

REGULATION AND PROTECTION

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DEPARTMENT OF PUBLIC SAFETY

AGENCY DESCRIPTION

The Department of Public Safety is committed to providing for the protection of the public by efficient and effective utilization of resources through education, prevention, technology and enforcement activities.

Objectives of this agency include: to fairly and impartially enforce state and federal laws and regulations; to enhance community services and relations through Cooperative Policing; to maintain a progressive working environment of career development and equal opportunities; to achieve the highest level of professionalism, ethics and standards; to foster mutual and collaborative efforts among the various disciplines both inside and outside the Department and to acquire and maintain effective and efficient technology and facilities which provide a quality work environment.

Energy Conservation Statement

The Department of Public Safety has a stated energy conservation goal to reduce its energy consumption from the previous year's level. Planned conservation activities include upgrading of HVAC and hot water systems, including replacement of steam traps, making building envelope improvements, participating in utility sponsored conservation programs and ISO-New England demand response programs. Plans also include the use of energy efficient lighting technologies, centralized energy management systems, and using Energy Star equipment. These plans are a continuation of activities undertaken in the previous two fiscal years.

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RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2007-2008</u>	<u>2008-2009</u>
• Transfer Equipment to CEPF	-1,611,720	-1,487,807
• Remove or Limit Inflation	-524,326	-1,052,757
• Utilize FY2007 Carryforward for One-time Expenditure <i>Carryforward funds for helicopter maintenance costs.</i>	-535,000	0
• Reduce Overtime Costs for Dispatchers <i>Six additional dispatchers are expected to create a savings in overtime costs for the Department.</i>	-150,215	-158,913

Reallocations or Transfers

• Reallocate Funding to Department of Motor Vehicles for Weigh Station Personnel Consolidation <i>Eleven Vehicle Weight and Safety Inspectors are transferred to DMV in order to consolidate all weigh station operations. 21 troopers currently working truck inspections will be redeployed to address State Police responsibilities.</i>	-511,982	-542,701
• Redeploy Troopers from DEMHS' Terrorism Prevention Unit <i>Funding is reallocated from DEMHS to DPS as expenses for 13 troopers will no longer be reimbursed by DEMHS. These troopers will be redeployed to address State Police responsibilities.</i>	640,820	611,915
• Add Three Mechanics for Fleet Operations <i>Costs for the positions are offset through savings in vehicle maintenance contract costs.</i>	0	0

Revenues

• Increase Fees for Various Building Safety Licenses and Permits <i>These fee increases are expected to generate \$1.1 million in FY2008 and \$700,000 thereafter.</i>	0	0
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New or Expanded Services

	<u>2007-2008</u>	<u>2008-2009</u>	<u>2009-2010</u>
• Add 6 Dispatchers at 5 Troops <i>Six dispatchers are added as follows; one position for Troop A, two positions for Troop B, one position for Troop D, one position for Troop I, and one position for Troop L. A savings in overtime is expected and the associated reduction has been reflected.</i>	256,638	272,036	288,358
• Provide Funding for 5 Durational Positions to Address the DNA Backlog <i>Five durational positions are continued in the biennium to address the DNA backlog that is expected to take another two years to eliminate. Funding is not needed beyond FY2009.</i>	338,755	346,425	0
• Add 3 Positions for Various CJIS Related Needs <i>Two positions are added to provide technical support to the Computerized Criminal History (CCH) database and LiveScan devices in the field. Also, the addition of these positions will allow for back-up of the COLLECT system. The third position will serve as the overseer of State Police Bureau of Investigation and its projects.</i>	180,426	189,447	198,919
• Implement Recommendations of the Governor's Solid Waste Hauling Advisory Group <i>Two positions are added to manage the daily activities of the solid waste hauling authority.</i>	117,889	123,784	129,973
• Add Funding for a Second Trooper Training Class in FY2008 <i>Provide additional Other Expenses costs in order for DPS to conduct a second Trooper Training class.</i>	200,000	0	0
• Redeploy 13 Troopers Currently in DEMHS' Terrorism Prevention Unit <i>Provide funding for trooper costs that will no longer be reimbursed by DEMHS as these troopers will be redeployed within DPS to address State Police responsibilities.</i>	760,581	789,486	789,486

AGENCY PROGRAMS

Personnel Summary

Permanent Full-Time Positions	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	1,676	80	10	1,766	1,766	1,769	1,766	1,769
Federal Contributions	9	0	0	9	9	9	9	9
Private Funds	49	6	6	61	61	61	61	61

Other Positions Equated to Full Time	2005-2006	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	Actual	Estimated	Requested	Recommended	Requested	Recommended
General Fund	6	6	6	6	6	6
Private Funds	8	8	8	8	8	8

Agency Programs by Total Funds

(Net of Reimbursements)	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Police Services	137,349,082	142,002,527	147,937,270	142,679,425	142,347,404	144,526,961	141,736,588	141,506,828
Fire & Building Services	23,458,974	24,244,769	24,835,511	24,471,911	24,391,603	24,961,406	24,731,406	24,645,077
Division of Scientific Services	8,117,482	7,964,885	8,119,012	8,119,012	8,025,973	8,975,383	8,395,411	8,294,642
Protective Services	11,022,061	11,537,630	1,575,203	1,573,843	1,552,488	1,613,472	1,583,472	1,560,936
Administrative and Management Services	20,063,286	21,870,936	26,226,465	23,507,907	23,196,496	28,872,906	24,589,670	24,119,979
TOTAL Agency Programs - All Funds Gross	200,010,885	207,620,747	208,693,461	200,352,098	199,513,964	208,950,128	201,036,547	200,127,462
Less Turnover	0	0	-1,000,000	-2,000,000	-2,000,000	-1,000,000	-2,000,000	-2,000,000
TOTAL Agency Programs - All Funds Net	200,010,885	207,620,747	207,693,461	198,352,098	197,513,964	207,950,128	199,036,547	198,127,462

Summary of Funding	2005-2006	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
General Fund Net	150,624,062	167,853,649	179,149,081	169,807,718	168,969,584	181,020,131
Federal and Other Activities	15,844,761	11,853,638	1,493,114	1,493,114	1,493,114	1,532,502
Bond Fund	3,832,632	0	0	0	0	0
Private Funds	29,709,430	27,913,460	27,051,266	27,051,266	25,397,495	25,397,495
TOTAL Agency Programs - All Funds Net	200,010,885	207,620,747	207,693,461	198,352,098	197,513,964	207,950,128

POLICE SERVICES

Statutory Reference

Sections 29-4, 29-5, 29-22, 29-7c, 29-18a, 29-28 through 29-37, 29-153 through 29-161c, 29-165 through 29-179 and 29-23

Statement of Need and Program Objectives

To deliver law enforcement services on limited access highways and for the 81 towns without organized police departments; to increase the rate of cleared criminal cases by conducting investigations in State Police jurisdictions or when assisting local, state and federal agencies in major case investigations and to increase the safety of Connecticut highways by improving the safe, orderly flow of traffic through selective enforcement actions in identified problem areas.

Program Description

TRAFFIC (OFFICE OF FIELD OPERATIONS)

The Connecticut State Police provide primary police service to 81 of Connecticut's 169 towns, which encompass 55% of the state's geographical area. Additionally, the State Police patrol 600 miles of limited access highways, 7,000 miles of state and local roads and render assistance to local police departments upon request. Police service is delivered by 12 troops strategically located and organized into three districts.

INVESTIGATIVE SERVICE

Criminal Investigation Units are strategically located in three districts to be available 24 hours a day to investigate crimes

which occur in the 81 towns without organized police departments. These units investigate and coordinate investigations in conjunction with other state police units and local, state and federal agencies. Crimes investigated include, but are not limited to homicide, assault, bank robbery, rape, kidnapping, arson, escape, burglary and larceny. They also assist local police departments upon request.

SUPPORT EMERGENCY SERVICES UNIT

Provides specialized support to State and Local Police Agencies in seven areas:

The Hazardous Devices Unit renders safe, transports and stores components as evidence of: improvised explosive devices, conventional explosives or incendiary devices. This unit also handles explosive chemical mixtures and provides instruction and training to law enforcement agencies on bomb recognition and bomb threat management.

The Dive Team performs underwater searches for evidence, drowning victims or underwater hazards. The Dive Team also has surface supply air capability, side scan search capability and underwater photography and metal detection.

The Canine Unit administers, trains and selects the department canine teams. Besides training CSP personnel the unit provides training for most local law enforcement agencies with canine programs.

The Tactical Unit selects, trains and equips members to respond to situations such as hostage standoffs, armed barricaded individuals and high risk warrants. Members of the unit are

trained in the use of less lethal munitions, chemical munitions and specialized weapons to respond to crisis situations.

The Hazmat & Radiological Response Unit maintains radiological monitoring devices to be used in the event of a radiological release. The unit is also trained to perform police functions such as evidence collection and sampling in the event of a suspected chemical agent or biological agent release.

The Aviation Unit maintains and operates three fixed wing aircraft and one Bell 407 Helicopter. The aircraft are used for traffic enforcement, prisoner transport, photographic missions and aerial surveillance. The helicopter is also capable of video

monitoring, thermal imaging and assisting with fire fighting in remote areas using a 180-gallon "Bambi Bucket." The Aviation Unit also performs marijuana eradication missions and conducts search and rescue missions for wanted or missing persons.

Rentschler Field - This stadium was constructed in East Hartford and is the primary site used to host home games played by the University of Connecticut football team and other outside events/functions. At the direction of the Office of Policy and Management, the Office of Field Operations established departmental procedures regarding security measures and law enforcement operations for this facility.

<i>Program Measure</i>	<u>2005-2006</u> <u>Actual</u>	<u>2006-2007</u> <u>Estimated</u>	<u>2007-2008</u> <u>Projected</u>	<u>2008-2009</u> <u>Projected</u>
Police Traffic Services				
Accident ratio	1:50:194	1:50:194	1:50:194	1:50:194
Investigative Services				
Crimes against persons (% cleared)	82.9	82.9	75.4	75.4
Crimes against property (% cleared)	21.5	21.5	24.0	24.0
Support Services				
Assigned fleet <70,000 miles patrol	240	240	500	500
Assigned fleet <70,000 miles under cover	250	250	250	250
License/permits Issued within 45 days (%)	93	93	93	93
In-service training (avg hrs/trooper)	30	30	30	30

<i>Personnel Summary</i>	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	1,417	48	10	1,475	1,475	1,476	1,475	1,476
Private Funds	31	2	-2	31	31	31	31	31

<i>Other Positions Equated to Full Time</i>	2005-2006	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	4	4	4	4	4	4
Private Funds	8	8	8	8	8	8

<i>Financial Summary</i>	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
<i>(Net of Reimbursements)</i>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	93,126,542	104,597,020	104,941,140	103,699,325	105,761,369	105,888,728	104,551,958	106,634,580
Other Expenses	24,975,029	26,336,332	27,467,098	27,567,098	26,797,149	27,517,528	27,617,528	26,818,005

<i>Capital Outlay</i>	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
Equipment	0	0	5,727,850	1,611,820	100	3,041,510	1,487,907	100

<i>Other Current Expenses</i>	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
COLLECT	0	51,500	52,581	52,581	51,500	53,685	53,685	51,500
Urban Violence Task Force	0	300,000	315,000	315,000	308,700	330,750	330,750	318,018

<i>Pmts to Local Governments</i>	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
SNTF Local Officer Incentive Program	0	238,800	243,815	243,815	238,800	248,935	248,935	238,800
TOTAL-General Fund	118,101,571	131,523,652	138,747,484	133,489,639	133,157,618	137,081,136	134,290,763	134,061,003

<i>Additional Funds Available</i>	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
Bond Fund	3,380,666	0	0	0	0	0	0	0
Private Funds	11,668,289	9,846,165	8,898,076	8,898,076	8,898,076	7,154,115	7,154,115	7,154,115

<i>Federal Contributions</i>	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
16302 Law Enf Asst-FBI Field Police Training	243,148	91,000	0	0	0	0	0	0
16320 Services for Trafficking Victims	39,333	0	0	0	0	0	0	0
16554 National Criminal History Improvement Pgm	224,122	0	0	0	0	0	0	0
16579 Byrne Formula Grant Program	766,531	331,710	81,710	81,710	81,710	81,710	81,710	81,710
16710 Public Safety Partshp & Comm Policing	667,086	0	0	0	0	0	0	0
16733 Nat'l Incident Based Reporting Syst	32,640	0	0	0	0	0	0	0
20600 State & Community Highway Safety	1,998,469	100,000	100,000	100,000	100,000	100,000	100,000	100,000
21705 Offender Database Project(FED)	49,173	0	0	0	0	0	0	0

Budget-in-Detail

97004 State Domestic Preparedness Equipment	4,855	0	0	0	0	0	0	0
99125 Other Federal Assistance	173,199	110,000	110,000	110,000	110,000	110,000	110,000	110,000
TOTAL - All Funds	137,349,082	142,002,527	147,937,270	142,679,425	142,347,404	144,526,961	141,736,588	141,506,828

FIRE AND BUILDING SERVICES

Statutory Reference

Title 28, Chapters 517, 518, 518a, and 518b; Title 29, Chapters 531, 532, 538, 538a, 539, 540 and 541

Statement of Need and Program Objectives

To promote safety and prevent loss of life, injury and damage by adopting, promulgating, amending and administering safety codes and standards; by training all municipal fire and building officials and by performing a variety of investigative and licensing activities. To improve emergency communications systems to expedite the delivery of emergency medical, fire and police services.

Program Description

The division is comprised of the Office of State Fire Marshal, the Office of State Building Inspector, the Office of Statewide Emergency Telecommunications and the Office of Education & Data Management.

The division develops, publishes and administers a broad range of codes, standards and agency regulations intended to protect the public from natural or technological failure or disaster. The division has oversight of emergency telecommunications, including radio frequency allocation for public safety and emergency services; oversight of the Enhanced 9-1-1 system training and certification of emergency dispatchers and licensing/certification of local code officials. Goals are accomplished through a comprehensive program of education and enforcement that includes the activities of planning, inspecting, licensing and investigating and through the education of the public and the training of local officials. In concert, technical assistance is provided on a daily basis to municipal fire marshals and building officials.

OFFICE OF STATE FIRE MARSHAL

Responsible for the statutory requirements dealing with the protection of life and property from the harmful effects of fire,

explosion and mechanical failure. The office, headed by the Deputy State Fire Marshal, consists of three bureaus, all dealing with mandated fire prevention or safety statutes.

OFFICE OF STATE BUILDING INSPECTOR

Promulgates and administers the provisions of the Connecticut State Building Code and applicable standards. It is responsible for issuing building permits, performing plan reviews, conducting inspections and issuing certificates of occupancy for all large-scale state owned construction projects to ensure code compliance. It provides technical assistance to state agencies and municipal enforcement officials as well as architects, engineers, design professionals and construction industry representatives; provides the public with code interpretations; conducts plan reviews and issues approvals of new materials and systems and grants code modifications and handicap waivers. In addition, the office provides training, testing and licensing of all municipal building officials, assistant building officials and technical assistants.

OFFICE OF STATEWIDE EMERGENCY TELECOMMUNICATIONS

The office is charged with the responsibility for the development of a master plan for emergency telecommunications within the State of Connecticut. It coordinates with area states as well as the FCC and acts as a liaison with the public safety community to ensure that their needs are addressed.

OFFICE OF EDUCATION AND DATA MANAGEMENT

Carries out the training mandates of the Office of the State Building Inspector, the Office of State Fire Marshal and the Office of Statewide Emergency Telecommunications. The office consists of the Bureau of Administration and the Bureau of Education.

<i>Program Measure</i>	<u>2005-2006</u> <u>Actual</u>	<u>2006-2007</u> <u>Estimated</u>	<u>2007-2008</u> <u>Projected</u>	<u>2008-2009</u> <u>Projected</u>
Office of State Fire Marshal				
Percent-Annual Inspections Completed				
State Buildings (%)	20	33	33	33
Amusement Rides (%)	100	100	100	100
Tents (%)	100	100	100	100
Percent of training requests of local governments (%)	100	100	100	100
Percent of Building plans & specifications reviewed within 30 days (%)	80	80	80	80
Percent of fire code modification requests reviewed within 45 days (%)	90	90	90	90
Office of State Building Inspector				
Elevators (%)	75	75	75	75
Boilers (%)	100	100	100	100
Percent of permitted "buildings" inspected (%)	100	100	100	100
Percent of building inspected (%)	100	100	100	100
Percent of building plans & specifications reviewed within 30 days (%)	70	70	70	70
Office of Education and Data Management				

Career Development - trained	4,532	4,500	4,550	4,600
Conferences attendees	802	800	850	875
Building Official Pre-licensure class attendees	30	60	60	45
Fire Official Pre-certification class attendees	90	90	60	45
Contractor and Trades Professionals education	630	630	630	630
Telecommunicator Training class attendees	200	200	220	220
Fire Reports	461,491	461,491	470,500	470,600
Burn Reports	265	265	270	275
Test Administration	1,980	2,000	2,020	2,030
Office of Statewide Emergency Telecommunications				
Percent of towns submitting updated maps	59	80	90	90
Percent of PSAPs submitting call reports	64	75	85	95
Percent of PSAPs updated to new software	100	50	50	50

Personnel Summary

	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	81	6	0	87	87	87	87	87
Private Funds	18	4	8	30	30	30	30	30

Financial Summary

	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
<i>(Net of Reimbursements)</i>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	5,372,361	6,074,199	6,213,287	6,213,287	6,135,004	6,380,379	6,380,379	6,296,229
Other Expenses	101,123	103,275	105,434	105,434	103,409	107,647	107,647	105,468
<u>Capital Outlay</u>								
Equipment	0	0	363,600	0	0	230,000	0	0
TOTAL-General Fund	5,473,484	6,177,474	6,682,321	6,318,721	6,238,413	6,718,026	6,488,026	6,401,697
<u>Additional Funds Available</u>								
Private Funds	17,985,490	18,067,295	18,153,190	18,153,190	18,153,190	18,243,380	18,243,380	18,243,380
TOTAL - All Funds	23,458,974	24,244,769	24,835,511	24,471,911	24,391,603	24,961,406	24,731,406	24,645,077

Fire & Building Services**DIVISION OF SCIENTIFIC SERVICES***Statutory Reference*

Section 29-7b

Statement of Need and Program Objectives

To improve the quality and quantity of services provided to federal, state and local law enforcement agencies and the citizens of Connecticut through existing and newly developed scientific and technical procedures. To provide investigative leads through the timely examination of evidence, the reconstruction of crimes and expert testimony to aid in the arrest, conviction, or clearance of a suspect.

Program Description

The Division of Scientific Services is comprised of the Forensic Science Laboratory, Computer Crime and Electronic Evidence Laboratory and the Controlled Substance and Toxicology Laboratory.

THE FORENSIC SCIENCE LABORATORY

Includes Criminalistics, Identification, DNA and Crime Scene Reconstruction Units.

The Criminalistics Unit includes Forensic Biology, Trace Evidence, Arson and Chemistry. The Forensic Biology Section identifies biological fluids and determines which samples should undergo full DNA analysis. The Trace Section examines and compares paint, hairs, fibers, glass, soil, tape, light bulbs, cordage, and white powders, and identifies unknown materials. In addition, the Trace Section maintains an extensive Automotive Paint database dedicated to providing investigative leads in evading motor vehicle cases. The Chemistry Section is responsible for the analysis and identification of Gunshot Residue and explosive

materials. The Arson Section examines fire debris for the identification of suspected accelerants.

The DNA Unit is divided into three sections: Nuclear DNA examiners conduct analyses to obtain DNA profiles from evidentiary materials. The DNA Database Section maintains the Convicted Offender DNA Database (CODIS), as well as conducting evidence analysis from criminal cases with no known suspect. DNA profiles from these cases are entered into CODIS to identify possible suspects. The Mitochondrial DNA Section is one of four designated regional laboratories nationwide partnered with the FBI. This section analyses and compares mitochondrial DNA materials from samples in which nuclear DNA is either not present, or too degraded for analysis, e.g. hair or bones.

The Identification Unit consists of the Firearms, Tool marks, Questioned Documents, Latent Prints and Imprints Sections. The Firearms Section examines all firearms evidence and conducts test fires of all guns scheduled for destruction. All test fires are entered into the firearms database. The Firearms Section conducts all toolmarks examinations. The Latent Print Section processes evidentiary materials to develop latent prints. Latent prints developed and those recovered from crime scenes are compared to inked prints or entered into the fingerprint database. The Questioned Documents Section conducts examinations of handwriting, indented writing and all forms of printing. In addition, the Special Revenue Section examines all Connecticut Lottery games, conducting analyses on the integrity of the various gaming tickets, prior to general release. The Imprint Section conducts examinations and comparison of all three-dimensional prints, such as footwear and tire tracks, related to criminal cases.

Budget-in-Detail

The Crime Scene Reconstruction Unit employs scientific techniques and all available information to establish potential methods in which a crime was perpetrated in an attempt to reconstruct the occurrence.

THE COMPUTER CRIME AND ELECTRONIC EVIDENCE LABORATORY

Provides analysis of computer and electronic evidence, forensic data analysis, computer and Internet-based investigations and training to law enforcement, prosecutors and parents and children. Recently added to this Laboratory were the Image Enhancement and Forensic Photography Sections. The Image Enhancement Section employs state-of-the-art equipment to enhance video information related to criminal activity. Forensic Photography employs high tech digital photographic processing equipment to expedite photographic services for the law enforcement.

CONTROLLED SUBSTANCE AND TOXICOLOGY LABORATORY

Analyzes drug evidence and unknown substances submitted by law enforcement personnel, other state and federal agencies.

The major function of the Toxicology Section is body fluid analysis; in cases of DUI, blood specimens yield excellent results for alcohol, tranquilizers and sedatives, while urine specimens yield excellent results for stimulants and narcotics. Controlled Substance Section personnel provide analytical support to law enforcement agencies to identify and quantitate drug seizures. The most commonly analyzed substances are marijuana, cocaine, MDMA, heroin, prescription and designer drugs. Some Section personnel also accompany the DEA (U.S. Drug Enforcement Administration) on raids of elicit/ clandestine drug labs, providing technical support in the examination and analysis of the site for chemical and physical hazards, methods of chemical synthesis, and the analysis of source chemicals and finished product.

<i>Program Measure</i>	<u>2005-2006</u> <u>Actual</u>	<u>2006-2007</u> <u>Estimated</u>	<u>2007-2008</u> <u>Projected</u>	<u>2008-2009</u> <u>Projected</u>
Criminalistics				
Biology	850	782	780	780
Trace/Chemistry	474	474	490	490
DNA				
Convicted Offender Submissions (CODIS)	16,750	10,000	10,000	10,000
Nuclear DNA	974	1,120	1,250	1,400
No Suspect DNA	350	403	450	500
Mitochondrial DNA	16	50	75	100
Identification				
Questioned Documents/Special Revenue	230	220	220	220
Imprints	18	20	22	24
Firearms - Cases	1,753	1,928	2,100	2,200
Firearms - Destruction Guns	925	1,100	1,300	1,500
Latent Prints	1,523	1,530	1,530	1,530
Photography	12,000	13,000	14,000	15,000
Reconstruction	26	21	25	25
Forensic Laboratory - Total Case Requests	35,889	30,648	32,242	33,769
Computer Crimes and Electronic Laboratory				
Imaging/Acquisition	3	3	3	3
Data Analysis	141	165	175	175
Data Restoration	4	5	5	5

<i>Personnel Summary</i>	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	68	11	0	79	79	79	79	79
Federal Contributions	9	0	0	9	9	9	9	9

<i>Other Positions Equated to Full Time</i>	2005-2006	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	1	1	1	1	1	1

<i>Financial Summary</i> <i>(Net of Reimbursements)</i>	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	4,913,522	5,844,266	6,027,405	6,027,405	5,951,464	6,246,755	6,246,755	6,164,367
Other Expenses	857,239	872,691	890,203	890,203	873,105	907,864	907,864	889,483

<i>Capital Outlay</i>	2005-2006	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
Equipment	0	0	0	0	579,972	0

TOTAL-General Fund	5,770,761	6,716,957	6,917,608	6,917,608	6,824,569	7,734,591	7,154,619	7,053,850
<u>Additional Funds Available</u>								
Bond Fund	169,228	0	0	0	0	0	0	0
Private Funds	36,324	0	0	0	0	0	0	0
Federal Contributions								
16301 Law Enf Asst-FBI Crime Lab Support	921,726	954,628	989,176	989,176	989,176	1,025,450	1,025,450	1,025,450
16542 Nat'l Institute Juv Just Del Prevntn	337,511	150,000	150,000	150,000	150,000	150,000	150,000	150,000
16560 National Inst Justice Rsrch, Eval Dev Prj	709,283	143,300	62,228	62,228	62,228	65,342	65,342	65,342
16579 Byrne Formula Grant Program	52,989	0	0	0	0	0	0	0
21660 NIJ Sperm Isolation Grant	10,687	0	0	0	0	0	0	0
21705 Offender Database Project(FED)	108,973	0	0	0	0	0	0	0
TOTAL - All Funds	8,117,482	7,964,885	8,119,012	8,119,012	8,025,973	8,975,383	8,395,411	8,294,642
Division of Scientific Services								

PROTECTIVE SERVICES

Statutory Reference

Section 29-5f

Program Description

The Office of Protective Services contains the Governor's Security Unit and the Dignitary Protection Unit.

Governor's Security Unit – This unit is comprised of troopers who staff a security operational center at the Governor's Residence on a 24 hour-a-day basis. Unit members also provide personal protection for the Governor in her office at the Capitol and at all other times.

Dignitary Protection Unit – This unit offers security support assistance to visiting dignitaries and/or their protective details.

Personnel Summary

	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
General Fund	15	0	0	15	15	15	15	15

Financial Summary

(Net of Reimbursements)	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	1,296,199	1,337,129	1,342,926	1,342,926	1,326,006	1,349,358	1,349,358	1,331,562
Other Expenses	224,696	227,501	230,917	230,917	226,482	234,114	234,114	229,374

Capital Outlay

Equipment	0	0	1,360	0	0	30,000	0	0
TOTAL-General Fund	1,520,895	1,564,630	1,575,203	1,573,843	1,552,488	1,613,472	1,583,472	1,560,936

Additional Funds Available

Private Funds	12,340	0	0	0	0	0	0	0
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Federal Contributions

16579 Byrne Formula Grant Program	11,106	0	0	0	0	0	0	0
20605 Safety Incentives to Prevent Operation	10,881	0	0	0	0	0	0	0
21585 FY03/05 ST Homeland Security	7,168,435	8,773,000	0	0	0	0	0	0
21706 SATTf-Local Level(FED)	19,713	0	0	0	0	0	0	0
83563 Emergency Operations Centers	224,656	0	0	0	0	0	0	0
97067 Homeland Security Grant Program	2,054,035	1,200,000	0	0	0	0	0	0
TOTAL - All Funds	11,022,061	11,537,630	1,575,203	1,573,843	1,552,488	1,613,472	1,583,472	1,560,936

Protective Services

ADMINISTRATIVE AND MANAGEMENT SERVICES

Statutory Reference

Sections 29-4, 29-7b, 29-11, 29-28, 29-145, and 29-153

Statement of Need and Program Objectives

To provide support services to the Department of Public Safety.

Program Description

The Office of Administrative Services is divided into three main sections, which are comprised of Training and Support Services, Technology and Telecommunication Resources and Infrastructure Planning and Facilities Management. The overall

mission of the Office of Administrative Services is to provide support to all aspects of the Department of Public Safety including the Divisions of Scientific Services and Fire, Emergency and Building Services. As the agency continues to grow, one of the primary responsibilities is that of enhanced information technology systems. Currently personnel assigned to the Field Technology and Criminal Justice Information Systems sections evaluate, develop, install and maintain all information management and technological computer systems within the department. The office also provides such services as selections and training, background investigations, facilities management, fleet administration, grant administration and management,

Budget-in-Detail

crimes analysis, telecommunications, and regulatory functions such as special licensing firearms permits and sex offender registrations.

TECHNOLOGY AND TELECOMMUNICATION RESOURCES

Significant improvements intended to fully utilize state-of-the-art technology are being developed utilizing bond and grant funds. The resultant systems are expected to serve the agency and the entire criminal justice community with efficient methods for shared data. Improvements in the technology applications are directly related to the future success of the agency's cooperative policing philosophy. Most recently, the agency has implemented a new Computer Aided Dispatch and Records Management System with Mobile Data terminals in every patrol car and the implementation of electronic reporting from Troopers in the field directly to their supervisors. The Computer Services Unit is responsible for the overall procurement, implementation and repair of all agency IT resources. The new computer aided dispatch and records management system (CAD/RMS) captures dispatch data from the time of the call, processes National Incident-Based Reporting System data and provides for improved analysis of incident trends.

The agency is also in the midst of enhancing the Connecticut Telecommunications System (CTS), a comprehensive wireless statewide interoperable communication system. The CTS unit is responsible for the management of the communications system, including the statewide microwave backbone. The DPS Communications Center is a 24-hour facility that has the ability to facilitate communications during any major event, to include statewide interoperability, through ITAC/ICALL and other means. The Center is also responsible for the State's AMBER Alert system, utilizing the Emergency Broadcast System's Network to broadcast the statewide alert.

INFRASTRUCTURE PLANNING AND FACILITIES MANAGEMENT UNIT

Maintains, repairs and oversees the renovation all department

buildings and facilities. It also assists the Commissioner and the Department of Public Works in coordinating capital projects, such as major repairs, major renovations and new construction.

ADMINISTRATION

The Equal Opportunity Unit coordinates the policies which afford equal opportunity to all qualified people without regard to race, color, religion, age, marital status, national origin, creed, ancestry, physical disability, blindness, past or present criminal records, history of mental disorder, mental retardation or sex. This unit is responsible for the department's compliance of Affirmative Action guidelines.

The Fiscal Affairs Unit coordinates fiscal management for the department. Tasks include budget preparation and control, federal grant administration, preparation of contracts, processing and accounting, requests for goods and services, purchasing, fleet administration and fee collection services.

The Labor Relations Unit represents the department in labor contract negotiations. It investigates employee grievances, conducts grievance hearings, serves as management advocate at arbitration hearings and state prohibited-practice hearings and reviews internal affairs investigations for appropriate discipline recommendations.

Human Resources coordinates the department's human resources functions of personnel, payroll and employee benefits. Efforts are concentrated in three major areas: conversion of sworn positions wherever possible or where organizational/programmatic restructuring supports this replacement; the development and promulgation of an employee and supervisor's manual for all department employees and the automation of manual systems and conversion of current computer systems using state-of-the-art technologies.

The Public Relations unit disseminates information on the department through various communications media.

<i>Program Measure</i>	<u>2005-2006</u> <u>Actual</u>	<u>2006-2007</u> <u>Estimated</u>	<u>2007-2008</u> <u>Projected</u>	<u>2008-2009</u> <u>Projected</u>
Labor Grievances handled	85	85	85	85
Employee Assistance Program cases	125	560	250	250
Percent Minority Representation (%)	30	13	28	28
Inventory Control (\$M)	185	185	190	195
Federal Grants (\$)	7,387,161	7,387,161	4,432,297	4,432,297
State Grants (\$)	12,496,196	12,496,196	7,497,718	7,497,718
Workers' Compensation Claims	606	660	660	660
Payouts on Claims (\$000)	3,554	4,154	5,009	6,041

<i>Personnel Summary</i>	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	95	15	0	110	110	112	110	112

<i>Other Positions Equated to Full Time</i>	2005-2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	1	1	1	1	1	1	1	1

<i>Financial Summary</i> (Net of Reimbursements)	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	7,819,079	8,939,486	9,173,943	9,173,943	9,058,359	9,432,585	9,432,585	9,308,180
Other Expenses	2,710,657	2,748,331	2,764,889	2,764,889	2,711,785	2,807,616	2,807,616	2,750,773

<i>Capital Outlay</i>	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
Equipment	1,000	1,000	1,315,860	0	0	1,921,080	0	0
<i>Other Current Expenses</i>								
Stress Reduction	0	53,354	54,474	54,474	53,354	55,618	55,618	53,354

Fleet Purchase	5,636,232	6,706,233	7,972,524	7,972,524	7,831,693	8,635,757	8,635,757	8,351,138
Workers' Compensation Claims	3,553,625	3,385,774	4,907,245	3,504,547	3,504,547	5,981,932	3,619,776	3,619,776
<i>Pmts to Other Than Local Governments</i>								
Civil Air Patrol	36,758	36,758	37,530	37,530	36,758	38,318	38,318	36,758
TOTAL-General Fund	19,757,351	21,870,936	26,226,465	23,507,907	23,196,496	28,872,906	24,589,670	24,119,979
<i>Additional Funds Available</i>								
Bond Fund	282,738	0	0	0	0	0	0	0
Private Funds	6,987	0	0	0	0	0	0	0
Federal Contributions								
16579 Byrne Formula Grant Program	3,052	0	0	0	0	0	0	0
99125 Other Federal Assistance	13,158	0	0	0	0	0	0	0
TOTAL - All Funds	20,063,286	21,870,936	26,226,465	23,507,907	23,196,496	28,872,906	24,589,670	24,119,979

Administrative/Management Services**AGENCY FINANCIAL SUMMARY – GENERAL FUND**

<i>Current Expenses by Minor Object</i>	2005-2006	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Personal Services</i>						
Permanent Fulltime Positions	91,301,093	109,133,464	109,480,177	108,982,536	110,940,805	110,372,563
Other Positions	494,525	446,853	460,257	454,458	474,066	467,814
Other	7,832,897	7,961,925	8,267,880	8,163,711	8,422,439	8,311,357
Overtime	12,899,188	20,569,858	20,659,337	20,399,046	20,753,293	20,479,581
TOTAL-Personal Services Gross	112,527,703	138,112,100	138,867,651	137,999,751	140,590,603	139,631,315
Less Reimbursements	0	-11,320,000	-11,168,950	-9,767,549	-11,292,798	-9,896,397
Less Turnover	0	0	-1,000,000	-2,000,000	-1,000,000	-2,000,000
TOTAL-Personal Services Net	112,527,703	126,792,100	126,698,701	126,232,202	128,297,805	127,734,918

Other Expenses-Contractual Services

Dues and Subscriptions	36,281	37,415	38,201	37,467	39,005	38,215
Utility Services	2,336,616	2,337,750	2,360,000	2,314,674	2,375,706	2,327,608
Rentals, Storage and Leasing	440,292	447,100	456,488	447,721	466,073	456,637
Telecommunication Services	1,739,160	1,744,071	1,780,695	1,746,494	1,818,090	1,781,280
General Repairs	2,766,341	2,802,077	2,918,151	2,862,102	2,979,434	2,919,112
Motor Vehicle Expenses	3,873,541	3,922,300	4,539,668	4,452,476	4,088,766	4,005,984
Fees for Outside Professional Services	268,275	280,479	232,506	228,040	218,805	214,376
Fees for Non-Professional Services	351,326	353,121	360,533	353,608	368,099	360,646
DP Services, Rentals and Maintenance	6,535,550	6,589,759	6,899,215	6,771,317	7,246,738	7,104,885
Postage	267,671	269,975	275,643	270,349	281,432	275,734
Travel	304,093	309,275	315,768	309,704	322,399	315,871
Other Contractual Services	449,611	493,175	503,530	493,859	514,103	503,693
Advertising	821	900	919	901	938	919
Printing & Binding	20,736	20,780	21,216	20,809	21,661	21,222

Other Expenses-Commodities

Agriculture, Horticulture, Dairy & Food	170,662	174,550	178,216	174,793	181,794	178,114
Books	12,709	13,675	13,962	13,694	14,256	13,967
Clothing and Personal Supplies	585,969	605,100	617,806	605,940	630,780	618,009
Maintenance and Motor Vehicle Supplies	6,630,446	8,015,852	8,036,757	7,739,995	8,058,159	7,752,609
Medical Supplies	17,214	17,400	18,322	17,970	19,201	18,812
Fuel	321,051	322,500	325,402	319,152	327,355	320,727
Office Supplies	1,102,542	1,115,914	1,138,310	1,116,447	1,162,214	1,138,684
Refunds of Expenditures Not Otherwise Classified	550,277	566,860	578,764	567,648	590,917	578,953
Highway Supplies	996	1,000	1,021	1,001	1,042	1,021

Other Expenses-Sundry

Sundry - Other Items	86,564	87,102	87,448	85,769	87,802	86,025
TOTAL-Other Expenses Gross	28,868,744	30,528,130	31,698,541	30,951,930	31,814,769	31,033,103
Less Reimbursements	0	-240,000	-240,000	-240,000	-240,000	-240,000
TOTAL-Other Expenses Net	28,868,744	30,288,130	31,458,541	30,711,930	31,574,769	30,793,103

Budget-in-Detail

Other Current Expenses

Stress Reduction	0	53,354	54,474	53,354	55,618	53,354
Fleet Purchase	5,636,232	6,706,233	7,972,524	7,831,693	8,635,757	8,351,138
Workers' Compensation Claims	3,553,625	3,385,774	4,907,245	3,504,547	5,981,932	3,619,776
COLLECT	0	51,500	52,581	51,500	53,685	51,500
Urban Violence Task Force	0	300,000	315,000	308,700	330,750	318,018
TOTAL-Other Current Expenses	9,189,857	10,496,861	13,301,824	11,749,794	15,057,742	12,393,786

Pmts to Other Than Local Govts

Civil Air Patrol	36,758	36,758	37,530	36,758	38,318	36,758
TOTAL-Pmts to Other Than Local Govts	36,758	36,758	37,530	36,758	38,318	36,758

Pmts to Local Governments

SNTF Local Officer Incentive Program	0	238,800	243,815	238,800	248,935	238,800
TOTAL-Pmts to Local Governments	0	238,800	243,815	238,800	248,935	238,800

Character & Major Object Summary

	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	112,527,703	126,792,100	126,698,701	124,456,886	126,232,202	128,297,805	125,961,035	127,734,918
Other Expenses Net	28,868,744	30,288,130	31,458,541	31,558,541	30,711,930	31,574,769	31,674,769	30,793,103
Capital Outlay	1,000	1,000	7,408,670	1,611,820	100	5,802,562	1,487,907	100
Other Current Expenses	9,189,857	10,496,861	13,301,824	11,899,126	11,749,794	15,057,742	12,695,586	12,393,786
Payments to Other Than Local Governments	36,758	36,758	37,530	37,530	36,758	38,318	38,318	36,758
Payments to Local Governments	0	238,800	243,815	243,815	238,800	248,935	248,935	238,800
TOTAL-General Fund Net	150,624,062	167,853,649	179,149,081	169,807,718	168,969,584	181,020,131	172,106,550	171,197,465

Additional Funds Available

Federal and Other Activities	15,844,761	11,853,638	1,493,114	1,493,114	1,493,114	1,532,502	1,532,502	1,532,502
Bond Fund	3,832,632	0	0	0	0	0	0	0
Private Funds	29,709,430	27,913,460	27,051,266	27,051,266	27,051,266	25,397,495	25,397,495	25,397,495
TOTAL-All Funds Net	200,010,885	207,620,747	207,693,461	198,352,098	197,513,964	207,950,128	199,036,547	198,127,462

POLICE OFFICER STANDARDS AND TRAINING COUNCIL

AGENCY DESCRIPTION

The Police Officer Standards and Training Council (POST), is responsible for the certification of all police officers, law enforcement instructors and police training programs requiring certification throughout the State of Connecticut.

POST is responsible for providing basic and in-service law enforcement training for municipal, state agency and state university police officers in the areas of police law enforcement, police science and professional development. POST develops and revises a comprehensive police training and education plan

which includes approving the operations of police training schools, approving courses of study, certifying instructors and setting minimum employment standards and certification requirements. Additionally the agency offers professional, advanced, specialized and continuing education to veteran police officers as part of the license renewal process, which requires each police officer to satisfactorily complete at least 60 hours of certified review training every three years and revoking the certification of police officers under certain statutory conditions.

AGENCY PROGRAM INDEX

Policing Instruction 197

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Transfer Equipment to CEPF
- Remove or Limit Inflation

2007-2008 2008-2009

-35,100 -71,100

-20,588 -41,184

New or Expanded Services

- Alarm System Expansion

2007-2008 2008-2009 2009-2010

0 8,822 8,822

Funds are provided under the Capital Equipment Purchase Fund for an alarm system and closed circuit television system in order to maintain security for the Connecticut Police Academy. Funds in FY2009 are included for alarm monitoring fees.

AGENCY PROGRAMS

Personnel Summary

Permanent Full-Time Positions

	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	22	4	1	27	27	27	27	27

Agency Programs by Total Funds

(Net of Reimbursements)

	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Policing Instruction	2,821,344	3,018,013	3,215,066	3,178,231	3,112,543	3,354,174	3,291,388	3,177,926
TOTAL Agency Programs - All Funds Gross	2,821,344	3,018,013	3,215,066	3,178,231	3,112,543	3,354,174	3,291,388	3,177,926
Less Turnover								
TOTAL Agency Programs - All Funds Net	2,821,344	3,018,013	3,215,066	3,178,231	3,112,543	3,354,174	3,291,388	3,177,926

Summary of Funding

General Fund Net	2,470,729	2,894,368	3,091,421	3,054,586	2,988,898	3,230,529	3,167,743	3,054,281
Federal and Other Activities	247,414	109,500	109,500	109,500	109,500	109,500	109,500	109,500
Bond Fund	62,852	0	0	0	0	0	0	0
Private Funds	40,349	14,145	14,145	14,145	14,145	14,145	14,145	14,145
TOTAL Agency Programs - All Funds Net	2,821,344	3,018,013	3,215,066	3,178,231	3,112,543	3,354,174	3,291,388	3,177,926

POLICING INSTRUCTION

Statutory Reference

C.G.S. Sections 7-294a through 7-294j

Statement of Need and Program Objectives

To provide the necessary standards, training and education for police officers to acquire and maintain state certification which is necessary to perform their police duties and maintain their position as police officers.

To identify, design, provide and evaluate specialized training that responds to and anticipates police needs.

To administer and control the agency's management and operations to ensure prudent and efficient use of agency

Regulation and Protection

appropriations and resources and to administer the affairs of a vocational training and education academy.

Program Description

This program is responsible for the design and delivery of training mandated by state statute, regulation, or professional development needs of Connecticut's law enforcement community.

Currently, the program conducts yearly research to identify and respond to the changing needs of the multifaceted police community. The program provides to, or oversees for, every newly appointed police officer, the basic police officer training

Budget-in-Detail

course of instruction at the Connecticut Police Academy and/or at satellite locations, on a yearly basis; coordinates and supervises over 200 in-service courses available to all police personnel in Connecticut. It serves as a comprehensive training, education and resource center for the police community and publishes training manuals, bulletins and advisory notices. The program encourages, maintains and nurtures the relationships between schools of higher education, state and federal agencies to allow for the development and delivery of high quality law enforcement training programs and publishes the academy's annual training calendar of instructional offerings, quarterly updates and training bulletins when appropriate.

Management is responsible for the agency's fiscal, personnel, property control and legislative and executive branch liaison activities.

They also provide technical support and research to the Police Officer Standards and Training Council, police agencies, municipalities, the Connecticut State Police and other state and federal law enforcement agencies. It manages all strategic and operational planning activities, staffs a resource center and

library which includes over 600 16 mm films and videotapes and provides audio visual assistance to the agency, police agencies and municipalities and facilitates all interaction between the council's various committees. The agency manages the council's yearly agenda and develops recommendations for capital improvements in the Connecticut Police Academy while organizing staff to perform all operational functions. Management organizes daily, weekly, monthly and yearly training activities and develops and submits for adoption the agency's administrative regulations and prepares and distributes the agency's regulatory findings, declaratory rulings and advisory opinions and provides expert testimony in civil proceedings.

The Certification Division, serving as the Bureau of Standards and Licenses, issues basic police officer licenses and audits training records to justify renewing licenses on a triennial basis. The division also licenses and audits law enforcement instructors and schools of basic and advanced police training, grants comparative certification when appropriate and cancels or revokes certification when necessary.

