

GOVERNOR'S OFFICE

AGENCY PURPOSE

The Governor is the elected constitutional officer who is responsible for:

- The executive direction and supervision of the general administration of the state.
- The appointment of commissioners of departments, members of boards and commissions, trustees and other officials.

<http://www.ct.gov/governorrell>

- Presentation of budget recommendations to the General Assembly.
- The approval or veto of legislation passed by the General Assembly.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Transfer Equipment to CEPF
- Remove or Limit Inflation

	<u>2007-2008</u>	<u>2008-2009</u>
	-49,900	-17,900
	-7,912	-15,988

AGENCY SUMMARY

Personnel Summary

Permanent Full-Time Positions

	2006-2007 Total Authorized	2007-2008 Change From 2006-2007	2007-2008 Total Recommended	2008-2009 Change From 2007-2008	2008-2009 Total Recommended
General Fund	37	0	37	0	37

Financial Summary

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Personal Services	2,886,509	3,073,000	3,073,000	3,244,000	3,244,000
Other Expenses	379,116	387,028	379,116	395,104	379,116
<u>Capital Outlay</u>					
Equipment	100	50,000	100	18,000	100
<u>Pmts to Other than Local Govts</u>					
New England Governors' Conference	92,000	85,500	85,500	90,500	90,500
National Governors' Association	102,600	107,600	107,600	112,600	112,600
TOTAL - Pmts to Other than Local Govts	194,600	193,100	193,100	203,100	203,100
TOTAL - General Fund	3,460,325	3,703,128	3,645,316	3,860,204	3,826,316



SECRETARY OF THE STATE

AGENCY PURPOSE

<http://www.sots.ct.gov/>

The Secretary of the State seeks to educate and inform the public of services, programs and responsibilities of the office, and advocates issues, policies and programs, which promote a healthy democracy and an active, engaged citizenry, with emphasis on encouraging young people to participate in civic life.

The office administers, interprets, and implements all state and federal laws pertaining to elections, primaries, nominating procedures, campaign finance and the acquisition and exercise of voting rights. Personal disclosure statements from state and candidate committees are filed with the office and are available for the public to view electronically on the Secretary of the State's web site. The office also encourages and monitors the implementation of the National Voter Registration Act and other voter registration efforts in Connecticut. The Secretary of the State is the official keeper of all acts, orders, grants, and resolutions of the General Assembly; publishes the *State Register and Manual*; receives and maintains legislation, regulations and other executive branch records as required by statute; and administers Connecticut's notary public program.

COMMERCIAL RECORDING DIVISION

The Commercial Recording Division maintains and makes information available to the public regarding corporations, limited partnerships, limited liability companies, statutory trusts, limited liability partnerships, Uniform Commercial Code and trademarks by reviewing, recording, copying, computerizing, and certifying documents for and of public record. The division also administers the Address Confidentiality Program. This program provides victims of domestic violence, sexual assault, stalking, and risk of injury to a minor with a substitute address to use with state and local government agencies. This prevents public access to participant's actual address on government records.

E-GOVERNMENT

The Secretary of the State is responsible for a number of E-Government initiatives and maintains the Centralized Voter Registration System, Connecticut Online Commercial Recording Database (CONCORD), Connecticut Finance Information System (CFIS), and the agency web site.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Transfer Equipment to CEPF
- Remove or Limit Inflation
- Utilize FY2007 Surplus for One-time Expenditure

2007-2008 2008-2009

-86,900 -29,900

-6,923 -16,204

-1,500,000 0

Funding is provided from FY 2007 Surplus funds to pay for the initial expense of printing the new HAVA-compliant Optical Scan ballots for those towns that did not convert for the 2007 election.

AGENCY SUMMARY

Personnel Summary

	2006-2007 Total Authorized	2007-2008 Change From 2006-2007	2007-2008 Total Recommended	2008-2009 Change From 2007-2008	2008-2009 Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	31	-1	30	0	30
Private Funds	52	0	52	0	52

Financial Summary

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Personal Services	2,058,532	1,640,000	1,640,000	1,700,000	1,700,000
Other Expenses	1,277,389	3,037,803	1,530,880	1,777,374	1,761,170
<u>Capital Outlay</u>					
Equipment	100	87,000	100	30,000	100
TOTAL - General Fund	3,336,021	4,764,803	3,170,980	3,507,374	3,461,270
<u>Additional Funds Available</u>					
Federal and Other Activities	90,000	50,000	50,000	20,000	20,000
Private Funds	8,371,758	9,926,759	9,926,759	9,926,759	9,926,759
TOTAL - All Funds Net	11,797,779	14,741,562	13,147,739	13,454,133	13,408,029



LIEUTENANT GOVERNOR'S OFFICE

AGENCY PURPOSE

The Lieutenant Governor is the elected constitutional officer who is charged with:

- Succeeding the Governor in the event of disability or the office becomes vacant during the term.

<http://www.state.ct.us/otlg/>

- Operating the state government during the Governor's absence from the state.
- Presiding over the State Senate and casting the tie-breaking vote when the Senate is equally divided.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Transfer Equipment to CEPF
- Remove or Limit Inflation

2007-2008 2008-2009

-12,400 -7,900
-1,806 -3,647

AGENCY SUMMARY

Personnel Summary

Permanent Full-Time Positions

	2006-2007 Total Authorized	2007-2008 Change From 2006-2007	2007-2008 Total Recommended	2008-2009 Change From 2007-2008	2008-2009 Total Recommended
General Fund	5	0	5	0	5

Financial Summary

Personal Services

Other Expenses

Capital Outlay

Equipment

TOTAL - General Fund

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Personal Services	430,598	485,547	485,547	505,177	505,177
Other Expenses	87,070	88,876	87,070	90,717	87,070
Equipment	100	12,500	100	8,000	100
TOTAL - General Fund	517,768	586,923	572,717	603,894	592,347



STATE ELECTIONS ENFORCEMENT COMMISSION

AGENCY PURPOSE

<http://www.ct.gov/seec/>

The Elections Enforcement Commission seeks to improve public confidence in the electoral process and in those officials who seek or hold a public office. The Commission seeks to achieve this objective by focusing on the following core functions:

- Monitor compliance with elections and campaign finance laws.
- Conduct investigations of complaints concerning possible violations of the state laws governing elections, primaries, and referenda.

- Audit financial disclosure statements filed by state, district and municipal candidates for public office, political parties, and political action committees.
- Render formal and informal advisory opinions and rulings.
- Conduct educational seminars, and publish explanatory guides to enhance compliance with the campaign finance laws.

RECENT HIGHLIGHTS

Designated by the General Assembly to implement comprehensive campaign finance reform legislation as contained in Public Act 05-5 of the October Special Session, including:

- Implement new public financing program for eligible statewide and General Assembly candidates.
- Redesign and implement an electronic filing program for campaign reporting.
- Enforce new bans on lobbyist and state contractor contributions.
- Received 253 complaints and completed 196 investigations in FY2006 and imposed \$59,989 in civil penalties for violations of election laws.

- Audited two thirds of all municipal candidates in connection with 2003 municipal elections, and issued comprehensive report.
- Completed study of push polling in Connecticut as required by PA 05-188, and submitted report to Joint Committee on Government Administration and Elections.
- Conducted study of implementation of new absentee voting application procedures as prescribed in PA 05-235.
- Updated and published several training materials for compliance with campaign finance laws.

RECOMMENDED SIGNIFICANT CHANGES

Within Current Services

- Establish Legal Compliance Unit

Funding is provided for a Director of Legal Compliance, 2 Staff Attorneys, 1 Paralegal, and 1 Office Assistant.

2007-2008 2008-2009

286,747 303,352

AGENCY SUMMARY

Personnel Summary

	2006-2007 Total Authorized	2007-2008 Change From 2006-2007	2007-2008 Total Recommended	2008-2009 Change From 2007-2008	2008-2009 Total Recommended
<i>Permanent Full-Time Positions</i>					
General Fund	14	5	19	0	19
Private Funds	15	0	15	0	15

Financial Summary

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Personal Services	1,175,499	1,579,422	1,579,422	1,687,921	1,687,921
Other Expenses	223,973	261,406	261,406	265,726	265,726
<i>Capital Outlay</i>					
Equipment	7,500	31,150	31,150	15,400	15,400
<i>Other Current Expenses</i>					
Commission's Per Diems	9,000	16,000	16,000	17,000	17,000
TOTAL - General Fund	1,415,972	1,887,978	1,887,978	1,986,047	1,986,047
<i>Additional Funds Available</i>					
Private Funds	2,934,992	2,637,982	2,637,982	2,414,526	2,414,526
TOTAL - All Funds Net	4,350,964	4,525,960	4,525,960	4,400,573	4,400,573



OFFICE OF STATE ETHICS

AGENCY PURPOSE

The Office of State Ethics (OSE) is an independent oversight agency for the State of Connecticut. The OSE administers Connecticut General Statutes, Chapter 10, Part I for Public Officials and Part II for Lobbyists. The mission of the OSE is to ensure honesty, integrity and accountability in state government through education, interpretation and enforcement of the State of Connecticut Codes of Ethics.

The OSE has four main functions: education, interpretation, enforcement and records retention. The OSE is charged with providing education to state employees, public officials,

<http://www.ct.gov/ethics>

lobbyists and legislators on the Codes of Ethics. The Citizens' Ethics Advisory Board is responsible for hearing issues regarding the Codes of Ethics as well as issuing advisory opinions – interpretations of the Codes as they apply to specific situations. All investigatory matters are the responsibility of the Ethics Enforcement Officer. The OSE is also statutorily obligated to receive, process and maintain records of all lobbyist filings along with public official and state employee Statements of Financial Interests (SFIs).

RECENT HIGHLIGHTS

- Held, as of October 1, 2006, 14 in-person training events at state agencies, reaching nearly 1,000 public officials and state employees.
- Conducted a conference on two consecutive days for all state agency ethics liaisons and ethics compliance officers (agency heads and legislative leadership were also invited) to “train the trainers” on the Code of Ethics Part I, with cooperation from the Governor’s Office.
- Instituted monthly electronic communications to each agency’s ethics liaison or compliance officer.
- Wrote two new, plain-language guides to the Code of Ethics: *Guide to the Code of Ethics for Current or Potential State Contractors* and *Guide to the Code of Ethics for Public Officials*.
- Offered, in addition to in-person trainings, tailored PowerPoint presentations with accompanying handouts for agencies to use as well as a comprehensive training on Part I on DVD, available to any requesting agency.
- Issued, via the General Counsel staff, approximately 250 staff opinions as of September 1, 2006, in addition to answering daily phone calls regarding applications of the law.
- Processed over 1,500 lobbyist reports and approximately 2,700 Statements of Financial Interest in 2006.

