AFIS). AFIS has provided improved connectivity to the Federal Bureau of Investigation, the State Police Forensic Science Laboratory, approximately 70 other locations statewide, as well as the State of Rhode Island.

Completed testing of the digital mugshot cameras. The cameras are integrated into the AFIS system and currently are being installed in all State Police field troops, which will allow the transmission of digital images to the State Police Bureau of Identification.

### ***COLLECT***

Continued progress in the development of Connecticut Online Law Enforcement Communications Teleprocessing System (COLLECT) Revision project. This statewide system, impacting over 15,000 users will greatly enhance information availability to the entire Connecticut state and local municipality law enforcement and criminal justice community, and is projected to be complete in the spring of 2006.

### ***Computer Aided Dispatch/Records Management System (CAD/RMS)***

Continued upgrade of the Computer Aided Dispatch/Records Management System (CAD/RMS). This upgrade will facilitate not only the submission and retrieval of more timely information, thus enhancing both officer and public safety, but will also provide the necessary platform for mobile electronic reporting from Troopers in the field. This project will revolutionize the manner in which daily operations of the State Police are conducted in the future. The projected completion date of this information technology project is the spring of 2006.

## RECOMMENDED ADJUSTMENTS

|   | <u>2006-2007</u> |
|---|------------------|
| <b>Reallocations or Transfers</b>   |                  |
| • Reallocate Funds to Improve Maintenance at the Police Academy   | 0                |
| <b>Technical Adjustments</b>  |                  |
| • Transfer Salary Adjustment Funds for Settled Bargaining Units<br><i>Necessary funding is transferred from the central Reserve for Salary Adjustment account to agency budgets.</i>  | 14,478,406       |
| • Adjust Overtime Base  | 2,700,000        |
| • Annualize FY06 Deficiency in Other Expenses   | 2,552,000        |
| • Provide Funds for Increased Energy Costs  | 2,225,671        |
| • Annualize FY06 Deficiency in Workers' Compensation Claims   | 864,000          |
| • Provide Funds for Fleet Purchase  | 1,070,000        |
| • Provide Funds for COLLECT System  | 1,072,671        |
| • Provide Operating Funds for Mobile Data Terminals   | 146,971          |
| • Annualize Costs for AFIS Maintenance  | 106,403          |
| • Add Funds for Radio Maintenance Contract Increase   | 80,229           |
| <b>Expansion Adjustments</b>  |                  |
| • Combat Urban Violence<br><i>Funds are provided to create the Urban Violence Task Force comprised of state troopers and municipal police officers to target "hotspots" of crime and violence in specific urban cities. A monthly stipend of \$2000 per officer will be provided to municipalities to assist with the overtime expenses incurred as a result of the assigned officer(s).</i>                | 520,000          |
| • Pick-up Expiring Federal Funds for the Collection of DNA Samples<br><i>Funds are provided to pick-up the four expiring federal positions implementing PA03-242, to add one new position to mitigate the current backlog of samples for the DNA Databank and for regular active evidentiary cases from police departments and troops, and to fund the cost of the DNA kits.</i>                            | 321,100          |
| • Supplement Federal Funding to Continue the Local Officer Incentive Grant Program<br><i>The Local Officer Incentive Grant program attempts to reduce fiscal impediments to the local police departments partnering with the State Narcotics Task Force and federal law enforcement agencies. Funds are provided to continue the \$1200 monthly stipend to municipalities due to reduced federal funds.</i> | 238,800          |
| • Eliminate Criminal History and Applicant Background Check Backlog<br><i>Funds are provided for a vendor to scan the existing backlog of 142,500 criminal fingerprint cards upon completion of the technological interface from the Automated Fingerprint Identification System to the Master Name Index/Computerized Criminal History system.</i>   | 150,000          |
| • Provide Staff for Homemaker Companion Agencies Background Checks<br><i>Two additional staff are provided to conduct criminal history records checks for current or prospective employees of homemaker companion agencies per Governor's proposed legislation.</i>   | 85,000           |
| • Add a Quality Control Manager for Laboratory Accreditation<br><i>This adjustment seeks to strengthen the Toxicology Laboratory's quality control program in preparation for the application for accreditation by the American Society of Crime Lab Directors.</i>   | 65,645           |
| • Provide IT Position for New COLLECT System<br><i>One IT position is included to aid in the maintenance of hardware and infrastructure, application support, and system administration of the new Connecticut Online Law Enforcement Communications Teleprocessing (COLLECT) system.</i>   | 60,926           |
| • Improve Construction Oversight of Residential Buildings with 25 or More Persons   | 45,305           |

To implement the provisions of PA05-3 Section 110, one Fire and Life Safety Specialist position is provided to perform plans review and code inspections for compliance with the State Fire Safety Code.

## AGENCY SUMMARY

| <i>Personnel Summary</i>             | 2004-2005<br>Authorized | 2005-2006<br>Estimated | 2006-2007<br>Appropriated | 2006-2007<br>Net<br>Adjustments | 2006-2007<br>Revised<br>Recommended |
|--------------------------------------|-------------------------|------------------------|---------------------------|---------------------------------|-------------------------------------|
| <u>Permanent Full-Time Positions</u> |                         |                        |                           |                                 |                                     |
| General Fund                         | 1,775                   | 1,756                  | 1,755                     | 10                              | 1,765                               |
| <br>                                 |                         |                        |                           |                                 |                                     |
| <i>Financial Summary</i>             | 2004-2005<br>Actual     | 2005-2006<br>Estimated | 2006-2007<br>Appropriated | 2006-2007<br>Net<br>Adjustments | 2006-2007<br>Revised<br>Recommended |
| Personal Services                    | 106,707,010             | 113,128,587            | 108,003,184               | 17,791,033                      | 125,794,217                         |
| Other Expenses                       | 23,920,477              | 25,938,636             | 22,678,636                | 6,268,119                       | 28,946,755                          |
| <u>Capital Outlay</u>                |                         |                        |                           |                                 |                                     |
| Equipment                            | 1,000                   | 1,000                  | 1,000                     | 0                               | 1,000                               |
| <u>Other Current Expenses</u>        |                         |                        |                           |                                 |                                     |
| Stress Reduction                     | 6,657                   | 53,354                 | 53,354                    | 0                               | 53,354                              |
| Fleet Purchase                       | 5,150,042               | 5,636,233              | 5,636,233                 | 1,070,000                       | 6,706,233                           |
| Workers' Compensation Claims COLLECT | 2,849,871               | 3,151,578              | 2,508,774                 | 864,000                         | 3,372,774                           |
| Urban Violence Task Force            | 0                       | 0                      | 0                         | 51,500                          | 51,500                              |
| Urban Violence Task Force            | 0                       | 0                      | 0                         | 520,000                         | 520,000                             |
| TOTAL - Other Current Expenses       | 8,006,570               | 8,841,165              | 8,198,361                 | 2,505,500                       | 10,703,861                          |
| <u>Pmts to Other Than Govts</u>      |                         |                        |                           |                                 |                                     |
| Civil Air Patrol                     | 36,758                  | 36,758                 | 36,758                    | 0                               | 36,758                              |
| <u>Pmts to Local Governments</u>     |                         |                        |                           |                                 |                                     |
| SNTF Local Officer Incentive Program | 0                       | 0                      | 0                         | 238,800                         | 238,800                             |
| TOTAL - General Fund                 | 138,671,815             | 147,946,146            | 138,917,939               | 26,803,452                      | 165,721,391                         |



# POLICE OFFICER STANDARDS AND TRAINING

## AGENCY PURPOSE

The Police Officer Standards and Training Council (POSTC) is responsible for the certification of all police officers, law enforcement instructors and police training programs requiring certification throughout the State of Connecticut.

- It is the primary provider of training for municipal, state agency and state university police officers in the areas of basic law enforcement, in-service law enforcement and professional development.
- It is responsible for all of the certified police training activities at the Connecticut Police Academy.
- It develops and revises a comprehensive police training and education plan, which includes approving the

operations of police training schools, approving courses of study, certifying instructors and setting minimum employment standards and certification requirements.

- It offers professional, advanced, specialized and continuing education to veteran police officers as part of the license renewal process, which requires each police officer to satisfactorily complete at least 45 hours of certified review training every three years.
- It also may revoke the certification, i.e. license, of police officers under certain statutory conditions.

## RECENT HIGHLIGHTS

### ***Municipal Police Agency Accreditation Program***

Police Officer Standards and Training has completed the first year of Municipal Police Agency Accreditation Program established by statute and has exceeded it's first year goals having conferred accreditation upon 11 agencies with several more than expected in the process.

### ***Tactical Training Village***

POSTC in conjunction with the Connecticut State Police Department and Wilcox State Technical School in Meriden has completed construction of a four building tactical training village on the Academy grounds.

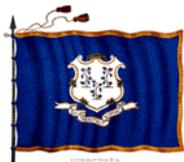
## RECOMMENDED ADJUSTMENTS

### **Technical Adjustments**

- |  |                         |
|--|-------------------------|
|  | <u><b>2006-2007</b></u> |
| • Centralize Business Operations<br><i>Per PA 05-251, Sec. 60 (c) and (d), the Department of Administrative Services has consolidated personnel, payroll, affirmative action and business office functions for certain state agencies into the department.</i> | -125,972                |
| • Transfer Salary Adjustment Funds for Settled Bargaining Units<br><i>Necessary funding is transferred from the central Reserve for Salary Adjustment account to agency budgets.</i>   | 25,955                  |
| • Provide Funds for Increased Energy Costs   | 1,738                   |

## AGENCY SUMMARY

| <b><i>Personnel Summary</i></b>             | 2004-2005<br>Authorized | 2005-2006<br>Estimated | 2006-2007<br>Appropriated | 2006-2007<br>Net<br>Adjustments | 2006-2007<br>Revised<br>Recommended |
|---|-------------------------|------------------------|---------------------------|---------------------------------|-------------------------------------|
| <u><i>Permanent Full-Time Positions</i></u> |                         |                        |                           |                                 |                                     |
| General Fund                                | 24                      | 26                     | 27                        | -1                              | 26                                  |
| <u><i>Financial Summary</i></u>             |                         |                        |                           |                                 |                                     |
|   | 2004-2005<br>Actual     | 2005-2006<br>Estimated | 2006-2007<br>Appropriated | 2006-2007<br>Net<br>Adjustments | 2006-2007<br>Revised<br>Recommended |
| Personal Services                           | 1,634,685               | 1,790,595              | 1,886,226                 | -41,032                         | 1,845,194                           |
| Other Expenses                              | 859,618                 | 807,054                | 912,244                   | -57,247                         | 854,997                             |
| <u><i>Capital Outlay</i></u>                |                         |                        |                           |                                 |                                     |
| Equipment                                   | 1,000                   | 1,000                  | 1,000                     | 0                               | 1,000                               |
| <b>TOTAL - General Fund</b>                 | <b>2,495,303</b>        | <b>2,598,649</b>       | <b>2,799,470</b>          | <b>-98,279</b>                  | <b>2,701,191</b>                    |



# BOARD OF FIREARM PERMIT EXAMINERS

## AGENCY PURPOSE

Board of Firearms Permit Examiners was established under Connecticut General Statute 29-32b to provide a means of appeal through the process of an administrative hearing for any person aggrieved by any refusal to issue or renew a permit or certificate under the provisions of section 29-28 or

29-36f, or by any limitation or revocation of a permit or certificate issued under any of said sections, or by a refusal or failure of any issuing authority to furnish an application as provided in section 29-28a.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