Program Measure

	<u>2005-2006</u>	<u>2006-2007</u>	<u>2007-2008</u>	<u>2008-2009</u>
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Municipal Police recruits trained/880 hours each	288	288	288	288
In-Service Training for Police Officers Course offered	192	195	195	195
Participants	6,116	6,400	6,500	6,500
Law enforcement driving instruction Officers trained	420	420	420	420
Part-Time Officers Trained/Coordinated	25	25	30	30
Films, books and audio visual packages loaned	450	500	500	550
General notices-Administration	1	5	5	5
News letters published	1	5	5	5
Operational rules & regulation changes	3	3	3	3
Accreditation awards	6	7	7	7
Licenses revoked	2	5	6	6
Occupational licenses issued	2,340	2,076	3,180	2,307

Personnel Summary

	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	22	4	1	27	27	27	27	27

Financial Summary

	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,646,547	1,904,521	2,014,607	1,995,751	1,995,751	2,069,319	2,052,312	2,052,312
Other Expenses	823,182	988,847	1,051,614	1,023,635	993,047	1,072,210	1,044,231	1,001,869

Capital Outlay

Equipment	1,000	1,000	25,200	35,200	100	89,000	71,200	100
TOTAL - General Fund	2,470,729	2,894,368	3,091,421	3,054,586	2,988,898	3,230,529	3,167,743	3,054,281

Additional Funds Available

Bond Fund	62,852	0	0	0	0	0	0	0
Private Funds	40,349	14,145	14,145	14,145	14,145	14,145	14,145	14,145

Federal Contributions

16579 Byrne Formula Grant Program	120,219	57,000	57,000	57,000	57,000	57,000	57,000	57,000
16712 Police Corps	127,195	52,500	52,500	52,500	52,500	52,500	52,500	52,500
TOTAL - All Funds	2,821,344	3,018,013	3,215,066	3,178,231	3,112,543	3,354,174	3,291,388	3,177,926

Policing Instruction

AGENCY FINANCIAL SUMMARY – GENERAL FUND

<i>Current Expenses by Minor Object</i>	2005-2006	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009		
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>		
<i>Personal Services</i>								
Permanent Fulltime Positions	1,511,716	1,768,841	1,879,730	1,862,136	1,926,990	1,911,153		
Other Positions	68,628	48,039	70,020	69,365	75,185	74,567		
Other	46,709	66,856	42,655	42,256	43,683	43,324		
Overtime	19,494	20,785	22,202	21,994	23,461	23,268		
TOTAL-Personal Services Gross	1,646,547	1,904,521	2,014,607	1,995,751	2,069,319	2,052,312		
Less Reimbursements								
Less Turnover								
TOTAL-Personal Services Net	1,646,547	1,904,521	2,014,607	1,995,751	2,069,319	2,052,312		
<i>Other Expenses-Contractual Services</i>								
Dues and Subscriptions	15,771	18,962	19,360	18,282	19,767	18,471		
Rentals, Storage and Leasing	20,571	24,733	37,430	35,346	37,540	35,078		
Telecommunication Services	18,815	22,621	23,097	21,810	23,583	22,036		
General Repairs	26,400	31,739	62,406	58,930	63,087	58,948		
Motor Vehicle Expenses	49,924	60,024	61,285	57,872	62,572	58,467		
Fees for Outside Professional Services	434,622	521,682	532,637	502,975	543,822	508,144		
Fees for Non-Professional Services	78,037	93,824	95,795	90,459	97,807	91,391		
DP Services, Rentals and Maintenance	22,642	27,222	27,793	26,245	28,376	26,515		
Postage	10,152	12,206	12,462	11,768	12,724	11,889		
Travel	2,596	3,121	3,187	3,009	3,253	3,039		
Other Contractual Services	11,290	13,575	13,860	13,088	14,150	13,222		
Printing & Binding	24,513	29,472	30,091	28,415	30,723	28,707		
<i>Other Expenses-Commodities</i>								
Agriculture, Horticulture, Dairy & Food	18	22	22	21	22	21		
Books	9,905	11,909	12,159	11,482	12,414	11,600		
Clothing and Personal Supplies	11,099	13,344	13,624	12,865	13,910	12,997		
Maintenance and Motor Vehicle Supplies	15,066	18,113	18,298	17,279	18,487	17,274		
Medical Supplies	456	548	577	545	605	565		
Office Supplies	51,346	61,733	63,030	59,520	64,353	60,131		
Refunds of Expenditures Not Otherwise Classified	19,959	23,997	24,501	23,136	25,015	23,374		
TOTAL-Other Expenses Gross	823,182	988,847	1,051,614	993,047	1,072,210	1,001,869		
Less Reimbursements								
TOTAL-Other Expenses Net	823,182	988,847	1,051,614	993,047	1,072,210	1,001,869		
<i>Character & Major Object Summary</i>								
	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	1,646,547	1,904,521	2,014,607	1,995,751	1,995,751	2,069,319	2,052,312	2,052,312
Other Expenses Net	823,182	988,847	1,051,614	1,023,635	993,047	1,072,210	1,044,231	1,001,869
Capital Outlay	1,000	1,000	25,200	35,200	100	89,000	71,200	100
TOTAL-General Fund Net	2,470,729	2,894,368	3,091,421	3,054,586	2,988,898	3,230,529	3,167,743	3,054,281
<i>Additional Funds Available</i>								
Federal and Other Activities	247,414	109,500	109,500	109,500	109,500	109,500	109,500	109,500
Bond Fund	62,852	0	0	0	0	0	0	0
Private Funds	40,349	14,145	14,145	14,145	14,145	14,145	14,145	14,145
TOTAL-All Funds Net	2,821,344	3,018,013	3,215,066	3,178,231	3,112,543	3,354,174	3,291,388	3,177,926

BOARD OF FIREARMS PERMIT EXAMINERS

AGENCY DESCRIPTION

Board of Firearms Permit Examiners is comprised of seven members appointed by the Governor, nominees of the Commissioner of the Department of Public Safety, the Connecticut State Association of Chiefs of Police, the Commissioner of Environmental Protection, the Connecticut

State Rifle and Revolver Association, Inc., Ye Connecticut Gun Guild, Inc. and two public members. At least one member of the board shall be a lawyer licensed to practice in this state, who shall act as chairman of the board during the hearing of appeals brought under this section.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Transfer Equipment to CEPP
- Remove or Limit Inflation

2007-2008 2008-2009

-1,900 -1,600

-205 -414

AGENCY PROGRAMS

Personnel Summary

Permanent Full-Time Positions

	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	1	0	0	1	1	1	1	1

Agency Programs by Total Funds

(Net of Reimbursements)	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Firearm Permit Appeals	78,343	90,852	96,117	96,117	94,012	101,062	101,062	99,048
TOTAL Agency Programs - All Funds Gross	78,343	90,852	96,117	96,117	94,012	101,062	101,062	99,048
Less Turnover								
TOTAL Agency Programs - All Funds Net	78,343	90,852	96,117	96,117	94,012	101,062	101,062	99,048

Summary of Funding

General Fund Net	78,343	90,852	96,117	96,117	94,012	101,062	101,062	99,048
TOTAL Agency Programs - All Funds Net	78,343	90,852	96,117	96,117	94,012	101,062	101,062	99,048

PISTOL PERMIT APPEALS

Statutory Reference

C.G.S. Section 29-32b

Statement of Need and Program Objectives

The purpose of the Board is to provide a means of appeal for any person aggrieved by any refusal to issue or renew a pistol permit or certificate under the provisions of Section 29-28 or 29-36f, by any limitation or revocation of a pistol permit or certificate issued under any of said sections or by a refusal or failure of any issuing authority to furnish a pistol permit application as provided in Section 29-28a through administrative hearings.

Program Description

The appeals process involves making inquiries and investigations, conducting hearings, taking testimony and rendering decisions as to whether an issuing authority was arbitrary or capricious in denying or revoking a permit to carry handguns. On such appeal, the board shall inquire into and determine the facts, and unless it finds that such a refusal, limitation, revocation, or failure to supply an application would be for just and proper cause, it shall order such permit or certificate to be issued, renewed or restored, or the limitation removed or modified, as appropriate.

Program Measure

	<u>2005-2006</u>	<u>2006-2007</u>	<u>2007-2008</u>	<u>2008-2009</u>
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Appeals received	350	350	350	350
Meetings conducted	12	12	12	12
Hearings	250	250	250	250

Personnel Summary

Permanent Full-Time Positions

	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	1	0	0	1	1	1	1	1

<i>Financial Summary</i> (Net of Reimbursements)	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	65,886	81,001	84,161	84,161	84,161	89,197	89,197	89,197
Other Expenses	12,457	9,751	9,956	9,956	9,751	10,165	10,165	9,751
<i>Capital Outlay</i>								
Equipment	0	100	2,000	2,000	100	1,700	1,700	100
TOTAL-General Fund	78,343	90,852	96,117	96,117	94,012	101,062	101,062	99,048

Firearm Permit Appeals

AGENCY FINANCIAL SUMMARY – GENERAL FUND

<i>Current Expenses by Minor Object</i>	2005-2006	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Personal Services</i>						
Permanent Fulltime Positions	52,177	64,147	66,650	66,650	69,886	70,638
Other Positions	12,739	15,661	16,272	16,272	17,213	17,246
Other	970	1,193	1,239	1,239	2,098	1,313
TOTAL-Personal Services Gross	65,886	81,001	84,161	84,161	89,197	89,197
Less Reimbursements						
Less Turnover						
TOTAL-Personal Services Net	65,886	81,001	84,161	84,161	89,197	89,197
<i>Other Expenses-Contractual Services</i>						
Rentals, Storage and Leasing	1,763	1,380	1,409	1,380	1,439	1,380
Telecommunication Services	1,600	1,252	1,279	1,252	1,306	1,252
Fees for Outside Professional Services	63	49	50	49	51	49
Fees for Non-Professional Services	754	591	603	591	616	591
Postage	6,569	5,142	5,250	5,142	5,360	5,142
Travel	255	200	204	200	208	200
Printing & Binding	259	203	207	203	211	203
<i>Other Expenses-Commodities</i>						
Office Supplies	1,194	934	954	934	974	934
TOTAL-Other Expenses Gross	12,457	9,751	9,956	9,751	10,165	9,751
Less Reimbursements						
TOTAL-Other Expenses Net	12,457	9,751	9,956	9,751	10,165	9,751

<i>Character & Major Object Summary</i>	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	65,886	81,001	84,161	84,161	84,161	89,197	89,197	89,197
Other Expenses Net	12,457	9,751	9,956	9,956	9,751	10,165	10,165	9,751
Capital Outlay	0	100	2,000	2,000	100	1,700	1,700	100
TOTAL-General Fund Net	78,343	90,852	96,117	96,117	94,012	101,062	101,062	99,048

DEPARTMENT OF MOTOR VEHICLES

AGENCY DESCRIPTION

The goal of the Department of Motor Vehicles (DMV) is to ensure, through licensing and regulation, that motor vehicles are operated safely on Connecticut's highways, by requiring that they be properly maintained and operated by competent drivers. The department collects revenues derived from licensing, registration, user fees and sales taxes in an efficient and timely way. The department rapidly gathers, efficiently maintains and responsively disseminates information concerning all vehicles, operators, motorboats and organizations it licenses or regulates.

Three distinct, yet interrelated offices provide the mechanism for carrying out the Department's mission. They are the Offices of Administration, Registration and Licensing and Safety and Enforcement. In addition, high level agency policy formulation, direction and certain support services are provided by the Office of the Commissioner.

THE OFFICE OF REGISTRATION AND LICENSING

The Branch Operations Division is responsible for the delivery of all in-person, customer-oriented services regarding the issuance and revenue collection of motor vehicle registrations, operator licenses, and applications for title and boat registrations. The division collects sales and use tax on vehicles not purchased from a Connecticut dealer and also conducts and collects revenue for operator license examinations and vehicle inspections. In addition, it is responsible for the Handicapped Driver Training Program and administers the apportioned, multi-state registrations issued for certain commercial, inter-state vehicles.

The Customized Services Division is responsible for the delivery of all mail-transmitted, customer and customer-oriented services to the public and for the implementation and administration of motorboat registration programs. The division provides communications related to laws, regulations and departmental policies and procedures directly to customers via centralized telephone communications and mailings. In addition, it issues commercial licenses and permits.

The Insurance Enforcement Unit is responsible for the enforcement of mandatory vehicle insurance.

The Title Division issues motor vehicle titles and maintains title records.

The Emission Division is responsible for monitoring vehicle inspection operations to assure compliance with contract standards. Standards are established in conjunction with the Department of Environmental Protection for equipment accuracy, public awareness and operational efficiency.

The Driver Services Division is responsible for maintaining and monitoring driver violation records. The division also carries out suspensions and restorations of licenses and registrations.

The Document Integrity Division establishes standards for licensing and registration credentials issued by the agency. The division also audits license credentials and files to ensure their security and integrity using sophisticated facial recognition technology and other tools.

THE OFFICE OF SAFETY AND ENFORCEMENT

The Commercial Vehicle Safety Division is responsible for all motor carrier truck safety programs, safety inspections of school buses and public service vehicles and serves as a primary source

of information for vehicle anti-theft activities. It also licenses and inspects commercial driving schools.

The Dealers and Repairers Division is responsible for the licensing and regulation of all motor vehicle dealers, repairers and junkyards. It also ensures that complaints from consumers about dealers or repairers are quickly and fairly resolved.

THE OFFICE OF ADMINISTRATION

The Fiscal Services Division provides accounting, budgeting, purchasing and inventory services for all Bureaus.

The Support Services Division is responsible for providing internal support (e.g., stockroom, mail room, micro-graphic services) to all other divisions. In addition, the division is responsible for processing requests for information in compliance with the Freedom of Information Act, and for the administration of the Department's registration plate procurement and distribution. Finally, the division provides contracts and grants administration services as well as financial and performance audits of all operating divisions.

The Information Systems and Technology Division is functionally divided into two areas. The operations and support area is responsible for all hardware considerations, the development and operation of departmental data processing applications, the technical support needed to operate the applications and the data entry and data correction functions. The applications area is responsible for the development and maintenance of the software needs of the Department, including the development of new applications and the enhancement of existing systems.

The Human Resources Division is responsible for ensuring that the Department is staffed at optimum levels of performance and efficiency, handling all labor relations matters and providing payroll services for the agency.

The Planning, Research and Analysis Division develops new methods for delivering agency services to its clientele and oversees agency project management.

THE OFFICE OF THE COMMISSIONER

The Legal Services Division conducts administrative hearings that result from moving violation convictions, fatal accidents, consumer complaints and failures to comply with regulatory requirements. The division administers the provisions of the State's Administrative Per Se law, coordinates the development of regulations pursuant to new or existing statutes, advocates department-generated legislative initiatives and monitors legislative activity.

The Corporate and Public Relations Unit coordinates agency communications, including press and public inquiries and manages the agency's Internet and Intranet Websites.

The Affirmative Action Office monitors agency hiring and business practices to ensure that the principals of equal opportunity are adhered to.

Energy Conservation Statement

The Department is utilizing regular maintenance and HVAC system upgrades to achieve energy conservation. These activities, along with the use of Energy Star equipment have been undertaken in the past three years.

AGENCY PROGRAM INDEX

Agency Management Services	204	Regulation of Motor Vehicles & Their Uses	207
Customer Services	205	Support Services	208
Auto Emissions Inspection	206		

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services	2007-2008	2008-2009		
• Remove or Limit Inflation	-339,681	-687,507		
• Delay Vision Screening Requirement on Renewal of Drivers License for Two Years <i>Vision Screening implementation is delayed for two years from July 1, 2007 to a new effective date of July 1, 2009.</i>	-1,108,421	-1,121,561		
Within Current Services				
• Adjust Authorized Position Count to Reflect OCE Positions <i>Adjustment for the 5 positions from Insurance Enforcement Account</i>	0	0		
Revenues				
• Increase Safety Plate Fee <i>Increases fee from \$5 to \$10 for total revenue generation of \$2,450,145 in FY2008 and FY2009</i>	0	0		
• Increase Abandoned Motor Vehicle Filing Fees <i>Increases fee from \$5 to \$20 for total revenue generation of \$169,005 in FY2008 and FY2009.</i>	0	0		
• Revenue for Proposed Weigh Station/Truck Safety Consolidation to DMV <i>Based on the increase in full-time shifts split between the 5 stations and the 9 Roving Teams, the total additional revenue generated for the Special Transportation Fund is estimated to be \$500,000.</i>	0	0		
New or Expanded Services	2007-2008	2008-2009	2009-2010	
• Motor Carrier Safety Improvement Act (MCSIA) Commercial Driver History Review <i>Funding is provided for 23 durational positions in FY08 and 19 in FY09 to comply with the Motor Carrier Safety Improvement Act Commercial Driver History Review mandate.</i>	662,400	547,200	160,663	
• Consolidate All Weigh Station Personnel to DMV <i>Funding is provided for 17 total positions (11 transferred from the Department of Public Safety and 6 new positions) in order to designate all weigh station operation, personnel, responsibility and vigilance to the DMV.</i>	952,190	920,726	975,032	
• Personal Property/Car Tax Relief- Revenue Plan Implementation <i>Funding is provided for start-up costs due to the implementation of the Car Tax Plan.</i>	500,000	0	0	

AGENCY PROGRAMS

Personnel Summary	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
Special Transportation Fund	608	23	11	642	642	664	642	664
Auto Emissions	90	3	-5	88	93	88	93	88
Special Funds, Non-Appropriated	2	0	1	3	3	3	3	3
Federal Contributions	21	3	0	24	24	24	24	24
<i>Other Positions Equated to Full Time</i>								
Special Transportation Fund			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
			95	112	112	112	112	112
Agency Programs by Total Funds (Net of Reimbursements)	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Agency Management Services	3,509,949	3,170,930	3,183,583	3,171,330	3,423,759	3,315,182	3,301,025	3,046,035
Customer Services	25,353,402	27,466,092	29,847,906	29,648,356	29,598,831	30,345,126	30,150,268	29,970,290
Auto Emissions Inspection	7,796,093	8,126,872	7,657,396	8,435,009	8,435,009	6,500,000	8,671,333	8,671,333
Regulation of Motor Vehicles & Their Use	12,320,617	12,600,111	13,446,842	13,196,404	14,144,012	13,621,538	13,392,524	14,173,852
Support Services	18,265,952	18,140,269	36,574,570	18,713,999	18,229,955	26,969,061	19,455,447	18,767,945
TOTAL Agency Programs - All Funds Gross	67,246,013	69,504,274	90,710,297	73,165,098	73,831,566	80,750,907	74,970,597	74,629,455
Less Turnover	0	0	-99,228	-300,000	-300,000	-102,205	-300,000	-300,000
TOTAL Agency Programs - All Funds Net	67,246,013	69,504,274	90,611,069	72,865,098	73,531,566	80,648,702	74,670,597	74,329,455

Budget-in-Detail

Summary of Funding

Special Transportation Fund Net	55,297,391	59,152,817	80,705,219	62,181,635	62,848,123	71,875,316	63,725,878	63,384,736
Federal and Other Activities	3,660,482	1,659,573	1,659,573	1,659,573	1,659,573	1,659,573	1,659,573	1,659,573
Auto Emissions	7,796,093	8,126,872	7,657,396	8,435,009	8,435,009	6,500,000	8,671,333	8,671,333
Special Funds, Non-Appropriated	492,047	565,012	588,881	588,881	588,861	613,813	613,813	613,813
TOTAL Agency Programs - All Funds Net	67,246,013	69,504,274	90,611,069	72,865,098	73,531,566	80,648,702	74,670,597	74,329,455

MANAGEMENT SERVICES

Statutory Reference

C.G.S. Section 14-3, 14-4a, 14-6, 14-11b, 14-36d, 14-50, 14-50a, 14-138, and 14-156

Statement of Need and Program Objectives

To insure accomplishment of agency objectives by setting agency policy and direction, coordinating agency programs, implementing operational improvements and allocating and controlling agency resources.

Program Description

The Office of the Commissioner formulates agency policies, develops and analyzes legislative proposals and initiates and approves projects that improve efficiency and effectiveness.

The Corporate and Public Relations Unit insures that information of interest and importance to the motoring public is provided through a wide range of information and education programs directed to all the communications media.

The Legal Services Division conducts administrative hearings that result from moving violation convictions, fatal accidents, consumer complaints and failures to comply with regulatory requirements. It administers the provisions of the state's Administrative Per Se law, coordinates the development of regulations pursuant to new or existing statutes, advocates department-generated legislative initiatives and monitors legislative activity.

The Affirmative Action Unit insures that the civil rights of employees, potential employees and customers are not violated and shapes the department's effort to overcome all barriers to equal opportunity employment.

The Planning, Research and Analysis Division analyzes procedures and forms, applies work simplification techniques and introduces appropriate technological advancements and improved management techniques to upgrade operations.

Program Measure

	<u>2005-2006</u> <u>Actual</u>	<u>2006-2007</u> <u>Estimated</u>	<u>2007-2008</u> <u>Projected</u>	<u>2008-2009</u> <u>Projected</u>
Hearings/Part Time Adjudicators	4419/12	4419/12	4419/12	4419/12
Agency Revenue/Budget Allotment(\$M)	396/76	396/69	396/92	396/83
Percent of Administrative Hearing (%)	23	23	23	23

Personnel Summary

	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Special Transportation Fund	27	1	2	30	30	35	30	35

Other Positions Equated to Full Time

	2005-2006	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Special Transportation Fund	8	8	8	8	8	8

Financial Summary

	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	3,182,258	3,002,037	2,828,807	2,818,041	2,757,725	2,955,877	2,943,202	2,879,743
Other Expenses	165,671	168,893	172,441	170,954	166,034	176,063	174,581	166,292

Capital Outlay

Equipment	162,020	0	0	0	0	0	0	0
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Other Current Expenses

Vision Screening Program	0	0	182,335	182,335	0	183,242	183,242	0
Personal Property Tax Relief	0	0	0	0	500,000	0	0	0
TOTAL-Special Transportation Fund	3,509,949	3,170,930	3,183,583	3,171,330	3,423,759	3,315,182	3,301,025	3,046,035

Agency Management Services

CUSTOMER SERVICES

Statutory Reference

C.G.S. Sections 14-3, 14-5, 14-12, 14-36, 14-42, 14-51, 14-56, 14-65j, 14-67a-67d, 14-165-211a and 15-144

Statement of Need and Program Objectives

To expedite the licensing of drivers and the registration of vehicles and boats at a network of easily accessible offices by solving individual customer problems, processing motor vehicle transactions and collecting and rapidly depositing associated state revenues. To improve customer service and to avoid the proliferation of additional field offices and staff by renewing non-problem registrations and non-photo licenses and processing other related documents by mail. To insure the competency of applicants for driver licenses by testing them to identify visual and operational deficiencies and to assure their knowledge of the rules of the road.

Program Description

Branch office personnel process and issue driver licenses, vehicle registrations, titles and other motor vehicle related documents, process restorations, test applicants for driver licenses and inspect vehicles at 10 full-time branch offices and additional part-time facilities. To increase convenience and access, all full-time branches operate Thursday evenings and Saturday mornings.

The Branch offices serve 2.2 million customers annually and approximately \$1,500,000 is collected and deposited each working day throughout the Department. Mobile offices travel to a variety of locations throughout the State to provide photo license services in a manner that improves convenience to the public.

DMV reviews and, through lockbox service, processes all of vehicle registration renewals. The Central Mail Services Unit

processes public service licenses, "Valid without Photo" licenses, motorboat and other registration documents and their associated fees.

Many customers telephone in advance for information and driver testing appointments. An automated call center has been established to improve service for citizens. Approximately 1 million calls annually are handled by the automated system. Customer service representatives handle an additional 500,000 calls.

The International Registration Plan/Single State Registration System (IRP/SSRS) Unit issues the apportioned registrations to interstate commercial vehicle operators. Under this program, interstate truckers apply to their home state for a commercial vehicle registration. The registration fee is distributed among the states in which the vehicle travels, using a mileage log to determine the correct apportionment formula. This avoids the need to apply to several states for registrations and makes better traffic law enforcement possible.

Copy and Registry Records controls and issues special plates (such as legislative, municipal, state agency, vanity and replacement), confidential registrations and operator licenses. Staff responds to about 40,000 requests per year for copies of registrations and license documents.

DMV processes all boat registrations, attempts to resolve ownership problems of vessels and insures the accuracy of all boat registration documents and fees through follow-up contact with applicants.

The Handicapped Driver Training Section provides driver training and determines special equipment requirements for physically handicapped individuals who wish to obtain a Connecticut driver's license.

Program Measure

	<u>2005-2006</u>	<u>2006-2007</u>	<u>2007-2008</u>	<u>2008-2009</u>
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Revenue Collections by Branches for DMV and Other Agencies'(\$000)	184,000	184,000	184,000	184,000
Transactions Processed by Branches for DMV and Other Agencies (000)	1,981	1,981	1,981	1,981
Telephone Inquires Answered Phone Center per month '(000)	93	93	93	93
Drivers Tested for CT Licenses (000)	209	209	209	209
Motor Vehicle Inspections (000)	9	9	9	9
Mail Registration Renewals Processed within 7 Working Days (%)	90	90	90	90
Registration Renewals Processed by Mail (%)	84	84	84	84
Average Time to Process 2nd Year Passenger Registration	10	10	10	10
Average Response Time to Written Requests for Information from Registry Records Units/days	10	10	10	10
Copy Records Requested	72,381	72,381	72,381	72,381
Drivers Failing Drivers Test (%)	14	14	14	14
Customer Satisfaction Rating (%)	85	85	85	85
Average Telephone Queue Time (Min)	7	7	7	7
Percent of Customers Waiting More than 20 Minutes (%)	25	25	25	25

Personnel Summary

	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions	335	16	0	351	351	351	351	351
Special Transportation Fund	2	0	1	3	3	3	3	3
Special Funds, Non-Appropriated								
			2005-2006	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009

Budget-in-Detail

<i>Other Positions Equated to Full Time</i>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Special Transportation Fund	78	95	95	95	95	95

Financial Summary	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	20,379,859	21,625,525	22,867,378	22,780,348	23,344,722	23,297,313	23,197,412	23,764,125
Other Expenses	4,026,583	4,455,770	4,883,763	4,841,662	4,779,905	4,970,278	4,928,453	4,770,113
<u>Capital Outlay</u>								
Equipment	1,597	250,000	326,936	290,406	310,570	261,936	249,415	246,582
<u>Other Current Expenses</u>								
Insurance Enforcement	453,316	569,785	620,732	586,843	574,773	640,679	600,068	575,657
Vision Screening Program	0	0	560,216	560,216	0	561,107	561,107	0
TOTAL-Special Transportation Fund	24,861,355	26,901,080	29,259,025	29,059,475	29,009,970	29,731,313	29,536,455	29,356,477

<u>Additional Funds Available</u>								
Special Funds, Non-Appropriated	492,047	565,012	588,881	588,881	588,861	613,813	613,813	613,813
TOTAL - All Funds	25,353,402	27,466,092	29,847,906	29,648,356	29,598,831	30,345,126	30,150,268	29,970,290

Customer Services

EMISSIONS INSPECTION

Statutory Reference

C.G.S. Section 14-164c

Statement of Need and Program Objectives

To improve Connecticut's air quality and avoid federal financial penalties for non-compliance by reducing levels of carbon monoxide and hydrocarbons emitted by gasoline powered motor vehicles registered in Connecticut through an annual and biennial inspection program.

Program Description

DMV contracts with a private vendor to perform emissions inspections. A contractor provides a decentralized network of service stations utilizing licensed dealers and repairers to conduct inspections.

DMV developed inspection requirements and standards in conjunction with the Department of Environmental Protection, taking into account federal standards.

DMV personnel perform the following functions: monitor operations to assure compliance with contract standards for equipment accuracy, public awareness and operational efficiency; check the auto repair industry for effectiveness in making emissions-related repairs; issue inspection licenses to owners of fleets that exceed 24 vehicles; inspect the facilities, equipment and records at least once each month, ensuring that each fleet inspection station is properly staffed and operated and that equipment accuracy meets program requirements. Fleet inspections are not included in the general vendor contract.

Inspection staff investigates and resolves complaints from the public about emissions-related repairs. They also analyze data gathered and work in conjunction with DMV staff to monitor the auto repair industry.

Data processing staff collects and edits the contractor's test records to verify accuracy and completeness. These records are shared with the Department of Environmental Protection which measures program effectiveness in improving air quality.

Program Measure

	<u>2005-2006</u>	<u>2006-2007</u>	<u>2007-2008</u>	<u>2008-2009</u>
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Vehicles Inspected (000)	938	938	938	938
Vehicles Failed Initial Inspection (%)	8	8	8	8
Vehicles Failed Reinspection (%)	44	44	44	44
Annual Pollutant Reduction Since 1/1/83 (tons) (000)	150	150	150	150

Personnel Summary

	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Auto Emissions	90	3	-5	88	93	88	93	88

Financial Summary	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
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(Net of Reimbursements)	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
<u>Additional Funds Available</u>								
Auto Emissions	7,796,093	8,126,872	7,657,396	8,435,009	8,435,009	6,500,000	8,671,333	8,671,333
TOTAL - All Funds	7,796,093	8,126,872	7,657,396	8,435,009	8,435,009	6,500,000	8,671,333	8,671,333
Auto Emissions Inspection								

REGULATION OF MOTOR VEHICLES AND THEIR USE

Statutory Reference

C.G.S. Sections 14-12-12c, 14-111, 14-113-133, 14-180, 14-180a and 38-327

Statement of Need and Program Objectives

To reduce the potential for future damage from motor vehicle accidents by enforcing administrative sanctions against inadequately insured or incompetent drivers. To protect the financial and safety interest of consumers through the licensing and monitoring of the commercial sale, repair and disposal of motor vehicles and by ensuring that licensees' facilities are safe, properly equipped and staffed by qualified and competent personnel. To reduce the possibility of theft by conducting inspections at motor vehicle auctions. To insure that the race vehicles and track facilities at motor vehicle race tracks are in compliance with state statutes and permit restrictions. To improve highway safety in Connecticut by inspecting selected classes of motor vehicles. To check commercial truck safety at weighing stations and on the highways as part of the Motor Carrier Safety Assistance Program (MCSAP). To suppress theft and illegal resale and record security interest of motor vehicles by issuing certificates of title which are recognized nationwide as proof of ownership.

Program Description

The Driver Services Division assists the courts in processing motor vehicle-related arrest and conviction data and maintains an updated driver history file. Upon receipt of referrals for license and registration suspensions from units within the Department or from the courts, staff process suspension notices, establish and maintain suspension files and distribute notices to suspended individuals or companies and enforcement agencies. Staff refers individuals to driver improvement courses and formal hearings, when necessary.

The Document Integrity Division establishes standards for licensing and registration credentials issued by the agency. The division also audits license credentials and files to ensure their security and integrity using sophisticated facial recognition technology and other tools.

The Insurance Audit Unit notifies and takes action against registrants who fail to maintain insurance coverage.

The Dealers and Repairers Division licenses a broad range of commercial activities that impact the motoring public. Activities include: licensing motor vehicle manufacturers, new dealerships, used dealerships, general repairers, limited repairers, junkyards and wreckers; inspecting licenses for compliance with laws and regulations relating to the conduct of business, with emphasis on identifying misuse of dealer, repairer and transporter plates, and odometer tampering; unsafe physical conditions and junk yard violations; investigating and resolving consumer complaints using a variety of techniques and reviewing and verifying reports of vehicles received and dismantled by junkyards in order to locate stolen vehicles and components.

The Commercial Vehicle Safety Division inspects commercial vehicles at weigh/inspection stations as well as inspection sites at roadside locations throughout the state. The inspection of school buses, vehicles transporting hazardous materials, motor coaches, public service and commercial vehicles ensure the safe mechanical operating condition of these vehicles.

The Title Division involves activities that control private and commercial vehicle establishment and transfer of ownership. These activities include examining prior ownership documents for accuracy and authenticity, checking against state and national stolen vehicle records, recording motor vehicle security interests and producing the actual title documents. The division is also responsible for verifying odometer readings filed by title applicants to detect possible odometer fraud.

Program Measure

	<u>2005-2006</u> Actual	<u>2006-2007</u> Estimated	<u>2007-2008</u> Projected	<u>2008-2009</u> Projected
Restoration Fees Collected (\$000)	5,217	5,217	5,217	5,217
Telephone and In-Person Client Inquires about Suspensions and Accidents (000)	184	184	184	184
Suspended Operators Convicted for Driving While Suspended (% Operators)	4	4	4	4
Dealer License Fees Collected (\$000)	1,582	1,582	1,582	1,582
Dealer License Inspections	461	461	461	461
Consumer Complaints Received	4,424	4,424	4,424	4,424
Average Days to Resolve 95% of Complaints	68	68	68	68
Title Issued (000)	738	738	738	738
Stolen Vehicles Detected Thru Tinting Process	106	106	106	106
Percent of Suspension Actions Rescinded	45	45	45	45
Percent of Registered Vehicles in Compliance with Financial Responsibility Laws	93	93	93	93
Number of Commercial Vehicles Inspected at Weigh Stations	7,356	7,356	7,356	7,356
Percent of Commercial Vehicles Inspected Issued Violations (%)	43	43	43	43

Budget-in-Detail

Personnel Summary

	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions	141	3	9	153	153	170	153	170
Special Transportation Fund	21	3	0	24	24	24	24	24

Other Positions Equated to Full Time	2005-2006	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Special Transportation Fund	2	2	2	2	2	2

Financial Summary

(Net of Reimbursements)	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	8,152,464	9,612,994	9,925,078	9,887,304	10,989,158	10,187,260	10,143,576	11,186,275
Other Expenses	762,287	821,343	836,199	828,991	975,681	851,371	844,207	973,110
Capital Outlay								
Equipment	165,140	250,000	271,500	241,165	310,490	157,500	149,971	145,784
Other Current Expenses								
Commercial Veh Info Sys & Networks Project	470,142	256,201	283,000	213,501	209,110	283,000	217,985	209,110
Vision Screening Program	0	0	365,870	365,870	0	377,212	377,212	0
DRIVING UNDER THE INFLUENCE - DUI	0	0	105,622	0	0	105,622	0	0
TOTAL-Special Transportation Fund	9,550,033	10,940,538	11,787,269	11,536,831	12,484,439	11,961,965	11,732,951	12,514,279
Additional Funds Available								
Federal Contributions								
20205 Highway Planning & Construction	216,984	0	0	0	0	0	0	0
20218 National Motor Carrier Safety	2,553,600	1,659,573	1,659,573	1,659,573	1,659,573	1,659,573	1,659,573	1,659,573
TOTAL - All Funds	12,320,617	12,600,111	13,446,842	13,196,404	14,144,012	13,621,538	13,392,524	14,173,852

Regulation of Motor Vehicles & Their Use

SUPPORT SERVICES

Statutory Reference

C.G.S. Sections 14-12, 14-36 and 14-36a

Statement of Need and Program Objectives

To provide fiscal and logistic support to all agency operations and administrative infrastructure. To enhance the Department's effectiveness by using computers to upgrade the accessibility and integrity of information gathered and used. To protect the state's financial investment in its facilities through proper maintenance and upkeep of buildings and grounds. To support both mail and in-person transactions by supplying appropriate forms and materials and verifying the accuracy of records. To ensure a clean, safe and healthy environment for customers and staff.

Program Description

The Fiscal Services Division prepares the annual agency budget request, administers the agency budget, processes purchase requests and maintains accounting records. This division also collects fees for copies of documents, makes bank deposits, creates proper accounting records and reconciles imbalances.

The Human Resources Division recruits appropriate personnel, administers the Merit Promotional System, represents the state in collective bargaining negotiations and labor grievances, interprets and administers collective bargaining agreements, processes employee payrolls and assists employees in gaining admittance to training and counseling programs.

The Information Systems and Technology Division is responsible for processing data required to support the Department's programs, generates appropriate reports and documents required by programs and by other state and federal agencies and designs and implements new systems and system changes.

The Support Services Division provides mail, supply, printing, microfilming services, data entry and error correction for all DMV facilities. In addition, it performs financial audits to ensure compliance with established fiscal practices and procedures and carries out audits to ensure that revenues are properly assessed, collected and credited. The division also coordinates grants and contract management activities and oversees data access functions. Finally, the division provides for preventative maintenance, general upkeep and renovations of all DMV facilities.

Program Measure

	2005-2006	2006-2007	2007-2008	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Revenue for Sale of Commercial Information (\$000)	30,696	30,696	30,696	30,696
Hours Auditing Revenue, Assets, Money Flow	7,309	7,309	7,309	7,309
Electronically Stored Records Maintained (M)	276	276	276	276
Electronically Based Transactions Processed (M)	19	19	19	19

Personnel Summary		As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
<i>Permanent Full-Time Positions</i>		<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Special Transportation Fund		105	3	0	108	108	108	108	108
<i>Other Positions Equated to Full Time</i>									
				<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Special Transportation Fund				7	7	7	7	7	7
Financial Summary		2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
(Net of Reimbursements)		<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services		6,371,687	6,988,738	7,621,980	7,592,972	7,430,458	7,879,361	7,845,574	7,674,679
Other Expenses		9,786,551	10,538,307	10,752,282	10,659,592	10,352,564	10,970,697	10,878,379	10,361,478
<u>Capital Outlay</u>									
Equipment		358,492	496,425	336,831	299,196	288,031	595,200	566,750	573,770
<u>Other Current Expenses</u>									
Real Time Online Registration		810,146	0	17,671,668	0	0	7,330,149	0	0
Insurance Enforcement		0	90,000	91,809	86,797	85,012	93,654	87,718	84,128
Commercial Veh Info Sys & Networks Project		49,178	26,799	100,000	75,442	73,890	100,000	77,026	73,890
TOTAL-Special Transportation Fund		17,376,054	18,140,269	36,574,570	18,713,999	18,229,955	26,969,061	19,455,447	18,767,945
<u>Additional Funds Available</u>									
Federal Contributions									
20218 National Motor Carrier Safety		889,898	0	0	0	0	0	0	0
TOTAL - All Funds		18,265,952	18,140,269	36,574,570	18,713,999	18,229,955	26,969,061	19,455,447	18,767,945
Support Services									

AGENCY FINANCIAL SUMMARY – SPECIAL TRANSPORTATION FUND

Current Expenses by Minor Object	2005-2006	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	32,952,586	35,776,430	37,781,208	39,014,961	38,955,440	40,114,324
Other Positions	3,372,791	4,035,611	4,038,791	4,072,115	3,832,125	3,850,789
Other	917,716	800,328	839,014	845,937	918,803	923,278
Overtime	843,175	616,925	584,230	589,050	613,443	616,431
TOTAL-Personal Services Gross	38,086,268	41,229,294	43,243,243	44,522,063	44,319,811	45,504,822
Less Reimbursements						
Less Turnover	0	0	-99,228	-300,000	-102,205	-300,000
TOTAL-Personal Services Net	38,086,268	41,229,294	43,144,015	44,222,063	44,217,606	45,204,822
<u>Other Expenses-Contractual Services</u>						
Dues and Subscriptions	5,605	5,859	5,982	5,793	6,107	5,801
Utility Services	542,825	882,519	919,753	890,693	925,733	879,370
Rentals, Storage and Leasing	1,142,175	1,254,549	1,429,519	1,384,552	1,456,418	1,383,477
Telecommunication Services	660,429	1,022,427	1,043,897	1,010,916	1,065,819	1,012,442
General Repairs	940,996	949,308	1,017,202	985,063	1,037,555	985,591
Motor Vehicle Expenses	348,097	406,066	414,594	401,494	423,301	402,101
Fees for Outside Professional Services	51,452	52,575	53,706	52,009	54,857	52,109
Fees for Non-Professional Services	1,368,686	1,507,952	1,641,670	1,589,801	1,674,002	1,590,165
DP Services, Rentals and Maintenance	3,514,769	3,563,000	3,637,823	3,522,884	3,714,217	3,528,201
Postage	1,891,496	1,894,242	1,934,021	1,872,915	1,974,637	1,875,743
Travel	54,551	55,144	54,914	53,180	56,071	53,263
Other Contractual Services	222,815	225,664	232,237	224,900	237,076	225,203
Printing & Binding	39,903	40,980	41,840	40,518	42,718	40,579
<u>Other Expenses-Commodities</u>						
Agriculture, Horticulture, Dairy & Food	380	412	421	408	429	408
Books	12,974	13,041	13,315	12,894	13,595	12,914
Clothing and Personal Supplies	94,193	94,400	96,382	93,337	98,406	93,478
Maintenance and Motor Vehicle Supplies	279,154	281,997	291,833	282,612	293,977	279,254
Medical Supplies	1,329	1,439	1,515	1,467	1,588	1,508
Fuel	140,785	141,000	147,183	142,533	148,065	140,650

Budget-in-Detail

Office Supplies	1,408,164	1,394,364	1,423,645	1,456,159	1,453,542	1,456,942
Refunds of Expenditures Not Otherwise Classified	2,005,926	2,182,613	2,228,449	2,235,740	2,275,247	2,237,498

Other Expenses-Sundry

Sundry - Other Items	14,388	14,762	14,784	14,316	15,049	14,296
TOTAL-Other Expenses Gross	14,741,092	15,984,313	16,644,685	16,274,184	16,968,409	16,270,993
Less Reimbursements						
TOTAL-Other Expenses Net	14,741,092	15,984,313	16,644,685	16,274,184	16,968,409	16,270,993

Other Current Expenses

Real Time Online Registration	810,146	0	17,671,668	0	7,330,149	0
Insurance Enforcement	453,316	659,785	712,541	659,785	734,333	659,785
Commercial Veh Info Sys & Networks Project	519,320	283,000	383,000	283,000	383,000	283,000
Vision Screening Program	0	0	1,108,421	0	1,121,561	0
DRIVING UNDER THE INFLUENCE - DUI	0	0	105,622	0	105,622	0
Personal Property Tax Relief	0	0	0	500,000	0	0
TOTAL-Other Current Expenses	1,782,782	942,785	19,981,252	1,442,785	9,674,665	942,785

Character & Major Object Summary

	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	38,086,268	41,229,294	43,144,015	42,778,665	44,222,063	44,217,606	43,829,764	45,204,822
Other Expenses Net	14,741,092	15,984,313	16,644,685	16,501,199	16,274,184	16,968,409	16,825,620	16,270,993
Capital Outlay	687,249	996,425	935,267	830,767	909,091	1,014,636	966,136	966,136
Other Current Expenses	1,782,782	942,785	19,981,252	2,071,004	1,442,785	9,674,665	2,104,358	942,785
TOTAL-Special Transportation Fund Net	55,297,391	59,152,817	80,705,219	62,181,635	62,848,123	71,875,316	63,725,878	63,384,736
<u>Additional Funds Available</u>								
Federal and Other Activities	3,660,482	1,659,573	1,659,573	1,659,573	1,659,573	1,659,573	1,659,573	1,659,573
Auto Emissions	7,796,093	8,126,872	7,657,396	8,435,009	8,435,009	6,500,000	8,671,333	8,671,333
Special Funds, Non-Appropriated	492,047	565,012	588,881	588,881	588,861	613,813	613,813	613,813
TOTAL-All Funds Net	67,246,013	69,504,274	90,611,069	72,865,098	73,531,566	80,648,702	74,670,597	74,329,455

MILITARY DEPARTMENT

AGENCY DESCRIPTION

The Military Department consists of the Connecticut Army National Guard, Air National Guard and the State Militia. The department is an emergency response force available to the Governor as needed.

The agency has both a state and federal mission. The state mission is to provide trained, disciplined and ready forces for domestic emergencies or as otherwise required by law. The federal mission is to maintain properly trained and equipped units available for prompt mobilization for war or national emergencies.

Collectively, the Connecticut Army and Air National Guards and the organized Militia augment federal, state and local authorities in the event of emergencies; provide emergency management planning, funding and training assistance to communities and conducts community service programs.

More than 90% of the department's resources are provided by the federal government. The state's monetary contribution to the

organization is less than 10% and is required in order to obtain the federal funding under the master cooperative agreement between the state and the Department of Defense's National Guard Bureau.

Energy Conservation Statement

Pursuant to federal Executive Order 13123, the Military Department has extensive energy conservation goals. In addition to ongoing maintenance of systems, the department's planned activities include HVAC system upgrades and use of energy management systems in all new construction. In the past two years the department has taken advantage of utility sponsored conservation programs and the use of energy efficient lighting technologies to achieve conservation. The department currently has a 100% federally funded energy manager position to assist with its program.

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RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2007-2008</u>	<u>2008-2009</u>
• Transfer Equipment to CEPF	-223,150	-215,150
• Remove or Limit Inflation	-78,402	-123,089

Within Current Services

• Provide Operating Resources for New Facilities	18,270	223,014
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The expansion and renovation of the Southington Readiness Center is scheduled for completion in FY2008. Construction of the East Lyme Readiness Center is scheduled for completion in FY2009.

Reallocations or Transfers

• Reallocate Funding to Achieve HVAC Efficiencies	0	0
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The adjustment reallocates \$10,500 from Other Expenses to Personal Services to hire one 25% state funded HVAC Quality Control Worker in lieu of more costly contractual services.

New or Expanded Services

	<u>2007-2008</u>	<u>2008-2009</u>	<u>2009-2010</u>
• Pick-Up Expiring Federal Funds for Security	272,251	363,001	363,001

Funds are provided to support security costs at the Hartford Armory and Camp Hartell due to decreased Department of Defense federal funds.

• Enhance Safety and Maintenance at the Armories and Bradley Air Base	46,550	46,550	46,550
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Funds are provided to support 2 additional positions to improve maintenance at the armories and strengthen safety in the operation of liquid fuel systems at the Bradley Air Base.

AGENCY PROGRAMS

Personnel Summary

	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	46	0	2	48	48	50	48	51
Federal Contributions	47	2	1	50	50	50	50	50

	2005-2006	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
<i>Other Positions Equated to Full Time</i>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	1	1	1	1	1	1
Federal Contributions	4	4	4	4	4	4

Budget-in-Detail

<i>Agency Programs by Total Funds (Net of Reimbursements)</i>	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Facilities Management	16,403,364	15,890,093	17,655,639	16,415,130	16,477,225	18,483,281	16,995,344	17,239,956
Operation of Militia Units	734,867	853,193	997,472	915,532	884,913	1,369,008	1,055,612	899,684
Office of Emergency Management	467,112	0	0	0	0	0	0	0
Management Services	2,947,415	2,607,815	2,505,138	2,420,048	2,405,821	2,591,988	2,508,000	2,490,628
TOTAL Agency Programs - All Funds Gross	20,552,758	19,351,101	21,158,249	19,750,710	19,767,959	22,444,277	20,558,956	20,630,268
Less Turnover	0	0	-5,039	-33,500	-33,500	-5,470	-35,000	-35,000
TOTAL Agency Programs - All Funds Net	20,552,758	19,351,101	21,153,210	19,717,210	19,734,459	22,438,807	20,523,956	20,595,268
<i>Summary of Funding</i>								
General Fund Net	6,271,602	6,724,179	7,771,341	6,914,541	6,931,790	8,384,178	7,266,477	7,337,789
Soldiers, Sailors and Marines' Fund Net	306,803	0	0	0	0	0	0	0
Federal and Other Activities	13,539,691	12,591,612	12,765,593	12,765,593	12,765,593	13,218,551	13,218,551	13,218,551
Bond Fund	31,014	0	579,200	0	0	797,150	0	0
Private Funds	403,648	35,310	37,076	37,076	37,076	38,928	38,928	38,928
TOTAL Agency Programs - All Funds Net	20,552,758	19,351,101	21,153,210	19,717,210	19,734,459	22,438,807	20,523,956	20,595,268

FACILITIES MANAGEMENT

Statutory Reference

C. G. S. Title 27

Statement of Need and Program Objectives

To ensure that the members of the Connecticut National Guard and the state militia units have a safe, efficient and secure environment in which to work, train and conduct emergency operations. To furnish to the general public well-maintained facilities for shelters during emergencies.