RECOMMENDED SIGNIFICANT CHANGES

New or Expanded Services

	<u>2007-2008</u>	<u>2008-2009</u>	<u>2009-2010</u>
• Expand Enforcement Division <i>Funding is provided for 2 Legal Investigators and associated costs.</i>	166,766	165,669	175,567
• Annualize Management Positions <i>Annualize cost of General Counsel and Ethics Enforcement Officer reclassifications.</i>	74,192	82,576	91,910
• Expansion of IT Initiatives <i>Increase Information Technology Initiatives account for unanticipated system modifications.</i>	250,000	250,000	250,000

AGENCY SUMMARY

Personnel Summary

	2006-2007 Total Authorized	2007-2008 Change From 2006-2007	2007-2008 Total Recommended	2008-2009 Change From 2007-2008	2008-2009 Total Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	19	2	21	0	21
<u><i>Other Positions Equated to Fulltime</i></u>					
General Fund	1	0	1	0	1

Financial Summary

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Personal Services	1,364,655	1,305,575	1,536,533	1,317,728	1,561,773
Other Expenses	135,395	192,110	199,110	179,682	183,882
<u><i>Capital Outlay</i></u>					
Equipment	50,000	31,600	34,600	2,500	2,500

Other Current Expenses

Judge Trial Referee Fees	25,000	25,000	25,000	25,000	25,000
Reserve for Attorney Fees	50,000	50,000	50,000	50,000	50,000
Information Technology Initiatives	166,258	400,000	650,000	350,000	600,000
TOTAL - Other Current Expenses	<u>241,258</u>	<u>475,000</u>	<u>725,000</u>	<u>425,000</u>	<u>675,000</u>
TOTAL - General Fund	1,791,308	2,004,285	2,495,243	1,924,910	2,423,155



FREEDOM OF INFORMATION COMMISSION

AGENCY PURPOSE

<http://www.state.ct.us/foi/>

The Freedom of Information (FOI) Commission is an independent, government oversight agency charged with administering and enforcing Connecticut's FOI Act and thereby ensuring that the people of our state have full access to the records and meetings of all public agencies to the extent provided by law. In furtherance of this purpose, the commission focuses on the following core functions:

- Settle complaints informally through an ombudsman or mediation process.
- Decide complaints by a speedy, inexpensive process designed for lay people.

- Represent the commission, by staff counsel, in all court appeals from its decisions and in all other litigation affecting the commission.
- Render declaratory rulings that apply the FOI Act to situations of general concern.
- Conduct programs, publish literature, answer written and oral inquiries and perform other educational functions so that the requirements of the law will be known and understood by everyone upon whom the act confers rights and duties.

RECENT HIGHLIGHT

Commission staff affected a seamless transition in leadership during FY2006. Throughout the transition, the Commission continued to meet all its statutory obligations.

In the calendar year ending December 31, 2005, the Commission received a total of 624 formal citizen complaints, an increase of 42, or 9.3%, over the 2004 total of 582 complaints. Staff resolved 406, or 65%, of the complaints without a hearing in 2005. The remaining 218 complaints resulted in hearings.

The Commission received 442 formal complaints through August 31, 2006 and is on pace to receive 687 complaints in calendar year 2006. The highest number of complaints ever received by the Commission was 676 in 2000.

The Commission has provided an annual average of 55 workshops and speakers in the past five calendar years, including a projected 63 in calendar year 2006.

RECOMMENDED SIGNIFICANT CHANGES

New or Expanded Services

- Enhance Complaint Processing/Resolution Capabilities

Funding is provided for 1 Staff Attorney and 1 Paralegal Specialist to enhance complaint processing and resolution capabilities.

- Enhance IT Maintenance Capabilities

Funding is provided for 1 Data Processing Technical Analyst.

2007-2008 2008-2009 2009-2010

112,735 111,765 116,351

0 64,515 65,986

AGENCY SUMMARY

Personnel Summary

Permanent Full-Time Positions

	2006-2007 Total Authorized	2007-2008 Change From 2006-2007	2007-2008 Total Recommended	2008-2009 Change From 2007-2008	2008-2009 Total Recommended
General Fund	20	2	22	1	23

Financial Summary

Personal Services

Other Expenses

Capital Outlay

Equipment

TOTAL - General Fund

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Personal Services	1,705,271	1,748,557	1,853,792	1,801,547	1,972,077
Other Expenses	183,692	190,091	193,091	221,362	224,862
Equipment	42,200	29,000	33,500	30,000	32,250
TOTAL - General Fund	1,931,163	1,967,648	2,080,383	2,052,909	2,229,189



JUDICIAL SELECTION COMMISSION

AGENCY PURPOSE

- To evaluate, investigate and recommend qualified candidates for consideration by the Governor for nomination as judges for the superior court, the appellate court and the supreme court.
- The Judicial Selection Commission consists of 12 members: No more than six members can be from the same political party and none can be an elected or appointed state official or hold a statewide political party office. Of the 12 members, 6 must not be attorneys.
- The Commission seeks qualified candidates for consideration by the governor for nomination as judges for the superior court, appellate court and supreme court. It must also evaluate incumbent judges who seek reappointment to the same court.
- The Commission develops a listing of qualified candidates by investigating and interviewing the candidates, including incumbent judges seeking appointment to a different court.

AGENCY SUMMARY

Personnel Summary

Permanent Full-Time Positions

	2006-2007 Total Authorized	2007-2008 Change From 2006-2007	2007-2008 Total Recommended	2008-2009 Change From 2007-2008	2008-2009 Total Recommended
General Fund	1	0	1	0	1

Financial Summary

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Personal Services	85,395	90,135	90,135	95,959	95,959
Other Expenses	21,691	22,147	21,691	22,612	21,691
<u>Capital Outlay</u>					
Equipment	100	2,500	100	100	100
TOTAL - General Fund	107,186	114,782	111,926	118,671	117,750



STATE PROPERTIES REVIEW BOARD

AGENCY PURPOSE

Review and approve State transactions involving:

- The acquisition and development of land and buildings for State use.
- Leasing of private buildings for State Agencies.
- Sale or lease of surplus State buildings and lands.
- Acquisition of farms or development rights.
- Assignment of State Agencies to State buildings.
- The selection of and contracts for DPW project consultants.
- Lease and/or purchase of Group Homes for DMR.
- Lease of warehouse/distribution space at the CT Regional Market.
- Leases, Operating or Concession Agreements at State airports and piers.
- Acquisition of highway and railroad rights-of-way and related facilities.
- Other transactions mandated by the Legislature.

RECENT HIGHLIGHTS

FIVE YEARS IN REVIEW

	<u>FY2002</u>	<u>FY2003</u>	<u>FY2004</u>	<u>FY2005</u>	<u>FY2006</u>
Number of Transactions	635	517	449	399	364
Average Calendar Days to Process	7.62	8.33	10.3	9.73	11.6
Savings to the State	\$ 1,617,272	\$ 14,675,147	\$ 797,391	\$ 1,236,715	\$ 914,362

The Board processed 364 transactions in 11.6 calendar days, including weekends and holidays during the FY ending 2006 as opposed to 9.73 calendar days in the preceding fiscal year.

Documented savings were \$914,362 which represent 2.1 times the Board's annual operating expenditures. Not tabulated are future savings that can not be precisely quantified on the date of the Board's action.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Transfer Equipment to CEPF
- Remove or Limit Inflation

	<u>2007-2008</u>	<u>2008-2009</u>
	-900	-900
	-4,096	-4,767

AGENCY SUMMARY

Personnel Summary

Permanent Full-Time Positions

	2006-2007 Total Authorized	2007-2008 Change From 2006-2007	2007-2008 Total Recommended	2008-2009 Change From 2007-2008	2008-2009 Total Recommended
General Fund	4	0	4	0	4

Financial Summary

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Personal Services	295,800	312,952	312,952	325,702	325,702
Other Expenses	183,294	193,340	189,244	194,011	189,244
<u>Capital Outlay</u>					
Equipment	1,000	1,000	100	1,000	100
TOTAL - General Fund	480,094	507,292	502,296	520,713	515,046



CONTRACTING STANDARDS BOARD

AGENCY PURPOSE

The Contracting Standards Board is proposed to be a thirteen member board appointed to oversee all state contracts. Some of the Board's duties will be to:

- Draft and maintain a uniform procurement code and develop a procurement training program for state officials.
- Conduct a comprehensive review of existing state contracting and procurement laws, regulations and practices.
- Review and certify that a state contracting agency's procurement processes are in compliance with the code and assist state contracting agencies in complying with the uniform procurement code.
- Issue recommendations regarding the disqualification or suspension of contractors from bidding or proposing on state contracts to the extent required or permitted in accordance with applicable law.

AGENCY SUMMARY

Personnel Summary

Permanent Full-Time Positions

	2006-2007 Total Authorized	2007-2008 Change From 2006-2007	2007-2008 Total Recommended	2008-2009 Change From 2007-2008	2008-2009 Total Recommended
General Fund	10	0	10	0	10

Financial Summary

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Personal Services	669,988	669,988	669,988	669,988	669,988
Other Expenses	325,000	325,000	325,000	325,000	325,000
<u>Capital Outlay</u>					
Equipment	1,000	100	100	100	100
TOTAL - General Fund	995,988	995,088	995,088	995,088	995,088



STATE TREASURER

AGENCY PURPOSE

The State Treasurer is an elected constitutional officer who is responsible for the custody of the property and money of the State and pays out those monies on warrants drawn and presented by the State Comptroller.

The Treasurer invests the temporarily idle monies in the State's General Fund as well as the assets of the State pension and other funds.

The mission of the Office is to serve as the premier Treasurer's Office in the nation through effective management of public resources, high standards of professionalism and integrity, and

[HTTP://WWW.STATE.CT.US/OTT](http://www.state.ct.us/ott)

expansion of opportunity for the citizens and businesses of Connecticut.

With the approval of the State Bond Commission, the Treasurer administers the sale of State bonds, payment of the interest thereon and their redemption.

With the approval of the Governor, the Treasurer may borrow short-term funds, which are binding on the State and redeemed by the Treasurer when there are funds available for such purpose.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Transfer Equipment to CEPF
- Remove or Limit Inflation

2007-2008 2008-2009

-377,400 -11,400
-6,913 -13,964

AGENCY SUMMARY

Personnel Summary

Permanent Full-Time Positions

	2006-2007 Total Authorized	2007-2008 Change From 2006-2007	2007-2008 Total Recommended	2008-2009 Change From 2007-2008	2008-2009 Total Recommended
General Fund	53	0	53	0	53
Bond Fund	3	0	3	0	3
Unclaimed Property Fund	34	0	34	0	34
Second Injury & Compensation Assurance	62	0	62	0	62
Investment Trust Fund	29	0	29	0	29
Private Funds	7	0	7	0	7

Financial Summary

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Personal Services	4,152,846	4,302,516	4,302,516	4,471,817	4,471,817
Other Expenses	338,388	345,301	338,388	352,352	338,388
<u>Capital Outlay</u>					
Equipment	100	377,500	100	11,500	100
TOTAL - General Fund	4,491,334	5,025,317	4,641,004	4,835,669	4,810,305
<u>Additional Funds Available</u>					
Bond Fund	372,996	384,186	384,186	395,711	395,711
Unclaimed Property Fund	8,055,042	9,540,144	9,540,144	9,326,099	9,326,099
2nd Injury & Comp Assurance	8,354,882	9,099,861	9,099,861	8,907,855	8,907,855
Investment Trust Fund	65,902,601	68,193,929	68,193,929	69,914,548	69,914,548
Private Funds	49,982,361	28,084,470	28,084,470	27,598,143	27,598,143
TOTAL - All Funds Net	137,159,216	120,327,907	119,943,594	120,978,025	120,952,661



STATE COMPTROLLER

AGENCY PURPOSE

The Office of State Comptroller (OSC) has a wide variety of duties and projects which originate from both its constitutional charges and directives from the legislature such as:

Administering state payroll, retirement, employee benefits, health insurance and accounting systems.

Analyzing state expenditures and receipts.

<http://www.osc.state.ct.us/>

Preparing financial statements and reports as required by statute.

Monitoring and assisting state agencies to achieve compliance with accounting system procedures and to maximize accountability, standardization and cost effectiveness.