- Centralize Business Operations

**2006-2007**

-25,091

*Per PA 05-251, Sec. 60 (c) and (d), the Department of Administrative Services has consolidated personnel, payroll, affirmative action and business office functions for certain state agencies into the department.*

## AGENCY SUMMARY

|   | 2004-2005<br>Authorized | 2005-2006<br>Estimated | 2006-2007<br>Appropriated | 2006-2007<br>Net<br>Adjustments | 2006-2007<br>Revised<br>Recommended |
|---|-------------------------|------------------------|---------------------------|---------------------------------|-------------------------------------|
| <b><i>Personnel Summary</i></b>             |                         |                        |                           |                                 |                                     |
| <u><i>Permanent Full-Time Positions</i></u> |                         |                        |                           |                                 |                                     |
| General Fund                                | 1                       | 1                      | 1                         | 0                               | 1                                   |
| <b><i>Financial Summary</i></b>             |                         |                        |                           |                                 |                                     |
|   | 2004-2005<br>Actual     | 2005-2006<br>Estimated | 2006-2007<br>Appropriated | 2006-2007<br>Net<br>Adjustments | 2006-2007<br>Revised<br>Recommended |
| Personal Services                           | 70,902                  | 76,356                 | 79,513                    | 0                               | 79,513                              |
| Other Expenses                              | 31,730                  | 34,842                 | 34,842                    | -25,091                         | 9,751                               |
| <u><i>Capital Outlay</i></u>                |                         |                        |                           |                                 |                                     |
| Equipment                                   | 0                       | 100                    | 100                       | 0                               | 100                                 |
| TOTAL - General Fund                        | 102,632                 | 111,298                | 114,455                   | -25,091                         | 89,364                              |



# DEPARTMENT OF MOTOR VEHICLES

## AGENCY PURPOSE

- Perform public safety functions through enforcement of the statutes concerning motor vehicles and their operation.
- Issue credentials for motor vehicles, their operators and vehicle-related businesses.
- Deliver high quality, innovative services to customers.
- Impose sanctions on the credential-holders who violate laws and regulations.
- Collect revenues for the construction and maintenance of the State's highways, collect information on revenues, credentials and credential-holders, and provide it to all those with a legitimate need to know.

## RECENT HIGHLIGHTS

| Fiscal Year 2004-05 Fast Facts |                            |
|--------------------------------|----------------------------|
| 1.2 million                    | Calls to Phone Center      |
| 2.24 million                   | Visitors to Branch Offices |
| 3.09 million                   | Registered Motor Vehicles  |
| 2.47 million                   | Licensed Drivers           |
| 4,100                          | Voters Registered          |
| \$ 425 million                 | Revenue Collected by DMV   |

- Established a Document Integrity Unit to review the authenticity of documents and images for the issuance of licenses.
- Evaluated and established compliance requirements for the Federal Real ID Act.
- Governor's task force established to evaluate the feasibility for a Windshield Registration Sticker.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

- |  | <u>2006-2007</u> |
|--|------------------|
| • Increase Commercial Vehicle Safety Inspectors<br><i>Provide funding for 9 positions hired to inspect commercial vehicle fleets for safety compliance.</i>  | 483,764          |
| • Improve Drivers License Program with Additional Security Features<br><i>Add funding to support technology improvements, per-transaction costs, and expanded capabilities to eliminate driver license and identification fraud.</i>     | 129,610          |
| • Vehicle Registration Sticker Conversion<br><i>Fund the conversion of the DMV registration sticker to a reconfigured sticker to be relocated from the marker plate to the inside of the vehicle windshield for anti-theft purposes.</i> | 174,100          |
| • Provide Funds for Increased Energy Costs   | 334,394          |

### Expansion Adjustments

- |   |         |
|---|---------|
| • Upgrade Telecommunications Infrastructure<br><i>Enhance systems in department branch locations to comply with Federal mandates as well as new state legislation for security and fraud prevention and customer convenience.</i>   | 383,382 |
| • Implement Drivers License Agreement and Motor Carrier Safety Improvement Act Mandates<br><i>Add 1 Processing Technician position to comply with the Federal Driver License Agreement and the Motor Carrier Safety Improvement Act.</i>  | 38,171  |
| • Increase Audit Services Unit Staffing<br><i>Add 1 Account Examiner position to comply with the Auditor of Public Accounts' recommendations to improve control over credential material inventory and reconciliation of a number of applicant-related tests and transactions.</i>  | 52,811  |
| • Provide Additional Staff for DMV Branch Offices<br><i>Fund 24 part-time positions to ease congestion at the busiest full-time branches (Norwalk, Norwich, Hamden, Waterbury, Bridgeport and Wethersfield) as well as opening the four part-time branch offices an additional day (Northwest/Winsted, Putnam, Willimantic and Stamford.)</i> | 730,000 |
| • Implement Casino Assistance Revenue Plan<br><i>Fund necessary technical changes to the agency's computer systems to effectively organize and maintain provisions of the Casino Assistance Revenue Grant.</i>  | 500,000 |

## AGENCY SUMMARY

| <i>Personnel Summary</i>               | 2004-2005<br>Authorized | 2005-2006<br>Estimated | 2006-2007<br>Appropriated | 2006-2007<br>Net<br>Adjustments | 2006-2007<br>Revised<br>Recommended |
|--|-------------------------|------------------------|---------------------------|---------------------------------|-------------------------------------|
| <u>Permanent Full-Time Positions</u>   |                         |                        |                           |                                 |                                     |
| Special Transportation Fund            | 595                     | 631                    | 631                       | 11                              | 642                                 |
| <u>Financial Summary</u>               |                         |                        |                           |                                 |                                     |
|  | 2004-2005<br>Actual     | 2005-2006<br>Estimated | 2006-2007<br>Appropriated | 2006-2007<br>Net<br>Adjustments | 2006-2007<br>Revised<br>Recommended |
| Personal Services                      | 34,831,601              | 38,310,451             | 39,016,542                | 1,212,339                       | 40,228,881                          |
| Other Expenses                         | 14,793,497              | 14,761,603             | 14,870,420                | 1,113,893                       | 15,984,313                          |
| <u>Capital Outlay</u>                  |                         |                        |                           |                                 |                                     |
| Equipment                              | 104,422                 | 637,250                | 996,425                   | 0                               | 996,425                             |
| <u>Other Current Expenses</u>          |                         |                        |                           |                                 |                                     |
| Real Time Online Registration          | 318,813                 | 0                      | 0                         | 0                               | 0                                   |
| Insurance Enforcement                  | 592,439                 | 654,481                | 659,785                   | 0                               | 659,785                             |
| Commercial Veh Info Sys & Networks Prj | 706,257                 | 283,000                | 283,000                   | 0                               | 283,000                             |
| Casino Assistance Revenue Plan         | 0                       | 0                      | 0                         | 500,000                         | 500,000                             |
| TOTAL - Other Current Expenses         | 1,617,509               | 937,481                | 942,785                   | 500,000                         | 1,442,785                           |
| TOTAL - Special Transportation Fund    | 51,347,029              | 54,646,785             | 55,826,172                | 2,826,232                       | 58,652,404                          |



# MILITARY DEPARTMENT

## AGENCY PURPOSE

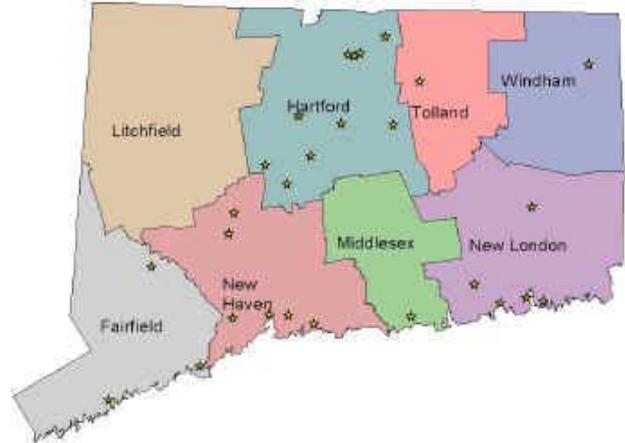
The Military Department is composed of the Connecticut Army National Guard, Air National Guard and the State Militia.

- The State mission is to provide trained and disciplined forces for domestic emergencies or as otherwise required by law.
- The federal mission is to maintain properly trained and equipped units available for prompt mobilization for war or national emergencies.
- Collectively, the Connecticut Army and Air National Guard and the organized militia augment federal, state, and local emergency responders in the event of large-scale emergencies and disasters, providing trained military personnel, military facilities, equipment and supplies.

## RECENT HIGHLIGHTS

- Deployed over 500 Connecticut National Guard soldiers and airmen to the Middle East and Afghanistan in support of the Global War on Terror. Deployed units included the 143<sup>rd</sup> Area Support Group (Newington), the 141<sup>st</sup> Medical Company (Waterbury), the 208<sup>th</sup> Personnel Service Detachment (East Lyme), Companies B and D, 189<sup>th</sup> Aviation Regiment (Windsor Locks), Detachment 6, Operational Support Airlift Command (Windsor Locks).
- Within the past year, Company C, 1<sup>st</sup> Battalion, 102<sup>nd</sup> Infantry (New Britain) and the 118<sup>th</sup> Medical Battalion (New Britain) returned from their tours of duties in Iraq.
- Developed the long range Base Realignment and Closure (BRAC) Plan for the Joint Services in Connecticut, not including the Active Navy or Air Guard Facilities.
- Deployed Quick Reaction Force soldiers to the rail platforms on the Metro North line into New York City in response to heightened security requirements. In addition, elements of the QRF also deployed to Mississippi and Alabama in support of Hurricane Katrina relief operations.
- Staffed and trained a Weapons of Mass Destruction (WMD) Civil Support Team to plan and execute

## Military Facilities



military assistance to local and state authorities at domestic WMD incident sites. Final certification and validation of the WMD CST is scheduled for February 2006, after which the team will be fully operational.