Program Description

The department maintains 42 facilities throughout the state, which include 20 readiness centers/armories, 10 maintenance shops, 2 Army aviation support facilities, 4 training site facilities, 2 horse guard facilities, 2 militia sites and 2 Air National Guard bases.

The facilities management staff prepares specifications and contracts with outside vendors for minor repair projects; renders emergency assistance to the facilities on a 24 hour, 7 day-a-week basis; and provides custodial and maintenance services for all facilities to ensure the facilities meet the requirements of the Connecticut Army and Air National Guard.

Program Measure

	<u>2005-2006 Actual</u>	<u>2006-2007 Estimated</u>	<u>2007-2008 Projected</u>	<u>2008-2009 Projected</u>
Facilities Operating Cost				
Total Cost	15,834,035	15,104,387	16,046,074	16,469,983
Federally Reimbursed Cost	12,417,400	11,471,182	11,590,762	11,993,105
State Cost	3,416,635	3,633,205	4,455,312	4,476,878
Total Cost per Sq.Ft.	5.28	5.03	5.35	5.49
Federally Reimbursed Cost	4.14	3.82	3.86	4.00
State Cost	1.14	1.21	1.49	1.49
Youth Educational Programs				
Starbase - Youth Educated	1,653	1,550	1,550	1,550

Personnel Summary

<i>Permanent Full-Time Positions</i>	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	25	0	1	26	26	28	26	29
Federal Contributions	47	2	1	50	50	50	50	50
<i>Other Positions Equated to Full Time</i>								
			<u>2005-2006 Actual</u>	<u>2006-2007 Estimated</u>	<u>2007-2008 Requested</u>	<u>2007-2008 Recommended</u>	<u>2008-2009 Requested</u>	<u>2008-2009 Recommended</u>
General Fund			1	1	1	1	1	1
Federal Contributions			4	4	4	4	4	4

Financial Summary

<i>(Net of Reimbursements)</i>	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,157,947	1,313,767	1,452,529	1,391,618	1,448,668	1,522,018	1,436,538	1,493,588
Other Expenses	2,258,298	2,334,216	2,906,874	2,411,276	2,628,321	3,086,210	2,624,753	2,903,315

Capital Outlay

Equipment	0	0	335,000	212,000	0	247,000	91,000	0
TOTAL-General Fund	3,416,245	3,647,983	4,694,403	4,014,894	4,076,989	4,855,228	4,152,291	4,396,903
<i>Additional Funds Available</i>								
Bond Fund	26,631	0	561,000	0	0	785,000	0	0
Private Funds	1,540	0	0	0	0	0	0	0
Federal Contributions								
12400 Military Construction, National Guard	3,948,418	2,005,206	1,661,187	1,661,187	1,661,187	1,567,462	1,567,462	1,567,462
12401 National Guard Military Operations and Maintenance	8,293,068	9,240,061	9,692,364	9,692,364	9,692,364	10,176,572	10,176,572	10,176,572
12404 National Guard Civilian Youth Opportrn	175,914	225,915	237,211	237,211	237,211	249,071	249,071	249,071
66701 Toxic Substances Compliance Monitoring	541,548	770,928	809,474	809,474	809,474	849,948	849,948	849,948
TOTAL - All Funds	16,403,364	15,890,093	17,655,639	16,415,130	16,477,225	18,483,281	16,995,344	17,239,956
Facilities Management								

OPERATION OF MILITIA UNITS

Statutory Reference

C. G. S. Title 27

Statement of Need and Program Objectives

To respond to emergency situations upon the order of the Governor. To bestow honor on deceased veterans of Connecticut by providing military honor squads at funerals and ceremonies. To preserve and display the military historical traditions of the state. To increase the overall effectiveness of the military units.

Program Description

This program encompasses several services connected with the operations of the Connecticut National Guard and state militia units. When ordered by the Governor, 5,000 Connecticut National Guard members are operationally ready to respond to emergency situations that threaten the lives and/or property of state residents. Members of the Connecticut National Guard and militia units provide military honor squads at funerals of wartime veterans.

The state organized militia, comprised of the Governor's First and Second Company Foot Guards and First and Second Company Horse Guards, have a combined strength of 226 individuals. These units perform at official functions attended

by the Governor and at various historical and military celebrations throughout the state. These units are also trained to respond to state civil emergencies or natural disasters.

National Guard members provide a variety of programs to the state's youth to promote good citizenship and to help educate them about the dangers of drug usage.

The Air National Guard "STARBASE" program provides real world applications of math and science through experiential learning in aviation and space-related field for students in grades K-12.

The militia also supports the Connecticut National Guard's Quick Reaction Force (QRF) composed of approximately 600 soldiers ready to deploy. In the aftermath of 9/11, QRF soldiers have deployed to Airports, Bridges, Nuclear Power Plants, Fuel Tank Farms, and most recently guarding the rail platforms on the Metro North line into New York City. The QRF is capable of providing assistance to local, state and federal authorities in support of natural disasters, terrorist attacks, or other emergency operations. The QRF core assets come from infantry, engineer, military police, air guard security forces, medical, communication/signal, transportation and aviation personnel.

Program Measure

	<u>2005-2006</u> Actual	<u>2006-2007</u> Estimated	<u>2007-2008</u> Projected	<u>2008-2009</u> Projected
Honor Guards				
Funeral Honors Performed	3,114	3,114	3,179	3,246
Paid Duty Days	9,397	9,397	9,397	9,397
Militia Duty	799	2,100	2,100	2,100
Emergency Duty	1,480	1,480	1,480	1,480
Educational Programs				
Youth Educated	4,076	4,076	4,076	4,076
Adults Educated	1,103	1,103	1,103	1,103

Financial Summary

	2005-2006 Actual	2006-2007 Estimated	2007-2008 Requested	Current Services	2007-2008 Recommended	2008-2009 Requested	Current Services	2008-2009 Recommended
(Net of Reimbursements)								
Personal Services	89,982	275,033	333,398	292,290	292,290	465,798	305,209	305,209
Other Expenses	238,012	236,047	300,789	259,957	236,047	295,223	265,416	236,047

Capital Outlay

Equipment	0	0	0	0	0	236,000	113,000	0
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Other Current Expenses

Firing Squads	0	306,803	326,209	326,209	319,500	333,059	333,059	319,500
TOTAL-General Fund	327,994	817,883	960,396	878,456	847,837	1,330,080	1,016,684	860,756
Honor Guards	306,803	0	0	0	0	0	0	0

Budget-in-Detail

TOTAL-Soldiers, Sailors and Marines' Fund	306,803	0	0	0	0	0	0	0
<u>Additional Funds Available</u>								
Private Funds	32,728	35,310	37,076	37,076	37,076	38,928	38,928	38,928
Federal Contributions								
12401 National Guard Military Operations and Maintenance	67,342	0	0	0	0	0	0	0
TOTAL - All Funds	734,867	853,193	997,472	915,532	884,913	1,369,008	1,055,612	899,684

Operation of Militia Units

OFFICE OF EMERGENCY MANAGEMENT

The Office of Emergency Management and its functions have been transferred to the new Department of Emergency Management and Homeland Security effective January 1, 2005 in accordance with Public Act 04-219. FY2006 expenditures represent the completion of prior fiscal year federal and private fund requirements.

Statutory Reference

C. G. S. 28-1a et. Seq.

<i>Financial Summary</i>	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<u>Additional Funds Available</u>								
Private Funds	298,914	0	0	0	0	0	0	0
Federal Contributions								
83562 S/L Emergency Ops Planning	32,338	0	0	0	0	0	0	0
97026 Emergency Management Institute Training	135,860	0	0	0	0	0	0	0
TOTAL - All Funds	467,112	0	0	0	0	0	0	0

Office of Emergency Management

MANAGEMENT SERVICES

Statutory Reference

C. G. S. Title 27

Statement of Need and Program Objectives

To improve the effectiveness and performance of the department through staff support in the areas of policy formulation, development and control of the budget, personnel, payroll, federal grant and cooperative agreement administration and records management.

Program Description

Management services encompass the Office of the Adjutant General, Administrative Services and Historical Records.

The Adjutant General's Office provides leadership through the development of policy, the issuance of regulations and operational monitoring to insure the established standards set

are attained and maintained. The office also coordinates activities with the National Guard Bureau.

The Administrative Services Office is responsible for processing all financial transactions and preparing and monitoring the department's budget, as well as implementing personnel standards, preparing payrolls and maintaining state employee personnel records. It also authorizes purchases of supplies, services, materials and equipment.

The Historical Records Office is responsible for maintaining approximately 300,000 military personnel records of those separated, discharged, or retired from the Connecticut National Guard and militia. It responds to public inquiries regarding veterans. It manages the state funeral honors program and maintains a state employee personnel records database of over 1,500 personnel who are qualified to perform those honors.

Program Measure

	<u>2005-2006</u>	<u>2006-2007</u>	<u>2007-2008</u>	<u>2008-2009</u>
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Veterans' Service Bonuses	828	1,355	500	500

Personnel Summary

	As of 06/30/2006	2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009	
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	21	0	1	22	22	22	22	22

Financial Summary

	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
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(Net of Reimbursements)	Actual	Estimated	Requested	Services Recommended	Requested	Services Recommended
Personal Services	1,437,689	1,606,614	1,683,903	1,655,289	1,655,289	1,729,955
Other Expenses	125,341	150,699	175,528	137,252	134,175	180,209
<u>Capital Outlay</u>						
Equipment	1,000	1,000	12,150	12,150	1,000	12,150
<u>Other Current Expenses</u>						
Veterans' Service Bonuses	414,300	500,000	250,000	250,000	250,000	250,000
Military Assistance	549,033	0	0	0	0	0
TOTAL-General Fund	2,527,363	2,258,313	2,121,581	2,054,691	2,040,464	2,204,340
<u>Additional Funds Available</u>						
Bond Fund	4,383	0	18,200	0	0	12,150
Private Funds	70,466	0	0	0	0	0
Federal Contributions						
12401 National Guard Military Operations and Maintenance	288,649	324,485	339,198	339,198	339,198	348,628
12404 National Guard Civilian Youth Oppor	8,103	7,148	7,494	7,494	7,494	7,705
66701 Toxic Substances Compliance Monitoring	17,339	17,869	18,665	18,665	18,665	19,165
97026 Emergency Management Institute Training	31,112	0	0	0	0	0
TOTAL - All Funds	2,947,415	2,607,815	2,505,138	2,420,048	2,405,821	2,591,988
Management Services						

AGENCY FINANCIAL SUMMARY – GENERAL FUND

Current Expenses by Minor Object	2005-2006	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	Actual	Estimated	Requested	Recommended	Requested	Recommended
<u>Personal Services</u>						
Permanent Fulltime Positions	2,327,781	2,652,191	2,786,760	2,843,811	2,872,709	2,950,259
Other Positions	118,505	315,081	366,108	325,000	383,639	337,600
Other	99,894	38,142	122,480	52,954	287,298	54,742
Overtime	139,438	190,000	194,482	174,482	206,151	186,151
TOTAL-Personal Services Gross	2,685,618	3,195,414	3,469,830	3,396,247	3,749,797	3,528,752
Less Reimbursements						
Less Turnover	0	0	-5,039	-33,500	-5,470	-35,000
TOTAL-Personal Services Net	2,685,618	3,195,414	3,464,791	3,362,747	3,744,327	3,493,752
<u>Other Expenses-Contractual Services</u>						
Dues and Subscriptions	1,673	1,673	1,708	1,673	1,744	1,673
Utility Services	963,207	1,113,326	1,129,465	1,029,641	1,193,465	1,086,185
Rentals, Storage and Leasing	8,590	8,443	8,621	8,443	8,802	8,443
Telecommunication Services	34,793	55,206	58,372	55,206	59,550	55,206
General Repairs	510,716	424,742	527,772	504,058	538,854	543,276
Motor Vehicle Expenses	34,626	32,782	33,471	32,782	36,385	32,782
Fees for Outside Professional Services	71,088	72,338	78,955	73,870	84,768	73,870
Fees for Non-Professional Services	15,992	15,992	388,167	290,795	396,318	381,545
DP Services, Rentals and Maintenance	26,970	25,270	25,801	25,270	26,343	25,270
Postage	6,963	6,943	7,089	6,943	7,238	6,943
Travel	2,086	2,086	2,130	2,086	2,174	2,086
Other Contractual Services	140,334	169,679	177,404	154,196	193,742	164,825
Printing & Binding	2,398	2,398	2,448	2,398	2,500	2,398
<u>Other Expenses-Commodities</u>						
Agriculture, Horticulture, Dairy & Food	96,070	94,105	107,303	94,105	109,555	94,105
Books	83	83	85	83	87	83
Clothing and Personal Supplies	14,646	14,646	59,787	14,646	30,180	14,646
Maintenance and Motor Vehicle Supplies	222,952	167,443	231,921	221,063	244,375	221,063
Medical Supplies	97	97	102	97	107	97
Fuel	357,257	438,580	450,243	396,058	530,821	473,911
Office Supplies	89,832	73,582	90,442	83,582	92,342	83,582
Refunds of Expenditures Not Otherwise Classified	10,549	10,549	10,770	10,549	10,996	10,549
Highway Supplies	288	288	294	288	300	288

Budget-in-Detail

Other Expenses-Sundry

Sundry - Other Items	10,441	7,235	7,365	7,235	7,520	7,235
TOTAL-Other Expenses Gross	2,621,651	2,737,486	3,399,715	3,015,067	3,578,166	3,290,061
Less Reimbursements	0	-16,524	-16,524	-16,524	-16,524	-16,524
TOTAL-Other Expenses Net	2,621,651	2,720,962	3,383,191	2,998,543	3,561,642	3,273,537

Other Current Expenses

Firing Squads	0	306,803	326,209	319,500	333,059	319,500
Veterans' Service Bonuses	414,300	500,000	250,000	250,000	250,000	250,000
Military Assistance	549,033	0	0	0	0	0
TOTAL-Other Current Expenses	963,333	806,803	576,209	569,500	583,059	569,500

AGENCY FINANCIAL SUMMARY – SOLDIERS, SAILORS AND MARINES FUND

Current Expenses by Minor Object

	2005-2006	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Honor Guards	306,803	0	0	0	0	0
TOTAL-Other Current Expenses	306,803	0	0	0	0	0

Other Current Expenses

Character & Major Object Summary

	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	2,685,618	3,195,414	3,464,791	3,305,697	3,362,747	3,744,327	3,436,702	3,493,752
Other Expenses Net	2,621,651	2,720,962	3,383,191	2,808,485	2,998,543	3,561,642	3,030,566	3,273,537
Capital Outlay	1,000	1,000	347,150	224,150	1,000	495,150	216,150	1,000
Other Current Expenses	963,333	806,803	576,209	576,209	569,500	583,059	583,059	569,500
TOTAL-General Fund Net	6,271,602	6,724,179	7,771,341	6,914,541	6,931,790	8,384,178	7,266,477	7,337,789
Other Current Expenses	306,803	0	0	0	0	0	0	0
TOTAL-Soldiers, Sailors and Marines' Fund Net	306,803	0	0	0	0	0	0	0
<u>Additional Funds Available</u>								
Federal and Other Activities	13,539,691	12,591,612	12,765,593	12,765,593	12,765,593	13,218,551	13,218,551	13,218,551
Bond Fund	31,014	0	579,200	0	0	797,150	0	0
Private Funds	403,648	35,310	37,076	37,076	37,076	38,928	38,928	38,928
TOTAL-All Funds Net	20,552,758	19,351,101	21,153,210	19,717,210	19,734,459	22,438,807	20,523,956	20,595,268

COMMISSION ON FIRE PREVENTION AND CONTROL

AGENCY DESCRIPTION

The Commission on Fire Prevention and Control trains and certifies fire-fighting personnel and provides information/assistance services to the fire protection community and to the citizens of the state with the goal of reducing death, injury and property damage due to fire. The Commission is made up of 14 members appointed by the Governor representing the statewide fire service organizations.

The Commission will continue administration of an aggressive maintenance schedule for its HVAC and hot water systems, use of efficient lighting technologies, Energy Star equipment, and expansion of its centralized energy management system to achieve energy conservation. The Commission will also strive to educate staff and students on energy conservation strategies to achieve a 10% reduction in energy use.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Transfer Equipment to CEPF
- Remove or Limit Inflation
- Adjust Funding Related to Payments to Volunteer Fire Companies

	<u>2007-2008</u>	<u>2008-2009</u>
• Transfer Equipment to CEPF	-275,800	-353,400
• Remove or Limit Inflation	-14,542	-28,583
• Adjust Funding Related to Payments to Volunteer Fire Companies	-100,000	-100,000

Funding for Payments to Volunteer Fire Companies is adjusted to the actual expenditure level.

AGENCY PROGRAMS

Personnel Summary

	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	17	1	0	18	18	18	18	18
Private Funds	1	0	0	1	1	1	1	1

Agency Programs by Total Funds

(Net of Reimbursements)	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Fire Prevention and Control Training	4,080,860	4,612,009	5,048,673	4,951,711	4,561,369	5,137,120	5,098,330	4,616,347
TOTAL Agency Programs - All Funds Gross	4,080,860	4,612,009	5,048,673	4,951,711	4,561,369	5,137,120	5,098,330	4,616,347
Less Turnover								
TOTAL Agency Programs - All Funds Net	4,080,860	4,612,009	5,048,673	4,951,711	4,561,369	5,137,120	5,098,330	4,616,347

Summary of Funding

General Fund Net	2,621,049	3,152,198	3,588,862	3,491,900	3,101,558	3,677,309	3,638,519	3,156,536
Private Funds	1,459,811	1,459,811	1,459,811	1,459,811	1,459,811	1,459,811	1,459,811	1,459,811
TOTAL Agency Programs - All Funds Net	4,080,860	4,612,009	5,048,673	4,951,711	4,561,369	5,137,120	5,098,330	4,616,347

STATE FIRE ADMINISTRATION

Statutory Reference

C. G. S. Sections 7-323j-p

Statement of Need and Program Objectives

To reduce the death, injury and property damage due to fire, accidents, natural and man-made disasters by raising the fire and life safety education awareness level of the public and by increasing the proficiency of firefighters through education, training, certification and technical assistance programs.

Program Description

The three major activities of the Office of State Fire Administration are training, professional certification of fire fighting personnel and information/assistance services to the fire protection community and public.

The Training Division of the Connecticut Fire Academy offers in excess of 100 individual training programs to fire departments. Fire service personnel in Connecticut can choose from: Firefighter, Instructor, Hazardous Materials Technician, Technical Rescue, Apparatus Operator, Fire Officer, Terrorism Preparedness along with a number of special fire officer seminars and technical specialty courses.

Each program has a complete curriculum guide, some are deferred to the nine regional fire schools and local fire departments saving thousands of dollars in local curriculum development expenses and reducing duplication of effort.

Most courses are conducted in local facilities and are taught by per diem adjunct instructors. Programs are delivered in every part of the state making them readily available to all of Connecticut's 30,000 fire service personnel.

The Connecticut Fire Academy, a state-of-the-art training facility, began operation in February 1994. This facility serves as the focal point for fire service training and education in the state. Hands-on and technical/specialty training programs are conducted at this facility.

The Certification Division offers 22 levels of professional competency testing in accordance with nationally developed standards. Certification is a voluntary program available to the state's career and volunteer fire service personnel. Many fire departments are making certification mandatory at the local level through by-law and contract requirements. The agency's system is accredited by the National Board on Fire Service Professional Qualifications and the International Fire Service Accreditation Congress.

Budget-in-Detail

Information and Assistance Services provide persons interested in fire prevention and control with access to a network of resources and provide services that are beyond the resources of local fire departments, such as:

- Free loan library of audio visual aids, books and reference materials on fire prevention and suppression.
- Mailing lists maintained by audience.
- Testing procedures for hiring or promoting fire service personnel.
- Juvenile fire-setting intervention training and referrals.
- Advise other state agencies regarding the purchase of fire fighting equipment and apparatus.
- Fire safety media campaigns and exhibits.
- Public education escape trailer and robotic devices available for loan to local communities.
- Assist local communities with volunteer firefighter recruitment and retention efforts.
- Administration of the Statewide Fire Rescue Disaster Response Plan.

- Staff the State's Emergency Operations Center (EOC) with fire service liaisons during activations for disasters, exercises and other emergencies.
- Statewide Honor Guard services.
- Support and coordination of the Regional Foam Trailer Program.

Outcome Measure

To increase the number of participants in agency programs by collaborating with state and federal agencies most notably the Department of Emergency Management and Homeland Security.

To increase customer satisfaction through greater information dissemination and the automation of student registration activities and individual student lookup of records and examination scores through the agency's internet portal.

Program Measure

	<u>2005-2006</u> <u>Actual</u>	<u>2006-2007</u> <u>Estimated</u>	<u>2007-2008</u> <u>Projected</u>	<u>2008-2009</u> <u>Projected</u>
Firefighters tested	3,325	3,300	3,300	3,300
Firefighters certified	2,959	3,000	3,000	3,000
Participating Fire Depts. (340 total)	325	325	325	325
Courses developed, taught	528	450	450	450
Persons trained	9,785	6,700	6,700	6,700
Film Movements	750	750	750	750
Fire Safety Education Programs	115	120	120	120
Persons impacted by services	1,600,000	1,600,000	1,600,000	1,600,000
Education material distribution	14,000	14,000	20,000	20,000
Student contact hours	156,903	140,000	140,000	140,000

Personnel Summary

	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	17	1	0	18	18	18	18	18
Private Funds	1	0	0	1	1	1	1	1

Financial Summary

	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,524,509	1,581,512	1,637,884	1,632,884	1,632,884	1,693,025	1,687,862	1,687,862
Other Expenses	570,724	834,104	899,196	846,634	732,092	913,502	860,675	732,092

Capital Outlay

Equipment	0	100	315,300	275,900	100	334,300	353,500	100
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Pmts to Other Than Local Governments

Fire Training School - Willimantic	80,425	160,537	160,537	160,537	160,537	160,537	160,537	160,537
Fire Training School - Torrington	55,050	84,250	84,250	84,250	84,250	84,250	84,250	84,250
Fire Training School - New Haven	36,850	43,127	43,127	43,127	43,127	43,127	43,127	43,127
Fire Training School - Derby	36,850	36,850	36,850	36,850	36,850	36,850	36,850	36,850
Fire Training School - Wolcott	48,300	59,643	59,643	59,643	59,643	59,643	59,643	59,643
Fire Training School - Fairfield	36,850	66,850	66,850	66,850	66,850	66,850	66,850	66,850
Fire Training School - Hartford	65,230	80,965	80,965	80,965	80,965	80,965	80,965	80,965
Fire Training School - Middletown	28,610	49,260	49,260	49,260	49,260	49,260	49,260	49,260
Payments to Volunteer Fire Companies	82,651	100,000	100,000	100,000	100,000	100,000	100,000	100,000
Fire Training School - Stamford	55,000	55,000	55,000	55,000	55,000	55,000	55,000	55,000
TOTAL-General Fund	2,621,049	3,152,198	3,588,862	3,491,900	3,101,558	3,677,309	3,638,519	3,156,536

Additional Funds Available

Private Funds	1,459,811	1,459,811	1,459,811	1,459,811	1,459,811	1,459,811	1,459,811	1,459,811
TOTAL - All Funds	4,080,860	4,612,009	5,048,673	4,951,711	4,561,369	5,137,120	5,098,330	4,616,347
Fire Prevention and Control Training								

AGENCY FINANCIAL SUMMARY – GENERAL FUND

<i>Current Expenses by Minor Object</i>	2005-2006	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	1,085,021	1,125,591	1,146,606	1,162,153	1,163,604	1,201,282
Other Positions	425,360	441,265	468,502	455,598	496,446	470,938
Other	11,008	11,420	19,489	11,791	29,589	12,188
Overtime	3,120	3,236	3,287	3,342	3,386	3,454
TOTAL-Personal Services Gross	1,524,509	1,581,512	1,637,884	1,632,884	1,693,025	1,687,862
Less Reimbursements						
Less Turnover						
TOTAL-Personal Services Net	1,524,509	1,581,512	1,637,884	1,632,884	1,693,025	1,687,862
<u>Other Expenses-Contractual Services</u>						
Dues and Subscriptions	466	581	593	598	605	598
Utility Services	83,670	100,858	119,460	107,327	120,322	107,327
Rentals, Storage and Leasing	5,928	6,663	41,651	7,604	41,794	7,604
Telecommunication Services	22,278	23,558	28,026	28,577	28,573	28,577
General Repairs	196,209	248,066	247,304	251,687	252,498	251,687
Motor Vehicle Expenses	21,689	31,698	32,364	27,822	33,044	27,822
Fees for Outside Professional Services	7,057	122,275	124,842	9,052	127,464	9,052
Fees for Non-Professional Services	5,432	5,939	6,064	6,968	6,191	6,968
DP Services, Rentals and Maintenance	22,698	39,622	40,454	29,116	41,303	29,116
Postage	21,682	22,688	23,164	27,812	23,651	27,812
Travel	6,601	7,147	7,296	8,467	7,449	8,467
Other Contractual Services	26,657	27,558	28,137	34,194	28,727	34,194
Printing & Binding	9,980	10,586	10,808	12,802	11,035	12,802
<u>Other Expenses-Commodities</u>						
Agriculture, Horticulture, Dairy & Food	1,460	1,634	1,668	1,873	1,702	1,873
Clothing and Personal Supplies	127	191	195	161	199	161
Maintenance and Motor Vehicle Supplies	22,835	26,874	27,234	29,292	27,602	29,292
Medical Supplies	12	18	19	15	20	15
Fuel	87,636	128,078	129,231	112,414	130,006	112,414
Office Supplies	15,120	16,098	16,436	19,395	16,782	19,395
Refunds of Expenditures Not Otherwise Classified	12,710	13,275	13,553	16,304	13,838	16,304
<u>Other Expenses-Sundry</u>						
Sundry - Other Items	477	697	697	612	697	612
TOTAL-Other Expenses Gross	570,724	834,104	899,196	732,092	913,502	732,092
Less Reimbursements						
TOTAL-Other Expenses Net	570,724	834,104	899,196	732,092	913,502	732,092
<u>Pmts to Other Than Local Govts</u>						
Fire Training School - Willimantic	80,425	160,537	160,537	160,537	160,537	160,537
Fire Training School - Torrington	55,050	84,250	84,250	84,250	84,250	84,250
Fire Training School - New Haven	36,850	43,127	43,127	43,127	43,127	43,127
Fire Training School - Derby	36,850	36,850	36,850	36,850	36,850	36,850
Fire Training School - Wolcott	48,300	59,643	59,643	59,643	59,643	59,643
Fire Training School - Fairfield	36,850	66,850	66,850	66,850	66,850	66,850
Fire Training School - Hartford	65,230	80,965	80,965	80,965	80,965	80,965
Fire Training School - Middletown	28,610	49,260	49,260	49,260	49,260	49,260
Payments to Volunteer Fire Companies	82,651	100,000	100,000	100,000	100,000	100,000
Fire Training School - Stamford	55,000	55,000	55,000	55,000	55,000	55,000
TOTAL-Pmts to Other Than Local Govts	525,816	736,482	736,482	736,482	736,482	736,482

Budget-in-Detail

<i>Character & Major Object Summary</i>	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	1,524,509	1,581,512	1,637,884	1,632,884	1,632,884	1,693,025	1,687,862	1,687,862
Other Expenses Net	570,724	834,104	899,196	846,634	732,092	913,502	860,675	732,092
Capital Outlay	0	100	315,300	275,900	100	334,300	353,500	100
Payments to Other Than Local Governments	525,816	736,482	736,482	736,482	736,482	736,482	736,482	736,482
TOTAL-General Fund Net	2,621,049	3,152,198	3,588,862	3,491,900	3,101,558	3,677,309	3,638,519	3,156,536
<i><u>Additional Funds Available</u></i>								
Private Funds	1,459,811	1,459,811	1,459,811	1,459,811	1,459,811	1,459,811	1,459,811	1,459,811
TOTAL-All Funds Net	4,080,860	4,612,009	5,048,673	4,951,711	4,561,369	5,137,120	5,098,330	4,616,347

DEPARTMENT OF BANKING

AGENCY DESCRIPTION

The Department of Banking is responsible for the regulation and examination of financial institutions and various related entities, which are chartered, licensed or registered by the state. The purpose of such regulation is to ensure the safety and soundness of these institutions and to protect the public's interest. In this regard, the Banking Commissioner is charged with administering the banking and credit union laws of the state as well as the laws regarding securities, tender offers and business opportunities. The Banking Commissioner also administers the Truth-in-Lending Act and other consumer credit laws and a major portion of the law concerning rental security deposits.

The department has four major units: Financial Institutions Division, the Consumer Credit Division, the Securities and Business Investments Division and Management Services.

The Financial Institutions Division is responsible for the supervision of state-chartered bank and trust companies, savings banks and savings & loan associations and uninsured banks. The division also supervises foreign banking organizations and bank holding companies and has responsibility for analyzing applications for new charters, acquisitions, mergers, branches and changes in corporate structure. The Financial Institutions Division is also responsible for the supervision of state-chartered credit unions and is responsible for analyzing applications for new charters, mergers and requests for field of membership expansions.

The Consumer Credit Division is responsible for the enforcement of Connecticut's laws concerning small loan companies, sales finance companies, debt adjusters, issuers of Connecticut

payment instruments, money transmitters, check cashers, secondary mortgage lenders and brokers, first mortgage lenders and brokers, consumer collection agencies and other consumer credit laws.

The Securities and Business Investments Division is responsible for the registration of securities and business opportunity offerings for sale in Connecticut; the registration of broker-dealers, investment advisers and their agents and branch offices; the examination of broker-dealer, investment adviser and branch office registrants; and the enforcement of the state's securities, business opportunity and tender offer laws.

Management Services consists of the Banking Commissioner's office, the Business Office, the Government Relations and Consumer Affairs Division, the Legal Division, the MIS unit and the Human Resources Department. Operating collectively, Management Services is responsible for establishing and enforcing the required policies and guidelines needed to manage and operate the agency.

During the FY2006, the department assisted thousands of Connecticut consumers and investors who contacted the agency with inquiries and complaints on regulated matters. Under the provisions of Section 36a-65 of the Connecticut General Statutes, depository institutions supervised by the department pay fees and assessments in a sufficient amount to cover that portion of the department's expenses not funded by the various license, registration and other fees collected by the agency. The department is thus self-sustaining and operates under the state's Banking Fund, which is separate from the state's general fund.

AGENCY PROGRAM INDEX

Financial Institutions Division	222	Consumer Credit	223
Securities & Business Investments	222	Management Services	224

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Remove or Limit Inflation
- Achieve Efficiencies

Through a line item reduction in other expenses and a deferral of the purchase of replacement equipment.

<u>2007-2008</u>	<u>2008-2009</u>
-42,601	-86,091
-170,000	-311,880

New or Expanded Services

- IT – Licensing System

An additional \$100,000 will be carried forward from the Department's FY07 appropriation to fund the the new licensing system.

- Facilities Upgrade

<u>2007-2008</u>	<u>2008-2009</u>	<u>2009-2010</u>
206,000	0	0
1,000,000	0	0

AGENCY PROGRAMS

Personnel Summary

Permanent Full-Time Positions

Banking Fund	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
	120	9	0	129	129	129	129	129

Agency Programs by Total Funds (Net of Reimbursements)

	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Financial Institutions Division	5,968,598	6,253,411	6,755,882	6,874,550	6,874,550	6,799,343	7,054,651	6,983,994
Securities & Business Investments	3,519,273	3,723,739	3,993,210	4,162,866	4,162,866	4,039,324	4,309,363	4,262,258

Regulation and Protection

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Department of Banking

Budget-in-Detail

Consumer Credit	2,028,707	2,212,284	2,594,625	2,675,333	2,675,333	2,673,888	2,835,035	2,811,483
Management Services	4,389,295	4,674,284	6,175,478	5,156,331	6,149,730	6,071,497	5,356,668	5,100,011
TOTAL Agency Programs - All Funds Gross	15,905,873	16,863,718	19,519,195	18,869,080	19,862,479	19,584,052	19,555,717	19,157,746
Less Turnover	0	0	-193,393	-193,393	-193,393	-196,613	-196,613	-196,613
TOTAL Agency Programs - All Funds Net	15,905,873	16,863,718	19,325,802	18,675,687	19,669,086	19,387,439	19,359,104	18,961,133

Summary of Funding

Banking Fund Net	15,878,318	16,836,163	19,298,247	18,675,687	19,669,086	19,359,884	19,359,104	18,961,133
Private Funds	27,555	27,555	27,555	0	0	27,555	0	0
TOTAL Agency Programs - All Funds Net	15,905,873	16,863,718	19,325,802	18,675,687	19,669,086	19,387,439	19,359,104	18,961,133

FINANCIAL INSTITUTIONS DIVISION

Statutory Reference

C.G.S. Title 36a, Chapters 664a, b, c; 665a and b; 666, 667 and 668

Statement of Need and Program Objectives

To safeguard depositor funds by regulating state-chartered depository institutions including state bank and trust companies, savings banks, savings and loan associations, uninsured banks, licensed entities, credit unions, and foreign banking organizations.

Program Description

The major activities to effect regulation include the following: conducts examinations of state-chartered depository institutions, holding companies and foreign banking

organizations to ensure compliance with statutory and regulatory requirements; prepares a Report of Examination for each institution examined to evaluate safety and soundness of the institution and following each examination, discusses the findings with the institution's management, including boards of directors; reviews periodic Reports of Condition and Income submitted by financial institutions to monitor changes; processes applications for new charters, mergers and acquisitions, establishing new branches, purchase/sale of branches, conversions, trust powers and service corporations and other similar requests requiring approval of the Commissioner; coordinates examinations with other state and federal regulatory authorities; monitors compliance with the Community Reinvestment Act and investigates and responds to complaints received.

Program Measure

	2005-2006 Actual	2006-2007 Estimated	2007-2008 Projected	2008-2009 Projected
Financial Institutions				
Percent of complaints that are resolved within 90 days	95%	95%	95%	95%
Percent of Community Reinvestment Act reviews where compliance is confirmed	100%	100%	100%	100%
Percent of Institutions Examined	75%	80%	80%	80%

Personnel Summary

	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Banking Fund	43	3	0	46	46	46	46	46

Financial Summary

(Net of Reimbursements)	2005-2006 Actual	2006-2007 Estimated	2007-2008 Requested	Current Services	2007-2008 Recommended	2008-2009 Requested	Current Services	2008-2009 Recommended
Personal Services	3,441,155	3,620,954	3,880,084	3,949,112	3,949,112	3,890,440	4,075,942	4,075,942
Other Expenses	558,682	658,440	725,533	625,533	625,533	735,010	635,010	564,353

Other Current Expenses

Fringe Benefits	1,883,536	1,878,017	2,054,265	2,208,590	2,208,590	2,077,893	2,252,384	2,252,384
Indirect Overhead	80,540	91,315	91,315	91,315	91,315	91,315	91,315	91,315
TOTAL-Banking Fund	5,963,913	6,248,726	6,751,197	6,874,550	6,874,550	6,794,658	7,054,651	6,983,994

Additional Funds Available

Private Funds	4,685	4,685	4,685	0	0	4,685	0	0
TOTAL - All Funds	5,968,598	6,253,411	6,755,882	6,874,550	6,874,550	6,799,343	7,054,651	6,983,994

Financial Institutions Division

SECURITIES AND BUSINESS INVESTMENTS

Statutory Reference

C.G.S. Title 36b

Statement of Need and Program Objectives

To protect the Connecticut investing public and to foster capital formation by discharging enforcement, examination and registration responsibilities under the Connecticut Uniform Securities Act, the Connecticut Business Opportunity Investment

Act, and the Connecticut Tender Offer Act; to help the investing public make informed investment decisions by requiring full and adequate disclosure concerning securities and business opportunity offerings; and to promote regulatory compliance as well as investor protection through a comprehensive educational outreach program.

Program Description

The following activities promote the Division's objectives: examining broker-dealer and investment adviser main offices and branches; investigating alleged violations of state securities and business opportunity investment laws; addressing complaints and inquiries received from Connecticut investors; registering broker-dealer and investment adviser firms and their agents; conducting one-on-one meetings with applicants to

facilitate the licensing and registration process; registering public offerings of securities and business opportunities; and educating the Connecticut investing public and the securities industry through a comprehensive outreach program that includes distribution of a quarterly Securities Bulletin, educational conferences and the dissemination of investor education materials.

<i>Program Measure</i>	<u>2005-2006</u>	<u>2006-2007</u>	<u>2007-2008</u>	<u>2008-2009</u>
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Percent of complex investigations that are closed within one year of being opened	57%	60%	60%	60%
Percent of complex written investor complaints resolved within 120 days	29%	40%	40%	40%
Number of Agent Licensee Filings Processed	116,956	120,000	120,000	120,000
Number of Firm Licensee and Notice Filers Processed	4,559	4,600	4,600	4,600
Number of Investment Company Filings Processed	7,459	7,500	7,500	7,500
Number of Exemption Filings Processed	3,151	3,000	3,000	3,000

<i>Personnel Summary</i>	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
Banking Fund	26	4	0	30	30	30	30	30

<i>Financial Summary</i> (Net of Reimbursements)	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	2,036,862	2,184,651	2,397,927	2,440,587	2,440,587	2,428,243	2,544,025	2,544,025
Other Expenses	302,004	355,928	305,841	305,841	305,841	307,988	307,988	260,883
<i>Other Current Expenses</i>								
Fringe Benefits	1,134,975	1,131,650	1,237,932	1,364,928	1,364,928	1,251,583	1,405,840	1,405,840
Indirect Overhead	45,432	51,510	51,510	51,510	51,510	51,510	51,510	51,510
TOTAL-Banking Fund	3,519,273	3,723,739	3,993,210	4,162,866	4,162,866	4,039,324	4,309,363	4,262,258
Securities & Business Investments								

CONSUMER CREDIT

Statutory Reference

Title 36a, Chapters 668 and 669 of the General Statutes

Statement of Need and Program Objectives

To assure that participants in the granting and servicing of consumer credit deal fairly and lawfully with users of consumer credit in Connecticut and to promote the informed use of credit by active enforcement of the state's consumer credit laws, dissemination of information to consumers and creditors and identification of problem areas requiring statutory or regulatory changes.

Program Description

The objectives are achieved by enforcing laws concerning: secondary mortgage lenders and brokers, first mortgage lenders and brokers, small loans, creditors' collection practices, check cashers, money transmitters, issuers of Connecticut payment instruments, sales finance companies, debt adjusters, truth in lending/fair credit billing, interest and finance charge rebates, consumer credit reports, retail installment sales financing, mortgage processing, mortgage servicing and consumer collection agencies.

The department ensures that only qualified applicants receive licenses. The following entities are licensed: small loan companies, sales finance companies, secondary mortgage loan lenders and brokers, consumer collection agencies, debt adjusters and first mortgage lenders and brokers, money transmitters, issuers of Connecticut payment instruments, and check cashers.

Licensed entities and entities engaging in regulated activities are examined or inspected to determine compliance with the law. Formal or informal enforcement activities are conducted as necessary.

Responses are made to all written complaints and inquiries. Corrective action is required with respect to violations of law. Disputes are informally resolved where possible, i.e., when no litigation is involved or anticipated. Information is provided to creditors and consumers concerning obligations and rights under applicable credit laws. Additionally, staff responds to inquiries, providing advice and counseling related to credit problems to both businesses and consumers.

<i>Program Measure</i>	<u>2005-2006</u>	<u>2006-2007</u>	<u>2007-2008</u>	<u>2008-2009</u>
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Percent of Examinations/Inspections that result in compliance	95%	95%	95%	95%
Percent of written complaints concluded within 90 days	80%	80%	85%	85%

Budget-in-Detail

<i>Personnel Summary</i>	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
Banking Fund	18	2	0	20	20	20	20	20
 <i>Financial Summary</i>	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,181,451	1,307,894	1,466,782	1,492,877	1,492,877	1,527,729	1,600,573	1,600,573
Other Expenses	180,333	212,534	319,450	319,450	319,450	321,882	321,882	298,330
<i>Capital Outlay</i>								
Equipment	0	23,500	0	0	0	0	0	0
<i>Other Current Expenses</i>								
Fringe Benefits	642,142	640,260	780,297	834,910	834,910	796,181	884,484	884,484
Indirect Overhead	24,781	28,096	28,096	28,096	28,096	28,096	28,096	28,096
TOTAL-Banking Fund	2,028,707	2,212,284	2,594,625	2,675,333	2,675,333	2,673,888	2,835,035	2,811,483
Consumer Credit								

MANAGEMENT SERVICES

Statutory Reference

C.G.S. Title 36a, Chapter 664a

Statement of Need and Program Objectives

To establish the required policies and guidelines needed to manage and operate the Department of Banking. To direct and supervise the various agency divisions in their regulation of Connecticut's financial and other institutions. To provide important support functions to assist line divisions in their regulatory responsibilities. To directly assist and serve agency customers, including the general public, regulated entities and government officials.

Program Description

Management Services encompasses the following units: the Banking Commissioner sets overall policy for the agency and directs management in the achievement of its regulatory and supervisory responsibilities. The Business Office is responsible for handling the agency's accounting, budgeting, fiscal, payroll, purchasing and financial reporting functions. The Government Relations and Consumer Affairs Division coordinates the department's legislative program, manages media relations, helps educate the public about financial services and serves as the agency's liaison in labor relations. The Legal Division provides legal counsel to the commissioner and agency divisions. The MIS Unit provides data processing and office automation support and the Personnel Office handles employment benefits, labor relations, and professional development activities.

<i>Personnel Summary</i>	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
Banking Fund	33	0	0	33	33	33	33	33
 <i>Financial Summary</i>	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	2,352,029	2,506,928	2,652,481	2,699,670	2,699,670	2,654,847	2,781,434	2,781,434
Other Expenses	681,141	802,773	1,746,130	783,520	1,546,919	1,733,456	813,003	718,226
<i>Capital Outlay</i>								
Equipment	0	0	292,080	100,100	330,100	164,002	161,980	100
<i>Other Current Expenses</i>								
Fringe Benefits	1,277,497	1,278,494	1,398,699	1,509,823	1,509,823	1,433,104	1,537,033	1,537,033
Indirect Overhead	55,758	63,219	63,218	63,218	63,218	63,218	63,218	63,218
TOTAL-Banking Fund	4,366,425	4,651,414	6,152,608	5,156,331	6,149,730	6,048,627	5,356,668	5,100,011
<i>Additional Funds Available</i>								
Private Funds	22,870	22,870	22,870	0	0	22,870	0	0
TOTAL - All Funds	4,389,295	4,674,284	6,175,478	5,156,331	6,149,730	6,071,497	5,356,668	5,100,011
Management Services								

AGENCY FINANCIAL SUMMARY – BANKING FUND

<i>Current Expenses by Minor Object</i>	2005-2006	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009		
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>		
<i>Personal Services</i>								
Permanent Fulltime Positions	8,613,391	9,183,798	9,815,560	10,001,003	9,972,661	10,458,069		
Other Positions	104,345	198,314	225,264	225,082	159,879	164,509		
Other	284,987	223,437	339,072	338,797	351,341	361,515		
Overtime	8,774	14,878	17,378	17,364	17,378	17,881		
TOTAL-Personal Services Gross	9,011,497	9,620,427	10,397,274	10,582,246	10,501,259	11,001,974		
Less Reimbursements								
Less Turnover	0	0	-193,393	-193,393	-196,613	-196,613		
TOTAL-Personal Services Net	9,011,497	9,620,427	10,203,881	10,388,853	10,304,646	10,805,361		
<i>Other Expenses-Contractual Services</i>								
Dues and Subscriptions	98,854	116,505	118,951	78,651	121,448	72,194		
Rentals, Storage and Leasing	742,024	874,521	850,077	562,081	850,824	505,768		
Telecommunication Services	118,093	139,181	142,104	93,961	145,088	86,247		
General Repairs	10,464	12,332	14,016	9,268	14,308	8,506		
Motor Vehicle Expenses	8,323	9,809	10,015	6,622	10,225	6,078		
Fees for Outside Professional Services	208,059	245,210	1,021,331	688,513	997,152	608,546		
Fees for Non-Professional Services	49,821	58,717	81,286	53,747	86,339	51,325		
DP Services, Rentals and Maintenance	66,381	78,234	380,196	1,001,389	383,359	227,886		
Postage	30,114	35,491	36,236	23,960	36,997	21,993		
Travel	319,639	376,718	386,727	255,708	394,535	234,530		
Other Contractual Services	13,998	16,498	16,845	11,138	17,198	10,224		
Advertising	1,784	2,103	2,147	1,420	2,192	1,303		
Printing & Binding	5,324	6,274	6,406	4,236	6,541	3,888		
<i>Other Expenses-Commodities</i>								
Agriculture, Horticulture, Dairy & Food	1,265	1,491	1,522	1,006	1,553	923		
Books	1,727	2,035	2,078	1,374	2,122	1,261		
Maintenance and Motor Vehicle Supplies	1,536	1,810	1,873	1,238	1,923	1,143		
Office Supplies	44,754	52,746	54,091	35,766	55,269	32,855		
<i>Other Expenses-Sundry</i>								
Sundry - Other Items	0	0	10,000	6,612	10,210	6,069		
TOTAL-Other Expenses Gross	1,722,160	2,029,675	3,135,901	2,836,690	3,137,283	1,880,739		
Less Reimbursements	0	0	-38,947	-38,947	-38,947	-38,947		
TOTAL-Other Expenses Net	1,722,160	2,029,675	3,096,954	2,797,743	3,098,336	1,841,792		
<i>Other Current Expenses</i>								
Fringe Benefits	4,938,150	4,928,421	5,471,193	5,918,251	5,558,761	6,079,741		
Indirect Overhead	206,511	234,140	234,139	234,139	234,139	234,139		
TOTAL-Other Current Expenses	5,144,661	5,162,561	5,705,332	6,152,390	5,792,900	6,313,880		
<i>Character & Major Object Summary</i>								
	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	9,011,497	9,620,427	10,203,881	10,388,853	10,388,853	10,304,646	10,805,361	10,805,361
Other Expenses Net	1,722,160	2,029,675	3,096,954	2,034,344	2,797,743	3,098,336	2,077,883	1,841,792
Capital Outlay	0	23,500	292,080	100,100	330,100	164,002	161,980	100
Other Current Expenses	5,144,661	5,162,561	5,705,332	6,152,390	6,152,390	5,792,900	6,313,880	6,313,880
TOTAL-Banking Fund Net	15,878,318	16,836,163	19,298,247	18,675,687	19,669,086	19,359,884	19,359,104	18,961,133
<i>Additional Funds Available</i>								
Private Funds	27,555	27,555	27,555	0	0	27,555	0	0
TOTAL-All Funds Net	15,905,873	16,863,718	19,325,802	18,675,687	19,669,086	19,387,439	19,359,104	18,961,133

INSURANCE DEPARTMENT

AGENCY DESCRIPTION

To protect the consumer by administering and enforcing the insurance laws in the most responsive and cost effective manner.