RECENT HIGHLIGHTS

The agency completed implementation of Core-CT - an integrated financial, human resource and payroll computer system that performs the state's accounting, accounts payable,

accounts receivable, purchasing, human resource, time and attendance, payroll, and benefits administration functions

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Transfer Equipment to CEPF
- Remove or Limit Inflation

2007-2008 2008-2009

-72,900 -101,900

-6,856 -44,190

Reallocations or Transfers

- Core-CT Consolidation

4,488,623 4,669,407

As part of the consolidation of Core-CT responsibilities, twenty-four positions and related expenses are reallocated from the Department of Administrative Services and thirty positions and related funding are reallocated from the Department of Information Technology.

New or Expanded Services

- Additional Positions - General Operations

2007-2008 2008-2009 2009-2010

109,248 113,618 120,435

Funding is provided for a retirement benefits officer and an associate accountant to address areas of need within the agency.

AGENCY SUMMARY

Personnel Summary

Permanent Full-Time Positions

	2006-2007 Total Authorized	2007-2008 Change From 2006-2007	2007-2008 Total Recommended	2008-2009 Change From 2007-2008	2008-2009 Total Recommended
General Fund	259	56	315	0	315

Financial Summary

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Personal Services	19,778,863	19,230,000	23,800,871	19,800,000	24,556,025
Other Expenses	5,206,806	5,705,512	5,725,656	5,529,300	5,512,110

Capital Outlay

Equipment	0	73,000	100	102,000	100
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Pmts to Other than Local Govts

Governmental Accounting Standards Bd	19,570	19,570	19,570	19,570	19,570
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TOTAL - General Fund	25,005,239	25,028,082	29,546,197	25,450,870	30,087,805
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DEPARTMENT OF REVENUE SERVICES

AGENCY PURPOSE

- Administers the tax laws of the State of Connecticut.
- Collects the tax revenues in the most cost effective manner.
- Strives for the highest level of voluntary compliance among all Connecticut taxpayers through accurate,

efficient and courteous customer service.

- Executes its duties in a manner which instills public confidence in the integrity and fairness of the State's tax programs.

<http://www.drs.state.ct.us>

RECENT HIGHLIGHTS

Managed tax revenue deposits of \$12.5 billion and produced, distributed and processed more than 5.5 million tax returns.

ELECTRONIC FILING

Participation in cost-efficient, non-paper (Telefile, electronic filing, and personal computer filing) personal income tax filing accounted for 48 percent of returns filed.

During the 2006 income filing season, tax practitioners that prepared 200 or more 2004 income tax returns were required to submit all 2005 income tax returns electronically. For the 2007 filing season, any practitioner that prepared 100 or more 2005 income tax returns will be required to e-file the 2006 returns.

COLLECTION AND ENFORCEMENT'S BANKRUPTCY UNIT

Began to file electronic bankruptcy claims in the District of Connecticut effective August 2005. These now represent 16% of all claims filed in all districts. The advantages are reduced paperwork, reduced lead-time, reduced mail cost and increased accuracy. For FY2006, a combined total of 929 bankruptcy claims were filed with a value of approximately \$14,000,000.

AUDIT DIVISION'S INCOME TAX SUBDIVISION

Undertook a reorganization that included the creation of a new audit unit called the Business and Employment Tax Audit, or BETA Unit. The goal of this unit is to increase the accuracy and

timely collection of taxes from flow-through entities and withholding tax. Through specialized training, a group of 20 examiners has been assembled to address complex tax issues including abusive tax shelters and employment tax issues.

SUSPICIOUS FILER EXCHANGE PROGRAM

In FY2006 successfully blocked 269 fraudulent state income tax returns from Chicago to Kenya, saving \$832,446 in refund requests. This is an increase of 34% in blocked returns and an increase of more than 45% in blocked or recovered refunds over the previous fiscal year. Strategies developed during the year have been incorporated into the new ITAS Fraud Scoring System, which has even greater potential for detecting fraudulent refund claims.

APPEALS AND LITIGATION

Closed 986 cases with a resolved net dollar value in excess of \$62 million. Appellate inventory was reduced by 40 cases to 818 cases, (an 15 percent reduction) while the average age of inventory declined by 72 days to 358 (a 17 percent reduction) of Appellate Division total determinations, 96 percent became final and were not appealed.

INTEGRATED TAX ADMINISTRATION SYSTEM (ITAS)

Implemented the personal income tax phase of the Integrated Tax Administration System (ITAS) to increase processing, billing, and case management efficiency.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Transfer Equipment to CEPF
- Remove or Limit Inflation

Revenues

- Establish a Refund Theft Prevention Program

The Refund Theft Program would prevent losses from fraudulent income tax returns and institute proactive measures for electronic filing fraud. The additional resources are expected to generate additional revenues of \$3.5 million in FY 08 and \$5.5 million in FY 09.

- Complete implementation of the Business and Employment Tax Audit Unit

This initiative would focus on areas of high incidence of underreporting, non filing and underpayment. The additional resources are expected to generate additional revenues of \$7 million in FY 08 and \$14 million in FY 09.

- Increase audits for Sales Tax Third Party Data Cash Business

Funding is provided for 12 positions to audit the amount of sales tax collected and remitted to the State. The additional resources are expected to generate additional revenues of \$5 million in FY 08 and \$10 million in FY 09.

New or Expanded Services

- Increase staffing to administer Integrated Tax Administration System (ITAS)

Funding is provided for 11 new positions to provide support for system components to process taxpayer data.

	<u>2007-2008</u>	<u>2008-2009</u>	
	-388,978	-358,713	
	-233,233	-471,333	
	462,218	488,469	
	711,203	710,611	
	712,532	712,002	
	<u>2007-2008</u>	<u>2008-2009</u>	<u>2009-2010</u>
	279,000	285,500	300,000

AGENCY SUMMARY

Personnel Summary

	2006-2007 Total Authorized	2007-2008 Change From 2006-2007	2007-2008 Total Recommended	2008-2009 Change From 2007-2008	2008-2009 Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	731	37	768	0	768

Financial Summary

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Personal Services	56,196,114	56,629,423	58,713,872	57,351,458	59,503,371
Other Expenses	10,788,931	11,013,223	10,825,454	11,242,194	10,821,216
<u>Capital Outlay</u>					
Equipment	100	345,097	100	346,429	100
<u>Other Current Expenses</u>					
Collection and Litigation Contingency	425,767	434,708	425,767	443,837	425,767
TOTAL - General Fund	67,410,912	68,422,451	69,965,193	69,383,918	70,750,454
<u>Additional Funds Available</u>					
Federal and Other Activities	69,527	0	0	0	0
TOTAL - All Funds Net	67,480,439	68,422,451	69,965,193	69,383,918	70,750,454



DIVISION OF SPECIAL REVENUE

AGENCY PURPOSE

<http://www.dosr.state.ct.us>

The Division of Special Revenue is the regulatory agency charged with ensuring the highest degree of integrity in the operation of all legalized gambling activity within the State, by ensuring compliance with the gaming laws of the State of Connecticut and monitoring compliance with the Tribal-State Agreements governing gambling at Tribal casinos. In furtherance of this purpose, the Division focuses on the following core functions:

- Ensuring compliance with statutes, regulations, and procedures, to assure the public that all legalized gambling is conducted in a fair and honest manner.
- Licensing, registering or permitting only suitable individuals, organizations and vendors of equipment and services to be employed by, or contracted with gaming

licensees or permittees within the State, or to sell Lottery tickets.

- Providing due process and an opportunity to be heard to those individuals or entities denied initial licensure or whose current license is in the process of being revoked, pursuant to the Uniform Administrative Procedures Act.
- Testing wagering systems and related equipment to insure the integrity of games.
- Conducting independent audits to ensure operations are compliant with statutory and regulatory requirements.
- Collecting pari-mutuel taxes and regulation fees, and paying grants to municipalities.

RECENT HIGHLIGHTS

REGULATORY CHANGES AND ENFORCEMENT ACTIONS

- Facilitated the passage of needed lottery statutes, during the legislative session, 2005-2006.
- Updated the licensing and lottery regulations.
- Worked with the Attorney General's Office on the shutdown of Internet base gaming site.

- Initiated a project to improve the air quality and eliminate mold at the Division.
- Introduced Bingo Event Sealed Ticket games as an additional fund raising option for qualifying nonprofit organizations.
- Instituted a major re-write of the Agency's Sealed Ticket Management Information System.

NEW INITIATIVES AND IMPROVEMENTS

- Reinvigorated the Problem Gambling Council by establishing a permanent home and Chairperson.
- Replaced the current telephone system with a digital system.

GREYHOUND RACING

- Supervised the orderly closure of the Plainfield Greyhound Racetrack.
- Reviewed and approved the sale of the Shoreline Star Greyhound Racetrack.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Eliminate costs associated with Shoreline Star
The Shoreline Star Greyhound racetrack ceased operations September 20, 2006.
- Reduce Other Expenses
- Transfer Equipment to CEPF
- Remove or Limit Inflation

<u>2007-2008</u>	<u>2008-2009</u>
-201,172	-206,817
-80,000	-80,000
-214,340	-214,340
-28,000	-56,178

New or Expanded Services

- Re-wire Computer Room to Replace Antiquated System
This is a capital improvement of approximately \$220,000 that is being recommended in the bond authorization request.

<u>2007-2008</u>	<u>2008-2009</u>	<u>2009-2010</u>
0	0	0

AGENCY SUMMARY

Personnel Summary

Permanent Full-Time Positions

	2006-2007 Total Authorized	2007-2008 Change From 2006-2007	2007-2008 Total Recommended	2008-2009 Change From 2007-2008	2008-2009 Total Recommended
General Fund	119	0	119	0	119
Private Funds	29	0	29	0	29

Other Positions Equated to Fulltime

General Fund	3	0	3	0	3
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Financial Summary	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	6,044,838	6,111,505	5,953,694	6,286,484	6,123,939
Other Expenses	1,357,965	1,334,489	1,183,128	2,026,667	1,846,217
<u>Capital Outlay</u>					
Equipment	100	214,440	100	214,440	100
TOTAL - General Fund	7,402,903	7,660,434	7,136,922	8,527,591	7,970,256
<u>Additional Funds Available</u>					
Private Funds	3,121,552	3,541,472	3,541,472	3,541,472	3,541,472
TOTAL - All Funds Net	10,524,455	11,201,906	10,678,394	12,069,063	11,511,728



STATE INSURANCE & RISK MANAGEMENT BOARD

AGENCY PURPOSE

- Promote a coordinated insurance and risk management program within the State.
- Protect the assets of the State of Connecticut by developing and implementing risk management and loss prevention programs.
- Determine method by which the State shall insure/self-insure.

<http://www.irmb.state.ct.us/>

- Obtain broadest coverage at the most reasonable cost.
- Designate agent of record and select insurance companies.
- Utilize risk management methods such as exposure identification, loss control, risk transfer or risk assumption.