- Completed construction of the new Military Fire Station Located at Stones Ranch Military Reservation, East Lyme.
- Completed 80% of construction for the new Military Working Dog Facility located at Newtown Military Reservation, Newtown.
- Completed replacement construction at the Air Control Squadron complex in Orange.
- Completed construction of Phase I of a two-phase renovation of the main aircraft maintenance hangar at Bradley Air National Guard Base, including a new fire suppression system and repair of hangar doors.
- Provided over \$1 million in federal support to Connecticut's drug interdiction and demand-reduction activities through the CT National Guard Counterdrug Program, resulting in over \$47 million dollars in seizures.
- Began implementation plans for the Combat Zone Military Bonus Program, the Military Family Relief Fund and the Volunteer Service Program per PA 05-03.
- Supported communities through training and community service programs, including STARBASE, Counterdrug and Drug Demand Reduction programs.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Achieve Overtime Savings

2006-2007

-6,221

### Technical Adjustments

- Fund Honor Guard Program in the General Fund  
*This adjustment returns the Honor Guard Program to the General Fund.*
- Transfer Funds to Department of Veterans' Affairs for Veterans' Contact List and Registry  
*This transfer is in compliance with PA05-3 Section 62(a).*

306,803

-60,000

- Transfer Salary Adjustment Funds for Settled Bargaining Units 49,786  
*Necessary funding is transferred from the central Reserve for Salary Adjustment account to agency budgets.*
  - Supplement Funds Provided for Military Assistance Program 28,000  
*This adjustment provides additional salary funds to fully implement the provisions of PA05-3, Sections 10 and 12, requiring a Military Family Relief Fund and Volunteer Services Program.*
  - Provide Funds for Increased Energy Costs 394,080
- Expansion Adjustments**
- Provide Maintenance Staff for New Facilities 58,000  
*Funds are provided for maintenance at the Southington Readiness Center and Orange Air National Guard Station.*

## AGENCY SUMMARY

| <i>Personnel Summary</i>                    | 2004-2005<br>Authorized | 2005-2006<br>Estimated | 2006-2007<br>Appropriated | 2006-2007<br>Net<br>Adjustments | 2006-2007<br>Revised<br>Recommended |
|---|-------------------------|------------------------|---------------------------|---------------------------------|-------------------------------------|
| <u>Permanent Full-Time Positions</u>        |                         |                        |                           |                                 |                                     |
| General Fund                                | 55                      | 46                     | 46                        | 2                               | 48                                  |
| <u>Financial Summary</u>                    |                         |                        |                           |                                 |                                     |
|   | 2004-2005<br>Actual     | 2005-2006<br>Estimated | 2006-2007<br>Appropriated | 2006-2007<br>Net<br>Adjustments | 2006-2007<br>Revised<br>Recommended |
| Personal Services                           | 3,246,921               | 2,891,254              | 2,986,415                 | 129,565                         | 3,115,980                           |
| Other Expenses                              | 2,325,259               | 2,251,993              | 2,326,882                 | 394,080                         | 2,720,962                           |
| <u>Capital Outlay</u>                       |                         |                        |                           |                                 |                                     |
| Equipment                                   | 1,000                   | 1,000                  | 1,000                     | 0                               | 1,000                               |
| <u>Other Current Expenses</u>               |                         |                        |                           |                                 |                                     |
| Honor Guards                                | 0                       | 0                      | 0                         | 306,803                         | 306,803                             |
| Veterans' Service Bonuses                   | 0                       | 1,275,000              | 500,000                   | 0                               | 500,000                             |
| Military Assistance                         | 0                       | 1,340,000              | 625,000                   | -60,000                         | 565,000                             |
| TOTAL - Other Current Expenses              | 0                       | 2,615,000              | 1,125,000                 | 246,803                         | 1,371,803                           |
| TOTAL - General Fund                        | 5,573,180               | 7,759,247              | 6,439,297                 | 770,448                         | 7,209,745                           |
| <u>Other Current Expenses</u>               |                         |                        |                           |                                 |                                     |
| Honor Guards                                | 269,604                 | 306,803                | 306,803                   | -306,803                        | 0                                   |
| TOTAL - Soldiers, Sailors and Marines' Fund | 269,604                 | 306,803                | 306,803                   | -306,803                        | 0                                   |
| TOTAL - ALL FUNDS                           | 5,842,784               | 8,066,050              | 6,746,100                 | 463,645                         | 7,209,745                           |



# EMERGENCY MANAGEMENT AND HOMELAND SECURITY

## AGENCY PURPOSE

The Department of Emergency Management and Homeland Security (DEMHS) is charged to develop, administer and coordinate a comprehensive statewide Emergency Management and Homeland Security Program that encompasses all human-made and natural hazards, and includes prevention, mitigation, preparedness, response and recovery components to ensure the safety and well-being of the citizens of Connecticut.

DEMHS utilizes all resources within state government to develop unified safety and security measures to prevent, mitigate, and manage homeland security incidents threatening the quality of life of the citizens of Connecticut.

Finally, it develops and enhances the statewide emergency management and homeland security program through strategic planning and grant fund administration.

## RECENT HIGHLIGHTS

In accordance with Public Act 04-219, DEMHS was established on January 1, 2005. Public Act 04-219 required the transfer of the Division of Homeland Security, previously within the Department of Public Safety and the transfer the Department of Emergency Management, formerly within the Military Department, to the new Department of Emergency Management and Homeland Security (DEMHS).

### ***New Divisions***

Four new Divisions were added to DEMHS in order to support the work of the new agency, they are:

- Office of the Commissioner
- Division of Finance and Administration
- Division of Strategic Planning and Grants Management
- Division of Information and Technology

### ***TOPOFF 3 (Top Officials)***

Governor Rell, with DEMHS coordinating, headed Connecticut's response to TOPOFF 3 (Top Officials) the most comprehensive terrorism response exercise ever conducted in the United States. It was conducted in Connecticut for the period April 4 through April 8 and tested the capabilities of state, local and federal government agencies to respond to a terrorist attack. Following the exercise, DEMHS evaluated state responses and is incorporating changes in state plans that will help Connecticut to do an even better job in protecting the safety and well-being of its people.

### ***National Incident Management System (NIMS)***

In September of 2005, the Governor signed and executed Executive Order Number Ten, establishing the National Incident Management System (NIMS) as the standard system within the State of Connecticut for the management of domestic incidents that affect the health, welfare, safety and security of the state's citizens.

### ***Critical Infrastructure Unit***

The agency's Critical Infrastructure Unit worked in conjunction with the Federal Department of Homeland Security, private industry, and local municipalities in the Buffer Zone Protection Plan (BZPP) to improve physical security at 17 sites deemed "critical" within the State of Connecticut, by the federal Department of Homeland Security

### ***Statewide Anti-Terrorism Task Force***

The Statewide Anti-Terrorism Task Force has co-located with the Federal Bureau of Investigation's (FBI) Joint Terrorism Task Force to streamline investigations and response to terrorism related allegations and incidents. This multi-jurisdictional approach will enhance the ability of all federal, state and local agencies to share vital intelligence in a timely fashion.

## RECOMMENDED ADJUSTMENTS

### **Reductions**

- Carryforward FY2006 Funds

**2006-2007**

-200,000

### **Technical Adjustments**

- Centralize Business Operations

-116,257

*Per Sections 60 (c) and 60 (d) of PA 05-251, the Department of Administrative Services has consolidated personnel, payroll, affirmative action and business office functions for certain state agencies into the department.*

- Ethics Commission Position Transfer

106,976

- Transfer Salary Adjustment Funds for Settled Bargaining Units

88,463

*Necessary funding is transferred from the central Reserve for Salary Adjustment account to agency budgets.*

### **Expansion Adjustments**

- Fund Communication and Supply Upgrades

78,260

## AGENCY SUMMARY

|   | 2004-2005<br>Authorized | 2005-2006<br>Estimated | 2006-2007<br>Appropriated | 2006-2007<br>Net<br>Adjustments | 2006-2007<br>Revised<br>Recommended |
|---|-------------------------|------------------------|---------------------------|---------------------------------|-------------------------------------|
| <b><i>Personnel Summary</i></b>             |                         |                        |                           |                                 |                                     |
| <u><i>Permanent Full-Time Positions</i></u> |                         |                        |                           |                                 |                                     |
| General Fund                                | 0                       | 46                     | 47                        | -1                              | 46                                  |
| <b><i>Financial Summary</i></b>             |                         |                        |                           |                                 |                                     |
|   | 2004-2005<br>Actual     | 2005-2006<br>Estimated | 2006-2007<br>Appropriated | 2006-2007<br>Net<br>Adjustments | 2006-2007<br>Revised<br>Recommended |
| Personal Services                           | 0                       | 4,023,557              | 4,076,946                 | -120,818                        | 3,956,128                           |
| Other Expenses                              | 0                       | 292,251                | 292,251                   | 78,260                          | 370,511                             |
| <u><i>Capital Outlay</i></u>                |                         |                        |                           |                                 |                                     |
| Equipment                                   | 0                       | 100                    | 100                       | 0                               | 100                                 |
| TOTAL - General Fund                        | 0                       | 4,315,908              | 4,369,297                 | -42,558                         | 4,326,739                           |



# COMMISSION ON FIRE PREVENTION & CONTROL

## AGENCY PURPOSE

- Reduce death, injury and property damage due to fire, emergencies and other man-made or natural disasters by increasing the proficiency of firefighters through training, education and certification.
- Provide technical assistance, guidance and resource services to the fire and emergency services community.
- Raise the fire and life safety awareness level of the public.

## RECENT HIGHLIGHTS

- Delivered 342 training programs which served 6,969 students and resulted in 155,213 contact hours.
- Administered 3,173 certification examinations, with a passing rate of 87%.
- Increased participation by 30% in the Statewide Fire Rescue Disaster Response Plan, which provides for the systematic mobilization, deployment, organization and management of fire resources throughout the state.
- Realized a 10% increase in academy dormitory rooms occupancy or an equivalent of 6,423 room nights.
- Continued administration of the 800-FIRE-LINE program, encouraging volunteer recruitment and retention.
- Continued support for the Regional Foam Trailer program and statewide Honor Guard initiative
- Continued enhancement of communication efforts in 2005 in which a total of 730 messages were disseminated via the agency's electronic mail list serv.
- Replaced heavy duty rescue truck to replace a nearly 30 year old unit.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

- Centralize Business Operations 2006-2007  
-149,603  
*Per Sections 60 (c) and 60 (d) of PA 05-251, the Department of Administrative Services has consolidated personnel, payroll, affirmative action and business office functions for certain state agencies into the department.*
- Transfer Salary Adjustment Funds for Settled Bargaining Units 81,465  
*Necessary funding is transferred from the central Reserve for Salary Adjustment account to agency budgets.*
- Comply with OSHA Employee Physicals Evaluation Requirement 21,120  
*OSHA requires employers to provide medical evaluations for those that are required to wear a respirator and/or perform firefighting functions. Funds are provided to obtain independent medical evaluation services for fire service instructors.*
- Provide Funds for Increased Energy Costs 80,584

### Expansion Adjustments

- Fund Land Lease for Hartford Regional Fire School 34,848

## AGENCY SUMMARY

|                                      | 2004-2005<br>Authorized | 2005-2006<br>Estimated | 2006-2007<br>Appropriated | 2006-2007<br>Net<br>Adjustments | 2006-2007<br>Revised<br>Recommended |
|--------------------------------------|-------------------------|------------------------|---------------------------|---------------------------------|-------------------------------------|
| <b>Personnel Summary</b>             |                         |                        |                           |                                 |                                     |
| <u>Permanent Full-Time Positions</u> |                         |                        |                           |                                 |                                     |
| General Fund                         | 20                      | 18                     | 20                        | -2                              | 18                                  |
| <b>Financial Summary</b>             |                         |                        |                           |                                 |                                     |
|                                      | 2004-2005<br>Actual     | 2005-2006<br>Estimated | 2006-2007<br>Appropriated | 2006-2007<br>Net<br>Adjustments | 2006-2007<br>Revised<br>Recommended |
| Personal Services                    | 1,533,537               | 1,570,254              | 1,627,661                 | -68,138                         | 1,559,523                           |
| Other Expenses                       | 562,093                 | 569,978                | 597,552                   | 136,552                         | 734,104                             |
| <u>Capital Outlay</u>                |                         |                        |                           |                                 |                                     |
| Equipment                            | 0                       | 100                    | 100                       | 0                               | 100                                 |
| <u>Pmts to Other Than Govts</u>      |                         |                        |                           |                                 |                                     |
| Payments to Volunteer Fire Companies | 0                       | 100,000                | 100,000                   | 0                               | 100,000                             |
| <b>TOTAL - General Fund</b>          | <b>2,095,630</b>        | <b>2,240,332</b>       | <b>2,325,313</b>          | <b>68,414</b>                   | <b>2,393,727</b>                    |



# DEPARTMENT OF BANKING

## AGENCY PURPOSE

- Ensure the safety and soundness of regulated depository institutions.
- Administer the state's banking and related laws.
- Protect Connecticut consumers and investors.