To ensure financial reliability and responsibility of all licensed entities while maintaining an open, competitive market.

AGENCY PROGRAM INDEX

Insurance Examination	226	Insurance – Property & Casualty	229
Insurance Licensing	227	Insurance Market Conduct	230
Insurance Consumer Affairs	228	Agency Management Services	231
Insurance – Life & Health	228		

RECOMMENDED SIGNIFICANT CHANGES

<i>Reductions to Current Services</i>	<u>2007-2008</u>	<u>2008-2009</u>
• Remove or Limit Inflation	-49,044	-98,870
• Utilize FY2007 Carryforward for FY2008 Expenditure <i>The agency is using a 2007 carryforward to purchase a new phone system.</i>	-225,000	0
• Utilize FY2007 Carryforward for FY2008 Expenditure <i>The agency is using a 2007 carryforward to purchase imaging software that is compatible with the new web-based computer system.</i>	-125,000	0
• Achieve Efficiencies through a reduction in other expenses	-149,003	-149,003

AGENCY PROGRAMS

<i>Personnel Summary</i>	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
Insurance Fund	143	6	0	149	149	149	149	149
			2005-2006	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
<i>Other Positions Equated to Full Time</i>			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Insurance Fund			1	0	0	0	0	0
<i>Agency Programs by Total Funds (Net of Reimbursements)</i>	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Insurance Examination	7,019,806	7,783,178	7,785,154	7,822,046	7,822,046	7,949,929	8,080,322	8,080,322
Insurance Licensing	1,214,199	1,348,497	1,537,003	1,573,344	1,573,344	1,584,733	1,639,698	1,639,698
Insurance Consumer Affairs	1,742,033	1,930,214	2,013,505	2,055,736	2,055,736	2,071,289	2,135,050	2,135,050
Insurance - Life & Health	1,298,620	1,442,352	1,371,428	1,403,516	1,403,516	1,419,846	1,460,686	1,460,686
Insurance - Property & Casualty	1,187,912	1,318,759	1,321,172	1,351,948	1,351,948	1,361,607	1,407,822	1,407,822
Insurance Market Conduct	1,276,351	1,421,950	1,318,612	1,348,901	1,348,901	1,346,752	1,388,878	1,388,878
Agency Management Services	6,728,715	6,759,374	7,368,110	7,370,089	7,172,042	7,375,667	7,533,882	7,286,009
TOTAL Agency Programs - All Funds Gross	20,467,636	22,004,324	22,714,984	22,925,580	22,727,533	23,109,823	23,646,338	23,398,465
Less Turnover	0	0	-100,000	-100,000	-100,000	-125,000	-125,000	-125,000
TOTAL Agency Programs - All Funds Net	20,467,636	22,004,324	22,614,984	22,825,580	22,627,533	22,984,823	23,521,338	23,273,465
<i>Summary of Funding</i>								
Insurance Fund Net	20,275,430	21,804,324	22,404,984	22,615,580	22,417,533	22,764,823	23,301,338	23,053,465
Private Funds	192,206	200,000	210,000	210,000	210,000	220,000	220,000	220,000
TOTAL Agency Programs - All Funds Net	20,467,636	22,004,324	22,614,984	22,825,580	22,627,533	22,984,823	23,521,338	23,273,465

EXAMINATION

Statutory Reference

Section 38a-14

Statement of Need and Program Objectives

The Insurance Department has a statutory mandate to monitor the financial condition of insurance companies licensed to

transact the business of insurance in the State of Connecticut in order to protect policyholders, claimants and the public by ensuring that only solvent, financially well managed insurers are licensed to do business in Connecticut. "Insurer" includes life insurance companies, property/casualty insurance companies, surplus line carriers, health maintenance organizations, fraternal benefit societies, title insurance companies, mortgage guaranty

insurance companies, insurance departments of savings banks, approved reinsurers, and risk retention/purchasing groups.

Program Description

The principal functions of the Financial Regulation Division are financial analysis, company licensing, and the financial condition examination of all Connecticut domiciled insurers.

Financial analysis is done through a quarterly review of the financial statements of licensed insurers, using a priority based system and employing accounting practices and procedures as adopted by the National Association of Insurance Commissioners. The review and approval of domestic company mergers and acquisitions are conducted pursuant to the statutory standards of review. Other activities include redomestications, amendments to licenses, and transactions required to be filed for prior approval under Connecticut's holding company act.

The Division reviews the applications of insurers incorporated in other states that desire to be admitted to do business in Connecticut. Effective solvency regulation begins by identifying potential concerns prior to licensing. In addition, the Division handles formation and licensing of new Connecticut domiciled insurers. The Division makes recommendations for the revocation of licenses or authority to do business in this state when its review indicates the financial condition of an insurer is such that it may jeopardize Connecticut policyholders and claimants.

The Division conducts on-site financial condition examinations of Connecticut chartered companies at least once every five years. The number of financial condition examinations completed during any one year is a function of the priority-based system in place. The companies with a higher degree of risk are examined more frequently than those with a lower priority risk.

Program Measure

	<u>2005-2006</u> <u>Actual</u>	<u>2006-2007</u> <u>Estimated</u>	<u>2007-2008</u> <u>Projected</u>	<u>2008-2009</u> <u>Projected</u>
License applications Processed	54	56	60	62
Licensed Companies Regulated (Domestic/Foreign)			0	0
Domestic	114	115	116	117
Foreign	1,161	1,210	1,260	1,300
Annual valuation of life reserves	0	0	0	0

Personnel Summary

	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Insurance Fund	55	2	0	57	57	57	57	57

Financial Summary

	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	4,380,813	4,844,932	4,874,655	4,975,422	4,975,422	4,923,320	5,161,511	5,161,511
Other Expenses	43,836	41,073	41,935	41,935	41,935	42,815	42,815	42,815
<u>Other Current Expenses</u>								
Fringe Benefits	2,595,157	2,897,173	2,868,564	2,804,689	2,804,689	2,983,794	2,875,996	2,875,996
TOTAL-Insurance Fund	7,019,806	7,783,178	7,785,154	7,822,046	7,822,046	7,949,929	8,080,322	8,080,322
Insurance Examination								

LICENSING AND INVESTIGATION

Statutory Reference

Section 38a-11 and Chapter 702

Statement of Need and Program Objectives

To ensure that Connecticut citizens who purchase any form of insurance (property, casualty, life, accident, health, etc.) are protected against adverse effects of incompetence, financial irresponsibility or poor moral character by: licensing all individuals, partnerships, corporations, associations, and firms performing insurance services, and administering a program of continuing education for insurance producers.

Program Description

The Department strives to assure quality through licensing thirteen categories of individuals and entities, selling or servicing insurance in the following manner:

Establishes and reviews educational standards and requirements to be used by all parties for pre-license training, monitors examinations provided by an outside examination firm, and develops and administers license examinations for special lines of insurance.

Determines qualifications of applicants, issues initial licenses, and renews existing licenses for the following classes: producer, casualty adjuster, motor vehicle physical damage appraiser, premium finance company, fraternal agent, public adjuster, insurance consultant, surplus lines broker, viatical settlement broker, reinsurance intermediary, bail bond agent, managing general agent, and rental car company. This Division has the responsibility of maintaining official records of the 75,000 licensees and 490,000 company appointments. This Division also collects the premium tax due from Surplus Lines Brokers.

Program Measure

	<u>2005-2006</u> <u>Actual</u>	<u>2006-2007</u> <u>Estimated</u>	<u>2007-2008</u> <u>Projected</u>	<u>2008-2009</u> <u>Projected</u>
New Licenses Issued	22,000	19,500	21,000	20,500

Budget-in-Detail

New Company Appointments	125,000	125,000	125,000	125,000
Licenses Renewed	95,654	31,916	25,015	30,416
Company Appointments Renewed	552,024	0	612,262	0

Personnel Summary	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Insurance Fund	16	0	0	16	16	16	16	16

Financial Summary	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	794,988	880,499	981,545	1,008,659	1,008,659	999,621	1,055,822	1,055,822
<u>Other Current Expenses</u>								
Fringe Benefits	419,211	467,998	555,458	564,685	564,685	585,112	583,876	583,876
TOTAL-Insurance Fund	1,214,199	1,348,497	1,537,003	1,573,344	1,573,344	1,584,733	1,639,698	1,639,698
Insurance Licensing								

CONSUMER AFFAIRS DIVISION

Statutory Reference

Sections 38a-9 and 38a-10

Statement of Need and Program Objectives

To receive and review complaints from residents of this state concerning their insurance, including claims disputes, and serve as mediator to such disputes and to determine whether statutory requirements and contractual obligations within the Insurance Commissioner's jurisdiction have been fulfilled.

To conduct outreach programs necessary to properly inform and educate the public on insurance matters.

Program Description

The Consumer Affairs Division reviews complaints in order to answer questions, mediate disputes, and inform and educate the public on insurance matters.

The Consumer Affairs Examiners respond to all forms of insurance inquiries and review, analyze and mediate complaints. Approximately 75,000 telephone calls are received and over 8,000 written complaints and inquiries are responded to annually. In addition, the Consumer Affairs Division provides

insurance education by mail, through the Internet and in person at various locations throughout the state.

Examiners document all written and telephone complaints received and submit quarterly reports to the Commissioner. Such reports contain the number and types of complaints received and the number of such complaints, which have been resolved. The findings of such reports and suggestions for legislative initiatives are reported to the joint standing committee of the General Assembly on or before January 15 of each year.

The Division's medical/social outreach program, under the directions of its Assistant Director, undertook educating the medical community on insurance matters, reviewing complaint files involving medical necessity, and representing the Insurance Department on a number of committees including Birth to Three, Children and Special Health Care Needs, The Diabetes Educational Committee, and the Connecticut Medical Managed Care Council.

The division oversees an independent arbitration procedure for the settlement of disputes between claimants and insurance companies concerning auto physical damage and automobile property damage liability claims.

Program Measure	<u>2005-2006</u>	<u>2006-2007</u>	<u>2007-2008</u>	<u>2008-2009</u>
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Recovered to Consumers (\$M)	3.2	4.2	5.3	5.5
Complaints Filed	7,888	8,400	8,800	9,700
Complaints Reviewed and Closed	6,794	7,500	8,850	9,600

Personnel Summary	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Insurance Fund	17	0	0	17	17	17	17	17

Financial Summary	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,124,432	1,240,738	1,282,487	1,317,914	1,317,914	1,303,254	1,373,505	1,373,505
<u>Other Current Expenses</u>								
Fringe Benefits	617,601	689,476	731,018	737,822	737,822	768,035	761,545	761,545
TOTAL-Insurance Fund	1,742,033	1,930,214	2,013,505	2,055,736	2,055,736	2,071,289	2,135,050	2,135,050
Insurance Consumer Affairs								

LIFE AND HEALTH

Statutory Reference

Chapters 700b and 700c of the General Statutes

Statement of Need and Program Objectives

To protect all life and health insurance policyholders in Connecticut from unfair and deceptive policies. To ensure that premium rates are neither inadequate nor excessive. To oversee the regulation of managed care organizations, utilization review companies, and preferred provider networks.

Program Description

All life and health insurance policies must be approved by the Life and Health Division prior to being sold in Connecticut to ensure compliance with statutes, regulations and bulletins. Premium rates are reviewed for individual health, HMO, Medicare supplement, long-term care and credit insurance policies. Rate

increase requests are disapproved if they are judged to be excessive, inadequate or unfairly discriminatory. The managed care subunit oversees the external appeals and expedited review processes, produces a managed care report card, and licenses utilization review companies, and preferred provider networks.

Approximately 14,000 filings are reviewed annually for insurance companies, fraternal and health care centers licensed to sell life and health products.

There were 181 external appeals filed in 2005.

Licenses were issued to or renewed for 116 utilization review companies in 2005.

The managed care report card was mailed to legislators and public libraries, and is available upon request or by accessing the Department website.

Personnel Summary

Permanent Full-Time Positions	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Insurance Fund	10	0	0	10	10	10	10	10

Financial Summary

	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
(Net of Reimbursements)	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	843,928	934,744	875,721	899,669	899,669	891,582	939,704	939,704
<u>Other Current Expenses</u>								
Fringe Benefits	454,692	507,608	495,707	503,847	503,847	528,264	520,982	520,982
TOTAL-Insurance Fund	1,298,620	1,442,352	1,371,428	1,403,516	1,403,516	1,419,846	1,460,686	1,460,686
Insurance - Life & Health								

PROPERTY AND CASUALTY DIVISION

Statutory Reference

Section 38a-8 and Chapter 700 of the General Statutes

Statement of Need and Program Objectives

To protect policyholders and the public against excessive, inadequate or unfairly discriminatory rates by ensuring that the personal lines insurance markets are competitive to a reasonable degree and by increasing related insurance information available to the public.

To regulate the property and casualty lines of insurance (other than personal lines) to ascertain that rates are reasonable for the coverage provided.

To protect Connecticut policyholders against insurance rates which are excessive, inadequate or unfairly discriminatory.

To perform financial examinations of self-insurance pools, plans and associations and to perform rate making examinations of other organizations for the protection of members and the public.

Program Description

The Division administers insurance programs which involve 689 property and casualty insurance companies licensed to write such insurance in Connecticut. The premium volume written by these companies in Connecticut for 2005 was \$6.5 billion.

The Division regulates forms, rules, rates, and rating plans for all property and casualty insurance; approves self-insurance programs for groups, associations and municipalities for both workers' compensation insurance and automobile insurance; licenses rating advisory and joint underwriting organizations; and examines rating organizations.

The Division measures the degree of competition in the state market on a geographic and product basis for personal risk insurance. Tests include comparisons of profit anticipated in each individual rate filing with a department estimate of reasonable profit for that filing and a comparison of rate changes to changes in consumer price indices on an industry-wide basis. In the event of insufficient competition, the Division will recommend that the Commissioner institute the regulation of the affected insurance rates. If the company has violated this standard, the Division will recommend disapproval. It reviews insurance policy forms for reasonableness, consistency with similar policies on the market, readability, and compliance with statutory requirements.

The Division reviews and makes recommendations concerning rate and form filings for the residual market plans, which provide insurance for persons who are unable to obtain coverage from a company voluntarily. It also studies the operation of these plans and makes recommendations for improvements.

Program Measure

	2005-2006	2006-2007	2007-2008	2008-2009
	Actual	Estimated	Projected	Projected
Filings processed for forms/rates	4,400	4,400	4,500	4,500

Personnel Summary

Permanent Full-Time Positions	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended

Budget-in-Detail

Insurance Fund	10	0	0	10	10	10	10	10
Financial Summary	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	771,443	853,822	843,406	866,740	866,740	858,335	906,457	906,457
<u>Other Current Expenses</u>								
Fringe Benefits	416,469	464,937	477,766	485,208	485,208	503,272	501,365	501,365
TOTAL-Insurance Fund	1,187,912	1,318,759	1,321,172	1,351,948	1,351,948	1,361,607	1,407,822	1,407,822
Insurance - Property & Casualty								

MARKET CONDUCT

Statutory Reference
Section 38a-15

Statement of Need and Program Objectives

To review the activities of insurance companies doing business in this state with special emphasis on underwriting procedures, claims and consumer complaint handling, and actual servicing of insurance policies issued to Connecticut residents.

To provide further protection to the insurance consumer by investigating alleged violations of laws and regulations by individuals or organizations, with administrative action taken as warranted.

Program Description

The Division conducts on-site examinations of insurers' and licensed producers' books and records as they relate to coverage written for Connecticut citizens and commercial enterprises. To assure statutory compliance, the scope of an examination includes a review of underwriting techniques, claim resolution, and complaint handling records. Random document samples of policy declinations, cancellations, and non-renewals are retrospectively analyzed for adherence to statutory mandates. The Division verifies that rates being charged for various lines of insurance fall within approved parameters and that premium calculations are correct.

The Division monitors the marketing and solicitation practices of insurers and their representatives by examining advertising materials, agent training procedures, consumer complaints, and client files. Examiners may visit the offices of agents representing insurers being reviewed to verify statutory compliance and to make recommendations when necessary. As part of the marketing and solicitation review, the Market Conduct Division uses a consumer survey in an attempt to gather information from consumers to evaluate their concerns and needs. The survey focuses on questions of interest of the buying public specifically related to life, health and long term care. Questions range from, and thus provide information on, agent's performance to the consumer's understanding of the policy purchased.

This Division monitors the conduct of medical utilization review companies to ensure that they operate in compliance with Managed Care Act and other applicable statutes and regulations. The compliance program includes the gathering, and analysis of data concerning the authorization of payment for health care services and on-site examinations of the companies' activities.

To provide further protection to the insurance consumer by investigating alleged violations of laws and regulations by individuals or organizations, with administrative actions taken as warranted, including fines, suspensions, and revocations of licenses.

The Division conducts investigations of licensees when possible violations of laws are alleged or suspected. If as a result of these investigations, further administrative action is required, the Division, in conjunction with the Legal Division, pursues the proper administrative action. Where reviews result in serious statutory violations or serious mistreatment or deficiencies, the Division will perform a follow up examination within six months to insure compliance.

This Division has incorporated National Association of Insurance Commissioners' guidelines and technology into the market conduct exam procedures for the purpose of realizing standardization within the regulatory process for more efficient reviews and communication.

	Files		Fines	
	Open	Closed	\$ Amount	# Actions
Life & Health	17	13	\$233,940	12
Property & Casualty	11	8	\$128,000	7
Enforced	96	106	\$313,098	14
Utilization Review	19	20	\$71,500	20
Total	143	147	\$746,538	53

Program Measure

	<u>2005-2006</u> <u>Actual</u>	<u>2006-2007</u> <u>Estimated</u>	<u>2007-2008</u> <u>Projected</u>	<u>2008-2009</u> <u>Projected</u>
Licensee examinations completed	147	150	152	155
Resulted in administrative action	83	85	87	89

Personnel Summary

	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Insurance Fund	10	0	0	10	10	10	10	10

Financial Summary

	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	822,474	915,254	841,542	864,774	864,774	847,014	894,082	894,082

Other Current Expenses

Fringe Benefits	453,877	506,696	477,070	484,127	484,127	499,738	494,796	494,796
TOTAL-Insurance Fund	1,276,351	1,421,950	1,318,612	1,348,901	1,348,901	1,346,752	1,388,878	1,388,878
Insurance Market Conduct								

MANAGEMENT SERVICESStatutory Reference

Section 38a-8

Statement of Need and Program Objectives

To plan, organize, direct and support all administrative operations of the Department of Insurance in order to ensure that programs can be accomplished in an efficient and effective manner.

Program Description

The Office of the Commissioner directs the day to day operations of the Department. The Office is responsible for developing and overseeing all aspects of Department policy, and ensuring that the Department's mission, which is to protect consumers and regulate the insurance industry, is achieved and maintained.

The Business Office is responsible for all functions relating to budget and fiscal management services which include: preparation and administration of the department's budget; payroll preparation; purchase of all equipment, goods and services required by the department; contracting; payment of all expenses incurred; and collection and deposit of all fees and assessments levied and received by the department.

The Legal Division drafts, monitors and analyzes legislation proposals; manages the drafting and adoption of department regulations; participates in department hearings involving rates,

license enforcement, and acquisitions and mergers of insurance companies; and acts as liaisons with the Office of the Attorney General. Additionally, this Division monitors the operations of the two insurance guaranty associations and manages the insurance company receivership activities on behalf of the Insurance Commissioner as a Court appointed receiver.

The Computer Systems Support Unit is responsible for all computer-related functions. The unit monitors all hardware and software to make sure it serves the needs of the Department in a responsive, innovative and cost effective manner. It also is responsible for the integrity, accuracy and effectiveness of all shared data for meeting the business needs of the department and it ensures that all computer output is delivered on a timely basis.

The Personnel Administration Unit is responsible for recruitment, promotions, separations, time and attendance, workers' compensation, labor relations, training, performance evaluations, development and implementation of the Affirmative Action Plan.

The Fraud Unit promotes insurance fraud prevention, detection and reporting through consumer outreach and education. Facilitates cooperation and communication among insurers, state and federal agencies, law enforcement and insurance industry groups; provides oversight of and assistance to insurers' antifraud programs; and determine and report on the scope and patterns of insurance fraud in Connecticut.

Program Measure

	<u>2005-2006</u> <u>Actual</u>	<u>2006-2007</u> <u>Estimated</u>	<u>2007-2008</u> <u>Projected</u>	<u>2008-2009</u> <u>Projected</u>
Regulations promulgated	2	3	4	5
Fines imposed and revenue (\$)	932,372	1,000,000	1,000,000	1,200,000

Personnel Summary

	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Insurance Fund	25	4	0	29	29	29	29	29
<u>Other Positions Equated to Full Time</u>								
Insurance Fund			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
			1	0	0	0	0	0

Financial Summary

	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	2,560,119	2,731,211	2,750,359	2,825,410	2,825,410	2,841,892	3,000,662	3,000,662
Other Expenses	2,501,340	2,339,497	2,599,946	2,457,722	2,259,675	2,384,579	2,343,670	2,095,797
<u>Capital Outlay</u>								
Equipment	92,452	135,500	167,050	144,500	144,500	150,050	134,500	134,500
<u>Other Current Expenses</u>								
Fringe Benefits	1,143,167	1,276,206	1,490,755	1,582,457	1,582,457	1,604,146	1,660,050	1,660,050
Indirect Overhead	239,431	76,960	150,000	150,000	150,000	175,000	175,000	175,000
TOTAL-Insurance Fund	6,536,509	6,559,374	7,158,110	7,160,089	6,962,042	7,155,667	7,313,882	7,066,009

Additional Funds Available

Private Funds	192,206	200,000	210,000	210,000	210,000	220,000	220,000	220,000
TOTAL - All Funds	6,728,715	6,759,374	7,368,110	7,370,089	7,172,042	7,375,667	7,533,882	7,286,009
Agency Management Services								

AGENCY FINANCIAL SUMMARY – INSURANCE FUND

<i>Current Expenses by Minor Object</i>	2005-2006	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009		
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>		
<i>Personal Services</i>								
Permanent Fulltime Positions	10,659,548	11,610,801	11,774,392	12,115,715	11,969,492	12,672,006		
Other Positions	148,417	40,320	112,000	91,350	115,000	92,775		
Other	479,872	738,079	551,323	539,523	568,526	554,962		
Overtime	10,360	12,000	12,000	12,000	12,000	12,000		
TOTAL-Personal Services Gross	11,298,197	12,401,200	12,449,715	12,758,588	12,665,018	13,331,743		
Less Reimbursements								
Less Turnover	0	0	-100,000	-100,000	-125,000	-125,000		
TOTAL-Personal Services Net	11,298,197	12,401,200	12,349,715	12,658,588	12,540,018	13,206,743		
<i>Other Expenses-Contractual Services</i>								
Dues and Subscriptions	55,618	65,000	66,365	60,393	67,759	58,950		
Utility Services	70,141	74,000	74,774	68,044	75,361	65,564		
Rentals, Storage and Leasing	1,211,470	1,332,097	1,360,071	1,200,006	1,388,633	1,223,111		
Telecommunication Services	46,240	42,838	43,737	39,800	44,655	48,848		
General Repairs	39,674	42,824	43,723	39,789	44,641	38,837		
Motor Vehicle Expenses	41,710	45,000	45,945	41,810	46,910	40,812		
Fees for Outside Professional Services	13,132	36,325	37,088	31,531	37,866	32,944		
Fees for Non-Professional Services	8,329	8,757	8,939	8,134	9,127	7,939		
DP Services, Rentals and Maintenance	768,216	464,200	592,773	501,804	332,831	310,815		
Postage	80,950	56,500	71,636	65,188	74,775	65,054		
Travel	82,457	92,162	106,052	96,508	111,027	96,593		
Other Contractual Services	11,984	13,350	13,630	12,398	13,916	12,107		
Advertising	4,096	7,000	7,147	6,504	7,297	6,348		
Printing & Binding	6,027	7,000	7,147	6,504	7,297	6,348		
<i>Other Expenses-Commodities</i>								
Agriculture, Horticulture, Dairy & Food	410	500	510	464	520	452		
Books	101	95	97	88	99	86		
Maintenance and Motor Vehicle Supplies	12,657	14,000	14,000	12,740	14,000	12,180		
Office Supplies	62,181	63,585	64,920	49,077	66,283	57,667		
<i>Other Expenses-Sundry</i>								
Employee Fringe Benefits	0	78	0	0	0	0		
Sundry - Other Items	29,783	15,259	83,327	60,828	84,397	53,957		
TOTAL-Other Expenses Gross	2,545,176	2,380,570	2,641,881	2,301,610	2,427,394	2,138,612		
Less Reimbursements								
TOTAL-Other Expenses Net	2,545,176	2,380,570	2,641,881	2,301,610	2,427,394	2,138,612		
<i>Other Current Expenses</i>								
Fringe Benefits	6,100,174	6,810,094	7,096,338	7,162,835	7,472,361	7,398,610		
Indirect Overhead	239,431	76,960	150,000	150,000	175,000	175,000		
TOTAL-Other Current Expenses	6,339,605	6,887,054	7,246,338	7,312,835	7,647,361	7,573,610		
<i>Character & Major Object Summary</i>								
	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	11,298,197	12,401,200	12,349,715	12,658,588	12,658,588	12,540,018	13,206,743	13,206,743
Other Expenses Net	2,545,176	2,380,570	2,641,881	2,499,657	2,301,610	2,427,394	2,386,485	2,138,612
Capital Outlay	92,452	135,500	167,050	144,500	144,500	150,050	134,500	134,500
Other Current Expenses	6,339,605	6,887,054	7,246,338	7,312,835	7,312,835	7,647,361	7,573,610	7,573,610
TOTAL-Insurance Fund Net	20,275,430	21,804,324	22,404,984	22,615,580	22,417,533	22,764,823	23,301,338	23,053,465
<i>Additional Funds Available</i>								
Private Funds	192,206	200,000	210,000	210,000	210,000	220,000	220,000	220,000
TOTAL-All Funds Net	20,467,636	22,004,324	22,614,984	22,825,580	22,627,533	22,984,823	23,521,338	23,273,465

OFFICE OF CONSUMER COUNSEL

AGENCY DESCRIPTION

The Office of Consumer Counsel (OCC) is an independent state agency with the responsibility of advocating for Connecticut consumers' interests in all matters with respect to utility related services.

To help ensure that all of Connecticut's consumers receive the highest level of utility related services at the lowest overall cost, OCC is authorized to participate in any regulatory or judicial proceedings, federal or state, affecting such interests.

The OCC is a party to all contested matters before the Department of Public Utility Control (DPUC) and participates actively in DPUC proceedings as well as proceedings at the

Federal Energy Regulatory Commission (FERC), the Federal Communications Commission (FCC) and state and federal court.

The OCC fulfills its statutory responsibility primarily by representing the interests of Connecticut's utility consumers in proceedings before the DPUC, which determines rates and services. Utilities, suppliers, aggregators and telecommunications service providers spend millions of dollars annually seeking favorable decisions on rate, service and policy matters with far reaching impacts. OCC often is the only party in a proceeding that scrutinizes, evaluates and prosecutes the full array of issues on behalf of all consumers and that presents alternatives more beneficial to consumers.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Remove or Limit Inflation

2007-2008 **2008-2009**

-10,698 -21,323

Reallocations or Transfers

- Governor's Energy Initiative – Reallocate DPUC Functions to the Office of the Consumer Counsel

1,055,132 1,088,828

Expand Office functions and transfer 7 positions to handle consumer complaints and interface with utilities on behalf of consumers.

AGENCY PROGRAMS

Personnel Summary

	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Energy Policy and Regulatory Fund	14	3	0	17	17	24	17	24

Agency Programs by Total Funds

(Net of Reimbursements)	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Utility Consumer Advocacy & Assistance	1,966,276	2,636,460	3,069,301	3,067,550	4,111,984	3,082,869	3,159,463	4,226,968
TOTAL Agency Programs - All Funds Gross	1,966,276	2,636,460	3,069,301	3,067,550	4,111,984	3,082,869	3,159,463	4,226,968
Less Turnover	0	0	0	-77,510	-77,510	0	-77,510	-77,510
TOTAL Agency Programs - All Funds Net	1,966,276	2,636,460	3,069,301	2,990,040	4,034,474	3,082,869	3,081,953	4,149,458
<i>Summary of Funding</i>								
Energy Policy and Regulatory Fund Net	1,966,276	2,636,460	3,069,301	2,990,040	4,034,474	3,082,869	3,081,953	4,149,458
TOTAL Agency Programs - All Funds Net	1,966,276	2,636,460	3,069,301	2,990,040	4,034,474	3,082,869	3,081,953	4,149,458

UTILITY CONSUMER ADVOCACY AND ASSISTANCE

Statutory Reference

C.G.S. Sections 16-2a and 16-49

Statement of Need and Program Objectives

To protect the interests of Connecticut's utility consumers and to help provide them with the lowest utility rates possible commensurate with the highest levels and best array of services.

Program Description

The Office of Consumer Counsel fulfills its legislative mandate by representing consumers before the DPUC, state and federal courts, the FERC, the FCC and other forums. The Office has been successful in the dismissal and reduction of rate increase requests. A great deal of attention is devoted to providing better services at the lowest possible costs for Connecticut consumers and advocating for those changes. Creation of opportunities to lower customers bills is important to increasing the

competitiveness of Connecticut business and the economic well being of Connecticut consumers.

The Office has made significant efforts to inform consumers of matters affecting rates and services of utility companies. Issues such as fuel adjustment clauses, rate design, access to and the quality of cable television service, rate increases, proposed regulations and utility system reliability have been discussed with consumers on an individual basis and with consumer groups as well as with state and federal officials.

The Office has the same responsibilities with respect to state or federal court cases that affect Connecticut utility consumers. In such forums the Office analyzes and evaluates relevant economic and management factors current and proposed state and federal law and policies, and decisions of the DPUC and other administrative bodies with particular regard to statutory and regulatory consistency. The Office also assesses the potential for successful judicial appeal. It then formulates positions that

Budget-in-Detail

serve the interests of Connecticut utility consumers and advances positions accordingly.

While litigation in administrative and judicial arenas is the primary role and responsibility of the Office, significant resources are devoted to educating and providing technical assistance and information to individuals and groups of

consumers, other state agencies and members of the General Assembly. These activities assist consumers in resolution of their disputes with utility companies and they assist the OCC in more effective consumer representation. These activities also include compilation of data and the provision of information and testimony to other bodies.

Program Measure

	<u>2005-2006</u> Actual	<u>2006-2007</u> Estimated	<u>2007-2008</u> Projected	<u>2008-2009</u> Projected
Interventions	236	550	550	550
Cases Decided by DPUC	377	545	545	545
Consumer Complaints	299	350	500	500
Impact of Advocacy-Savings to Rate Payers	\$550,000,000	\$550,000,000	\$550,000,000	\$550,000,000
New Dockets Opened-Not Including Reopened	216	216	216	216

Personnel Summary

	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Energy Policy and Regulatory Fund	14	3	0	17	17	24	17	24

Financial Summary

	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	898,133	1,246,280	1,369,120	1,499,783	2,107,266	1,385,875	1,559,995	2,191,358
Other Expenses	443,418	501,652	722,350	512,350	591,093	706,977	522,975	589,261
<u>Capital Outlay</u>								
Equipment	25,056	34,750	25,200	25,200	30,424	22,700	22,700	27,719
<u>Other Current Expenses</u>								
Fringe Benefits	506,404	679,866	778,719	794,090	1,138,958	793,405	817,666	1,174,387
Indirect Overhead	93,265	173,912	173,912	236,127	244,243	173,912	236,127	244,243
TOTAL-Energy Policy and Regulatory Fund	1,966,276	2,636,460	3,069,301	3,067,550	4,111,984	3,082,869	3,159,463	4,226,968
Utility Consumer Advocacy & Assistance								

AGENCY FINANCIAL SUMMARY – ENERGY POLICY AND REGULATORY FUND

Current Expenses by Minor Object

	2005-2006	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	891,968	1,232,939	1,346,018	2,084,164	1,361,773	2,167,256
Other	6,165	13,341	23,102	23,102	24,102	24,102
TOTAL-Personal Services Gross	898,133	1,246,280	1,369,120	2,107,266	1,385,875	2,191,358
Less Reimbursements						
Less Turnover	0	0	0	-77,510	0	-77,510
TOTAL-Personal Services Net	898,133	1,246,280	1,369,120	2,029,756	1,385,875	2,113,848

Other Expenses-Contractual Services

Dues and Subscriptions	38,863	43,897	44,819	43,897	45,760	43,897
Utility Services	13,438	15,178	15,320	15,178	15,418	15,178
Rentals, Storage and Leasing	147,393	167,282	170,795	167,282	174,381	167,282
Telecommunication Services	11,087	12,523	12,786	12,523	13,055	12,523
General Repairs	43,010	48,581	49,601	48,581	50,644	48,581
Motor Vehicle Expenses	1,019	1,151	1,175	1,151	1,200	1,151
Fees for Outside Professional Services	40,776	46,058	252,025	46,058	228,012	46,058
Fees for Non-Professional Services	56,021	63,278	64,608	63,278	65,965	63,278
DP Services, Rentals and Maintenance	18,875	21,320	21,768	21,320	22,225	21,320
Postage	5,172	5,842	5,964	5,842	6,090	5,842
Travel	30,914	34,919	51,398	45,700	51,596	45,700
Other Contractual Services	10,652	12,031	12,284	12,031	12,542	12,031
Advertising	100	113	115	113	117	113
Printing & Binding	618	698	1,400	698	1,400	698

Other Expenses-Commodities

Books	3,486	3,938	4,021	3,938	4,105	3,938
Maintenance and Motor Vehicle Supplies	422	477	477	477	477	477
Fuel	5,006	5,654	5,705	5,654	5,739	5,654
Office Supplies	6,631	7,490	7,647	96,931	7,808	95,099

Other Expenses-Sundry

Employee Fringe Benefits	9,545	10,781	0	0	0	0
Sundry - Other Items	390	441	442	441	443	441
TOTAL-Other Expenses Gross	443,418	501,652	722,350	591,093	706,977	589,261
Less Reimbursements						
TOTAL-Other Expenses Net	443,418	501,652	722,350	591,093	706,977	589,261

Other Current Expenses

Fringe Benefits	506,404	679,866	778,719	1,138,958	793,405	1,174,387
Indirect Overhead	93,265	173,912	173,912	244,243	173,912	244,243
TOTAL-Other Current Expenses	599,669	853,778	952,631	1,383,201	967,317	1,418,630

Character & Major Object Summary

	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	898,133	1,246,280	1,369,120	1,422,273	2,029,756	1,385,875	1,482,485	2,113,848
Other Expenses Net	443,418	501,652	722,350	512,350	591,093	706,977	522,975	589,261
Capital Outlay	25,056	34,750	25,200	25,200	30,424	22,700	22,700	27,719
Other Current Expenses	599,669	853,778	952,631	1,030,217	1,383,201	967,317	1,053,793	1,418,630
TOTAL-Energy Policy and Regulatory Fund Net	1,966,276	2,636,460	3,069,301	2,990,040	4,034,474	3,082,869	3,081,953	4,149,458

DEPARTMENT OF PUBLIC UTILITY CONTROL

AGENCY DESCRIPTION

The Department of Public Utility Control (DPUC) regulates public service companies in order to balance the public's need for adequate service at affordable rates with providers' need to make a reasonable return on their investment. Electric, gas, water, telephone and cable television companies come under the department's purview.

Decisions are made by four commissioners who are appointed to four-year terms by the Governor with the advice and consent of the legislature.

The DPUC incorporates the activities of the Connecticut Siting Council.

The Department is funded from a separate Energy Policy and Regulatory Fund.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Reduce Personal Services and Fringe Benefits to Affect Economies
- Remove or Limit Inflation
- Governor's Energy Initiative – Reduce Number of Agency Commissioners

2007-2008 2008-2009

-127,500 -132,500
-35,585 -71,795
-256,000 -265,500

Reallocations or Transfers

- Governor's Energy Initiative – Transfer Functions to the Department of Energy & the Office of the Consumer Counsel -9,949,386 -10,267,089

Streamline the agency to be responsible for only those regulatory actions necessary in approving rates or tariffs for public utilities (electric and gas) and responsibilities relating to both water and telecommunication utilities.

AGENCY PROGRAMS

Personnel Summary

Permanent Full-Time Positions

	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Energy Policy and Regulatory Fund	120	16	0	136	136	69	136	69
Siting Council	9	1	0	10	10	10	10	10

Agency Programs by Total Funds

(Net of Reimbursements)	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Public Utility Regulation	18,856,627	21,841,577	22,704,036	23,162,358	12,793,887	23,462,892	24,235,363	13,498,479
TOTAL Agency Programs - All Funds Gross	18,856,627	21,841,577	22,704,036	23,162,358	12,793,887	23,462,892	24,235,363	13,498,479
Less Turnover	0	0	-76,000	-76,000	-76,000	-78,500	-78,500	-78,500
TOTAL Agency Programs - All Funds Net	18,856,627	21,841,577	22,628,036	23,086,358	12,717,887	23,384,392	24,156,863	13,419,979

Summary of Funding

Energy Policy and Regulatory Fund Net	16,716,717	19,280,051	20,043,885	20,502,207	10,133,736	20,587,188	21,359,659	10,622,775
Siting Council	1,776,073	2,175,859	2,178,524	2,178,524	2,178,524	2,367,419	2,367,419	2,367,419
Federal and Other Activities	363,837	385,667	405,627	405,627	405,627	429,785	429,785	429,785
TOTAL Agency Programs - All Funds Net	18,856,627	21,841,577	22,628,036	23,086,358	12,717,887	23,384,392	24,156,863	13,419,979

PUBLIC SERVICE COMPANY REGULATION

Statutory Reference

C.G.S. Title 16 and Chapters 445, 446a, and 446e

Statement of Need and Program Objectives

To achieve reasonable and nondiscriminatory rates, safe and adequate service and efficient operations – while assuring revenues adequate for the operator – through the regulation of public service companies.

Program Description

Recent events have led the Department to pursue this goal through the following complementary courses of action:

Conducting management audits of the public service companies, performing research and analysis to provide data and support for adjudicatory decisions, monitoring and enforcement of utility safety requirements, resolving individual consumer complaints,

and increasing consumers' knowledge of their rights and counter-balancing utility companies' rate increase requests with an independently prepared and presented case.

The Department schedules, coordinates, and issues legal notices, conducts public hearings and adjudicates all contested cases. In rate cases, the technical units analyze information provided by parties, including depreciation schedules, construction and design, cost appropriateness, prior expense submissions, expense projections, return on equity, revenue requirements, proposals to issue additional debt or equity capital, appropriate expense and capitalization levels, supply alternatives, revenue projections and rate structures for different customer classes.

The Connecticut Siting Council reviews and acts on applications for approval of sites for construction, operation and maintenance of facilities for electric generation and transmission, fuel

transmission, telecommunications, hazardous waste management, low-level radioactive waste management and ash residue management.

The Council accepts and processes applications within strict statutory time limits. Consideration must be given to probable adverse environmental effects, public need for proposed facilities, public health and safety and local land use regulations, which the council's ruling may supersede or override. The Council must also respond to requests for rulings on the applicability of statutory and regulatory provisions to various projects and actions involving regulated facilities. To effectively

discharge the primary responsibility of issuing decisions, the Council encourages full public participation, including ample notice and the holding of public hearings in or near the site communities. The Council and its staff must also conform to all state administrative procedures; conduct necessary research into relevant subjects; conduct reviews of annual electric forecasts filed by electric utilities; participate in activities of various interagency boards and task forces; communicate and coordinate activities with related state and federal agencies and respond to public, legislative and media inquiries.

<i>Program Measure</i>	2005-2006	2006-2007	2007-2008	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Gas Pipeline Safety Inspections	310	310	395	395
"Call Before You Dig" Investigations Initiated	165	165	165	165
Regulatory Decisions Issued	342	342	335	335
Days of Hearing	177	225	230	230
Reports and Generic Decisions Issued	4	4	4	4
Telecom Tariffs and Interconnection Agreements Processed	887	887	940	940

<i>Personnel Summary</i>	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
Energy Policy and Regulatory Fund	120	16	0	136	136	69	136	69
Siting Council	9	1	0	10	10	10	10	10

<i>Financial Summary</i> (Net of Reimbursements)	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	9,535,355	11,397,000	11,628,234	11,878,520	5,908,826	11,907,611	12,427,383	6,225,131
Other Expenses	1,774,688	1,702,115	1,832,385	1,737,700	858,820	1,870,180	1,773,910	876,088
<i>Capital Outlay</i>								
Equipment	27,343	113,212	117,500	101,500	52,243	130,500	97,500	50,184
<i>Other Current Expenses</i>								
Fringe Benefits	5,232,776	5,992,915	6,381,575	6,700,296	3,308,682	6,534,897	6,979,175	3,468,707
Indirect Overhead	146,555	72,609	157,691	157,691	81,165	220,000	157,691	81,165
Nuclear Energy Advisory Council	0	2,200	2,500	2,500	0	2,500	2,500	0
TOTAL-Energy Policy and Regulatory Fund	16,716,717	19,280,051	20,119,885	20,578,207	10,209,736	20,665,688	21,438,159	10,701,275
<i>Additional Funds Available</i>								
Siting Council	1,776,073	2,175,859	2,178,524	2,178,524	2,178,524	2,367,419	2,367,419	2,367,419
Federal Contributions								
20700 Pipeline Safety	363,837	385,667	405,627	405,627	405,627	429,785	429,785	429,785
TOTAL - All Funds	18,856,627	21,841,577	22,704,036	23,162,358	12,793,887	23,462,892	24,235,363	13,498,479
Public Utility Regulation								

AGENCY FINANCIAL SUMMARY – ENERGY POLICY AND REGULATORY FUND

<i>Current Expenses by Minor Object</i>	2005-2006	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Personal Services</i>						
Permanent Fulltime Positions	8,579,296	10,370,208	10,577,386	4,857,978	10,824,167	5,141,687
Other Positions	13,633	16,226	165,000	165,000	167,400	167,400
Other	941,470	1,009,428	883,848	883,848	913,544	913,544
Overtime	956	1,138	2,000	2,000	2,500	2,500
TOTAL-Personal Services Gross	9,535,355	11,397,000	11,628,234	5,908,826	11,907,611	6,225,131
Less Reimbursements						
Less Turnover	0	0	-76,000	-76,000	-78,500	-78,500
TOTAL-Personal Services Net	9,535,355	11,397,000	11,552,234	5,832,826	11,829,111	6,146,631
<i>Other Expenses-Contractual Services</i>						
Dues and Subscriptions	109,379	106,558	108,796	26,100	111,080	26,100
Utility Services	70,535	68,716	69,357	39,464	69,801	39,464
Rentals, Storage and Leasing	829,752	789,281	805,856	498,000	822,779	498,000

Budget-in-Detail

Telecommunication Services	64,096	62,442	63,754	15,506	65,093	15,506
General Repairs	56,189	54,740	55,890	12,096	57,064	12,096
Motor Vehicle Expenses	15,260	14,866	15,179	3,707	15,498	3,707
Fees for Outside Professional Services	141,456	137,808	140,702	44,630	143,656	44,630
Fees for Non-Professional Services	121,575	118,440	187,807	59,512	191,399	61,662
DP Services, Rentals and Maintenance	40,285	39,246	40,070	9,805	40,912	9,805
Postage	20,092	19,574	19,985	4,750	20,405	4,750
Travel	104,413	93,989	114,948	32,800	118,866	32,800
Other Contractual Services	15,482	15,082	15,398	3,800	15,721	3,800
Advertising	44,992	43,832	44,752	20,800	45,692	20,800
Printing & Binding	5,149	5,016	5,121	1,250	5,229	1,250
<i><u>Other Expenses-Commodities</u></i>						
Agriculture, Horticulture, Dairy & Food	78	76	78	76	80	76
Books	9,205	8,968	9,156	8,968	9,348	8,968
Clothing and Personal Supplies	127	124	127	124	130	124
Maintenance and Motor Vehicle Supplies	3,977	3,874	3,898	1,763	3,923	1,763
Fuel	25,363	24,709	24,931	9,200	25,081	9,200
Office Supplies	88,180	85,905	87,710	64,256	89,552	79,374
<i><u>Other Expenses-Sundry</u></i>						
Sundry - Other Items	9,103	8,869	18,870	2,213	18,871	2,213
TOTAL-Other Expenses Gross	1,774,688	1,702,115	1,832,385	858,820	1,870,180	876,088
Less Reimbursements						
TOTAL-Other Expenses Net	1,774,688	1,702,115	1,832,385	858,820	1,870,180	876,088
<i><u>Other Current Expenses</u></i>						
Fringe Benefits	5,232,776	5,992,915	6,381,575	3,308,682	6,534,897	3,468,707
Indirect Overhead	146,555	72,609	157,691	81,165	220,000	81,165
Nuclear Energy Advisory Council	0	2,200	2,500	0	2,500	0
TOTAL-Other Current Expenses	5,379,331	6,067,724	6,541,766	3,389,847	6,757,397	3,549,872

Character & Major Object Summary

	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	9,535,355	11,397,000	11,552,234	11,802,520	5,832,826	11,829,111	12,348,883	6,146,631
Other Expenses Net	1,774,688	1,702,115	1,832,385	1,737,700	858,820	1,870,180	1,773,910	876,088
Capital Outlay	27,343	113,212	117,500	101,500	52,243	130,500	97,500	50,184
Other Current Expenses	5,379,331	6,067,724	6,541,766	6,860,487	3,389,847	6,757,397	7,139,366	3,549,872
TOTAL-Energy Policy and Regulatory Fund Net	16,716,717	19,280,051	20,043,885	20,502,207	10,133,736	20,587,188	21,359,659	10,622,775
<i><u>Additional Funds Available</u></i>								
Siting Council	1,776,073	2,175,859	2,178,524	2,178,524	2,178,524	2,367,419	2,367,419	2,367,419
Federal and Other Activities	363,837	385,667	405,627	405,627	405,627	429,785	429,785	429,785
TOTAL-All Funds Net	18,856,627	21,841,577	22,628,036	23,086,358	12,717,887	23,384,392	24,156,863	13,419,979



DEPARTMENT OF ENERGY

AGENCY DESCRIPTION

In an effort to streamline and centralize the energy planning and policy efforts of the State, the Governor establishes the new Department of Energy to tackle energy issues that affect both Connecticut's industries and residents. The new agency will incorporate energy-related personnel and resources that the State has in order to better prepare itself for the changing energy environment.

