



DEPARTMENT OF PUBLIC SAFETY

AGENCY PURPOSE

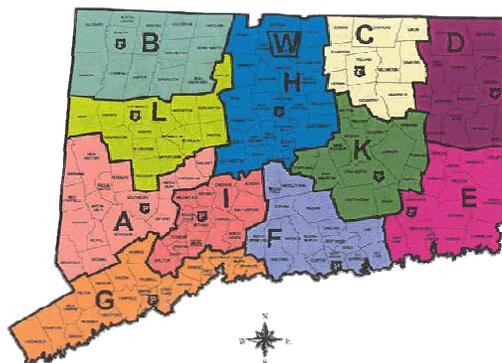
<http://www.state.ct.us/dps/>

The Connecticut Department of Public Safety is committed to protecting and improving the quality of life for all by providing enforcement, regulatory, and scientific services through prevention, education, and innovative use of technology.

In striving to accomplish our mission, we embody our core values with great PRIDE.

PROFESSIONALISM	an elite and diverse team of well trained men and women
RESPECT	for ourselves and others through our words and actions
INTEGRITY	adherence to standards and values that foster public trust
DEDICATION	to service
EQUALITY	through fair and unprejudiced application of the law.

TROOP MAP



RECENT HIGHLIGHTS

AUTOMATED FINGERPRINT IDENTIFICATION SYSTEM (AFIS)

The original Automated Fingerprint Identification System (AFIS) in the Latent Print Section was upgraded by the installation of the COGENT™ system for fingerprint and palm print searches. Over 6,000 prints were sent for searching with 522 searches resulting in "hits."

COLLECT

The development of the Connecticut Online Law Enforcement Communications Teleprocessing System (COLLECT) Revision project is ongoing. This statewide system, impacting over 15,000 users, will greatly enhance information availability to the entire state and municipality law enforcement and criminal justice community.

COMPUTER AIDED DISPATCH/RECORDS MANAGEMENT SYSTEM (CAD/RMS)

The CAD-RMS Unit is in the process of implementing a new Computer Aided Dispatch (CAD), Records Management System (RMS), and Mobile System. This project involves outfitting over 825 patrol, resident trooper, and supervisor vehicles with mobile data terminals, and new Cingular wireless modems. Personnel will be able to access COLLECT directly from their vehicles complete reports and send them wirelessly to their supervisor for approval. The first phase of was completed in July 2006.

COMPSTAT

The agency has begun a computer generated statistical analysis (COMPSTAT) of all activities to effectively deploy personnel and resources to combat criminal activity to those areas where a high

concentration of accidents has occurred. This initiative was implemented in all 12-field troops in early 2006. Commanders make periodic presentations to senior agency command staff on criminal and accident investigation activity and strategic efforts in their respective areas.

FORENSIC SCIENCE LABORATORY

Funding has been approved for Phase III of the Division of Scientific Services to be built in Meriden, Phase III will add an additional 25,000 square feet to the existing Forensic Laboratory and house the Toxicology and Controlled Substance Laboratory, as well as, Mitochondrial DNA, and part of the Computer Crimes and Electronic Evidence Laboratory.

CONNECTICUT TELECOMMUNICATIONS SYSTEM

The Connecticut Telecommunications Unit (CTS) is installing 800 Mhz radio equipment to an existing tower site in Westport to enhance coverage of the 800 Mhz radio system along the I-95 corridor. This project provides expanded ITAC/ICALL interoperability coverage to the Bridgeport, Westport, and Greenwich areas. CTS also is in the process of upgrading to the 4.1 Motorola platform to ensure that the system will be expandable for future technological advances. This project is part of a \$5.7 million state bonding allocation that also includes a new 800 Mhz radio tower site, which is scheduled for completion in the spring of 2007.

REPLACEMENT TROOPER CLASS

In July 2006, 73 recruits graduated to the rank of State Trooper Trainee.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services	2007-2008	2008-2009	
• Transfer Equipment to CEPF	-1,611,720	-1,487,807	
• Remove or Limit Inflation	-524,326	-1,052,757	
• Utilize FY2007 Carryforward for One-time Expenditure <i>Carryforward funds for helicopter maintenance costs.</i>	-535,000	0	
• Reduce Overtime Costs for Dispatchers <i>Six additional dispatchers are expected to create a savings in overtime costs for the Department.</i>	-150,215	-158,913	
Reallocations or Transfers			
• Reallocate Funding to Department of Motor Vehicles for Weigh Station Personnel Consolidation <i>Eleven Vehicle Weight and Safety Inspectors are transferred to DMV in order to consolidate all weigh station operations. 21 troopers currently working truck inspections will be redeployed to address State Police responsibilities.</i>	-511,982	-542,701	
• Redeploy Troopers from DEMHS' Terrorism Prevention Unit <i>Funding is reallocated from DEMHS to DPS as expenses for 13 troopers will no longer be reimbursed by DEMHS. These troopers will be redeployed to address State Police responsibilities.</i>	640,820	611,915	
• Add Three Mechanics for Fleet Operations <i>Costs for the positions are offset through savings in vehicle maintenance contract costs.</i>	0	0	
Revenues			
• Increase Fees for Various Building Safety Licenses and Permits <i>These fee increases are expected to generate \$1.1 million in FY2008 and \$700,000 thereafter.</i>	0	0	
New or Expanded Services			
• Add 6 Dispatchers at 5 Troops <i>Six dispatchers are added as follows: one position for Troop A, two positions for Troop B, one position for Troop D, one position for Troop I, and one position for Troop L. A savings in overtime is expected and the associated reduction has been reflected.</i>	2007-2008 256,638	2008-2009 272,036	2009-2010 288,358
• Provide Funding for 5 Durational Positions to Address the DNA Backlog <i>Five durational positions are continued in the biennium to address the DNA backlog that is expected to take another two years to eliminate. Funding is not needed beyond FY2009.</i>	338,755	346,425	0
• Add 3 Positions for Various CJIS Related Needs <i>Two positions are added to provide technical support to the Computerized Criminal History (CCH) database and LiveScan devices in the field. Also, the addition of these positions will allow for back-up of the COLLECT system. The third position will serve as the overseer of State Police Bureau of Investigation and its projects.</i>	180,426	189,447	198,919
• Implement Recommendations of the Governor's Solid Waste Hauling Advisory Group <i>Two positions are added to manage the daily activities of the solid waste hauling authority.</i>	117,889	123,784	129,973
• Add Funding for a Second Trooper Training Class in FY2008 <i>Provide additional Other Expenses costs in order for DPS to conduct a second Trooper Training class.</i>	200,000	0	0
• Redeploy 13 Troopers Currently in DEMHS' Terrorism Prevention Unit <i>Provide funding for trooper costs that will no longer be reimbursed by DEMHS as these troopers will be redeployed within DPS to address State Police responsibilities.</i>	760,581	789,486	789,486

AGENCY SUMMARY

Personnel Summary	2006-2007 Total Authorized	2007-2008 Change From 2006-2007	2007-2008 Total Recommended	2008-2009 Change From 2007-2008	2008-2009 Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	1,766	3	1,769	0	1,769
Federal Contributions	9	0	9	0	9
Private Funds	61	0	61	0	61
<u>Other Positions Equated to Fulltime</u>					
General Fund	6	0	6	0	6
Private Funds	8	0	8	0	8
Financial Summary	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Personal Services	126,792,100	124,456,886	126,232,202	125,961,035	127,734,918
Other Expenses	30,288,130	31,558,541	30,711,930	31,674,769	30,793,103
<u>Capital Outlay</u>					
Equipment	1,000	1,611,820	100	1,487,907	100
<u>Other Current Expenses</u>					
Stress Reduction	53,354	54,474	53,354	55,618	53,354
Fleet Purchase	6,706,233	7,972,524	7,831,693	8,635,757	8,351,138

Budget Summary

Workers' Compensation Claims	3,385,774	3,504,547	3,504,547	3,619,776	3,619,776
COLLECT	51,500	52,581	51,500	53,685	51,500
Urban Violence Task Force	300,000	315,000	308,700	330,750	318,018
TOTAL - Other Current Expenses	10,496,861	11,899,126	11,749,794	12,695,586	12,393,786
<u>Pmts to Other than Local Govts</u>					
Civil Air Patrol	36,758	37,530	36,758	38,318	36,758
<u>Pmts to Local Governments</u>					
SNTF Local Officer Incentive Program	238,800	243,815	238,800	248,935	238,800
TOTAL - General Fund	167,853,649	169,807,718	168,969,584	172,106,550	171,197,465
<u>Additional Funds Available</u>					
Federal and Other Activities	11,853,638	1,493,114	1,493,114	1,532,502	1,532,502
Private Funds	27,913,460	27,051,266	27,051,266	25,397,495	25,397,495
TOTAL - All Funds Net	207,620,747	198,352,098	197,513,964	199,036,547	198,127,462



POLICE OFFICER STANDARDS AND TRAINING

AGENCY PURPOSE

<http://www.post.state.ct.us/>

The Police Officer Standards and Training Council (POSTC) is responsible for the certification of all police officers, law enforcement instructors and police training programs requiring certification throughout the State of Connecticut.

- It is the primary provider of training for municipal, state agency and state university police officers in the areas of basic law enforcement, in-service law enforcement and professional development.
- It is responsible for all of the certified police training activities at the Connecticut Police Academy.
- It develops and revises a comprehensive police training and education plan, which includes approving the operations of police training schools, approving courses of study,

certifying instructors and setting minimum employment standards and certification requirements.

- It offers professional, advanced, specialized and continuing education to veteran police officers as part of the license renewal process, which requires each police officer to satisfactorily complete at least 60 hours of certified review training every three years.
- It also may revoke the certification, i.e. license, of police officers under certain statutory conditions.

RECENT HIGHLIGHTS

MUNICIPAL POLICE AGENCY ACCREDITATION PROGRAM

Police Officer Standards and Training has completed the second year of Municipal Police Agency Accreditation Program established by statute and has exceeded its goal, having conferred accreditation upon 15 agencies with 42 more agencies in the process.

TACTICAL TRAINING VILLAGE

POSTC, in conjunction with the Connecticut State Police Department and Wilcox State Technical School in Meriden, has completed construction of a four building tactical training village on the Academy grounds. Plans and funding are in place for two additional buildings.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Transfer Equipment to CEPF
- Remove or Limit Inflation

2007-2008 **2008-2009**

-35,100 -71,100

-20,588 -41,184

New or Expanded Services

- Alarm System Expansion

2007-2008 **2008-2009** **2009-2010**

0 8,822 8,822

Funds are provided under the Capital Equipment Purchase Fund for an alarm system and closed circuit television system in order to maintain security for the Connecticut Police Academy. Funds in FY2009 are included for alarm monitoring fees.