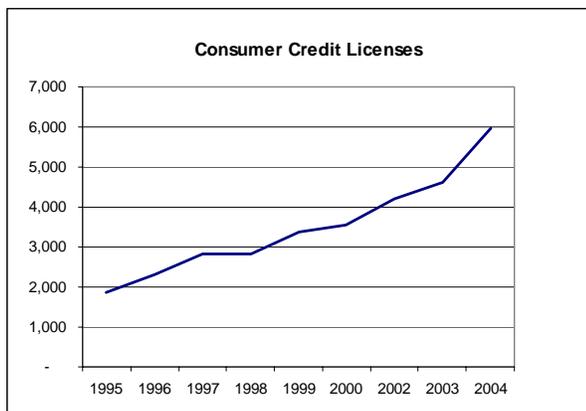
## RECENT HIGHLIGHTS

### *Reorganization of Business Units*

Bank Examination and Credit Union Divisions were merged into one division overseeing depository institutions and other entities and was renamed the Financial Institutions Division. The new division's director now reports directly to the Banking Commissioner.

The Consumer Credit Division was reorganized into separate units for licensing and examination/enforcement. Managers were named for each unit resulting in a smaller span of control, increased communication and heightened morale. The division also assumed responsibility for licensing and supervising check cashers, money transmitters and issuers of money orders and travelers' checks.

### Government Relations and Consumer Affairs Division



The Government Relations and Communications Division was expanded to include consumer assistance and outreach work previously done in the agency's four line operating divisions.

### *Continue Trend to Establish New Banks*

The Financial Institutions Division opened one state-chartered bank, The Connecticut Bank and Trust company in Hartford. Three state-chartered banks are in various stages of organization: Darien Rowayton Bank, Darien; Higher One

Bank, New Haven; and The Bank of Southeastern Connecticut, New London.

As a direct result of an increased enforcement focus by the Consumer Credit Division, Department actions taken against licensees and related entities during the fiscal year increased threefold from seven to twenty actions.

### *Securities and Business Investments Division*

The division serves Connecticut citizens by providing a local enforcement presence to protect investors from securities fraud. During 2003-04, the division imposed \$3,281,928 in fines for violations of the state's securities laws.

The high profile maintained by the Department's Securities Division from among other state securities regulators was heightened during 2003-04 when its Director, served as president of the North American Securities Administrators Association (NASAA), which represents state and provincial securities regulators in the United States, Canada, Mexico, and Puerto Rico.

### *Initiate Educational Forums*

The Department was again proactive in initiating educational forums explaining why bank regulators, and the public, are demanding more accountability from directors to insure responsible corporate governance. A well-attended training conference in Hartford offered bank directors and chief executive officers practical advice to assist in meeting their institutions' regulatory responsibilities and in overseeing their banks' safe and sound operation and business profitability. The Department held its 15<sup>th</sup> annual Securities Forum in Stamford, Connecticut.

### *Return Over \$6 million to Consumers and Investors*

The Consumer Credit complaint function obtained \$1,847,717 in adjustments and reimbursements on behalf of consumers during the fiscal year, while assisting consumers with 2,084 complaints. Securities Division intervention resulted in the return of \$4,379,000 to the investing public. The agency's security deposit investigator resolved 256 landlord tenant disputes during the fiscal year and recovered \$95,307. The Department recovered an additional \$105,882 for consumers who had been wronged by their financial institution.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

- Estimated Collective Bargaining Costs for Unsettled Units

2006-2007

16,900

## AGENCY SUMMARY

| <i>Personnel Summary</i>             | 2004-2005<br>Authorized | 2005-2006<br>Estimated | 2006-2007<br>Appropriated | 2006-2007<br>Net<br>Adjustments | 2006-2007<br>Revised<br>Recommended |
|--------------------------------------|-------------------------|------------------------|---------------------------|---------------------------------|-------------------------------------|
| <u>Permanent Full-Time Positions</u> |                         |                        |                           |                                 |                                     |
| Banking Fund                         | 127                     | 129                    | 129                       | 0                               | 129                                 |
| <br>                                 |                         |                        |                           |                                 |                                     |
| <i>Financial Summary</i>             | 2004-2005<br>Actual     | 2005-2006<br>Estimated | 2006-2007<br>Appropriated | 2006-2007<br>Net<br>Adjustments | 2006-2007<br>Revised<br>Recommended |
| Personal Services                    | 8,343,839               | 7,443,090              | 9,608,267                 | 12,160                          | 9,620,427                           |
| Other Expenses                       | 1,847,202               | 100                    | 2,029,675                 | 0                               | 2,029,675                           |
| <u>Capital Outlay</u>                |                         |                        |                           |                                 |                                     |
| Equipment                            | 174,587                 | 127,000                | 23,500                    | 0                               | 23,500                              |
| <u>Other Current Expenses</u>        |                         |                        |                           |                                 |                                     |
| Fringe Benefits                      | 4,405,349               | 4,558,355              | 4,923,681                 | 4,740                           | 4,928,421                           |
| Indirect Overhead                    | 409,362                 | 409,362                | 234,140                   | 0                               | 234,140                             |
| TOTAL - Other Current Expenses       | 4,814,711               | 4,967,717              | 5,157,821                 | 4,740                           | 5,162,561                           |
| TOTAL - Banking Fund                 | 15,180,339              | 12,537,907             | 16,819,263                | 16,900                          | 16,836,163                          |



# INSURANCE DEPARTMENT

## AGENCY PURPOSE

To protect the consumer by administering and enforcing the insurance laws and regulations in the most responsive and cost effective manner.

To ensure financial reliability and responsibility of all licensed entities while maintaining an open, competitive market.

## RECENT HIGHLIGHTS

### *Consumer Affairs Division*

During Fiscal Year 2004-2005, 8,824 formal complaints were logged into the Consumer Affairs Division's computer database. As a result of the review of these complaints, the Division recovered \$3,445,857 for Connecticut consumers. In addition, during the second quarter, Consumer Affairs staff attended training seminars on the new Medicare prescription drug cards.

### *CRIS (Connecticut Regulatory Information System)*

The Insurance Department's Computer Support Services began implementation of a new automated system to improve efficiency for our customers, and reduce paper and paper processing by relying on document imaging technology. CRIS is being developed in cooperation with the University of Connecticut. The Licensing Division and the Consumer Affairs Division were the first to utilize the new system. The goal for Fiscal Year 2005-2006 is to complete automation of all other divisions.

### *Legal Division*

Promulgated four regulations and assisted department divisions in 88 administrative enforcement proceedings or stipulated settlements that resulted in the assessment of \$1,578,688 in fines and penalties. The Division also supported 34 insurance rate hearings and participated in three hearings under the Connecticut Insurance Holding Act regarding the merger or the acquisition of control of a Connecticut domiciled insurer.

### *Financial Regulation Division*

Received a successful interim accreditation review for the year ending December 2004. The Division completed review and approval of three mergers or acquisitions of control of a Connecticut domiciled insurer. The Division also actively supervised four Connecticut financially distressed domestic insurers during the year. None of them went into liquidation.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Carryforward FY2006 Funds

2006-2007

-230,640

## AGENCY SUMMARY

|   | 2004-2005<br>Authorized | 2005-2006<br>Estimated | 2006-2007<br>Appropriated | 2006-2007<br>Net<br>Adjustments | 2006-2007<br>Revised<br>Recommended |
|---|-------------------------|------------------------|---------------------------|---------------------------------|-------------------------------------|
| <b><i>Personnel Summary</i></b>             |                         |                        |                           |                                 |                                     |
| <u><i>Permanent Full-Time Positions</i></u> |                         |                        |                           |                                 |                                     |
| Insurance Fund                              | 149                     | 149                    | 149                       | 0                               | 149                                 |
| <b><i>Financial Summary</i></b>             |                         |                        |                           |                                 |                                     |
|   | 2004-2005<br>Actual     | 2005-2006<br>Estimated | 2006-2007<br>Appropriated | 2006-2007<br>Net<br>Adjustments | 2006-2007<br>Revised<br>Recommended |
| Personal Services                           | 10,145,346              | 11,887,721             | 12,631,840                | -230,640                        | 12,401,200                          |
| Other Expenses                              | 2,467,342               | 2,344,010              | 2,380,570                 | 0                               | 2,380,570                           |
| <u><i>Capital Outlay</i></u>                |                         |                        |                           |                                 |                                     |
| Equipment                                   | 90,961                  | 95,500                 | 135,500                   | 0                               | 135,500                             |
| <u><i>Other Current Expenses</i></u>        |                         |                        |                           |                                 |                                     |
| Fringe Benefits                             | 5,226,778               | 6,380,854              | 6,810,094                 | 0                               | 6,810,094                           |
| Indirect Overhead                           | 452,518                 | 357,518                | 76,960                    | 0                               | 76,960                              |
| TOTAL - Other Current Expenses              | 5,679,296               | 6,738,372              | 6,887,054                 | 0                               | 6,887,054                           |
| TOTAL - Insurance Fund                      | 18,382,945              | 21,065,603             | 22,034,964                | -230,640                        | 21,804,324                          |



# OFFICE OF THE CONSUMER COUNSEL

## AGENCY PURPOSE

The Office of Consumer Counsel (OCC) is an independent state agency with the responsibility of advocating Connecticut consumers' interests in all matters with respect to utility services and public service companies.

To ensure that all of Connecticut's consumers receive the highest level of utility services at the lowest overall cost, the OCC is authorized to participate in any regulatory or judicial proceedings, federal or state, affecting such interests.

OCC fulfills its statutory responsibility by representing the interests of Connecticut's utility consumers in proceedings before the Department of Public Utility Control (DPUC), which determines rates and services, and in proceedings before other state and federal regulatory agencies, courts, and other forums.

The OCC is a party to all contested matters before the DPUC and is authorized to appeal state regulatory decisions to court.

## RECENT HIGHLIGHTS

The OCC entered into a settlement with Southern Connecticut Gas (SCG) in the company's case before the DPUC. OCC is also attempting to eliminate ratepayer subsidies of competitive retail suppliers in a docket before the DPUC.

The OCC has been active in the Federal Energy Regulatory Commission (FERC) Locational Installed Capacity Payment

(LICAP) proceeding and is currently actively involved in settlement negotiations to develop an alternative to LICAP.

The OCC has finally won a lawsuit against Southwestern Bell Telephone Company (SBC) Connecticut relating to a 1998 labor strike which it pursued all the way to the state supreme court.