The Department of Energy will take a proactive stance to plan and prepare for the next energy crisis and bring together resources to minimize the effect such events can have on the State.

The new department will consist of 70 industry funded positions; 59 existing positions will come from the Department of Public Utility Control, and 10 existing positions will come from the Office of Policy and Management energy unit. A new Commissioner position will be established to head the agency.

RECOMMENDED SIGNIFICANT CHANGES

Reallocations or Transfers

- Governor's Energy Initiative – Reallocation of Utility Policy Function From the DPUC
- Governor's Energy Initiative – Reallocation of the OPM Energy Unit to the new Department of Energy

	<u>2007-2008</u>	<u>2008-2009</u>
	8,894,255	9,178,261
	839,114	1,135,287

New or Expanded Services

- Governor's Energy Initiative – Establish a Commissioner Position for the New Department of Energy
- Governor's Initiative – Utilize FY2007 Surplus – Electric Conservation Incentive Program

	<u>2007-2008</u>	<u>2008-2009</u>	<u>2009-2010</u>
	230,000	230,000	242,000
	0	0	0

Provide \$30 million in surplus funding for an incentive program to residents to actively undertake conservation and efficiency improvements in their households that will permanently reduce their usage of electricity.

AGENCY PROGRAMS

Personnel Summary

	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
Energy Policy and Regulatory Fund	0	0	0	0	0	70	0	73

Agency Programs by Total Funds

	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Energy Planning and Policy	0	0	0	0	10,887,566	0	0	11,467,745
TOTAL Agency Programs - All Funds Gross	0	0	0	0	10,887,566	0	0	11,467,745
Less Turnover								
TOTAL Agency Programs - All Funds Net	0	0	0	0	10,887,566	0	0	11,467,745
<u>Summary of Funding</u>								
Energy Policy and Regulatory Fund Net	0	0	0	0	9,963,369	0	0	10,543,548
Federal and Other Activities	0	0	0	0	924,197	0	0	924,197
TOTAL Agency Programs - All Funds Net	0	0	0	0	10,887,566	0	0	11,467,745

ENERGY PLANNING AND POLICY

Program Description

This agency will be responsible for assisting the Governor in formulating a comprehensive statewide energy policy and providing the means to implement that policy. In addition, the new agency will assume many of the planning functions currently

being done at the Department of Public Utility Control. As part of the new agency, the Governor proposes to establish an integrated resource planning process that will provide guidance to the development of the critical electric utility infrastructure.

Personnel Summary

	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
Energy Policy and Regulatory Fund	0	0	0	0	0	70	0	73

Budget-in-Detail

Financial Summary (Net of Reimbursements)	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	0	0	0	0	6,073,675	0	0	6,571,126
Other Expenses	0	0	0	0	769,505	0	0	754,068
<u>Capital Outlay</u>								
Equipment	0	0	0	0	44,033	0	0	42,298
<u>Other Current Expenses</u>								
Fringe Benefits	0	0	0	0	3,006,746	0	0	3,106,646
Indirect Overhead	0	0	0	0	68,410	0	0	68,410
Nuclear Energy Advisory Board	0	0	0	0	1,000	0	0	1,000
TOTAL-Energy Policy and Regulatory Fund	0	0	0	0	9,963,369	0	0	10,543,548
<u>Additional Funds Available</u>								
Federal Contributions								
81039 National Energy Information Center	0	0	0	0	13,776	0	0	13,776
81041 State Energy Program	0	0	0	0	375,000	0	0	375,000
81119 St Energy Pgm Special Proj	0	0	0	0	27,621	0	0	27,621
99136 Other Federal Assistance	0	0	0	0	507,800	0	0	507,800
TOTAL - All Funds	0	0	0	0	10,887,566	0	0	11,467,745
Energy Planning and Policy								

AGENCY FINANCIAL SUMMARY – ENERGY POLICY AND REGULATORY FUND

Current Expenses by Minor Object	2005-2006	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	0	0	0	5,422,827	0	5,887,682
Other Positions	0	0	0	165,000	0	167,400
Other	0	0	0	483,848	0	513,544
Overtime	0	0	0	2,000	0	2,500
TOTAL-Personal Services Gross	0	0	0	6,073,675	0	6,571,126
Less Reimbursements						
Less Turnover						
TOTAL-Personal Services Net	0	0	0	6,073,675	0	6,571,126
<u>Other Expenses-Contractual Services</u>						
Dues and Subscriptions	0	0	0	56,538	0	41,558
Utility Services	0	0	0	30,716	0	30,716
Rentals, Storage and Leasing	0	0	0	292,198	0	292,198
Telecommunication Services	0	0	0	48,142	0	48,142
General Repairs	0	0	0	42,740	0	42,740
Motor Vehicle Expenses	0	0	0	9,866	0	9,866
Fees for Outside Professional Services	0	0	0	26,808	0	26,808
Fees for Non-Professional Services	0	0	0	68,440	0	68,440
DP Services, Rentals and Maintenance	0	0	0	28,046	0	28,046
Postage	0	0	0	10,565	0	10,565
Travel	0	0	0	55,989	0	55,989
Other Contractual Services	0	0	0	10,082	0	10,082
Advertising	0	0	0	13,832	0	13,832
Printing & Binding	0	0	0	4,016	0	4,016
<u>Other Expenses-Commodities</u>						
Agriculture, Horticulture, Dairy & Food	0	0	0	76	0	76
Books	0	0	0	636	0	636
Clothing and Personal Supplies	0	0	0	124	0	124
Maintenance and Motor Vehicle Supplies	0	0	0	2,974	0	2,974
Fuel	0	0	0	18,709	0	18,709
Office Supplies	0	0	0	40,139	0	39,682

Other Expenses-Sundry

Sundry - Other Items	0	0	0	8,869	0	8,869
TOTAL-Other Expenses Gross	0	0	0	769,505	0	754,068
Less Reimbursements						
TOTAL-Other Expenses Net	0	0	0	769,505	0	754,068

Other Current Expenses

Fringe Benefits	0	0	0	3,006,746	0	3,106,646
Indirect Overhead	0	0	0	68,410	0	68,410
Nuclear Energy Advisory Board	0	0	0	1,000	0	1,000
TOTAL-Other Current Expenses	0	0	0	3,076,156	0	3,176,056

Character & Major Object Summary

	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	0	0	0	0	6,073,675	0	0	6,571,126
Other Expenses Net	0	0	0	0	769,505	0	0	754,068
Capital Outlay	0	0	0	0	44,033	0	0	42,298
Other Current Expenses	0	0	0	0	3,076,156	0	0	3,176,056
TOTAL-Energy Policy and Regulatory Fund Net	0	0	0	0	9,963,369	0	0	10,543,548

Additional Funds Available

Federal and Other Activities	0	0	0	0	924,197	0	0	924,197
TOTAL-All Funds Net	0	0	0	0	10,887,566	0	0	11,467,745

OFFICE OF THE HEALTHCARE ADVOCATE

AGENCY DESCRIPTION

The Office of the Healthcare Advocate (OHA) was established to assist health insurance consumers with plan selection, understanding their rights and responsibilities, appealing denials of service and reimbursement, and accessing services through information, referral and assistance.

OHA also provides information to the public, providers, agencies, and others regarding problems and concerns of health care consumers, and makes administrative and legislative recommendations to resolve those concerns.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Remove or Limit Inflation

<u>2007-2008</u>	<u>2008-2009</u>
-2,939	-5,941

AGENCY PROGRAMS

<i>Personnel Summary</i>	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
Insurance Fund	4	0	0	4	4	4	4	4
 <i>Agency Programs by Total Funds</i>								
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Current Services</u>	<u>2007-2008 Recommended</u>	<u>2008-2009 Requested</u>	<u>Current Services</u>	<u>2008-2009 Recommended</u>
Healthcare Advocate	543,629	690,535	726,901	717,448	714,509	762,103	757,333	751,392
TOTAL Agency Programs - All Funds Gross	543,629	690,535	726,901	717,448	714,509	762,103	757,333	751,392
Less Turnover								
TOTAL Agency Programs - All Funds Net	543,629	690,535	726,901	717,448	714,509	762,103	757,333	751,392
<i>Summary of Funding</i>								
Insurance Fund Net	543,629	690,535	726,901	717,448	714,509	762,103	757,333	751,392
TOTAL Agency Programs - All Funds Net	543,629	690,535	726,901	717,448	714,509	762,103	757,333	751,392

HEALTHCARE ADVOCATE

Statutory Reference

Public Act 99-284

Statement of Need and Program Objectives

Assist health insurance consumers with plan selections, understanding their rights and responsibilities and accessing services through information, referral and assistance.

Program Description

The Office of the Healthcare Advocate: maintains a toll-free number to assist and provide information to consumers, facilitates public comment on health insurance policies, tracks

complaints from the public and quantifies these complaints in order to make legislative and regulatory recommendations on behalf of consumers, and, analyzes and monitors federal and state law related to health insurance consumers and makes recommendations to enhance consumer protection as necessary.

Assists consumers with health insurance plan selection by providing information, referral and assistance to individuals about means of obtaining health insurance coverage and services, assist consumers with filing complaints and appeals and reviews consumers' health insurance records with written consent.

<i>Personnel Summary</i>	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
Insurance Fund	4	0	0	4	4	4	4	4
 <i>Financial Summary</i>								
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Current Services</u>	<u>2007-2008 Recommended</u>	<u>2008-2009 Requested</u>	<u>Current Services</u>	<u>2008-2009 Recommended</u>
Personal Services	246,289	387,193	346,965	346,965	346,965	366,722	366,722	366,722
Other Expenses	147,714	141,971	152,937	144,910	141,971	150,381	147,912	141,971
<i>Capital Outlay</i>								
Equipment	0	1,200	3,999	1,333	1,333	0	1,333	1,333

Other Current Expenses

Fringe Benefits	129,899	140,528	200,000	201,240	201,240	220,000	216,366	216,366
Indirect Overhead	19,727	19,643	23,000	23,000	23,000	25,000	25,000	25,000
TOTAL-Insurance Fund	543,629	690,535	726,901	717,448	714,509	762,103	757,333	751,392

Healthcare Advocate

AGENCY FINANCIAL SUMMARY – INSURANCE FUND**Current Expenses by Minor Object**

	2005-2006	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	245,476	318,668	336,365	336,365	355,222	355,222
Other Positions	0	50,000	0	0	0	0
Other	603	17,025	8,600	8,600	9,300	9,300
Overtime	210	1,500	2,000	2,000	2,200	2,200
TOTAL-Personal Services Gross	246,289	387,193	346,965	346,965	366,722	366,722
Less Reimbursements						
Less Turnover						
TOTAL-Personal Services Net	246,289	387,193	346,965	346,965	366,722	366,722

Other Expenses-Contractual Services

Dues and Subscriptions	926	891	910	892	929	892
Rentals, Storage and Leasing	6,696	6,442	6,577	6,446	6,715	6,447
Telecommunication Services	2,318	2,230	2,277	2,232	2,325	2,232
Fees for Outside Professional Services	28,058	60,000	61,260	59,993	62,546	59,828
DP Services, Rentals and Maintenance	600	600	613	601	626	601
Postage	716	689	703	689	717	688
Travel	145	150	153	150	156	150
Other Contractual Services	5,430	5,224	5,333	5,226	5,444	5,226
Advertising	101,256	62,352	71,689	62,389	67,471	62,593

Other Expenses-Commodities

Agriculture, Horticulture, Dairy & Food	201	193	197	192	201	193
Office Supplies	1,368	1,200	1,225	1,201	1,251	1,201

Other Expenses-Sundry

Sundry - Other Items	0	2,000	2,000	1,960	2,000	1,920
TOTAL-Other Expenses Gross	147,714	141,971	152,937	141,971	150,381	141,971
Less Reimbursements						
TOTAL-Other Expenses Net	147,714	141,971	152,937	141,971	150,381	141,971

Other Current Expenses

Fringe Benefits	129,899	140,528	200,000	201,240	220,000	216,366
Indirect Overhead	19,727	19,643	23,000	23,000	25,000	25,000
TOTAL-Other Current Expenses	149,626	160,171	223,000	224,240	245,000	241,366

Character & Major Object Summary

	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	246,289	387,193	346,965	346,965	346,965	366,722	366,722	366,722
Other Expenses Net	147,714	141,971	152,937	144,910	141,971	150,381	147,912	141,971
Capital Outlay	0	1,200	3,999	1,333	1,333	0	1,333	1,333
Other Current Expenses	149,626	160,171	223,000	224,240	224,240	245,000	241,366	241,366
TOTAL-Insurance Fund Net	543,629	690,535	726,901	717,448	714,509	762,103	757,333	751,392

DEPARTMENT OF CONSUMER PROTECTION

AGENCY DESCRIPTION

The Department of Consumer Protection is a regulatory agency responsible for protecting citizens from physical injury and financial loss that may occur as the result of unsafe or fraudulent products and services marketed in the State of Connecticut. The department's mission is to ensure a fair and equitable marketplace as well as safe products and services for consumers in the industries that it regulates. This protection is achieved through the licensure, inspection, investigation, enforcement, and public education activities conducted by staff in six major programs: the Regulation of Food and Standards; the Regulation of Drugs, Cosmetics and Medical Devices; the Regulation of Alcoholic Liquor; the Regulation of Occupational and Professional Licensing; the Regulation of Trade Practices; and Management Services.

The extent of the department's regulatory oversight is unique among state agencies since its jurisdiction frequently overlaps with other Connecticut state agencies. The department is responsible for enforcing numerous significant consumer protection laws including the Connecticut Unfair Trade Practices Act, the Connecticut Pure Food, Drug & Cosmetic Act, the Connecticut State Child Protection Act and the Connecticut Weights & Measures Act.

The agency remains vigilant against unexpected, as well as ongoing, health, safety and product-related problems. The Department of Consumer Protection must be able to mobilize staff at any time in order to respond quickly and effectively to a food, drug, product safety or economic crisis affecting Connecticut's marketplace or citizens.

AGENCY PROGRAM INDEX

Regulation of Food and Standards	245	Regulation of Trade Practices	248
Regulation of Drugs, Cosmetics & Med Devices	246	Regulation of Occupational & Prof Licensing	249
Regulation of Alcoholic Liquor	247	Agency Management Services	250

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	2007-2008	2008-2009
• Transfer Equipment to CEPF	-129,650	-123,745
• Remove or Limit Inflation	-29,953	-60,085
• Reduced personal services and other expenses, and deferred the purchase of replacement equipment to achieve efficiencies	-316,656	-312,683

AGENCY PROGRAMS

Personnel Summary

	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
<i>Permanent Full-Time Positions</i>								
General Fund	140	3	4	147	147	147	147	147
Private Funds	26	1	-1	26	27	27	27	27
<i>Other Positions Equated to Full Time</i>								
			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			2	2	2	2	2	2
Federal Contributions			0	2	2	2	0	0

Agency Programs by Total Funds

(Net of Reimbursements)	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Regulation of Food and Standards	2,041,483	2,317,828	2,318,299	2,287,299	2,199,756	2,329,431	2,284,531	2,225,400
Regulation of Drugs, Cosmetics & Medical Devices	1,041,284	1,408,299	1,586,392	1,558,504	1,511,685	1,412,267	1,422,518	1,376,015
Regulation of Alcoholic Liquor	2,775,174	3,468,999	3,586,000	3,521,654	3,452,908	3,618,380	3,588,439	3,485,383
Regulation of Trade Practices	2,478,968	2,771,685	3,039,107	3,037,150	3,006,924	3,188,008	3,186,731	3,153,460
Regulation of Occupational & Professional Licensing	1,290,502	1,452,802	1,509,444	1,495,737	1,467,568	1,531,832	1,516,332	1,468,752
Agency Management Services	3,223,699	3,722,996	4,107,168	3,942,265	3,727,508	4,132,997	4,043,284	3,836,312
TOTAL Agency Programs - All Funds Gross	12,851,110	15,142,609	16,146,410	15,842,609	15,366,349	16,212,915	16,041,835	15,545,322
Less Turnover	0	0	-11,862	-168,513	-168,513	-12,218	-171,822	-171,822
TOTAL Agency Programs - All Funds Net	12,851,110	15,142,609	16,134,548	15,674,096	15,197,836	16,200,697	15,870,013	15,373,500

Summary of Funding

General Fund Net	10,120,565	11,493,792	12,429,627	11,969,175	11,492,916	12,513,648	12,182,964	11,686,451
Federal and Other Activities	39,144	295,000	246,500	246,500	246,500	47,500	47,500	47,500
Bond Fund	41,315	0	0	0	0	0	0	0
Private Funds	2,650,086	3,353,817	3,458,421	3,458,421	3,458,420	3,639,549	3,639,549	3,639,549
TOTAL Agency Programs - All Funds Net	12,851,110	15,142,609	16,134,548	15,674,096	15,197,836	16,200,697	15,870,013	15,373,500

REGULATION OF FOOD AND STANDARDSStatutory Reference

Chapters 416, 417, 418, 419a, 419b, 420a, 420d, 743b, 750, 751, 752, and 753

Statement of Need and Program Objectives

To protect the health and safety of Connecticut citizens by regulating all persons and businesses that manufacture or sell food products in the state in order to detect, and prevent, the distribution of adulterated, contaminated, or unsanitary food products. To protect both buyer and seller in marketplace transactions involving the determination of quantity and quality by ensuring that measurements are correct.

Program Description

Objectives are achieved through the integration of four agency business functions into a comprehensive action plan. These activities are:

Enforcement The Food and Standards Division conducts inspections of food-processing plants, warehouses, retail food stores, bakeries, non-alcoholic beverage plants, frozen dessert plants, vending machine locations, apple juice/cider plants, gasoline stations, heating oil dealers, and all weighing and measuring devices used commercially, such as retail store scales, motor truck scales, petroleum meters and home delivery truck meters. It also checks the packaging, labeling, unit pricing, scanning, and advertising of food products, kosher foods and non-food items, as well as restaurant menus, advertisements and gasoline station price signs, to ensure that the contents and their weights are represented correctly. New models of weighing and measuring devices are examined by the division and tested in the laboratory or field prior to their use, sale and distribution to ensure that they meet department requirements. The division also tests motor fuel for compliance with state and national standards, and works cooperatively with the Department of Motor Vehicles on the Commercial Vehicle Safety and Inspection program and the Calibration of Portable Scales program. The division's investigation of consumer complaints entails sample collection, interviews with consumers, manufacturers, wholesalers and retailers, and, in many cases, laboratory analysis. Pursuant to a memorandum of understanding with the U. S. Department of Agriculture, the Food and Standards Division is responsible for conducting effectiveness checks on any meat and poultry recalls that affect the State of Connecticut. Pursuant

to a memorandum of understanding with the Department of Environmental Protection, the division is also responsible for the enforcement and compliance requirements of the Stage II Vapor Recovery Program. The division oversees and supplements the inspection work performed by municipal sealers of weights and measures. It also oversees/regulates fuel retailers in the state.

Crisis Response The division is an integral part of the state's inter-agency network for rapid response to food crises during emergencies, natural disasters and nuclear-related emergencies. Food involved in fires, floods, vehicular accidents, recalls and other emergencies is inspected for contamination or adulteration and may be embargoed or designated for destruction. Two of the division's most important crisis response functions are those which pertain to product-tampering incidents and to federal or state recalls of food and drug products. Both of these functions entail communication with manufacturers and distributors, collection of samples for testing, possible removal of products from store shelves and complete monitoring of the incident.

Information and Referral The division responds to inquiries from citizens and licensees who are seeking information about food products, food-handling facilities, laboratory services, weights and measures devices, gasoline stations, petroleum products, product recalls, advertisements, state and federal laws and related issues. The division also provides speakers and materials to consumer groups, civic and professional organizations, schools and trade shows to further the public education and outreach efforts that are a key component of its enforcement program.

The Measurement Laboratory The division houses the State of Connecticut Measurement Center, which has custody of the physical standards of mass, length, volume, and temperature (clinical thermometer standards). The department must maintain its accreditation from the U. S. Department of Commerce National Institute of Standards and Technology (NIST) in order to ensure that the calibration services it provides to private and public sector customers are certifiable. Businesses and industries in the state must utilize NIST standards, which guarantee uniform measurement, in order to compete successfully in the national and international marketplace. Calibration services are also performed on the standards used by other state agencies, municipalities, registered dealers of weighing and measuring devices, institutions, and on those carried by the division's field inspectors.

Program Measure

	<u>2005-2006</u>	<u>2006-2007</u>	<u>2007-2008</u>	<u>2008-2009</u>
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Ratio and percentage of fuel meters in compliance to the total number of fuel meters inspected annually	9762/10726 (91%)	9762/10726 (91%)	9762/10726 (91%)	9762/10726 (91%)
Ratio and percentage of consumer complaints closed to the total number of consumer complaints received annually	664/925 (72%)	664/925 (72%)	664/925 (72%)	664/925 (72%)
Ratio and percentage of samples collected & analyzed to the total number of samples scheduled annually	452/850 (53%)	452/850 (53%)	452/850 (53%)	452/850 (53%)
Ratio and percentage of packages rejected to the number of packages weighed/tested annually	309/3007 (10%)	309/3007 (10%)	309/3007 (10%)	309/3007 (10%)

Personnel Summary

As of 06/30/2006	2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
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Budget-in-Detail

<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	24	0	0	24	24	24	24	24
Private Funds	3	0	0	3	3	3	3	3
<i>Financial Summary</i>	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,480,255	1,667,138	1,600,892	1,572,892	1,562,017	1,632,864	1,584,964	1,574,085
Other Expenses	244,677	316,945	326,975	326,975	287,307	328,614	328,614	283,362
<i>Capital Outlay</i>								
Equipment	0	0	40,000	37,000	0	0	3,000	0
TOTAL-General Fund	1,724,932	1,984,083	1,967,867	1,936,867	1,849,324	1,961,478	1,916,578	1,857,447
<i>Additional Funds Available</i>								
Bond Fund	1,255	0	0	0	0	0	0	0
Private Funds	315,296	333,745	350,432	350,432	350,432	367,953	367,953	367,953
TOTAL - All Funds	2,041,483	2,317,828	2,318,299	2,287,299	2,199,756	2,329,431	2,284,531	2,225,400

Regulation of Food and Standards

REGULATION OF DRUGS, COSMETICS AND MEDICAL DEVICES

Statutory Reference

Chapters 319y, 368p, 370, 400j, 416, 417, 418, 419, 420b, and 420c

Statement of Need and Program Objectives

To protect the health and safety of Connecticut citizens by regulating all persons and firms involved in the distribution of drugs, cosmetics and medical devices in order to detect, and prevent, the diversion of drugs from those channels.

Program Description

Objectives are achieved through the integration of three agency business functions into a comprehensive action plan. These activities are:

Enforcement The Drug Control Division has both regulatory and enforcement authority (administrative and criminal) relating to the distribution of legal drugs. Its oversight includes: all Connecticut health care practitioners who are authorized to prescribe controlled drugs; all in-state manufacturers, wholesalers and laboratories that handle controlled drugs and/or other drugs, medical devices and cosmetics; and all out-of-state wholesalers that distribute drugs, cosmetics and/or devices within the State of Connecticut. The division conducts inspections which include, but are not limited to: in-state pharmacies; institutional health care facilities; drug treatment programs; laboratories; individual practitioners' controlled substance stocks; and, manufacturers and wholesalers of drugs, cosmetics and medical devices. A single routine inspection requires from 2 to 48 person-hours, depending upon the type of facility being inspected. The division conducts re-inspections and special inspections for reasons that may include non-compliance, suspected excess drug purchases or pharmacy robberies. It receives and supervises the destruction of drug stocks from legal sources and evidence from law enforcement authorities and it performs controlled substance audits of pharmacies, hospitals, practitioners and wholesalers. The division also investigates the following: controlled substance diversion, loss, theft, or misuse of drugs from all legal sources; reported instances of non-compliance involving persons and firms in the legal distribution chain; reports of excess drug purchases by legal distributors; and consumer complaints involving drugs, cosmetics and/or medical devices. The division prepares, and executes, both arrest warrants and search and seizure warrants. It also prepares investigative reports for the

appropriate licensing authority and provides testimony at criminal trials and administrative hearings.

The division is an important component of the state's inter-agency network for rapid response to emergencies and natural disasters. Drugs, cosmetics and/or medical devices involved in fires, floods, vehicular accidents and other emergency situations are inspected for contamination or adulteration and, if necessary, are designated for destruction. Two of the most important crisis response functions are those which pertain to product-tampering incidents and to the federal or state recall of drug products, since potential public harm may occur as a result of the distribution of damaged or subpotent products. Both of these functions entail communication with manufacturers and distributors, collection of samples for testing, possible removal of products from store shelves, and complete monitoring of the incident. Drug control agents must also respond immediately to cases of drug abuse or misuse by health care practitioners, and to cases where special problems develop that represent a serious threat to public health and safety. Since September 11, 2001, the nation has worked purposefully towards developing response systems for disasters such as acts of terrorism, environmental accidents and infrastructure failures. These situations require new and innovative methods of drug supply, access and distribution for immediate delivery and the division is assisting in developing these new systems.

Information and Referral The division responds to inquiries from citizens, licensees, law enforcement officers and health care practitioners seeking information about pharmaceutical products, drugs, cosmetics, medical devices, pharmacists, pharmacies, health care practitioners, product recalls, advertisements, state and federal laws and numerous related issues. The division provides narcotic training programs for municipal officers, State Police officers and Judicial marshals; seminars, in-service training and continuing education programs for health care professionals; and newsletters and publications for health care practitioners and facilities. The division's web page assists consumers, health-care professionals, law enforcement personnel, and others in readily accessing information related to the distribution of drug products in the state. The division also provides speakers and materials to consumer groups, civic and professional organizations, schools and trade shows to further the public education and outreach efforts that are a key component of its enforcement program.

Program Measure

<u>2005-2006</u>	<u>2006-2007</u>	<u>2007-2008</u>	<u>2008-2009</u>
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	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Ratio and percentage of scheduled inspection hours conducted annually	1506/2922 (52%)	2575/2720 (95%)	2575/2720 (95%)	2575/2720 (95%)
Ratio and percentage of assigned investigations resulting in administrative or criminal actions	273/574 (48%)	273/574 (48%)	273/574 (48%)	273/574 (48%)
Ratio and percentage of law enforcement & health care professionals trained annually	2495/3000 (83%)	2495/3000 (83%)	2495/3000 (83%)	2495/3000 (83%)

Personnel Summary*Permanent Full-Time Positions*

	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	13	1	0	14	14	14	14	14

Other Positions Equated to Full Time

	2005-2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Federal Contributions	0		0	2	2	2	0	0

Financial Summary**(Net of Reimbursements)**

	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	964,486	1,072,535	1,205,210	1,196,522	1,188,249	1,269,317	1,260,368	1,251,717
Other Expenses	69,324	130,764	133,082	133,082	116,936	135,450	135,450	116,798

Capital Outlay

Equipment	0	0	41,600	22,400	0	0	19,200	0
TOTAL-General Fund	1,033,810	1,203,299	1,379,892	1,352,004	1,305,185	1,404,767	1,415,018	1,368,515

Additional Funds Available**Federal Contributions**

16002 U.S. Department of Justice	7,474	205,000	206,500	206,500	206,500	7,500	7,500	7,500
TOTAL - All Funds	1,041,284	1,408,299	1,586,392	1,558,504	1,511,685	1,412,267	1,422,518	1,376,015

Regulation of Drugs, Cosmetics & Med Devices**REGULATION OF ALCOHOLIC LIQUOR****Statutory Reference**

Sections 30-1 through 30-115

Statement of Need and Program Objectives

To protect the health and safety of Connecticut citizens by regulating all persons and firms involved in the distribution, sale and dispensing of alcoholic liquor in order to prevent sales to minors and intoxicated persons, guarantee product integrity and ensure that licensed premises are safe and sanitary.

Program Description

Objectives are achieved through the integration of three agency business functions into a comprehensive action plan. These activities are:

Enforcement The Liquor Control Division conducts inspections and investigations to ensure compliance with the provisions of state laws and regulations pertaining to the manufacture, importation, sale, and dispensing of alcoholic liquor. Applicants for liquor permits are investigated to assess their eligibility for licensure while establishments with permits are inspected to ensure that safety, sanitary conditions and suitability of conduct requirements are met. Field agents investigate alleged violations of the State Liquor Control Act that include: the sale of alcohol to minors and intoxicated persons; the conduct of premises as it pertains to unlawful activity such as suspected drug dealing, fights, and illegal gambling; deceptive or unfair trade practices; pricing; labeling; violations of regulations regarding adult entertainment; purchases of alcoholic liquor from prohibited entities; brand registration; reported instances of non-compliance; and consumer complaints involving alcoholic liquor. The division works in a collaborative manner with state and local law enforcement officers to conduct joint enforcement actions

such as alcohol compliance operations that utilize trained minors. It also seizes alcoholic liquor products, maintains the evidence for hearings and court cases, and subsequently supervises the destruction or disposal of these products. The Liquor control agents prepare investigative reports, testify at administrative proceedings that result in dispositions of violations by fining, suspending or revoking liquor permits, and may testify in federal or state courts.

Information and Referral The division responds to inquiries from citizens, permittees, and law enforcement officers who are seeking information about permit holders, permit requirements, brand registrations, the State Liquor Control Act and Regulations, acceptable forms of identification, and other related matters. The division also conducts training programs for state and local law enforcement officers, provides workshops for permittees and servers, and assists organizations by providing information and strategies designed to prevent underage drinking. The division provides speakers and materials to all of these entities as well as to special interest groups, civic and professional organizations and schools in order to further the public education and outreach efforts that are a component of its enforcement program.

Liquor Control Commission The Liquor Control Commission is a three-member regulatory body within the department that is chaired by the Commissioner of Consumer Protection. The commission oversees provisional permits and is utilized by the department to hold formal administrative hearings as well as compliance meetings regarding allegations which include, but are not limited to, the suitability of applicants and permittee premises, obtaining liquor permits by fraud, sales to minors and intoxicated persons, unlawful activity on permittee premises and other matters as are delegated to the Liquor Control Commission

Budget-in-Detail

by the Commissioner of Consumer Protection. The commission also reviews and approves final liquor permits, substitute

permittee applications, patio requests, and other issues that come before the Commission for consideration.

Program Measure

	<u>2005-2006</u> <u>Actual</u>	<u>2006-2007</u> <u>Estimated</u>	<u>2007-2008</u> <u>Projected</u>	<u>2008-2009</u> <u>Projected</u>
Ratio and percentage of assigned alcohol compliance checks completed annually	693/700 (99%)	750/800 (94%)	800/850 (94%)	800/850 (94%)
Ratio and percentage of new application investigations completed within 90 days	691/721 (96%)	725/750 (97%)	725/750 (97%)	725/750 (97%)
Ratio and percentage of assigned investigations resulting in administrative action	500/600 (83%)	525/600 (88%)	525/600 (88%)	525/600 (88%)
Ratio and percentage of assigned inspections conducted annually	1200/1500 (80%)	1250/1500 (83%)	1250/1500 (83%)	1250/1500 (83%)

Personnel Summary

	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	27	0	0	27	27	27	27	27
Private Funds	9	1	0	10	10	10	10	10
<i>Other Positions Equated to Full Time</i>								
General Fund			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
			1	1	1	1	1	1

Financial Summary

	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,698,986	1,865,735	1,990,134	1,925,788	1,884,468	1,978,671	1,948,730	1,877,348
Other Expenses	176,955	222,192	226,062	226,062	198,636	230,011	230,011	198,337
TOTAL-General Fund	1,875,941	2,087,927	2,216,196	2,151,850	2,083,104	2,208,682	2,178,741	2,075,685
<u>Additional Funds Available</u>								
Private Funds	867,563	1,291,072	1,329,804	1,329,804	1,329,804	1,369,698	1,369,698	1,369,698
Federal Contributions								
20600 State & Community Highway Safety	31,670	90,000	40,000	40,000	40,000	40,000	40,000	40,000
TOTAL - All Funds	2,775,174	3,468,999	3,586,000	3,521,654	3,452,908	3,618,380	3,588,439	3,485,383
Regulation of Alcoholic Liquor								

REGULATION OF TRADE PRACTICES

Statutory Reference

Chapters 400, 403, 407, 407a, 419d, 420, 420a, 420d, 734b, 735a, 735b, 737, 738b, 739, 740, 741, 743b, 743c, 743d, 743e, 743g, 743l, 743m, 743n, 743o, 743p, P.A. 00-118, P.A. 00-132, P.A. 01-9, P.A. 01-155, P.A. 02-01, P.A. 02-82, P.A. 03-167, P.A. 03-186, P.A. 03-260, P.A. 04-21, P.A. 04-22, P.A. 04-207

Statement of Need and Program Objectives

To protect Connecticut citizens from unfair or deceptive practices in the marketplace through the consumer protection laws and the mediation of disputes between buyers and sellers. To protect public health and safety by regulating the manufacture, distribution and sale of bedding and upholstered furniture products and by enforcing the provisions of the State Child Protection Act. To provide arbitration for the resolution of complaints concerning new automobiles and motorcycles purchased in the State of Connecticut.

Program Description

Objectives are achieved through the integration of several agency business functions into a comprehensive action plan. These activities are:

Enforcement The Trade Practices Division receives complaints and conducts investigations to determine if violations of applicable consumer protection laws exist. Documented violations are resolved by either voluntary compliance or referral for legal actions such as consent agreements, cease and desist

orders, criminal arrest, injunctive action, restitution and civil penalties. In complaints where no statutory violations are found, the department may provide informal mediation services to consumers. When no resolution is possible, consumers are counseled on their available options and given advice for future preventative measures. The division enforces the State Child Protection Act. It conducts product testing, monitors injury/death statistics and identifies priority issues from consumer complaints. Recalls are initiated and monitored when products do not comply with mandatory safety standards. Manufacturers of hazardous substances are inspected to determine if cautionary labeling is accurate and complete. Inspections of bedding and upholstered furniture are conducted at all levels of the manufacturing and distribution chain. The division also regulates closing out sales, home improvement contractors, health clubs, itinerant vendors and new home construction contractors. The division administers the Lemon Law Arbitration Program which provides an independent arbitration mechanism to settled disputes between consumers and automobile manufacturers regarding defective new cars and motorcycles. During FY2006, Lemon Law hearings resulted in \$3.8 million in restitution to consumers. The division also provides financial relief from fraudulent activities through its administration of the Home Improvement Guaranty Fund, the Health Club Guaranty Fund, the Itinerant Vendor Guaranty Fund and the New Home Construction Guaranty Fund.

Crisis Response The division recalls products that fail to meet mandatory safety standards. These recall actions entail communication with manufacturers and distributors, collection of samples for testing, possible removal of products from store shelves and a complete monitoring of the product removal from distribution. The division must also respond immediately to cases where emerging hazards develop for new products that represent a serious threat to public health and safety.

Information and Referral The agency's Consumer Complaint Center is an important component of the Trade Practices Division. It serves the public by processing, referring, tracking, mediating and making final disposition on all written complaints received by the division. The Complaint Center also: responds to Freedom of Information requests and inquiries for the complaint history of any business or person; provides documentation for the department's Trade Practices Division and other staff; prepares complaint files for potential legal action; provides information to other state, local and federal agencies; and provides ongoing updated information to consumers who write to the department. Additionally, the Complaint Center provides important analyses of emerging trends and problem areas through its case management database information system. This analysis provides the Commissioner of Consumer Protection with the information necessary to direct industry-wide investigations, develop legislation, prepare statewide consumer alerts and prioritize staff assignments.

Public Charities Unit The Public Charities Unit is a joint activity of Consumer Protection and the Office of the Attorney General's Office. Combining the administrative, investigatory and prosecutorial resources of the two agencies into one unit facilitates the accomplishment of two interrelated program objectives. Registered charities are required to file annual financial reports that provide comprehensive information on their income and expenditures. Paid soliciting firms hired by charities are also required to register with the unit, file a notice of each upcoming solicitation campaign and submit a post-campaign financial report. Reports and notices are reviewed for completeness and, where problems are noted, the charity or solicitor is asked to file an amended report. These sources provide the basis for an investigation of possible statutory violations which usually includes a detailed review of the alleged offender's financial records by the unit's auditor. If a violation is established, the unit proceeds with one or more of the following enforcement options: a civil suit in Superior Court, a criminal arrest, or an administrative action to revoke or suspend the registration of the charity or paid soliciting firm. The Public Charities Unit compiles and disseminates comprehensive statistics on charitable activity in Connecticut as well as on the activity of paid soliciting firms, and receives and responds to complaints and inquiries from the public, businesses and law enforcement agencies.

<i>Program Measure</i>	<u>2005-2006</u>	<u>2006-2007</u>	<u>2007-2008</u>	<u>2008-2009</u>
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Ratio and percentage of investigations resulting in administrative and criminal referrals	701/1500 (47%)	719/1530 (47%)	750/1600 (47%)	750/1600 (47%)
Ratio and percentage of consumer complaints completed within 60 days	6140/7986 (77%)	6175/8000 (77%)	6400/8200 (78%)	6500/8300 (78%)
Ratio and percentage of scheduled inspections conducted annually	922/1700 (54%)	950/1700 (56%)	970/1700 (57%)	980/1700 (58%)
Ratio and percentage of arbitration requests resolved within 90 days	132/145 (91%)	134/145 (92%)	140/150 (93%)	145/152 (95%)

<i>Personnel Summary</i>	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	22	0	2	24	24	24	24	24
Private Funds	11	0	-1	10	11	11	11	11

<i>Financial Summary</i> (Net of Reimbursements)	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,271,840	1,366,265	1,575,728	1,573,771	1,562,890	1,587,792	1,586,515	1,575,625
Other Expenses	115,580	156,420	159,444	159,444	140,100	162,531	162,531	140,150
<i>Other Current Expenses</i>								
Child Protection Registration	0	50,000	0	0	0	0	0	0
TOTAL-General Fund	1,387,420	1,572,685	1,735,172	1,733,215	1,702,990	1,750,323	1,749,046	1,715,775
<i>Additional Funds Available</i>								
Private Funds	1,091,548	1,199,000	1,303,935	1,303,935	1,303,934	1,437,685	1,437,685	1,437,685
TOTAL - All Funds	2,478,968	2,771,685	3,039,107	3,037,150	3,006,924	3,188,008	3,186,731	3,153,460

Regulation of Trade Practices

REGULATION OF OCCUPATIONAL AND PROFESSIONAL LICENSING

Statutory Reference

Chapters 382, 390, 391, 392, 393, 393b, 393c, 394, 396, 396a, 399b, 400b, 400f, 400g, 400h, 400i, 400l, 412, 416, 419e, 482, 734a, 735b, and 826

Statement of Need and Program Objectives

To protect Connecticut citizens from health and safety hazards, and from unsafe or unscrupulous practitioners, by administering a professional licensing procedure that assures only qualified, competent individuals are licensed in the occupational trades and in several professional licensing categories. To protect public health and safety through the enforcement of licensing obligations for numerous occupational and professional trades.

Program Description

Objectives are achieved through the integration of three agency business functions into a comprehensive action plan. These activities include:

Enforcement The major responsibilities and activities of the Occupational and Professional Licensing Division include the administration of laws for approximately 88,000 licensees within approximately 33 areas and, where applicable, the administration of nationally standardized examinations as approved by each licensing board. License categories handled by the division include, but are not limited to, plumbers, pipefitters, steamfitters, elevator repairers, well-drillers, real estate salesmen and brokers, real estate appraisers, interstate land sales, architects, professional engineers, land surveyors, landscape architects, interior designers, mechanical contractors, television and radio repairers, major contractors, public service technicians, home inspectors, automatic fire sprinkler system layout technicians, mobile home parks, glaziers and pool and spa repairers. The division ensures that applicants for licensure possess the required education and training by testing for specific knowledge of the licensed fields. It conducts inspections at worksites to ensure that all workers are properly licensed, investigates consumer complaints regarding the occupational and professional trades, and visits the business locations of various license holders to examine their records. The division provides financial relief to consumers from

fraudulent activities through its administration of the Real Estate Guaranty Fund.

Boards, Commissions and Councils The division provides administrative support to, and works closely with, numerous licensing boards, commissions and councils to administer and enforce laws regarding eligibility for licensure, and to develop and administer testing procedures that determine competency. These boards include the following: Plumbing and Piping Work Examining Board; Heating, Piping and Cooling Work Examining Board; Electrical Work Examining Board; Elevator Craftsman Work Examining Board; Fire Protection Sprinkler Work Examining Board; Automotive and Flat Glass Work Examining Board; Home Inspector Licensing Board; Architectural Licensing Board; State Boards of Examiners for Professional Engineers and Land Surveyors; State Board of Landscape Architects; Real Estate Commission; Real Estate Appraisal Commission; Board of Examiners of Shorthand Reporters; Major Contractor Advisory Council; and Mobile Manufactured Home Park Council.

Information and Referral The division responds to inquiries from citizens and licensees who are seeking information about licensing requirements, fees, state and local laws, licensees and related items. The division also provides speakers and materials to special interest groups, civic and professional organizations and schools to further the public education and outreach efforts that are a key component of its enforcement activities.

Program Measure

	<u>2005-2006</u> <u>Actual</u>	<u>2006-2007</u> <u>Estimated</u>	<u>2007-2008</u> <u>Projected</u>	<u>2008-2009</u> <u>Projected</u>
Ratio and percentage of inspections and investigations resulting in administrative referrals	529/1204 (44%)	540/1210 (45%)	555/1215 (46%)	565/1225 (46%)
Ratio and percentage of new license applications processed within 33 days	2945/4208 (70%)	2945/4208 (70%)	2945/4208 (70%)	2945/4208 (70%)
Ratio and percentage of scheduled inspections conducted annually	487/700 (70%)	510/700 (73%)	525/700 (75%)	540/700 (77%)

Personnel Summary

	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	19	0	0	19	19	19	19	19
Private Funds	1	0	0	1	1	1	1	1

Financial Summary

(Net of Reimbursements)	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,070,930	1,193,326	1,236,714	1,223,006	1,214,550	1,255,521	1,240,021	1,214,550
Other Expenses	123,863	154,476	162,480	162,481	142,768	160,548	160,548	138,439
TOTAL-General Fund	1,194,793	1,347,802	1,399,194	1,385,487	1,357,318	1,416,069	1,400,569	1,352,989

Additional Funds Available

Private Funds	95,709	105,000	110,250	110,250	110,250	115,763	115,763	115,763
TOTAL - All Funds	1,290,502	1,452,802	1,509,444	1,495,737	1,467,568	1,531,832	1,516,332	1,468,752

Regulation of Occupational & Prof Licensing

MANAGEMENT SERVICES

Statutory Reference

Section 21a-11

Statement of Need and Program Objectives

To coordinate and administer policies and programs designed to provide consumer protection to the general public through licensing, investigation, inspection, regulation, enforcement and education.

