

GENERAL GOVERNMENT

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GOVERNOR'S OFFICE

AGENCY DESCRIPTION

The Governor is an elected constitutional officer whose responsibilities include: executive direction and supervision of the general administration of the state; appointments of

various officials; presentation of budget recommendations to the General Assembly; and approval or veto of legislation passed by the General Assembly.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2009-2010</u>	<u>2010-2011</u>
• Remove or Limit Inflation	-9,801	-16,680
• Rollout FY2009 Rescissions	-9,673	-9,673
• Eliminate Vacant Positions	-66,000	-66,000
• OE/OCE General Reductions	-5,979	-5,979
• Additional Reduction to Other Expenses	-95,000	-95,000

AGENCY PROGRAMS

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	32	5	0	37	37	35	37	35

Agency Programs by Total Funds

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Overall Direct & Supv of State	2,808,886	3,479,048	3,910,169	3,568,676	3,392,024	3,927,437	3,579,001	3,402,349
TOTAL Agency Programs - All Funds Gross	2,808,886	3,479,048	3,910,169	3,568,676	3,392,024	3,927,437	3,579,001	3,402,349
Less Turnover	0	0	0	-31,892	-31,892	0	-31,892	-31,892
TOTAL Agency Programs - All Funds Net	2,808,886	3,479,048	3,910,169	3,536,784	3,360,132	3,927,437	3,547,109	3,370,457
<u>Summary of Funding</u>								
General Fund Net	2,804,574	3,479,048	3,910,169	3,536,784	3,360,132	3,927,437	3,547,109	3,370,457
Bond Funds	4,312	0	0	0	0	0	0	0
TOTAL Agency Programs - All Funds Net	2,808,886	3,479,048	3,910,169	3,536,784	3,360,132	3,927,437	3,547,109	3,370,457

OVERALL DIRECTION AND SUPERVISION OF THE STATE

Statutory Reference

C.G.S. Section 3-1

Statement of Need and Program Objectives

To direct and supervise the operation of state government.

Program Description

The Governor is responsible for the executive direction and supervision of the general administration of the state.

The office provides staff assistance and liaison in all areas of state government administration and direction for agency programs and policies.

The cornerstone on which the Governor sets the fiscal policies is the submission of budget recommendations to the General Assembly. In odd-numbered years, the Governor makes operating and capital budget recommendations for the biennium and in even-numbered years, recommends adjustments to the biennial budget as necessary.

The Governor appoints commissioners to state agencies and appoints members of boards and commissions, trustees and other officials.

The Governor also has the power to approve, or veto, legislation passed by the General Assembly, including the authority to veto appropriation line items. A two-thirds vote by each house of the General Assembly is required to override any veto.

Budget-in-Detail

Personnel Summary

Permanent Full-Time Positions	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	32	5	0	37	37	35	37	35

Financial Summary

(Net of Reimbursements)	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	2,381,601	2,805,199	3,251,941	2,877,892	2,811,892	3,251,941	2,877,892	2,811,892
Other Expenses	236,995	470,749	446,861	480,417	369,770	453,804	480,417	369,770
<u>Capital Outlay</u>								
Equipment	0	0	1,100	100	95	1,100	100	95
<u>Pmts to Other Than Local Governments</u>								
New England Governors' Conference	79,378	90,500	94,967	94,967	94,967	100,692	100,692	100,692
National Governors' Association	106,600	112,600	115,300	115,300	115,300	119,900	119,900	119,900
TOTAL-General Fund	2,804,574	3,479,048	3,910,169	3,568,676	3,392,024	3,927,437	3,579,001	3,402,349
<u>Additional Funds Available</u>								
Bond Funds	4,312	0	0	0	0	0	0	0
TOTAL - All Funds	2,808,886	3,479,048	3,910,169	3,568,676	3,392,024	3,927,437	3,579,001	3,402,349

Overall Direct & Supv of State

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object

Current Expenses by Minor Object	2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Actual	Estimated	Requested	Recommended	Requested	Recommended
<u>Personal Services</u>						
Permanent Fulltime Positions	2,195,194	2,245,630	2,692,372	2,316,769	2,692,372	2,316,769
Other Positions	141,605	531,569	531,569	470,348	531,569	470,348
Other	44,802	28,000	28,000	24,775	28,000	24,775
TOTAL-Personal Services Gross	2,381,601	2,805,199	3,251,941	2,811,892	3,251,941	2,811,892
Less Reimbursements						
Less Turnover	0	0	0	-31,892	0	-31,892
TOTAL-Personal Services Net	2,381,601	2,805,199	3,251,941	2,780,000	3,251,941	2,780,000

Other Expenses-Contractual Services

Dues and Subscriptions	1,179	1,847	1,925	1,470	1,952	1,470
Rentals, Storage and Leasing	68,267	106,962	111,451	85,099	113,012	85,099
Telecommunication Services	36,902	57,976	63,959	43,792	64,853	43,792
General Repairs	1,609	2,521	2,628	2,005	2,665	2,005
Motor Vehicle Expenses	16,272	25,495	26,565	20,284	26,937	20,284
Fees for Outside Professional Services	4,796	7,514	7,830	5,979	7,940	5,979
Fees for Non-Professional Services	10,597	16,605	17,300	12,980	17,542	12,980
DP Services, Rentals and Maintenance	28,883	144,517	103,428	114,977	105,600	114,977
Postage	27,729	43,447	45,270	34,566	45,904	34,566
Travel	2,499	3,916	4,080	2,344	4,137	2,344
Other Contractual Services	40	63	65	50	66	50
Printing & Binding	11,227	17,591	18,329	13,995	18,586	13,995

Other Expenses-Commodities

Agriculture, Horticulture, Dairy & Food	4,149	6,500	6,768	4,303	6,896	4,303
Books	127	198	207	158	210	158
Maintenance and Motor Vehicle Supplies	2,175	3,408	3,548	2,158	3,548	2,158
Office Supplies	19,469	30,505	31,784	24,270	32,229	24,270
Refunds of Expenditures Not Otherwise Classified	140	219	229	175	232	175

Other Expenses-Sundry

Sundry - Other Items	935	1,465	1,495	1,165	1,495	1,165
TOTAL-Other Expenses Gross	236,995	470,749	446,861	369,770	453,804	369,770
Less Reimbursements						
TOTAL-Other Expenses Net	236,995	470,749	446,861	369,770	453,804	369,770

Pmts to Other Than Local Govts

New England Governors' Conference	79,378	90,500	94,967	94,967	100,692	100,692
National Governors' Association	106,600	112,600	115,300	115,300	119,900	119,900
TOTAL-Pmts to Other Than Local Govts	185,978	203,100	210,267	210,267	220,592	220,592

Character & Major Object Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	2,381,601	2,805,199	3,251,941	2,846,000	2,780,000	3,251,941	2,846,000	2,780,000
Other Expenses Net	236,995	470,749	446,861	480,417	369,770	453,804	480,417	369,770
Capital Outlay	0	0	1,100	100	95	1,100	100	95
Payments to Other Than Local Governments	185,978	203,100	210,267	210,267	210,267	220,592	220,592	220,592
TOTAL-General Fund Net	2,804,574	3,479,048	3,910,169	3,536,784	3,360,132	3,927,437	3,547,109	3,370,457

Additional Funds Available

Bond Funds	4,312	0	0	0	0	0	0	0
TOTAL-All Funds Net	2,808,886	3,479,048	3,910,169	3,536,784	3,360,132	3,927,437	3,547,109	3,370,457

SECRETARY OF THE STATE

AGENCY DESCRIPTION

The Secretary of the State is an elected constitutional officer who is the repository and resource for a variety of public records and documents including legislative, business, uniform commercial code, and elections.

The Secretary is the keeper of the Great Seal of the State and the Commissioner of Elections.

The Secretary grants commissions to notaries public and publishes the *State Register and Manual* (Blue Book). The

Secretary oversees compliance with the federal "Motor Voter" law and promotes other voter registration efforts in both the public and private sectors.

The Secretary's office also coordinates a number of programs designed to enhance citizen participation and involvement in schools and the community such as Youth Vote, Excellence in Citizenship Awards, and the Report and Conference on the State of Democracy in Connecticut.

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RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2009-2010</u>	<u>2010-2011</u>
• Remove or Limit Inflation	-21,160	-34,533
• Rollout FY2009 Rescissions	-40,806	-40,806
• Eliminate Vacant Positions	-150,000	-150,000
• OE/OCE General Reductions	-5,834	-5,834
• Transfer Equipment to CEPF	-30,000	-30,000

AGENCY PROGRAMS

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	26	4	0	30	30	28	30	28

Agency Programs by Total Funds

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Commercial Recording	6,044,039	7,836,935	9,895,391	9,895,391	9,895,391	9,557,528	9,557,528	9,557,528
Legislation and Elections Administration Division	8,794,616	1,667,924	1,405,930	1,663,950	1,599,722	1,330,332	1,644,172	1,563,692
Management and Support Services	3,674,531	3,262,222	3,399,393	3,014,165	2,851,753	3,706,965	3,236,746	3,090,586
TOTAL Agency Programs - All Funds Gross	18,513,186	12,767,081	14,700,714	14,573,506	14,346,866	14,594,825	14,438,446	14,211,806
Less Turnover	0	0	0	-39,885	-39,885	0	-35,493	-35,493
TOTAL Agency Programs - All Funds Net	18,513,186	12,767,081	14,700,714	14,533,621	14,306,981	14,594,825	14,402,953	14,176,313

Summary of Funding

General Fund Net	3,519,537	3,237,320	2,841,670	2,720,624	2,493,984	2,888,496	2,750,624	2,523,984
Federal and Other Activities	6,521,044	0	0	0	0	0	0	0
Bond Funds	13,616	0	46,047	0	0	54,000	0	0
Private Funds	8,458,989	9,529,761	11,812,997	11,812,997	11,812,997	11,652,329	11,652,329	11,652,329
TOTAL Agency Programs - All Funds Net	18,513,186	12,767,081	14,700,714	14,533,621	14,306,981	14,594,825	14,402,953	14,176,313

COMMERCIAL RECORDING

Statutory Reference

C.G.S. Titles 33, 34, 35 et al

Statement of Need and Program Objective

To make information available to the public regarding corporations, limited partnerships, limited liability companies, statutory trusts, limited liability partnerships, Uniform Commercial Code and trademarks by reviewing, recording, copying, computerizing, and certifying documents for and of public record.

To facilitate and foster the growth of small and minority business in the state by creating a network which ultimately simplifies the development of over 8,000 businesses and entrepreneurs. The objective of the unit is to create a sustainable bridge through which small businesses, private industry, and government can operate comfortably utilizing a chain of supply and demand.

Program Description

The major activities and responsibilities of the division include generating and mailing annual reports to businesses of record; reviewing submitted documents for compliance with statutes; receiving records and forwarding writs, summonses and complaints; creating and updating data records of businesses; and handling public inquiries.

The division reviews documents submitted for filing, providing certified copies and legal existence certificates within

twenty-four hours of receipt; verifies funds deposited at the time of document review; and reviews and records all Uniform Commercial Code documents such as financial statements, amendments, notices of attachment and vessel liens. The division prepares abstracts or copies of recorded documents, creates and updates data records of the liens, and researches and answers in writing and in person inquiries for information and status of filings. The division also identifies and investigates foreign businesses liable for penalties and past due license fees, if applicable, for transacting business in Connecticut prior to obtaining a certificate of authority to do so. The division assesses penalties, monitors and processes payments, issues demand letters, reviews dispute letters, and recommends proceedings to be initiated by the Attorney General's Office. The division also administers the Address Confidentiality Program.

The Small and Minority Business Services Unit creates an environment suitable to the demographics of all small businesses. The unit holds business showcases that highlight and provide a suitable and affordable environment for the average small business to display and market its goods and services. Experts in their respective fields conduct several business development workshops as part of the business showcases. The unit also holds development seminars to benefit small businesses. These one-day seminars range from procurement and marketing to international trade and peer-to-peer networking. All of the programs are held in various community sites throughout the state.

Financial Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	975	0	0	0	0	0	0	0
TOTAL-General Fund	975	0	0	0	0	0	0	0
<i>Additional Funds Available</i>								
Private Funds	6,043,064	7,836,935	9,895,391	9,895,391	9,895,391	9,557,528	9,557,528	9,557,528
TOTAL - All Funds	6,044,039	7,836,935	9,895,391	9,895,391	9,895,391	9,557,528	9,557,528	9,557,528

Commercial Recording

LEGISLATION AND ELECTIONS ADMINISTRATION DIVISION

Statutory Reference

C.G.S. Sections 9-3 and 9-4 et al; Article Third State Constitution

Statement of Need and Program Objectives

To preserve the integrity and ensure the accuracy of Connecticut's elections, primaries, and referenda through timely communications and training for election officials concerning their duties; approval of tamper resistant voting systems; monitoring implementation of absentee ballot and voter registration laws; tabulation of vote totals for state and

district offices and coordination of voter registration and outreach efforts aimed at young people and other voters. To increase the availability of information to the public by reviewing, recording, and filing a variety of documents including all bills, acts, and resolutions of the General Assembly; commissioning notaries; publishing the *State Register and Manual* and other publications; and collecting, recording and filing information with timeliness and accuracy.

Program Description

The division administers, interprets and implements all state and federal laws pertaining to elections, primaries,

Budget-in-Detail

nominating procedures, campaign finance and the acquisition and exercise of voting rights. Financial disclosure statements from party, political and candidate committees are filed with the office and are available for public view electronically on the Secretary of the State’s website.

The division also administers and monitors the implementation of the National Voter Registration Act, the Help America Vote Act (HAVA) and other federally mandated voter registration and election administration laws. This includes oversight of the development of the content of all election and primary forms including absentee ballot materials and instructions and the implementation of provisional ballot and voter identification programs.

In conjunction with local town clerks and registrars, the division provides training for local elected officials and poll workers. The office regularly convenes conferences and meetings around the state in an attempt to educate the local election officials (including registrars of voters, town clerks, moderators, and voting machine mechanics) of their statutory responsibilities regarding voter registration and the electoral process.

The division also responds to inquiries involving state election and primary law; provides advice to local officials in matters

concerning home rule, charter revision, and local referenda; verifies each municipality’s printed absentee ballot and sample ballots for accuracy and, where serious errors exist, orders the reprinting of such materials.

In addition, the division is the official keeper of all acts, orders, grants and resolutions of the General Assembly. The division reviews, records and files all General Assembly bills, acts, and resolutions; transmits all acts passed to the Governor; records executive actions and returns vetoed bills and messages to the General Assembly. The division certifies all legislation regarding appropriations, bond authorizations, and orders on the Treasurer and notifies members of the General Assembly of veto and special sessions.

The division compiles, publishes and distributes the *Connecticut State Register and Manual* and maintains the “Interactive” State Register and Manual on the Secretary of the State’s website, updating information on state, local, and federal government on a weekly basis.

The division records and files approved agency regulations, schedules of state agency meetings, municipal ordinances and special acts.

The division also administers Connecticut’s notary public program.