## RECOMMENDED ADJUSTMENTS

### Expansion Adjustments

- Provide Funding for Provisions of the Energy Independence Act

*Fund existing unfunded vacancies to carry out additional responsibilities in response to PA05-12 JSS, which places new mandates on the Department of Public Utility Control. The OCC is statutorily mandated to participate in all utility-related matters as the representative for consumers.*

**2006-2007**

154,463

## AGENCY SUMMARY

|  | 2004-2005        | 2005-2006           | 2006-2007              | 2006-2007                 | 2006-2007                     |
|--|------------------|---------------------|------------------------|---------------------------|-------------------------------|
| <i>Personnel Summary</i>                     | Authorized       | Estimated           | Appropriated           | Net Adjustments           | Revised Recommended           |
| <u>Permanent Full-Time Positions</u>         |                  |                     |                        |                           |                               |
| Consumer Counsel/Public Utility Fund         | 17               | 17                  | 17                     | 0                         | 17                            |
| <u>Financial Summary</u>                     |                  |                     |                        |                           |                               |
|  | 2004-2005 Actual | 2005-2006 Estimated | 2006-2007 Appropriated | 2006-2007 Net Adjustments | 2006-2007 Revised Recommended |
| Personal Services                            | 920,711          | 1,035,235           | 1,091,817              | 154,463                   | 1,246,280                     |
| Other Expenses                               | 525,579          | 501,652             | 501,652                | 0                         | 501,652                       |
| <u>Capital Outlay</u>                        |                  |                     |                        |                           |                               |
| Equipment                                    | 12,100           | 39,400              | 34,750                 | 0                         | 34,750                        |
| <u>Other Current Expenses</u>                |                  |                     |                        |                           |                               |
| Fringe Benefits                              | 482,280          | 644,175             | 679,866                | 0                         | 679,866                       |
| Indirect Overhead                            | 69,262           | 69,262              | 173,912                | 0                         | 173,912                       |
| TOTAL - Other Current Expenses               | 551,542          | 713,437             | 853,778                | 0                         | 853,778                       |
| TOTAL - Consumer Counsel/Public Utility Fund | 2,009,932        | 2,289,724           | 2,481,997              | 154,463                   | 2,636,460                     |



# DEPARTMENT OF PUBLIC UTILITY CONTROL

## AGENCY PURPOSE

- To ensure that safe, reliable, and fairly priced utility services are available throughout Connecticut.
- To balance the public's need for adequate utility service at reasonable rates with the providers' right to earn a reasonable return on their investment in those industries still wholly regulated.
- To design and oversee competitive utility service (telephone, commercial/industrial natural gas and electric service) with equity among the competitors while affording customers the economic benefit of competition along with adequate customer service.
- To assure that cable franchises are awarded and operated according to statute and regulation and that customer service is being provided in a timely and courteous manner.
- To perform management audits of public service companies.
- To perform research and analysis to provide data and support for adjudicatory functions.
- To monitor and enforce utility safety requirements.
- To resolve individual consumer complaints.
- To increase consumers' knowledge of their rights.
- To counter-balance utility companies' rate increase requests with an independently prepared, presented and defended opposing case.
- To educate consumers about changes taking place in the utility industry.

## RECENT HIGHLIGHTS

- Established dedicated wireless phone consumer complaint and inquiry assistance procedures in accordance with new law;
- Set up fourteen separate and simultaneous proceedings to implement provisions of recently adopted Energy Independence legislation which includes programs to reduce energy costs and encourage the deployment of distributed generation, energy efficiency and demand-side management resources;
- Implemented Alternative Transitional Standard Offer allowing customers to support the development of renewable energy resources;
- Issued fines in excess of \$240,000 for violations of Call Before You Dig (CBYD) statutes;
- Developed memo of understanding with Department of Public Health to streamline the Certificate of Public Convenience and Necessity (CPCN) process for smaller water systems;
- Continued educational outreach to ratepayers with emphasis on energy efficiency measures in an effort to help offset the increase in energy costs;
- Implemented e-filing system which has thus far resulted in 40% fewer hard copy mailings in docketed cases thereby reducing agency and public expense.

## RECOMMENDED ADJUSTMENTS

### Reductions

- |   |                  |
|---|------------------|
| • Re-estimate Requirements in Various Department Accounts   | <u>2006-2007</u> |
| <i>Reduce Other Expenses by \$58,709 and Equipment \$31,968 based on actual spending and estimated need.</i>              | -90,697          |
| • Eliminate Policy-Related Positions  | -258,100         |
| <i>Reduce two positions and associated funding to avoid duplicative responsibility with the new Department of Energy.</i> |                  |

### Technical Adjustments

- Centralize Business Operations  
*Per PA 05-251, Sec. 60 (c) and 60 (d), the Department of Administrative Services has consolidated personnel, payroll, affirmative action and business office functions for certain state agencies into the department.*

## AGENCY SUMMARY

| <i>Personnel Summary</i>             | 2004-2005<br>Authorized | 2005-2006<br>Estimated | 2006-2007<br>Appropriated | 2006-2007<br>Net<br>Adjustments | 2006-2007<br>Revised<br>Recommended |
|--------------------------------------|-------------------------|------------------------|---------------------------|---------------------------------|-------------------------------------|
| <u>Permanent Full-Time Positions</u> |                         |                        |                           |                                 |                                     |
| Consumer Counsel/Public Utility Fund | 133                     | 136                    | 139                       | -5                              | 134                                 |

Budget Summary

| <i>Financial Summary</i>                     | 2004-2005<br>Actual | 2005-2006<br>Estimated | 2006-2007<br>Appropriated | 2006-2007<br>Net<br>Adjustments | 2006-2007<br>Revised<br>Recommended |
|--|---------------------|------------------------|---------------------------|---------------------------------|-------------------------------------|
| Personal Services                            | 9,525,808           | 10,940,000             | 11,397,000                | -162,000                        | 11,235,000                          |
| Other Expenses                               | 1,714,668           | 1,713,824              | 1,760,824                 | -62,709                         | 1,698,115                           |
| <u>Capital Outlay</u>                        |                     |                        |                           |                                 |                                     |
| Equipment                                    | 107,372             | 143,200                | 145,200                   | -34,988                         | 110,212                             |
| <u>Other Current Expenses</u>                |                     |                        |                           |                                 |                                     |
| Fringe Benefits                              | 4,867,467           | 5,738,171              | 5,992,915                 | -89,100                         | 5,903,815                           |
| Indirect Overhead                            | -37,768             | 146,555                | 72,609                    | 0                               | 72,609                              |
| Nuclear Energy Advisory Council              | 0                   | 2,200                  | 2,200                     | 0                               | 2,200                               |
| TOTAL - Other Current Expenses               | 4,829,699           | 5,886,926              | 6,067,724                 | -89,100                         | 5,978,624                           |
| TOTAL - Consumer Counsel/Public Utility Fund | 16,177,547          | 18,683,950             | 19,370,748                | -348,797                        | 19,021,951                          |



# OFFICE OF THE HEALTHCARE ADVOCATE

## AGENCY PURPOSE

To assist health insurance consumers with plan selections, understanding their rights and responsibilities, appealing denials of service and reimbursement, and accessing services through information, referral and assistance.

To provide information to the public, providers, agencies, and others regarding problems and concerns of health care consumers and make recommendations to resolve those concerns.

## RECENT HIGHLIGHTS

- PA 05-102 changed the name of the Office of the Managed Care Ombudsman to the Office of the Healthcare Advocate (OHA) effective October 1, 2005. The duties and responsibilities remain the same.
- OHA recently re-designed its web site and it is now available through the new state portal.
- The agency updated its complaint management computer system. At the close of FY 05, the agency will have completed communication with 264,000 businesses in the state - providing flyers designed to notify employees of the availability of OHA services.
- The agency conducted outreach & education sessions, and mounted a social marketing campaign entitled, "Now You'll Be Heard."
- OHA participates in the national Health Assistance Partnership and conducted a statewide survey in 2005 to assess consumer attitudes and awareness of rights and responsibilities in health insurance.

## AGENCY SUMMARY

| <i>Personnel Summary</i>             | 2004-2005<br>Authorized | 2005-2006<br>Estimated | 2006-2007<br>Appropriated | 2006-2007<br>Net<br>Adjustments | 2006-2007<br>Revised<br>Recommended |
|--------------------------------------|-------------------------|------------------------|---------------------------|---------------------------------|-------------------------------------|
| <u>Permanent Full-Time Positions</u> |                         |                        |                           |                                 |                                     |
| Insurance Fund                       | 3                       | 4                      | 4                         | 0                               | 4                                   |
| <i>Financial Summary</i>             | 2004-2005<br>Actual     | 2005-2006<br>Estimated | 2006-2007<br>Appropriated | 2006-2007<br>Net<br>Adjustments | 2006-2007<br>Revised<br>Recommended |
| Personal Services                    | 179,971                 | 284,840                | 387,193                   | 0                               | 387,193                             |
| Other Expenses                       | 190,060                 | 91,971                 | 141,971                   | 0                               | 141,971                             |
| <u>Capital Outlay</u>                |                         |                        |                           |                                 |                                     |
| Equipment                            | 0                       | 1,200                  | 1,200                     | 0                               | 1,200                               |
| <u>Other Current Expenses</u>        |                         |                        |                           |                                 |                                     |
| Fringe Benefits                      | 89,529                  | 128,570                | 140,528                   | 0                               | 140,528                             |
| Indirect Overhead                    | 38,091                  | 38,091                 | 19,643                    | 0                               | 19,643                              |
| TOTAL - Other Current Expenses       | 127,620                 | 166,661                | 160,171                   | 0                               | 160,171                             |
| TOTAL - Insurance Fund               | 497,651                 | 544,672                | 690,535                   | 0                               | 690,535                             |



# DEPARTMENT OF CONSUMER PROTECTION

## AGENCY PURPOSE

- To eliminate the hazards of adulterated, contaminated, or unsanitary food products by regulating the manufacture and sale of food products in the State of Connecticut.
- To prevent the diversion of all controlled drugs by regulating the manufacture, distribution and sale of drugs, cosmetics and medical devices.
- To prevent the sale of alcoholic liquor to minors and intoxicated persons and to ensure that licensed premises are safe and sanitary by regulating the distribution, sale, and dispensation of alcoholic liquor.
- To ensure that 205,000 people or businesses in 208 trades and professions are qualified to offer their services and/or products.
- To ensure the accuracy of all weighing and measuring devices to provide a fair and equitable marketplace for both buyer and seller.
- To protect both consumers and businesses from unfair, deceptive or unsafe practices through consumer education, mediation, arbitration and enforcement activities.