AGENCY SUMMARY

Personnel Summary

	2006-2007 Total Authorized	2007-2008 Change From 2006-2007	2007-2008 Total Recommended	2008-2009 Change From 2007-2008	2008-2009 Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	27	0	27	0	27

Financial Summary

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Personal Services	1,904,521	1,995,751	1,995,751	2,052,312	2,052,312
Other Expenses	988,847	1,023,635	993,047	1,044,231	1,001,869
<u>Capital Outlay</u>					
Equipment	1,000	35,200	100	71,200	100
TOTAL - General Fund	2,894,368	3,054,586	2,988,898	3,167,743	3,054,281
<u>Additional Funds Available</u>					
Federal and Other Activities	109,500	109,500	109,500	109,500	109,500
Private Funds	14,145	14,145	14,145	14,145	14,145
TOTAL - All Funds Net	3,018,013	3,178,231	3,112,543	3,291,388	3,177,926



BOARD OF FIREARM PERMIT EXAMINERS

AGENCY PURPOSE

The Board of Firearms Permit Examiners was established under C.G.S. 29-32b to provide a means of appeal to any person aggrieved by any refusal to issue or renew a pistol permit or certificate under the provisions of section 29-28 or 29-36f, by

<http://www.bfpe.state.ct.us/>

any limitation or revocation of a pistol permit or certificate issued under any of said sections, or by a refusal or failure of any issuing authority to furnish a pistol permit application as provided in section 29-28a through administrative hearings.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Transfer Equipment to CEPF
- Remove or Limit Inflation

<u>2007-2008</u>	<u>2008-2009</u>
-1,900	-1,600
-205	-414

AGENCY SUMMARY

Personnel Summary

Permanent Full-Time Positions

	2006-2007 Total Authorized	2007-2008 Change From 2006-2007	2007-2008 Total Recommended	2008-2009 Change From 2007-2008	2008-2009 Total Recommended
General Fund	1	0	1	0	1

Financial Summary

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Personal Services	81,001	84,161	84,161	89,197	89,197
Other Expenses	9,751	9,956	9,751	10,165	9,751
<u>Capital Outlay</u>					
Equipment	100	2,000	100	1,700	100
TOTAL - General Fund	90,852	96,117	94,012	101,062	99,048



DEPARTMENT OF MOTOR VEHICLES

AGENCY PURPOSE

<http://dmvct.org/>

- Perform public safety functions through enforcement of the statutes concerning motor vehicles and their operation.
- Issue credentials for motor vehicles, their operators and vehicle-related businesses.
- Deliver high quality, innovative services to customers.
- Impose sanctions on the credential-holders who violate laws and regulations.
- Collect revenues for the construction and maintenance of the State's highways, collect information on revenues, credentials and credential-holders, and provide it to all those with a legitimate need to know.

RECENT HIGHLIGHTS

Fiscal Year 2005-06 Fast Facts	
1.2 million	Calls to Phone Center
2.24 million	Visitors to Branch Offices
3.04 million	Registered Motor Vehicles
2.4 million	Licensed Drivers
127,800	Voters Registered
\$ 419 million	Revenue Collected by DMV

- Expanded safety inspections and compliance audits of commercial motor vehicles and businesses.
- Evaluated and established compliance requirements for the Federal Real ID Act and introduced new processes to aid in verifying the identities of motor vehicle license holders.
- Introduced new windshield registration stickers to enhance security.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Remove or Limit Inflation
- Delay Vision Screening Requirement on Renewal of Drivers License for Two Years
Vision Screening implementation is delayed for two years from July 1, 2007 to a new effective date of July 1, 2009.

	<u>2007-2008</u>	<u>2008-2009</u>
	-339,681	-687,507
	-1,108,421	-1,121,561

Within Current Services

- Adjust Authorized Position Count to Reflect OCE Positions
Adjustment for the 5 positions from Insurance Enforcement Account

	0	0
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Revenues

- Increase Safety Plate Fee
Increases fee from \$5 to \$10 for total revenue generation of \$2,450,145 in FY2008 and FY2009
- Increase Abandoned Motor Vehicle Filing Fees
Increases fee from \$5 to \$20 for total revenue generation of \$169,005 in FY2008 and FY2009.
- Revenue for Proposed Weigh Station/Truck Safety Consolidation to DMV
Based on the increase in full-time shifts split between the 5 stations and the 9 Roving Teams, the total additional revenue generated for the Special Transportation Fund is estimated to be \$500,000.

	0	0
	0	0
	0	0

New or Expanded Services

- Motor Carrier Safety Improvement Act (MCSIA) Commercial Driver History Review
Funding is provided for 23 durational positions in FY08 and 19 in FY09 to comply with the Motor Carrier Safety Improvement Act Commercial Driver History Review mandate.
- Consolidate All Weigh Station Personnel to DMV
Funding is provided for 17 total positions (11 transferred from the Department of Public Safety and 6 new positions) in order to designate all weigh station operation, personnel, responsibility and vigilance to the DMV.
- Personal Property/Car Tax Relief- Revenue Plan Implementation
Funding is provided for start-up costs due to the implementation of the Car Tax Plan.

	<u>2007-2008</u>	<u>2008-2009</u>	<u>2009-2010</u>
	662,400	547,200	160,663
	952,190	920,726	975,032
	500,000	0	0

AGENCY SUMMARY

Personnel Summary	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	Total	Change From	Total	Change From	Total
	Authorized	2006-2007	Recommended	2007-2008	Recommended
<u>Permanent Full-Time Positions</u>					
Special Transportation Fund	642	22	664	0	664
Auto Emissions	88	0	88	0	88
Special Funds, Non-Appropriated	3	0	3	0	3
Federal Contributions	24	0	24	0	24
<u>Other Positions Equated to Fulltime</u>					
Special Transportation Fund	112	0	112	0	112
Financial Summary	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	41,229,294	42,778,665	44,222,063	43,829,764	45,204,822
Other Expenses	15,984,313	16,501,199	16,274,184	16,825,620	16,270,993
<u>Capital Outlay</u>					
Equipment	996,425	830,767	909,091	966,136	966,136
<u>Other Current Expenses</u>					
Insurance Enforcement	659,785	673,640	659,785	687,786	659,785
Commercial Veh Info Sys & Networks Project	283,000	288,943	283,000	295,011	283,000
Vision Screening Program	0	1,108,421	0	1,121,561	0
Personal Property Tax Relief	0	0	500,000	0	0
TOTAL - Other Current Expenses	942,785	2,071,004	1,442,785	2,104,358	942,785
TOTAL - Special Transportation Fund	59,152,817	62,181,635	62,848,123	63,725,878	63,384,736
<u>Additional Funds Available</u>					
Federal and Other Activities	1,659,573	1,659,573	1,659,573	1,659,573	1,659,573
Auto Emissions	8,126,872	8,435,009	8,435,009	8,671,333	8,671,333
Special Funds, Non-Appropriated	565,012	588,881	588,861	613,813	613,813
TOTAL - All Funds Net	69,504,274	72,865,098	73,531,566	74,670,597	74,329,455



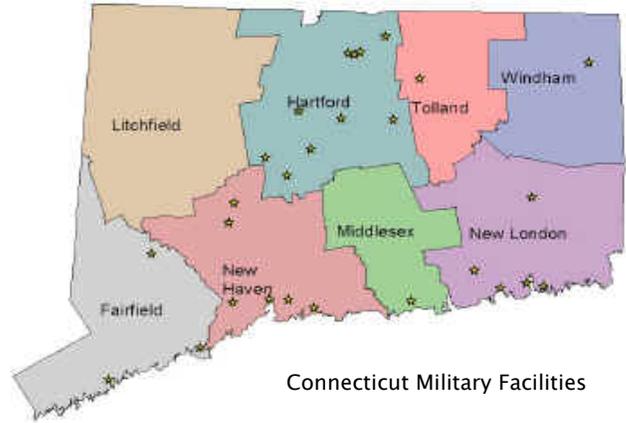
MILITARY DEPARTMENT

AGENCY PURPOSE

<http://www.mil.state.ct.us/>

The Military Department is composed of the Connecticut Army National Guard, Air National Guard and the State Militia.

- The state mission is to provide trained and disciplined forces for domestic emergencies or as otherwise required by law.
- The federal mission is to maintain properly trained and equipped units available for prompt mobilization for war or national emergencies.
- Collectively, the Connecticut Army and Air National Guard and the organized militia augment federal, state, and local emergency responders in the event of large-scale emergencies and disasters, providing trained military personnel, military facilities, equipment and supplies.



RECENT HIGHLIGHTS

TROOP DEPLOYMENT

- Deployed over 1,000 Connecticut National Guard soldiers and airmen to the Middle East, Afghanistan and Germany in support of the Global War on Terror. Deployed units included the 143rd Combat Service Support Battalion, the 102nd Infantry Battalion, the 643rd and 134th Military Police Companies, the 1048th Transportation Company, the 126th and 185th Aviation Companies, the 192nd Engineer Battalion, and the 1109th Aviation Classification Repair Activity Depot.
- Within the past year the 143rd Area Support group, the 208th personnel company, B&D companies of the 189th aviation, the OSACOM flight detachment and the 141st Medical Company returned from their tours of duties in Iraq.

BASE REALIGNMENT AND CLOSURE (BRAC) PLAN

- Developed the long range Base Realignment and Closure (BRAC) Plan for the Joint Services in Connecticut, not including the Active Navy or Air Guard Facilities. The plan calls for building 2 joint reserve centers in the Waterbury/Newtown and Middletown areas.

WEAPONS OF MASS DESTRUCTION CIVIL SUPPORT

- Staffed, trained and certified as fully operational a Weapons of Mass Destruction (WMD) Civil Support Team to plan and execute military assistance to local and state authorities at domestic WMD incident sites.

CONSTRUCTION PROJECTS

- Completed construction of the new military fire station located at Stones Ranch Military Reservation, East Lyme.
- Completed construction for the new military working dog facility located at Newtown Military Reservation, Newtown.
- Completed construction of a new training site post maintenance warehouse at Camp Rell.
- Completed replacement construction of a new facility at the Air Control Squadron complex in Orange.

OTHER INITIATIVES

- Provided over \$1 million in federal support to Connecticut's drug interdiction and demand-reduction activities through the CT National Guard Counter drug Program.
- Implemented plans for the Combat Zone Military Bonus Program, the Military Family Relief Fund and the Volunteer Service Program per PA 05-03.
- Assisted the Department of Veterans' Affairs in the design, acquisition and distribution of the Connecticut Veterans Medal and Ribbon.
- Supported communities through training and community service programs, including STARBASE, Counter drug and Drug Demand Reduction programs.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Transfer Equipment to CEPF
- Remove or Limit Inflation

Within Current Services

- Provide Operating Resources for New Facilities

The expansion and renovation of the Southington Readiness Center is scheduled for completion in FY2008. Construction of the East Lyme Readiness Center is scheduled for completion in FY2009.

Reallocations or Transfers

	<u>2007-2008</u>	<u>2008-2009</u>
Reductions to Current Services	-223,150	-215,150
Within Current Services	-78,402	-123,089
Reallocations or Transfers	18,270	223,014

- Reallocate Funding to Achieve HVAC Efficiencies

0 0

The adjustment reallocates \$10,500 from Other Expenses to Personal Services to hire one 25% state funded HVAC Quality Control Worker in lieu of more costly contractual services.