Program Description

The nature of the department's responsibilities demands constant vigilance against unexpected as well as ongoing health, safety and product-related problems. This is best accomplished by programs designed to prevent marketplace problems. Above all, it requires the ability to mobilize staff and resources in order to respond immediately and efficiently to food, drug, product safety and economic violations that threaten the physical and financial well-being of the citizenry. Six distinct areas comprise Management Services.

The Office of the Commissioner sets the agency's course by identifying priorities and by anticipating marketplace problems.

Policy directives, often influenced by federal or multi-state activity, are tailored to the needs of Connecticut's marketplace and are issued to the agency's division directors for study and action.

The Office of Communications and Education is responsible for providing relevant information to the public and alerting citizens to consumer news. This includes preparing and distributing press releases and public service announcements designed to increase public awareness of unsafe products and deceptive trade practices, and disseminating information regarding product recalls, embargoes, tampering and contamination through all available media resources. The office maintains the department's website and works with other governmental agencies, professional associations, business organizations and consumer groups on consumer protection issues.

The Legal Services Office provides in-house legal support services through administrative enforcement actions such as formal hearings, compliance meetings, agreements containing consent orders, voluntary assurances, investigative demands and subpoenas. Investigations conducted by the divisions within the

Department are referred to the Legal Services Office for action. This office is involved in enforcement of all regulated areas including home improvement, liquor control, occupational & professional licensing, food, weights & measures and drug control. The attorneys in the Legal Services Office are also involved in drafting legislation, regulations and declaratory rulings. They provide daily guidance to agency staff and respond to inquiries from consumers, licensees and outside counsel.

The License Services Division processes all licenses, permits, registrations and certificates issued by the department. During FY2006, the issuance of approximately 211,000 licenses in 208 different categories generated more than \$22 million in revenue for the State of Connecticut.

The Administrative & Accounting Services Office administers consumer restitution funds and accounts receivable activity. It also provides financial and administrative support services to the agency.

The Technical Systems Unit provides information technology support services to the agency.

Program Measure

	<u>2005-2006</u> <u>Actual</u>	<u>2006-2007</u> <u>Estimated</u>	<u>2007-2008</u> <u>Projected</u>	<u>2008-2009</u> <u>Projected</u>
Ratio and percentage of all enforcement employees to all agency staff	107/174 (61%)	111/179 (62%)	111/179 (62%)	111/179 (62%)
Ratio and percentage of all licensing employees to all agency staff	32/174 (18%)	34/179 (19%)	34/179 (19%)	34/179 (19%)
Ratio and percentage of renewal applications processed & licenses issued in less than 5 days	118M/183M (64%)	125M/183M (68%)	127M/183M (69%)	129M/183M (71%)
Ratio and percentage of renewal license applications processed electronically	0/183M (0%)	13M/183M (7%)	23M/183M (13%)	23M/183M (13%)

Personnel Summary

	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	35	2	2	39	39	39	39	39
Private Funds	2	0	0	2	2	2	2	2
<i>Other Positions Equated to Full Time</i>								
General Fund			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
			1	1	1	1	1	1

Financial Summary

	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	2,555,749	2,770,386	2,944,024	2,899,022	2,858,613	2,990,192	2,980,357	2,986,439
Other Expenses	347,920	527,610	574,494	574,493	504,795	646,265	581,382	501,323

Capital Outlay

Equipment	0	0	224,650	104,750	100	148,090	133,095	100
TOTAL-General Fund	2,903,669	3,297,996	3,743,168	3,578,265	3,363,508	3,784,547	3,694,834	3,487,862

Additional Funds Available

Bond Fund	40,060	0	0	0	0	0	0	0
Private Funds	279,970	425,000	364,000	364,000	364,000	348,450	348,450	348,450
TOTAL - All Funds	3,223,699	3,722,996	4,107,168	3,942,265	3,727,508	4,132,997	4,043,284	3,836,312

Agency Management Services

AGENCY FINANCIAL SUMMARY – GENERAL FUND

<i>Current Expenses by Minor Object</i>	2005-2006	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
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Budget-in-Detail

	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	8,636,230	9,493,852	9,964,780	9,917,108	10,155,026	10,106,376
Other Positions	127,924	147,989	193,984	172,123	203,906	182,102
Other	248,461	308,673	404,711	192,329	362,752	198,613
Overtime	29,631	32,223	38,000	38,000	41,500	41,500
TOTAL-Personal Services Gross	9,042,246	9,982,737	10,601,475	10,319,560	10,763,184	10,528,591
Less Reimbursements	0	-47,352	-48,773	-48,773	-48,827	-48,827
Less Turnover	0	0	-11,862	-168,513	-12,218	-171,822
TOTAL-Personal Services Net	9,042,246	9,935,385	10,540,840	10,102,274	10,702,139	10,307,942
<u>Other Expenses-Contractual Services</u>						
Dues and Subscriptions	25,200	31,123	31,777	27,963	32,445	27,968
Rentals, Storage and Leasing	783	1,123	1,147	1,009	1,171	1,010
Telecommunication Services	81,566	116,921	119,378	105,052	121,885	105,064
General Repairs	28,599	40,995	41,856	36,833	42,735	36,837
Motor Vehicle Expenses	253,790	316,468	323,113	282,248	329,899	284,844
Fees for Outside Professional Services	20,737	29,727	30,351	26,709	30,989	26,712
Fees for Non-Professional Services	38,584	62,201	64,932	57,141	66,190	57,057
DP Services, Rentals and Maintenance	120,170	269,121	271,097	238,565	337,998	235,425
Postage	226,101	225,886	230,630	202,954	235,474	202,978
Travel	16,769	24,038	24,543	21,598	25,058	21,600
Other Contractual Services	1,884	18,124	58,257	51,266	58,252	50,213
Advertising	600	860	878	773	896	772
Printing & Binding	6,345	15,967	16,302	14,346	16,645	14,348
<u>Other Expenses-Commodities</u>						
Agriculture, Horticulture, Dairy & Food	216	310	316	278	322	278
Books	617	884	903	795	922	795
Clothing and Personal Supplies	129	685	698	614	712	614
Maintenance and Motor Vehicle Supplies	104,311	149,526	158,147	139,171	149,773	129,105
Office Supplies	118,759	158,775	162,111	142,658	165,515	142,673
Refunds of Expenditures Not Otherwise Classified	12,407	17,784	18,158	15,979	18,540	15,982
<u>Other Expenses-Sundry</u>						
Sundry - Other Items	20,752	27,889	27,943	24,590	27,998	24,134
TOTAL-Other Expenses Gross	1,078,319	1,508,407	1,582,537	1,390,542	1,663,419	1,378,409
Less Reimbursements						
TOTAL-Other Expenses Net	1,078,319	1,508,407	1,582,537	1,390,542	1,663,419	1,378,409
<u>Other Current Expenses</u>						
Child Protection Registration	0	50,000	0	0	0	0
TOTAL-Other Current Expenses	0	50,000	0	0	0	0

Character & Major Object Summary

	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	9,042,246	9,935,385	10,540,840	10,222,488	10,102,274	10,702,139	10,429,133	10,307,942
Other Expenses Net	1,078,319	1,508,407	1,582,537	1,582,537	1,390,542	1,663,419	1,598,536	1,378,409
Capital Outlay	0	0	306,250	164,150	100	148,090	155,295	100
Other Current Expenses	0	50,000	0	0	0	0	0	0
TOTAL-General Fund Net	10,120,565	11,493,792	12,429,627	11,969,175	11,492,916	12,513,648	12,182,964	11,686,451
<u>Additional Funds Available</u>								
Federal and Other Activities	39,144	295,000	246,500	246,500	246,500	47,500	47,500	47,500
Bond Fund	41,315	0	0	0	0	0	0	0
Private Funds	2,650,086	3,353,817	3,458,421	3,458,421	3,458,420	3,639,549	3,639,549	3,639,549
TOTAL-All Funds Net	12,851,110	15,142,609	16,134,548	15,674,096	15,197,836	16,200,697	15,870,013	15,373,500

DEPARTMENT OF LABOR

AGENCY DESCRIPTION

The mission of the Department of Labor (DOL) is to protect and promote the interests of Connecticut's workers and assist workers and employers to be competitive in the global economy.

The department accomplishes its mission by providing a variety of services that benefit the workplace. These services include: income support that assists workers between jobs and stimulates the local economy; protection on the job (through statutes covering wages, safety regulations, working conditions, and on-site health and safety consultations); work-related training programs; job search and recruitment assistance (through the Connecticut Job Bank and local and regional job fairs); tax credit incentive programs and maintenance of the collective bargaining relationship. As the Connecticut arm of the U.S. Bureau of Labor Statistics, the department collects, analyzes and disseminates workforce data to inform businesses, the general public, educational institutions, and government planners and policymakers about employment issues and trends. Informational and enforcement responsibilities that serve both workers and employers are assigned to the department by statutes that cover the payment of wages, collection of Unemployment Insurance (UI) taxes, payment of UI benefits, health and safety, employment of minors, family and medical leave, representation by labor organizations and resolution of labor disputes.

Placing a strong emphasis on continuous improvement in terms of quality and customer satisfaction, the department provides both employment and unemployment services to workers and employers. In addition to providing unemployment insurance through its TeleBenefits telephone system and the Internet Online Service, DOL employees provide employment services from the department's central office in Wethersfield, and the 14 CTWorks one-stop centers located throughout the state. The department's website is also highly utilized by job seekers and employers interested in job fairs, wage standards, unemployment insurance, labor market information, and Connecticut's job bank and a wide variety of other workforce services.

DOL's major programs, Unemployment Insurance (UI) and the Wagner-Peyser Labor Exchange, are federally funded. Federal funding for the administration of the state's UI program is tied to the unemployment rate. Connecticut's unemployment rate has ranged from a high of 5.0 percent to a low of 3.9 percent during 2005 - 2006, much lower than the national average.

DOL continues to work with a coalition of business, labor and state and federal government agencies to develop federal legislation that would, among other things, increase administrative funding for UI and employment services.

AGENCY PROGRAM INDEX

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RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2007-2008</u>	<u>2008-2009</u>
• Transfer Equipment to CEPF	-229,636	-234,567
• Remove or Limit Inflation	-540,087	-1,091,489
• Eliminate STRIDE Program	-150,000	-150,000

The Skills, Transitional support, Respect, Integrity, Direction and Employment (STRIDE) program is a collaborative initiative between various departments and Quinebaug Valley Community College in the eastern part of the state to provide incarcerated and paroled individuals with employment skills. This proposal removes funding for the STRIDE program.

• Eliminate Opportunity Industrial Centers	-500,000	-500,000
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The five Opportunity Industrial Centers (OIC's) deliver services to individuals with barriers to employment. This proposal removes funding for the Opportunity Industrial Centers.

• Eliminate Incumbent Worker Training	-500,000	-500,000
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Funds were provided to the Regional Workforce Investment Boards for workplace-based skill training of incumbent workers. This proposal removes funding for the incumbent worker training initiative.

Within Current Services

• Adjust Authorized Position Count to Reflect OCE Positions	0	0
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The Department of Labor's position count is increased by 108 positions to reflect those positions that are funded within Other Current Expense (OCE) accounts.

• Maintain Current Operations Through Use of Federal Reed Act Funds	0	0
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In order to maintain current operations and offset federal funding reductions, the Department of Labor will utilize Reed Act funds for administrative functions and to modernize its information technology infrastructure. Currently, \$74 million in Reed act funds is available. Under this proposal, DOL will utilize \$28 million over the biennium.

AGENCY PROGRAMS

<i>Personnel Summary</i>	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	118	6	0	124	124	232	124	232
Employment Security Admin Fund	651	0	1	652	652	652	652	652
Private Funds	14	0	-5	9	11	11	11	11
<i>Other Positions Equated to Full Time</i>			2005-2006	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			1	0	0	0	0	0
Employment Security Admin Fund			11	11	11	11	11	11
<i>Agency Programs by Total Funds (Net of Reimbursements)</i>	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Job Readiness and Employment Services	58,542,702	69,188,128	72,958,348	67,975,257	64,661,989	74,740,425	69,067,163	65,261,084
Unemployment Insurance	53,122,644	60,078,751	57,039,841	57,039,841	57,039,841	58,647,333	58,647,333	58,647,333
Labor Market Information	2,205,728	2,562,128	2,622,290	2,618,331	2,617,289	2,648,852	2,645,046	2,642,942
Workforce Job Training & Skill Development	1,324,366	2,589,144	2,821,969	2,821,969	2,287,716	2,879,834	2,879,834	2,316,863
Wage & Workplace Standards	2,906,479	3,317,565	3,483,347	3,446,794	3,428,149	3,596,711	3,510,226	3,499,683
Occupational Safety and Health	3,079,424	3,241,485	3,328,854	3,296,724	3,162,720	3,350,975	3,331,804	3,199,043
Maintaining the Collective Bargaining Relationship	2,104,666	2,344,911	2,892,227	2,864,236	2,835,558	3,027,627	2,931,444	2,869,344
Agency Management Services	14,024,211	12,981,768	13,069,436	13,047,897	12,965,892	13,465,048	13,422,662	13,330,792
TOTAL Agency Programs - All Funds Gross	137,310,220	156,303,880	158,216,312	153,111,049	148,999,154	162,356,805	156,435,512	151,767,084
Less Turnover	0	0	-174,903	-174,903	-174,903	-181,731	-181,731	-181,731
TOTAL Agency Programs - All Funds Net	137,310,220	156,303,880	158,041,409	152,936,146	148,824,251	162,175,074	156,253,781	151,585,353
<i>Summary of Funding</i>								
General Fund Net	51,650,037	62,532,618	69,473,883	64,668,620	60,569,413	71,107,255	65,497,585	60,843,176
Workers' Compensation Fund Net	671,470	671,470	686,138	686,138	673,450	700,229	688,606	674,587
Employment Security Admin Fund	81,469,306	91,174,000	86,032,339	86,032,339	86,032,339	88,476,041	88,476,041	88,476,041
Federal and Other Activities	16,929	27,343	23,600	23,600	23,600	24,400	24,400	24,400
Bond Fund	247,291	0	300,000	0	0	300,000	0	0
Special Funds, Non-Appropriated	519,874	194,506	196,204	196,204	196,204	197,772	197,772	197,772
Private Funds	2,735,313	1,703,943	1,329,245	1,329,245	1,329,245	1,369,377	1,369,377	1,369,377
TOTAL Agency Programs - All Funds Net	137,310,220	156,303,880	158,041,409	152,936,146	148,824,251	162,175,074	156,253,781	151,585,353

JOB READINESS AND EMPLOYMENT SERVICES

Statutory Reference

C.G.S. Chapters 565 and 567, Public Law 105-220, Subtitle B, Sec. 112 (b)(18)(C), Sec. 31-3j, Sec. 31-3k, Sec. 31-3o, Chapter 319oo, Public Act 00-192, Sec. 17b-694, as amended by P.A. 99-279, P.A. 00-1, June Special Session, Sec. 12-217y as amended by P.A. 99-203 and P.A. 00-174

Statement of Need and Program Objectives

To provide job placement, supportive services and temporary financial assistance to individuals who are unemployed and/or are training for employment.

Program Description

The Workforce Investment Act (WIA) is funded by the U.S. Department of Labor and administered by the CT DOL. It requires that workforce programs must be accountable, customer driven, locally designed and able to deliver high quality services to citizens and business. It builds upon the Connecticut Works one-stop system and brings state agency partners into the system. WIA program funds are distributed to the five Workforce Investment Boards (WIB), which assess regional job training needs and operate the one-stop delivery system in their region.

WIA administration includes a wide variety of administrative support and services. WIA mandates that programs be monitored

through performance tracking. During the most recently completed program year for which final data is available, almost 6,000 participants were served. Of those exiting services, more than 78% were employed or had another positive outcome.

DOL's Rapid Response Unit provides information to workers affected by layoff and to their employers and unions. The unit conducts informational sessions for workers affected by mass layoffs and plant closings. At these sessions, workers learn about unemployment insurance benefits, job search assistance, the HUSKY plan and community resources.

The National Emergency Grants and Rapid Response Unit works with the appropriate WIBs to request additional funding in cases of large layoffs and plant closings when regular WIA funding is not sufficient to support the numbers being separated. In FY2006, Connecticut was awarded a total of \$2,000,000 to serve workers laid off from two companies.

The Job Corps program helps Connecticut's most vulnerable youth, ages 16 - 24, prepare to enter and stay in the workforce. DOL efforts directly link students with an array of DOL employment-related services. A coalition of state agencies supports and guides the Connecticut Job Corps Center, by providing an annual minimum of \$750,000 in services to benefit the center. Services provided include a child day care center, additional instructors and counselors, volunteer tutors and

coordination of reasonable accommodations for special needs students.

The Job Corps is an educational and vocational training program for Connecticut's most vulnerable, low income youth ages 16-24 (no age/income limit for youth with disabilities) to teach them the skills necessary to enter the job market and live on their own. It is administered by the US DOL. Connecticut has two Job Corps -- the New Haven Job Corps Center and the Hartford Job Corps Academy. Each of Connecticut's Job Corps facilities serves approximately 200 students per year. Both Job Corps are a residential and non-residential training facility that offer day care for the children of their non-residential students. The department links students with its services and works with state and community agencies to bring over \$1.6 million in services and resources to the Job Corps.

There are currently five Opportunities Industrialization Centers (OICs), located in New Haven, Hartford, Bridgeport, Waterbury and New London, which deliver services to individuals with significant barriers to employment, including youth and adults living in poverty, those with criminal backgrounds, chronically unemployed or facing substance abuse issues.

The goal of the Jobs First Employment Services program is to provide employment services to recipients of the Department of Social Services' Temporary Family Assistance (TFA) program in a manner designed to enable TFA recipients to become employed and independent of cash assistance within 21 months, to remain independent of cash assistance and to achieve federally mandated work participation rates.

TFA assistance units with an adult or minor parent that is able to work generally have 21 months to reach independence through employment. These families are referred to as "time limited" welfare families and during the 21 months the parents are required to seek employment. Connecticut's Jobs First Employment Services program serves these families.

In Jobs First Employment Services, if participants are capable of going to work, they are expected to work. If participants are capable of working, but need education or training to improve earnings to better support their families, they will be expected to work while attending training.

Jobs First Employment Services served 17,451 welfare recipients during FY2006. Of these, 5,913 entered employment at some time during that year. A range of services are provided including; assessment, case management, assistance in preparing for and finding a job, referral for vocational education, adult basic education and other support services. DOL staff provided direct job search assistance to 2,560 participants who obtained employment at an average wage of \$9.31 per hour.

STRIDE (Skills, Transitional support, Respect, Integrity, Direction, and Employment) is a collaborative effort in Eastern Connecticut between the Department of Correction, Department of Labor, Department of Social Services, Quinebaug Valley Community College (QVCC) and the Eastern CT Workforce Investment Board. The program is designed to provide incarcerated and paroled individuals with the occupational skills and resources to enter competitive employment positions.

Individual Development Accounts (IDA) allow low-income individuals or individuals with disabilities to deposit modest savings from their earnings or disability income, which are matched at rate up to a 2:1 basis by private or public contributions. As individuals save regularly, usually over a one- to five-year period, community based organizations work with them to provide basic money management and financial skills training, credit repair assistance and asset specific training related to their savings goals. When the savings goal has been met, the individual can use the money for one of five allowable purposes: costs of education or job training, home purchase, starting a business, purchase of an automobile or leasing an apartment.

Under the Wagner-Peyser Act, DOL receives federal funding to operate a public labor exchange system. This exchange system matches individuals seeking employment with employers who have job openings and also provides related employment services. Federal funding for Wagner-Peyser employment services has decreased from its level 10 years ago, falling to \$7.7 million for this program year. This poses a significant challenge to the state's efforts to maintain and enhance the competitiveness of our workforce.

DOL has responded to this challenge, in part, by developing and promoting the use of self-service resources for both job seekers and employers. For job seekers, these resources include job search tools such as computers, telephones, fax machines and newspapers, in addition to instructional videos, self-assessment software programs and Internet access. Internet access, available in every One-Stop Career Center, in more than 100 public libraries, as well as through personal computers used in private households, allows individuals to search for job openings, post résumés on America's Job Bank and research companies and labor market information. In FY2006, 190,275 individuals searched Connecticut job openings through America's Job Bank with 1.2 million hits per month. Connecticut currently has 9,309 active résumés registered. In FY2006, 31,165 job seekers also utilized DOL's self-service resources.

In addition to self-service resources available to job seekers, DOL offers a variety of workshops in every Career Center. Workshop topics include job search skills, résumé writing, interviewing techniques, Internet job search, communication skills and other topics that are often tailored to local needs. For job seeking customers needing assistance beyond self-service resources or services provided in a group setting, DOL provides job referrals, career counseling, aptitude and interest testing, referral to education or training and referral to supportive services.

In FY2006, One-Stop Career Centers provided employment services to 26,793 individuals and referred 4,638 individuals to job openings.

Employers have the option of using the department's Centralized Job Bank (CJB) or the Internet-based America's Job Bank (AJB) to list job openings or obtain information. In FY2006, Connecticut employers registered 159,580 job orders representing 213,498 job openings through AJB and CJB.

SPECIAL POPULATION GROUPS

Veterans Workforce Development DOL is committed to the federal mandate that veterans receive priority in all USDOL funded programs if veterans meet the eligibility requirements for said programs. All DOL staff in each CTWorks Center provide services to veterans with special emphasis given to services for disabled veterans and newly separating veterans including Connecticut National Guard and armed forces reserve members. A total of 5,307 veterans were served through CTWorks employment services in FY2006. Additionally, each CTWorks Center has specialized veteran staff to provide employment and training services exclusively to veterans. A total of 2,749 veterans, including 301 disabled veterans and 175 special disabled veterans, were served by the specialized DOL veteran staff. DOL staff concentrate efforts into two categories: Disabled Veteran Outreach Program representatives (DVOPs) and Local Veteran Employment Representatives (LVERs). The DVOPs/LVERs devote their time to serving veterans only and dedicate a portion of their time to outstation and outreach activities bringing DOL services to veterans who may not be aware of the employment assistance available to them. In Connecticut, the DOL veterans' program also runs a series of Transitional Assistance Program workshops (TAP) which are specifically targeted to Transitioning Service Members (TSMs) prior to leaving the armed forces. In FY2006, 1,018 TSMs attended the 19 TAP workshops.

Unemployment Claimants Claimants are required to register for employment services as a condition of eligibility for Unemployment Compensation benefits. The worker profiling and reemployment services program, known in Connecticut as "Enhanced Reemployment Services," identifies individuals who are likely to exhaust their unemployment benefits before returning and who are unlikely to return to their previous jobs or occupations. DOL provides additional services to these customers to speed their return to the workforce. A total of 10,856 individuals were selected for participation in the Enhanced Reemployment Services program in FY2006.

Shared Work Program This voluntary program provides an alternative to layoffs for employers faced with a temporary decline in business. Rather than laying off a percentage of the workforce to cut costs, an employer may reduce the hours and wages of all or a particular group of employees. The employees whose hours and wages are reduced can receive partial unemployment compensation benefits to supplement their lost wages. During 2005-2006, 77 companies and their employees benefited from this program. Program highlights and regulations, along with a downloadable plan application, may be found at the department's website:

<http://www.ctdol.state.ct.us/progsupt/bussrvce/swp.htm>.

People with Disabilities DOL is an active participant in and provides staff support to the Governor's Committee on Employment of People with Disabilities. It is the mission of the committee to promote the employment of people with disabilities by creating statewide interest in the rehabilitation and employment of people with disabilities and by obtaining and maintaining cooperation from all public and private groups in this field. With the support of DOL, the committee has established four statewide initiatives: Connecticut Youth Leadership Forum (CTYLF), Connecticut Business Leadership

Network (CTBLN), High School/High Tech (HS/HT) and Start on Success (SOS).

Migrant and Seasonal Farm workers (MSFWs) DOL is committed to providing MSFWs equal access to the full range of employment services available to the general population. The department's state monitor advocate ensures compliance with all laws concerning migrant and seasonal farm workers.

Trade Adjustment Assistance (TAA) The TAA program is available to workers whose jobs have been impacted by foreign imports or shifts in production to a foreign country. TAA offers a variety of benefits and reemployment services to help unemployed workers prepare for and obtain suitable jobs. Through TAA, workers may receive retraining, job search and relocation assistance, health coverage tax credit, and weekly income support (Trade Readjustment Allowances-TRA) following exhaustion of their unemployment benefits. Some certifications also provide a wage subsidy (Alternative Trade Adjustment Assistance-ATAA) for older workers. A total of 866 individuals were determined eligible for TAA and 242 started training during FY2006. The U.S. DOL issued certifications for workers from 27 companies as eligible for TAA during the above referenced period.

Alien Labor Certification (ALC) This program is the first step in the application process for aliens who wish to pursue employment in the United States, on a temporary basis in both non-agricultural and agricultural employment environments. Federal law allows employers who have been unsuccessful in securing U.S. workers to fill positions to petition the INS to fill their jobs with foreign nationals (aliens). CT DOL follows federal procedures to ensure that there are in fact an insufficient number of U.S. workers who are available, qualified, able and willing, to fill these positions. Further, it must be established that the employment of the alien will not adversely affect the wages and working conditions of U.S. workers.

<i>Program Measure</i>	<u>2005-2006</u>	<u>2006-2007</u>	<u>2007-2008</u>	<u>2008-2009</u>
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
ES-Job openings received	26,902	28,247	29,659	31,142
ES-Number of Individuals Employed	28,047	28,047	28,047	28,047
ES-Number of Employee Retention	19,268	19,268	19,268	19,268
ES-Number of Individuals Received Staff Assisted Service	26,793	26,793	26,793	26,793
ES-Number of Individuals Received Career Guidance	3,886	3,886	3,886	3,886
ES-Number of Individuals Referred to Employment	4,638	4,638	4,638	4,638
JFES-Number served	17,451	17,500	17,500	17,500
JFES-Number entered employment	5,913	6,000	6,000	6,000
JFES-Number retained employment 13 weeks	4,849	4,950	4,950	4,950
WIA-Entered Employment Rate - Adult (%)	77	79	79	79
WIA-Entered Employment Rate - Dislocated Worker (%)	86	87	87	87
WIA-Entered Employment Rate - Older Youth (%)	70	71	71	71
WIA-Skill Attainment Rate - Younger Youth (%)	85	86	86	86
VETS-Total Veterans/Eligibles Served	2,749	2,800	2,800	2,800
VETS-Disabled Veterans Served	301	310	320	330
VETS-Special Disabled Vets Served	175	180	180	180
VETS-Veteran Entered Employment Rate (%)	55	56	57	58
VETS-Veteran Emp Retention Rate (%)	76	77	78	78
VETS-Transitional Service Members Served	1,018	1,050	1,050	1,050
VETS-Transitional Assistance Program Workshops	19	20	20	20

<i>Personnel Summary</i>	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	10	0	0	10	10	117	10	117
Employment Security Admin Fund	92	0	1	93	93	93	93	93

<i>Financial Summary</i>	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
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(Net of Reimbursements)	Actual	Estimated	Requested	Services Recommended	Requested	Services Recommended	Requested
Personal Services	163,458	176,182	172,636	170,160	170,160	177,611	172,723
Other Expenses	11,969	12,960	13,234	13,234	13,024	13,512	13,087
<i>Other Current Expenses</i>							
Workforce Investment Act	26,237,657	27,287,659	26,492,463	25,895,848	25,895,848	27,094,460	25,895,848
Jobs First Employment Services	16,188,098	16,088,098	16,495,314	16,495,314	16,219,096	16,896,212	16,896,212
Opportunity Industrial Centers	0	500,000	510,500	510,500	0	521,220	521,220
Individual Development Accounts	0	250,000	255,250	255,250	250,000	260,610	250,000
STRIDE	150,000	150,000	153,150	153,150	0	156,366	156,366
URBAN YOUTH EMPLOYMENT	0	0	4,084,000	0	0	4,169,764	0
TANF Job Reorganization	0	6,500,000	8,867,940	8,867,940	6,500,000	9,059,220	6,500,000
TOTAL-General Fund	42,751,182	50,964,899	57,044,487	52,361,396	49,048,128	58,348,975	49,169,634
<i>Additional Funds Available</i>							
Employment Security Admin Fund	13,996,818	17,593,454	15,417,657	15,417,657	15,417,657	15,893,678	15,893,678
Bond Fund	0	0	300,000	0	0	300,000	0
Special Funds, Non-Appropriated	519,874	194,506	196,204	196,204	196,204	197,772	197,772
Private Funds	1,274,828	435,269	0	0	0	0	0
TOTAL - All Funds	58,542,702	69,188,128	72,958,348	67,975,257	64,661,989	74,740,425	65,261,084
Job Readiness and Employment Services							

UNEMPLOYMENT INSURANCE

Statutory Reference:

C.G.S. Chapter 567

Statement of Need and Program Objectives

To reduce the financial hardship of workers unemployed for short periods of time and to stimulate the local economy by maintaining purchasing power.

Program Description

Unemployment Insurance (UI) provides a cushion from the effects of unemployment through partial wage replacement. The program is an economic stabilizer that helps to maintain the purchasing power of the unemployed. Benefits are payable to an eligible unemployed individual for 26 weeks within a 52 week period. The average claimant collects 16.4 weeks of the 26 weeks of unemployment insurance. Other federal unemployment programs administered by the DOL include Disaster Unemployment Assistance for loss of earnings due to natural disaster and Trade Adjustment Assistance for worker groups certified by the U.S. DOL as adversely affected by imports or production shifts to certain countries. The Trade Adjustment Assistance benefits include: Training; relocation allowances and job search allowances; Trade Readjustment Allowances - weekly federally funded benefits after exhaustion of state unemployment benefits for those individuals in approved training; Alternative Trade Adjustment Assistance (ATAA), which provides eligible individuals over the age of 50 who obtain new employment within 26 weeks of their separation with a wage subsidy to help bridge the salary gap between their old and new employment; and the health coverage tax credit, which is a refundable tax credit equal to 65% of the health insurance premium paid by an eligible individual or as an advance credit paid by the IRS and eligible individuals pay the remaining 35% of the premium for health coverage for themselves and qualified family members (Information on this credit may be located at <http://www.irs.gov> keyword *HCTC* or by calling the HCTC Call Center directly toll-free at 1-866-628-4282 (TDD/TYY 1-866-626-4282).

Initial unemployment claims are filed through an automated telephone system, "Dial to File" or over the Internet, in English or Spanish. Separation packets (English and Spanish versions), which include the separation notice or "pink slip", for use with these systems may be obtained by calling (860) 263-6635 or by download from DOL's Internet site

(<http://www.ctdol.state.ct.us/tic/sep-pack.html>).

Weekly continued claims are also filed using the automated TeleBenefits and Web systems, and individuals may also make inquiries regarding their benefit history. Employers continue to use the UC-62V, Vacation Shutdown Claim for Unemployment, for temporary layoffs of 6 weeks or less.

Eligibility determinations through interviews with claimants and employers are conducted by telephone or in person at 9 adjudication centers throughout the state.

DOL staff in local One-Stop Career Centers assist the claimant in developing a work-search plan and provide enhanced re-employment services such as résumé writing and interviewing workshops.

An independent, statutory appeals division consisting of referees and a Board of Review ensures the right of appeal to all parties on any unemployment decision regarding the award or denial of unemployment benefits. This ensures that all parties receive due process. Claimants and employers may use one of the forms listed on DOL's website (<http://www.ctdol.state.ct.us/appeals/apfrmnt.htm>) to file an electronic appeal in unemployment compensation matters.

DOL also provides assistance to the Department of Social Services in the collection of child support payments owed by UI claimants.

The UI tax division collects and accounts for taxes used to pay unemployment benefits. It also registers and maintains records for all registered employers; conducts field investigations to determine if an employer is subject to UI law and performs audits of taxable employers to ensure conformance with the law.

Outcome Measure:

Fiscal Year	2002	2003	2004	2005	2006*
UI Benefits Paid (in millions)	\$739.3	\$961.3	\$824.9	\$528.2	\$517
	Includes TEUC				
Employers Registered	96,815	96,536	97,370	98,067	98,500
FY Collections (in millions)	\$407	\$556.9	\$621.1	\$590.6	\$500.3

Estimates for 2006

Budget-in-Detail

<i>Program Measure</i>	<u>2005-2006</u> <u>Actual</u>	<u>2006-2007</u> <u>Estimated</u>	<u>2007-2008</u> <u>Projected</u>	<u>2008-2009</u> <u>Projected</u>
Benefits paid to Claimants (\$M)	517	658	650	681
Average weeks collected	16	17	18	17
Average amount of check (\$)	296	308	317	329
Taxes paid by employers including Trust Fund Interest (\$M)	526	658	637	653
Percent of employers delinquent (%)	5	5	5	5
Balance in Unemployment Trust Fund (\$M)	539	529	506	468

<i>Personnel Summary</i>	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Employment Security Admin Fund	450	0	0	450	450	450	450	450
<i>Other Positions Equated to Full Time</i>								
Employment Security Admin Fund			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
			8	8	8	8	8	8

<i>Financial Summary</i>	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<i>Additional Funds Available</i>								
Employment Security Admin Fund	53,122,644	60,078,751	57,039,841	57,039,841	57,039,841	58,647,333	58,647,333	58,647,333
TOTAL - All Funds	53,122,644	60,078,751	57,039,841	57,039,841	57,039,841	58,647,333	58,647,333	58,647,333
Unemployment Insurance								

LABOR MARKET INFORMATION

Statutory Reference

C.G.S. Section. 31-2 and Sec. 31-3a

Statement of Need and Program Objectives

To collect, analyze and disseminate an array of data on workforce issues and trends that allow employers, workers and those who assist them to make informed economic, workforce and career decisions. To serve as an information resource to users including businesses, jobseekers, students, government planners and policymakers, economic and workforce development agents, education and training providers, labor and employer organizations, economists, and the public at large.

Program Description

The office of research is Connecticut's leading producer of information and statistics on the economy, workforce, occupations, and careers. The office prepares a variety of resources for tracking the health of the state's economy, for assessing the state's needs for skilled workers, for assisting in economic development initiatives, for aiding in education and training program planning, and for guiding the career choices of job seekers and students. Labor market information (LMI) is provided in numerous publications and other resources in print and on the Internet.

Staff respond to numerous requests for economic and workforce information, and participate in many initiatives to strengthen the economy, identify and evaluate the workforce needs of targeted regions or groups, and respond to critical economic and workforce issues. These have included active participation on committees and other workgroups whose purposes have included such diverse initiatives as defending against the closure of the New London submarine base, establishing economic benchmarks for the State, identifying high growth-high wage occupations for targeting training in Connecticut, and promoting

the development of reliable and comparable workforce information at the local level across the nation.

Web-based LMI for employers, job seekers, students and others includes the *Connecticut Job & Career Connection (JCC)* and the *Connecticut Education & Training Connection (ETC)*. The *JCC* (www.ctjobandcareer.org) includes job descriptions, education and training requirements, wage information and employment projections for nearly 900 occupations, making it the most extensive source of local information on jobs and careers in the state. The *Connecticut Education & Training Connection* (www.cttraining.info) includes information on more than 270 providers and 7,400 training programs and courses, and is the most comprehensive source of information on education and training in Connecticut.

The *Workforce Investment System Approved Training Programs* (www.ctdol.state.ct.us/wia/wia.htm) is a web-based tool for counselors, career developers, and other *CTWorks* staff to aide individuals receiving WIA assistance with the selection of training programs to obtain the knowledge and skills that can help them get the job of their choice.

Publications produced by the office include the Connecticut Economic Digest, Career Paths, Occupational Employment and Wages, and Your Job Search Guide. The Information for Workforce Investment Planning (IWIP) publication provides the state's Workforce Investment Boards with labor market information for strategic planning, evaluating services, and developing training programs. The IWIP includes information on the labor force, industry employment trends, population changes, public aid recipients, high school dropout rates, and persons with other barriers to employment. The geographic detail contained in the IWIP is extremely useful for planners and policy makers involved in making critical workforce system decisions.

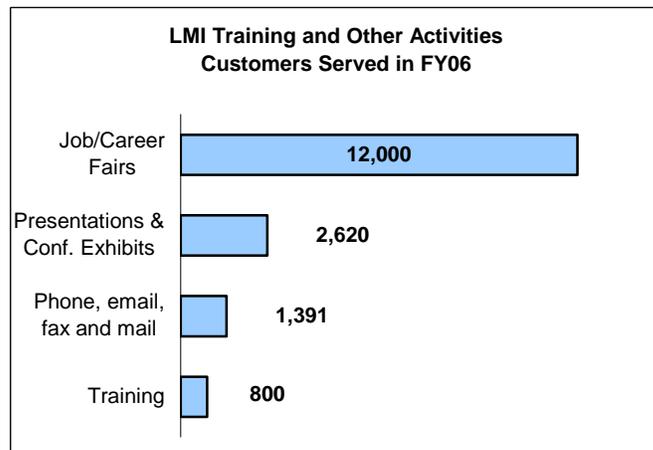
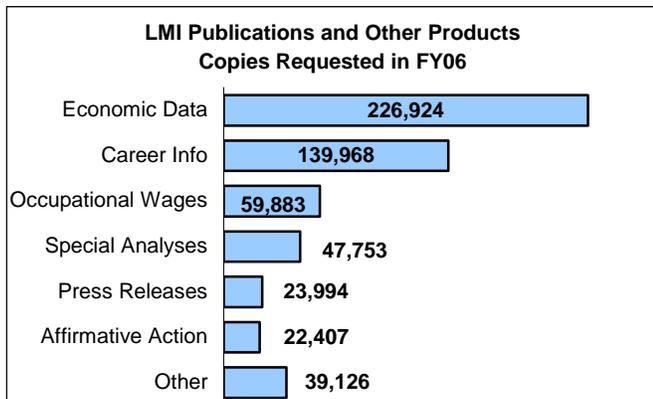
The Office occasionally undertakes special studies and projects of importance to the state on topics relevant to the labor market,

workforce, and the workforce development system. These have included:

- Intensive studies of the impact of employment services on the employment and earnings of participants, of the long-term effect of job displacement on the income of workers, and of the dynamics of job creation and destruction in the Connecticut labor market;
- Special reports on the demand in Connecticut for occupational skills requiring knowledge in science and math, the profiles of Connecticut's industry clusters, the employment and earnings outcomes of graduates of public higher education, and career information and planning guidance for students.

- Special surveys on job vacancies in the state, and on fringe benefits offered by employers in Connecticut;

The office of research is also the leading provider of job fairs in Connecticut, bringing more than 600 companies and 12,000 job seekers together through 8 job fairs in FY2006. Through the success of these events, the office of research assisted other state agencies and non-profit organizations with specialized recruitment efforts to assist target groups and to address the growing employment needs of specific industries.



Program Measure

	<u>2005-2006</u> Actual	<u>2006-2007</u> Estimated	<u>2007-2008</u> Projected	<u>2008-2009</u> Projected
LMI Website User Sessions	866,000	900,000	950,000	1,000,000
LMI Products Requested Print and Web	560,000	600,000	650,000	700,000
LMI Training, Presentations, Conferences, other activities: Customers Served	16,800	17,000	17,000	17,000

Personnel Summary

	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
<i>Permanent Full-Time Positions</i>								
General Fund	3	0	0	3	3	4	3	4
Employment Security Admin Fund	20	0	0	20	20	20	20	20
<i>Other Positions Equated to Full Time</i>								
Employment Security Admin Fund			1	1	1	1	1	1

Financial Summary

	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
(Net of Reimbursements)	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	255,200	290,158	276,020	272,061	272,061	279,964	276,158	276,158
Other Expenses	6,886	7,451	7,600	7,600	7,479	7,752	7,752	7,509
<u>Other Current Expenses</u>								
Connecticut Career Resource Network	0	150,000	162,319	162,319	161,398	166,613	166,613	164,752
TOTAL-General Fund	262,086	447,609	445,939	441,980	440,938	454,329	450,523	448,419
<u>Additional Funds Available</u>								
Employment Security Admin Fund	1,912,814	2,114,519	2,176,351	2,176,351	2,176,351	2,194,523	2,194,523	2,194,523
Private Funds	30,828	0	0	0	0	0	0	0
TOTAL - All Funds	2,205,728	2,562,128	2,622,290	2,618,331	2,617,289	2,648,852	2,645,046	2,642,942

Labor Market Information

WORKFORCE JOB TRAINING AND SKILL DEVELOPMENT

Statutory Reference

C.G.S. Sections 31-22m to 31-22t

Statement of Need and Program Objectives

To meet the need for a highly skilled and trained workforce in apprenticeable occupations and to help businesses develop a stable, highly-skilled workforce that will enable them to be highly productive and globally competitive.

Program Description

Registered Apprenticeship is an unsubsidized, proven training system that combines on-the-job training with related classroom instruction to prepare highly skilled workers for Connecticut's industries. Apprentices learn industry-defined skills at industry-accepted standards. Apprenticeship gives workers versatility by teaching all aspects of a trade and helps participants learn to work with a variety of people in actual working situations. It specifically addresses worker and industry needs.

In Connecticut, apprenticeship is a voluntary training system representing a unique partnership in which business and labor are the primary operators of programs with government playing a support role. An employer/employee relationship must be in existence to establish an apprenticeship program. After successfully completing an apprenticeship program (which usually lasts 3 to 4 years, but may range from 1 to 6 years) the worker receives an apprenticeship completion certificate and is recognized as a qualified journeyman nationwide. This certificate is one of the oldest and most highly portable industry credentials in use today.

A tax credit designed to encourage the development of skilled workers through apprenticeship training programs is available in manufacturing, construction and plastics-related trades.

The Connecticut State Apprenticeship Council's charge is to formulate basic apprenticeship policies, recommend minimum standards of apprenticeship, encourage registration of programs and apprentices and issue certificates of completion. The apprenticeship training unit, under the direction of the Labor Commissioner, acts as staff to the council and provides the administrative oversight for Connecticut's apprenticeship system.

Apprenticeship services are provided to the public through the unit's regional apprenticeship representatives. The representatives develop apprenticeship training programs for sponsors (employers and joint labor management apprenticeship committees), register, monitor and complete apprentices (employees) while providing technical assistance to program participants. The staff makes presentations to employer, labor and educational associations as well as students and outreach organizations to promote the benefits and opportunities available through apprenticeship.

Businesses and workers are experiencing unprecedented changes in technology and global competition. Skill training has consistently proven to be a critical tool for businesses and individuals in successfully navigating economic transition. The purpose of establishing and operating the Twenty-First Century

Skills Training Program is to provide just-in-time, demand-driven skill training resources for businesses and their current or future employees in order to promote job growth, job retention and job creation.

The Twenty-First Century Skills Training Program makes available:

- Financial and technical assistance for skill training and upgrading for new and expanding businesses,
- Basic and technical skill training for low wage workers and their employers.

Priority for funding is given to those activities which:

- Build the capacity for public and private workforce development resources including productivity enhancing methods to support economic transformation
- Develop model skill training approaches that provide career paths that employees (especially low wage employees) may follow to move within a high demand occupation to advance economically and occupationally
- Provide innovative adult basic skills training approaches that support technology advancements.

Increasing basic and technical skill training opportunities and improving system efficiencies will continue to sustain high growth occupation and economically vital industries and to assist workers in obtaining skills to start or move up their career ladder.

Collaboration with Workforce and Economic Development The CT DOL in consultation with the Departments of Economic and Community Development and Education, identifies high growth occupation and economically vital industries in Connecticut.

DOL consults with individual firms and industry/cluster stakeholders to identify innovative solutions to specific skill shortages. High growth industry training grants are also coordinated with initiatives of state agencies such as Office of Workforce Competitiveness, the Governor's Competitiveness Council, the Department of Economic and Community Development, State Department of Education, the Community Colleges, CONNSTEP, ITBD, state and regional business associations, labor organizations, and Workforce Investment Boards.

Training program services are provided by DOL staff who are located in six of the One-Stop Career Centers statewide.

Outcome Measure

The new program became effective in July of 2006 - outcome measures to be captured at the end of the program year are:

- Number of individuals trained
- Number of individuals successfully completing training objectives
- Numbers of trainees who increased their skill level
- Number of trainees who increase their wages
- Matching resources leveraged

Program Measure

	<u>2005-2006</u> Actual	<u>2006-2007</u> Estimated	<u>2007-2008</u> Projected	<u>2008-2009</u> Projected
Apprenticeship-Employers using apprenticeship	2,222	2,333	2,449	2,571
Apprenticeship-Individuals entering training	2,735	2,871	3,014	3,164
Apprenticeship-Individuals completing training	495	519	544	571
Apprenticeship-Minorities (males) entering training (%)	20	22	24	26
Apprenticeship-Females entering training (%)	2	3	4	5
Apprenticeship-Active apprentice enrollment as of 6/30	4,875	5,118	5,373	5,641

<i>Personnel Summary</i>	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
Employment Security Admin Fund	1	0	0	1	1	1	1	1
Private Funds	6	0	-5	1	3	3	3	3
 <i>Financial Summary</i>	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	46,797	0	0	0	0	0	0	0
Other Expenses	2,118	2,293	2,341	2,341	2,304	2,390	2,390	2,315
<u>Capital Outlay</u>								
Equipment	0	0	3,095	3,095	0	0	0	0
<u>Other Current Expenses</u>								
Apprenticeship Program	266,304	516,176	634,274	634,274	633,603	656,057	656,057	654,700
21st Century Jobs	0	1,000,000	1,020,482	1,020,482	1,000,532	1,042,276	1,042,276	1,001,957
Incumbent Worker Training	0	500,000	510,500	510,500	0	521,220	521,220	0
TOTAL-General Fund	315,219	2,018,469	2,170,692	2,170,692	1,636,439	2,221,943	2,221,943	1,658,972
<u>Additional Funds Available</u>								
Employment Security Admin Fund	73,758	61,336	70,000	70,000	70,000	70,000	70,000	70,000
Bond Fund	141,308	0	0	0	0	0	0	0
Private Funds	794,081	509,339	581,277	581,277	581,277	587,891	587,891	587,891
TOTAL - All Funds	1,324,366	2,589,144	2,821,969	2,821,969	2,287,716	2,879,834	2,879,834	2,316,863
Workforce Job Training & Skill Develop								

WAGE AND WORKPLACE STANDARDS

Statutory Reference

Chapters 556, 557 Part III, and 558

Statement of Need and Program Objectives

To ensure that the more than 1.7 million Connecticut employees receive all the wages to which they are entitled without the need for litigation and to enforce labor statutes that safeguard and protect the rights of workers.