## RECENT HIGHLIGHTS

- With the assistance of a federal grant, the agency continued to work with municipal and state police departments on alcohol compliance checks designed to prevent underage drinking. In Fiscal 2004-2005, the agency conducted 471 compliance checks - a 92% increase over the previous year - as a direct result of the federal grant.
- In its continued efforts to protect consumers from unscrupulous home improvement contractors operating in Connecticut, the agency conducted multi-agency sting operations which resulted in the arrests of unregistered home improvement contractors and unprecedented requests for new applications. Furthermore, \$2.1 million in restitution was paid to Connecticut consumers from the Home Improvement Guaranty Fund during Fiscal 2004-2005.
- Legislation was passed regulating the sale of prepaid heating fuel contracts in order to protect consumers from financial loss. The agency responded to numerous consumer complaints pertaining to heating oil and gasoline costs by increasing its enforcement activity in these areas.
- The agency continued expansion and improvement of its specialized educational programs to include the training of 3,500 law enforcement officers, pharmacists and health care providers in public health and safety topics such as the identification of narcotic drugs, the signs and symptoms of drug abusers, new drug laws and prevention of prescription errors. The agency also provided specialized training to more than 500 police officers, retailers and community members on state liquor laws and regulations.
- The agency launched an initiative to disseminate its press releases in Spanish to media outlets and to translate consumer information into Spanish for public dissemination and posting to the website. In total, public speaking engagements, training sessions and appearances totaled 149 this year, directly reaching an additional 5,300 consumers, professionals and law enforcement officials.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Eliminate a Fiscal Administrative Officer Vacant Position

2006-2007

### Technical Adjustments

- Centralize Business Operations -559,927  
*Per Sections 60 (c) and 60 (d) of PA 05-251, the Department of Administrative Services has consolidated personnel, payroll, affirmative action and business office functions for certain state agencies into the department.*
- Transfer Salary Adjustment Funds for Settled Bargaining Units 584,980  
*Necessary funding is transferred from the central Reserve for Salary Adjustment account to agency budgets.*
- Provide Funds for Increased Energy Costs 31,071

### Expansion Adjustments

- Establish Homemaker-Companion Agency Registration 191,230  
*Legislation will require homemaker-companion agencies to register with the department. Funding is provided for four positions in the department to run the program. (Funding for two additional positions is provided in the Department of Public Safety's budget for criminal background checks.)*
- Establish the Child Protection Registry 100,000  
*Parents and schools will be able to register electronic contact points for minor children. Persons will then be prohibited from sending messages to these contact points that advertise any product or service that a minor is prohibited by law from purchasing, viewing, possessing, participating in, or receiving.*

## AGENCY SUMMARY

|                                      | 2004-2005<br>Authorized | 2005-2006<br>Estimated | 2006-2007<br>Appropriated | 2006-2007<br>Net<br>Adjustments | 2006-2007<br>Revised<br>Recommended |
|--------------------------------------|-------------------------|------------------------|---------------------------|---------------------------------|-------------------------------------|
| <b>Personnel Summary</b>             |                         |                        |                           |                                 |                                     |
| <u>Permanent Full-Time Positions</u> |                         |                        |                           |                                 |                                     |
| General Fund                         | 149                     | 143                    | 151                       | -5                              | 146                                 |
| <b>Financial Summary</b>             |                         |                        |                           |                                 |                                     |
|                                      | 2004-2005<br>Actual     | 2005-2006<br>Estimated | 2006-2007<br>Appropriated | 2006-2007<br>Net<br>Adjustments | 2006-2007<br>Revised<br>Recommended |
| Personal Services                    | 8,934,558               | 9,276,348              | 9,629,778                 | 195,843                         | 9,825,621                           |
| Other Expenses                       | 897,235                 | 1,486,051              | 1,516,366                 | 45,011                          | 1,561,377                           |
| <u>Capital Outlay</u>                |                         |                        |                           |                                 |                                     |
| Equipment                            | 0                       | 100                    | 100                       | 6,500                           | 6,600                               |
| <u>Other Current Expenses</u>        |                         |                        |                           |                                 |                                     |
| Child Protection Registry            | 0                       | 0                      | 0                         | 100,000                         | 100,000                             |
| TOTAL - General Fund                 | 9,831,793               | 10,762,499             | 11,146,244                | 347,354                         | 11,493,598                          |



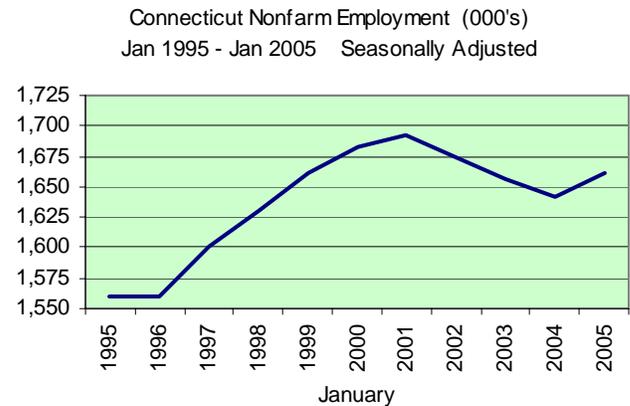
# DEPARTMENT OF LABOR

## AGENCY PURPOSE

The mission of the Department of Labor is to protect and promote the interests of Connecticut's workers and assist workers and employers to be competitive in the global economy.

- The Department accomplishes its mission by providing a variety of services that benefit the workplace. These services include: income support that assists workers between jobs and stimulates the local economy; protection on the job (through regulation of wages, safety and working conditions, and on-site health and safety consultations); work-related training programs; job search and recruitment assistance (through the Connecticut Job Bank and local and regional job fairs); tax credit incentive programs and maintenance of the collective bargaining relationship. As the Connecticut arm of the U.S. Bureau of Labor Statistics, the Department of Labor (DOL) collects, analyzes and disseminates workforce data to inform businesses, the general public, educational institutions, and government planners and policymakers about employment issues and trends.
- The services provided by DOL and the resulting successes of individuals using those services are heavily impacted by Connecticut's unemployment rate. As the chart

indicates, Connecticut's Non-farm Employment peaked in July 2000 at 1,700,200 and bottomed in September 2003 at 1,638,800. Since that time, Connecticut has regained 33,000 of those jobs.



## RECENT HIGHLIGHTS

### *Lean Government Project*

The Lean Government Project has realized its sixth year of implementation within the Department of Labor. Internally, 15 DOL units have experienced Lean training and operate with waste reduction procedures on a daily basis. Approximately 14,200 worker hours have been saved and redeployed to continue to better serve our customers. Lean Government has also helped the department's partners simplify service delivery to our clients, avoiding confusion when an individual seeking job and career services enters one of the *CTWorks* One-Stop Centers. Externally, DOL has contracted with the Board of Education and Services for the Blind (BESB) to reduce the length of time it takes their Adult Services unit staff to deliver low vision aids to their clients statewide. Further Lean training sessions are planned with BESB and other state agencies.

### *Office for Veterans Workforce Development*

Newly renamed and reorganized, *the Office of Veterans' Workforce Development* supports Connecticut's veterans through its staff of Veterans' Employment Representatives located at *CTWorks* Centers, as well as various out-stations throughout the state, including the State Department of Veterans Affairs and the Veterans Administration's hospitals, medical centers and Vocational Rehabilitation & Employment office. The office also provides veterans with the resources and services to succeed in the 21<sup>st</sup> century workforce. Veterans' Employment Representatives also participate in the Transition Assistance Program (TAP) at the Groton submarine base to help men and women leaving the armed forces to transition to civilian careers. The office worked with Connecticut's businesses over the past year to launch a new *Hire Vets First* campaign to promote the "transitioning of

dedicated services to our country into dedicated services for your business."

### *Unemployment Insurance*

Claimants are now able to file initial and continued claims and obtain benefit payment information via the Internet. An average of 25% of these individuals are using the Internet to file. A Spanish version of these Web applications will soon be in place.

### *Team Connecticut*

The Department of Labor was a key member of Team Connecticut, composed of the Governor's Strike Force, the Subbase Realignment Coalition, the congressional delegation, retired military officers, and others. As part of the Strike Force, the Department of Labor was responsible for analyzing the economic impact of the proposed sub base closure on the Connecticut and New England economies. The Agency's efforts not only assisted in saving the SUBASE New London, but also helped secure the long-term Connecticut presence of Electric Boat/General Dynamics as well as hundreds of suppliers and defense-related companies. In all, thousands of jobs were saved. The Department of Labor will continue to play an important role in sustaining and strengthening the economic vitality of our State, identify the workforce needs of our military partners, and support Connecticut's efforts to permanently remove SUBASE New London from further BRAC listings.

### *Enhanced Re-employment Services*

The Enhanced Re-employment Services (ERS) Program identifies unemployment insurance claimants who are likely to exhaust their benefits before securing new employment. By

inducing individuals to return to the workforce before their unemployment benefits run out, and thus forcing them to collect less, DOL ensures that unemployment taxes are lower. In Program Year (PY) 04-05, approximately 15,000 individuals were selected to attend an ERS orientation session covering job search assistance available through the DOL. Many of these individuals were scheduled for subsequent employment and training services to meet their specific needs to further facilitate their return to employment.

**Pilot for Expansion of Enhanced Re-employment Services (PEERS)**

In PY 04-05, the DOL administered a one year pilot program that provided additional services to over 1,200 individuals. Through this program, (PEERS), individuals received one or more Re-employment Eligibility Assessment (REA) services.

**TANF**

The Department of Labor was appropriated \$1,551,544 from an \$11.8 million TANF performance bonus awarded to the State of Connecticut by the U.S. Department of Health and Human Services for being one of the top five states in job placement and retention of TANF recipients.

TANF recipients in CT receive all their job search assistance from the Department of Labor's Jobs First Employment Services (JFES) program. The \$1.5 million was appropriated to the Department of Labor for the two-year period from July 1, 2004 through June 30, 2006 to enhance employment services to JFES participants and for a new Child Care Specialist Apprenticeship program.

**Futures Group**

The *Futures Group* was born from a pilot program called "Lean to Last" which was launched in April 2004. The purpose of the Futures Group is to be the place at DOL where we can meet collectively and create the future of our agency and cultivate an environment in which every individual has the opportunity to be heard and contribute to the quality of services we provide to our customers. Currently, there are four Futures projects in progress, each having three subgroups. The Futures Group intranet website is up and running and features a mission statement, calendar of events, statement of objectives and milestones achieved. The site also features an "Ideas Central" where employees can submit their ideas to any member of the Futures group or directly to the Commissioner.

**RECOMMENDED ADJUSTMENTS**

| <b>Reductions</b>   | <u><b>2006-2007</b></u>         |
|---|---------------------------------|
| <ul style="list-style-type: none"> <li>• Remove Duplicative Funding for Contracted Services in Jobs First Employment Services Program<br/><i>Remove funding previously earmarked for STRIDE as there is a separate line-item funding the program.</i></li> </ul>  | -100,000                        |
| <b>Technical Adjustments</b>  |                                 |
| <ul style="list-style-type: none"> <li>• Transfer Salary Adjustment Funds for Settled Bargaining Units<br/><i>Necessary funding is transferred from the central Reserve for Salary Adjustment account to agency budgets.</i></li> <li>• Transfer SAMA Funds from DOL to OWC<br/><i>Pursuant to section 31 of PA 05-3, the funds are transferred from the Department of Labor to the Office of Workforce Competitiveness.</i></li> <li>• Provide Funds for Increased Energy Costs</li> </ul>   | 330,093<br>-300,000<br>58,976   |
| <b>Expansion Adjustments</b>  |                                 |
| <ul style="list-style-type: none"> <li>• Finance Connecticut Career Resource Network<br/><i>The Connecticut Career Resource Network is the primary resource for Connecticut specific career information for the state's educators.</i></li> <li>• Governor's Jobs Initiative - Expand Apprenticeship Opportunities<br/><i>Develop additional apprenticeship opportunities in occupations such as childcare development specialist, youth development practitioner and in the fields of healthcare, information technology, and advanced manufacturing.</i></li> <li>• Governor's Jobs Initiative - Create 21st Century Jobs Program<br/><i>Matching program that will provide financial incentives and technical assistance for incumbent worker training to businesses.</i></li> </ul> | 150,000<br>250,000<br>1,500,000 |

**AGENCY SUMMARY**

| <b>Personnel Summary</b>             | 2004-2005<br>Authorized | 2005-2006<br>Estimated | 2006-2007<br>Appropriated | 2006-2007<br>Net<br>Adjustments | 2006-2007<br>Revised<br>Recommended |
|--------------------------------------|-------------------------|------------------------|---------------------------|---------------------------------|-------------------------------------|
| <u>Permanent Full-Time Positions</u> |                         |                        |                           |                                 |                                     |
| General Fund                         | 120                     | 120                    | 120                       | 0                               | 120                                 |