Program Measure	2007-2008 Actual	2008-2009 Estimated	2009-2010 Projected	2010-2011 Projected
Advisory legal opinions issued/yr	2,800	2,900	2,850	2,850
Informational mailings distributed/yr	301,000	302,000	302,000	302,000
Exams administered/yr	2,200	2,200	2,200	2,200
Primaries, elections/referenda supervised/yr	1,300	1,100	1,300	1,100
Documents received and processed/yr	25,000	25,000	25,000	25,000
Notary appointments	3,300	3,400	3,300	3,300
Notary renewals	9,900	9,900	9,900	9,900

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	7	0	0	7	7	7	7	7

Financial Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	518,518	512,926	507,194	567,324	567,324	515,760	575,220	528,968
Other Expenses	1,337,474	1,154,998	393,642	653,526	619,298	399,146	653,526	619,298

Capital Outlay

Equipment	1,219	0	46,047	30,100	100	0	0	0
TOTAL-General Fund	1,857,211	1,667,924	946,883	1,250,950	1,186,722	914,906	1,228,746	1,148,266

Additional Funds Available

Bond Funds	0	0	46,047	0	0	0	0	0
Private Funds	417,766	0	413,000	413,000	413,000	415,426	415,426	415,426

Federal Contributions

93618 Voting Access-Individ w/Disabilities	6,519,639	0	0	0	0	0	0	0
TOTAL - All Funds	8,794,616	1,667,924	1,405,930	1,663,950	1,599,722	1,330,332	1,644,172	1,563,692

Legislation & Elections Administration**Division****MANAGEMENT AND SUPPORT SERVICES****Statutory Reference**

C.G.S. Chapter 33; Article Third and Fourth State Constitution

Statement of Need and Program Objective

To support the Secretary of the State in the organization, direction, and control of all office operations through the promotion of effective and efficient delivery of fiscal, human resources, procurement, and computerized information services.

Program Description

The program provides for the overall coordination of the office by performing budget, human resources, affirmative action, payroll, accounting, training, publication distribution/sales, public communication, and revenue deposit. The division also is responsible for information technology support including support to the Centralized Voter Registration System, Connecticut Online Commercial Recording Database (CONCORD), Connecticut Finance Information System (CFIS), the agency web site and all E-Government initiatives within the office.

Program Measure	2007-2008 Actual	2008-2009 Estimated	2009-2010 Projected	2010-2011 Projected
Days to process vendor payment	2	2	2	2
General Statutes distributed and/or sold	250	1,722	225	1,700
Public & Special Acts distributed and/or sold	1,328	300	1,300	275
Revenue deposited/yr (\$M)	11	11.2	10.3	10.5

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
General Fund	19	4	0	23	23	21	23	21

Financial Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
(Net of Reimbursements)	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	1,171,799	1,150,541	1,391,404	1,272,561	1,122,561	1,409,175	1,290,273	1,186,525
Other Expenses	487,306	418,855	503,383	236,998	224,586	510,415	236,998	224,586

Capital Outlay

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
Equipment	2,246	0	0	0	0	54,000	30,100	100
TOTAL-General Fund	1,661,351	1,569,396	1,894,787	1,509,559	1,347,147	1,973,590	1,557,371	1,411,211

Additional Funds Available

Bond Funds	13,616	0	0	0	0	54,000	0	0
Private Funds	1,998,159	1,692,826	1,504,606	1,504,606	1,504,606	1,679,375	1,679,375	1,679,375

Federal Contributions

93618 Voting Access-Individ w/Disabilities	1,405	0	0	0	0	0	0	0
TOTAL - All Funds	3,674,531	3,262,222	3,399,393	3,014,165	2,851,753	3,706,965	3,236,746	3,090,586

Management and Support Services

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object	2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	1,590,508	1,562,165	1,791,539	1,639,752	1,821,844	1,670,057
Other Positions	19,453	19,553	18,573	18,573	18,573	18,573
Other	70,413	70,775	77,486	20,560	73,486	15,863
Overtime	10,918	10,974	11,000	11,000	11,032	11,000
TOTAL-Personal Services Gross	1,691,292	1,663,467	1,898,598	1,689,885	1,924,935	1,715,493
Less Reimbursements						
Less Turnover	0	0	0	-39,885	0	-35,493
TOTAL-Personal Services Net	1,691,292	1,663,467	1,898,598	1,650,000	1,924,935	1,680,000
<u>Other Expenses-Contractual Services</u>						
Dues and Subscriptions	12,911	11,097	10,600	10,447	10,748	10,447
Rentals, Storage and Leasing	2,023	1,739	1,833	1,722	1,858	1,722
Telecommunication Services	39,169	33,667	35,220	32,251	35,713	32,251
General Repairs	39,411	33,874	23,277	22,293	23,603	22,293
Motor Vehicle Expenses	6,333	5,443	5,150	4,310	5,222	4,310
Fees for Outside Professional Services	10,685	9,185	1,100	9,212	1,115	9,212
Fees for Non-Professional Services	7,714	6,628	6,337	6,152	6,426	6,152
DP Services, Rentals and Maintenance	310,398	266,797	277,081	267,601	280,960	267,601
Postage	99,034	85,122	90,581	85,380	91,848	85,380
Travel	18,966	16,301	15,150	13,597	15,362	13,597
Other Contractual Services	68,595	58,960	46,130	45,267	46,777	45,267
Advertising	20,357	17,497	15,000	17,550	15,210	17,550
Printing & Binding	253,809	223,553	226,724	223,683	229,898	223,683
<u>Other Expenses-Commodities</u>						
Agriculture, Horticulture, Dairy & Food	663	570	591	455	608	455
Books	2,543	2,186	2,000	1,970	2,028	1,970
Clothing and Personal Supplies	31	27	28	27	28	27
Medical Supplies	540	464	486	466	508	466
Office Supplies	105,543	90,720	124,098	86,209	125,835	86,209
Refunds of Expenditures Not Otherwise Classified	16,265	13,981	12,500	12,305	12,675	12,305
<u>Other Expenses-Sundry</u>						
Sundry - Other Items	809,790	696,042	3,139	2,987	3,139	2,987
TOTAL-Other Expenses Gross	1,824,780	1,573,853	897,025	843,884	909,561	843,884
Less Reimbursements						
TOTAL-Other Expenses Net	1,824,780	1,573,853	897,025	843,884	909,561	843,884

Character & Major Object Summary	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	1,691,292	1,663,467	1,898,598	1,800,000	1,650,000	1,924,935	1,830,000	1,680,000
Other Expenses Net	1,824,780	1,573,853	897,025	890,524	843,884	909,561	890,524	843,884
Capital Outlay	3,465	0	46,047	30,100	100	54,000	30,100	100
TOTAL-General Fund Net	3,519,537	3,237,320	2,841,670	2,720,624	2,493,984	2,888,496	2,750,624	2,523,984
<u>Additional Funds Available</u>								
Federal and Other Activities	6,521,044	0	0	0	0	0	0	0
Bond Funds	13,616	0	46,047	0	0	54,000	0	0
Private Funds	8,458,989	9,529,761	11,812,997	11,812,997	11,812,997	11,652,329	11,652,329	11,652,329
TOTAL-All Funds Net	18,513,186	12,767,081	14,700,714	14,533,621	14,306,981	14,594,825	14,402,953	14,176,313

LEUTENANT GOVERNOR'S OFFICE

AGENCY DESCRIPTION

The Lieutenant Governor is an elected constitutional officer who is charged with the following responsibilities: succeeding the Governor in the event the Governor becomes unable to perform the duties of the office or the office

becomes vacant during the Governor's term; operating the state government during the Governor's absence from the state; and presiding over the State Senate and casting the tie-breaking vote when the Senate is equally divided.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2009-2010</u>	<u>2010-2011</u>
• Remove or Limit Inflation	-2,142	-3,523
• OE/OCE General Reductions	-4,151	-4,151
• Reduce Personal Services	-50,000	-50,000
• Reduce Other Expenses	-10,637	-10,637

AGENCY PROGRAMS

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	5	0	0	5	5	5	5	5

Agency Programs by Total Funds

(Net of Reimbursements)	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Assist Supervision of the State	500,414	583,762	617,365	602,652	537,864	618,901	602,652	537,864
TOTAL Agency Programs - All Funds Gross	500,414	583,762	617,365	602,652	537,864	618,901	602,652	537,864
Less Turnover								
TOTAL Agency Programs - All Funds Net	500,414	583,762	617,365	602,652	537,864	618,901	602,652	537,864

Summary of Funding

General Fund Net	495,699	583,762	617,365	602,652	537,864	618,901	602,652	537,864
Bond Funds	4,715	0	0	0	0	0	0	0
TOTAL Agency Programs - All Funds Net	500,414	583,762	617,365	602,652	537,864	618,901	602,652	537,864

ASSISTING IN SUPERVISION OF THE STATE

Statutory Reference

Connecticut State Constitution Article IV, Sections 17-19

Statement of Need and Program Objectives

To provide assistance in the operation of state government and to assure the continued operation of state government in the Governor's absence from the state or in the event that the Governor is unable to perform the duties of the office or the office becomes vacant.

Program Description

The Lieutenant Governor provides assistance in the operation of state government.

The Connecticut Constitution provides for the election of the Lieutenant Governor and conditions for the succession to the

Office of Governor. In case of the governor's death, resignation, refusal to serve, or removal from office, the lieutenant governor shall become governor until the next regular election. In the case of the inability of the governor to exercise the powers and perform the duties of office, or in case of impeachment or absence from the state, the lieutenant governor shall exercise the powers and authority and perform the duties of governor until the disability is removed, or the governor is acquitted or returns.

The Lieutenant Governor is, by virtue of the office, President of the Senate and oversees the procedures of the State Senate, including resolution of disputes. The Lieutenant Governor has a right to debate, when in committee of the whole and when the Senate is equally divided, the Lieutenant Governor may cast the tie-breaking vote.

The Lieutenant Governor, by virtue of the office, is also a voting member of the Finance Advisory Committee.

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	5	0	0	5	5	5	5	5

Financial Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	414,077	483,563	507,393	498,000	448,000	507,393	498,000	448,000
Other Expenses	81,622	100,199	108,872	104,552	89,764	110,408	104,552	89,764
<u>Capital Outlay</u>								
Equipment	0	0	1,100	100	100	1,100	100	100
TOTAL-General Fund	495,699	583,762	617,365	602,652	537,864	618,901	602,652	537,864
<u>Additional Funds Available</u>								
Bond Funds	4,715	0	0	0	0	0	0	0
TOTAL - All Funds	500,414	583,762	617,365	602,652	537,864	618,901	602,652	537,864

Assist Supervision of the State**AGENCY FINANCIAL SUMMARY - GENERAL FUND****Current Expenses by Minor Object**

	2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	379,696	433,426	434,142	434,142	434,142	434,142
Other Positions	33,117	48,637	70,251	10,858	70,251	10,858
Other	1,264	1,500	3,000	3,000	3,000	3,000
TOTAL-Personal Services Gross	414,077	483,563	507,393	448,000	507,393	448,000
Less Reimbursements						
Less Turnover						
TOTAL-Personal Services Net	414,077	483,563	507,393	448,000	507,393	448,000

Other Expenses-Contractual Services

Dues and Subscriptions	1,108	1,133	1,207	1,047	1,224	1,047
Rentals, Storage and Leasing	328	336	358	322	363	322
Telecommunication Services	11,712	18,123	19,308	17,405	19,579	17,405
General Repairs	330	337	359	324	364	324
Motor Vehicle Expenses	6,084	6,220	6,626	4,727	6,719	4,727
Fees for Non-Professional Services	1,160	1,186	1,264	956	1,282	956
DP Services, Rentals and Maintenance	4,380	21,232	18,247	20,390	18,628	20,390
Postage	2,067	2,114	2,251	1,964	2,283	1,964
Travel	27,118	21,576	29,536	17,290	29,949	17,290
Other Contractual Services	405	413	440	396	445	396
Printing & Binding	2,884	2,948	3,141	2,831	3,185	2,831

Budget Summary

Other Expenses-Commodities

Agriculture, Horticulture, Dairy & Food	1,296	1,324	1,410	1,007	1,438	1,007
Books	882	902	961	866	974	866
Maintenance and Motor Vehicle Supplies	6,028	6,162	6,558	4,686	6,558	4,686
Office Supplies	3,303	3,376	3,596	3,243	3,646	3,243
Refunds of Expenditures Not Otherwise Classified	10,532	10,767	11,471	10,341	11,632	10,341

Other Expenses-Sundry

Sundry - Other Items	2,005	2,050	2,139	1,969	2,139	1,969
TOTAL-Other Expenses Gross	81,622	100,199	108,872	89,764	110,408	89,764
Less Reimbursements						
TOTAL-Other Expenses Net	81,622	100,199	108,872	89,764	110,408	89,764

Character & Major Object Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	414,077	483,563	507,393	498,000	448,000	507,393	498,000	448,000
Other Expenses Net	81,622	100,199	108,872	104,552	89,764	110,408	104,552	89,764
Capital Outlay	0	0	1,100	100	100	1,100	100	100
TOTAL-General Fund Net	495,699	583,762	617,365	602,652	537,864	618,901	602,652	537,864

Additional Funds Available

Bond Funds	4,715	0	0	0	0	0	0	0
TOTAL-All Funds Net	500,414	583,762	617,365	602,652	537,864	618,901	602,652	537,864

STATE ELECTIONS ENFORCEMENT COMMISSION

AGENCY DESCRIPTION

The Elections Enforcement Commission is a non-partisan independent agency that has exclusive civil authority to enforce election laws. Its principal functions are to conduct investigations of complaints concerning violations of the laws governing elections, primaries and referenda, monitor compliance with campaign financing laws by performing audits and disseminate advice and information that is necessary for compliance by regulated persons.

The Commission is also responsible for the administration and enforcement of a new public financing program through which the Citizens' Election Fund provides public grants to qualified candidates for statewide office and the General Assembly. The Commission has also become the filing repository for campaign reports and is responsible for redesigning and implementing a new electronic campaign reporting system.

The Governor's Recommended Budget includes repeal of CGS Section 9-7c which prohibits the Governor from proposing budget modifications and also transfers payment of operating expenses from the General Fund to the Citizens' Election Fund.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Repeal Section 9-7c Which Prohibits Budget Modifications
- Fund Agency Expenditures from the Citizens' Election Fund

<u>2009-2010</u>	<u>2010-2011</u>
-194,628	-108,925
-1,816,046	-1,850,356

AGENCY PROGRAMS

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
General Fund	16	1	0	17	17	17	17	17
Private Funds	32	4	0	36	39	39	39	39

Agency Programs by Total Funds

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
(Net of Reimbursements)	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Election Law Enforcement and Control	5,153,840	12,648,991	33,798,534	33,798,554	33,798,554	14,973,111	14,973,111	14,973,111
TOTAL Agency Programs - All Funds Gross	5,153,840	12,648,991	33,798,534	33,798,554	33,798,554	14,973,111	14,973,111	14,973,111
Less Turnover								
TOTAL Agency Programs - All Funds Net	5,153,840	12,648,991	33,798,534	33,798,554	33,798,554	14,973,111	14,973,111	14,973,111

Summary of Funding

General Fund Net	1,605,789	1,862,458	2,010,674	2,010,674	2,010,674	1,959,281	1,959,281	1,959,281
Private Funds	3,548,051	10,786,533	31,787,860	31,787,880	31,787,880	13,013,830	13,013,830	13,013,830
TOTAL Agency Programs - All Funds Net	5,153,840	12,648,991	33,798,534	33,798,554	33,798,554	14,973,111	14,973,111	14,973,111

ELECTION LAW ENFORCEMENT AND CONTROL

Statutory Reference

C.G.S. Sections 9-7a, 9-7b, 9-7c, and Chapters 155 and 157.

Statement of Need and Program Objectives

To improve public confidence in our electoral process and in the officials who seek or hold a public office by monitoring compliance with and swift enforcement of the election laws.

Program Description

The Commission is the only administrative agency that conducts investigations of complaints concerning violations of state election laws. The procedures utilized in an investigation may include the issuance of subpoenas, examination of documents and conduct of hearings. Civil enforcement sanctions, including monetary fines, may be imposed directly by the Commission against violators of these

Budget-in-Detail

laws. Evidence of criminal conduct is referred to the Chief State's Attorney for prosecution.