Program Description

The Wage and Workplace Standards Division is responsible for two major programs, regulation of wages and regulation of working conditions.

In FY2006, through the regulation of wages program, \$6.1 million in wages was recovered that would otherwise not have been remitted to employees who had legally earned them. Most individual complaints range from \$100 to \$1,000, amounts not sufficient to interest and retain a private attorney. Further, if all complaints were filed with the courts, they would have an adverse impact on the civil docket.

The regulation of wages staff undertake the following activities as a result of a complaint received:

Complaints concerning wages are received, both in writing and by telephone. If the complaint concerns minimum wage, overtime, or prevailing wages, a compliance audit is made of all employees, past and present, covering a period of two years from the date of the complaint. If the complaint concerns nonpayment of wages, only that specific complaint is investigated.

Routine audits are also made to ensure compliance with minimum wage, overtime and wages agreed upon for labor or service rendered and prevailing wage. Violations are identified and corrective action recommended.

Where underpayments are discovered, hours and payroll records are transcribed and proper payment is required from

the employer. In disputed cases, hearings may be held and every effort is made to resolve the cases.

When all administrative procedures have been exhausted with no hope of resolution, disputes/complaints are referred for criminal prosecution or for civil prosecution.

The penalties for non-payment of wages reflect the severity of the non-payment. If more than \$2,000 was not paid, it is a Class D felony. The Labor Commissioner may assess civil penalties for violation of labor laws amounting to \$300 per violation.

The Wage and Workplace Standards Division is responsible for regulating the working conditions of Connecticut's employers. The various elements of specific labor laws comprise the activities of the regulation of working conditions program. The division's major function is to investigate complaints from employees and former employees and requests from employers pertaining to laws governing the following areas: hazardous employment of minors; working hours and working papers of minors under 18 years of age; polygraph as a condition of employment; blacklisting of employees; more than six days employment in a calendar week; cancellation of medical insurance; heat and utilities in the workplace; written employment policy in the workplace; access to personnel files; meal periods; family and medical leave from employment; smoking in the workplace; drug testing in the workplace and whistle blowing.

In addition, the department licenses, inspects, regulates and otherwise exercises control over the activities of private employment agencies doing business within the state. The department also interviews applicants, evaluates applications and investigates complaints pertaining to industrial homework.

To increase public awareness of the wage and workplace laws the division has published guidebooks and bookmarks that are free of charge.

Program Measure

2005-2006

2006-2007

2007-2008

2008-2009

Budget-in-Detail

	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Complaints, requests and inquiries	35,000	36,000	37,000	38,000
Conditions of employment inspections	1,228	1,250	1,300	1,350
Private employment agencies	290	280	275	270
Complaint investigations	789	800	825	850
Recommendations issued	1,891	1,900	1,950	2,000
Average amount of wages collected per agent/investigator(s) (\$)	205,478	210,000	215,000	222,000
Employees paid wages	4,850	4,870	4,900	5,000
Complaints Received	4,961	5,000	5,050	5,100
<i>Minimum Wage/Overtime Section:</i>				
Time between receipt of complaint and start of investigation (months)	12	11	10	9
Employer citations for record keeping violations	407	450	475	500
Hearings held on disputed cases	625	600	625	650

Personnel Summary

	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	36	1	0	37	37	37	37	37
Private Funds	8	0	0	8	8	8	8	8

	2005-2006	2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>
<i>Other Positions Equated to Full Time</i>							
General Fund	1	0	0	0	0	0	0

Financial Summary

	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	2,160,728	2,433,683	2,548,302	2,511,749	2,511,749	2,636,069	2,549,584	2,549,584
Other Expenses	162,403	175,772	179,277	179,277	176,432	182,856	182,856	177,113
<u>Capital Outlay</u>								
Equipment	0	0	15,800	15,800	0	4,800	4,800	0
TOTAL-General Fund	2,323,131	2,609,455	2,743,379	2,706,826	2,688,181	2,823,725	2,737,240	2,726,697
<u>Additional Funds Available</u>								
Bond Fund	19	0	0	0	0	0	0	0
Private Funds	583,329	708,110	739,968	739,968	739,968	772,986	772,986	772,986
TOTAL - All Funds	2,906,479	3,317,565	3,483,347	3,446,794	3,428,149	3,596,711	3,510,226	3,499,683

Wage & Workplace Standards

OCCUPATIONAL SAFETY AND HEALTH

Statutory Reference

C.G.S. Chapters 571 and 573

Statement of Need and Program Objectives

To reduce the incidence of occupational injuries and illnesses by ensuring that workplaces are free from recognized safety and health hazards.

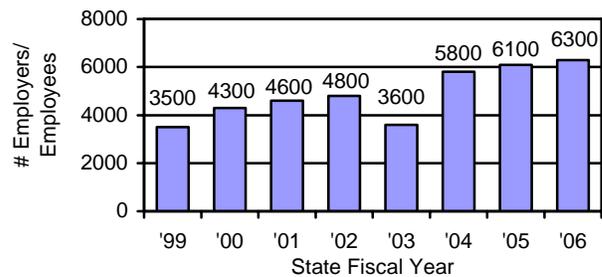
Program Description

The Division of Occupational Safety and Health has four primary responsibilities:

- Protecting 195,633 state and local government employees by enforcing safety and health standards in public sector workplaces, which includes conducting routine safety and health inspections, responding to complaints/requests and investigating fatalities or serious accidents.
- Providing free safety and/or health consultative services, at the employer's request, to Connecticut's public employers and more than 96,560 private employers at their 108,940 locations. The program is geared to help high-hazard establishments and smaller firms or government agencies who lack the expertise or resources to interpret complex standards or conduct extensive health monitoring.

- Developing and conducting individualized safety and health training programs in the private and public sectors.

Number of Employers/Employees Receiving Training by State Fiscal Year



- Compiling, analyzing and annually publishing safety and health data on the private and public sectors within the state. This data serves as a basis for scheduling inspections, utilizing education/training resources and measuring program effectiveness. The 2004 injury/illness

incidence rate was 5.2 per 100 full-time workers in Connecticut.

In addition, the division administers the occupational health clinic program. This program serves workers and employers

through recognition, diagnosis and prevention of occupational diseases and injuries. It also collects significant data on occupational health and safety.

<i>Program Measure</i>	<u>2005-2006</u>	<u>2006-2007</u>	<u>2007-2008</u>	<u>2008-2009</u>
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
OSHA-Compliance inspections	262	250	263	276
OSHA-Violations (Other than Serious)	488	500	525	551
OSHA-Instances of Violations (Other than Serious)	686	700	735	772
OSHA-Violations (Serious)	196	200	210	221
OSHA-Instances of violations (Serious)	275	200	210	221
OSHA-On-site consultations	542	570	599	628
OSHA-Training and education programs	113	120	126	132
OSHA-Firms surveyed for injury-illness data	8,350	8,400	8,400	8,400

<i>Personnel Summary</i>	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	28	1	0	29	29	29	29	29

<i>Financial Summary</i>	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	2,062,901	2,283,908	2,239,918	2,207,788	2,207,788	2,248,593	2,241,045	2,241,045
Other Expenses	238,023	256,764	262,040	262,040	257,882	267,409	267,409	259,011
<i>Capital Outlay</i>								
Equipment	2,000	2,000	117,158	117,158	0	110,344	110,344	0
TOTAL-General Fund	2,302,924	2,542,672	2,619,116	2,586,986	2,465,670	2,626,346	2,618,798	2,500,056
<i>Other Current Expenses</i>								
Occupational Health Clinics	671,470	671,470	686,138	686,138	673,450	700,229	688,606	674,587
TOTAL-Workers' Compensation Fund	671,470	671,470	686,138	686,138	673,450	700,229	688,606	674,587
<i>Additional Funds Available</i>								
Bond Fund	88,101	0	0	0	0	0	0	0
<i>Federal Contributions</i>								
17504 Consultation Agreements	16,929	27,343	23,600	23,600	23,600	24,400	24,400	24,400
TOTAL - All Funds	3,079,424	3,241,485	3,328,854	3,296,724	3,162,720	3,350,975	3,331,804	3,199,043
Occupational Safety and Health								

MAINTAIN COLLECTIVE BARGAINING RELATIONSHIPS

Statutory Reference

C.G.S. Sections 5-270 - Sec. 5-280, Sec. 7-467 - Sec. 7-479, Sec. 31-90 - Sec 31-111(b), Sec. 52-418 - Sec. 52-420 and Sec. 10-153e

Statement of Need and Program Objectives

To define and protect the statutory rights of employees to form, join or assist labor organizations and to encourage and protect the right of employees and employers to bargain collectively.

Program Description

The State Board of Mediation and Arbitration resolves impasses in order to promote and maintain stability in collective bargaining whenever disputes occur. Mediation is an informal process to assist the disputing parties in reaching an agreement. Upon receipt of a contract expiration notice, or upon notification that a strike is imminent, a state mediator is assigned to the dispute in an attempt to bring about a settlement. Mediators also assist in resolving grievances prior to and after submission to the Board for final and binding arbitration.

Arbitration is a process to resolve grievances by holding a formal hearing to take testimony and receive evidence resulting in the arbitrator's rendering of a final and binding arbitration award.

The board's mission is to have grievances heard in as expeditious a manner as possible by shortening the time between the date when a demand for arbitration is received to when the case is heard. For priority cases, the goal is to have the case heard within four months and non-priority cases from four months to a year.

In FY2006 a total of 1,013 grievances were filed for arbitration; 1,373 grievance arbitration hearings were scheduled; 987 grievance arbitration cases were closed and 191 awards were issued.

By law, public employees may not strike. Instead, procedures are in place for arriving at a new contract, without resort to job action. The State Board of Mediation and Arbitration has considerable responsibilities in this process.

Municipal Interest Arbitration: This entire procedure is fixed by statute with a series of mandatory time limits. The panels of arbitrators are not necessarily members of the board. The procedure results in a final and binding arbitration award (contract) in resolution of municipal contract impasses.

State Employees Arbitration: State employee bargaining units are eligible for mandatory interest arbitration. Whenever an impasse occurs, the parties can jointly, or singly, initiate arbitration by filing with the State Board of Mediation and Arbitration.

Budget-in-Detail

In FY2006, expiration notices on 216 private sector contracts were received and the board imposed/scheduled binding arbitration on 295 municipal contracts under Sec. 7-473c, Connecticut General Statutes and five state contracts under Sec. 5-267a, CGS. A total of 285 requests were received for mediation.

The function of the Board of Labor Relations is to ensure that employers and employee organizations abide by the statutory requirements for collective bargaining for public sector employees and a small number of private sector employees in Connecticut. The Board of Labor Relations administers portions of 4 statutes setting forth the requirements of the collective bargaining process.

The board performs its functions in the following ways:

Processing petitions from employees seeking to be represented by an employee organization. As part of that process, the labor board conducts secret ballot elections among employees to determine if they wish to be represented by an employee organization. In FY2006 56 elections were held among employees for this purpose.

Upon receipt of written complaints from employee organizations, employees or employers alleging violations of the statutes, informal conferences are conducted with parties to investigate and mediate complaints in order to resolve disputes. In FY2006, 664 cases were filed. During that same time period, 699 cases were closed, the vast majority through the mediation and settlement process.

If settlement is not reached after a complaint has been filed and investigated, the case is either recommended for a formal hearing or recommended for dismissal. If a party timely objects to a recommendation for dismissal, the case will be scheduled for a hearing before a full panel of the board. After a full evidentiary hearing, the board issues a final, written decision in the matter.

In FY2006 the board held 78 days of hearings and issued 62 decisions. After the issuance of the final decision, the aggrieved party may then appeal to the Superior Court. A petition for court enforcement may be filed if a party does not comply with an order of the board.

<i>Program Measure</i>	<u>2005-2006</u>	<u>2006-2007</u>	<u>2007-2008</u>	<u>2008-2009</u>
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Labor Relations-Cases filed	664	705	720	735
Labor Relations-Unfair labor and prohibited practice	589	625	650	660
Labor Relations-Petitions for representations	75	80	70	75
Labor Relations-Percent of Cases settled without formal hearings (%)	90	90	90	90
Labor Relations-Formal hearings (days of evidentiary hearings)	78	75	80	70
Labor Relations-Decisions issued	70	70	70	70
Labor Relations-Cases pending end of fiscal year	392	350	380	400
Med & Arb-Total cases filed	2,102	2,167	2,237	2,303
Med & Arb-Mediation cases				
Grievances	285	300	310	315
Municipal contracts	295	279	280	290
Private sector contracts	216	199	200	195
Med & Arb-Grievance Arbitration closed	987	1,086	1,140	1,197
Med & Arb-Grievance Arbitration cases	1,009	1,109	1,165	1,223
Filing fees collected (\$)	49,375	50,600	55,200	56,300
Filing fees not collected (\$)	3,875	4,400	4,800	4,850
Med & Arb-Interest Arbitration cases	297	280	282	280

<i>Personnel Summary</i>	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	23	3	0	26	26	26	26	26

<i>Financial Summary</i> (Net of Reimbursements)	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,512,893	1,712,112	1,951,405	1,923,414	1,923,414	2,048,570	1,952,387	1,952,387
Other Expenses	537,054	581,574	918,722	918,722	904,144	937,913	937,913	908,457

<i>Capital Outlay</i>	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
Equipment	0	0	14,100	14,100	0	32,644	32,644	0
TOTAL-General Fund	2,049,947	2,293,686	2,884,227	2,856,236	2,827,558	3,019,127	2,922,944	2,860,844

<i>Additional Funds Available</i>	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
Bond Fund	15,047	0	0	0	0	0	0	0
Private Funds	39,672	51,225	8,000	8,000	8,000	8,500	8,500	8,500
TOTAL - All Funds	2,104,666	2,344,911	2,892,227	2,864,236	2,835,558	3,027,627	2,931,444	2,869,344

Maintaining the Collective Bargaining

MANAGEMENT SERVICES

C.G.S. Section 31-1 and 31-2

Statutory Reference

Statement of Need and Program Objectives

To ensure that the department delivers necessary services to the public in an integrated and efficient manner and to set policy and manage the department's financial, human and information resources.

Program Description

The Office of the Commissioner provides overall management of activities and policy direction to ensure that all DOL programs meet the needs of both employees and employers. The Commissioner of Labor is a member of the Governor's Jobs Cabinet and the Connecticut Employment and Training Commission. The office works closely with the Office for Workforce Competitiveness, Workforce Investment Boards, other state agencies and community-based organizations to promote effective workforce development strategies in the CTWorks system.

DOL's Office of Diversity and Equity Programs (ODEP) acts on behalf of the Commissioner on matters concerning affirmative action and equal employment opportunity. The ODEP achieved seventy-five percent of its hiring and promotional goals for FY2006. The contract compliance program exceeded its goals for utilizing small business and minority/women business enterprise vendors.

The Communications Unit is the primary contact for media inquiries and strives to heighten public awareness of the agency's available services and accomplishments.

Staff Development ensures that DOL staff have the knowledge, skills and abilities to support the agency's strategic goals. In addition, the unit assists the executive management in developing programs that support organizational change. Training programs include computer desktop applications, conflict resolution, facilitation skills, presentation skills, use of the internet in job search, résumé writer certification (PARW) and Myers Briggs Type Indicator (MBTI) Assessment for the workplace

The Office of Program Policy's responsibilities include: facilitating the development of agency policy which is legally sound and promotes the department's mission; overseeing the promulgation and adoption of regulations under the Uniform Administrative Procedure Act (UAPA); providing legal counsel to the executive administration and to all divisions (except the State Board of Mediation and Arbitration, the State Board of Labor Relations and the Employment Security Board of Review); acting as liaison to the Office of the Attorney General; promoting quality adjudicating through technical assistance and training of Unemployment Insurance (UI) staff in interpretation and application of unemployment compensation statutes and regulations and all aspects of determining benefit eligibility; representing the administrator of the Unemployment Compensation Act in proceedings before the Employment Security Board of Review and Appeals Division; serving as hearing officers for the Labor Commissioner in contested case hearings; administrative prosecution of cases within CT DOL's

jurisdiction including hearings under the state Family and Medical Leave Act (FMLA); preparing declaratory rulings and responding to questions about Connecticut's labor laws.

In FY2006, the office issued advisory opinions in 350 individual unemployment compensation cases and about 90 multiple client cases. The office submitted approximately 30 appeals, written arguments or motions to the Board of Review and Appeals Division. Office attorneys conducted administrative prosecution of cases alleging violation of laws within the agency's jurisdiction and brought 30 FMLA cases to final disposition. Attorneys served as hearing officers and/or mediators in approximately 24 cases involving allegations of retaliation for filing wage claims, applying for unemployment benefits and filing OSHA complaints. The office oversaw the promulgation of several regulations, including various CONN-OSHA standards and UI eligibility rules.

The office continued an initiative to improve quality in UI adjudication, developing and conducting a 3-day training program for 140 Agency staff, which focused on consistency, accuracy and efficiency in the hearing process. The office also sponsored and conducted a 1-day professional development conference for adjudicators, which featured a wide range of speakers and workshops addressing UI legal issues. In addition, the office performed four federally mandated quarterly reviews of UI eligibility determinations. Finally, the office continued its employer education series, speaking before 45 different groups and over 1,000 individuals on such topics as UI law, workplace drug testing, and Connecticut's FMLA.

Business Management is responsible for the fiscal management of the agency's state and federal appropriations, grants and contractual funding. The functions performed include accounting, budgeting, contract administration, payroll and purchasing.

Facilities Management provides services which include facilities leasing and compliance for local offices (a total of 248,000 square feet); design and planning; facilities project management; printing services; mail services and warehousing, including property and inventory control.

The Human Resources unit provides personnel related services to over 920 employees located in 15 offices throughout the state and employed in nearly 200 job classifications.

The Information Technology (IT) division provides information technology infrastructure and applications systems.

The Performance Measurement unit serves the state's workforce investment system by providing periodic reports for program managers. These include monthly reports that provide information at a glance as well as an array of detailed quarterly reports. In addition, the unit collects customer satisfaction data using scannable surveys, creates data displays in map formats and continues to play a central role in providing continuous improvement services, especially the Agency's Lean Government initiative.

Personnel Summary

	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
General Fund	18	1	0	19	19	19	19	19
Employment Security Admin Fund	88	0	0	88	88	88	88	88
			2005-2006	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
Other Positions Equated to Full Time			Actual	Estimated	Requested	Recommended	Requested	Recommended
Employment Security Admin Fund			2	2	2	2	2	2

Budget-in-Detail

<i>Financial Summary</i>	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,501,538	1,499,983	1,501,522	1,479,983	1,479,983	1,544,663	1,502,277	1,502,277
Other Expenses	144,010	155,845	158,941	158,941	156,419	162,099	162,099	157,008
<i>Capital Outlay</i>								
Equipment	0	0	80,483	80,483	1,000	87,779	87,779	1,000
TOTAL-General Fund	1,645,548	1,655,828	1,740,946	1,719,407	1,637,402	1,794,541	1,752,155	1,660,285
<i>Additional Funds Available</i>								
Employment Security Admin Fund	12,363,272	11,325,940	11,328,490	11,328,490	11,328,490	11,670,507	11,670,507	11,670,507
Bond Fund	2,816	0	0	0	0	0	0	0
Private Funds	12,575	0	0	0	0	0	0	0
TOTAL - All Funds	14,024,211	12,981,768	13,069,436	13,047,897	12,965,892	13,465,048	13,422,662	13,330,792

Agency Management Services

AGENCY FINANCIAL SUMMARY – GENERAL FUND

<i>Current Expenses by Minor Object</i>	2005-2006	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Personal Services</i>						
Permanent Fulltime Positions	7,260,246	7,992,875	8,233,181	8,108,533	8,469,566	8,228,270
Other Positions	178,572	120,094	111,021	111,021	112,714	112,714
Other	263,828	282,557	344,901	344,901	352,390	352,390
Overtime	869	500	700	700	800	800
TOTAL-Personal Services Gross	7,703,515	8,396,026	8,689,803	8,565,155	8,935,470	8,694,174
Less Reimbursements						
Less Turnover	0	0	-174,903	-174,903	-181,731	-181,731
TOTAL-Personal Services Net	7,703,515	8,396,026	8,514,900	8,390,252	8,753,739	8,512,443
<i>Other Expenses-Contractual Services</i>						
Dues and Subscriptions	15,908	17,211	17,573	17,211	17,941	17,211
Utility Services	62	67	68	67	68	67
Rentals, Storage and Leasing	30,454	32,957	33,647	32,957	34,353	32,957
Telecommunication Services	29,554	31,983	32,654	31,983	33,338	31,983
General Repairs	14,205	15,374	15,698	15,374	16,030	15,374
Motor Vehicle Expenses	99,392	107,558	109,817	107,558	112,123	107,558
Fees for Outside Professional Services	4,139	3,475	3,605	3,475	3,732	3,475
Fees for Non-Professional Services	85,613	92,666	94,614	92,666	96,601	92,666
DP Services, Rentals and Maintenance	75,347	81,564	83,275	81,564	85,021	81,564
Postage	53,090	57,424	58,629	57,424	59,861	57,424
Travel	122,504	132,159	134,935	132,159	137,769	132,159
Other Contractual Services	445,489	483,106	818,276	808,131	835,449	814,947
Printing & Binding	5,504	5,957	6,082	5,957	6,210	5,957
<i>Other Expenses-Commodities</i>						
Agriculture, Horticulture, Dairy & Food	5,405	5,851	5,974	5,851	6,093	5,851
Books	4,777	5,169	5,278	5,169	5,389	5,169
Clothing and Personal Supplies	1,969	2,132	2,177	2,132	2,223	2,132
Maintenance and Motor Vehicle Supplies	27,394	29,650	29,663	29,650	29,676	29,650
Medical Supplies	2,367	2,563	2,698	2,563	2,827	2,563
Fuel	57	62	63	62	63	62
Office Supplies	71,168	77,002	78,619	77,002	80,270	77,002
Refunds of Expenditures Not Otherwise Classified	3,397	3,678	3,754	3,678	3,832	3,678
<i>Other Expenses-Sundry</i>						
Sundry - Other Items	4,668	5,051	5,056	5,051	5,062	5,051
TOTAL-Other Expenses Gross	1,102,463	1,192,659	1,542,155	1,517,684	1,573,931	1,524,500
Less Reimbursements						
TOTAL-Other Expenses Net	1,102,463	1,192,659	1,542,155	1,517,684	1,573,931	1,524,500
<i>Other Current Expenses</i>						
Workforce Investment Act	26,237,657	27,287,659	26,492,463	25,895,848	27,094,460	25,895,848
Jobs First Employment Services	16,188,098	16,088,098	16,495,314	16,219,096	16,896,212	16,337,976
Opportunity Industrial Centers	0	500,000	510,500	0	521,220	0

Individual Development Accounts	0	250,000	255,250	250,000	260,610	250,000
STRIDE	150,000	150,000	153,150	0	156,366	0
Apprenticeship Program	266,304	516,176	634,274	633,603	656,057	654,700
URBAN YOUTH EMPLOYMENT	0	0	4,084,000	0	4,169,764	0
Connecticut Career Resource Network	0	150,000	162,319	161,398	166,613	164,752
21st Century Jobs	0	1,000,000	1,020,482	1,000,532	1,042,276	1,001,957
TANF Job Reorganization	0	6,500,000	8,867,940	6,500,000	9,059,220	6,500,000
Incumbent Worker Training	0	500,000	510,500	0	521,220	0
TOTAL-Other Current Expenses	42,842,059	52,941,933	59,186,192	50,660,477	60,544,018	50,805,233

AGENCY FINANCIAL SUMMARY – WORKERS' COMPENSATION FUND

<i>Current Expenses by Minor Object</i>	2005-2006	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Other Current Expenses</i>						
Occupational Health Clinics	671,470	671,470	686,138	673,450	700,229	674,587
TOTAL-Other Current Expenses	671,470	671,470	686,138	673,450	700,229	674,587

<i>Character & Major Object Summary</i>	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	7,703,515	8,396,026	8,514,900	8,390,252	8,390,252	8,753,739	8,512,443	8,512,443
Other Expenses Net	1,102,463	1,192,659	1,542,155	1,542,155	1,517,684	1,573,931	1,573,931	1,524,500
Capital Outlay	2,000	2,000	230,636	230,636	1,000	235,567	235,567	1,000
Other Current Expenses	42,842,059	52,941,933	59,186,192	54,505,577	50,660,477	60,544,018	55,175,644	50,805,233
TOTAL-General Fund Net	51,650,037	62,532,618	69,473,883	64,668,620	60,569,413	71,107,255	65,497,585	60,843,176
Other Current Expenses	671,470	671,470	686,138	686,138	673,450	700,229	688,606	674,587
TOTAL-Workers' Compensation Fund Net	671,470	671,470	686,138	686,138	673,450	700,229	688,606	674,587
<i>Additional Funds Available</i>								
Employment Security Admin Fund	81,469,306	91,174,000	86,032,339	86,032,339	86,032,339	88,476,041	88,476,041	88,476,041
Federal and Other Activities	16,929	27,343	23,600	23,600	23,600	24,400	24,400	24,400
Bond Fund	247,291	0	300,000	0	0	300,000	0	0
Special Funds, Non-Appropriated	519,874	194,506	196,204	196,204	196,204	197,772	197,772	197,772
Private Funds	2,735,313	1,703,943	1,329,245	1,329,245	1,329,245	1,369,377	1,369,377	1,369,377
TOTAL-All Funds Net	137,310,220	156,303,880	158,041,409	152,936,146	148,824,251	162,175,074	156,253,781	151,585,353

OFFICE OF THE VICTIM ADVOCATE

AGENCY DESCRIPTION

The Office of the Victim Advocate (OVA) was established in 1998 as an independent agency to protect the legal rights of crime

victims in Connecticut and to advance policies throughout the state that promote the fair and just treatment of crime victims.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Remove or Limit Inflation

<u>2007-2008</u>	<u>2008-2009</u>
-1,099	-2,218

AGENCY PROGRAMS

Personnel Summary

Permanent Full-Time Positions	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	4	0	0	4	4	4	4	4

Agency Programs by Total Funds

(Net of Reimbursements)	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Advocacy for Victims	237,249	354,091	365,530	365,630	364,531	379,402	379,502	377,284
TOTAL Agency Programs - All Funds Gross	237,249	354,091	365,530	365,630	364,531	379,402	379,502	377,284
Less Turnover								
TOTAL Agency Programs - All Funds Net	237,249	354,091	365,530	365,630	364,531	379,402	379,502	377,284

Summary of Funding

General Fund Net	226,097	354,091	365,530	365,630	364,531	379,402	379,502	377,284
Bond Fund	11,152	0	0	0	0	0	0	0
TOTAL Agency Programs - All Funds Net	237,249	354,091	365,530	365,630	364,531	379,402	379,502	377,284

ADVOCACY FOR VICTIMS

Statutory Reference

C.G.S. Sections 46a-13b, et seq.

Statement of Need or Program Objective

The Office of the Victim Advocate (OVA) provides oversight and advocacy when it has been determined the criminal justice or victim service delivery systems failed crime victims and witnesses, works to promote the fair and just treatment of crime victims/witnesses throughout the criminal justice process and helps ensure that the voices of crime victims play a central role

in Connecticut's response to violence and those victimized by crime.

Program Description

The Office of the Victim Advocate protects and promotes the rights of crime victims through individual case advocacy; engages in multi-agency efforts to further the constitutional rights of crime victims and advocates for systemic reforms regarding victims' rights and services, legal action, public education and legislative advocacy.

Personnel Summary

Permanent Full-Time Positions	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	4	0	0	4	4	4	4	4

Financial Summary

(Net of Reimbursements)	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	184,359	301,679	312,519	312,519	312,519	325,272	325,272	325,272
Other Expenses	41,238	51,912	53,011	53,011	51,912	54,130	54,130	51,912
<u>Capital Outlay</u>								
Equipment	500	500	0	100	100	0	100	100
TOTAL-General Fund	226,097	354,091	365,530	365,630	364,531	379,402	379,502	377,284

Additional Funds Available

Bond Fund	11,152	0	0	0	0	0	0	0
TOTAL - All Funds	237,249	354,091	365,530	365,630	364,531	379,402	379,502	377,284

Advocacy for Victims

AGENCY FINANCIAL SUMMARY – GENERAL FUND

<i>Current Expenses by Minor Object</i>	2005-2006	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Personal Services</i>						
Permanent Fulltime Positions	184,359	275,785	284,494	284,494	296,642	296,642
Other Positions	0	25,894	26,183	26,183	26,733	26,733
Other	0	0	1,842	1,842	1,897	1,897
TOTAL-Personal Services Gross	184,359	301,679	312,519	312,519	325,272	325,272
Less Reimbursements						
Less Turnover						
TOTAL-Personal Services Net	184,359	301,679	312,519	312,519	325,272	325,272
<i>Other Expenses-Contractual Services</i>						
Dues and Subscriptions	7,791	9,807	10,013	9,807	10,223	9,807
Rentals, Storage and Leasing	319	402	411	402	420	402
Telecommunication Services	4,048	5,095	5,202	5,095	5,311	5,095
General Repairs	4,368	5,499	5,614	5,499	5,731	5,499
Fees for Non-Professional Services	4,291	5,402	5,516	5,402	5,631	5,402
DP Services, Rentals and Maintenance	3,339	4,203	4,292	4,203	4,382	4,203
Postage	207	261	266	261	272	261
Travel	3,966	4,990	5,094	4,990	5,200	4,990
Printing & Binding	2,581	3,249	3,317	3,249	3,387	3,249
<i>Other Expenses-Commodities</i>						
Agriculture, Horticulture, Dairy & Food	60	76	78	76	80	76
Books	1,716	2,163	2,208	2,163	2,254	2,163
Maintenance and Motor Vehicle Supplies	56	70	71	70	72	70
Medical Supplies	239	301	317	301	332	301
Office Supplies	8,257	10,394	10,612	10,394	10,835	10,394
TOTAL-Other Expenses Gross	41,238	51,912	53,011	51,912	54,130	51,912
Less Reimbursements						
TOTAL-Other Expenses Net	41,238	51,912	53,011	51,912	54,130	51,912

<i>Character & Major Object Summary</i>	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	184,359	301,679	312,519	312,519	312,519	325,272	325,272	325,272
Other Expenses Net	41,238	51,912	53,011	53,011	51,912	54,130	54,130	51,912
Capital Outlay	500	500	0	100	100	0	100	100
TOTAL-General Fund Net	226,097	354,091	365,530	365,630	364,531	379,402	379,502	377,284
<i>Additional Funds Available</i>								
Bond Fund	11,152	0	0	0	0	0	0	0
TOTAL-All Funds Net	237,249	354,091	365,530	365,630	364,531	379,402	379,502	377,284

COMMISSION ON HUMAN RIGHTS AND OPPORTUNITIES

AGENCY DESCRIPTION

[HTTP://WWW.STATE.CT.US/CHRO](http://www.state.ct.us/chro)

The mission of the Connecticut Commission on Human Rights and Opportunities (CHRO) is to eliminate discrimination through civil and human rights law enforcement and to establish equal

opportunity and justice for all persons within the state through advocacy and education.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Transfer Equipment to CEPP
- Remove or Limit Inflation

2007-2008	2008-2009
0	-2,000
-14,747	-27,351

AGENCY PROGRAMS

Personnel Summary

Permanent Full-Time Positions	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	92	6	2	100	100	100	100	100

Agency Programs by Total Funds

(Net of Reimbursements)	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Equal Opportunity Assurance	6,653,951	7,707,748	7,820,502	7,732,954	7,718,207	8,147,543	8,019,443	7,990,092
TOTAL Agency Programs - All Funds Gross	6,653,951	7,707,748	7,820,502	7,732,954	7,718,207	8,147,543	8,019,443	7,990,092
Less Turnover	0	0	-26,033	-100,000	-100,000	-26,033	-100,000	-100,000
TOTAL Agency Programs - All Funds Net	6,653,951	7,707,748	7,794,469	7,632,954	7,618,207	8,121,510	7,919,443	7,890,092

Summary of Funding

General Fund Net	6,590,695	7,707,748	7,794,469	7,632,954	7,618,207	8,121,510	7,919,443	7,890,092
Bond Fund	63,256	0	0	0	0	0	0	0
TOTAL Agency Programs - All Funds Net	6,653,951	7,707,748	7,794,469	7,632,954	7,618,207	8,121,510	7,919,443	7,890,092

EQUAL OPPORTUNITY ASSURANCE

Statutory Reference

C.G.S. Sections 46a-51 through 46a-104

Statement of Program Objectives

To prohibit discrimination in employment, credit transactions, housing and other public accommodations on the basis of race, religious creed, national origin, ancestry, sex, age, marital status, mental retardation, learning disability, physical disability, history of mental disorder (employment), mental disability (housing and public accommodations), lawful source of income (housing and public accommodations), familial status (housing) and sexual orientation (excludes affirmative action).

Program Description

The Commission on Human Rights and Opportunities receives, investigates, conciliates and processes individual complaints alleging discriminatory practices. CHRO may also initiate complaints in the public interest. It also monitors and enforces compliance with laws requiring affirmative action in state employment and laws requiring contractors and subcontractors doing business with the state to make every effort to implement affirmative action in their employment practices.

CHRO serves as an advocate for civil rights in Connecticut and as a source of education for the public about human rights issues and the services provided by the agency.

CHRO compiles facts and data concerning compliance with the various state civil rights laws and other related matters in Connecticut and reports to the Governor at least yearly regarding the outcomes of the agency's activities.

Outcome Measure

The agency has a goal of maintaining a ratio of cases filed to cases closed of 100% or less.

Ratio of Cases Filed to Cases Closed

FY2004	103.7%
FY2005	102.5%
FY2006	103.9%

Projected Ratio of Case Filed to Cases Closed

FY2007	100.0%
FY2008	100.0%
FY2009	100.0%

<i>Personnel Summary</i>	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	92	6	2	100	100	100	100	100
 <i>Financial Summary</i>	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	6,047,831	6,988,211	7,251,608	7,111,608	7,111,608	7,565,393	7,415,393	7,415,393
Other Expenses	537,797	711,887	561,244	613,696	598,949	572,500	594,400	567,049
<u>Capital Outlay</u>								
Equipment	760	1,000	1,000	1,000	1,000	3,000	3,000	1,000
<u>Other Current Expenses</u>								
Martin Luther King, Jr. Commission	4,307	6,650	6,650	6,650	6,650	6,650	6,650	6,650
TOTAL-General Fund	6,590,695	7,707,748	7,820,502	7,732,954	7,718,207	8,147,543	8,019,443	7,990,092
<u>Additional Funds Available</u>								
Bond Fund	63,256	0	0	0	0	0	0	0
TOTAL - All Funds	6,653,951	7,707,748	7,820,502	7,732,954	7,718,207	8,147,543	8,019,443	7,990,092
Equal Opportunity Assurance								

AGENCY FINANCIAL SUMMARY – GENERAL FUND

<i>Current Expenses by Minor Object</i>	2005-2006	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	5,803,807	6,808,528	6,891,797	6,891,797	7,183,212	7,183,212
Other Positions	22,367	73,422	54,102	54,102	55,437	55,437
Other	130,261	91,061	265,709	135,709	276,744	146,744
Overtime	91,396	15,200	40,000	30,000	50,000	30,000
TOTAL-Personal Services Gross	6,047,831	6,988,211	7,251,608	7,111,608	7,565,393	7,415,393
Less Reimbursements						
Less Turnover	0	0	-26,033	-100,000	-26,033	-100,000
TOTAL-Personal Services Net	6,047,831	6,988,211	7,225,575	7,011,608	7,539,360	7,315,393
<u>Other Expenses-Contractual Services</u>						
Dues and Subscriptions	3,516	3,500	3,573	3,500	3,648	3,500
Utility Services	6,022	6,500	6,558	6,500	6,597	6,500
Rentals, Storage and Leasing	93,280	104,257	106,446	104,257	108,682	104,257
Telecommunication Services	72,348	131,300	75,296	72,540	77,324	72,988
General Repairs	3,823	115,485	5,777	56,353	5,857	25,198
Motor Vehicle Expenses	5,433	4,800	4,901	4,800	5,004	4,800
Fees for Outside Professional Services	7,958	7,100	7,256	7,150	7,257	7,098
Fees for Non-Professional Services	79,563	77,700	81,854	80,223	83,736	80,387
DP Services, Rentals and Maintenance	81,863	89,500	91,379	89,500	93,298	89,500
Postage	59,902	61,120	62,403	61,120	63,713	61,120
Travel	13,721	13,620	15,800	15,414	16,647	15,035
Other Contractual Services	17,130	16,865	17,219	16,865	17,580	16,865
Advertising	848	850	868	850	886	850
Printing & Binding	10,701	6,000	6,126	6,000	6,255	6,000
<u>Other Expenses-Commodities</u>						
Agriculture, Horticulture, Dairy & Food	3,289	3,700	3,778	3,700	3,854	3,700
Books	6,263	5,200	5,309	5,200	5,421	5,200
Clothing and Personal Supplies	11	0	0	0	0	0
Maintenance and Motor Vehicle Supplies	1,889	2,500	2,500	2,500	2,500	2,500
Office Supplies	61,193	52,900	54,011	52,898	55,145	52,899
<u>Other Expenses-Sundry</u>						
Sundry - Other Items	9,044	8,990	10,190	9,579	9,096	8,652
TOTAL-Other Expenses Gross	537,797	711,887	561,244	598,949	572,500	567,049
Less Reimbursements						
TOTAL-Other Expenses Net	537,797	711,887	561,244	598,949	572,500	567,049

Budget-in-Detail

Other Current Expenses

Martin Luther King, Jr. Commission	4,307	6,650	6,650	6,650	6,650	6,650	6,650
TOTAL-Other Current Expenses	4,307	6,650	6,650	6,650	6,650	6,650	6,650

Character & Major Object Summary

	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	6,047,831	6,988,211	7,225,575	7,011,608	7,011,608	7,539,360	7,315,393	7,315,393
Other Expenses Net	537,797	711,887	561,244	613,696	598,949	572,500	594,400	567,049
Capital Outlay	760	1,000	1,000	1,000	1,000	3,000	3,000	1,000
Other Current Expenses	4,307	6,650	6,650	6,650	6,650	6,650	6,650	6,650
TOTAL-General Fund Net	6,590,695	7,707,748	7,794,469	7,632,954	7,618,207	8,121,510	7,919,443	7,890,092
<u>Additional Funds Available</u>								
Bond Fund	63,256	0	0	0	0	0	0	0
TOTAL-All Funds Net	6,653,951	7,707,748	7,794,469	7,632,954	7,618,207	8,121,510	7,919,443	7,890,092

OFFICE OF PROTECTION AND ADVOCACY FOR PERSONS WITH DISABILITIES

AGENCY DESCRIPTION

The primary purpose of the Office of Protection and Advocacy for Persons with Disabilities (OPA) is to protect the civil and human rights of people who have disabilities in Connecticut.

In fulfillment of various state and federal statutory requirements, OPA operates advocacy and investigation programs for people with all types of disabilities. Specific mandates include investigation of allegations of abuse and neglect of mentally retarded adults, review of accessibility-related building code waiver requests, and operation of advocacy programs for adults and children with psychiatric, developmental, physical, cognitive and sensory disabilities. In addition, the agency provides information and referral services, public education and training, technical assistance and support to community advocacy groups, and organization and leadership on selected disability issues.

OPA is organized into an administrative unit and two operating divisions. The operating divisions are defined primarily by function: Case Services (which includes all advocacy, information and referral functions), and Abuse Investigation (which investigates allegations of abuse and neglect with respect to adults with mental retardation). The functions of the former Community Development Division, which provided training and support to local advocacy groups, have been assumed within the administrative unit.

Outcome Measure

Fiscal Year	2006	2007	2008	2009
<u>In Response to Consumer Satisfaction Surveys</u>				
Individuals who receive information & referral services responding favorably overall	95%	95%	95%	95%
People with disabilities (or their families) who receive advocacy through organizations that receive training, technical assistance and/or funding from agency	1,000	1,000	1,000	1,000
<u>In Response to Allegations of Abuse and Neglect</u>				
Percentage of direct investigations completed within 90 days	70%	85%	85%	85%

AGENCY PROGRAM INDEX

Advocacy for Persons with Disabilities	274	Abuse Investigation	275
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RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

• Transfer Equipment to CEPF	2007-2008	-10,904	2008-2009	-16,945
• Remove or Limit Inflation		-8,197		-16,569

AGENCY PROGRAMS

Personnel Summary	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
General Fund	32	1	0	33	33	33	33	33
Federal Contributions	15	3	-1	17	17	17	17	17

Agency Programs by Total Funds

(Net of Reimbursements)	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Advocacy for Persons with Disabilities	3,297,109	3,331,270	3,338,061	3,311,345	3,294,687	3,423,043	3,388,011	3,359,435
Abuse Investigation	744,448	878,031	926,591	923,248	920,805	961,236	957,578	952,640
TOTAL Agency Programs - All Funds Gross	4,041,557	4,209,301	4,264,652	4,234,593	4,215,492	4,384,279	4,345,589	4,312,075
Less Turnover	0	0	0	-22,832	-22,832	0	-23,788	-23,788
TOTAL Agency Programs - All Funds Net	4,041,557	4,209,301	4,264,652	4,211,761	4,192,660	4,384,279	4,321,801	4,288,287

Summary of Funding

General Fund Net	2,406,166	2,581,743	2,707,203	2,672,312	2,653,211	2,820,830	2,782,352	2,748,838
Federal and Other Activities	1,612,776	1,627,558	1,539,449	1,539,449	1,539,449	1,539,449	1,539,449	1,539,449
Bond Fund	22,615	0	18,000	0	0	24,000	0	0
TOTAL Agency Programs - All Funds Net	4,041,557	4,209,301	4,264,652	4,211,761	4,192,660	4,384,279	4,321,801	4,288,287

ADVOCACY FOR PERSONS WITH DISABILITIES

Statutory Reference

C.G.S. Sections 46a-7 through 46a-13a (as amended by P.A. 03-88 and 04-12); Sec. 45a-694; Sec. 29-200(b); Sec. 29-269(b); Sec. 9-168d.

Statement of Need and Program Objectives

To protect civil rights and challenge barriers that limit participation and contribution by people with disabilities in the civic and economic lives of Connecticut communities.

To increase the degree to which services provided to people with disabilities are relevant to their needs, respectful of their individual rights, competent to ensure their safety and supportive of their membership in their families, neighborhoods and communities.

To expand and strengthen the disability advocacy base in Connecticut, with special emphasis on traditionally under-served racial and ethnic minority groups.

Program Description

The Advocacy Program of the Office of Protection and Advocacy for Persons with Disabilities provides an array of responses to people who are referred or who seek assistance from the agency.

OPA is Connecticut's designated protection and advocacy program, as required by federal law. Most callers (approximately 7,000 per year) receive assistance in the form of information, consultation and short-term troubleshooting about the specific question or problem prompting their inquiry. Priorities for service delivery are developed on a biennial basis in collaboration with the 15 member volunteer advisory board, and are updated annually as required by federal law. The priority-setting process involves biennial public forums, analysis of needs reflected in case experience and input from the agency's Advisory Board. Clients with disabilities, whose civil rights issues fall within specific program mandates and whose situations meet priority criteria, receive more extensive advocacy services. OPA also devotes some of its resources to educating, organizing and supporting community advocacy groups. Staff members from all program areas provide training to service providers and disability interest groups. Community development staff provide technical assistance and limited resource support to local citizen advocacy programs and parent and self-advocacy organizations and pursue outreach efforts in minority communities. To better ensure statewide presence, OPA subcontracts some of its functions with advocacy agencies in Bridgeport, Danbury, New Haven and Colchester.

Program Measure

	<u>2005-2006</u> <u>Actual</u>	<u>2006-2007</u> <u>Estimated</u>	<u>2007-2008</u> <u>Projected</u>	<u>2008-2009</u> <u>Projected</u>
In response to Consumer Satisfaction Surveys, Individuals who receive information and referral services responding favorably overall	95%	95%	85%	95%
People with disabilities (or their families) who receive advocacy through organizations that receive training, technical assistance, and/or funding from agency	1,000	1,000	1,000	1,000

Personnel Summary

	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	21	0	0	21	21	21	21	21
Federal Contributions	15	3	-1	17	17	17	17	17

Financial Summary

	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,411,462	1,427,108	1,483,924	1,477,734	1,477,734	1,549,328	1,542,482	1,542,482
Other Expenses	261,683	276,504	282,195	282,258	276,504	288,006	288,135	276,504

Capital Outlay

Equipment	100	100	14,493	11,904	1,000	22,260	17,945	1,000
TOTAL-General Fund	1,673,245	1,703,712	1,780,612	1,771,896	1,755,238	1,859,594	1,848,562	1,819,986

Additional Funds Available

Bond Fund	11,088	0	18,000	0	0	24,000	0	0
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Federal Contributions

84128 Rehabilitation Services Service Projec	127,715	120,000	120,000	120,000	120,000	120,000	120,000	120,000
84240 Program of Protection & Advocacy of	254,533	248,685	225,777	225,777	225,777	225,777	225,777	225,777
93234 Traumatic Brain Injury State Demo	53,203	51,000	51,000	51,000	51,000	51,000	51,000	51,000
93618 Voting Access-Individ w/Disabilities	40,770	70,000	70,000	70,000	70,000	70,000	70,000	70,000
93630 Developmental Disabilities Basic Suppo	950,059	906,284	826,083	826,083	826,083	826,083	826,083	826,083
93667 Social Services Block Grant	104,321	146,589	146,589	146,589	146,589	146,589	146,589	146,589
96008 Soc Sec Benefits Planning, Assist	82,175	85,000	100,000	100,000	100,000	100,000	100,000	100,000
TOTAL - All Funds	3,297,109	3,331,270	3,338,061	3,311,345	3,294,687	3,423,043	3,388,011	3,359,435

Advocacy for Persons with Disabilities

ABUSE INVESTIGATION

Statutory Reference

C.G.S. Sections 46a-11b through 46a-11g, as amended by P.A.03-146 and P.A.04-12.