Budget Summary

|  | 2004-2005<br>Actual | 2005-2006<br>Estimated | 2006-2007<br>Appropriated | 2006-2007<br>Net<br>Adjustments | 2006-2007<br>Revised<br>Recommended |
|--|---------------------|------------------------|---------------------------|---------------------------------|-------------------------------------|
| <b><i>Financial Summary</i></b>          |                     |                        |                           |                                 |                                     |
| Personal Services                        | 7,111,345           | 7,473,029              | 7,599,623                 | 330,093                         | 7,929,716                           |
| Other Expenses                           | 1,136,048           | 1,097,453              | 1,133,683                 | 58,976                          | 1,192,659                           |
| <br><b><i>Capital Outlay</i></b>         |                     |                        |                           |                                 |                                     |
| Equipment                                | 2,000               | 2,000                  | 2,000                     | 0                               | 2,000                               |
| <br><b><i>Other Current Expenses</i></b> |                     |                        |                           |                                 |                                     |
| Workforce Investment Act                 | 20,306,762          | 27,287,659             | 27,287,659                | 0                               | 27,287,659                          |
| Jobs First Employment Services           | 15,606,547          | 16,188,098             | 16,188,098                | -100,000                        | 16,088,098                          |
| Opportunity Industrial Centers           | 600,000             | 0                      | 0                         | 0                               | 0                                   |
| Individual Development Accounts          | 250,000             | 0                      | 0                         | 0                               | 0                                   |
| STRIDE                                   | 0                   | 150,000                | 150,000                   | 0                               | 150,000                             |
| Apprenticeship Program                   | 0                   | 266,947                | 266,176                   | 250,000                         | 516,176                             |
| Spanish American Merchant Association    | 0                   | 0                      | 300,000                   | -300,000                        | 0                                   |
| Connecticut Career Resource Network      | 0                   | 0                      | 0                         | 150,000                         | 150,000                             |
| 21st Century Jobs Program                | 0                   | 0                      | 0                         | 1,500,000                       | 1,500,000                           |
| TOTAL - Other Current Expenses           | 36,763,309          | 43,892,704             | 44,191,933                | 1,500,000                       | 45,691,933                          |
| TOTAL - General Fund                     | 45,012,702          | 52,465,186             | 52,927,239                | 1,889,069                       | 54,816,308                          |
| <br><b><i>Other Current Expenses</i></b> |                     |                        |                           |                                 |                                     |
| Occupational Health Clinics              | 654,490             | 671,470                | 671,470                   | 0                               | 671,470                             |
| TOTAL - Workers' Compensation Fund       | 654,490             | 671,470                | 671,470                   | 0                               | 671,470                             |
| TOTAL - ALL FUNDS                        | 45,667,192          | 53,136,656             | 53,598,709                | 1,889,069                       | 55,487,778                          |



# OFFICE OF VICTIM ADVOCATE

## AGENCY PURPOSE

- Monitors and evaluates the provision of services to crime victims in Connecticut.
- Advances policies throughout the state that promote the fair and just treatment of victims throughout the criminal justice process.
- Provides oversight and advocacy when the criminal justice system fails crime victims.
- Ensures that the voices of crime victims play a central role in Connecticut's response to violence and those victimized by crime.

## RECENT HIGHLIGHTS

- Collaborated with the Department of Correction's Victim Services Unit and the Department of Mental Health and Addictive Services, to develop policies and procedures for those agencies to provide crime victims with timely notification of any change in status of inmates who are either transferred from prison to the Whiting Forensic Institute or are committed there directly by court order for psychiatric reasons.
- Formally investigated various aspects of several recent domestic violence cases; the death of a victim from injuries sustained in a motor vehicle accident; the policies and procedures utilized by state agencies and other entities providing services to crime victims relating to notification requirements and enforcement of order of restitution to crime victims.
- Proposed several pieces of legislation during the 2005 legislative session to further enhance victim rights. Passage of various Public Acts include affording crime victims enhanced participatory rights in juvenile and youthful offender proceedings; providing victims in harassment cases greater protection from the criminal courts; statutorily establishing a Victim Services Unit within the Department of Correction; and eliminating the 6 year statute of limitations for crime victims seeking a waiver for the time limit to file an application for compensation with the Office of Victim Services.

## AGENCY SUMMARY

| <i>Personnel Summary</i>             | 2004-2005<br>Authorized | 2005-2006<br>Estimated | 2006-2007<br>Appropriated | 2006-2007<br>Net<br>Adjustments | 2006-2007<br>Revised<br>Recommended |
|--------------------------------------|-------------------------|------------------------|---------------------------|---------------------------------|-------------------------------------|
| <u>Permanent Full-Time Positions</u> |                         |                        |                           |                                 |                                     |
| General Fund                         | 3                       | 4                      | 4                         | 0                               | 4                                   |
| <i>Financial Summary</i>             | 2004-2005<br>Actual     | 2005-2006<br>Estimated | 2006-2007<br>Appropriated | 2006-2007<br>Net<br>Adjustments | 2006-2007<br>Revised<br>Recommended |
| Personal Services                    | 155,078                 | 285,905                | 296,821                   | 0                               | 296,821                             |
| Other Expenses                       | 32,732                  | 47,436                 | 51,912                    | 0                               | 51,912                              |
| <u>Capital Outlay</u>                |                         |                        |                           |                                 |                                     |
| Equipment                            | 0                       | 500                    | 500                       | 0                               | 500                                 |
| TOTAL - General Fund                 | 187,810                 | 333,841                | 349,233                   | 0                               | 349,233                             |



# COMMISSION ON HUMAN RIGHTS & OPPORTUNITIES

## AGENCY PURPOSE

- To enforce human rights laws to end illegal discrimination in employment, housing, public accommodations and credit transactions.
- To monitor compliance with state contract compliance laws and with laws requiring affirmative action in the state government.
- To provide education to the public regarding the protections afforded by Connecticut's civil rights laws.

## RECENT HIGHLIGHTS

- Began a reorganization of the agency with a goal of providing more efficient and timely resolution of discrimination complaints.
- Enhanced reliance upon task force methodologies to address institutional and systemic patterns of practice of illegal discrimination.
- During the FY2005, CHRO's mediation efforts resulted in a total of \$2,549,475 in settlements. Additional settlement awards were obtained at the public hearing stage.
- During the 2005 fiscal year, the agency added several new staff to assist in the complaint procedures. It is anticipated that complaints will be resolved quicker and that training opportunities will be increased.
- Members of the agency's Fair Housing Unit have attended investigative training at the U.S. Dept of Housing and Urban Development (HUD) National Fair Housing Training Academy.

## RECOMMENDED ADJUSTMENTS

### Reallocations or Transfers

- Redistribute Workload to Enhance Productivity 2006-2007  
-15,000  
*Allow CHRO to hire an Adjudicator to decide preliminary matters, conduct hearing conferences and supervise negotiations.*

### Technical Adjustments

- Transfer Salary Adjustment Funds for Settled Bargaining Units 45,288  
*Necessary funding is transferred from the central Reserve for Salary Adjustment account to agency budgets.*
- Finance Agency Move Due to Conveyance of land to Charter Oak Health Center 155,000  
*Per Sec. 6 of PA 05-279, the agency is required to move its headquarters. These funds will cover the cost of replacement furniture and equipment, wiring for phones and computers, security, and design for office layout.*
- Compensate Human Rights Referees with Flat Rate Salaries 42,314  
*Funds are added to reflect the proper classification of the referees.*
- Provide Funds for Increased Energy Costs 2,620

## AGENCY SUMMARY

| <b>Personnel Summary</b>             | 2004-2005        | 2005-2006        | 2006-2007        | 2006-2007      | 2006-2007        |
|--------------------------------------|------------------|------------------|------------------|----------------|------------------|
|                                      | Authorized       | Estimated        | Appropriated     | Net            | Revised          |
|                                      |                  |                  |                  | Adjustments    | Recommended      |
| <u>Permanent Full-Time Positions</u> |                  |                  |                  |                |                  |
| General Fund                         | 97               | 98               | 98               | 0              | 98               |
| <u>Financial Summary</u>             |                  |                  |                  |                |                  |
|                                      | 2004-2005        | 2005-2006        | 2006-2007        | 2006-2007      | 2006-2007        |
|                                      | Actual           | Estimated        | Appropriated     | Net            | Revised          |
|                                      |                  |                  |                  | Adjustments    | Recommended      |
| Personal Services                    | 5,333,896        | 6,062,470        | 6,588,935        | 72,602         | 6,661,537        |
| Other Expenses                       | 537,115          | 526,727          | 554,267          | 157,620        | 711,887          |
| <u>Capital Outlay</u>                |                  |                  |                  |                |                  |
| Equipment                            | 767              | 1,000            | 1,000            | 0              | 1,000            |
| <u>Other Current Expenses</u>        |                  |                  |                  |                |                  |
| Martin Luther King, Jr. Commission   | 2,209            | 6,650            | 6,650            | 0              | 6,650            |
| <b>TOTAL - General Fund</b>          | <b>5,873,987</b> | <b>6,596,847</b> | <b>7,150,852</b> | <b>230,222</b> | <b>7,381,074</b> |



# OFFICE OF PROTECTION AND ADVOCACY FOR PERSONS WITH DISABILITIES

## AGENCY PURPOSE

To protect and advance the civil and human rights of people with disabilities by:

- Investigating and exposing patterns of discrimination and abuse of rights.
- Pursuing legal and administrative remedies for violations of rights.
- Providing information, referral, technical assistance and training to help empower individuals and groups to effectively advocate for themselves.
- Working with advocacy groups, service systems and communities to develop effective safeguards against discrimination and abuse.

## RECENT HIGHLIGHTS

- Reached tentative agreement settling litigation concerning rights of mentally ill inmates housed in maximum security environments.
- Prevailed in Federal District Court actions seeking investigative access to special education facility and fatality review records in mental health facilities.
- Investigated or monitored investigations of 1010 allegations of abuse and neglect of adults with mental retardation, 17 of which involved investigating allegations that abuse or neglect led to death.
- Supported activities of Fatality Review Board for Persons with Disabilities, including publication of selected investigation reports.
- Provided information, referrals and advice concerning rights and services to 7,435 people with disabilities and/or family members and associates.
- Provided individual case advocacy to 512 persons with disabilities who experienced difficulties exercising civil and legal rights.
- Provided technical assistance, training and support to 11 community-based disability advocacy organizations.
- Significantly improved usefulness of website, updating and e-publishing self-help guides covering ten specific issue areas; distributed over 5,500 publications.
- Developed new interagency agreement with DMR detailing protocols for investigating and addressing instances of suspected abuse and neglect.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Reduce TACAP Contract Funds 2006-2007  
-10,000  
*Eliminate funding for the Thames Area Citizen Advocacy Program.*
- Reduce General Fund support for Legal Secretary -12,500

### Technical Adjustments

- Centralize Business Operations -207,685  
*Per PA 05-251, Sec. 60 (c) and 60 (d), the Department of Administrative Services has consolidated personnel, payroll, affirmative action and business office functions for certain state agencies into the department.*
- Transfer Salary Adjustment Funds for Settled Bargaining Units 33,886  
*Necessary funding is transferred from the central Reserve for Salary Adjustment account to agency budgets.*

## AGENCY SUMMARY

|                                      | 2004-2005<br>Authorized | 2005-2006<br>Estimated | 2006-2007<br>Appropriated | 2006-2007<br>Net<br>Adjustments | 2006-2007<br>Revised<br>Recommended |
|--------------------------------------|-------------------------|------------------------|---------------------------|---------------------------------|-------------------------------------|
| <b>Personnel Summary</b>             |                         |                        |                           |                                 |                                     |
| <u>Permanent Full-Time Positions</u> |                         |                        |                           |                                 |                                     |
| General Fund                         | 36                      | 33                     | 36                        | -3                              | 33                                  |
| <b>Financial Summary</b>             |                         |                        |                           |                                 |                                     |
|                                      | 2004-2005<br>Actual     | 2005-2006<br>Estimated | 2006-2007<br>Appropriated | 2006-2007<br>Net<br>Adjustments | 2006-2007<br>Revised<br>Recommended |
| Personal Services                    | 2,107,707               | 2,080,774              | 2,303,001                 | -186,299                        | 2,116,702                           |
| Other Expenses                       | 372,669                 | 389,082                | 402,882                   | -10,000                         | 392,882                             |
| <u>Capital Outlay</u>                |                         |                        |                           |                                 |                                     |
| Equipment                            | 950                     | 100                    | 100                       | 0                               | 100                                 |
| TOTAL - General Fund                 | 2,481,326               | 2,469,956              | 2,705,983                 | -196,299                        | 2,509,684                           |





# OFFICE OF THE CHILD ADVOCATE

## AGENCY PURPOSE

The Office of the Child Advocate (OCA) is an independent state agency established in 1995 to protect the civil, legal and special rights of the children of Connecticut and to advance policies throughout the state that promote their well-being and best interests. The mission of the OCA is to oversee the protection and care of Connecticut's children and to advocate for their well-being.