The Commission monitors compliance with campaign financing laws by auditing disclosure reports and disseminating information and advice to candidates, political action committees (PAC) and political parties. Auditing of financial disclosure statements is intended to ensure that errors and omissions are promptly corrected and that violations are deterred. The Commission is now the campaign filing repository for reports of statewide and General Assembly candidates, PACs and political party committees, and prepares the forms and instructions necessary for compliance with these disclosure requirements.

The Commission is also responsible for the administration of the Citizens' Election Fund, which provides public grants to qualified candidates for statewide office and the General Assembly, and for the compliance of all contribution and expenditure limitations contained in Public Act 05-5 of the October 25, 2005 Special Session, as amended. Reports

concerning participation in the Citizens' Election Program and receipts and expenditures from the Citizens' Election Program are required.

The Commission enforces new restrictions on contributions by lobbyists and state contractors and is required to establish, maintain and update a comprehensive database of state contractors and prospective state contractors to ensure compliance.

The Commission is the designated agency for resolution of complaints filed under the Federal Help America Vote Act of 2002 (HAVA).

The Commission prepares and distributes campaign guides for compliance with election financing laws; conducts seminars for candidates, campaign treasurers, business, professional and labor organizations, other political action groups and party officials; renders formal and informal advisory opinions and rulings upon request and recommends revisions of election laws to the General Assembly.

Program Measure	2007-2008 Actual	2008-2009 Estimated	2009-2010 Projected	2010-2011 Projected
Cases investigated and resolved	296	375	425	450
Committees' audited	400	500	550	575
Formal/informal opinions issued	550	650	675	700
Citizens' Election Program Grants issued	35	250	100	250
Educational Training Sessions conducted	115	125	145	160

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	16	1	0	17	17	17	17	17
Private Funds	32	4	0	36	39	39	39	39

Financial Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,265,397	1,551,174	1,671,631	1,671,631	1,671,631	1,632,885	1,632,885	1,632,885
Other Expenses	292,332	278,884	294,058	294,058	294,058	301,396	301,396	301,396

Capital Outlay

Equipment	31,150	15,400	24,985	24,985	24,985	0	0	0
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Other Current Expenses

Commission's Per Diems	16,910	17,000	20,000	20,000	20,000	25,000	25,000	25,000
TOTAL-General Fund	1,605,789	1,862,458	2,010,674	2,010,674	2,010,674	1,959,281	1,959,281	1,959,281

Additional Funds Available

Private Funds	3,548,051	10,786,533	31,787,860	31,787,880	31,787,880	13,013,830	13,013,830	13,013,830
TOTAL - All Funds	5,153,840	12,648,991	33,798,534	33,798,554	33,798,554	14,973,111	14,973,111	14,973,111

Election Law Enforcement and Control

AGENCY FINANCIAL SUMMARY - GENERAL FUND

<i>Current Expenses by Minor Object</i>	2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u><i>Personal Services</i></u>						
Permanent Fulltime Positions	1,245,126	1,463,073	1,522,962	1,522,962	1,578,566	1,578,566
Other Positions	0	28,333	10,360	10,360	10,360	10,360
Other	17,926	56,768	134,809	134,809	40,459	40,459
Overtime	2,345	3,000	3,500	3,500	3,500	3,500
TOTAL-Personal Services Gross	1,265,397	1,551,174	1,671,631	1,671,631	1,632,885	1,632,885
Less Reimbursements						
Less Turnover						
TOTAL-Personal Services Net	1,265,397	1,551,174	1,671,631	1,671,631	1,632,885	1,632,885
<u><i>Other Expenses-Contractual Services</i></u>						
Dues and Subscriptions	3,778	6,236	6,549	6,549	6,712	6,712
Rentals, Storage and Leasing	38,941	71,612	75,193	75,193	77,072	77,072
Telecommunication Services	27,617	21,800	22,890	22,890	23,463	23,463
General Repairs	623	2,042	2,144	2,144	2,197	2,197
Motor Vehicle Expenses	828	1,000	2,500	2,500	2,563	2,563
Fees for Non-Professional Services	19,829	24,892	26,137	26,137	26,791	26,791
DP Services, Rentals and Maintenance	20,406	32,291	33,906	33,906	34,753	34,753
Postage	24,527	25,500	26,775	26,775	27,445	27,445
Travel	28,901	24,500	25,725	25,725	26,368	26,368
Other Contractual Services	22,085	8,348	8,765	8,765	8,984	8,984
Printing & Binding	7,072	7,100	7,455	7,455	7,641	7,641
<u><i>Other Expenses-Commodities</i></u>						
Agriculture, Horticulture, Dairy & Food	60	0	0	0	0	0
Books	88	300	315	315	323	323
Maintenance and Motor Vehicle Supplies	182	700	720	720	726	726
Office Supplies	87,712	43,563	45,534	45,534	46,672	46,672
<u><i>Other Expenses-Sundry</i></u>						
Sundry - Other Items	9,683	9,000	9,450	9,450	9,686	9,686
TOTAL-Other Expenses Gross	292,332	278,884	294,058	294,058	301,396	301,396
Less Reimbursements						
TOTAL-Other Expenses Net	292,332	278,884	294,058	294,058	301,396	301,396
<u><i>Other Current Expenses</i></u>						
Commission's Per Diems	16,910	17,000	20,000	20,000	25,000	25,000
TOTAL-Other Current Expenses	16,910	17,000	20,000	20,000	25,000	25,000

Budget-in-Detail

Character & Major Object Summary	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	1,265,397	1,551,174	1,671,631	1,671,631	1,671,631	1,632,885	1,632,885	1,632,885
Other Expenses Net	292,332	278,884	294,058	294,058	294,058	301,396	301,396	301,396
Capital Outlay	31,150	15,400	24,985	24,985	24,985	0	0	0
Other Current Expenses	16,910	17,000	20,000	20,000	20,000	25,000	25,000	25,000
TOTAL-General Fund Net	1,605,789	1,862,458	2,010,674	2,010,674	2,010,674	1,959,281	1,959,281	1,959,281
<i><u>Additional Funds Available</u></i>								
Private Funds	3,548,051	10,786,533	31,787,860	31,787,880	31,787,880	13,013,830	13,013,830	13,013,830
TOTAL-All Funds Net	5,153,840	12,648,991	33,798,534	33,798,554	33,798,554	14,973,111	14,973,111	14,973,111

OFFICE OF STATE ETHICS

AGENCY DESCRIPTION

The Office of State Ethics (OSE) is an independent oversight agency for the State of Connecticut, established by Public Act 05-183. The OSE administers and enforces Connecticut General Statutes, Chapter 10, Part I for Public Officials and Part II for Lobbyists, and has limited jurisdiction over Part IV,

Ethical Considerations Concerning Bidding and State Contracting. The mission of the OSE is to ensure honesty, integrity and accountability in state government through education, interpretation and enforcement of the State of Connecticut Codes of Ethics.

The Governor's Recommended Budget includes repeal of CGS Section 1-81a which prohibits the Governor from proposing budget modifications.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Repeal Section 1-81a Which Prohibits Budget Modifications

<u>2009-2010</u>	<u>2010-2011</u>
-125,835	-177,862

AGENCY PROGRAMS

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	17	3	1	21	21	21	22	22

Agency Programs by Total Funds

(Net of Reimbursements)	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Codes of Ethics for Public Employees & Lobbyists	1,362,148	2,092,062	2,050,043	2,050,043	2,050,043	2,197,496	2,197,496	2,197,496
TOTAL Agency Programs - All Funds Gross	1,362,148	2,092,062	2,050,043	2,050,043	2,050,043	2,197,496	2,197,496	2,197,496
Less Turnover								
TOTAL Agency Programs - All Funds Net	1,362,148	2,092,062	2,050,043	2,050,043	2,050,043	2,197,496	2,197,496	2,197,496
<u>Summary of Funding</u>								
General Fund Net	1,362,148	2,092,062	2,050,043	2,050,043	2,050,043	2,197,496	2,197,496	2,197,496
TOTAL Agency Programs - All Funds Net	1,362,148	2,092,062	2,050,043	2,050,043	2,050,043	2,197,496	2,197,496	2,197,496

CODES OF ETHICS FOR PUBLIC EMPLOYEES AND LOBBYISTS

Statutory Reference

Public Act 05-183; Connecticut General Statutes Chapter 10, Part I and Part II, limited jurisdiction over Part IV

Statement of Need and Program Objective

The OSE promotes integrity in government by providing advice, guidance and education to public officials, state employees and lobbyists; by sensibly interpreting and, when necessary, fairly and impartially enforcing the applicable laws; by administering the lobbyist and financial disclosure filing systems; and by providing the public with access to non-exempt information.

Program Description

The statutory responsibilities of the OSE are broken down into four main categories: education, interpretation, enforcement

and preservation. The OSE is charged with providing education to state employees, public officials, lobbyists and legislators on the Codes of Ethics. The Citizen's Ethics Advisory Board is responsible for adjudicating cases brought under the ethics codes and making findings of violations as well as issuing Advisory Opinions - interpretations of the codes as they apply to specific situations. All investigation and prosecution matters are the responsibility of the Ethics Enforcement Officer. The OSE is also statutorily obligated to receive, process and maintain records of all lobbyist filings along with public official and state employee Statements of Financial Interests. The OSE is committed to carrying out its mission in the most user-friendly manner possible and continues to update and improve upon its programs.

Budget-in-Detail

Program Measure	2007-2008 Actual	2008-2009 Estimated	2009-2010 Projected	2010-2011 Projected
LEGAL ADVISE				
Board Advisory Opinions	9	12	14	16
Staff communication (phone, email, written)	1,048	1,058	1,068	1,080
ENFORCEMENT				
Evaluations & Investigations	142	150	160	170
Matters Resolved	89	99	109	119
Penalties Collected	\$105,875.0	\$111,169.0	\$116,727.18	\$122,563.55
EDUCATION				
Persons reached through in-person training	2,956	3,500	3,800	4,000
Web-streaming training video visits	894	900	1,000	1,100
Electronic Communications (e-mail)	13,296	13,396	13,496	13,596
Media and Press Release	2,002	2,100	2,200	2,300
FILINGS				
Statement of Financial Interest filed	2,842	2,890	2,900	2,910
% of on-line SFI Filers	64	70	75	80
Lobbyists Registered	4,980	5,030	5,080	5,200

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	17	3	1	21	21	21	22	22

Financial Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,076,347	1,411,773	1,669,526	1,669,526	1,669,526	1,811,700	1,811,700	1,811,700
Other Expenses	158,304	202,789	239,017	239,017	239,017	245,796	245,796	245,796
<u>Capital Outlay</u>								
Equipment	10,067	2,500	16,500	16,500	16,500	15,000	15,000	15,000
<u>Other Current Expenses</u>								
Judge Trial Referee Fees	0	25,000	25,000	25,000	25,000	25,000	25,000	25,000
Reserve for Attorney Fees	1,309	50,000	50,000	50,000	50,000	50,000	50,000	50,000
Information Technology Initiatives	116,121	400,000	50,000	50,000	50,000	50,000	50,000	50,000
TOTAL-General Fund	1,362,148	2,092,062	2,050,043	2,050,043	2,050,043	2,197,496	2,197,496	2,197,496

Codes of Ethics for Public Employees &

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object	2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	1,003,570	1,306,174	1,554,107	1,554,107	1,685,269	1,685,269
Other Positions	44,080	63,960	68,797	68,797	72,864	72,864
Other	26,255	45,970	41,622	41,622	48,567	48,567

Overtime	2,442	3,543	5,000	5,000	5,000	5,000
TOTAL-Personal Services Gross	1,076,347	1,419,647	1,669,526	1,669,526	1,811,700	1,811,700
Less Reimbursements	0	-7,874	0	0	0	0
Less Turnover						
TOTAL-Personal Services Net	1,076,347	1,411,773	1,669,526	1,669,526	1,811,700	1,811,700

Other Expenses-Contractual Services

Dues and Subscriptions	720	836	854	854	866	866
Rentals, Storage and Leasing	15,973	18,553	18,943	18,943	19,208	19,208
Telecommunication Services	11,165	12,969	15,305	15,305	15,519	15,519
Motor Vehicle Expenses	151	175	600	600	608	608
Fees for Outside Professional Services	11,995	13,934	14,665	14,665	15,317	15,317
Fees for Non-Professional Services	18,089	21,011	24,289	24,289	26,454	26,454
DP Services, Rentals and Maintenance	13,416	34,492	41,765	41,765	42,350	42,350
Postage	3,453	4,011	4,094	4,094	4,151	4,151
Travel	5,345	6,208	17,762	17,762	18,010	18,010
Other Contractual Services	27,181	31,573	32,236	32,236	32,687	32,687
Advertising	2,166	2,516	3,500	3,500	3,549	3,549
Printing & Binding	880	1,022	1,043	1,043	1,058	1,058

Other Expenses-Commodities

Agriculture, Horticulture, Dairy & Food	454	527	538	538	1,200	1,200
Books	301	350	357	357	362	362
Office Supplies	45,078	52,362	57,066	57,066	58,175	58,175

Other Expenses-Sundry

Sundry - Other Items	1,937	2,250	6,000	6,000	6,282	6,282
TOTAL-Other Expenses Gross	158,304	202,789	239,017	239,017	245,796	245,796
Less Reimbursements						
TOTAL-Other Expenses Net	158,304	202,789	239,017	239,017	245,796	245,796

Other Current Expenses

Judge Trial Referee Fees	0	25,000	25,000	25,000	25,000	25,000
Reserve for Attorney Fees	1,309	50,000	50,000	50,000	50,000	50,000
Information Technology Initiatives	116,121	400,000	50,000	50,000	50,000	50,000
TOTAL-Other Current Expenses	117,430	475,000	125,000	125,000	125,000	125,000

Character & Major Object Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	1,076,347	1,411,773	1,669,526	1,669,526	1,669,526	1,811,700	1,811,700	1,811,700
Other Expenses Net	158,304	202,789	239,017	239,017	239,017	245,796	245,796	245,796
Capital Outlay	10,067	2,500	16,500	16,500	16,500	15,000	15,000	15,000
Other Current Expenses	117,430	475,000	125,000	125,000	125,000	125,000	125,000	125,000
TOTAL-General Fund Net	1,362,148	2,092,062	2,050,043	2,050,043	2,050,043	2,197,496	2,197,496	2,197,496

FREEDOM OF INFORMATION COMMISSION

AGENCY DESCRIPTION

The Freedom of Information Commission is charged with ensuring that the people of Connecticut have access to the

records and meetings of all public agencies and that all public agencies fully comply with the Freedom of Information Act.

The Governor's Recommended Budget includes repeal of CGS Section 1-205a which prohibits the Governor from proposing budget modifications.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Repeal Section 1-205a Which Prohibits Budget Modifications

<u>2009-2010</u>	<u>2010-2011</u>
-216,958	-256,729

AGENCY PROGRAMS

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	21	1	0	22	22	23	22	23
			2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
<i>Other Positions Equated to Full Time</i>			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			0	1	4	0	4	0

Agency Programs by Total Funds

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Freedom of Information	1,876,150	2,091,312	2,362,918	2,362,918	2,362,918	2,448,815	2,448,815	2,448,815
TOTAL Agency Programs - All Funds Gross	1,876,150	2,091,312	2,362,918	2,362,918	2,362,918	2,448,815	2,448,815	2,448,815
Less Turnover								
TOTAL Agency Programs - All Funds Net	1,876,150	2,091,312	2,362,918	2,362,918	2,362,918	2,448,815	2,448,815	2,448,815
<i>Summary of Funding</i>								
General Fund Net	1,876,150	2,091,312	2,362,918	2,362,918	2,362,918	2,448,815	2,448,815	2,448,815
TOTAL Agency Programs - All Funds Net	1,876,150	2,091,312	2,362,918	2,362,918	2,362,918	2,448,815	2,448,815	2,448,815

ADMINISTRATION AND ENFORCEMENT OF THE STATE'S FREEDOM OF INFORMATION ACT

Statutory Reference

C.G.S. Section 1-200 *et seq.*; P.A. 04-204, §11

Statement of Need and Program Objectives

To ensure that the people of Connecticut have access to the non-exempt records and meetings of all state, local and regional public agencies and to ensure that all public agencies fully comply with the state's Freedom of Information Act.