RECENT HIGHLIGHTS

The Board implemented a risk management initiative by purchasing a software program designed to detect sprinkler valves that are locked and in a closed position that could lead to a disaster should a fire occur. The software program was

purchased for the University of Connecticut – Storrs Campus, the University of Connecticut Health Center and Bradley International Airport.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Transfer Equipment to CEPF
- Remove or Limit Inflation

	<u>2007-2008</u>	<u>2008-2009</u>
	-1,300	-1,300
	-8,014	-16,277

AGENCY SUMMARY

Personnel Summary

Permanent Full-Time Positions

	2006-2007 Total Authorized	2007-2008 Change From 2006-2007	2007-2008 Total Recommended	2008-2009 Change From 2007-2008	2008-2009 Total Recommended
General Fund	3	0	3	0	3

Financial Summary

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Personal Services	265,314	264,523	264,523	268,096	268,096
Other Expenses	13,181,352	13,207,340	13,199,326	14,179,981	14,163,704
<u>Capital Outlay</u>					
Equipment	100	1,400	100	1,400	100
<u>Other Current Expenses</u>					
Surety Bonds State Officials & Emps	92,750	69,350	69,350	21,700	21,700
TOTAL - General Fund	13,539,516	13,542,613	13,533,299	14,471,177	14,453,600
Other Expenses	2,770,000	2,375,200	2,375,200	2,517,540	2,517,540
TOTAL - Special Transportation Fund	2,770,000	2,375,200	2,375,200	2,517,540	2,517,540
TOTAL - All Funds Net	16,309,516	15,917,813	15,908,499	16,988,717	16,971,140



GAMING POLICY BOARD

AGENCY PURPOSE

The Gaming Policy Board works in cooperation with the Division of Special Revenue to implement and administer the statutory and regulatory provisions governing legalized gambling.

Statutory responsibilities include:

- Advising the Governor on statewide plans and goals for legalized gambling.
- Assisting the Division of Special Revenue Executive Director in the development of regulations and other matters.

- Approving, suspending or revoking association and affiliate licenses.
- Setting greyhound racing dates in the State; and approving the types of pari-mutuel wagering to be permitted.
- Approving Division contracts for goods and services.
- Approving regulations prior to adoption for all programs.
- Hearing all appeals taken under subsection (j) of section 12-574 and section 12-802b of the general statutes.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Remove or Limit Inflation

	<u>2007-2008</u>	<u>2008-2009</u>
	-59	-121

AGENCY SUMMARY

Financial Summary

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Other Expenses	2,903	2,962	2,903	3,024	2,903
TOTAL - General Fund	2,903	2,962	2,903	3,024	2,903



OFFICE OF POLICY AND MANAGEMENT

AGENCY PURPOSE

<http://www.opm.state.ct.us/>

- Support the Governor in development, implementation and analysis of various policies relating to assets management, criminal justice, energy, health and human services, and transportation.
- Prepare executive budget and execute biennial budgets as enacted into law.
- Provide analyses, evaluations and recommendations to the Governor regarding the financial implications of state policies and practices.
- Formulate policy pertaining to the relationship between the state and Connecticut's municipalities.
- Improve the effectiveness of state services by ensuring the efficient use of resources through research, policy development and interagency coordination.
- Deliver timely and effective labor relations and collective bargaining services on behalf of the state as an employer.
- Review and monitor the core financial management policies and practices in state agencies.

The Mission of the Office of Policy and Management is to provide information and analysis that the Governor uses to formulate public policy goals for the State and to assist State agencies and municipalities in implementing policy decisions on behalf of the people of Connecticut.

- Provide statewide organizational management to state agencies.
- Ensure the implementation of programs enacted by law.
- Review and approve agency legislative proposals.

RECENT HIGHLIGHTS

CRIMINAL JUSTICE POLICY AND PLANNING

Pursuant to Public Acts 05-249 and 06-193, OPM responsibilities related to criminal justice have been expanded. Effective July 1, 2006, OPM established a Criminal Justice Policy and Planning Division under the direction of an undersecretary. In addition to existing OPM responsibilities for criminal justice, the new division is responsible to develop a plan to promote a more effective and cohesive state criminal justice system. Other new responsibilities include the development and implementation of a comprehensive reentry strategy.

TRANSPORTATION POLICY AND PLANNING

Pursuant to Public Act 06-136, effective July 1, 2006 OPM has new responsibilities relating to transportation policy and planning. OPM shall, in consultation with the Commissioners of Transportation, Economic and Community Development and Environmental Protection, ensure the coordination of state and regional transportation planning with other state planning efforts, including, but not limited to, economic development and housing plans; coordinate interagency policy and initiatives concerning transportation; in consultation with the Commissioner of Transportation, evaluate transportation initiatives and proposed expenditures; and coordinate staff and consultant services for the Transportation Strategy Board.

ENERGY POLICY AND PLANNING

Effective July 1, 2006, OPM is enhancing its energy planning, research and oversight functions due to the dramatic increase in all forms of energy costs straining the budgets of individuals, businesses, and governments. OPM is responsible for developing and implementing a plan to reduce state expenditures for energy through innovative procurement and conservation strategies.

ENERGY DEMAND RESPONSE PROGRAMS

Expanded the ability of state facilities to participate in energy demand response programs. ISO-New England, the operators of

the electric system, developed a variety of programs to help alleviate or mitigate any electrical supply crises that may occur as a result of high demand for electricity. Initially these programs were focused on the southwestern part of Connecticut. With approval from the Department of Public Utility Control, in 2005 the programs were expanded statewide. The original effort, done as a pilot effort, had three participating agencies (i.e., the Departments of Correction, Mental Health and Addiction Services, and Mental Retardation). With the expansion of ISO-New England demand response programs, OPM broadened the program to all state agencies and as a result of this effort, OPM anticipates a total of 25 to 30 megawatts of electric demand to be enrolled in the program, which represents 25% of the electric usage consumed by state government on a peak day. The state reduces its demand for electricity during times of electrical supply crises by either utilizing onsite generation assets or by curtailing electric load.

NURSING HOME CARE INITIATIVES

Continued development and implementation of numerous initiatives to provide alternatives to nursing home care through a multi-agency effort coordinated by OPM. Assisted living services have been made available in state-funded congregate housing, federally-funded HUD housing facilities, private pay assisted living facilities and subsidized assisted living communities in Glastonbury, Hartford and Middletown as part of the Assisted Living Demonstration project with over 500 residents enrolled in the programs as of June 30, 2006 and over 1,000 residents served since the programs began.

COST STANDARDS – PURCHASE OF SERVICE CONTRACTS

Developed cost standards for Purchase of Service contracts, which are agreements between a state agency and an organization for the purchase of direct health or human services for state agency clients. The development of the cost standards was a collaborative effort between OPM, six state agencies (the Departments of Children and Families, Correction, Mental Health and Addiction Services, Mental Retardation, Public Health and

Social Services), the Connecticut Nonprofit Human Services Cabinet and the Connecticut Community Providers Association.

FISCAL ACCOUNTABILITY REPORT

Produced the first Fiscal Accountability Report. In accordance with Public Act 05-252, the Report included multi-year estimates of state revenues, expenditures and ending balances of all appropriated funds; projected tax credits; a summary of estimated fiscal year 2006 deficiencies; projected balances of the Budget Reserve Fund; projected bond authorizations, allocations, and issuances; revenue and expenditure trends, as well as identifying major cost drivers impacting those trends; and an analysis of possible uses of surplus funds.

PERSONAL SERVICE AGREEMENT REQUEST WEBSITE

Developed an internet enabled application for executive branch agencies to electronically submit requests to OPM to enter into Personal Service Agreements and for OPM staff members to review and act on such requests. In accordance with C.G.S. Chapter 55a, requests to enter into Personal Service Agreements need to be approved by OPM. Previously, this application was a paper-based system. OPM also designed and provided training on use of the new internet enabled application to over 180 state employees from various state agencies.

COLLECTIVE BARGAINING AGREEMENTS AND INTEREST ARBITRATION

Devised strategy and negotiated collective bargaining agreements with the following unions: NP-3 AFSCME Clerical and P-2 AFSCME Social and Human Services.

Negotiated and concluded Interest Arbitration for the following contracts with the following unions: Connecticut State Employees Association (NP-8), (P-3A), (P-3B), (P-4) and Connecticut Employees Union Independent (NP-2). These awards were submitted to the legislature and approved.

INTERROYAL FACTORY FIRE

Coordinated the federal, state and local response to clean up the InterRoyal Factory fire site in Plainfield. Leveraged over \$1.2 million of funds from the Federal Environmental Protection Agency with \$750,000 of state funds. In addition, the Regional

Health District provided public information on public health issues, the Town of Plainfield provided in-kind services and labor, and the Mashantucket Pequot Tribal Nation provided clean fill.

WATERBURY FINANCIAL PLANNING & ASSISTANCE BOARD

Continued work to bring long-term stability to the finances of the City of Waterbury. Under the authority of the Waterbury Financial Planning and Assistance Board, which was created by the state legislature in March 2001 in response to Waterbury's fiscal crisis and is located administratively within OPM, FY2005 marked the fourth consecutive year that the City had a budget surplus. At this time, it is projected that the City will have another operating surplus for fiscal year 2006. In addition, the Board and the City have taken the steps necessary to strengthen the City's financial management practices and systems, and to address the structural issues confronting the City's finances and budget. The Board was dissolved in December 2006, by which date the criteria established by the state legislature was fully met in bringing fiscal stability to the City.

ADRIAEN'S LANDING PROJECT

Continued lead role in the development of Adriaen's Landing, a mixed use economic development project in Hartford. The Connecticut Convention Center has been open to the public for a full year of operations, along with the adjacent Hartford Downtown Marriott. Additional infrastructure has been constructed and negotiations are ongoing to finalize a development agreement for a retail/residential component. OPM is coordinating the development and construction of the Connecticut Science Center, which is currently under construction and scheduled to open in 2008. OPM also oversees operations of the football stadium at Rentschler Field through a management agreement with Madison Square Garden.

STATE BOARD OF ACCOUNTANCY

Initiated and implemented a plan to migrate the State Board of Accountancy to the Department of Information Technology for information technology services.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2007-2008</u>	<u>2008-2009</u>
• Eliminate State Support for Leadership, Education, Athletics in Partnership and Neighborhood Youth Centers Grants	-2,075,200	-2,100,930
• Transfer Equipment to CEPF	-99,900	0
• Remove or Limit Inflation	-349,688	-724,371
• Remove or Limit Discretionary Grant Increases <i>Distressed Municipalities, Property Tax Relief - Elderly Circuit Breaker, and Property Tax Relief Additional Veteran's Exemption grants are maintained at current funding levels.</i>	-3,724,002	-5,609,002

Reallocations or Transfers

• Reallocate Justice Assistance Grant funding for Personnel Needs <i>Funding that is no longer needed to match federal grants is being reallocated to Personal Services to provide staff for increases associated with legislative mandates.</i>	0	0
• Transfer Washington Center Funding to the Department of Higher Education	-25,000	-25,000
• Governor's Energy Initiative - Transfer Energy Unit to the New Department of Energy	-839,114	-1,135,287
• Transfer Office of the Business Advocate to the Department of Economic & Community Development	-573,510	-599,271

New or Expanded Services

	<u>2007-2008</u>	<u>2008-2009</u>	<u>2009-2010</u>
• Staff Revitalization <i>Provide additional positions to accommodate increased workloads from legislative mandates.</i>	494,682	814,556	855,284
• Governor's Responsible Growth Initiative - Increase Regional Planning Agencies Grant <i>Regional Planning Organizations are to be used more extensively in planning and development functions.</i>	360,000	360,000	360,000
• Governor's Energy Initiative - Property Tax Exemption Hybrid Vehicles <i>Funding is provided for a 3 year property tax exemption for hybrid vehicles that attain 40 miles per</i>	500,000	900,000	900,000

Budget Summary

gallon or better on the highway.