Statutory responsibilities include:

- Evaluating the procedures for and the delivery of services to children by state agencies or other entities that receive public funding.

- Investigating inquiries and complaints regarding children, recommending changes in state policies concerning children and conducting programs of public education.
- Proposing systemic reform through legislative advocacy and formal legal action.
- Reviewing the facilities and procedures at all public and private facilities where children are placed, providing training and technical assistance to children's attorneys.
- Reviewing the number of special needs children in foster or permanent care facilities and recommending changes in placement policies and procedures.
- Serves or designates a person to serve as a member of the child fatality review panel.

## RECENT HIGHLIGHTS

- Responded to almost 2000 calls last fiscal year regarding issues of child welfare. Of those calls, over 300 new cases were opened as ombudsman or investigative cases. Most of the cases involved investigations of abuse and neglect in the management of child protection cases.
- Continued to review and monitor children's care in residential facilities, foster care, group homes, shelters, juvenile justice facilities and safe homes.
- Advocated to create more services and support for individuals with developmental disabilities without mental retardation.
- Addressed certain juvenile justice issues, including over-representation of minorities in the juvenile justice system, status offenders and children with mental health issues.
- The Child Fatality Review Panel continued to review all unexpected and unexplained fatalities of children under the age of 18. Several trends were identified including an increase in suicide, the impact of domestic violence on child death, infant mortality as related to age of mother, and deaths related to motor vehicle accidents.
- Initiated extensive community outreach in the form of trainings and presentations.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

- Transfer Salary Adjustment Funds for Settled Bargaining Units

2006-2007

3,374

*Necessary funding is transferred from the central Reserve for Salary Adjustment account to agency budgets.*

## AGENCY SUMMARY

|                                      | 2004-2005      | 2005-2006      | 2006-2007      | 2006-2007       | 2006-2007           |
|--------------------------------------|----------------|----------------|----------------|-----------------|---------------------|
| <i>Personnel Summary</i>             | Authorized     | Estimated      | Appropriated   | Net Adjustments | Revised Recommended |
| <u>Permanent Full-Time Positions</u> |                |                |                |                 |                     |
| General Fund                         | 8              | 10             | 10             | 0               | 10                  |
| <u>Financial Summary</u>             |                |                |                |                 |                     |
|                                      | 2004-2005      | 2005-2006      | 2006-2007      | 2006-2007       | 2006-2007           |
|                                      | Actual         | Estimated      | Appropriated   | Net Adjustments | Revised Recommended |
| Personal Services                    | 519,827        | 721,171        | 776,443        | 3,374           | 779,817             |
| Other Expenses                       | 63,325         | 120,987        | 128,264        | 0               | 128,264             |
| <u>Capital Outlay</u>                |                |                |                |                 |                     |
| Equipment                            | 0              | 2,500          | 500            | 0               | 500                 |
| <u>Other Current Expenses</u>        |                |                |                |                 |                     |
| Child Fatality Review Panel          | 73,160         | 79,713         | 79,509         | 0               | 79,509              |
| <b>TOTAL - General Fund</b>          | <b>656,312</b> | <b>924,371</b> | <b>984,716</b> | <b>3,374</b>    | <b>988,090</b>      |



# WORKERS' COMPENSATION COMMISSION

## AGENCY PURPOSE

The Workers' Compensation Commission:

- Administers the workers' compensation laws of the State of Connecticut
- Adjudicates and resolves disputes arising from the workers' compensation process
- Promotes safety in the workplace

- Retrains permanently injured employees to enable them to return to the workforce
- Educates employees and employers on their rights and responsibilities under the law
- Reviews applications for managed care plans
- Certifies self-insurance applications

## RECENT HIGHLIGHTS

### *Increased Efficiency in Adjudicating Claims*

Due to the ever-increasing number of hearing requests, the Commission's focus on expediting disputed claims proves to be an ongoing challenge and demands vigilant control over the docketing process. The Commission strives to resolve claimant's contested issues at the lowest hearing level in a timely and expeditious manner and continues to docket informal hearings within four weeks of the initial hearing request. This intervention involves the Commission at the earliest point possible to ensure that the rights of both employees and employers are protected.

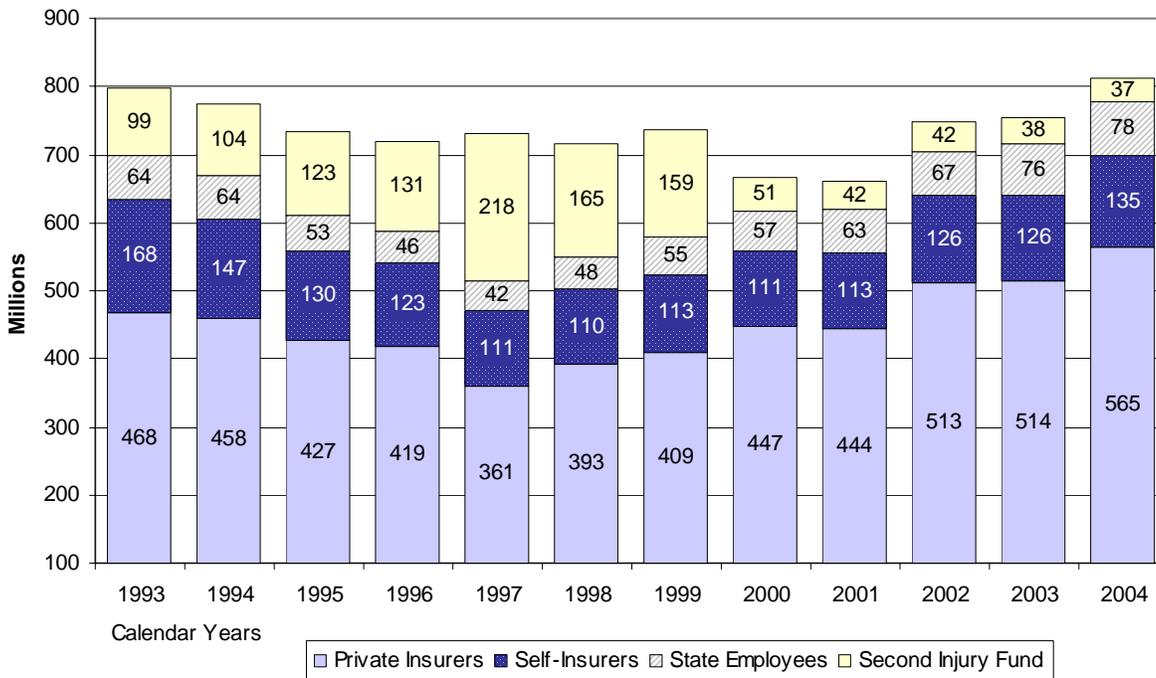
The Commission is pleased that the policies that have been implemented over the years have resulted in a more timely resolution of disputed matters such that 90% of all claims are

resolved at the informal or pre-formal stage of the hearing process.

### *Electronic Transfer of First Reports of Injury*

In an effort to ensure the most timely processing of a work-related injury as required by C.G.S. § 31-316, the Commission has partnered with DOIT to improve its ability to process employers' First Reports of Injury. This new web-based system will enable the Commission to electronically receive and process an employer's notification of injury so that an employee will receive essential information needed to process a claim from the Commission. The new system will provide cost efficiencies and speedier access to insurance providers and employers.

Workers' Compensation Payouts



## RECOMMENDED ADJUSTMENTS

## Reductions

|                            |                  |
|----------------------------|------------------|
|                            | <u>2006-2007</u> |
| • Reduce Other Expenses    | -100,000         |
| • Reduce Personal Services | -97,050          |
| • Reduce Fringe Benefits   | -73,652          |

## AGENCY SUMMARY

| <i>Personnel Summary</i>             | 2004-2005<br>Authorized | 2005-2006<br>Estimated | 2006-2007<br>Appropriated | 2006-2007<br>Net<br>Adjustments | 2006-2007<br>Revised<br>Recommended |
|--------------------------------------|-------------------------|------------------------|---------------------------|---------------------------------|-------------------------------------|
| <u>Permanent Full-Time Positions</u> |                         |                        |                           |                                 |                                     |
| Workers' Compensation Fund           | 132                     | 133                    | 133                       | -2                              | 131                                 |
| <br>                                 |                         |                        |                           |                                 |                                     |
| <i>Financial Summary</i>             | 2004-2005<br>Actual     | 2005-2006<br>Estimated | 2006-2007<br>Appropriated | 2006-2007<br>Net<br>Adjustments | 2006-2007<br>Revised<br>Recommended |
| Personal Services                    | 7,881,248               | 8,773,658              | 9,016,370                 | -97,050                         | 8,919,320                           |
| Other Expenses                       | 2,397,100               | 2,273,597              | 2,773,547                 | -100,000                        | 2,673,547                           |
| <u>Capital Outlay</u>                |                         |                        |                           |                                 |                                     |
| Equipment                            | 12,638                  | 289,000                | 51,250                    | 0                               | 51,250                              |
| <u>Other Current Expenses</u>        |                         |                        |                           |                                 |                                     |
| Criminal Justice Fraud Unit          | 467,769                 | 530,837                | 530,837                   | 0                               | 530,837                             |
| Rehabilitative Services              | 2,033,651               | 2,061,704              | 2,061,704                 | 0                               | 2,061,704                           |
| Fringe Benefits                      | 4,161,265               | 5,320,506              | 5,534,084                 | -73,652                         | 5,460,432                           |
| Indirect Overhead                    | 920,577                 | 725,855                | 338,613                   | 0                               | 338,613                             |
| TOTAL - Other Current Expenses       | 7,583,262               | 8,638,902              | 8,465,238                 | -73,652                         | 8,391,586                           |
| TOTAL - Workers' Compensation Fund   | 17,874,248              | 19,975,157             | 20,306,405                | -270,702                        | 20,035,703                          |