Program Description

The major activities of the Commission are to:

- Hear and decide citizen complaints and requests for declaratory rulings by means of a speedy and inexpensive process designed for use by laypersons. The objective of this activity is to resolve cases fairly, quickly and inexpensively by resolving as many cases as possible without formal hearings through the use of ombudsmen (mediators) and by using its statutory powers to terminate cases without merit.

- Inform and educate public officials and the public of their rights under the Freedom of Information Act and provide information to legislators and other policymakers.
- Participate in policy initiatives and projects with other agencies concerning privacy, information technology and public records administration.
- Represent the commission, through staff counsel, in all court appeals and other litigation affecting the commission.

Program Measure	2007-2008 Actual	2008-2009 Estimated	2009-2010 Projected	2010-2011 Projected
Contested Cases	725	750	750	750
Cases Settled by Ombudsman	325	350	350	350
Court Appeals and Other Litigation	25	30	30	30
Advisory Opinions	3	3	3	3
Education Programs and Speaking Engagements	85	95	95	95
Commission Meetings - Regular	24	24	24	24

Personnel Summary	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	21	1	0	22	22	23	22	23

Other Positions Equated to Full Time	2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	0	1	4	0	4	0

Financial Summary	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	1,647,764	1,861,312	2,048,200	2,048,200	2,048,200	2,121,870	2,121,870	2,121,870
Other Expenses	205,176	200,000	269,918	269,918	269,918	278,445	278,445	278,445
<u>Capital Outlay</u>								
Equipment	23,210	30,000	44,800	44,800	44,800	48,500	48,500	48,500
TOTAL-General Fund	1,876,150	2,091,312	2,362,918	2,362,918	2,362,918	2,448,815	2,448,815	2,448,815

Freedom of Information

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object	2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	1,592,792	1,797,548	1,926,028	1,926,028	1,983,076	1,983,076
Other Positions	34,650	34,810	70,500	70,500	75,000	75,000
Other	19,074	28,954	50,022	50,022	61,819	61,819
Overtime	1,248	0	1,650	1,650	1,975	1,975
TOTAL-Personal Services Gross	1,647,764	1,861,312	2,048,200	2,048,200	2,121,870	2,121,870
Less Reimbursements						
Less Turnover						
TOTAL-Personal Services Net	1,647,764	1,861,312	2,048,200	2,048,200	2,121,870	2,121,870

Budget Summary

Other Expenses-Contractual Services

Dues and Subscriptions	7,027	6,850	8,000	8,000	9,000	9,000
Rentals, Storage and Leasing	18,638	18,168	25,000	25,000	26,550	26,550
Telecommunication Services	31,315	16,378	32,200	32,200	35,106	35,106
General Repairs	1,446	1,410	3,600	3,600	3,925	3,925
Fees for Outside Professional Services	29,200	29,057	53,150	53,150	44,650	44,650
Fees for Non-Professional Services	26,553	25,884	35,982	35,982	38,232	38,232
DP Services, Rentals and Maintenance	10,777	29,237	14,408	14,408	15,005	15,005
Postage	11,354	11,067	12,950	12,950	14,000	14,000
Travel	26,470	25,802	36,450	36,450	40,500	40,500
Other Contractual Services	110	107	0	0	0	0
Advertising	2,619	2,257	1,000	1,000	0	0
Printing & Binding	2,557	2,492	6,750	6,750	7,300	7,300

Other Expenses-Commodities

Agriculture, Horticulture, Dairy & Food	1,664	1,622	1,975	1,975	2,014	2,014
Books	350	341	500	500	507	507
Office Supplies	29,998	24,656	32,353	32,353	35,355	35,355
Refunds of Expenditures Not Otherwise Classified	25	24	100	100	101	101

Other Expenses-Sundry

Sundry - Other Items	4,767	4,648	5,500	5,500	6,200	6,200
TOTAL-Other Expenses Gross	204,870	200,000	269,918	269,918	278,445	278,445
Less Reimbursements						
TOTAL-Other Expenses Net	204,870	200,000	269,918	269,918	278,445	278,445

Character & Major Object Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	1,647,764	1,861,312	2,048,200	2,048,200	2,048,200	2,121,870	2,121,870	2,121,870
Other Expenses Net	205,176	200,000	269,918	269,918	269,918	278,445	278,445	278,445
Capital Outlay	23,210	30,000	44,800	44,800	44,800	48,500	48,500	48,500
TOTAL-General Fund Net	1,876,150	2,091,312	2,362,918	2,362,918	2,362,918	2,448,815	2,448,815	2,448,815

JUDICIAL SELECTION COMMISSION

AGENCY DESCRIPTION

The Judicial Selection Commission seeks, evaluates, investigates and recommends qualified candidates for

consideration by the Governor for nomination as judges of the Superior Court, Appellate Court and Supreme Court.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Rollout FY2009 Rescissions

	<u>2009-2010</u>	<u>2010-2011</u>
	-1,085	-1,085

Continuation of reduction to Other Expenses.

- OE/OCE General Reductions

	-2,231	-2,231
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25% reduction to cellular communication services; 20% to food and beverage; 10% to in-state travel and subscriptions.

- Transfer Equipment to CEPF

	-1,100	0
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- Remove or Limit Inflation

	-445	-822
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AGENCY PROGRAMS

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	1	0	0	1	1	1	1	1

Agency Programs by Total Funds

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
<i>(Net of Reimbursements)</i>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Merit Selection of Judges	86,154	105,772	100,035	94,963	90,547	99,566	93,863	90,547
TOTAL Agency Programs - All Funds Gross	86,154	105,772	100,035	94,963	90,547	99,566	93,863	90,547
Less Turnover								
TOTAL Agency Programs - All Funds Net	86,154	105,772	100,035	94,963	90,547	99,566	93,863	90,547
<i>Summary of Funding</i>								
General Fund Net	84,506	105,772	100,035	94,963	90,547	99,566	93,863	90,547
Bond Funds	1,648	0	0	0	0	0	0	0
TOTAL Agency Programs - All Funds Net	86,154	105,772	100,035	94,963	90,547	99,566	93,863	90,547

MERIT SELECTION OF JUDGES

Statutory Reference

C.G.S. Section 51-44a

Statement of Need and Program Objectives

To recommend qualified candidates to the Governor for nomination as judges and to also interview judges seeking reappointment and/or elevation in the Connecticut court system.

Program Description

The purpose of the Judicial Selection Commission is to seek, evaluate, investigate and recommend qualified candidates for considerations by the Governor for nomination as judges of the Superior Court, Appellate Court and Supreme Court.

The Judicial Selection Commission consists of twelve members. Of the twelve members, six must not be attorneys. No more than six members can be from the same political party and none can be an elected or appointed state official or hold a statewide political party office.

It is also the responsibility of the Judicial Selection Commission to interview judges seeking reappointment and/or elevation and evaluate the respective judge on legal ability, competence, integrity, character, temperament, and any other relevant factor.

The Judicial Selection Commission prepares a confidential listing for the Governor of qualified candidates for nomination as a judge and incumbent judges seeking appointment to a different court.

Budget-in-Detail

Personnel Summary

Permanent Full-Time Positions	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	1	0	0	1	1	1	1	1

Financial Summary

(Net of Reimbursements)	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	65,566	85,071	76,699	72,072	72,072	77,053	72,072	72,072
Other Expenses	18,940	20,606	22,136	21,691	18,375	22,513	21,691	18,375
<u>Capital Outlay</u>								
Equipment	0	95	1,200	1,200	100	0	100	100
TOTAL-General Fund	84,506	105,772	100,035	94,963	90,547	99,566	93,863	90,547
<u>Additional Funds Available</u>								
Bond Funds	1,648	0	0	0	0	0	0	0
TOTAL - All Funds	86,154	105,772	100,035	94,963	90,547	99,566	93,863	90,547

Merit Selection of Judges

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object

Personal Services	2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Actual	Estimated	Requested	Recommended	Requested	Recommended
Permanent Fulltime Positions	60,131	77,117	70,013	70,013	70,013	70,013
Other Positions	3,397	3,215	3,537	0	3,891	0
Other	2,038	4,739	3,149	2,059	3,149	2,059
TOTAL-Personal Services Gross	65,566	85,071	76,699	72,072	77,053	72,072
Less Reimbursements						
Less Turnover						
TOTAL-Personal Services Net	65,566	85,071	76,699	72,072	77,053	72,072

Other Expenses-Contractual Services

Dues and Subscriptions	425	487	497	438	504	438
Rentals, Storage and Leasing	4,114	4,712	4,811	4,388	4,878	4,388
Telecommunication Services	301	345	352	339	357	339
General Repairs	36	41	42	41	43	41
Postage	1,918	2,197	2,243	2,197	2,274	2,197
Travel	666	762	778	0	789	0
Other Contractual Services	60	69	70	69	71	69
Advertising	159	182	186	182	189	182
Printing & Binding	108	123	126	123	128	123

Other Expenses-Commodities

Agriculture, Horticulture, Dairy & Food	9,497	9,791	11,094	8,701	11,316	8,701
Office Supplies	1,656	1,897	1,937	1,897	1,964	1,897
TOTAL-Other Expenses Gross	18,940	20,606	22,136	18,375	22,513	18,375
Less Reimbursements						
TOTAL-Other Expenses Net	18,940	20,606	22,136	18,375	22,513	18,375

Character & Major Object Summary	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	65,566	85,071	76,699	72,072	72,072	77,053	72,072	72,072
Other Expenses Net	18,940	20,606	22,136	21,691	18,375	22,513	21,691	18,375
Capital Outlay	0	95	1,200	1,200	100	0	100	100
TOTAL-General Fund Net	84,506	105,772	100,035	94,963	90,547	99,566	93,863	90,547
<u>Additional Funds Available</u>								
Bond Funds	1,648	0	0	0	0	0	0	0
TOTAL-All Funds Net	86,154	105,772	100,035	94,963	90,547	99,566	93,863	90,547

STATE PROPERTIES REVIEW BOARD

AGENCY DESCRIPTION

The State Properties Review Board consists of six members appointed on a bi-partisan basis for overlapping four-year terms. The Speaker of the House and the President Pro Tempore of the Senate appoint three members jointly, and the Minority Leader of the House and the Minority Leader of

the Senate appoint three members jointly. The Board provides oversight for the Legislature of real estate transactions and related consultant contracts proposed by the Executive Branch.

The State Properties Review Board is recommended for consolidation with the Department of Administrative Services in the Governor's budget as part of her proposal to streamline state government.

RECOMMENDED SIGNIFICANT CHANGES

	<u>2009-2010</u>	<u>2010-2011</u>
<i>Reductions to Current Services</i>		
• Remove or Limit Inflation	-3,987	-6,718
• Streamline Agency Operations - Eliminate One Clerical Position	-49,812	-49,812
<i>Reallocations or Transfers</i>		
• Streamline Agency Operations - Transfer Function of the State Properties Review Board to DAS	-450,129	-454,161

AGENCY PROGRAMS

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	4	0	0	4	4	0	4	0

Agency Programs by Total Funds

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Review and Approve Proposed Real Estate Transactions	458,480	505,871	508,252	500,011	0	512,015	504,043	0
TOTAL Agency Programs - All Funds Gross	458,480	505,871	508,252	500,011	0	512,015	504,043	0
Less Turnover								
TOTAL Agency Programs - All Funds Net	458,480	505,871	508,252	500,011	0	512,015	504,043	0
<u>Summary of Funding</u>								
General Fund Net	458,480	502,661	508,252	500,011	0	512,015	504,043	0
Bond Funds	0	3,210	0	0	0	0	0	0
TOTAL Agency Programs - All Funds Net	458,480	505,871	508,252	500,011	0	512,015	504,043	0

APPROVE PROPOSED REAL ESTATE TRANSACTIONS AND CONSULTANT CONTRACTS

Statutory Reference

C.G.S. Sections 4b-3, 4b-23, 13a-73, and 8-273a

Statement of Need and Program Objectives

To ensure that the State's real estate transactions, farm development rights acquisitions and consultant contracts are in the best interest of the State and are made in conformance with State statutes and prudent business practices.

Program Description

In discharging its responsibilities, the Board reviews and approves the acquisition, construction, development, and leasing of offices and other facilities for State agencies, the acquisition of land for transportation systems, and the lease or sale of State-owned real estate to third parties; approves

the selection of and contracts with architects, engineers and other consultants for major building projects, as proposed by Public Works; reviews, evaluates and approves the acquisition of development rights for farm land proposed by the Department of Agriculture under the Agricultural Land Preservation Pilot Program.

Program Measure	2007-2008 Actual	2008-2009 Estimated	2009-2010 Projected	2010-2011 Projected
Items Reviewed	351	400	400	400
Savings Realized as a Result of the Board's Actions (\$)	794,968	750,000	750,000	750,000

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	4	0	0	4	4	0	4	0

Financial Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	290,512	326,148	308,583	308,229	0	314,414	314,060	0
Other Expenses	167,968	176,418	195,669	191,682	0	196,601	189,883	0
<u>Capital Outlay</u>								
Equipment	0	95	4,000	100	0	1,000	100	0
TOTAL-General Fund	458,480	502,661	508,252	500,011	0	512,015	504,043	0
<u>Additional Funds Available</u>								
Bond Funds	0	3,210	0	0	0	0	0	0
TOTAL - All Funds	458,480	505,871	508,252	500,011	0	512,015	504,043	0

Review & Approve Proposed RE Transactions**AGENCY FINANCIAL SUMMARY - GENERAL FUND**

Current Expenses by Minor Object	2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	275,537	298,702	303,252	0	307,874	0
Other Positions	9,958	17,338	0	0	0	0
Other	5,017	10,108	5,331	0	6,540	0
TOTAL-Personal Services Gross	290,512	326,148	308,583	0	314,414	0
Less Reimbursements						
Less Turnover						
TOTAL-Personal Services Net	290,512	326,148	308,583	0	314,414	0
<u>Other Expenses-Contractual Services</u>						
Dues and Subscriptions	625	704	747	0	794	0
Telecommunication Services	571	643	656	0	665	0
General Repairs	889	1,002	1,023	0	1,037	0

Budget Summary

Fees for Non-Professional Services	23,167	26,101	26,649	0	27,022	0
DP Services, Rentals and Maintenance	316	356	2,801	0	1,007	0
Travel	66	74	76	0	77	0
Other Contractual Services	138,872	143,637	159,748	0	161,984	0
Printing & Binding	26	29	30	0	30	0
<i>Other Expenses-Commodities</i>						
Books	64	72	74	0	75	0
Office Supplies	2,768	3,119	3,184	0	3,229	0
<i>Other Expenses-Sundry</i>						
Sundry - Other Items	604	681	681	0	681	0
TOTAL-Other Expenses Gross	167,968	176,418	195,669	0	196,601	0
Less Reimbursements						
TOTAL-Other Expenses Net	167,968	176,418	195,669	0	196,601	0

Character & Major Object Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	290,512	326,148	308,583	308,229	0	314,414	314,060	0
Other Expenses Net	167,968	176,418	195,669	191,682	0	196,601	189,883	0
Capital Outlay	0	95	4,000	100	0	1,000	100	0
TOTAL-General Fund Net	458,480	502,661	508,252	500,011	0	512,015	504,043	0
<i>Additional Funds Available</i>								
Bond Funds	0	3,210	0	0	0	0	0	0
TOTAL-All Funds Net	458,480	505,871	508,252	500,011	0	512,015	504,043	0

CONTRACTING STANDARDS BOARD

AGENCY DESCRIPTION

The Contracting Standards Board is a fourteen member board appointed to oversee all state contracts. The Board shall conduct a comprehensive review of existing state contracting and procurement laws, regulations and practices. The board is responsible for:

- Recommending the repeal of repetitive, conflicting, or obsolete state procurement laws;
- Making recommendations regarding information systems for state procurement including data element and design and the state contracting portal;
- Developing a guide to state statutes and regulations concerning procurement for use by all state contracting agencies;
- Helping state contracting agencies comply with state laws and regulations by providing guidance, models, advice, and practical assistance to their staff related to (a) buying the best service at the best price; (b) properly selecting contractors; and (c) drafting contracts that achieve state goals of accountability, transparency, and results-based outcomes and protect taxpayers' interests; and
- Adopting regulations and policies to carry out state procurement laws in order to facilitate consistent application and require the implementation of best procurement practices.