New or Expanded Services**2007-2008 2008-2009 2009-2010**

- Pick-Up Expiring Federal Funds for Security

272,251 363,001 363,001

Funds are provided to support security costs at the Hartford Armory and Camp Hartell due to decreased Department of Defense federal funds.

- Enhance Safety and Maintenance at the Armories and Bradley Air Base

46,550 46,550 46,550

Funds are provided to support 2 additional positions to improve maintenance at the armories and strengthen safety in the operation of liquid fuel systems at the Bradley Air Base.

AGENCY SUMMARY

Personnel Summary	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	Total Authorized	Change From 2006-2007	Total Recommended	Change From 2007-2008	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	48	2	50	1	51
Federal Contributions	50	0	50	0	50
<u>Other Positions Equated to Fulltime</u>					
General Fund	1	0	1	0	1
Federal Contributions	4	0	4	0	4
Financial Summary	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	3,195,414	3,305,697	3,362,747	3,436,702	3,493,752
Other Expenses	2,720,962	2,808,485	2,998,543	3,030,566	3,273,537
<u>Capital Outlay</u>					
Equipment	1,000	224,150	1,000	216,150	1,000
<u>Other Current Expenses</u>					
Firing Squads	306,803	326,209	319,500	333,059	319,500
Veterans' Service Bonuses	500,000	250,000	250,000	250,000	250,000
TOTAL - Other Current Expenses	806,803	576,209	569,500	583,059	569,500
TOTAL - General Fund	6,724,179	6,914,541	6,931,790	7,266,477	7,337,789
<u>Additional Funds Available</u>					
Federal and Other Activities	12,591,612	12,765,593	12,765,593	13,218,551	13,218,551
Private Funds	35,310	37,076	37,076	38,928	38,928
TOTAL - All Funds Net	19,351,101	19,717,210	19,734,459	20,523,956	20,595,268



COMMISSION ON FIRE PREVENTION & CONTROL

AGENCY PURPOSE

<http://www.ct.gov/cfpc>

- Reduce death, injury and property damage due to fire, emergencies and other man-made or natural disasters by increasing the proficiency of firefighters through training, education and certification.
- Serve as the central advocate for the fire service within state and federal government.
- Provide technical assistance, guidance and resource services to the fire and emergency services community.
- Raise the fire and life safety awareness level of the public.

RECENT HIGHLIGHTS

- Delivered 528 training programs which served 9,785 students and resulted in 155,213 contact hours. The increase of 186 course deliveries is directly tied to aiding municipalities with National Incident Management System (NIMS) compliance.
- Administered 3,325 certification examinations, with a passing rate of 89%.
- Increased by 10% local fire department participation in the Statewide Fire Rescue Disaster Response Plan, which provides for the systematic mobilization, deployment, organization and management of fire resources throughout the state.
- Academy dormitory rooms were occupied an equivalent of 6,065 room nights during FY2006.
- Continued administration of the 800-FIRE-LINE program, encouraging volunteer recruitment and retention.
- Continued support for the Regional Foam Trailer program and statewide Honor Guard initiative.
- Continued enhancement of communication efforts in FY 2006 in which a total of 677 messages were disseminated via the agency's electronic mail list serve.
- Continued administration of a Supplemental Grant Program providing nearly \$100,000 to eligible volunteer fire companies.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2007-2008</u>	<u>2008-2009</u>
• Transfer Equipment to CEPF	-275,800	-353,400
• Remove or Limit Inflation	-14,542	-28,583
• Adjust Funding Related to Payments to Volunteer Fire Companies	-100,000	-100,000

Funding for Payments to Volunteer Fire Companies is adjusted to the actual expenditure level.

AGENCY SUMMARY

Personnel Summary

	2006-2007 Total Authorized	2007-2008 Change From 2006-2007	2007-2008 Total Recommended	2008-2009 Change From 2007-2008	2008-2009 Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	18	0	18	0	18
Private Funds	1	0	1	0	1

Financial Summary

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Personal Services	1,581,512	1,632,884	1,632,884	1,687,862	1,687,862
Other Expenses	834,104	846,634	732,092	860,675	732,092
<u>Capital Outlay</u>					
Equipment	100	275,900	100	353,500	100
<u>Pmts to Other than Local Govts</u>					
Fire Training School - Willimantic	160,537	160,537	160,537	160,537	160,537
Fire Training School - Torrington	84,250	84,250	84,250	84,250	84,250
Fire Training School - New Haven	43,127	43,127	43,127	43,127	43,127
Fire Training School - Derby	36,850	36,850	36,850	36,850	36,850
Fire Training School - Wolcott	59,643	59,643	59,643	59,643	59,643
Fire Training School - Fairfield	66,850	66,850	66,850	66,850	66,850

Budget Summary

Fire Training School - Hartford	80,965	80,965	80,965	80,965	80,965
Fire Training School - Middletown	49,260	49,260	49,260	49,260	49,260
Payments to Volunteer Fire Companies	100,000	100,000	100,000	100,000	100,000
Fire Training School - Stamford	55,000	55,000	55,000	55,000	55,000
TOTAL - Pmts to Other than Local Govts	<u>736,482</u>	<u>736,482</u>	<u>736,482</u>	<u>736,482</u>	<u>736,482</u>
TOTAL - General Fund	3,152,198	3,491,900	3,101,558	3,638,519	3,156,536
<u>Additional Funds Available</u>					
Private Funds	1,459,811	1,459,811	1,459,811	1,459,811	1,459,811
TOTAL - All Funds Net	<u>4,612,009</u>	<u>4,951,711</u>	<u>4,561,369</u>	<u>5,098,330</u>	<u>4,616,347</u>



DEPARTMENT OF BANKING

AGENCY PURPOSE

<http://www.ct.gov/dob>

- Protect Connecticut consumers and investors.
- Administer the state's banking and related laws.
- Ensure the safety and soundness of regulated depository institutions.

RECENT HIGHLIGHTS

The Government Relations and Consumer Affairs Division increased its focus on educating the public through outreach. During FY2006, department staff gave informative talks and presentations on topics including credit repair, fraud, banking scams and identity theft. In cooperation with the Governor's Office, the Department was part of the Identity Theft Information Team which conducted a series of identity theft prevention seminars for veterans throughout the state.

The trend for the establishment of new banks continued during FY2006. The Financial Institutions Division opened one state-chartered bank, The Darien Rowayton Bank, in Darien. Three state-chartered banks are in various stages of organization: Higher One Bank, New Haven; The Bank of Greenwich, Greenwich; and Quinnipiac Bank & Trust Company, Hamden.

As a result of increased enforcement focus, the Consumer Credit Division was involved in approximately 50 enforcement actions resulting in civil penalties of \$18,000.

The Securities and Business Investments Division serves Connecticut citizens by providing a local enforcement presence to protect investors from securities fraud. During 2005-06, the division imposed \$3,642,943 in fines for violations of the state's securities and business opportunity laws.

The Department is striving to be more innovative in the terms of its settlements by directing portions of settlement funds to assistance programs, investor education and financial literacy programs. As an example, in 2005 Ameriquest Mortgage

Company was required to contribute funding to the Connecticut Housing Assistance Fund.

The Department successfully coordinated the migration of its Web site to the CT.gov portal. The new Web site was launched in September 2005 with re-organized pages and new content for improved customer service, including a new on-line Consumer Assistance form and consumer information and education pages.

RETURNED OVER \$1.2 MILLION TO CONSUMERS AND INVESTORS

The Government Relations and Consumer Affairs Division obtained \$640,289 in adjustments and reimbursements on behalf of consumers during the fiscal year, while assisting consumers with 2,613 written complaints and 15,511 telephone inquiries.

The public received restitution of approximately \$130,000 related to penalties imposed upon licensees by the Consumer Credit Division as part of the examination process.

Securities Division intervention resulted in the return of \$345,406 to the investing public.

The agency's security deposit investigator resolved 265 landlord tenant disputes during FY2006 and recovered \$75,950.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Remove or Limit Inflation
- Achieve Efficiencies

2007-2008 **2008-2009**

-42,601 -86,091

-170,000 -311,880

Through a line item reduction in other expenses and a deferral of the purchase of replacement equipment.

New or Expanded Services

- IT – Licensing System

2007-2008 **2008-2009** **2009-2010**

206,000 0 0

An additional \$100,000 will be carried forward from the Department's FY07 appropriation to fund the the new licensing system.

- Facilities Upgrade

1,000,000 0 0

AGENCY SUMMARY

Personnel Summary

Permanent Full-Time Positions

	2006-2007 Total Authorized	2007-2008 Change From 2006-2007	2007-2008 Total Recommended	2008-2009 Change From 2007-2008	2008-2009 Total Recommended
Banking Fund	129	0	129	0	129

Financial Summary

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Personal Services	9,620,427	10,388,853	10,388,853	10,805,361	10,805,361
Other Expenses	2,029,675	2,034,344	2,797,743	2,077,883	1,841,792

Capital Outlay

Equipment	23,500	100,100	330,100	161,980	100
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Other Current Expenses

Fringe Benefits	4,928,421	5,918,251	5,918,251	6,079,741	6,079,741
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Indirect Overhead	234,140	234,139	234,139	234,139	234,139
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TOTAL - Other Current Expenses	<u>5,162,561</u>	<u>6,152,390</u>	<u>6,152,390</u>	<u>6,313,880</u>	<u>6,313,880</u>
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TOTAL - Banking Fund	<u>16,836,163</u>	<u>18,675,687</u>	<u>19,669,086</u>	<u>19,359,104</u>	<u>18,961,133</u>
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Additional Funds Available

Private Funds	27,555	0	0	0	0
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TOTAL - All Funds Net	<u>16,863,718</u>	<u>18,675,687</u>	<u>19,669,086</u>	<u>19,359,104</u>	<u>18,961,133</u>
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INSURANCE DEPARTMENT

AGENCY PURPOSE

<http://www.ct.gov/cid>

- To protect the consumer by administering and enforcing the insurance laws and regulations in the most responsive and cost effective manner.
- To ensure financial reliability and responsibility of all licensed entities while maintaining an open, competitive market.

RECENT HIGHLIGHTS

In an effort to be more responsive to consumer needs and to improve overall communication within the Department, during the past fiscal year, the Insurance Department created the new Consumer Services Division. The Consumer Services Division consists of the Consumer Affairs Unit, the Market Conduct Unit and the Insurance Fraud Unit.

CONSUMER AFFAIRS UNIT

The Consumer Affairs Unit receives, reviews and responds to complaints and inquiries from Connecticut residents concerning insurance-related problems. During FY2006, 5,887 formal complaints were logged into the Unit's computer database. As a result of the review of these complaints, the Unit recovered \$3,274,837 for Connecticut consumers in FY2006. Additionally, the unit mailed 1,370 informational pamphlets and booklets. Consumer Affairs also conducted a number of outreach programs designed to focus on, respond to and educate consumers on insurance matters. During FY2006, the outreach programs continued to focus on senior groups, variable annuity sales to seniors, small business owners, health fairs and medical providers.