• Private Provider Low Wage Pool	15,000,000	17,000,000	0
<i>The Office of Policy and Management will allocate this funding to the Departments of Mental Retardation, Mental Health and Addiction Services and Children and Families in order to bring wages for providers whose employees are determined to be "low-wage" up to the median for all state-contracted providers of similar services.</i>			
• Funding for UConn Extension Services CLEAR Program	50,000	50,000	50,000
<i>Continue to expand and institutionalize the training of local land use commissioners.</i>			
• Other Post Employment Benefits (OPEB) Study	100,000	0	0
<i>Provide funding for a study on how to best address the state's unfunded obligation to provide retiree health insurance.</i>			
• Governor's Initiative - Urban Youth Violence Prevention	4,000,000	4,000,000	4,000,000
<i>\$4 million is provided for grants to prevent violent criminal activity involving young people in urban areas.</i>			

AGENCY SUMMARY

Personnel Summary

	2006-2007 Total Authorized	2007-2008 Change From 2006-2007	2007-2008 Total Recommended	2008-2009 Change From 2007-2008	2008-2009 Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	164	8	172	1	173
<u>Other Positions Equated to Fulltime</u>					
General Fund	2	-1	1	0	1
Federal Contributions	5	-2	3	-2	1

Financial Summary

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Personal Services	15,394,650	15,384,132	16,076,847	15,916,829	16,663,080
Other Expenses	3,010,325	3,918,964	3,815,158	4,008,565	3,822,623
<u>Capital Outlay</u>					
Equipment	18,100	100,000	100	100	100
<u>Other Current Expenses</u>					
Automated Budget Sys & Data Base Link	63,612	64,948	63,610	66,312	63,610
Leadership, Educ, Athletics-Partnership	850,000	867,850	0	886,075	0
Cash Management Improvement Act	100	100	100	100	100
Justice Assistance Grants	3,514,514	3,588,319	2,493,017	3,663,674	2,463,182
Emergency Contingency	0	10,000,000	10,000,000	10,000,000	10,000,000
Neighborhood Youth Centers	1,200,000	1,225,200	0	1,250,930	0
Licensing and Permitting Fees	500,000	0	0	0	0
Urban Youth Employment	4,000,000	4,000,000	0	4,000,000	0
Land Use Education	100,000	102,100	150,000	104,244	150,000
Office of Property Rights Ombudsman	200,000	205,224	205,224	214,667	214,667
Office of Business Advocate	535,000	573,510	0	599,271	0
Other Post Employment Benefits (OPEB)	0	0	100,000	0	0
Urban Youth Violence Prevention	0	0	4,000,000	0	4,000,000
TOTAL - Other Current Expenses	10,963,226	20,627,251	17,011,951	20,785,273	16,891,559
<u>Pmts to Other than Local Govts</u>					
Tax Relief for Elderly Renters	16,891,590	17,736,170	17,736,170	18,622,979	18,622,979
Private Providers	0	0	15,000,000	0	17,000,000
Regional Planning Agencies	640,000	653,440	1,000,000	667,162	1,000,000
TOTAL - Pmts to Other than Local Govts	17,531,590	18,389,610	33,736,170	19,290,141	36,622,979
<u>Pmts to Local Governments</u>					
Reimb Property Tax-Disability Exempt	576,142	588,241	576,142	600,594	576,142
Distressed Municipalities	7,800,000	8,000,000	7,800,000	8,500,000	7,800,000
Prop Tax Relief Elder-Circuit Breaker	20,505,899	23,700,000	20,505,899	24,885,000	20,505,899
Prop Tax Relief Elderly Freeze Program	1,200,000	1,000,000	1,000,000	900,000	900,000
Property Tax Relief for Veterans	2,970,099	3,300,000	2,970,099	3,500,000	2,970,099
P.I.L.O.T. New Mfg Machine & Equipment	46,729,721	80,630,000	80,630,000	109,930,000	109,930,000
Capital City Economic Development	7,900,000	8,065,900	7,900,000	8,235,284	7,900,000
Property Tax Exemption for Hybrid Vehicles	0	0	500,000	0	900,000
TOTAL - Pmts to Local Governments	87,681,861	125,284,141	121,882,140	156,550,878	151,482,140

TOTAL - General Fund	134,599,752	183,704,098	192,522,366	216,551,786	225,482,481
<u>Additional Funds Available</u>					
Federal and Other Activities	21,758,917	16,988,198	15,969,001	12,708,072	11,722,265
Private Funds	4,234,591	1,741,317	1,741,317	1,379,649	1,379,649
TOTAL - All Funds Net	160,593,260	202,433,613	210,232,684	230,639,507	238,584,395



DEPARTMENT OF VETERANS' AFFAIRS

AGENCY PURPOSE

- To provide comprehensive assistance and formal representation to veterans, their spouses and/or eligible dependents in obtaining rights, benefits and privileges to which they may be entitled under federal, state and local laws.
- To provide comprehensive healthcare for veterans across the continuum of needs. Inpatient healthcare covers a complete array of services, from long-term nursing care and dementia care to rehabilitation and hospice care. A plan of

<http://www.state.ct.us/ctva/>

- care is developed and continually updated throughout the veteran's stay and quality healthcare services provided to assist the veteran in reaching his/her maximum potential.
- To deliver a responsive system of inpatient and long-term health care and clinical support to Connecticut's veterans.
- To provide a domiciliary level of care, substance abuse treatment, and associated services which facilitate rehabilitation and the return to independent living to the greatest extent possible.

RECENT HIGHLIGHTS

HEALTH CARE FACILITY GROUNDBREAKING

Groundbreaking for a new \$33.8 million, state of the art, 87,000 square foot, 125-bed health care facility at the State Veterans Home occurred on August 30, 2006. This project is being funded 65% by the Federal government and 35% by the State. Completion is expected in January 2008.

WATER LOOP SYSTEM

Groundbreaking for a new \$4,600,000 state of the art water loop system and new water tower for the grounds of the State Veterans Home occurred on July 10, 2006. This project is being funded 65% by the Federal government and 35% by the State. Completion is expected in July 2007.

RENOVATIONS AND IMPROVEMENTS

There have been continuous renovations and improvements to bring the existing facility up to date and into code compliance. Current capital improvements and upgrades include: an overhaul of the elevator in the I-wing, a new airflow system in the hospital canteen, renovations to the heating systems in residence 11 and 12, an upgrade to the commissary power transformer, remodeling of the domicile weight room, replacement of pump motors and controls, a valve replacement in the power plant, a new roof on residences 13 and 15, and an air conditioner in the auditorium.

"CONNECTICUT CARES FOR ITS OWN"

The Connecticut Department of Veterans' Affairs hosted a "Connecticut Cares for its Own" Stand Down on September 22, 2006. Approximately 50 State, Federal, and private service organizations were on site to offer assistance to the needy and homeless veterans that came for help. The veterans received state and federal VA benefits information, assistance from

various state agencies, and free haircuts, meals, and clothing from DVA. Superior Court was also in session to resolve minor misdemeanors and traffic violation cases.

RESIDENTIAL AND REHABILITATION SERVICES

The Residential and Rehabilitation Services department accomplished the following life enhancement changes to the Residential Facility:

- New beds for all domicile residents and reupholstery of assorted furniture in the common areas.
- Replaced all existing doors in all personal living areas of the residents with doors that are code compliant.
- Upgraded computers in the Career Resource Center to enhance internet connection.
- Coordinated educational training with local community college to offer life enhancement courses at the facility and arranged with the Department of Labor to have the Career Bus come to the facility to provide basic computer training.

ADVOCACY AND ASSISTANCE

Advocacy and Assistance provided formal representation on over 1,400 claims for federal VA benefits and added over 1,350 new veterans to their active client base.

A first of its kind Advocacy Techniques Training two day seminar was held in May of 2006. The training was well received by all attendees.

The Connecticut Veterans Registry and the Connecticut Wartime Service Medal unit became active in June of 2006. Currently 8,400 veterans are registered and several medal ceremonies have already been held and several more are in the planning stages.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Transfer Equipment to CEPF
- Remove or Limit Inflation

Within Current Services

- Provide Operating Resources for the New Health Care Facility

	<u>2007-2008</u>	<u>2008-2009</u>
Transfer Equipment to CEPF	-373,250	-89,800
Remove or Limit Inflation	-171,941	-344,257

Provide Operating Resources for the New Health Care Facility	607,960	757,405
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Construction of the new state of the art Veterans' Health Care Facility is scheduled for completion in January of 2008. Funding is provided for 9 positions for the new facility and associated operating resources.

• Provide Operating Resources to Enhance Residential Services	230,529	286,849		
<i>Funding is provided for 5 new social worker and health care professional positions and associated operating resources to address the complexity of cases and accommodate the increased residential census.</i>				
Reallocations or Transfers				
• Reallocate IT Manager to DOIT	-96,000	-102,000		
<i>This adjustment reallocates one full time IT Manager to the Department of Information Technology for services and IT infrastructure improvements at the Department of Veterans' Affairs.</i>				
New or Expanded Services				
	2007-2008	2008-2009	2009-2010	
• Increase Veteran Headstone Installation Rate	120,000	120,000	120,000	
<i>The Department of Veterans' Affairs is required by statute to pay for the installation of headstones provided by the federal government for eligible veterans buried in any private or state owned cemetery located in Connecticut. This adjustment increases the Veteran Headstone Installation rate from \$70 to \$100.</i>				
• Increase Veteran Burial Benefit	6,300	6,300	6,300	
<i>The Department of Veterans' Affairs provides a burial benefit to help veterans without sufficient estate pay for burial expenses. This adjustment increases the burial benefit from \$150 to \$1,800.</i>				

AGENCY SUMMARY

Personnel Summary	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	Total	Change From	Total	Change From	Total
	Authorized	2006-2007	Recommended	2007-2008	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	301	14	315	0	315
<u>Other Positions Equated to Fulltime</u>					
General Fund	75	1	76	0	76
Financial Summary	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	Estimated	Current	Total	Current	Total
	Services	Services	Recommended	Services	Recommended
Personal Services	23,568,919	24,839,950	24,839,950	25,741,728	25,741,728
Other Expenses	7,157,280	7,676,227	7,417,755	7,925,981	7,498,860
<u>Capital Outlay</u>					
Equipment	1,000	374,250	1,000	90,800	1,000
<u>Other Current Expenses</u>					
Support Services for Veterans	200,000	204,200	200,000	208,488	200,000
<u>Pmts to Other than Local Govts</u>					
Burial Expenses	900	919	7,200	938	7,200
Headstones	250,000	255,250	370,000	260,610	370,000
TOTAL - Pmts to Other than Local Govts	250,900	256,169	377,200	261,548	377,200
TOTAL - General Fund	31,178,099	33,350,796	32,835,905	34,228,545	33,818,788
<u>Additional Funds Available</u>					
Private Funds	2,586,500	2,586,500	2,586,500	2,586,500	2,586,500
TOTAL - All Funds Net	33,764,599	35,937,296	35,422,405	36,815,045	36,405,288

OFFICE OF WORKFORCE COMPETITIVENESS

AGENCY PURPOSE

OWC serves as the Governor's principal workforce development policy agency. OWC acts as:

- Liaison between the Governor and various federal, state and local entities involved in workforce development issues; and
- Staff support to the Connecticut Employment and Training Commission (CETC), the Governor's JOBS Cabinet, the Allied Health Workforce Policy Board, the Youth Futures Committee, and the Career Ladders Pilot Program.

OWC oversees implementation of the federal Workforce Investment Act of 1998 (WIA) on behalf of the Governor, the JOBS Cabinet and the CETC.

RECENT HIGHLIGHTS

THE JOBS FUNNEL

The Jobs Funnels services have been provided to approximately 5,200 residents, with over 1,000 placed in employment opportunities. The average starting hourly wage for the construction workers is \$16.00.