AGENCY PROGRAMS

Personnel Summary	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	0	0	10	10	0	10	0	10

Agency Programs by Total Funds (Net of Reimbursements)	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Contracting Standards Board Operations	0	665,000	0	1,308,223	1,308,223	0	1,099,836	1,099,836
TOTAL Agency Programs - All Funds Gross	0	665,000	0	1,308,223	1,308,223	0	1,099,836	1,099,836
Less Turnover								
TOTAL Agency Programs - All Funds Net	0	665,000	0	1,308,223	1,308,223	0	1,099,836	1,099,836
<u>Summary of Funding</u>								
General Fund Net	0	665,000	0	1,308,223	1,308,223	0	1,099,836	1,099,836
TOTAL Agency Programs - All Funds Net	0	665,000	0	1,308,223	1,308,223	0	1,099,836	1,099,836

CONTRACTING STANDARDS BOARD OPERATIONS

Statutory Reference

C.G.S. Sections 4e-1 to 4e-48

The Board is also responsible for adopting procurement regulations and reviewing, monitoring, and auditing state contracting agencies' procurement processes.

Statement of Need and Program Objectives

The Board shall conduct a comprehensive review of existing state contracting and procurement laws, regulations and practices.

Personnel Summary	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	0	0	10	10	0	10	0	10

Budget-in-Detail

Financial Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	0	0	0	708,123	708,123	0	749,736	749,736
Other Expenses	0	0	0	600,000	600,000	0	350,000	350,000
<u>Capital Outlay</u>								
Equipment	0	0	0	100	100	0	100	100
<u>Other Current Expenses</u>								
Contracting Standards Board	0	665,000	0	0	0	0	0	0
TOTAL-General Fund	0	665,000	0	1,308,223	1,308,223	0	1,099,836	1,099,836

Contracting Standards Board Operations

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object

	2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	0	0	0	693,547	0	735,160
Other	0	0	0	14,576	0	14,576
TOTAL-Personal Services Gross	0	0	0	708,123	0	749,736
Less Reimbursements						
Less Turnover						
TOTAL-Personal Services Net	0	0	0	708,123	0	749,736
<u>Other Expenses-Contractual Services</u>						
Dues and Subscriptions	0	0	0	1,000	0	1,000
Rentals, Storage and Leasing	0	0	0	35,000	0	35,000
Telecommunication Services	0	0	0	5,000	0	5,000
General Repairs	0	0	0	3,000	0	3,000
Fees for Outside Professional Services	0	0	0	53,000	0	53,000
DP Services, Rentals and Maintenance	0	0	0	4,300	0	4,300
Postage	0	0	0	1,000	0	1,000
Travel	0	0	0	10,000	0	10,000
Other Contractual Services	0	0	0	160,000	0	160,000
Advertising	0	0	0	5,000	0	5,000
Printing & Binding	0	0	0	5,200	0	5,200
<u>Other Expenses-Commodities</u>						
Office Supplies	0	0	0	22,500	0	22,500
<u>Other Expenses-Sundry</u>						
Sundry - Other Items	0	0	0	295,000	0	45,000
TOTAL-Other Expenses Gross	0	0	0	600,000	0	350,000
Less Reimbursements						
TOTAL-Other Expenses Net	0	0	0	600,000	0	350,000
<u>Other Current Expenses</u>						
Contracting Standards Board	0	665,000	0	0	0	0
TOTAL-Other Current Expenses	0	665,000	0	0	0	0

Character & Major Object Summary	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	0	0	0	708,123	708,123	0	749,736	749,736
Other Expenses Net	0	0	0	600,000	600,000	0	350,000	350,000
Capital Outlay	0	0	0	100	100	0	100	100
Other Current Expenses	0	665,000	0	0	0	0	0	0
TOTAL-General Fund Net	0	665,000	0	1,308,223	1,308,223	0	1,099,836	1,099,836

STATE TREASURER

AGENCY DESCRIPTION

The State Treasurer, elected for a term of four years as prescribed by the State Constitution, is responsible for the safe custody of the property and money of the State and makes disbursements on warrants drawn and presented by the State Comptroller.

The Treasurer invests the State's General Fund as well as the assets of the State's pensions, trusts and other funds. The Treasurer administers the issuance of State bonds and the payment of principal and interest thereon. The Treasurer also manages the process of the borrowing of those funds, the

repayment of which is a limited or contingent liability of the State.

The Treasurer also serves as the custodian for all unclaimed property remitted to the State. The Treasurer safeguards these assets, publicizes the names of the rightful owners and returns those assets to the owners as they come forward.

The Second Injury Fund, a workers' compensation fund financed by assessments on businesses, is also under the jurisdiction of the Treasurer.

AGENCY PROGRAM INDEX

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RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2009-2010</u>	<u>2010-2011</u>
• Remove or Limit Inflation	-7,064	-11,865
• Rollout FY2009 Rescissions	-8,630	-8,630
• Eliminate Vacant Positions	-400,000	-400,000
• OE/OCE General Reductions	-12,200	-12,200
• Transfer Equipment to CEPF	-13,100	-13,100

AGENCY PROGRAMS

Personnel Summary	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	44	9	0	53	53	47	53	47
Unclaimed Property Fund	28	6	0	34	34	34	34	34
Bond Funds	3	0	0	3	3	3	3	3
Second Injury & Compensation Assurance	43	19	0	62	62	62	62	62
Investment Trust Fund	24	5	0	29	29	29	29	29
Private Funds	6	1	0	7	7	7	7	7

Other Positions Equated to Full Time	2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	1	3	11	3	11	3

Agency Programs by Total Funds (Net of Reimbursements)	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Debt Management	73,063,324	192,777,008	126,379,083	126,377,978	126,376,982	132,426,508	132,394,109	132,393,113
Investment Services	64,698,398	68,467,357	70,520,629	70,520,629	70,520,629	72,635,500	72,635,500	72,635,500
Cash Management	5,397,880	5,992,778	5,964,940	5,964,744	5,964,448	6,186,011	6,130,310	6,130,014
Second Injury Fund	24,157,429	26,360,685	27,150,005	27,150,005	27,150,005	27,963,003	27,963,003	27,963,003

Unclaimed Property & Escheats	6,230,525	8,632,595	8,891,198	8,891,198	8,891,198	9,157,558	9,157,558	9,157,558
Agency Management Services	4,875,169	5,557,016	6,301,435	6,063,896	5,631,258	6,488,220	6,176,596	5,743,958
TOTAL Agency Programs - All Funds Gross	178,422,725	307,787,439	245,207,290	244,968,450	244,534,520	254,856,800	254,457,076	254,023,146
Less Turnover	0	0	-146,533	-146,533	-146,533	-150,789	-150,789	-150,789
TOTAL Agency Programs - All Funds Net	178,422,725	307,787,439	245,060,757	244,821,917	244,387,987	254,706,011	254,306,287	253,872,357
<i>Summary of Funding</i>								
General Fund Net	3,818,529	4,610,651	5,096,547	4,857,707	4,423,777	5,311,962	4,912,238	4,478,308
Unclaimed Property Fund	6,863,763	9,326,098	9,605,508	9,605,508	9,605,508	9,893,298	9,893,298	9,893,298
Special Funds, Non-Appropriated	514,629	1,462,056	962,056	962,056	962,056	962,056	962,056	962,056
Bond Funds	37,571,115	48,482,748	60,008,775	60,008,775	60,008,775	74,485,045	74,485,045	74,485,045
2nd Injury & Comp Assurance	7,028,655	8,907,855	9,173,590	9,173,590	9,173,590	9,447,295	9,447,295	9,447,295
Investment Trust Fund	66,068,907	69,914,548	72,011,237	72,011,237	72,011,237	74,170,826	74,170,826	74,170,826
Private Funds	56,557,127	165,083,483	88,203,044	88,203,044	88,203,044	80,435,529	80,435,529	80,435,529
TOTAL Agency Programs - All Funds Net	178,422,725	307,787,439	245,060,757	244,821,917	244,387,987	254,706,011	254,306,287	253,872,357

DEBT MANAGEMENT

Statutory Reference

C.G.S. Chapter 32, Part I

Statement of Need and Program Objectives

Administer the State's bond and debt financing program, including the sale of State bonds. Monitor the bond markets, financing structures and economic trends that affect interest rates in order to realize favorable bond issuances. Oversee the issuance of bonds to finance State capital projects, refinance outstanding debt when appropriate, manage debt service payments and cash flow borrowing, and provide information and data to private credit rating agencies.

Program Description

The State of Connecticut finances a wide array of capital projects and programs through the issuance of general obligation bonds backed by the general taxing power of the State. The projects include construction or repair of State offices, institutions, colleges and universities and prisons. The programs include loans or grants for housing, school construction, economic development, venture capital, community care facilities, development rights for farmland and open space acquisition. During fiscal year 2008, the Division completed the sale of \$2.2 billion of taxable general obligation bonds as part of an initiative to improve the funded status of the State Teacher's Retirement Fund.

Special tax obligation bonds, backed by a dedicated stream of gasoline tax and motor vehicle fines, fees and related charges, are issued to fund the non-federal share of the Transportation Infrastructure Renewal Program including mass transit facilities and highway projects.

The division manages the issuance of the general and special obligation bonds of the University of Connecticut under a capital investment program to rebuild and refurbish the University of Connecticut. That program, which began in

1995, was extended to 2015 by the 2002 General Assembly in a third phase that will include the University Health Center.

The Debt Management Division has developed several revenue bonding programs to meet other State financing needs. The Clean Water Fund bonding program enhances the State's Clean Water Fund, which provides grants and loans to finance the planning, design and construction of water quality projects throughout the State including projects to improve water quality in Long Island Sound. Other specialized bonding programs include the Bradley International Airport bonding program and the Rate Reduction Bonding program for electric utility stranded costs.

Elements of the bond issuance process include: preparing an official Statement to disclose all pertinent information regarding the State's economy and fiscal condition to underwriters and bond investors; making presentations with the Office of Policy and Management to Moody's, Standard and Poor's and Fitch to obtain a credit rating on each issue; reviewing with bond and tax attorneys individual projects that may be included in a bond issue to assure that each qualifies for the federal tax exemption; structuring the issue for market acceptance; pricing the issue by competitive bid or negotiated sale and investing and releasing bond proceeds in accordance with bond indenture and federal tax requirements.

Elements of the effective management of the State's existing debt portfolio include: arranging for payment of principal and interest; projecting, budgeting and reporting debt service requirements; screening existing debt portfolio for refunding opportunities; defeasing, redeeming or calling existing bonds as appropriate; coordinating with other agencies and the Legislature regarding the State's bonding programs; communicating with individual and institutional investors, and complying with tax, disclosure and bond indenture requirements under the various bonding programs.

Budget-in-Detail

Personnel Summary

Permanent Full-Time Positions	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	9	1	0	10	10	10	10	10
Bond Funds	3	0	0	3	3	3	3	3
			2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
Other Positions Equated to Full Time			Actual	Estimated	Requested	Recommended	Requested	Recommended
General Fund			0	1	1	0	1	0

Financial Summary

(Net of Reimbursements)	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	570,711	693,436	838,676	838,676	838,676	887,148	856,606	856,606
Other Expenses	50,399	53,080	54,185	53,080	52,084	54,937	53,080	52,084
TOTAL-General Fund	621,110	746,516	892,861	891,756	890,760	942,085	909,686	908,690
<i>Additional Funds Available</i>								
Special Funds, Non-Appropriated	514,629	1,462,056	962,056	962,056	962,056	962,056	962,056	962,056
Bond Funds	37,548,099	48,482,748	60,008,775	60,008,775	60,008,775	74,485,045	74,485,045	74,485,045
Private Funds	34,379,486	142,085,688	64,515,391	64,515,391	64,515,391	56,037,322	56,037,322	56,037,322
TOTAL - All Funds	73,063,324	192,777,008	126,379,083	126,377,978	126,376,982	132,426,508	132,394,109	132,393,113

Debt Management

INVESTMENT SERVICES

Statutory Reference

C.G.S. Chapter 32, Part I

Statement of Need and Program Objectives

To maximize the long-term investment return on pension assets with an acceptable degree of risk so that beneficiary benefit payments are made when due and contributions required from the State and municipal plan sponsors are minimized.

Program Description

Under the direction of the Treasurer and with the advice of the Investment Advisory Council, which is made up of State union representatives and gubernatorial and legislative appointed members, the Pension Funds Management Division manages the Connecticut Retirement Plans and Trust Funds (CRPTF) for the benefit of six pension funds and eight trust funds involving approximately 160,000 active and retired participants. The pension funds are the Teachers' Retirement Fund, State Employees' Retirement Fund, Municipal Employees' Retirement Fund, Probate Court Retirement Fund and the State Judges' and State Attorneys' Retirement Funds.

The Combined Investment Funds in which the CRPTF's cash flow is invested through the purchase of units are the Liquidity Fund, - money market instruments; the Mutual Equity Fund, Developed International Stock Fund, and the

Emerging Markets International Stock Fund - domestic and international equities; the Core Fixed Income Fund, Inflation Linked Bond Fund - domestic and international fixed income securities; the Real Estate Fund - core and opportunistic real estate funds; the Private Investment Fund - venture capital, buyout and other private equity fund investments, Commercial Mortgage Fund - commercial and Yankee Mac mortgages and the Alternative strategies which do not fall into traditional classes.

The investment funds are externally managed with performance oversight maintained by the Pension Funds Management Division.

The principal activities involved in attaining the program's goals are the appropriate allocation of each pension fund's cash flow into the investment funds and the supervision of external portfolio managers to ensure superior long-term investment results. The allocation process includes cash flow projections and formulating and reviewing short- and long-term investment policy, implementing policy with cash flow and redemption of assets and monitoring performance. The program is also charged with ensuring that all investments are in compliance with State statutes, pertinent investment guidelines, which are encompassed by the Investment Policy Statement, which is posted on the Treasury website; and, the Treasury's corporate governance policies, including the voting of proxies to maximize long-term shareholder value.