CRIS (CONNECTICUT REGULATORY INFORMATION SYSTEM)

During FY2006, development of the department's new automated Connecticut Regulatory Information System (CRIS) continued. Document imaging is a central component of this system, and as of the end of the fiscal year, over 13 months of consumer complaint files were totally electronic. Also during

this year, development of the web component of CRIS began. This component will allow (via the internet) online submission of complaints, online updating of license records, online application for licenses, as well as other features. Implementing the system's web component and completing the automation of all divisions within the Insurance Department are the goals for the next fiscal year.

LEGAL DIVISION

The Legal Division, during FY2006, promulgated two regulations and assisted department divisions in 90 administrative enforcement proceedings or stipulated settlements that resulted in the assessment of \$932,372 in fines and penalties. The division also supported 37 insurance rate hearings and participated in two hearings under the Connecticut Insurance Holding Act regarding the merger or acquisition of control of a Connecticut domiciled insurer.

FINANCIAL REGULATION DIVISION

The Financial Regulation Division received a successful interim accreditation review for the year ending December 2005. A separate unit has been established within the Financial Regulation Division, solely responsible for the monitoring and licensing of foreign insurance entities doing business in the state. The division completed review and approval of three mergers or acquisitions of control of Connecticut domiciled insurers and actively supervised four Connecticut financially distressed domestic insurers during the year with none going into liquidation.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2007-2008</u>	<u>2008-2009</u>
• Remove or Limit Inflation	-49,044	-98,870
• Utilize FY2007 Carryforward for FY2008 Expenditure <i>The agency is using a 2007 carryforward to purchase a new phone system.</i>	-225,000	0
• Utilize FY2007 Carryforward for FY2008 Expenditure <i>The agency is using a 2007 carryforward to purchase imaging software that is compatible with the new web-based computer system.</i>	-125,000	0
• Achieve Efficiencies through a reduction in other expenses	-149,003	-149,003

AGENCY SUMMARY

Personnel Summary

Permanent Full-Time Positions

	2006-2007 Total Authorized	2007-2008 Change From 2006-2007	2007-2008 Total Recommended	2008-2009 Change From 2007-2008	2008-2009 Total Recommended
Insurance Fund	149	0	149	0	149

Financial Summary

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Personal Services	12,401,200	12,658,588	12,658,588	13,206,743	13,206,743
Other Expenses	2,380,570	2,499,657	2,301,610	2,386,485	2,138,612
<u>Capital Outlay</u>					
Equipment	135,500	144,500	144,500	134,500	134,500
<u>Other Current Expenses</u>					
Fringe Benefits	6,810,094	7,162,835	7,162,835	7,398,610	7,398,610
Indirect Overhead	76,960	150,000	150,000	175,000	175,000
TOTAL - Other Current Expenses	6,887,054	7,312,835	7,312,835	7,573,610	7,573,610
TOTAL - Insurance Fund	21,804,324	22,615,580	22,417,533	23,301,338	23,053,465
<u>Additional Funds Available</u>					
Private Funds	200,000	210,000	210,000	220,000	220,000
TOTAL - All Funds Net	22,004,324	22,825,580	22,627,533	23,521,338	23,273,465



OFFICE OF CONSUMER COUNSEL

AGENCY PURPOSE

The Office of Consumer Counsel (OCC) is the State of Connecticut's statutory advocate for all utility ratepayers. OCC seeks to ensure just and reasonable rates and reliable utility service for customers of Connecticut's electric, gas, telephone, and water utilities and reasonable protections for cable television customers. OCC's advocacy includes the promotion of beneficial policies for ratepayers, such as the conservation of energy resources. OCC participates actively in proceedings before the

<http://www.ct.gov/occ>

Connecticut Department of Public Utility Control (DPUC), the Federal Energy Regulatory Commission (FERC), the Federal Communications Commission (FCC), and state and federal courts. OCC works to advance ratepayer concerns at the U.S. Congress and the Connecticut General Assembly.

The OCC is a party to all contested matters before the DPUC and is authorized to appeal state regulatory decisions to court.

RECENT HIGHLIGHTS

SAVINGS TO CONNECTICUT RATEPAYERS

OCC achieved over \$219 million in direct savings to Connecticut ratepayers in FY2006 through its legal advocacy in DPUC dockets, for an electric utility company's multi-year rate increases, the setting of electricity rates for 2006, and a gas utility company's rate case.

LITIGATION

For the second year in a row, OCC won a Connecticut Supreme Court decision in a telephone case, receiving an award of nearly \$3 million in consumer refunds by arguing that the company

violated state law requiring that quality of service be maintained during a strike. OCC actively participated in settlement negotiations as to the FERC's Locational Installed Capacity (LICAP) proceeding, which replaces the expensive LICAP proposal with an auction market approach at approximately half the LICAP cost. OCC continues representing ratepayer interests on numerous boards such as the Energy Conservation Management Board (ECMB), the Connecticut Energy Advisory Board (CEAB) and the Low Income Energy Advisory Board (LIEAB), as well as a member of the Participants Committee of the ISO-New England.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Remove or Limit Inflation

2007-2008 2008-2009

-10,698 -21,323

Reallocations or Transfers

- Governor's Energy Initiative – Reallocate DPUC Functions to the Office of the Consumer Counsel

1,055,132 1,088,828

Expand Office functions and transfer 7 positions to handle consumer complaints and interface with utilities on behalf of consumers.

AGENCY SUMMARY

Personnel Summary

	2006-2007 Total Authorized	2007-2008 Change From 2006-2007	2007-2008 Total Recommended	2008-2009 Change From 2007-2008	2008-2009 Total Recommended
<u>Permanent Full-Time Positions</u>					
Energy Policy and Regulatory Fund	17	7	24	0	24

Financial Summary

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Personal Services	1,246,280	1,422,273	2,029,756	1,482,485	2,113,848
Other Expenses	501,652	512,350	591,093	522,975	589,261
<u>Capital Outlay</u>					
Equipment	34,750	25,200	30,424	22,700	27,719
<u>Other Current Expenses</u>					
Fringe Benefits	679,866	794,090	1,138,958	817,666	1,174,387
Indirect Overhead	173,912	236,127	244,243	236,127	244,243
TOTAL - Other Current Expenses	853,778	1,030,217	1,383,201	1,053,793	1,418,630
TOTAL - Energy Policy and Regulatory Fund	2,636,460	2,990,040	4,034,474	3,081,953	4,149,458



DEPARTMENT OF PUBLIC UTILITY CONTROL

AGENCY PURPOSE

- To ensure that safe, reliable, and fairly priced utility services are available throughout Connecticut.
- To balance the public's need for adequate utility service at reasonable rates with the providers' right to earn a reasonable return on their investment in those industries still wholly regulated.
- To design and oversee competitive utility service (telephone, commercial/industrial natural gas and electric service) with equity among the competitors while affording customers the economic benefit of competition along with adequate customer service.
- To assure that cable franchises are awarded and operated according to statute and regulation and that customer service is being provided in a timely and courteous manner.
- To perform management audits of public service companies.
- To perform research and analysis to provide data and support for adjudicatory functions.
- To monitor and enforce utility safety requirements.
- To counter-balance utility companies' rate increase requests with an independently prepared, presented and defended opposing case.

<http://www.state.ct.us/dpuc/>

RECENT HIGHLIGHTS

ENERGY INITIATIVES

- Continued to effect the requirements the Energy Independence Act to ensure a supply of power and reduce customers' costs, including approval of projects for long-term clean-energy generation and Capital Grants to private businesses that install distributed generation.
- Developed a program to solicit bids for long-term measures to reduce the state's federally mandated congestion costs.
- Worked with regional and federal entities regarding electric utility issues
- Continued to coordinate efforts among electric utilities regarding capacity needs and focused on methods to reduce the possibility of shortages of electricity in southwestern Connecticut.
- Was a signatory to a Settlement at the Federal Energy Regulatory Commission that produced a significant reduction in the amount of federal charges on customers' bills.
- Revised the application process to make it easier for companies that offer competitive electric supply to register as approved providers.
- Affected a mechanism to bring environmentally friendly power to Connecticut's electric ratepayers.

- Expanded capability to receive filings from case participants via the internet to further reduce cost and staff time.

CABLE TV AND TELECOMMUNICATIONS

- Ruled that the provision of video services (television programming) via the internet does not constitute cable TV service, thereby it is not under the jurisdiction of this Department, providing another source of competition with cable TV providers.
- Continued to review basic cable TV rates to ensure compliance with federal mandates.
- Revoked the licenses of telecommunications providers that do not comply with state requirements.

CONSUMER SERVICE

- Expanded the ability to accept customer contacts via the internet.
- Implementing a language translation service to better assist non-English-speaking customers.
- Expanded the provision of e-mail service to case participants to further reduce the Department's costs.
- Pursued Consumer Education Outreach activities regarding electric restructuring and energy conservation.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Reduce Personal Services and Fringe Benefits to Affect Economies
- Remove or Limit Inflation
- Governor's Energy Initiative – Reduce Number of Agency Commissioners

2007-2008 2008-2009

-127,500	-132,500
-35,585	-71,795
-256,000	-265,500

Reallocations or Transfers

- Governor's Energy Initiative – Transfer Functions to the Department of Energy & the Office of the Consumer Counsel

-9,949,386	-10,267,089
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Streamline the agency to be responsible for only those regulatory actions necessary in approving rates or tariffs for public utilities (electric and gas) and responsibilities relating to both water and telecommunication utilities.

AGENCY SUMMARY

Personnel Summary	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	Total	Change From	Total	Change From	Total
	Authorized	2006-2007	Recommended	2007-2008	Recommended
<u>Permanent Full-Time Positions</u>					
Energy Policy and Regulatory Fund	136	-67	69	0	69
Siting Council	10	0	10	0	10
Financial Summary	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	11,397,000	11,802,520	5,832,826	12,348,883	6,146,631
Other Expenses	1,702,115	1,737,700	858,820	1,773,910	876,088
<u>Capital Outlay</u>					
Equipment	113,212	101,500	52,243	97,500	50,184
<u>Other Current Expenses</u>					
Fringe Benefits	5,992,915	6,700,296	3,308,682	6,979,175	3,468,707
Indirect Overhead	72,609	157,691	81,165	157,691	81,165
Nuclear Energy Advisory Council	2,200	2,500	0	2,500	0
TOTAL - Other Current Expenses	6,067,724	6,860,487	3,389,847	7,139,366	3,549,872
TOTAL - Energy Policy and Regulatory Fund	19,280,051	20,502,207	10,133,736	21,359,659	10,622,775
<u>Additional Funds Available</u>					
Siting Council	2,175,859	2,178,524	2,178,524	2,367,419	2,367,419
Federal and Other Activities	385,667	405,627	405,627	429,785	429,785
TOTAL - All Funds Net	21,841,577	23,086,358	12,717,887	24,156,863	13,419,979



DEPARTMENT OF ENERGY

AGENCY PURPOSE

In an effort to streamline and centralize the energy planning and policy efforts of the State, the Governor establishes the new Department of Energy to tackle energy issues that affect both Connecticut's industries and residents. The new agency will incorporate energy-related personnel and resources that the State has in order to better prepare itself for the changing energy environment.