CONNECTICUT WALGREENS PROJECT

Walgreens has committed to building a distribution center with a commitment to hiring one-third of its workforce from the disability community, with particular emphasis on individuals with autism and other cognitive disabilities. OWC will assist in the opening of a training facility designed and equipped specifically to train disabled persons for available jobs at the Walgreens distribution center.

YOUTH INITIATIVES

OWC chairs and staffs the state's new Youth Vision Team an inter-agency collaborative planning body tasked with improving the delivery of services to at-risk and vulnerable youth and helping to bring them into the workforce.

NANOTECHNOLOGY

A competitive assessment of Connecticut's relative position in nanotechnology was completed and a plan was developed to leverage Connecticut's nanotechnology resources and assets. Implementation of these strategies is ongoing.

CONNECTICUT CAREER CHOICES (CCC)

CCC is a specific initiative intended to create and maintain a "pipeline" of skilled technology workers to maintain Connecticut's competitiveness and sustain its economic future. CCC is currently being implemented in 20 pilot sites.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Transfer Equipment to CEPF
- Remove or Limit Inflation
- Reduce Nanotechnology Study Account

Reduce funding to effect economy. The study can be scaled back.

2007-2008 2008-2009

-4,000	-4,000
-99,660	-201,397
-200,000	-200,000

New or Expanded Services

- Provide Funding for SBIR Matching Grants

Provide funds to match federal Small Business Innovation Research grants.

2007-2008 2008-2009 2009-2010

250,000	250,000	250,000
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AGENCY SUMMARY

Personnel Summary

Permanent Full-Time Positions

	2006-2007 Total Authorized	2007-2008 Change From 2006-2007	2007-2008 Total Recommended	2008-2009 Change From 2007-2008	2008-2009 Total Recommended
General Fund	5	0	5	0	5

Financial Summary

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Personal Services	453,278	489,026	457,026	507,029	475,029
Other Expenses	301,824	308,115	301,824	314,524	301,824

Capital Outlay

Equipment	100	5,000	1,000	5,000	1,000
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Other Current Expenses

CETC Workforce	2,096,139	2,140,158	2,096,139	2,185,101	2,096,139
Jobs Funnel Projects	1,000,000	1,021,000	1,000,000	1,042,441	1,000,000
Connecticut Career Choices	800,000	816,800	800,000	833,952	800,000
Nanotechnology Study	450,000	500,000	300,000	500,000	300,000
SBIR Initiative	250,000	255,250	250,000	260,610	250,000
Career Ladder Pilot Programs	500,000	500,000	500,000	500,000	500,000
Spanish American Merchant Association	300,000	306,300	300,000	312,732	300,000
SBIR Matching Grants	0	0	250,000	0	250,000
TOTAL - Other Current Expenses	<u>5,396,139</u>	<u>5,539,508</u>	<u>5,496,139</u>	<u>5,634,836</u>	<u>5,496,139</u>
TOTAL - General Fund	6,151,341	6,341,649	6,255,989	6,461,389	6,273,992



BOARD OF ACCOUNTANCY

AGENCY PURPOSE

The Board of Accountancy (BOA) is statutorily charged with the licensing and regulation of the public accounting profession. With its nine member board, along with its professional staff, the BOA ensures that the highest standards of integrity and professionalism are maintained by Connecticut's certified public

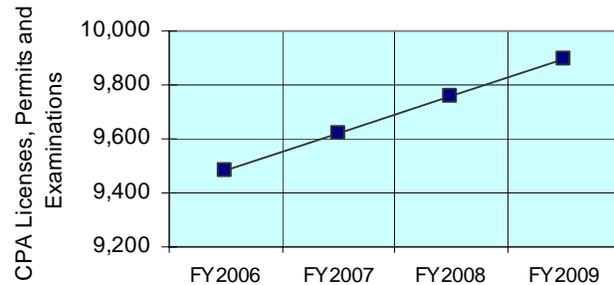
[HTTP://WWW.CT.GOV/SBOA](http://www.ct.gov/sboa)

accountants (CPA) and licensed public accountants. The BOA protects consumers from illegal and unethical accounting practices. In the recent past, these types of practices have had a deleterious impact on the state and national economy.

RECENT HIGHLIGHTS

- Created state agency. In state FY2006, the BOA became an independent agency with an increased emphasis on the regulation of the accounting industry.
- Generated over \$2.1 million in revenue to the state General Fund primarily from licensing fees.
- Licensed over 4,700 Certified Public Accountants, provided over 1,700 CPA firm permits and presided over 780 CPA examinations.
- Resolved 88 complaints regarding CPAs.
- Became a pilot agency for the CT-CLIC project that will allow for complete e-filing of licensing and renewal documents.

Projected Licenses, Permits and Examinations



RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Remove or Limit Inflation
- Reduce Personal Services
- Reduce Other Expenses

	2007-2008	2008-2009
	-1,632	-3,340
	-4,000	-4,000
	-18,000	-18,000

AGENCY SUMMARY

Personnel Summary

Permanent Full-Time Positions

	2006-2007 Total Authorized	2007-2008 Change From 2006-2007	2007-2008 Total Recommended	2008-2009 Change From 2007-2008	2008-2009 Total Recommended
General Fund	5	0	5	0	5

Financial Summary

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Personal Services	287,675	313,160	309,160	325,075	321,075
Other Expenses	79,682	106,308	86,676	108,497	87,157
TOTAL - General Fund	367,357	419,468	395,836	433,572	408,232



AGENCY PURPOSE

<http://www.das.state.ct.us/>

The Department of Administrative Services (DAS) offers support to state agencies by:

- Providing Human Resource (HR) policy direction and assistance;
- Distributing surplus property and ordering and distributing federally donated foods;
- Managing the state's fleet of vehicles;
- Providing state mail and courier services;

- Procuring goods and services;
- Collecting money owed the state;
- Providing document management services and
- Assisting small agencies with personnel, payroll, affirmative action and business office functions.

RECENT HIGHLIGHTS

STRATEGIC SERVICES

- Strategic Services launched the first Orientation Program for newly appointed or newly hired managers in over a decade giving them eleven days of training on a broad spectrum of topics aimed at getting them competently on board in their new roles in state government.
- Established or re-established PARS programs at the Division of Special Revenue, the Children's Trust Fund, the Office of Child Advocate, Judicial Marshals, Judicial Selection Commission, Commission on the Deaf and Hearing Impaired, the Board of Accountancy, and the Department of Emergency Management and Homeland Security.
- Re-branded the HR Learning Center into the DAS Learning Center and posted a wide assortment of important courses and training for attendance by state employees - most of which are offered free of charge.
- Published their first HR Almanac of high-level information about the state workforce. Delivered the ability to independently query and use HR data in order to conduct comprehensive workforce planning to numerous state agencies.
- Conducted the Avian H5N1 Influenza information and planning summit for state agency administrators, HR directors, training directors, and communications directors.
- Played a significant role in the Riverview Hospital Nurse Hiring Day.

CORE-CT SUPPORT

- Provided leadership and staffing to the Core-CT project in the areas of Supply Chain, Human Resources, Time and Labor and EPM Data Warehouse.
- Coordinated system upgrade training for 1,621 Human resources users.
- Trained 167 individuals from 15 agencies in use of EPM ad-hoc reporting from Core-CT.

DELINQUENT ACCOUNTS

- Collected over \$7.5 million through tax intercepts for the federal and state offset program.

SMALL AGENCY RESOURCE TEAM

- Under Section 60(c) of Public Act 05-251, provides personnel, payroll, and affirmative action functions to twenty-two state agencies. Centralized and instituted new procedures for human resources functions.
- Consolidated and streamlined the administration of time, attendance, payroll and benefits for over 1,600 full and part-time employees.
- Began development of a generic employee handbook and supervisors' manual.
- Developed and filed with the Commission on Human Rights and Opportunities 15 affirmative action plans, conducted seven discrimination complaint investigations and provided sexual harassment and diversity training sessions for 43 employees.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Remove or Limit Inflation
- Transfer Equipment to CEPF
- Utilize FY2007 Surplus for One-time Expenditure

Surplus funds are provided in FY2009 for appraisal services for state owned employee housing.

Within Current Services

- Provide Staff for Subcontractor Prequalification Program

Funding is provided for 3 positions to implement PA 06-134 which requires substantial subcontractors to prequalify with DAS to bid on public building construction contracts which are estimated at more than \$500,000.

Reallocations or Transfers

- Core-CT Consolidation

2007-2008 2008-2009

	-27,561	-50,923
	-259,800	-192,300
	0	-40,000
	159,000	167,250
	-2,109,966	-2,173,523

Budget Summary

Reallocation of 24 authorized full-time positions and 2 part-time positions along with funding to the Office of the State Comptroller in order to consolidate all Core-CT operations.

AGENCY SUMMARY

Personnel Summary

	2006-2007 Total Authorized	2007-2008 Change From 2006-2007	2007-2008 Total Recommended	2008-2009 Change From 2007-2008	2008-2009 Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	286	-21	265	0	265

Financial Summary

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Personal Services	20,010,756	20,991,338	18,893,372	21,612,304	19,450,781
Other Expenses	1,059,351	1,143,021	1,109,739	1,206,383	1,109,739
<u>Capital Outlay</u>					
Equipment	1,000	259,900	100	192,400	100
<u>Other Current Expenses</u>					
Tuition Reimburs Training, Travel	1	382,000	382,000	382,000	382,000
Loss Control Risk Management	278,241	300,000	278,241	300,000	278,241
Employees' Review Board	52,630	52,630	52,630	52,630	52,630
Quality of Work-Life	350,000	350,000	350,000	350,000	350,000
Refunds of Collections	30,000	30,000	30,000	30,000	30,000
W. C. Administrator	5,291,316	5,450,055	5,450,055	5,450,055	5,450,055
Hospital Billing System	101,005	150,000	150,000	101,005	101,005
Correctional Ombudsman	299,000	305,279	299,000	305,279	299,000
TOTAL - Other Current Expenses	6,402,193	7,019,964	6,991,926	6,970,969	6,942,931
TOTAL - General Fund	27,473,300	29,414,223	26,995,137	29,982,056	27,503,551



DEPARTMENT OF INFORMATION TECHNOLOGY

AGENCY PURPOSE

<http://www.ct.gov/doit>

To make effective use of information technology, build the statewide information infrastructure for state agencies and citizens, and direct the development of systems to meet the common business and technology needs of multiple state agencies.

To provide quality information technology services and solutions to customers, effectively aligning business and technology objectives through collaboration, in order to provide the most cost-effective solutions that facilitate and improve the conduct of business for state residents, businesses, visitors and government entities.

RECENT HIGHLIGHTS

CUSTOMER BASE EXPANSIONS

In FY2006, DOIT's customer base has grown as DOIT continues to improve customer service and the state's information technology infrastructure. DOIT services enable agencies to re-deploy resources to support their more critical agency needs.

Customer base expansions include a 93% increase in Enterprise E-mail customers with the addition of fourteen agencies and an additional 8,895 mailboxes. Desktop Services customers increased by 21% and Anti-Virus Services customers increased by 15%.

SERVICE VOLUME EXPANSIONS

Service volumes continue to rise, with spam blocks increasing 56%, e-mail blocks increasing 60%, complex procurements increasing 25% and telecommunication service requests increasing 10%. Employees using DOIT's online information security training system increased by more than 7,900, or 264%.

New services offered include a new internet filtering solution, used by 39 agencies, and a new secure file transport service used by 12 agencies. DOIT also developed and launched new online service request forms for 13 high volume service areas. More than 9,000 work requests have been submitted via this new system to date.