Statement of Need and Program Objectives

To ensure that allegations of abuse and neglect arising within systems of service for adults with mental retardation are reported, investigated and responded to appropriately.

To ensure the adequacy of investigations of allegations of abuse and neglect of adults with mental retardation that arise within human service programs, and to conduct such investigations into allegations that arise outside the scope of formal service systems.

To ensure that protective services are implemented when necessary to assure the safety of an adult with mental retardation.

To ensure that independent investigations are conducted into the death of any DMR client where abuse or neglect is suspected to have contributed to the death.

Program Description

The Abuse Investigation Unit receives reports of suspected abuse and neglect of persons with mental retardation between the ages of 18 and 59 and either directly investigates those allegations or ensures that an agency that is otherwise responsible for conducting an investigation does so in a timely, competent manner. Recently enacted legislation also requires the unit to investigate deaths of DMR clients when it is suspected that abuse or neglect may have contributed to that death. Most reports of suspected abuse or neglect are made by professionals or service providers who are statutorily mandated to report such cases. Service providers are required to cooperate with OPA investigations and the agency may subpoena relevant records. When an investigation concludes that protective services are warranted to ensure the safety of a person with mental retardation, investigative staff requests that the Department of Mental Retardation develop a protective services plan. If protective services are immediately necessary to protect the health or safety of a victim of alleged abuse or neglect, they must be provided pending completion of the investigation.

Program Measure

	<u>2005-2006</u> <u>Actual</u>	<u>2006-2007</u> <u>Estimated</u>	<u>2007-2008</u> <u>Projected</u>	<u>2008-2009</u> <u>Projected</u>
In response to allegations of Abuse and Neglect, direct investigations completed within 90 days	70%	85%	85%	85%

Personnel Summary

	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
Permanent Full-Time Positions	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	11	1	0	12	12	12	12	12

Financial Summary

	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	626,620	761,653	807,797	804,427	804,427	839,974	836,262	836,262
Other Expenses	106,301	116,378	118,794	118,821	116,378	121,262	121,316	116,378
TOTAL-General Fund	732,921	878,031	926,591	923,248	920,805	961,236	957,578	952,640
<u>Additional Funds Available</u>								
Bond Fund	11,527	0	0	0	0	0	0	0
TOTAL - All Funds	744,448	878,031	926,591	923,248	920,805	961,236	957,578	952,640

Abuse Investigation

AGENCY FINANCIAL SUMMARY – GENERAL FUND

Current Expenses by Minor Object

	2005-2006	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	1,998,480	2,133,013	2,230,074	2,230,074	2,323,751	2,323,751
Other	26,000	40,378	44,509	43,607	46,645	45,997
Overtime	13,602	15,370	17,138	8,480	18,906	8,996
TOTAL-Personal Services Gross	2,038,082	2,188,761	2,291,721	2,282,161	2,389,302	2,378,744
Less Reimbursements						
Less Turnover	0	0	0	-22,832	0	-23,788
TOTAL-Personal Services Net	2,038,082	2,188,761	2,291,721	2,259,329	2,389,302	2,354,956

Other Expenses-Contractual Services

Dues and Subscriptions	12,901	14,124	14,421	14,134	14,724	14,134
Rentals, Storage and Leasing	5,194	5,686	5,805	5,686	5,927	5,686
Telecommunication Services	15,933	17,505	17,873	17,505	18,249	17,505
General Repairs	453	496	506	496	517	496
Motor Vehicle Expenses	9,502	10,357	10,575	10,357	10,797	10,357

Budget-in-Detail

Fees for Outside Professional Services	131,476	135,648	138,496	135,648	141,406	135,648
Fees for Non-Professional Services	110,869	121,316	123,864	121,316	126,467	121,316
DP Services, Rentals and Maintenance	19,613	21,473	21,924	21,463	22,385	21,463
Postage	8,967	9,817	10,023	9,817	10,232	9,817
Travel	15,904	17,412	17,778	17,412	18,152	17,412
Other Contractual Services	6,917	5,930	6,054	5,930	6,180	5,930
Printing & Binding	2,328	2,548	2,601	2,548	2,655	2,548
<i><u>Other Expenses-Commodities</u></i>						
Agriculture, Horticulture, Dairy & Food	881	965	985	965	1,005	965
Books	689	754	770	754	786	754
Clothing and Personal Supplies	888	972	992	972	1,013	972
Maintenance and Motor Vehicle Supplies	3,351	3,623	3,643	3,623	3,663	3,623
Medical Supplies	42	46	48	46	50	46
Office Supplies	16,388	17,984	18,362	17,984	18,747	17,984
Refunds of Expenditures Not Otherwise Classified	55	60	61	60	62	60
<i><u>Other Expenses-Sundry</u></i>						
Sundry - Other Items	5,633	6,166	6,208	6,166	6,251	6,166
TOTAL-Other Expenses Gross	367,984	392,882	400,989	392,882	409,268	392,882
Less Reimbursements						
TOTAL-Other Expenses Net	367,984	392,882	400,989	392,882	409,268	392,882

Character & Major Object Summary

	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	2,038,082	2,188,761	2,291,721	2,259,329	2,259,329	2,389,302	2,354,956	2,354,956
Other Expenses Net	367,984	392,882	400,989	401,079	392,882	409,268	409,451	392,882
Capital Outlay	100	100	14,493	11,904	1,000	22,260	17,945	1,000
TOTAL-General Fund Net	2,406,166	2,581,743	2,707,203	2,672,312	2,653,211	2,820,830	2,782,352	2,748,838
<i><u>Additional Funds Available</u></i>								
Federal and Other Activities	1,612,776	1,627,558	1,539,449	1,539,449	1,539,449	1,539,449	1,539,449	1,539,449
Bond Fund	22,615	0	18,000	0	0	24,000	0	0
TOTAL-All Funds Net	4,041,557	4,209,301	4,264,652	4,211,761	4,192,660	4,384,279	4,321,801	4,288,287

OFFICE OF THE CHILD ADVOCATE

AGENCY DESCRIPTION

The Office of the Child Advocate was established by C.G.S. Sections 46a-13k through 46a-13q, for the purpose of ensuring the legal and civil rights of children who reside in the state.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2007-2008</u>	<u>2008-2009</u>
• Transfer Equipment to CEPF	-4,500	-1,500
• Remove or Limit Inflation	-2,976	-6,011

AGENCY PROGRAMS

Personnel Summary

	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	8	2	0	10	10	10	10	10

Agency Programs by Total Funds

	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Advocacy for Children	861,743	1,046,038	1,217,515	1,046,080	1,038,604	1,244,604	1,064,391	1,056,880
TOTAL Agency Programs - All Funds Gross	861,743	1,046,038	1,217,515	1,046,080	1,038,604	1,244,604	1,064,391	1,056,880
Less Turnover								
TOTAL Agency Programs - All Funds Net	861,743	1,046,038	1,217,515	1,046,080	1,038,604	1,244,604	1,064,391	1,056,880

Summary of Funding

General Fund Net	853,976	1,008,938	1,197,515	1,026,080	1,018,604	1,244,604	1,064,391	1,056,880
Federal and Other Activities	3,345	17,100	0	0	0	0	0	0
Bond Fund	4,422	0	0	0	0	0	0	0
Private Funds	0	20,000	20,000	20,000	20,000	0	0	0
TOTAL Agency Programs - All Funds Net	861,743	1,046,038	1,217,515	1,046,080	1,038,604	1,244,604	1,064,391	1,056,880

ADVOCACY FOR CHILDREN

Statutory Reference

C.G.S. Sections 46a-13k through 46a-13q

Statement of Need and Program Objectives

To secure and ensure the legal, civil and special rights of children who reside in this state. The mission of the Office of the Child Advocate is to oversee the protection and care of children and to advocate for their well-being.

Program Description

The Office of the Child Advocate evaluates the delivery of services by state agencies and entities that provide services to children through state funding.

Reviews the procedures of any state department providing services to children with a view toward their rights.

Reviews complaints and investigates those where it appears that a child or family may be in need of assistance.

Reviews facilities and procedures of any institutions or residences where a juvenile has been placed by either the Family Division of Superior Court or the Department of Children and Families.

Recommends changes in policies and procedures for dealing with juvenile problems and in the systems providing childcare, foster care and treatment to children.

Reviews the number of special needs children in any foster care or permanent care facility, and recommends changes in policies and procedures for the placement of such children.

Serves or designates a person to serve as a member of the child fatality review panel.

Personnel Summary

	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	8	2	0	10	10	10	10	10

Financial Summary

	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	635,285	800,665	976,610	790,846	790,846	1,021,598	826,699	826,699

Budget-in-Detail

Other Expenses	143,306	128,264	131,411	147,240	144,264	134,110	150,275	144,264
<i>Capital Outlay</i>								
Equipment	2,433	500	8,000	5,500	1,000	5,100	2,500	1,000
<i>Other Current Expenses</i>								
Child Fatality Review Panel	72,952	79,509	81,494	82,494	82,494	83,796	84,917	84,917
TOTAL-General Fund	853,976	1,008,938	1,197,515	1,026,080	1,018,604	1,244,604	1,064,391	1,056,880
<i>Additional Funds Available</i>								
Bond Fund	4,422	0	0	0	0	0	0	0
Private Funds	0	20,000	20,000	20,000	20,000	0	0	0
Federal Contributions								
16549 Part E State Challenge Activities	845	14,600	0	0	0	0	0	0
93138 Protection & Advocacy for Individual	2,500	2,500	0	0	0	0	0	0
TOTAL - All Funds	861,743	1,046,038	1,217,515	1,046,080	1,038,604	1,244,604	1,064,391	1,056,880

Advocacy for Children

AGENCY FINANCIAL SUMMARY – GENERAL FUND

<i>Current Expenses by Minor Object</i>	2005-2006	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Personal Services</i>						
Permanent Fulltime Positions	559,590	720,083	804,581	627,507	844,271	656,552
Other Positions	65,678	66,901	154,505	145,815	159,020	152,240
Other	10,017	13,681	17,524	17,524	18,307	17,907
TOTAL-Personal Services Gross	635,285	800,665	976,610	790,846	1,021,598	826,699
Less Reimbursements						
Less Turnover						
TOTAL-Personal Services Net	635,285	800,665	976,610	790,846	1,021,598	826,699
<i>Other Expenses-Contractual Services</i>						
Dues and Subscriptions	1,054	944	964	944	984	944
Rentals, Storage and Leasing	2,013	1,802	1,840	1,802	1,878	1,802
Telecommunication Services	13,057	11,685	11,930	11,685	12,181	11,685
General Repairs	7,808	6,988	7,134	6,988	7,283	6,988
Motor Vehicle Expenses	10,752	9,623	9,825	9,623	10,030	9,623
Fees for Outside Professional Services	71,503	63,495	65,339	79,495	66,711	79,495
Fees for Non-Professional Services	2,977	2,665	2,721	2,665	2,776	2,665
DP Services, Rentals and Maintenance	3,522	2,982	3,044	2,982	3,108	2,982
Postage	2,603	2,329	2,378	2,329	2,428	2,329
Travel	6,401	5,729	5,849	5,729	5,971	5,729
Other Contractual Services	39	35	36	35	37	35
Advertising	2,129	1,905	1,945	1,905	1,986	1,905
Printing & Binding	2,263	2,025	2,068	2,025	2,111	2,025
<i>Other Expenses-Commodities</i>						
Agriculture, Horticulture, Dairy & Food	678	613	626	613	639	613
Books	4,235	3,790	3,870	3,790	3,951	3,790
Maintenance and Motor Vehicle Supplies	3,042	2,722	2,725	2,722	2,729	2,722
Office Supplies	9,180	8,886	9,072	8,886	9,262	8,886
<i>Other Expenses-Sundry</i>						
Sundry - Other Items	50	46	45	46	45	46
TOTAL-Other Expenses Gross	143,306	128,264	131,411	144,264	134,110	144,264
Less Reimbursements						
TOTAL-Other Expenses Net	143,306	128,264	131,411	144,264	134,110	144,264
<i>Other Current Expenses</i>						
Child Fatality Review Panel	72,952	79,509	81,494	82,494	83,796	84,917
TOTAL-Other Current Expenses	72,952	79,509	81,494	82,494	83,796	84,917

<i>Character & Major Object Summary</i>	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	635,285	800,665	976,610	790,846	790,846	1,021,598	826,699	826,699
Other Expenses Net	143,306	128,264	131,411	147,240	144,264	134,110	150,275	144,264
Capital Outlay	2,433	500	8,000	5,500	1,000	5,100	2,500	1,000
Other Current Expenses	72,952	79,509	81,494	82,494	82,494	83,796	84,917	84,917
TOTAL-General Fund Net	853,976	1,008,938	1,197,515	1,026,080	1,018,604	1,244,604	1,064,391	1,056,880
<i><u>Additional Funds Available</u></i>								
Federal and Other Activities	3,345	17,100	0	0	0	0	0	0
Bond Fund	4,422	0	0	0	0	0	0	0
Private Funds	0	20,000	20,000	20,000	20,000	0	0	0
TOTAL-All Funds Net	861,743	1,046,038	1,217,515	1,046,080	1,038,604	1,244,604	1,064,391	1,056,880

WORKERS' COMPENSATION COMMISSION

AGENCY DESCRIPTION

The Workers' Compensation Commission is comprised of the Chairman and 15 Commissioners whom are appointed by the Governor. Disputed workers' compensation claims are resolved through the informal, preformal, and formal hearings process. At each level, the Commissioner strives to resolve disputes consistent with the Workers' Compensation Act and the broad humanitarian purpose the Act serves. Decisions from Formal Hearings (trials) may be appealed by any party to the Compensation Review Board, a panel comprised of three Commissioners. The operation of the agency is funded entirely through assessments on insurance and self-insured employers for workers' compensation payouts. In FY2006, the Commission received 53,524 new injury claim notices, and Commissioners presided over 47,012 Informal hearings, 9,204 Preformal hearings, and 1,120 Formal hearings. The Commission currently maintains a database of 537,346 claims.

Review of the Formula Governing the Workers' Compensation Practitioner Fee Schedule

As mandated by C.G.S. Sec. 31-280 and Administrative Regulation Sec. 31-280-3, the Chairman is required to update this authoritative source on medical coding and reimbursement rates for use by physicians and other medical providers who treat injured workers under the Connecticut Workers' Compensation Act. The Commission recognizes that the formulas used to maintain our present fee schedule are outdated and are becoming more difficult to maintain and implement. As a consequence, the Commission is exploring other available options using its own research together with comparisons drawn from several other states that have undertaken similar initiatives. Historically, the fee schedule was based on a percentage of statewide medical charges.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2007-2008</u>	<u>2008-2009</u>
• Remove or Limit Inflation	-56,118	-113,397
• Achieved Efficiencies within the Criminal Justice Fraud Unit	-54,199	-55,336
• Reduce Personal Services <i>Eliminate funding for summer workers and 2 per diem commissioners.</i>	-111,839	-111,839
• Reduce Other Expense <i>Shifting of other expense funding to coincide with current timeline for the Commission's computer system conversion.</i>	-630,766	601,234
• Reduce Equipment <i>Shifting of equipment funding to coincide with current timeline for the Commission's computer system conversion.</i>	-151,049	138,000
• Utilize FY2007 Carryforward for FY2008 Expenditure <i>Provide funding through carryforward to convert the Commission's current computer application to a web based system.</i>	-400,000	0

AGENCY PROGRAMS

Personnel Summary

<i>Permanent Full-Time Positions</i>	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Workers' Compensation Fund	127	4	0	131	131	131	131	131

Agency Programs by Total Funds

(Net of Reimbursements)	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Workers' Compensation Administration	19,491,160	20,035,703	23,532,144	24,299,871	22,757,330	21,608,273	22,743,671	23,066,499
TOTAL Agency Programs - All Funds Gross	19,491,160	20,035,703	23,532,144	24,299,871	22,757,330	21,608,273	22,743,671	23,066,499
Less Turnover	0	0	-127,600	-127,600	-127,600	-135,926	-135,926	-135,926
TOTAL Agency Programs - All Funds Net	19,491,160	20,035,703	23,404,544	24,172,271	22,629,730	21,472,347	22,607,745	22,930,573

Summary of Funding

Workers' Compensation Fund Net	19,491,160	20,035,703	23,404,544	24,172,271	22,629,730	21,472,347	22,607,745	22,930,573
TOTAL Agency Programs - All Funds Net	19,491,160	20,035,703	23,404,544	24,172,271	22,629,730	21,472,347	22,607,745	22,930,573

WORKERS' COMPENSATION

Statutory Reference

C.G.S. Chapter 568, Sections 31-275 through 31-355

Statement of Need and Program Objectives

To administer the workers' compensation laws of the State of Connecticut.

To ensure that workers injured on the job receive prompt payment of lost work time benefits and medical expenses.

Program Description

The Workers' Compensation Commission is statutorily mandated to adjudicate and resolve disputes arising from injuries occurring in the workplace. Issues are resolved through hearings, medication, and/or negotiation.

Compensation Review Board is the appellate level of the Workers' Compensation Commission.

Rehabilitation Services offers employment retraining to permanently injured workers who are unable to return to their former employment.

Education Programs provide information to employers, employees, and the general public.

Safety and Health Programs work to prevent and reduce workplace injuries by assisting employers in establishing committees to oversee safety programs.

Managed Care Plan (PPO) applications from employers are reviewed to ensure employees are provided adequate access to medical care while employers strive to achieve cost containment.

Self-Insurance applications from employers are reviewed for eligibility based on fiscal solvency, loss history, and exposure.

Statistical Division compiles data on trends and levels of activity associated with the workers' compensation process and serves as the repository for information on workers' compensation insurance coverage.

Program Measure

	<u>2005-2006</u>	<u>2006-2007</u>	<u>2007-2008</u>	<u>2008-2009</u>
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Injuries reported	53,524	53,000	53,000	53,000
Fatal Injuries	58	60	60	60
Informal Hearings	47,012	47,500	48,000	48,000
Pre-Formal Hearings	9,204	9,200	9,200	9,200
Formal Hearings	1,120	1,100	1,100	1,100
Dismissals	131	150	150	150
Stipulations Approved	6,848	7,000	7,000	7,000
Voluntary Agreements	22,097	22,500	23,000	23,500
Awards	1,277	1,300	1,300	1,300
Appeals to Compensation Review Board	142	145	150	150
Compensation Review Board Dispositions	143	145	150	150
Information Requests	442,735	450,000	500,000	500,000
Fraud Investigations	155	160	160	160

Personnel Summary

	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Workers' Compensation Fund	127	4	0	131	131	131	131	131

Financial Summary

	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	8,528,233	8,919,320	9,631,523	9,618,023	9,633,784	9,773,314	9,903,819	9,927,906
Other Expenses	2,757,250	2,673,547	4,739,942	4,739,942	3,653,058	2,824,048	2,824,048	3,311,885
<u>Capital Outlay</u>								
Equipment	277,495	51,250	356,492	260,092	109,043	69,990	169,020	307,020
<u>Other Current Expenses</u>								
Criminal Justice Fraud Unit	526,868	530,837	603,815	541,985	487,786	604,467	553,366	498,030
Rehabilitative Services	2,061,674	2,061,704	2,661,704	2,661,704	2,395,534	2,717,600	2,717,600	2,445,840
Fringe Benefits	4,890,817	5,460,432	5,192,944	5,512,275	5,512,275	5,265,869	5,589,685	5,589,685
Indirect Overhead	448,823	338,613	345,724	965,850	965,850	352,985	986,133	986,133
TOTAL-Workers' Compensation Fund	19,491,160	20,035,703	23,532,144	24,299,871	22,757,330	21,608,273	22,743,671	23,066,499
Workers' Compensation Administration								

AGENCY FINANCIAL SUMMARY – WORKERS' COMPENSATION FUND

Current Expenses by Minor Object

	2005-2006	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	8,238,202	8,615,989	9,176,513	9,306,370	9,348,784	9,615,955
Other Positions	151,327	74,711	226,451	113,873	227,590	115,011
Other	138,178	229,070	229,009	213,991	197,390	197,390
Overtime	526	550	550	550	550	550
TOTAL-Personal Services Gross	8,528,233	8,920,320	9,632,523	9,634,784	9,774,314	9,928,906
Less Reimbursements	0	-1,000	-1,000	-1,000	-1,000	-1,000
Less Turnover	0	0	-127,600	-127,600	-135,926	-135,926
TOTAL-Personal Services Net	8,528,233	8,919,320	9,503,923	9,506,184	9,637,388	9,791,980

Budget-in-Detail

Other Expenses-Contractual Services

Dues and Subscriptions	6,642	6,441	6,577	6,577	6,715	6,715
Utility Services	32,118	31,143	31,423	31,423	31,612	31,612
Rentals, Storage and Leasing	1,193,414	1,157,185	1,400,793	1,400,793	1,454,584	1,454,584
Telecommunication Services	137,437	133,264	136,063	136,063	138,920	138,920
General Repairs	39,098	37,912	38,709	38,709	39,522	39,522
Motor Vehicle Expenses	16,142	15,652	15,981	15,981	16,317	16,317
Fees for Outside Professional Services	26,720	29,604	70,742	70,742	36,063	36,063
Fees for Non-Professional Services	28,108	27,260	27,827	27,827	28,412	28,412
DP Services, Rentals and Maintenance	775,539	751,996	2,512,105	1,425,221	564,675	1,052,512
Postage	209,718	203,351	207,622	207,622	211,982	211,982
Travel	98,997	95,991	98,006	98,006	100,065	100,065
Other Contractual Services	62,108	60,223	61,488	61,488	62,779	62,779
Advertising	1,720	1,668	1,703	1,703	1,739	1,739
Printing & Binding	15,138	14,678	14,986	14,986	15,301	15,301

Other Expenses-Commodities

Agriculture, Horticulture, Dairy & Food	695	674	688	688	702	702
Books	23,911	23,185	23,672	23,672	24,169	24,169
Maintenance and Motor Vehicle Supplies	5,697	5,524	5,525	5,525	5,526	5,526
Office Supplies	67,786	65,728	67,108	67,108	68,516	68,516
Refunds of Expenditures Not Otherwise Classified	296	287	293	293	299	299

Other Expenses-Sundry

Sundry - Other Items	15,966	13,631	20,481	20,481	18,000	18,000
TOTAL-Other Expenses Gross	2,757,250	2,675,397	4,741,792	3,654,908	2,825,898	3,313,735
Less Reimbursements	0	-1,850	-1,850	-1,850	-1,850	-1,850
TOTAL-Other Expenses Net	2,757,250	2,673,547	4,739,942	3,653,058	2,824,048	3,311,885

Other Current Expenses

Criminal Justice Fraud Unit	526,868	530,837	603,815	487,786	604,467	498,030
Rehabilitative Services	2,061,674	2,061,704	2,661,704	2,395,534	2,717,600	2,445,840
Fringe Benefits	4,890,817	5,460,432	5,192,944	5,512,275	5,265,869	5,589,685
Indirect Overhead	448,823	338,613	345,724	965,850	352,985	986,133
TOTAL-Other Current Expenses	7,928,182	8,391,586	8,804,187	9,361,445	8,940,921	9,519,688

Character & Major Object Summary

	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services Net	8,528,233	8,919,320	9,503,923	9,490,423	9,506,184	9,637,388	9,767,893	9,791,980
Other Expenses Net	2,757,250	2,673,547	4,739,942	4,739,942	3,653,058	2,824,048	2,824,048	3,311,885
Capital Outlay	277,495	51,250	356,492	260,092	109,043	69,990	169,020	307,020
Other Current Expenses	7,928,182	8,391,586	8,804,187	9,681,814	9,361,445	8,940,921	9,846,784	9,519,688
TOTAL-Workers' Compensation Fund Net	19,491,160	20,035,703	23,404,544	24,172,271	22,629,730	21,472,347	22,607,745	22,930,573

EMERGENCY MANAGEMENT AND HOMELAND SECURITY

AGENCY DESCRIPTION

The Department of Emergency Management and Homeland Security is charged to develop, administer, and coordinate a comprehensive statewide Emergency Management and Homeland Security Program that encompasses all human-made and natural

hazards, and includes prevention, mitigation, preparedness, response and recovery components to ensure the safety and well-being of the citizens of Connecticut.

AGENCY PROGRAM INDEX

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RECOMMENDED SIGNIFICANT CHANGES

	<u>2007-2008</u>	<u>2008-2009</u>		
Reductions to Current Services				
• Transfer Equipment to CEPF	-49,310	-120,250		
• Remove or Limit Inflation	-11,665	-23,612		
Reallocations or Transfers				
• Reallocate Funds to Add 12 Staff for Terrorism Prevention	-640,820	-611,915		
<i>Reallocate funds back to DPS as DEMHS will no longer be reimbursing for 13 troopers. Remaining funds that were used to reimburse DPS for use of troopers are reallocated within DEMHS in order to add 12 staff and associated costs.</i>				
New or Expanded Services				
• Continue Monthly Stipend to Local Municipalities for Urban Search and Rescue	96,000	96,000	96,000	96,000
• Provide Funds for Required Medical Testing for Urban Search and Rescue HazMat Personnel	20,000	20,000	20,000	20,000
• Provide Funds for Required Medical Screening for Urban Search and Rescue Volunteers	25,000	25,000	25,000	25,000

AGENCY PROGRAMS

Personnel Summary	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	40	6	0	46	34	58	34	58
Federal Contributions	20	2	6	28	28	28	28	28
Private Funds	6	0	0	6	6	6	6	6
Agency Programs by Total Funds	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Office of the Commissioner	1,762,311	2,925,896	2,444,978	2,439,878	2,051,659	2,440,304	2,440,304	2,056,130
Emergency Management/Homeland Security	34,064,035	67,699,523	35,533,949	35,148,633	34,776,057	28,384,632	28,143,023	27,712,420
TOTAL Agency Programs - All Funds Gross	35,826,346	70,625,419	37,978,927	37,588,511	36,827,716	30,824,936	30,583,327	29,768,550
Less Turnover	0	0	-851	-8,710	-8,710	-851	-8,710	-8,710
TOTAL Agency Programs - All Funds Net	35,826,346	70,625,419	37,978,076	37,579,801	36,819,006	30,824,085	30,574,617	29,759,840
Summary of Funding								
General Fund Net	3,909,882	4,562,083	5,065,856	4,667,581	3,906,786	5,079,344	4,829,876	4,015,099
Federal and Other Activities	30,092,067	63,051,300	29,989,857	29,989,857	29,989,857	22,822,378	22,822,378	22,822,378
Bond Fund	304,791	0	0	0	0	0	0	0
Private Funds	1,519,606	3,012,036	2,922,363	2,922,363	2,922,363	2,922,363	2,922,363	2,922,363
TOTAL Agency Programs - All Funds Net	35,826,346	70,625,419	37,978,076	37,579,801	36,819,006	30,824,085	30,574,617	29,759,840

OFFICE OF THE COMMISSIONER

Statutory Reference

Title 28- C.G.S.

Statement of Need and Program Objectives

The Office of the Commissioner for the Department of Emergency Management and Homeland Security is responsible for providing a coordinated, integrated program for statewide emergency management and homeland security.

Program Description

Along with the Commissioner, Deputy Commissioner and two support staff, this office includes the Information and Technology Division, as well as a unit responsible for Legislative and Legal Affairs and Special Projects deemed as priorities by the Commissioner and/or the Office of the Governor.

Budget-in-Detail

<i>Program Measure</i>	<u>2005-2006</u> <u>Actual</u>	<u>2006-2007</u> <u>Estimated</u>	<u>2007-2008</u> <u>Projected</u>	<u>2008-2009</u> <u>Projected</u>
Operations	16	16	16	16
Days EOC Activated	16	16	16	16
Exercises	20	20	40	40
Support of Municipalities	171	171	171	171
No. of Towns Receiving Grants	171	171	171	171
Funding to Towns	21,581,260	50,342,054	22,445,398	16,651,414
Training Classes	92	92	92	92
Students Trained	3,291	3,291	3,291	3,291
Terrorism Training - No. of students trained	1,332	1,330	1,330	1,330
Assessment of State Facilities	38	25	20	20
Assessment of Municipal Facilities	3	3	3	3
Assessment of Federal Facilities	2	2	2	2
Assessment of Private Facilities	72	45	35	35
Assessment of Maritime Vessels	22	10	5	5
Assessment of Buffer Zone Sites	17	10	5	5
Assessment of Strategic National Stockpile RSS Sites	7	5	5	5
US&R training days	36	36	36	36

<i>Personnel Summary</i>	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	21	1	0	22	22	22	22	22
Federal Contributions	4	0	0	4	4	4	4	4

<i>Financial Summary</i>	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
<i>(Net of Reimbursements)</i>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,383,581	1,742,306	1,691,101	1,691,101	1,294,566	1,774,775	1,774,775	1,391,090
Other Expenses	53,656	251,784	257,001	257,001	265,317	262,368	262,368	261,879

<i>Capital Outlay</i>	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Equipment	0	0	5,100	0	0	0	0	0
TOTAL-General Fund	1,437,237	1,994,090	1,953,202	1,948,102	1,559,883	2,037,143	2,037,143	1,652,969

<i>Additional Funds Available</i>	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Bond Fund	32,687	0	0	0	0	0	0	0
Private Funds	26,575	55,525	55,525	55,525	55,525	55,525	55,525	55,525

<i>Federal Contributions</i>	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
97008 Urban Areas Security Initiative	14,814	52,400	0	0	0	0	0	0
97042 Emergency Management Performance Grant	46,341	34,411	46,316	46,316	46,316	46,316	46,316	46,316
97073 State Homeland Security Program (SHSP)	204,615	789,470	389,935	389,935	389,935	301,320	301,320	301,320
97074 Law Enforcement Terrorism Prevention	42	0	0	0	0	0	0	0
TOTAL - All Funds	1,762,311	2,925,896	2,444,978	2,439,878	2,051,659	2,440,304	2,440,304	2,056,130

Office of the Commissioner

EMERGENCY MANAGEMENT AND HOMELAND SECURITY

Statutory Reference

Title 28- C.G.S.

EMERGENCY PREPAREDNESS DIVISION

To provide a comprehensive and coordinated state disaster response to all hazards, integrated across all disciplines and all levels of government.

OPERATIONS DIVISION

To accomplish this goal, the Operations Division is divided into 6 units: A Headquarters Unit (HQ) comprised of an Operations Chief and a HQ Emergency Preparedness Program Specialist, (EPPS) and 5 Regional Offices each of which consists of a

Regional Coordinator, an EPPS, a Secretary I, and an Exercise Training Officer.

The Headquarters unit, under direction of the Commissioners Office, activates and manages the State Emergency Operations Center to coordinate and oversee:

- Monitoring of emergency situations as they develop and/or threaten communities;
- Disseminating watches, warnings and emergency information to state agencies and local jurisdictions;
- The collection and consolidation of emergency related data and situation reports;
- Tasking of state agencies and state resources in a coordinated State response to in support of local emergency response operations;

- Requesting and coordinating outside assistance from other states through EMAC and IEMAC compacts;
- Requesting and coordinating Federal assistance through FEMA Region I Operations Center; and
- Providing situation analysis and reporting to the Governors Office and Federal Government through FEMA Region I.

The five Regional Offices, under direction of the Operations Chief, serve as direct point of contact to local jurisdictions to provide:

- Distribution of warning and emergency information to local jurisdictions;
- On-site monitoring of localized emergencies;
- Collection, verification and consolidation of local emergency situation reports and requests for assistance;
- Assistance to local jurisdictions in budget development and funding requests;
- Assistance to local governments in requesting training and exercise development assistance;
- Guidance and assistance to local governments in Emergency Operations Plan development, review, and revision;
- Oversee the development of Regional Emergency Operations Plans; and
- Coordinate a regional response to ongoing emergency situations.

PLANNING DIVISION

The Planning Division is responsible for drafting and revising the state's emergency management plans, including the Natural Disaster Plan and the Consequence Management Plan for Deliberately Caused Incidents Involving Chemical Agents, both of which were updated and signed by the Governor in 2006. These Plans delineate all duties and functions to be performed by all state and local government agencies, and civil preparedness forces, during and following disaster, as directed by the Governor. The Planning Unit is developing a Catastrophic Disaster Plan to interface with the National Response Plan. The Planning Division coordinates preliminary federal/State damage assessments following disasters and develops requests for Presidential Disaster and Emergency Declarations under the Robert T. Stafford Disaster Relief and Emergency Assistance Act.

The Planning Division also administers federal disaster assistance to State and local units of government, quasi-governmental agencies and eligible private non-profit organizations. The Unit has recently undertaken several additional preparedness initiatives which include: working with municipal officials to ensure local governments have pre-identified local "drive through" distribution sites for commodity distribution following a disaster; developing a State plan for a staging area for the distribution of commodities and supplies following a disaster; and working with local officials to develop a "Generator Assessment Report" for critical municipal facilities that currently do not have, but may require, emergency power following a major disaster.

The Planning Division will also be working with the Department of Administrative Services on a contracting initiative to develop State contingency contracts for a variety of emergency relief commodities, supplies and services and with the Department of Public Health on an initiative to have major water companies in the State stockpile bottled water. Finally, the Planning Division assists other state agencies and organizations in emergency management planning in areas such as avian or pandemic flu planning, debris management and donations management.

RADIOLOGICAL EMERGENCY PREPAREDNESS DIVISION

The Radiological Emergency Preparedness (REP) Division of the DEMHS Division of Plans and Operations is responsible for developing and maintaining plans, exercises and training for the State's Radiological Emergency Response Plan (RERP) for Millstone's Nuclear Power Station.

The Connecticut RERP details the steps that would be taken to protect the public in response to an emergency situation at both Indian Point Nuclear Power Station in NY and Millstone Nuclear Power Station. It also includes information on how state field monitoring teams monitor and take samples of water, vegetation and milk throughout Connecticut in response to a radiological emergency at any nuclear power station. Connecticut's state agencies staffing the field teams are the Departments of Agriculture, Consumer Protection and Public Health. The Department of Environmental Protection has procedures on monitoring air and takes smears of different Connecticut locations starting with the wind direction first. This plan is constantly under review and exercised with local, state and Federal agencies as well as our neighboring States of New York and Rhode Island. Our preparedness plans and exercises are evaluated by the US Department of Homeland Security's Federal Emergency Management Agency and the US Nuclear Regulatory Commission.

The REP Division is responsible for certifying on an annual basis that all of the federal requirements and procedures are followed and completed. A vast Public Information and Education program is necessary to provide the public living within a 10-mile radius of the evacuation routes a method of obtaining information in the event of a radiological emergency. DEMHS's REP Unit is responsible for providing Potassium Iodide (KI) and dosimetry to state and local emergency workers as well as providing KI to the general public. DEMHS's REP Unit ensures that the 10 communities around Millstone, the five Host Communities and support towns are all trained and have up to date plans and procedures on state and local Emergency Operations Centers and radiological monitoring. DEMHS's REP Unit maintains letters of agreements with transportation providers (busses, wheelchair vans and ambulances) to ensure that the State Transportation Staging Area can provide additional transportation resources to communities should evacuation ever be ordered.

DIVISION OF STRATEGIC PLANNING AND GRANT ADMINISTRATION

The Division provides strategic planning, program design and development, facilitation, administration, coordination and financial management for programs to enhance Connecticut's prevention, preparedness, response and recovery capabilities.

The Division has been instrumental in increasing state, local and regional capabilities through the administration of federal Homeland Security Grant funds (\$42M in FFY2004 and \$24M in FFY2005). In addition, the Division initiated the Regional Planning Model. This Model, led by the agency's five regional coordinators, is premised on collaborative planning utilizing the expertise of regional planning organizations to engage chief elected officials in emergency preparedness planning.

As part of the FFY2006 Homeland Security Grant application process, the Division conducted a comprehensive assessment of Connecticut's abilities as they relate to the National Response Plan and its Target Capabilities. The Division was successful in its competition for funding and was awarded \$13.5M to implement the solutions identified in the comprehensive assessment.

The Interoperable Communications Subcommittee of the Emergency Management and Homeland Security Coordinating Council is supported by Division staff. Staff coordinates the equipment request/approval process and expedites planning for and expenditure of federal grant funds targeted for statewide interoperable communications projects. Staff reestablished the High Band Radio Communications project. Through the use of FFY2006 grant funds all towns will establish 35 MHz high-band radio communications for use between the town and the DEMHS Regional Office during an emergency.

DIVISION OF FINANCE AND ADMINISTRATION

The Finance and Administration Division coordinates fiscal management for the department and is responsible for budgeting, grants, accounts payable, accounts receivable, purchasing, inventory and other support functions.

TERRORISM PREVENTION UNIT

Statutory Reference

Section PA 02-97; Section 29-5f

To utilize all resources within state government to develop unified safety and security measures to prevent, mitigate and manage incidents threatening the quality of life of the citizens of Connecticut.

The Terrorism Prevention Unit is the investigative arm of the Department of Emergency Management and Homeland Security.

Joint Terrorism Task Force - This entity is composed of local, state and federal investigators who are dedicated to combat terrorist activities within the state. This unit is divided up into two sections, the International Terrorism Section (IT) and the Domestic Terrorism Section (DT).

Connecticut Intelligence Unit (CTIC) - To collect, analyze, disseminate and investigate intelligence information including information concerning potential terrorist activity. This unit's main responsibility would be to collect intelligence information

on incidents that occur within the State of Connecticut and the sharing of information regarding terrorist activity.

Terrorism Training Section - The investigators conduct training for state, local and private organizations to increase their awareness and ability to respond to terrorism incidents. Additionally, the unit will provide informational seminars to civic/private organizations regarding the role of Homeland Security.

Critical Infrastructure Protection Unit - The state of critical infrastructure within the State of Connecticut is of paramount importance. The Office of Statewide Security conducts security assessments of all key physical assets of the state as well as of private facilities to prevent, mitigate and manage incidents threatening the quality of life for citizens of Connecticut.

Critical infrastructures are those physical and cyber-based systems essential to maintain minimal operational capabilities of the government and the economy.

Urban Search & Rescue Unit - The Connecticut Urban Search & Rescue Unit is a joint effort by various emergency service providers to protect the citizens of Connecticut. Law enforcement, fire service and emergency medical service personnel would be brought together with additional support from personnel who provide expertise in disciplines critical to the mission. The mission of the US&R team is to field a task force that will locate, extricate and preserve life in the event of any large-scale structural collapse, regardless of the cause.

Personnel Summary

	As of 06/30/2006		2006-2007	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	19	5	0	24	12	36	12	36
Federal Contributions	16	2	6	24	24	24	24	24
Private Funds	6	0	0	6	6	6	6	6

Financial Summary

	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
(Net of Reimbursements)	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	2,220,398	2,249,166	2,627,120	2,353,604	2,019,815	2,591,047	2,349,338	2,039,604
Other Expenses	252,147	318,727	325,175	325,175	335,698	331,755	331,755	331,136

Capital Outlay

	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
Equipment	100	100	161,210	49,410	100	120,250	120,350	100
TOTAL-General Fund	2,472,645	2,567,993	3,113,505	2,728,189	2,355,613	3,043,052	2,801,443	2,370,840

Additional Funds Available

Bond Fund	272,104	0	0	0	0	0	0	0
Private Funds	1,493,031	2,956,511	2,866,838	2,866,838	2,866,838	2,866,838	2,866,838	2,866,838

Federal Contributions

16579 Byrne Formula Grant Program	86,655	25,845	0	0	0	0	0	0
16738 E Byrne Memorial Justice Assistance Grant	40,000	220,000	0	0	0	0	0	0
93283 Ctrs-Disease Control & Prevent	0	0	0	0	0	75,000	75,000	75,000
97004 State Domestic Preparedness Equipment	0	4,784,452	0	0	0	0	0	0
97008 Urban Areas Security Initiative	2,296,457	8,007,735	0	0	0	0	0	0
97036 Public Assistance Grants	16,302,885	8,350,995	8,300,000	8,300,000	8,300,000	8,300,000	8,300,000	8,300,000
97042 Emergency Management Performance Grant	1,614,447	1,887,968	2,541,169	2,541,169	2,541,169	2,541,169	2,541,169	2,541,169
97053 Citizen Corps	120,873	567,289	295,062	295,062	295,062	278,563	278,563	278,563
97067 Homeland Security Grant Program	0	275,479	184,443	184,443	184,443	232,330	232,330	232,330
97073 State Homeland Security Program (SHSP)	7,353,129	28,450,123	14,052,081	14,052,081	14,052,081	10,858,680	10,858,680	10,858,680
97074 Law Enforcement Terrorism Prevention	1,703,742	9,063,200	3,991,851	3,991,851	3,991,851	0	0	0
97078 Buffer Zone Protection Plan (BZPP)	308,067	541,933	189,000	189,000	189,000	189,000	189,000	189,000

TOTAL - All Funds	34,064,035	67,699,523	35,533,949	35,148,633	34,776,057	28,384,632	28,143,023	27,712,420
Emergency Mgmt/Homeland Security								

AGENCY FINANCIAL SUMMARY – GENERAL FUND

<i>Current Expenses by Minor Object</i>	2005-2006	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009		
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>		
<i>Personal Services</i>								
Permanent Fulltime Positions	2,004,855	2,235,331	2,325,474	2,985,762	2,398,828	3,095,885		
Other	1,511,316	1,609,141	1,844,689	215,278	1,814,494	215,278		
Overtime	87,808	147,000	148,058	113,341	152,500	119,531		
TOTAL-Personal Services Gross	3,603,979	3,991,472	4,318,221	3,314,381	4,365,822	3,430,694		
Less Reimbursements								
Less Turnover	0	0	-851	-8,710	-851	-8,710		
TOTAL-Personal Services Net	3,603,979	3,991,472	4,317,370	3,305,671	4,364,971	3,421,984		
<i>Other Expenses-Contractual Services</i>								
Dues and Subscriptions	3,570	3,523	3,596	3,712	3,672	3,665		
Rentals, Storage and Leasing	11,961	11,431	11,671	12,049	11,916	11,894		
Telecommunication Services	58,686	64,739	66,098	68,237	67,485	67,360		
General Repairs	72,608	80,156	81,839	84,488	83,557	83,402		
Motor Vehicle Expenses	31,895	37,480	38,267	39,505	39,071	38,998		
Fees for Outside Professional Services	1,295	1,238	1,264	1,305	1,291	1,289		
Fees for Non-Professional Services	6,546	6,256	6,388	6,594	6,521	6,509		
DP Services, Rentals and Maintenance	25,318	43,695	44,613	46,057	45,550	45,462		
Postage	4,069	3,888	3,970	4,099	4,053	4,045		
Travel	536	518	528	545	538	537		
Other Contractual Services	415	397	406	419	415	415		
Printing & Binding	2,804	2,680	2,737	2,826	2,794	2,789		
<i>Other Expenses-Commodities</i>								
Agriculture, Horticulture, Dairy & Food	2,122	2,028	2,070	2,137	2,112	2,108		
Books	11	11	11	11	11	11		
Clothing and Personal Supplies	2,565	2,452	2,504	2,585	2,556	2,551		
Maintenance and Motor Vehicle Supplies	24,437	23,354	23,588	24,352	23,827	23,783		
Medical Supplies	177	169	178	184	187	187		
Office Supplies	55,230	85,007	86,792	89,601	88,614	88,449		
Refunds of Expenditures Not Otherwise Classified	481	418	427	440	436	435		
<i>Other Expenses-Sundry</i>								
Sundry - Other Items	1,077	201,071	205,229	211,869	209,517	209,126		
TOTAL-Other Expenses Gross	305,803	570,511	582,176	601,015	594,123	593,015		
Less Reimbursements								
TOTAL-Other Expenses Net	305,803	570,511	582,176	601,015	594,123	593,015		
<i>Character & Major Object Summary</i>								
	2005-2006	2006-2007	2007-2008	Current	2007-2008	2008-2009	Current	2008-2009
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	3,603,979	3,991,472	4,317,370	4,035,995	3,305,671	4,364,971	4,115,403	3,421,984
Other Expenses Net	305,803	570,511	582,176	582,176	601,015	594,123	594,123	593,015
Capital Outlay	100	100	166,310	49,410	100	120,250	120,350	100
TOTAL-General Fund Net	3,909,882	4,562,083	5,065,856	4,667,581	3,906,786	5,079,344	4,829,876	4,015,099
<i>Additional Funds Available</i>								
Federal and Other Activities	30,092,067	63,051,300	29,989,857	29,989,857	29,989,857	22,822,378	22,822,378	22,822,378
Bond Fund	304,791	0	0	0	0	0	0	0
Private Funds	1,519,606	3,012,036	2,922,363	2,922,363	2,922,363	2,922,363	2,922,363	2,922,363
TOTAL-All Funds Net	35,826,346	70,625,419	37,978,076	37,579,801	36,819,006	30,824,085	30,574,617	29,759,840