The Department of Energy will take a proactive stance to plan and prepare for the next energy crisis and bring together resources to minimize the effect such events can have on the State.

The new department will consist of 70 industry funded positions; 59 existing positions will come from the Department of Public Utility Control, and 10 existing positions will come from the Office of Policy and Management energy unit. A new Commissioner position will be established to head the agency.

RECOMMENDED SIGNIFICANT CHANGES

Reallocations or Transfers

- Governor's Energy Initiative – Reallocation of Utility Policy Function From the DPUC
- Governor's Energy Initiative – Reallocation of the OPM Energy Unit to the new Department of Energy

2007-2008 2008-2009

8,894,255	9,178,261
839,114	1,135,287

New or Expanded Services

- Governor's Energy Initiative – Establish a Commissioner Position for the New Department of Energy
- Governor's Initiative – Utilize FY2007 Surplus – Electric Conservation Incentive Program

2007-2008 2008-2009 2009-2010

230,000	230,000	242,000
0	0	0

Provide \$30 million in surplus funding for an incentive program to residents to actively undertake conservation and efficiency improvements in their households that will permanently reduce their usage of electricity.

AGENCY SUMMARY

Personnel Summary

	2006-2007 Total Authorized	2007-2008 Change From 2006-2007	2007-2008 Total Recommended	2008-2009 Change From 2007-2008	2008-2009 Total Recommended
<u>Permanent Full-Time Positions</u>					
Energy Policy and Regulatory Fund	0	70	70	3	73

Financial Summary

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Personal Services	0	0	6,073,675	0	6,571,126
Other Expenses	0	0	769,505	0	754,068
<u>Capital Outlay</u>					
Equipment	0	0	44,033	0	42,298
<u>Other Current Expenses</u>					
Fringe Benefits	0	0	3,006,746	0	3,106,646
Indirect Overhead	0	0	68,410	0	68,410
Nuclear Energy Advisory Board	0	0	1,000	0	1,000
TOTAL - Other Current Expenses	0	0	3,076,156	0	3,176,056
TOTAL - Energy Policy and Regulatory Fund	0	0	9,963,369	0	10,543,548
<u>Additional Funds Available</u>					
Federal and Other Activities	0	0	924,197	0	924,197
TOTAL - All Funds Net	0	0	10,887,566	0	11,467,745



OFFICE OF THE HEALTHCARE ADVOCATE

AGENCY PURPOSE

To assist health insurance consumers with plan selection, understanding their rights and responsibilities, appealing denials of service and reimbursement, and accessing services through information, referral and assistance.

<http://www.ct.gov/oha>

To provide information to the public, providers, agencies, and others regarding problems and concerns of health care consumers and make recommendations to resolve those concerns

RECENT HIGHLIGHTS

- Office of the Healthcare Advocate (OHA) experienced continued growth in consumer caseloads: 1,083 (2003), 1,597 (2005), and projects 2,022 in calendar year 2006.
- In 2006 OHA added the position of General Counsel.
- The agency has communicated with 264,000 Connecticut businesses – providing flyers designed to notify employees of the availability of OHA services.
- The agency conducts outreach & education sessions for community groups, and presents workshops for professionals and their associations.
- In 2005, OHA saved consumers nearly \$1.5 million in insurance claim or medical treatment dollars when claims that had been denied by insurance companies were reversed on appeal or intervention.
- Satisfaction Survey results show that more than 92% of respondents would call OHA again if they experienced another problem with their insurance company, and 87% consider OHA service “Valuable” or “Very Valuable.”
- OHA participates in the national Health Assistance Partnership, the National Association of Insurance Commissioners, and the Council of State Governments Eastern Regional Conference (ERC) Health Steering Committee -- among others.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Remove or Limit Inflation

2007-2008 2008-2009

-2,939 -5,941

AGENCY SUMMARY

Personnel Summary

Permanent Full-Time Positions

	2006-2007 Total Authorized	2007-2008 Change From 2006-2007	2007-2008 Total Recommended	2008-2009 Change From 2007-2008	2008-2009 Total Recommended
Insurance Fund	4	0	4	0	4

Financial Summary

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Personal Services	387,193	346,965	346,965	366,722	366,722
Other Expenses	141,971	144,910	141,971	147,912	141,971
<u>Capital Outlay</u>					
Equipment	1,200	1,333	1,333	1,333	1,333
<u>Other Current Expenses</u>					
Fringe Benefits	140,528	201,240	201,240	216,366	216,366
Indirect Overhead	19,643	23,000	23,000	25,000	25,000
TOTAL - Other Current Expenses	160,171	224,240	224,240	241,366	241,366
TOTAL - Insurance Fund	690,535	717,448	714,509	757,333	751,392



DEPARTMENT OF CONSUMER PROTECTION

AGENCY PURPOSE

To prevent the diversion of all controlled drugs by regulating the manufacture, distribution and sale of drugs, cosmetics and medical devices.

To prevent the sale of alcoholic liquor to minors and intoxicated persons and to ensure that licensed premises are safe and sanitary by regulating the distribution, sale, and dispensation of alcoholic liquor.

To ensure that 211,000 people or businesses in 208 trades and professions are qualified to offer their services and/or products.

To ensure the accuracy of all weighing and measuring devices to provide a fair and equitable marketplace for both buyer and seller.

To protect both consumers and businesses from unfair, deceptive or unsafe practices through consumer education, mediation, arbitration and enforcement activities.

<http://www.ct.gov/dcp/>

RECENT HIGHLIGHTS

LIQUOR CONTROL DIVISION

During FY2006, the Department's Liquor Control Division, in partnership with local and state law enforcement officers and youths provided by the Connecticut Coalition to Stop Underage Drinking, conducted 693 compliance checks throughout the state. Of the total number of stores checked for compliance, 138 failed by selling alcoholic liquor to a minor. The number of stores checked for compliance increased by 47% from the previous year.

HEATING FUEL INVESTIGATIONS

Pursuant to a 100% increase in the number of complaints about high fuel prices from the previous year, the Department of Consumer Protection worked to protect Connecticut citizens from financial loss in this area by: requiring propane dealers to register with the agency; increasing inspections of regulated entities; investigating consumer complaints; and, imposing penalties for the violation of fuel retail laws. Two heating fuel dealers were arrested during FY2006 as a result of this increased enforcement effort.

HOME IMPROVEMENT CONTRACTORS

In its continued efforts to protect consumers from unscrupulous home improvement contractors operating in Connecticut, the Department conducted multi-agency sting operations. As a result, 115 home improvement contractors were cited for violations and the agency received an unprecedented number of requests for new applications. Furthermore, \$2.2 million in restitution was paid to Connecticut consumers from the Home Improvement Guaranty Fund during FY2006.

DRUG CONTROL DIVISION

With the assistance of a federal grant, the Department successfully developed requirements for a prescription monitoring program that could be used by physicians, pharmacists and law enforcement personnel in Connecticut. This software application would allow the agency's Drug Control Division to monitor the distribution of controlled substance prescription drugs, identify

patterns of abuse and initiate enforcement action where necessary.

IDENTITY THEFT INFORMATION TEAM

In response to the May 2006 theft of personal data from the U. S. Veterans Administration, the Department participated in a special Identity Theft Information Team created by the Governor. This multi-agency group conducted informational seminars for veterans throughout the State of Connecticut so that they could protect themselves from identity theft. The Department also disseminated press releases, website postings and other educational materials on identity theft for veterans.

DEPARTMENT'S FOOD & STANDARDS DIVISION

As a result of an Item Pricing/Unit Pricing Task Force, the Department's Food & Standards Division will initiate a new program designed to promote price accuracy in retail establishments.

The Department took a lead role in recalls of adulterated food products and continues to work under a formal agreement with the United States Department of Agriculture Food Safety Inspections Service on meat and poultry recalls in Connecticut.

SPECIALIZED TRAINING PROGRAMS

The Department continued expansion and improvement of its specialized educational programs to include the training of 2,495 law enforcement officers, pharmacists and health care providers in public health and safety topics such as the identification of narcotic drugs, the signs and symptoms of drug abusers, new drug laws and prevention of prescription errors. The agency also provided specialized training to more than 400 police officers, retailers and community members on state liquor laws and regulations.

The agency began posting consumer education information on its website in both Spanish and English.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2007-2008</u>	<u>2008-2009</u>
• Transfer Equipment to CEPF	-129,650	-123,745
• Remove or Limit Inflation	-29,953	-60,085
• Reduced personal services and other expenses, and deferred the purchase of replacement equipment to achieve efficiencies	-316,656	-312,683

AGENCY SUMMARY

Personnel Summary	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	Total Authorized	Change From 2006-2007	Total Recommended	Change From 2007-2008	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	147	0	147	0	147
Private Funds	26	1	27	0	27
<u>Other Positions Equated to Fulltime</u>					
General Fund	2	0	2	0	2
Federal Contributions	2	0	2	-2	0
Financial Summary	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	9,935,385	10,222,488	10,102,274	10,429,133	10,307,942
Other Expenses	1,508,407	1,582,537	1,390,542	1,598,536	1,378,409
<u>Capital Outlay</u>					
Equipment	0	164,150	100	155,295	100
<u>Other Current Expenses</u>					
Child Protection Registration	50,000	0	0	0	0
TOTAL - General Fund	11,493,792	11,969,175	11,492,916	12,182,964	11,686,451
<u>Additional Funds Available</u>					
Federal and Other Activities	295,000	246,500	246,500	47,500	47,500
Private Funds	3,353,817	3,458,421	3,458,420	3,639,549	3,639,549
TOTAL - All Funds Net	15,142,609	15,674,096	15,197,836	15,870,013	15,373,500



DEPARTMENT OF LABOR

AGENCY PURPOSE

The mission of the Department of Labor is to protect and promote the interests of Connecticut's workers and assist workers and employers to be competitive in the global economy.

The department accomplishes its mission by providing a variety of services that benefit the workplace. These services include: income support that assists workers between jobs and stimulates the local economy; protection on the job (through regulation of wages, safety and working conditions, and on-site health and safety consultations); work-related training programs; job search and recruitment assistance (through the Connecticut Job Bank and local and regional job fairs); tax credit incentive programs and maintenance of the collective bargaining relationship. As the Connecticut arm of the U.S. Bureau of Labor Statistics, the department collects, analyzes and disseminates workforce data to inform businesses, the general public, educational institutions, and government planners and policymakers about employment issues and trends.