EXPANDING THE STATE'S INTERNET PRESENCE

Fourteen new agency sites joined the ct.gov portal system, an increase of 23%. Visits to ct.gov and ct.gov sites increased 40%

in FY2006, pushing annual traffic to an all-time high of 19.5 million visits and 112 million page views.

INFRASTRUCTURE EXPANSIONS/IMPROVEMENTS

DOIT's infrastructure continues to expand to accommodate growing customer demand, including a 16% expansion in the statewide network with the addition of 41 new facilities.

Data center storage has increased 38.2% over the past year. Overall storage capability is now 170 terabytes - a 166% increase over two years.

DOIT's Data Center hosts and maintains 65 servers with distributed agency applications, with 61 out of 65 servers having availability/uptime exceeding 99.2% or more over the past year.

The Connecticut Education Network (CEN) now reaches into each of the state's 169 cities and towns. During 2005, the amount of active CEN connections increased by 300% and usage of CEN increased by nearly 400%.

GEOSPATIAL INFORMATION SYSTEMS (GIS) COUNCIL

DOIT organized and launched the 21-member Geospatial Information Systems (GIS) Council to coordinate a GIS capacity for the state, regional planning agencies, municipalities, and others as needed.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Transfer Equipment to CEPF
- Remove or Limit Inflation

<u>2007-2008</u>	<u>2008-2009</u>
-1,400	-1,400
-218,636	-439,383

Reallocations or Transfers

- Reallocate IT Managers from Revolving Fund to General Fund

Funding is reallocated from the Departments of Veterans' Affairs, Mental Retardation, Mental Health and Addiction Services and Correction for five IT Manager positions.

518,129	549,457
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- Core-CT Consolidation

As part of the consolidation of Core-CT responsibilities, thirty positions and related funding will be reallocated to the State Comptroller.

-2,378,657	-2,495,885
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New or Expanded Services

- Modernize Connecticut's Internet Presence, Portal Upgrade

Funding is provided to upgrade the State's Web portal and for two positions in FY 2008 and two more positions in FY 2009 to support the State's e-government initiatives. Additional funding of \$830,000 in FY 2008 and \$80,000 in FY 2009 is provided through CEPF and/or carry forward money for equipment and one-time expenses.

<u>2007-2008</u>	<u>2008-2009</u>	<u>2009-2010</u>
399,467	328,985	347,944

- Disaster Recovery and Risk Management

Funding is provided for four positions and related expenses to improve the ability of all state agencies to maintain/resume critical functions in the event of an emergency and to identify and mitigate security risks. Additional funding of \$1,358,738 in FY 2008 is provided through CEPF and carry forward money for equipment and one-time expenses.

495,738	371,509	393,800
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AGENCY SUMMARY

Personnel Summary	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	Total Authorized	Change From 2006-2007	Total Recommended	Change From 2007-2008	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	97	-19	78	2	80
Technical Services	219	0	219	0	219
<u>Other Positions Equated to Fulltime</u>					
General Fund	1	0	1	0	1
Special Transportation Fund	1	0	1	0	1
Technical Services	1	0	1	0	1
Financial Summary	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	9,025,195	8,350,000	6,985,939	8,750,000	7,506,066
Other Expenses	7,661,753	7,812,368	7,646,753	7,963,665	7,659,753
<u>Capital Outlay</u>					
Equipment	100	1,500	100	1,500	100
<u>Other Current Expenses</u>					
Connecticut Education Network	3,239,119	3,307,140	3,239,119	3,376,590	3,239,119
TOTAL - General Fund	19,926,167	19,471,008	17,871,911	20,091,755	18,405,038
<u>Additional Funds Available</u>					
Federal and Other Activities	845,120	678,601	678,601	585,400	585,400
Technical Services	36,182,097	38,353,022	38,353,022	39,425,000	39,425,000
Private Funds	264,192	276,100	276,100	284,100	284,100
TOTAL - All Funds Net	57,217,576	58,778,731	57,179,634	60,386,255	58,699,538



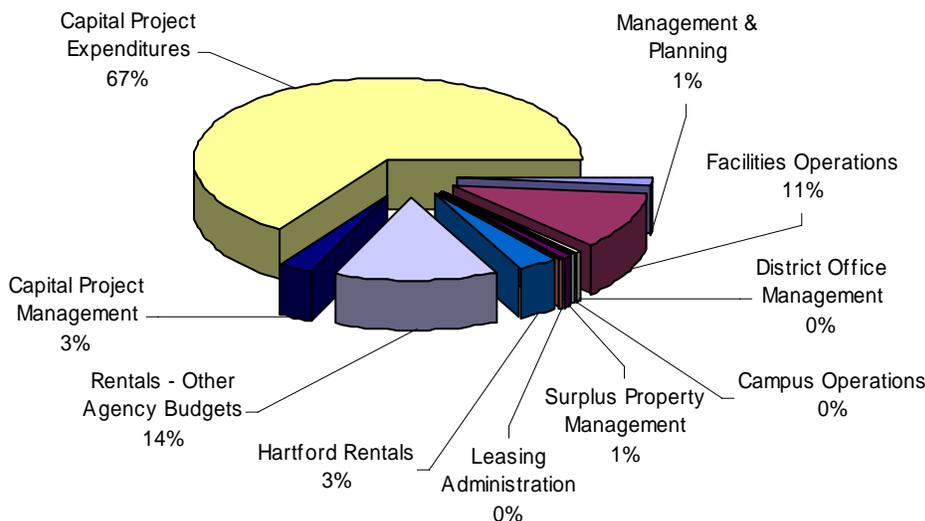
DEPARTMENT OF PUBLIC WORKS

AGENCY PURPOSE

<http://www.ct.gov/dpw/>

- Supervise care and control State property, including buildings and grounds in Hartford, district office facilities in the major urban centers, various locations outside of Hartford by agreement and surplus property of the state, with some exceptions.
- Purchase, lease and acquire property and space for state agencies.
- Provide technical assistance to agencies in developing plans for improvement to their facilities.
- Provide construction planning and management services for all capital improvements undertaken by the state, with some exceptions.
- Provide energy management technical support and guidance on the state's energy policy.
- Collaborate with OPM Assets Management in the sale of surplus state property.
- Maintain an inventory of state land and buildings, including space utilization information.
- Establish and maintain security standards for all facilities, housing, offices and equipment of the state, with some exceptions.

State Expenditures from DPW Programs FY2006 Cash Outlay – \$252.2 Million



RECENT HIGHLIGHTS

LEED CERTIFICATION

Two major projects developed and administered through design and construction by DPW earned LEED certification ("Leadership in Energy and Environmental Design") from the U.S. Green Building Council. DPW earned this distinction for two completed dormitory projects: Centennial Hall at Western Connecticut State University in Danbury, a residence hall and parking garage; and the West Campus Residence Complex and parking garage at Southern Connecticut State University in New Haven. The LEED designation verifies an environmentally friendly design and sustainable building construction. A sustainably-designed building is more energy efficient, has better indoor air quality and occupant comfort, less environmental risk, uses materials that are less detrimental to natural environment, and uses the building site's natural resources wisely in order for the environment to continue to sustain itself.

OPEN HOUSE AND SEMINAR AT THE CONNECTICUT CONVENTION CENTER

Approximately 130 consultants representing 65 architectural, engineering, and construction administration firms took part in an afternoon open house event hosted by DPW at the Connecticut Convention Center as part of the ConstruCT2006

event put on by the Construction Institute of the University of Hartford. This event was attended by DPW selection panel personnel who visited with the firms to learn about their different services. This 2 day event (March 21–22, 2006) was the annual Construction and Facilities Management Conference & Exposition. DPW managers conducted 4 seminars: "How to Succeed as an A/E Consultant with DPW"; "How to Succeed as a General Contractor with DPW"; "How to Succeed as a Construction Administrator with DPW"; and "DPW Design & Construction Division: Global Overview".

DPW EARNS RECOGNITION

DPW was recognized by the Hartford Preservation Alliance on May 10, 2006 for its exceptional contributions to architectural preservation in Hartford during the year, specifically, for the completely restored new home of the Appellate Court at 75 Elm Street, a facility originally constructed in 1913.

Website Redesign (WWW.CT.GOV/DPW)

DPW re-designed its web-site to help achieve the department's vision: to create a work environment for state agencies that supports and enhances accomplishment of their missions. The updated web site emerged from discussions related to DPW meeting the needs of client state agencies and its partners in the

Budget Summary

consultant and contractor community. The site now includes a new home page with expanded content and easier navigation. DPW services are delineated and important forms, publications and contacts are included for each service area. Work opportunities for consultants, contractors, property managers and lessors are presented more clearly with electronic access to legal notices, RFP's and Requests for Qualifications (RFQ's). Selection and bidding results are now published. The publications and forms sections now serve as a single repository of important documents that are clearly identified and easily accessible.

SERVICE DELIVERY TOOLS

A major legislative enactment during the fiscal year, Public Act 06-134, enables DPW to enter into Construction Manager at Risk Contracts. In a construction manager at risk project, during a

project's design phase, DPW hires a firm with construction management experience through a quality-based selection process to manage the entire construction process including the awarding of prime contractors through a competitive sealed bid process. This delivery method is designed to produce a more manageable and predictable project that saves time and money.

CONTRACT AWARDS

In FY2006, a total of twenty-one formal construction contracts for various projects were awarded by the Commissioner of Public Works. The aggregate value of these formal contracts was \$357,878,789. Thirteen additional construction project awards with a total contract value of \$3,223,562 were made under informal procedures. One Design-Build Contract was approved by the State Properties Review Board and executed in FY 2006 with a total contract value of \$39,623,000.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Transfer Equipment to CEPF
- Remove or Limit Inflation
- Eliminate Unfunded Vacancies

	<u>2007-2008</u>	<u>2008-2009</u>
	-217,200	-209,000
	-468,550	-885,161
	0	0

10 unfunded positions are removed from the agency's budget.

AGENCY SUMMARY

Personnel Summary

	2006-2007 Total Authorized	2007-2008 Change From 2006-2007	2007-2008 Total Recommended	2008-2009 Change From 2007-2008	2008-2009 Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	149	-10	139	0	139
Bond Fund	58	0	58	0	58

Financial Summary

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Personal Services	6,899,727	7,098,921	7,098,921	7,448,015	7,448,015
Other Expenses	22,750,026	26,852,346	26,476,580	27,200,945	26,476,580
<u>Capital Outlay</u>					
Equipment	100	217,300	100	209,100	100
<u>Other Current Expenses</u>					
Management Services	4,213,683	4,300,573	4,220,675	4,355,427	4,220,675
Rents and Moving	9,665,624	10,962,767	10,962,767	10,862,767	10,862,767
Capitol Day Care Center	114,250	116,649	114,250	119,099	114,250
Facilities Design Expenses	5,215,854	5,064,778	5,054,291	5,122,373	5,101,178
TOTAL - Other Current Expenses	19,209,411	20,444,767	20,351,983	20,459,666	20,298,870
TOTAL - General Fund	48,859,264	54,613,334	53,927,584	55,317,726	54,223,565
<u>Additional Funds Available</u>					
Bond Fund	4,746,566	5,024,863	5,024,863	5,291,172	5,291,172
TOTAL - All Funds Net	53,605,830	59,638,197	58,952,447	60,608,898	59,514,737



ATTORNEY GENERAL

AGENCY PURPOSE

The Attorney General is the chief legal officer of the State of Connecticut. The Attorney General's office serves as legal counsel to all state agencies and acts to protect the public interest for the people of the State of Connecticut.