Personnel Summary	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
Investment Trust Fund	14	3	0	17	17	17	17	17

Financial Summary	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
<u>Additional Funds Available</u>								
Investment Trust Fund	64,698,398	68,467,357	70,520,629	70,520,629	70,520,629	72,635,500	72,635,500	72,635,500
TOTAL - All Funds	64,698,398	68,467,357	70,520,629	70,520,629	70,520,629	72,635,500	72,635,500	72,635,500

Investment Services**CASH MANAGEMENT****Statutory Reference**

C.G.S. Chapter 32, Part I

Statement of Need and Program Objectives

To protect State deposits through effective internal operations that maximize investment balances, while meeting the State's financial obligations, by speeding deposits, controlling disbursements, minimizing banking costs and balances and providing accurate cash forecasts. To achieve as high a level of income in the Treasurer's Short-Term Investment Fund (STIF), which is an investment pool for the operating cash of State and local governments, as is consistent with the safety of principal and the provision of liquidity. To provide responsive services to STIF investors, including municipalities and local entities. To attain competitive yields through prudent management of investment funds with longer time horizons than STIF, which includes investment in banks that meet standards for financial strength and community support and investment on other securities permitted by statutes. To improve operating efficiency through more use of electronic payments, electronic data interchange (EDI), credit card payments and automation. To provide technical assistance and help with banking services to State agencies, authorities, municipalities and local entities.

Program Description

The Cash Management Division is responsible for managing the State's cash, banking relationships and its short-term

investment programs, such as the Short-Term Investment Fund (STIF), the Medium-Term Investment Fund (STIF Plus), and other portfolios of permissible investments.

The Bank Control and Reconciliation Unit tracks the State's internal and external cash flow. The unit is also responsible for the reconciliation of Treasury bank accounts, the administration of stop payments and check reissues and the release of State payroll and vendor checks.

The Cash Control Unit forecasts daily and long-term cash availability, funds disbursement accounts, concentrates cash from depository banks, sweeps idle cash into short-term investment vehicles to maximize investment balances and executes electronic transfers.

The Short-Term Investments Unit invests STIF assets, monitors custodian activity and prepares quarterly and annual reports. The unit also administers STIF accounts for State agencies and authorities and municipal and local entities. In addition, the unit manages the Grant Express program, the Debt Express program, and the Clean Water program, which enable municipalities to transfer funds associated with these programs directly into and out of their STIF accounts.

The Client Services Unit consults with State agencies on initiatives to speed the deposit of funds, identifies mechanisms to reduce banking costs, reviews requests by State agencies for new bank accounts, maintains records of the State's bank accounts, and reviews bank invoices and compensation.

Personnel Summary	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	15	1	0	16	16	16	16	16
Private Funds	4	1	0	5	5	5	5	5

Budget-in-Detail

Financial Summary	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,176,600	1,517,185	1,355,268	1,355,268	1,355,268	1,438,306	1,382,934	1,382,934
Other Expenses	9,784	10,304	10,500	10,304	10,008	10,633	10,304	10,008
TOTAL-General Fund	1,186,384	1,527,489	1,365,768	1,365,572	1,365,276	1,448,939	1,393,238	1,392,942
<u>Additional Funds Available</u>								
Private Funds	4,211,496	4,465,289	4,599,172	4,599,172	4,599,172	4,737,072	4,737,072	4,737,072
TOTAL - All Funds	5,397,880	5,992,778	5,964,940	5,964,744	5,964,448	6,186,011	6,130,310	6,130,014

Cash Management

SECOND INJURY FUND

Statutory Reference

C.G.S. Chapter 568, Part E; Sections 31-349 and 31-354

Statement of Need and Program Objectives

To relieve employers from liabilities under the Workers' Compensation Act by providing benefits for certain types of workers' compensation claims. The Fund is charged with providing indemnity and/or medical benefits to claimants assigned to it by order of the Workers' Compensation Commissioners, and to negotiate settlements of appropriate claims to offset long-term costs to the businesses that pay annual assessments to the Fund.

Program Description

The Second Injury Fund, administered by the Treasurer, is a State-run workers' compensation insurance fund that pays lost

wages and medical benefits to qualified injured workers. The Fund manages and has liability for workers' compensation claims which involve: an uninsured employer or a bankrupt employer who fails to secure workers' compensation insurance; a pro rata liability for indemnity payments paid to any worker who had more than one employer at the time of injury; benefits to widows and dependents when the deceased died as a result of a work-related injury; and COLA payments for certain types of claims.

The Second Injury Fund operations are financed by assessments on Connecticut employers. Insured employers pay a surcharge on their workers' compensation insurance policies based on annual standard premiums. The assessment for self-insured employers is based on workers' compensation loss costs for medical and indemnity benefits incurred in the prior calendar year.

Personnel Summary	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Second Injury & Compensation Assurance	38	19	0	57	57	57	57	57

Financial Summary	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<u>Additional Funds Available</u>								
2nd Injury & Comp Assurance	6,289,923	7,957,154	8,194,368	8,194,368	8,194,368	8,438,697	8,438,697	8,438,697
Private Funds	17,867,506	18,403,531	18,955,637	18,955,637	18,955,637	19,524,306	19,524,306	19,524,306
TOTAL - All Funds	24,157,429	26,360,685	27,150,005	27,150,005	27,150,005	27,963,003	27,963,003	27,963,003

Second Injury Fund

UNCLAIMED PROPERTY AND ESCHEATS

Statutory Reference

C.G.S. Chapter 32, Part III; Section 3-56a through 3-76; PA 03-1, Secs 66-84; Secs 46-47; PA 04-216, Secs 53, 56, 57, 58

Statement of Need and Program Objectives

To locate and reunite rightful owners with their unclaimed property and ensure that all unclaimed property, as defined

by statute, is rendered to the State for safeguarding. To administer Connecticut's unclaimed property and escheats statutes. Deposits into the General Fund, for the use of the State, those funds collected in excess of claims paid and certain costs incident to the collection and recovery of such funds and property.

Program Description

Under Connecticut General Statutes, the Treasurer is custodian of all unclaimed property remitted to the State. A primary activity of the Unclaimed Property Division is to reunite owners with their property, administered through outreach efforts and advertising of its *Name It and Claim It* program. Another core activity of the division is its *Holder*

Outreach program. Targeted to businesses and organizations, this program promotes compliance with the statutory obligation to report and remit unclaimed property to the State by the annual March 31st deadline.

The division administers the statutes and is authorized and required to: ensure that unclaimed or abandoned property is transferred to the Treasurer; safeguard all unclaimed property; examine holder records and maintain permanent files; ensure that all unclaimed property, as defined by statute, is rendered to the State for safeguarding; process claims filed; conduct audits to ensure holder reporting compliance; pay rightful owners without deduction for costs incurred; and pay interest to rightful owners as required.

Personnel Summary	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
Unclaimed Property Fund	26	5	0	31	31	31	31	31

Financial Summary	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<i>(Net of Reimbursements)</i>								
<u>Additional Funds Available</u>								
Unclaimed Property Fund	6,230,525	8,632,595	8,891,198	8,891,198	8,891,198	9,157,558	9,157,558	9,157,558
TOTAL - All Funds	6,230,525	8,632,595	8,891,198	8,891,198	8,891,198	9,157,558	9,157,558	9,157,558

Unclaimed Property & Escheats

MANAGEMENT SERVICES

Statutory Reference

C.G.S. Chapter 32 and Article Fourth of the State Constitution

Statement of Need and Program Objectives

To direct and support the operations of the Office of the State Treasurer by establishing long- and short-term goals and objectives and coordinating and providing management services. To provide support services for Pension Funds Management, Cash Management, Debt Management, Unclaimed Property and the Second Injury Fund, to increase operating efficiencies within the Treasury and to ensure compliance with State statutes and regulations.

Program Description

The Executive Office is responsible for overall policy, planning and general administration designed to: enhance the financial integrity and soundness of Treasury operations; provide direction and leadership in carrying out Treasury functions and foster economic well-being of the State and its citizens and businesses within the confines of fiduciary standards. Specific activities include legislative affairs and public information, responsible investment relations, management services, legal services, community outreach and development of financial literacy programs.

The main objective of the Executive Office is to ensure that the Treasury adheres to the highest order of public values, fiscal prudence and ethics in the conduct of the people's business.

The Policy Unit administers the State's corporate governance program, which was launched in FY2000, making Connecticut an active responsible institutional investor in order to maximize shareholder value over the long term.

Connecticut's shareholder activism includes both exercising proxy voting responsibility and taking steps such as filing shareholder resolutions, engaging in dialogues with portfolio companies and supporting resolutions filed by other shareholders on issues including: accounting practices, independence of board directors, electing board members, executive compensation, global working conditions, board diversity and environmental risk and opportunity.

The Management Services Unit includes the personnel, information services and business office services.

Personnel Services establishes and implements personnel standards and procedures; processes and maintains personnel records and administers the Treasury's training and employee assistance programs.

Information Services furnishes overall local area network (LAN) system management and support; maintains all

Budget-in-Detail

electronic data processing equipment; formulates system standards and controls and provides analysis, application development and program support services.

Business Office Services performs the accounting, purchasing, payroll and property requirements of the Treasury; prepares

the agency budget; oversees allocation of resources to meet operational requirements and establishes and maintains business control over internal operations of all Treasury divisions.

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	20	7	0	27	27	21	27	21
Unclaimed Property Fund	2	1	0	3	3	3	3	3
Second Injury & Compensation Assurance	5	0	0	5	5	5	5	5
Investment Trust Fund	10	2	0	12	12	12	12	12
Private Funds	2	0	0	2	2	2	2	2

	2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Other Positions Equated to Full Time</i>						
General Fund	1	2	10	3	10	3

Financial Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	1,765,886	2,069,862	2,690,484	2,458,298	2,058,298	2,773,844	2,471,489	2,071,489
Other Expenses	245,049	266,784	280,767	275,414	255,876	284,683	275,414	255,876

Capital Outlay

Equipment	100	0	13,200	13,200	100	13,200	13,200	100
TOTAL-General Fund	2,011,035	2,336,646	2,984,451	2,746,912	2,314,274	3,071,727	2,760,103	2,327,465

Additional Funds Available

Unclaimed Property Fund	633,238	693,503	714,310	714,310	714,310	735,740	735,740	735,740
Bond Funds	23,016	0	0	0	0	0	0	0
2nd Injury & Comp Assurance	738,732	950,701	979,222	979,222	979,222	1,008,598	1,008,598	1,008,598
Investment Trust Fund	1,370,509	1,447,191	1,490,608	1,490,608	1,490,608	1,535,326	1,535,326	1,535,326
Private Funds	98,639	128,975	132,844	132,844	132,844	136,829	136,829	136,829
TOTAL - All Funds	4,875,169	5,557,016	6,301,435	6,063,896	5,631,258	6,488,220	6,176,596	5,743,958

Agency Management Services

AGENCY FINANCIAL SUMMARY - GENERAL FUND

<i>Current Expenses by Minor Object</i>	2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	3,386,966	4,082,955	4,431,718	4,072,726	4,662,557	4,147,387
Other Positions	56,900	74,673	360,368	93,499	360,368	93,499
Other	67,435	122,855	92,342	86,017	76,373	70,143
Overtime	1,896	0	0	0	0	0
TOTAL-Personal Services Gross	3,513,197	4,280,483	4,884,428	4,252,242	5,099,298	4,311,029
Less Reimbursements						
Less Turnover	0	0	-146,533	-146,533	-150,789	-150,789
TOTAL-Personal Services Net	3,513,197	4,280,483	4,737,895	4,105,709	4,948,509	4,160,240

Other Expenses-Contractual Services

Dues and Subscriptions	25,769	30,137	30,770	28,830	31,200	28,830
Rentals, Storage and Leasing	13,573	15,295	15,616	15,295	15,835	15,295
Telecommunication Services	27,929	33,414	34,114	30,480	34,592	30,480
General Repairs	3,798	4,001	4,085	4,001	4,142	4,001
Motor Vehicle Expenses	8,203	7,010	8,821	5,676	8,945	5,676
Fees for Outside Professional Services	8,777	9,242	9,469	9,242	9,636	9,242
Fees for Non-Professional Services	72,990	79,877	81,555	79,877	82,696	79,877
DP Services, Rentals and Maintenance	7,945	8,778	8,543	8,778	8,662	8,778
Postage	10,423	11,398	11,637	11,398	11,800	11,398
Travel	28,831	24,365	32,023	18,840	32,472	18,840
Other Contractual Services	1,795	1,890	1,930	1,890	1,957	1,890
Advertising	6,628	6,981	7,128	6,981	7,228	6,981
Printing & Binding	480	506	516	506	523	506

Other Expenses-Commodities

Agriculture, Horticulture, Dairy & Food	2,334	2,458	2,507	1,968	2,557	1,968
Books	145	153	156	153	158	153
Maintenance and Motor Vehicle Supplies	5,456	6,246	6,374	5,636	6,419	5,636
Medical Supplies	161	170	179	170	187	170
Office Supplies	51,649	57,393	58,598	57,393	59,420	57,393

Other Expenses-Sundry

Sundry - Other Items	28,346	30,854	31,431	30,854	31,824	30,854
TOTAL-Other Expenses Gross	305,232	330,168	345,452	317,968	350,253	317,968
Less Reimbursements						
TOTAL-Other Expenses Net	305,232	330,168	345,452	317,968	350,253	317,968

Character & Major Object Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	3,513,197	4,280,483	4,737,895	4,505,709	4,105,709	4,948,509	4,560,240	4,160,240
Other Expenses Net	305,232	330,168	345,452	338,798	317,968	350,253	338,798	317,968
Capital Outlay	100	0	13,200	13,200	100	13,200	13,200	100
TOTAL-General Fund Net	3,818,529	4,610,651	5,096,547	4,857,707	4,423,777	5,311,962	4,912,238	4,478,308

Additional Funds Available

Unclaimed Property Fund	6,863,763	9,326,098	9,605,508	9,605,508	9,605,508	9,893,298	9,893,298	9,893,298
Special Funds, Non-Appropriated	514,629	1,462,056	962,056	962,056	962,056	962,056	962,056	962,056
Bond Funds	37,571,115	48,482,748	60,008,775	60,008,775	60,008,775	74,485,045	74,485,045	74,485,045
2nd Injury & Comp Assurance	7,028,655	8,907,855	9,173,590	9,173,590	9,173,590	9,447,295	9,447,295	9,447,295
Investment Trust Fund	66,068,907	69,914,548	72,011,237	72,011,237	72,011,237	74,170,826	74,170,826	74,170,826
Private Funds	56,557,127	165,083,483	88,203,044	88,203,044	88,203,044	80,435,529	80,435,529	80,435,529
TOTAL-All Funds Net	178,422,725	307,787,439	245,060,757	244,821,917	244,387,987	254,706,011	254,306,287	253,872,357

STATE COMPTROLLER

AGENCY DESCRIPTION

The State Comptroller is one of six constitutional state officers. The Office of the State Comptroller (OSC) is

responsible for administering the state payroll, retirement, employee benefits, health insurance and accounting systems.

AGENCY PROGRAM INDEX

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RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2009-2010</u>	<u>2010-2011</u>
• Remove or Limit Inflation	-30,789	-51,695
• Rollout FY2009 Rescissions	-328,228	-328,228
• Eliminate Vacant Positions	0	0

Twelve unfunded vacant positions are eliminated.

• OE/OCE General Reductions	-7,399	-7,399
• Transfer Equipment to CEPF	-130,000	-250,000

Reallocations or Transfers

• Core-CT Consolidation	1,831,000	1,837,000
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The Department of Information Technology is sending funding and twenty-six positions to the State Comptroller and the State Comptroller is sending funding and two positions to the Department of Administrative Services.