The services provided by DOL and the resulting successes of individuals using those services are heavily impacted by Connecticut's unemployment rate. As the chart indicates, Recent Highlights

TANF JOB REORGANIZATION

DOL was appropriated \$6.5 million to address the impact of the federal Deficit Reduction Act (DRA), which was effective October 1, 2006. The DRA requires that Connecticut effectively double the number of Jobs First Employment Services participants who must meet the Temporary Assistance to Needy Families (TANF) work participation rate while implementing strict verification procedures. States that fail to comply with these requirements are subject to significant fiscal penalties.

The \$6.5 million is being used to address the DRA by increasing the number of JFES activities that meet the federal work participation requirements while assisting JFES participants become more successful in the workforce and meet the needs of the state's employers. The funding provides:

- \$3.2 million for vocational skills education combined with adult basic education
- \$2.5 million for subsidized employment
- \$700,000 for expanded employment services
- \$100,000 transferred to DSS to subsidize the Employment Success Program

Internet Claims Filing System

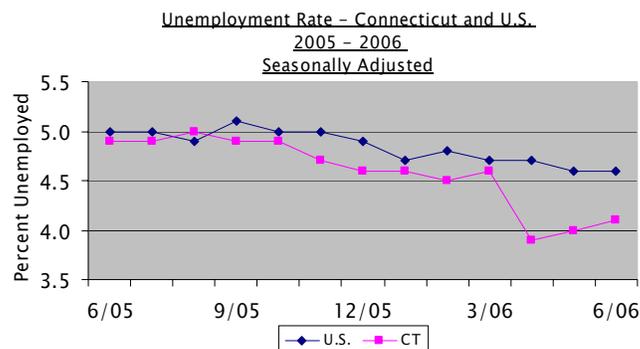
As of January 2006 the department has been offering a new online system, in both English and Spanish, for those who need to apply for unemployment compensation insurance. The user-friendly technology allows unemployed workers to initiate a claim for unemployment benefits and file weekly claims by visiting the agency's Web site at www.ct.gov/dol.

This new feature is the next step in our goal to better help unemployed workers get back to work as quickly as possible. Our goal is to take a proactive approach, which means ensuring that critical benefit payments are made and focusing on the employment services we offer to workers who have lost their jobs.

Connecticut is one of the few states that offer online claim filing in both English and Spanish. Although the new system has just recently been made available to the public, approximately 30 percent of those filing for unemployment benefits are utilizing the Internet to file a weekly continued claim or to use the new "inquiry" feature that allows a person to research their benefit claim record and print out that claim record for their own use.

<http://www.ctdol.state.ct.us/>

Connecticut's non-farm employment has been below the national average for most of 2005 - 2006. Since June of 2005, Connecticut has regained over 32,000 jobs.



The Internet claims filing system is quick and secure and offers flexibility to those using the new technology.

BUILDING PARTNERSHIPS

The department focuses on building partnerships with other community service organizations to better serve the diverse needs of Connecticut's residents and businesses. Two events that were held this year are:

Pump Up Your Potential, a Hartford community event that was held last April at the Salvation Army in the city's north end and attracted more than 300 people. Sponsored by the Connecticut Department of Labor, Salvation Army, Capital Workforce Partners and Job Corps, the full-day event gave local residents an opportunity to attend workshops on education and career training and meet with representatives from state and local agencies about job and family issues. As a result of the event, new customers visited the *CTWorks Centers* to meet with career counselors and attend workshops.

Connecticut Learns and Works Conference was held in May 2006. The conference was held in conjunction with the Connecticut Career Resource Network, a partnership between the Departments of Labor and Education. More than 275 job developers, career development specialists, members of the business community, school counselors and teachers attended the conference this year.

ONLINE APPOINTMENT CAPABILITY FOR VETERANS

DOL's veterans' website now features automated appointment capability for veterans. Individuals wishing to make an appointment for career counseling or job search assistance with the department can now do so from the convenience of their own home, via the Internet. All they need to do is turn on their computer, log in, and visit www.ctvets.org.

The additional capability of services beyond normal business hours enables veterans to simply fill out an online appointment request indicating the subject they have questions about, select the office they want to visit, then leave the rest to us.

Representatives from the Office for Veterans Workforce Development will take care of all the follow-up work and contact individuals or companies that would like to find out more about services and programs available to veterans.

Budget Summary

Veterans can use the new automated appointment request system worldwide, too. This is one more step toward making services accessible to all veterans.

CT DOL SPEAKERS' BUREAU

DOL is committed to supporting and participating in public education programs which are designed to increase understanding and meaningful participation in DOL programs; increase compliance with laws administered and enforced by DOL; and provide critical labor market information to employers, workers and workforce development professionals.

The speakers' bureau has a diverse group of agency experts who are prepared to speak on a wide range of topics relating to employment law compliance, worker health and safety, labor market information, workforce development, unemployment insurance, and other issues in the department's jurisdiction. The speaker's bureau can help find a speaker who will be appropriate to their audience and who can provide important information useful to them and their organization.

A new website, developed to help promote the program, can be found at www.ctdol.state.ct.us/speakers. Employers, trade associations, chambers of commerce, labor organizations and

other groups interested in having a DOL professional speak to their group about any of the potential topics listed on the site can simply complete an online request form.

GIFT OF OPPORTUNITY SYMPOSIUM

Discovering Abilities, Building Futures was the theme of the second annual "Gift of Opportunity" symposium that Connecticut employers were invited to attend. This free symposium took place in December of 2005 and featured Ted Kennedy, Jr. as keynote speaker. Sponsored by Aetna and DOL, the event also featured panel discussions with experts in disability issues along with testimony from employers who have hired workers with disabilities and how these employees have benefited the company. Over 300 employers registered for the symposium.

Nearly 400,000 people in Connecticut live with a disability. Studies show that many managers have discovered that the average cost of hiring a person with a disability is the same as hiring a person without one. This symposium was geared toward helping employers realize that the benefits of hiring a person with a disability can bring a big payoff toward the success of a company.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Transfer Equipment to CEPF
- Remove or Limit Inflation
- Eliminate STRIDE Program

2007-2008 **2008-2009**

-229,636 -234,567
-540,087 -1,091,489
-150,000 -150,000

The Skills, Transitional support, Respect, Integrity, Direction and Employment (STRIDE) program is a collaborative initiative between various departments and Quinebaug Valley Community College in the eastern part of the state to provide incarcerated and paroled individuals with employment skills. This proposal removes funding for the STRIDE program.

- Eliminate Opportunity Industrial Centers

-500,000 -500,000

The five Opportunity Industrial Centers (OIC's) deliver services to individuals with barriers to employment. This proposal removes funding for the Opportunity Industrial Centers.

- Eliminate Incumbent Worker Training

-500,000 -500,000

Funds were provided to the Regional Workforce Investment Boards for workplace-based skill training of incumbent workers. This proposal removes funding for the incumbent worker training initiative.

Within Current Services

- Adjust Authorized Position Count to Reflect OCE Positions

0 0

The Department of Labor's position count is increased by 108 positions to reflect those positions that are funded within Other Current Expense (OCE) accounts.

- Maintain Current Operations Through Use of Federal Reed Act Funds

0 0

In order to maintain current operations and offset federal funding reductions, the Department of Labor will utilize Reed Act funds for administrative functions and to modernize its information technology infrastructure. Currently, \$74 million in Reed act funds is available. Under this proposal, DOL will utilize \$28 million over the biennium.

AGENCY SUMMARY

Personnel Summary

	2006-2007	2007-2008	2007-2008	2008-2009	2008-2009
	Total Authorized	Change From 2006-2007	Total Recommended	Change From 2007-2008	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	124	108	232	0	232
Employment Security Admin Fund	652	0	652	0	652
Private Funds	9	2	11	0	11
<u>Other Positions Equated to Fulltime</u>					
Employment Security Admin Fund	11	0	11	0	11

2007-2008 2007-2008 2008-2009 2008-2009

Financial Summary

	2006-2007 Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	8,396,026	8,390,252	8,390,252	8,512,443	8,512,443
Other Expenses	1,192,659	1,542,155	1,517,684	1,573,931	1,524,500
<u>Capital Outlay</u>					
Equipment	2,000	230,636	1,000	235,567	1,000
<u>Other Current Expenses</u>					
Workforce Investment Act	27,287,659	25,895,848	25,895,848	25,895,848	25,895,848
Jobs First Employment Services	16,088,098	16,495,314	16,219,096	16,896,212	16,337,976
Opportunity Industrial Centers	500,000	510,500	0	521,220	0
Individual Development Accounts	250,000	255,250	250,000	260,610	250,000
STRIDE	150,000	153,150	0	156,366	0
Apprenticeship Program	516,176	634,274	633,603	656,057	654,700
Connecticut Career Resource Network	150,000	162,319	161,398	166,613	164,752
21st Century Jobs	1,000,000	1,020,482	1,000,532	1,042,276	1,001,957
TANF Job Reorganization	6,500,000	8,867,940	6,500,000	9,059,222	6,500,000
Incumbent Worker Training	500,000	510,500	0	521,220	0
TOTAL - Other Current Expenses	52,941,933	54,505,577	50,660,477	55,175,644	50,805,233
TOTAL - General Fund	62,532,618	64,668,620	60,569,413	65,497,585	60,843,176
<u>Other Current Expenses</u>					
Occupational Health Clinics	671,470	686,138	673,450	688,606	674,587
TOTAL - Workers' Compensation Fund	671,470	686,138	673,450	688,606	674,587
<u>Additional Funds Available</u>					
Employment Security Admin Fund	91,174,000	86,032,339	86,032,339	88,476,041	88,476,041
Federal and Other Activities	27,343	23,600	23,600	24,400	24,400
Special Funds, Non-Appropriated	194,506	196,204	196,204	197,772	197,772
Private Funds	1,703,943	1,329,245	1,329,245	1,369,377	1,369,377
TOTAL - All Funds Net	156,303,880	152,936,146	148,824,251	156,253,781	151,585,353



OFFICE OF THE VICTIM ADVOCATE

AGENCY PURPOSE

<http://www.ova.state.ct.us/>

- Monitors and evaluates the provision of services to crime victims and the enforcement of victims' rights in Connecticut.
- Receives complaints from crime victims regarding the provision of rights and services and may investigate such complaints.
- Files an appearance in any court proceeding to advocate for victims' rights when it is alleged that such rights have been or are being violated.
- Advances policies throughout the state that promote the fair and just treatment of victims throughout the criminal justice process.
- Provides oversight and advocacy when the criminal justice system fails crime victims.
- Ensures that the voices of crime victims play a central role in Connecticut's response to violence and those victimized by crime.

RECENT HIGHLIGHTS

FORMAL INVESTIGATIONS INITIATED

- Several domestic violence related homicide cases.
- Several identity theft cases.
- Harassment case by a convicted rapist of his victim through the abuse of our court system.
- Victims' compensation issues.
- Early release of inmates on reentry furloughs and transitional supervision.
- Maintenance of the Sex Offender Registry and the enforcement of registration requirements of those required to register under law as a sex offender.