Among the critical missions of this office are to represent and advocate the interests of the state and its citizens as vigorously

<http://www.ct.gov/ag>

as possible, to ensure that state government acts within the letter and spirit of the law, to protect public resources for present and future generations, to preserve and enhance the quality of life for all of our citizens and to safeguard the rights of our most vulnerable citizens.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Transfer Equipment to CEPF
- Remove or Limit Inflation

	<u>2007-2008</u>	<u>2008-2009</u>
	-299,900	-59,900
	-24,586	-50,222

AGENCY SUMMARY

Personnel Summary

Permanent Full-Time Positions

	2006-2007 Total Authorized	2007-2008 Change From 2006-2007	2007-2008 Total Recommended	2008-2009 Change From 2007-2008	2008-2009 Total Recommended
General Fund	313	0	313	0	313

Financial Summary

Personal Services

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Personal Services	29,716,381	29,500,000	29,500,000	30,780,000	30,780,000

Other Expenses	1,522,574	1,634,010	1,609,424	1,679,313	1,629,091
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Capital Outlay

Equipment	100	300,000	100	60,000	100
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TOTAL - General Fund	31,239,055	31,434,010	31,109,524	32,519,313	32,409,191
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Additional Funds Available

Private Funds	5,445,000	6,055,000	6,055,000	6,980,000	6,980,000
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TOTAL - All Funds Net	36,684,055	37,489,010	37,164,524	39,499,313	39,389,191
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OFFICE OF THE CLAIMS COMMISSIONER

AGENCY PURPOSE

<http://www.claims.state.ct.us/>

For instances in which there is no statute specifically granting permission to sue, the legislature has adopted a statutory scheme which allows persons to petition the Claims Commissioner for permission to sue the state.

- Receive claims filed against the state pursuant to section 4-141 of the Connecticut General Statutes.
- Conduct hearings for claims seeking more than \$7,500 and/or permission to sue the state.
- Adjudicate, with or without hearings, all claims against the state for less than \$7,500.
- Process all claims in an expeditious manner.
- Decide which claims are “just and equitable” and meet the other statutory elements warranting a waiver of the Sovereign Immunity of the State.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Remove or Limit Inflation

	<u>2007-2008</u>	<u>2008-2009</u>
	0	-778

AGENCY SUMMARY

Personnel Summary

Permanent Full-Time Positions

	2006-2007 Total Authorized	2007-2008 Change From 2006-2007	2007-2008 Total Recommended	2008-2009 Change From 2007-2008	2008-2009 Total Recommended
General Fund	4	0	4	0	4

Financial Summary

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Personal Services	270,673	280,605	280,605	294,583	294,583
Other Expenses	51,258	37,079	37,079	38,284	37,506
<u>Capital Outlay</u>					
Equipment	100	100	100	100	100
<u>Other Current Expenses</u>					
Adjudicated Claims	93,496	85,000	85,000	85,000	85,000
TOTAL - General Fund	415,527	402,784	402,784	417,967	417,189



DIVISION OF CRIMINAL JUSTICE

AGENCY PURPOSE

To investigate and prosecute crime in Connecticut
The Division of Criminal Justice (DCJ) operates out of some 50 offices, located in 13 Judicial Districts, 20

<http://www.csao.state.ct.us>

Geographic Areas, 13 Juvenile Matters and 4 Housing Court locations.

RECENT HIGHLIGHTS

DOMESTIC VIOLENCE UNIT

Secured federal funding to establish a centralized Domestic Violence Unit, comprised of a prosecutor and an inspector, who assist in domestic violence investigation and prosecution on a statewide basis. The Unit also assists in the formation, codification, dissemination, and implementation of best policies and practices in domestic violence arrest and prosecution matters. The Division's commitment to combat domestic violence is further evidenced by its work on the Connecticut Domestic Violence Docket Planning Committee, whose efforts resulted in the decision to expand formal domestic violence dockets into New Britain, New London, and Norwalk.

FINDING WORDS

Recognizing the need to develop an approach to conducting child abuse interviews that is consistent and forensically sound, the Division, in conjunction with the Governor's Task Force on Justice for Abused Children, was accepted into the American Prosecutor's Research Institute's "Finding Words—Half a Nation by 2010" project. This project, which is receiving financial support from the Department of Children and Families and the Office of Policy and Management, will bring a replicable, nationally recognized child abuse training program to Connecticut.

COLD CASE UNIT

Secured a murder conviction for a 2002 slaying and issued the state's first John Doe warrant charging a suspect based solely on a DNA profile. The successes of the Cold Case Unit helped the Division receive a federal grant to enhance its ability to solve cold cases via DNA analysis.

TIP LINE

Established a toll-free "tip line" to allow the public to confidentially report allegations of corruption at the state and

local levels of government which received over 100 calls in 2006. Calls to the toll-free number, 1-888-742-2726, are processed by the Public Integrity Bureau.

CASE PRESENTATION UNIT

Utilized federal funding to help develop the Division's new Case Presentation Unit. This specialized unit, based at the Office of the Chief State's Attorney in Rocky Hill, assists prosecutors statewide in utilizing the latest technology in trial presentations.

INFORMATION TECHNOLOGY

Acquired additional federal resources to continue the ongoing program to modernize the information technology infrastructure. This includes enhancement of the Division's ability to participate in AMBER alerts, as well as to increase the information gathering capabilities of the Public Integrity Bureau.

TRAINING PROGRAM FOR PROSECUTORS

In June 2006, the Division conducted its fourth Annual Training Program for prosecutors. Over 200 Division personnel attended an enhanced, intensive, two-day program. The Division's position on the vital importance of training is evidenced by the Training Program being mandatory for prosecutors with less than ten years experience and was affirmed by the receipt of a federal grant award in support of the annual program. Due to DCJ's recognition of training as a constant component throughout employment, a comprehensive training manual has been developed for new prosecutors and as a guide for experienced prosecutors.

Provided educational opportunities for over 40 law students through the Division's highly successful internship program during FY2006, more than doubling the number of internships available in FY2005.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Transfer Equipment to CEPF
- Remove or Limit Inflation
- Utilize FY2007 Surplus for One-time Expenditure
Funding is provided to replace holsters & magazine pouches for law enforcement personnel.
- Utilize FY2007 Surplus for One-time Expenditure
Funding is provided to replace bullet proof vests for law enforcement personnel.

2007-2008 2008-2009

-996,298	-586,178
-84,666	-168,892
-4,500	0
-54,000	0

New or Expanded Services

- Pick-Up of Expiring Grant Positions
Funding is provided for 1 Clerical, 1 Prosecutor and 3 Investigator positions previously funded through the Juvenile Accountability Block Grant (JAIBG).

2007-2008 2008-2009 2009-2010

286,107	293,887	308,840
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AGENCY SUMMARY

Personnel Summary	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	Total	Change From	Total	Change From	Total
	Authorized	2006-2007	Recommended	2007-2008	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	525	5	530	0	530
Federal Contributions	14	0	14	-2	12
Private Funds	3	0	3	0	3
<u>Other Positions Equated to Fulltime</u>					
Federal Contributions	1	0	1	0	1
Financial Summary	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	41,916,233	42,106,938	42,387,545	43,089,136	43,377,523
Other Expenses	2,594,566	2,660,746	2,615,478	2,709,914	2,615,627
<u>Capital Outlay</u>					
Equipment	34,947	996,398	100	586,278	100
<u>Other Current Expenses</u>					
Forensic Sex Evidence Exams	1,200,000	1,100,000	1,074,800	1,123,100	1,074,800
Witness Protection	447,913	457,319	447,913	466,923	447,913
Training and Education	85,351	119,200	119,908	121,703	119,908
Expert Witnesses	236,643	236,643	236,643	236,643	236,643
Medicaid Fraud Control	545,058	631,706	631,706	660,737	660,737
TOTAL - Other Current Expenses	2,514,965	2,544,868	2,510,970	2,609,106	2,540,001
TOTAL - General Fund	47,060,711	48,308,950	47,514,093	48,994,434	48,533,251
<u>Additional Funds Available</u>					
Federal and Other Activities	1,069,738	1,050,750	804,149	815,094	568,493
Private Funds	221,000	227,630	227,630	234,459	234,459
TOTAL - All Funds Net	48,351,449	49,587,330	48,545,872	50,043,987	49,336,203



CRIMINAL JUSTICE COMMISSION

AGENCY PURPOSE

The Criminal Justice Commission is an autonomous body constitutionally charged to appoint certain prosecutors of the Division of Criminal Justice. The Commission makes appointments of statutorily mandated prosecutors, as well as other prosecutors requested by the Chief State's Attorney, who is responsible for the administration of the Division of Criminal Justice. The Commission's appointment authority includes the Chief State's Attorney, Deputy Chief State's Attorneys, State's Attorneys and Deputy Assistant State's Attorneys. The Commission may also investigate complaints concerning the performance of the Chief State's Attorney, State's Attorneys, and

<http://www.cjc.state.ct.us>

others. If necessary, the Commission may remove these appointees for cause.

The terms of appointment for prosecutors required by statute are: Chief State's Attorney, five years; Deputy Chief State's Attorneys, four years and State's Attorneys, eight years.

The Commission is composed of the Chief State's Attorney and six members nominated by the Governor and appointed by the General Assembly, two of whom must be judges of the Superior Court. The Governor appoints the chairperson.

RECENT HIGHLIGHTS

The Commission appointed a Chief State's Attorney and six new Deputy Assistant State's Attorneys to serve as prosecutors throughout the Division of Criminal Justice.

The Commission, in concert with the Chief State's Attorney and State's Attorneys, conducted "Enhancing Diversity in the Division: a Roundtable Discussion" in October, 2005.

AGENCY SUMMARY

Financial Summary

	2006-2007	2007-2008		2008-2009	
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Other Expenses	500	1,000	1,000	1,000	1,000
TOTAL - General Fund	500	1,000	1,000	1,000	1,000



STATE MARSHAL COMMISSION

AGENCY PURPOSE

The State Marshal Commission is an independent agency that oversees the administration of the state marshals. The Commission consists of eight appointed members and two non-voting representatives of the state marshals.

- The Commission is the appointing authority for new state marshals.

- The Commission sets professional standards, including training requirements and minimum fees for execution and services of process.
- The Commission may remove a state marshal for cause after due notice and hearing.
- The Commission must periodically review and audit records and accounts of state marshals.

RECENT HIGHLIGHTS

The Commission conducted training that resulted in 25 State Marshals being qualified to join a team to serve orders of capias mittimus to parents that refuse to pay child support.

The Commission, with the assistance of three other agencies, organized a sweep that resulted in the arrest of 30 parents that owed a total of more than \$1 million in child support.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Transfer Equipment to CEPF
- Remove or Limit Inflation

2007-2008 2008-2009

-1,900	-3,900
-2,429	-4,904

AGENCY SUMMARY

Personnel Summary

Permanent Full-Time Positions

	2006-2007 Total Authorized	2007-2008 Change From 2006-2007	2007-2008 Total Recommended	2008-2009 Change From 2007-2008	2008-2009 Total Recommended
General Fund	4	0	4	0	4

Financial Summary

Personal Services

Other Expenses

Capital Outlay

Equipment

TOTAL - General Fund

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Personal Services	287,776	306,432	306,432	313,630	313,630
Other Expenses	113,801	115,299	112,870	116,278	111,374
Equipment	100	2,000	100	4,000	100
TOTAL - General Fund	401,677	423,731	419,402	433,908	425,104