AGENCY PROGRAMS

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	251	16	1	268	268	280	268	280

Agency Programs by Total Funds

(Net of Reimbursements)	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Fiscal Policy	5,210,430	5,164,825	6,846,380	5,625,885	5,539,840	7,109,632	5,891,093	5,628,640
Payroll Services	2,070,605	2,157,804	2,194,328	2,331,113	1,796,024	2,211,792	2,386,134	1,835,412
Accounts Payable	1,895,976	2,005,235	2,082,192	2,166,210	2,065,300	2,139,777	2,217,355	2,110,574
Retirement & Benefit Services	7,047,351	6,921,157	7,169,843	7,434,286	7,365,375	7,413,330	7,617,657	7,510,272
Budget & Fiscal Analysis	3,801,655	3,950,959	4,156,987	4,263,731	2,510,758	4,290,042	4,364,654	2,563,829
Information Technology Division	7,812,748	5,962,285	5,782,941	6,156,397	0	6,010,621	6,354,302	0
Core-CT	0	0	0	0	10,065,698	0	0	10,433,841
TOTAL Agency Programs - All Funds Gross	27,838,765	26,162,265	28,232,671	27,977,622	29,342,995	29,175,194	28,831,195	30,082,568
Less Turnover	0	0	-250,000	-1,003,039	-1,003,039	-250,000	-1,021,550	-1,021,550
TOTAL Agency Programs - All Funds Net	27,838,765	26,162,265	27,982,671	26,974,583	28,339,956	28,925,194	27,809,645	29,061,018

Summary of Funding

General Fund Net	25,526,522	26,162,265	27,982,671	26,974,583	28,339,956	28,925,194	27,809,645	29,061,018
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Bond Funds	2,105,243	0	0	0	0	0	0	0
Private Funds	207,000	0	0	0	0	0	0	0
TOTAL Agency Programs - All Funds Net	27,838,765	26,162,265	27,982,671	26,974,583	28,339,956	28,925,194	27,809,645	29,061,018

FISCAL POLICY DIVISION

Statutory Reference

Article Fourth, State Constitution; C.G.S. Sections 3-112, 3-117, 4-33a, 4-36, 4-52 through 4-58 and 4-98

Statement of Need and Program Objectives

To provide policy and program direction for the administrative functions of the Office of the State Comptroller and to monitor the state's accounting procedures. To implement and support the information technology (IT) infrastructure for the office. To assist the Comptroller in strategic IT planning and to coordinate procurement of IT resources for the office. To provide support services for the central processes within the Comptroller's Office.

Program Description

The Fiscal Policy Division consists of two separate units. The Statewide Program Unit is responsible for establishing and monitoring accounting and financial policies; developing and promulgating complex accounting systems and procedures to

maximize accountability, standardization and cost effectiveness; monitoring agency compliance with these systems and procedures; reviewing reports of the Auditors of Public Accounts to ensure agency compliance with auditing recommendations; establishing procedures for state property inventory control records; monitoring activities of trustee funds; internal control; purchasing card and bank account establishment and processing of union funded tuition and travel reimbursements. The Technology Management Unit is responsible for developing and maintaining the Comptroller's internet website and implementing and supporting the office's desktop computer and network infrastructure. Technical services provided include local area network (LAN) planning, administration, and support; personal computer (PC) installation and troubleshooting; training in desktop software applications; help desk support for all PC users; and support services for the central processes within the Comptroller's Office.

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
General Fund	55	16	1	72	72	37	72	37

Financial Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
(Net of Reimbursements)	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	4,011,275	4,007,042	5,506,824	4,331,016	4,298,189	5,589,094	4,432,847	4,393,317
Other Expenses	1,113,427	1,157,783	1,186,056	1,164,769	1,241,551	1,262,538	1,208,146	1,235,223

Capital Outlay

Equipment	100	0	153,500	130,100	100	258,000	250,100	100
TOTAL-General Fund	5,124,802	5,164,825	6,846,380	5,625,885	5,539,840	7,109,632	5,891,093	5,628,640

Additional Funds Available

Bond Funds	85,628	0	0	0	0	0	0	0
TOTAL - All Funds	5,210,430	5,164,825	6,846,380	5,625,885	5,539,840	7,109,632	5,891,093	5,628,640

Fiscal Policy

PAYROLL SERVICES DIVISION

Statutory Reference

Article Fourth, State Constitution; C.G.S. Sections 3-112, 3-119, 5-260, 5-261 and 5-262

Statement of Need and Program Objectives

To ensure that all state employees are paid, payroll tax records are maintained, and that federal and state income tax withholding and social security contributions are deposited.

Program Description

The Payroll Services Division is responsible for paying all state employees, handling all payroll deductions, maintaining records on payroll taxes, and depositing federal and state

income tax withholding and social security contributions. The division pre-audits and issues state employee reports, maintains wage execution records and administers savings bonds and direct deposit programs.

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	28	0	0	28	28	22	28	22

Financial Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	2,044,914	2,142,409	2,179,013	2,315,625	1,782,714	2,196,262	2,370,070	1,822,169
Other Expenses	25,691	15,395	15,315	15,488	13,310	15,530	16,064	13,243
TOTAL-General Fund	2,070,605	2,157,804	2,194,328	2,331,113	1,796,024	2,211,792	2,386,134	1,835,412

Payroll Services**ACCOUNTS PAYABLE DIVISION****Statutory Reference**

Article Fourth, State Constitution; C.G.S. Sections 3-112, 3-113 and 3-117

Statement of Need and Program Objectives

To provide, maintain, and modify an integrated central accounting system for the State's accounting function and preserve purchasing and expenditure integrity.

Program Description

The Accounts Payable Division manages the centralized accounts payable function for the State; manages the 96,000 record vendor profile database; maintains financial records, including garnishments/offsets through the vendor file database within the State's Core-CT administrative and financial system; initiates and monitors the pay cycle process for the generation of payments in settlement of the State's obligations; conducts post transactional examinations of

encumbrances and expenditures for compliance; and performs a variety of necessary processing activities to satisfy federal or state requirements or in response to requests under the Freedom of Information Act and others. The division also processes special payments such as tax-exempt bond funds, debt service, legal settlements, land condemnations, federal pass-through and state grants through various methods such as checks, electronic funds transfers, wire transfers, and interagency transfers. The division enforces accounting provisions mandated by state and federal law and by the Comptroller's policies; generates summary and detailed reports of payments to municipalities and provides assistance to municipalities' independent auditors in the reconciliation of such payments; develops and maintains accounting manuals and provides training to agencies' business office staff, and processes and distributes Forms 1099MISC, 1099-S, and 1099-G to vendors and the Internal Revenue Service.

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	25	0	0	25	25	24	25	24

Financial Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	1,878,664	1,989,840	2,066,897	2,150,723	2,049,324	2,124,281	2,201,291	2,094,680
Other Expenses	15,152	15,395	15,295	15,487	15,976	15,496	16,064	15,894
TOTAL-General Fund	1,893,816	2,005,235	2,082,192	2,166,210	2,065,300	2,139,777	2,217,355	2,110,574

Additional Funds Available

Bond Funds	2,160	0	0	0	0	0	0	0
TOTAL - All Funds	1,895,976	2,005,235	2,082,192	2,166,210	2,065,300	2,139,777	2,217,355	2,110,574

Accounts Payable**RETIREMENT AND BENEFIT SERVICES DIVISION****Statutory Reference**

Article Fourth, State Constitution; C.G.S. Sections 3-119, 3-123a, 5-257, 5-259, 5-259b, 5-259c, 5-264 and 5-264a

Statement of Need and Program Objectives

To administer and maintain the records and accounts of the State Employees Retirement System, the Alternate Retirement Program and other state pension plans except the Teachers' Retirement System. To administer state employee and retiree health insurance and other benefits including the State deferred compensation plan.

Program Description

As the agent of the State Employees Retirement Commission, the Retirement and Benefit Services Division administers all state pension plans except the Teachers' Retirement System, providing a comprehensive package of services ranging from pre-retirement counseling to post-mortem accounting. The division is also responsible for administering retiree health insurance.

The division administers active state employee benefits including health insurance and the State deferred compensation plan. In addition, the division is responsible for providing staff support to the Health Care Cost Containment Committee, administers continuation coverage (COBRA) for terminated employees and/or their dependents, and administers supplemental benefit programs.

The division provides counseling services to members of the pension plans it administers; manages computer, accounting, investigatory, payroll, training, recordkeeping and compliance activities attendant to the State's complex retirement programs; analyzes and implements statutory, collectively-bargained and federally-mandated revisions to the pension plans within its jurisdiction; plans and develops new products and services and conducts, as part of this effort, research and analysis of retirement conditions and trends; provides direction for plan design, benefit administration and interpretation and policy for all State insurance benefits, including medical, surgical, hospital and life insurance; negotiates with providers to supply insurance; monitors providers; and monitors utilization and costs.

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
General Fund	80	0	0	80	80	79	80	79

Financial Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
(Net of Reimbursements)	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	6,107,631	6,300,237	6,508,529	6,809,619	6,700,063	6,742,076	6,969,726	6,848,351
Other Expenses	727,909	620,920	661,314	624,667	665,312	671,254	647,931	661,921
TOTAL-General Fund	6,835,540	6,921,157	7,169,843	7,434,286	7,365,375	7,413,330	7,617,657	7,510,272

Additional Funds Available

Bond Funds	4,811	0	0	0	0	0	0	0
Private Funds	207,000	0	0	0	0	0	0	0
TOTAL - All Funds	7,047,351	6,921,157	7,169,843	7,434,286	7,365,375	7,413,330	7,617,657	7,510,272

Retirement & Benefit Services**BUDGET AND FISCAL ANALYSIS DIVISION****Statutory Reference**

Article Fourth, State Constitution; C.G.S. Sections 3-112 and 3-115

Statement of Need and Program Objectives

To record and analyze state expenditures and receipts. To monitor spending from appropriated accounts to ensure compliance with established accounting and budgeting procedures. To work with relevant agencies to correct

problems when financial reporting discrepancies are discovered.

Program Description

The Budget and Financial Analysis Division (BFA) performs the state's accounting, cost accounting and financial reporting functions. The division posts, analyzes and reports state expenditures and receipts by fund and account category inclusive of federal and other funding sources. The division computes and reports direct and indirect costs associated with major state programs. This cost data is recorded and maintained in accordance with federal law and is used to secure reimbursements from federal and other funding

sources. At the Comptroller's direction, the division prepares a monthly analysis of the state's budget condition that contains the financial statements for the latest month and projects the budget position to year's end. The division publishes two of the Comptroller's annual financial reports: a budgetary base (modified cash basis of accounting) report that details and analyzes State expenditures, receipts, and capital budget activities for the fiscal year; and a Comprehensive Annual Financial Report (CAFR) prepared in accordance with Generally Accepted Accounting Principles that analyzes the State's overall fiscal position and provides audited financial statements for State and State supported fiscal activities.

Personnel Summary	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	44	0	0	44	44	44	44	44

Financial Summary	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	3,697,450	3,850,262	4,055,737	4,161,560	2,415,400	4,187,650	4,259,407	2,468,858
Other Expenses	84,635	82,105	81,680	82,601	75,788	82,822	85,677	75,401
<i>Pmts to Other Than Local Governments</i>								
Governmental Accounting Standards Bd	19,570	18,592	19,570	19,570	19,570	19,570	19,570	19,570
TOTAL-General Fund	3,801,655	3,950,959	4,156,987	4,263,731	2,510,758	4,290,042	4,364,654	2,563,829

Budget & Fiscal Analysis

INFORMATION TECHNOLOGY DIVISION

Statutory Reference

Article Fourth, State Constitution; C.G.S. Sections 3-112 and 3-119

Statement of Need and Program Objectives

To manage and operate the Core-CT system.

Program Description

The division supports and maintains Core-CT, the State's enterprise-wide financial, human resource, and payroll system.

As part of the Core-CT consolidation, this division along with Comptroller's staff assigned to Core-CT in other divisions and staff being transferred from the Department of Information Technology are being combined in a separate Core-CT program.

Personnel Summary	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	19	0	0	19	19	2	19	2

Financial Summary	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	2,003,318	2,113,608	1,758,740	2,284,496	0	1,770,714	2,338,209	0
Other Expenses	3,796,786	3,848,677	4,024,201	3,871,901	0	4,239,907	4,016,093	0
TOTAL-General Fund	5,800,104	5,962,285	5,782,941	6,156,397	0	6,010,621	6,354,302	0

Additional Funds Available

Bond Funds	2,012,644	0	0	0	0	0	0	0
TOTAL - All Funds	7,812,748	5,962,285	5,782,941	6,156,397	0	6,010,621	6,354,302	0

Information Technology Division

CORE-CT**Statutory Reference**

Article Fourth, State Constitution; C.G.S. Sections 3-112 and 3-119

Statement of Need and Program Objectives

To develop, support, manage and operate the Core-CT system.

Program Description

This program will support and maintain Core-CT, the State's enterprise-wide financial, human resource, and payroll

system. The system performs the State's accounting, accounts payable, accounts receivable, purchasing, billing, project management, human resource, time and attendance, payroll, and benefits administration functions and is used by over 10,000 state employees.

As part of the Core-CT consolidation, Comptroller's staffs assigned to Core-CT in various divisions along with staff being transferred from the Department of Information Technology are being combined in this separate Core-CT program.

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	0	0	0	0	0	72	0	72

Financial Summary

(Net of Reimbursements)	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	0	0	0	0	6,453,349	0	0	6,596,175
Other Expenses	0	0	0	0	3,612,349	0	0	3,837,666
TOTAL-General Fund	0	0	0	0	10,065,698	0	0	10,433,841

Core-CT**AGENCY FINANCIAL SUMMARY - GENERAL FUND**

Current Expenses by Minor Object	2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Actual	Estimated	Requested	Recommended	Requested	Recommended
<u>Personal Services</u>						
Permanent Fulltime Positions	18,402,623	19,248,236	20,808,618	22,357,291	21,307,661	22,852,106
Other Positions	290,555	280,266	302,926	325,536	313,947	332,741
Other	635,644	497,061	537,249	577,348	535,905	590,126
Overtime	414,430	377,835	426,947	438,864	452,564	448,577
TOTAL-Personal Services Gross	19,743,252	20,403,398	22,075,740	23,699,039	22,610,077	24,223,550
Less Reimbursements						
Less Turnover	0	0	-250,000	-1,003,039	-250,000	-1,021,550
TOTAL-Personal Services Net	19,743,252	20,403,398	21,825,740	22,696,000	22,360,077	23,202,000

Budget Summary

Other Expenses-Contractual Services

Dues and Subscriptions	17,458	9,705	7,764	9,205	7,872	9,557
Rentals, Storage and Leasing	37,465	482,732	32,001	473,588	32,449	491,697
Telecommunication Services	91,254	99,527	96,982	92,357	98,340	95,889
General Repairs	83,247	80,125	78,077	78,607	79,170	81,613
Motor Vehicle Expenses	15,277	10,210	9,948	10,016	10,087	10,400
Fees for Outside Professional Services	269,990	243,485	266,527	238,873	270,751	248,008
Fees for Non-Professional Services	85,696	93,076	90,698	91,316	91,967	94,805
DP Services, Rentals and Maintenance	4,291,430	3,726,398	4,371,212	3,655,815	4,586,721	3,795,605
Postage	548,171	680,346	699,460	667,459	769,556	692,982
Travel	14,800	1,645	17,517	1,526	17,831	1,584
Other Contractual Services	19,792	20,596	20,000	20,206	20,280	20,978
Advertising	7,202	7,896	7,695	7,747	7,802	8,043
Printing & Binding	10,493	4,468	4,353	4,383	4,414	4,551

Other Expenses-Commodities

Agriculture, Horticulture, Dairy & Food	4,404	0	3,850	0	3,927	0
Books	4,184	3,812	3,716	3,741	3,768	3,884
Maintenance and Motor Vehicle Supplies	6,388	8,382	8,160	6,654	8,160	6,908
Office Supplies	209,295	199,382	195,532	195,601	199,081	203,082
Refunds of Expenditures Not Otherwise Classified	139	152	148	149	150	155

Other Expenses-Sundry

Sundry - Other Items	46,915	68,338	70,221	67,043	75,221	69,607
TOTAL-Other Expenses Gross	5,763,600	5,740,275	5,983,861	5,624,286	6,287,547	5,839,348
Less Reimbursements						
TOTAL-Other Expenses Net	5,763,600	5,740,275	5,983,861	5,624,286	6,287,547	5,839,348

Pmts to Other Than Local Govts

Governmental Accounting Standards Bd	19,570	18,592	19,570	19,570	19,570	19,570
TOTAL-Pmts to Other Than Local Govts	19,570	18,592	19,570	19,570	19,570	19,570

Character & Major Object Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	19,743,252	20,403,398	21,825,740	21,050,000	22,696,000	22,360,077	21,550,000	23,202,000
Other Expenses Net	5,763,600	5,740,275	5,983,861	5,774,913	5,624,286	6,287,547	5,989,975	5,839,348
Capital Outlay	100	0	153,500	130,100	100	258,000	250,100	100
Payments to Other Than Local Governments	19,570	18,592	19,570	19,570	19,570	19,570	19,570	19,570
TOTAL-General Fund Net	25,526,522	26,162,265	27,982,671	26,974,583	28,339,956	28,925,194	27,809,645	29,061,018

Additional Funds Available

Bond Funds	2,105,243	0	0	0	0	0	0	0
Private Funds	207,000	0	0	0	0	0	0	0
TOTAL-All Funds Net	27,838,765	26,162,265	27,982,671	26,974,583	28,339,956	28,925,194	27,809,645	29,061,018

DEPARTMENT OF REVENUE SERVICES

AGENCY DESCRIPTION

The Department of Revenue Services ascertains the correctness of state taxes paid and insures compliance with the tax laws and regulations of the State of Connecticut. It also researches and estimates the effects of various taxing options proposed by policy makers. The agency is organized into four programs designed to accomplish these objectives:

Management Services consists of the department's administration, information, appellate and legal functions which provide technical, legal and taxpayer information assistance, support services and records management needs

to the entire department and the general public.