"IDENTITY THEFT—A GUIDE FOR CONNECTICUT CITIZENS"

Developed and published "Identity Theft—A Guide for Connecticut Citizens" to help educate the public with respect to identity theft—what is ID theft, how to avoid becoming a victim, and what to do if you are victimized. The Victim Advocate was appointed to serve on the Governor's Identity Theft Advisory Board.

MELANIE ILENE REIGER CONFERENCE ON VIOLENT CRIME

Co-sponsored the 10th Annual Melanie Ilene Reiger Conference on Violent Crime. The Victim Advocate served as the moderator for the criminal justice panel.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Remove or Limit Inflation

2007-2008 **2008-2009**
 -1,099 -2,218

AGENCY SUMMARY

Personnel Summary

Permanent Full-Time Positions

	2006-2007 Total Authorized	2007-2008 Change From 2006-2007	2007-2008 Total Recommended	2008-2009 Change From 2007-2008	2008-2009 Total Recommended
General Fund	4	0	4	0	4

Financial Summary

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Personal Services	301,679	312,519	312,519	325,272	325,272
Other Expenses	51,912	53,011	51,912	54,130	51,912
<u>Capital Outlay</u>					
Equipment	500	100	100	100	100
TOTAL - General Fund	354,091	365,630	364,531	379,502	377,284



COMMISSION ON HUMAN RIGHTS & OPPORTUNITIES

AGENCY PURPOSE

To enforce human rights laws that prohibit illegal discrimination in employment, housing, public accommodations and credit transactions

<http://www.state.ct.us/chro>

To monitor compliance with state contract compliance laws and with laws requiring affirmative action in the state government
To provide education to the public regarding the protections afforded by Connecticut's civil rights laws

RECENT HIGHLIGHTS

- Enhanced reliance upon task force methodologies to address institutional and systemic patterns or practice of illegal discrimination.
- During FY 2006 known settlements and awards at public hearing netted over \$3.1 million for complainants.
- In July of 2005, due in part to a formal protest filed by the Commission, ABC television cancelled a scheduled reality show called "Welcome to the Neighborhood."

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Transfer Equipment to CEPP
- Remove or Limit Inflation

2007-2008 2008-2009

0	-2,000
-14,747	-27,351

AGENCY SUMMARY

Personnel Summary

Permanent Full-Time Positions

	2006-2007 Total Authorized	2007-2008 Change From 2006-2007	2007-2008 Total Recommended	2008-2009 Change From 2007-2008	2008-2009 Total Recommended
General Fund	100	0	100	0	100

Financial Summary

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Personal Services	6,988,211	7,011,608	7,011,608	7,315,393	7,315,393
Other Expenses	711,887	613,696	598,949	594,400	567,049
<u>Capital Outlay</u>					
Equipment	1,000	1,000	1,000	3,000	1,000
<u>Other Current Expenses</u>					
Martin Luther King, Jr. Commission	6,650	6,650	6,650	6,650	6,650
TOTAL - General Fund	7,707,748	7,632,954	7,618,207	7,919,443	7,890,092



OFFICE OF PROTECTION AND ADVOCACY FOR PERSONS WITH DISABILITIES

AGENCY PURPOSE

<http://www.state.ct.us/opapd/>

To protect and advance the civil and human rights of people with disabilities by:

- Investigating and exposing patterns of discrimination and abuse of rights.
- Pursuing legal and administrative remedies for violations of rights.

- Providing information, referral, technical assistance and training to help empower individuals and groups to effectively advocate for themselves.
- Working with advocacy groups, service systems and communities to develop effective safeguards against discrimination and abuse.

RECENT HIGHLIGHTS

- Launched initiative to ensure people with disabilities are fully included in emergency preparedness planning.
- Implemented an agreement settling litigation concerning rights of mentally ill inmates housed in maximum security environments.
- Prevailed before Federal Court of Appeals in actions seeking investigative access to special education facility and fatality review records relevant to investigating deaths in mental health facilities.
- Investigated or monitored investigations of 1,060 allegations of abuse and neglect of adults with mental retardation, 15 of which involved investigating allegations that abuse or neglect led to death.
- Supported activities of Fatality Review Board for Persons with Disabilities, including publication of selected investigation reports.

- Provided information, referrals and advice concerning rights and services to 7,003 people with disabilities and/or family members and associates.
- Provided individual case advocacy to 512 persons with disabilities who experienced difficulties exercising civil and legal rights.
- Provided technical assistance, training and support to 11 community-based disability advocacy organizations.
- Significantly improved usefulness of website, updating and e-publishing self-help guides covering ten specific issue areas; distributed over 6,500 publications.
- Developed new interagency agreement with DMR detailing protocols for investigating and addressing instances of suspected abuse and neglect.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Transfer Equipment to CEPF
- Remove or Limit Inflation

	<u>2007-2008</u>	<u>2008-2009</u>
	-10,904	-16,945
	-8,197	-16,569

AGENCY SUMMARY

Personnel Summary

Permanent Full-Time Positions

	2006-2007 Total Authorized	2007-2008 Change From 2006-2007	2007-2008 Total Recommended	2008-2009 Change From 2007-2008	2008-2009 Total Recommended
General Fund	33	0	33	0	33
Federal Contributions	17	0	17	0	17

Financial Summary

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Personal Services	2,188,761	2,259,329	2,259,329	2,354,956	2,354,956
Other Expenses	392,882	401,079	392,882	409,451	392,882

Capital Outlay

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Equipment	100	11,904	1,000	17,945	1,000
TOTAL - General Fund	2,581,743	2,672,312	2,653,211	2,782,352	2,748,838

Additional Funds Available

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Federal and Other Activities	1,627,558	1,539,449	1,539,449	1,539,449	1,539,449
TOTAL - All Funds Net	4,209,301	4,211,761	4,192,660	4,321,801	4,288,287



OFFICE OF THE CHILD ADVOCATE

AGENCY PURPOSE

<http://www.ct.gov/oca>

The Office of the Child Advocate (OCA) is an independent state agency established in 1995 to protect the civil, legal and special rights of the children of Connecticut, and to advance policies throughout the state that promote their well-being and best interests.

The mission of the Office of the Child Advocate is to oversee the protection and care of Connecticut's children and to advocate for their well-being.

Statutory responsibilities include evaluating the procedures for and the delivery of services to children by state agencies or other entities that receive public funding.

RECENT HIGHLIGHTS

CITIZEN INQUIRIES

During the reporting period, OCA responded to about 1,500 inquiries from citizens who had questions or concerns regarding children and their needs. Common inquiries include calls related to foster care, child protection investigations, quality of court-appointed representation to parents and children in juvenile court matters, special education, children's mental health, and juvenile justice.

SUPPORT FOR CHILDREN WITH DISABILITIES

OCA launched an initiative regarding children with disabilities and complex medical conditions in an effort to develop better support and services for children and their families. The agency's investigative reports have found a fractured system and a number of instances where children with disabilities are not receiving quality care.

CONNECTICUT JUVENILE TRAINING SCHOOL

OCA continues to monitor the care and treatment of boys at the Connecticut Juvenile Training School, as well as other congregate care setting for children. Examples of such child-caring facilities include hospitals, residential treatment facilities, shelters, and group homes.

TEEN DATING VIOLENCE PREVENTION SUMMIT

OCA hosted the Governor's Teen Dating Violence Prevention Summit with over 300 students in attendance. Teen dating violence is an increasing problem in Connecticut. The purpose of the summit was to kindle discussions about what healthy relationships are and determining ways to promote awareness about teen dating violence.

SCHOOL DISCIPLINARY REMOVAL STUDY

The OCA will soon complete a study of kindergarten through third grade school suspensions and expulsions. Since 2001, there has been a substantial increase in disciplinary school removals. OCA will be making recommendations to address the high incidence of early school removals among K-3 students.

LEGISLATIVE ADVOCACY

OCA has focused on several areas of concern with the Legislature including mental health care, children with developmental disabilities without mental retardation, and children transitioning into adulthood.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Transfer Equipment to CEPF
- Remove or Limit Inflation

2007-2008 2008-2009

-4,500 -1,500
-2,976 -6,011

AGENCY SUMMARY

Personnel Summary

Permanent Full-Time Positions

	2006-2007 Total Authorized	2007-2008 Change From 2006-2007	2007-2008 Total Recommended	2008-2009 Change From 2007-2008	2008-2009 Total Recommended
General Fund	10	0	10	0	10

Financial Summary

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Personal Services	800,665	790,846	790,846	826,699	826,699
Other Expenses	128,264	147,240	144,264	150,275	144,264

Capital Outlay

Equipment	500	5,500	1,000	2,500	1,000
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Other Current Expenses

Child Fatality Review Panel	79,509	82,494	82,494	84,917	84,917
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TOTAL - General Fund	1,008,938	1,026,080	1,018,604	1,064,391	1,056,880
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Additional Funds Available

Federal and Other Activities	17,100	0	0	0	0
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Private Funds	20,000	20,000	20,000	0	0
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TOTAL - All Funds Net	1,046,038	1,046,080	1,038,604	1,064,391	1,056,880
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WORKERS' COMPENSATION COMMISSION

AGENCY PURPOSE

<http://wcc.state.ct.us>

The Workers' Compensation Commission:

- Administers the workers' compensation laws of the State of Connecticut.
- Adjudicates and resolves disputes arising from the workers' compensation process.
- Promotes safety in the workplace.

- Retrains permanently injured employees to enable them to return to the workforce.
- Educates employees and employers on their rights and responsibilities under the law.
- Reviews applications for managed care plans.
- Certifies self-insurance applications.

RECENT HIGHLIGHTS

INFORMAL HEARING DOCKET ACTIVITY

Rose 10% in FY2006, but the Commission maintained vigilant control of docketing Informal hearings within four weeks of receiving the initial hearing request. By implementing a new hearing cancellation policy in early 2006, the District Offices further reduced lost docket time by filling available slots with emergency hearings and stipulations, in an ongoing endeavor to resolve claimant's issues in the most expedient manner possible. With over 47,000 Informal hearings held in FY2006, the Workers' Compensation Commissioners continue to perform their core function of adjudicating claims by resolving more than 90% of disputed issues at the lowest (Informal) hearing level.

IT MIGRATION OF THE WORKERS' COMPENSATION SYSTEM

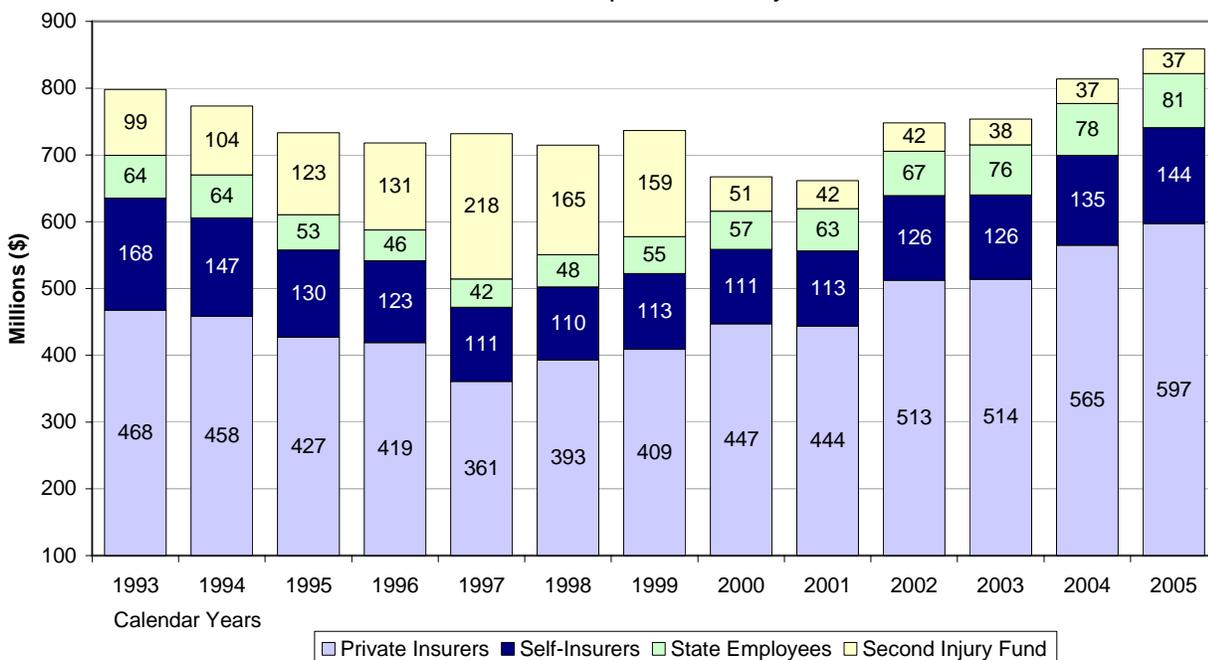
A collaborative effort with DOIT to modernize and secure the Commission's 12-year old WCC Claims Application System. The goal is to develop a new three-tiered architecture, web-based system, which complies with State of CT Enterprise-wide

Technological Architecture (EWTA) Standards. Compliance with DOIT's mandate will ensure the portability, compatibility, reusability, and scalability of the agency's application.

SAFETY AND HEALTH PROGRAMS

Continue to expand throughout the state as Connecticut's industrial makeup shifts from a goods-producing to a service-providing economy. In FY2006, the Commission's Safety Program Officers visited 1,589 employers. To date, the Commission has approved more than 5,000 safety and health committees at 16,838 employer sites covering more than 850,750 employees. For nearly 20 years, the Commission has presented awards for excellence and innovation in the area of safety and health to companies who implement a worksite health and safety program to reduce work-related injuries. Working together, employers and employees have been rewarded by decreased workers' compensation costs, increased productivity, and improved morale.

Workers' Compensation Payouts



RECOMMENDED SIGNIFICANT CHANGES

<i>Reductions to Current Services</i>	<u>2007-2008</u>	<u>2008-2009</u>
• Remove or Limit Inflation	-56,118	-113,397
• Achieved Efficiencies within the Criminal Justice Fraud Unit	-54,199	-55,336
• Reduce Personal Services <i>Eliminate funding for summer workers and 2 per diem commissioners.</i>	-111,839	-111,839
• Reduce Other Expense <i>Shifting of other expense funding to coincide with current timeline for the Commission's computer system conversion.</i>	-630,766	601,234
• Reduce Equipment <i>Shifting of equipment funding to coincide with current timeline for the Commission's computer system conversion.</i>	-151,049	138,000
• Utilize FY2007 Carryforward for FY2008 Expenditure <i>Provide funding through carryforward to convert the Commission's current computer application to a web based system.</i>	-400,000	0

AGENCY SUMMARY

Personnel Summary

	2006-2007 Total Authorized	2007-2008 Change From 2006-2007	2007-2008 Total Recommended	2008-2009 Change From 2007-2008	2008-2009 Total Recommended
<i>Permanent Full-Time Positions</i>					
Workers' Compensation Fund	131	0	131	0	131

Financial Summary

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Personal Services	8,919,320	9,490,423	9,506,184	9,767,893	9,791,980
Other Expenses	2,673,547	4,739,942	3,653,058	2,824,048	3,311,885
<i>Capital Outlay</i>					
Equipment	51,250	260,092	109,043	169,020	307,020
<i>Other Current Expenses</i>					
Criminal Justice Fraud Unit	530,837	541,985	487,786	553,366	498,030
Rehabilitative Services	2,061,704	2,661,704	2,395,534	2,717,600	2,445,840
Fringe Benefits	5,460,432	5,512,275	5,512,275	5,589,685	5,589,685
Indirect Overhead	338,613	965,850	965,850	986,133	986,133
TOTAL - Other Current Expenses	8,391,586	9,681,814	9,361,445	9,846,784	9,519,688
TOTAL - Workers' Compensation Fund	20,035,703	24,172,271	22,629,730	22,607,745	22,930,573



EMERGENCY MANAGEMENT AND HOMELAND SECURITY

AGENCY PURPOSE

The Department of Emergency Management and Homeland Security (DEMHS) is charged to develop, administer and coordinate a comprehensive statewide Emergency Management and Homeland Security Program that encompasses all human-

made and natural hazards, and includes prevention, mitigation, preparedness, response and recovery components to ensure the safety and well-being of the citizens of Connecticut.

<http://www.ct.gov/demhs/>

RECENT HIGHLIGHTS

Revised and Updated Connecticut's Natural Disaster and Consequence Management Plans

NIMS COMPLIANCE

In September of 2005, Governor Rell signed and executed Executive Order Number Ten, establishing the National Incident Management System (NIMS) as the standard system within the State of Connecticut for the management of domestic incidents that affect the health, welfare, safety and security of the state's citizens.

REGIONAL PLANNING AND PREPAREDNESS BOUNDARIES

A key component to achieving the objectives of the Governor's Executive Order Ten (NIMS Compliance) is the establishment of emergency planning and preparedness regions that would effectively coordinate planning, training and response across all emergency management disciplines.

REGIONAL EVACUATION PLANS

Evacuation and Sheltering/Mass Care planning began in early November 2005. At that time, Governor Rell directed DEMHS to work in conjunction with the Department of Public Safety and the Department of Transportation to develop evacuation and mass sheltering plans for Connecticut. DEMHS developed 5 Regional Evacuation Plans which describe the concept of operations plans, evacuation routes, shelter locations, local hazard assessments and sheltering needs for persons with disabilities and the elderly.

PUBLIC EMERGENCY PREPAREDNESS EDUCATION

DEMHS, in conjunction with the Governor's Office and the Department of Public Health, prepared and distributed in fourteen major Sunday newspapers throughout the state, a brochure entitled "Connecticut Guide to Emergency Preparedness." These twelve-page, four-color resource manuals were distributed to nearly 840,000 Connecticut households. Also, in August 2006, DEMHS partnered with CPTV and other media groups to promote public awareness on emergency management and homeland security.

UPGRADE EMERGENCY OPERATIONS CENTER

In 2006, a major upgrade of the Emergency Operations Center (EOC) in Hartford was complete. Phones, computers and workspaces were all upgraded. A new geospatial lab was also

added to the existing EOC operations, and high-end desk tops have been ordered.

EXERCISES AND DRILLS

Since January 2005, DEMHS has conducted or participated in five hurricane exercises, two radiological exercises, one ice storm drill and one Millstone host community drill.

This allowed DEMHS to assess Connecticut's preparedness and response capabilities. In addition we also participated in a national exercise in April 2005, Top OFF III. To further the goals of preparedness, the agency assisted in over 45 local exercises around the state.

CHILD SAFETY AND CRISIS RESPONSE COMMITTEE

This committee reconvened to address the need for school, local and state integration and coordination of communication, training and practice/exercise of emergency and crisis response plans for children.

AVIATION SECURITY COMMITTEE

This committee, which meets quarterly, has overseen the site visits to over 400 airports in the state, the promotion of an "Airport Watch" program and provided safety tips to over 12,000 licensed pilots living in Connecticut.

INTELLIGENCE CENTER FOR CONNECTICUT

Federal, state and local officials work side by side to analyze and track down intelligence leads. Information is shared with local law enforcement agencies across the state on a regular basis.

URBAN SEARCH AND RESCUE TEAM

The agency has a 140 member team trained and prepared to respond to any emergency that might occur within the state. This team has the ability to assist any community in the time of disaster.

GRANT MANAGEMENT

As part of the FFY2006 Homeland Security Grant application, DEMHS facilitated a comprehensive assessment of Connecticut's abilities as they relate to the National Response Plan and its Target Capabilities. DEMHS was successful in its competition for funding and was awarded \$13.5M in Homeland Security Grant Program Funds for FFY2006.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2007-2008</u>	<u>2008-2009</u>
• Transfer Equipment to CEPF	-49,310	-120,250
• Remove or Limit Inflation	-11,665	-23,612

Reallocations or Transfers

• Reallocate Funds to Add 12 Staff for Terrorism Prevention	-640,820	-611,915
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Reallocate funds back to DPS as DEMHS will no longer be reimbursing for 13 troopers. Remaining funds that were used to reimburse DPS for use of troopers are reallocated within DEMHS in order to add 12 staff and associated costs.

New or Expanded Services

	<u>2007-2008</u>	<u>2008-2009</u>	<u>2009-2010</u>
• Continue Monthly Stipend to Local Municipalities for Urban Search and Rescue	96,000	96,000	96,000
• Provide Funds for Required Medical Testing for Urban Search and Rescue HazMat Personnel	20,000	20,000	20,000
• Provide Funds for Required Medical Screening for Urban Search and Rescue Volunteers	25,000	25,000	25,000

AGENCY SUMMARY

Personnel Summary

	2006-2007 Total Authorized	2007-2008 Change From 2006-2007	2007-2008 Total Recommended	2008-2009 Change From 2007-2008	2008-2009 Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	46	12	58	0	58
Federal Contributions	28	0	28	0	28
Private Funds	6	0	6	0	6

Financial Summary

	2006-2007 Estimated	2007-2008 Current Services	2007-2008 Total Recommended	2008-2009 Current Services	2008-2009 Total Recommended
Personal Services	3,991,472	4,035,995	3,305,671	4,115,403	3,421,984
Other Expenses	570,511	582,176	601,015	594,123	593,015
<u>Capital Outlay</u>					
Equipment	100	49,410	100	120,350	100
TOTAL - General Fund	4,562,083	4,667,581	3,906,786	4,829,876	4,015,099
<u>Additional Funds Available</u>					
Federal and Other Activities	63,051,300	29,989,857	29,989,857	22,822,378	22,822,378
Private Funds	3,012,036	2,922,363	2,922,363	2,922,363	2,922,363
TOTAL - All Funds Net	70,625,419	37,579,801	36,819,006	30,574,617	29,759,840