Operations consists of two functions: operations and information services. This program internally accounts for all tax documents and payments and serves the data processing needs of the agency.

Audit administers audit compliance and administrative programs for Connecticut taxes.

Collection and Enforcement assures that all tax, penalty and interest due the state are paid.

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Information Systems.....	Management Services.....	141.....
Audit		141

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2009-2010</u>	<u>2010-2011</u>
• Remove or Limit Inflation	-232,872	-391,525
• Rollout FY2009 Rescissions	-221,288	-221,288
• OE/OCE General Reductions	-184,940	-184,940
• Transfer Equipment to CEPF	-359,206	-364,478
• Reduce Operational Expenditures in the Agency Management Services Program	-200,000	-200,000
• Reduce the Information Services Program Staffing by 2 positions	-178,950	-178,950

AGENCY PROGRAMS

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	752	16	0	768	768	766	768	766

Agency Programs by Total Funds

(Net of Reimbursements)	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Operations	15,672,208	16,031,775	17,699,995	16,575,528	16,805,724	18,425,373	17,257,902	17,488,098
Information Systems	5,552,949	4,996,213	6,836,870	6,419,973	5,939,999	6,856,378	6,534,416	5,954,622
Audit	24,862,128	26,985,328	29,765,014	28,054,058	28,028,351	31,010,610	29,299,906	29,274,199
Collections & Enforcement	5,980,515	6,945,821	7,316,709	6,913,202	6,907,099	7,633,360	7,225,365	7,219,262
Management Services	20,611,871	20,795,567	19,956,835	18,195,225	17,332,249	20,287,144	18,200,540	17,432,292
TOTAL Agency Programs - All Funds Gross	72,679,671	75,754,704	81,575,423	76,157,986	75,013,422	84,212,865	78,518,129	77,368,473
Less Turnover	0	0	-737,024	-1,037,204	-1,037,024	-751,764	-1,051,764	-1,051,764
TOTAL Agency Programs - All Funds Net	72,679,671	75,754,704	80,838,399	75,120,782	73,976,398	83,461,101	77,466,365	76,316,709
<u>Summary of Funding</u>								
General Fund Net	67,838,972	72,775,930	80,838,399	75,120,782	73,976,398	83,461,101	77,466,365	76,316,709
Federal and Other Activities	77,890	19,879	0	0	0	0	0	0

Budget-in-Detail

Bond Funds	4,754,590	2,958,895	0	0	0	0	0	0
Private Funds	8,219	0	0	0	0	0	0	0
TOTAL Agency Programs - All Funds Net	72,679,671	75,754,704	80,838,399	75,120,782	73,976,398	83,461,101	77,466,365	76,316,709

OPERATIONS

Statutory Reference

C.G.S. Title 12

Statement of Need and Program Objectives

To process and deposit revenues from 40 different state taxes in a timely manner and to administer and maintain the agency's Information Services System.

Program Description

This program is made up of two subprograms: the Operations Division and the Information Services Division that support the program's objectives.

OPERATIONS DIVISION

Statutory Reference

C.G.S. Title 12

Statement of Need and Program Objectives

To rapidly process and deposit all state tax revenues which finance the operations of the entire state government.

Program Description

Annually, more than \$14.0 billion from more than 40 different tax sources are deposited on the day of receipt. The processing of 4.3 million tax returns involves the following key

activities: registering taxpayers and issuing tax registration numbers, permits, licenses and motor carrier tax decals; processing incoming tax returns and payments and depositing revenues on day of receipt; verifying tax returns and creating bills and delinquencies to ensure collection of all revenues due to the state; verifying the timely issuance of any refunds; generating various reports on tax collection revenues; developing tax forms and publications and entering data and controlling all types of tax returns, corrections, registrations and accounts receivable batches.

Program Measure	2007-2008 Actual	2008-2009 Estimated	2009-2010 Projected	2010-2011 Projected
Tax Returns processed/year (000)	4,300	4,400	4,500	4,500
Permits/decals issued (000)	33	33	33	33
Refunds processed	1,137,000	1,250,000	1,375,000	1,500,000
Payments on billings	197,000	217,000	239,000	263,000
Amount of payments on billings (\$000)	880,000	968,000	1,065,000	1,200,000
Tax return errors resolved within quarterly filing cycle (%)	91%	92%	92%	92%
Tax refunds issued without interest expense (%)	99.2%	99.2%	99.2%	99.2%
New Registrants	50,000	32,000	32,000	32,000
Number of returns filed electronically (included in total returns processed) (000)	2,000	2,100	2,200	2,300

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	177	4	0	181	181	181	181	181

Financial Summary (Net of Reimbursements)	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	12,699,853	13,020,647	14,450,688	13,564,400	13,564,400	15,133,062	14,246,774	14,246,774
Other Expenses	2,968,954	3,011,128	3,119,307	3,011,128	3,241,324	3,162,311	3,011,128	3,241,324
<u>Capital Outlay</u>								
Equipment	0	0	130,000	0	0	130,000	0	0
TOTAL-General Fund	15,668,807	16,031,775	17,699,995	16,575,528	16,805,724	18,425,373	17,257,902	17,488,098
<u>Additional Funds Available</u>								
Bond Funds	3,401	0	0	0	0	0	0	0
TOTAL - All Funds	15,672,208	16,031,775	17,699,995	16,575,528	16,805,724	18,425,373	17,257,902	17,488,098

Operations**INFORMATION SERVICES DIVISION****Statutory Reference**

C.G.S. Title 12

Statement of Need and Program Objectives

To effectively support the department in the efficient and cost effective administration of taxes through the use of technology. To further develop and maintain all agency Information Systems and supporting technology. To enhance the capacity of other agency programs to secure additional tax revenue by supplying vital information through a

management information system.

Program Description

All divisions of the agency depend on the Information Services Division for: systems design, development and implementation; data communications network; technological support for data processing, office automation, internal e-mail, anti-virus, computer security, personal computers and laptops; technological training; equipment evaluation, acquisition and maintenance; and storage and retrieval of electronic records.

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	57	3	0	60	60	58	60	58

Financial Summary

Financial Summary (Net of Reimbursements)	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	3,619,492	3,879,042	5,023,721	4,821,007	4,641,877	5,032,044	4,935,450	4,656,500
Other Expenses	1,101,202	1,117,171	1,576,689	1,598,966	1,298,122	1,592,156	1,598,966	1,298,122
<u>Capital Outlay</u>								
Equipment	0	0	236,460	0	0	232,178	0	0
TOTAL-General Fund	4,720,694	4,996,213	6,836,870	6,419,973	5,939,999	6,856,378	6,534,416	5,954,622
<u>Additional Funds Available</u>								
Bond Funds	832,255	0	0	0	0	0	0	0
TOTAL - All Funds	5,552,949	4,996,213	6,836,870	6,419,973	5,939,999	6,856,378	6,534,416	5,954,622

Information Systems**AUDIT****Statutory Reference**

C.G.S. Title 12 and Chapters 216, 217 and 218

Statement of Need and Program Objectives

To determine the accuracy of tax reporting through a comprehensive field and office audit of targeted accounts in order to maximize tax revenue and induce compliance

among the entire taxpayer population.

Program Description

The Audit Division is organized to administer audit programs for approximately 40 state taxes. The division performs the following functions:

Performs field audits of Connecticut and out-of-state based taxpayers to determine, by independent verification, the extent of their tax obligation to the state. The division operates seven field audit units and conducts more than 4,700 field audits annually resulting in more than \$250 million in assessments.

Conducts office audits of Connecticut and out-of-state based taxpayers to determine the extent of their tax obligation to the state. The division conducts approximately 30,000 office audits annually resulting in more than \$220 million in assessments.

Develops computer and manual audit selection programs that analyze the total population of tax returns to determine the most eligible candidates for audit and

maintains automated and centralized control programs to develop pertinent audit and statistical information.

Directs agency, interagency and interstate compliance programs that include liaison and reciprocal agreements with the federal government, other state governments, other Connecticut agencies and private organizations.

Assists taxpayers in the preparation of tax returns and the maintenance of related tax records. Reviews, verifies and processes claims for tax refunds and credits.

Conducts a discovery program for investigations, special projects and analysis of tax specific and industry specific issues that typically results in an additional \$25 million per year in state revenue based on compliance from newly found taxpayers.

Monitors internal activities to review and evaluate the division's compliance with established policies, procedures and performance standards. Develops and administers an EDP Audit Program and administers all aspects of Inheritance taxation.

Program Measure	2007-2008 Actual	2008-2009 Estimated	2009-2010 Projected	2010-2011 Projected
Field Audit Assessments (\$000)	253,206	195,000	204,750	215,000
Office Audit Assessments (\$000)	227,333	238,700	250,550	263,000
Field Audits Conducted (#)	4,793	4,900	4,900	4,900
Office Audit Cases Conducted (#)	33,711	35,200	35,200	35,200
Total refunds (#)	394	435	435	435
Total refunds (\$000)	25,725	27,000	28,350	28,350
Assessments per Field Audit (\$)	52,828	39,796	41,786	43,878
Assessments per Office Audit (\$)	6,744	6,781	7,118	7,472

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	297	2	0	299	299	299	299	299

Financial Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	23,324,340	25,458,398	28,095,487	26,438,452	26,438,452	29,341,635	27,684,300	27,684,300
Other Expenses	1,505,109	1,526,930	1,647,316	1,615,606	1,589,899	1,668,975	1,615,606	1,589,899

Capital Outlay

Equipment	0	0	22,211	0	0	0	0	0
TOTAL-General Fund	24,829,449	26,985,328	29,765,014	28,054,058	28,028,351	31,010,610	29,299,906	29,274,199

Additional Funds Available

Bond Funds	15,954	0	0	0	0	0	0	0
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Federal Contributions

20205 Highway Planning & Construction	16,725	0	0	0	0	0	0	0
TOTAL - All Funds	24,862,128	26,985,328	29,765,014	28,054,058	28,028,351	31,010,610	29,299,906	29,274,199

Audit

COLLECTION AND ENFORCEMENT

Statutory Reference

C.G.S. Title 12

Statement of Need and Program Objectives

To ensure the collection of overdue state taxes and enforce compliance by those who do not voluntarily pay taxes through an efficient, comprehensive and highly visible collection and enforcement program.

Program Description

Through a progression of specific collection, compliance and enforcement procedures, 75 employees collect more than \$113 million in overdue revenue annually.

The office collection agents conduct the first level of the collection process. The agents are primarily responsible for initiating telephone contact to resolve overdue accounts prior to referring cases to the next level. Upon identification, chronic debtors or high-risk cases are immediately referred for enforcement action. Additionally, more than 100,000 overdue notices are mailed annually. The agents respond to

inquiries, complaints and requests for repayment schedules initiated by the taxpayer in response to those mailings.

Each year approximately 10,000 overdue accounts are referred to the next level in the collection process. This would include collection of tax warrants, permit suspension hearings, imposition of security bonds and filing of tax liens and bankruptcy proofs of claims. The division's compliance/enforcement efforts begin at this level. These efforts include on-site investigations of citizen complaints pertaining to tax violations; routine inspections of problematic vendors; and follow-up of leads gained through audit examinations, other state regulatory agencies and advertisements. These civil-related matters are performed by revenue agents assigned to the Field Section.

The last level in this process involves criminal matters. Criminal investigations are referred to enforcement agents in the Special Investigation Section. Their activities may lead to arrests in cases involving operating without valid permits, bad checks, refusal to file/pay or filing of fraudulent returns and smuggling of contraband fuel, cigarettes and alcohol.

Program Measure	2007-2008 Actual	2008-2009 Estimated	2009-2010 Projected	2010-2011 Projected
Revenue collected (\$000)	113,626	115,000	117,000	120,000
Criminal investigations opened	827	850	875	900
Arrests	146	150	150	150
Tax Warrants	5,185	5,250	5,300	5,300
Permit Suspension Hearings	627	650	675	700
Percentage of overdue taxpayers to known taxpayer base (%)	3.2%	3.2%	3.2%	3.2%

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	76	3	0	79	79	79	79	79

Financial Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
(Net of Reimbursements)	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	5,612,887	6,563,714	6,947,913	6,550,974	6,550,974	7,260,076	6,863,137	6,863,137
Other Expenses	357,059	362,228	368,796	362,228	356,125	373,284	362,228	356,125
TOTAL-General Fund	5,969,946	6,925,942	7,316,709	6,913,202	6,907,099	7,633,360	7,225,365	7,219,262

Additional Funds Available

Bond Funds	2,567	0	0	0	0	0	0	0
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Federal Contributions

20205 Highway Planning & Construction	8,002	19,879	0	0	0	0	0	0
TOTAL - All Funds	5,980,515	6,945,821	7,316,709	6,913,202	6,907,099	7,633,360	7,225,365	7,219,262

Collections & Enforcement

MANAGEMENT SERVICES

Statutory Reference

C.G.S. Title 12

Statement of Need and Program Objectives

To set department policy and direction; to allocate, manage and monitor programs and resources; to provide support services and legal and research assistance within the department and to the Governor's Office, legislators and the public.

Program Description

The Executive Office sets departmental policy and direction; oversees legislative activities and legislatively mandated programs; determines public relations policy; and handles relations with the Governor's Office, legislature and taxpayers. It administers the department's taxpayer records confidentiality and internal audit programs.

The Legal Division serves as in-house legal counsel to the department. The division is responsible for drafting regulations and legislation, issuing rulings to taxpayers and tax practitioners, on all Connecticut taxes, issuing legal opinions to the commissioner and other department officials on the proper interpretation of Connecticut tax statutes, reviewing issues of tax policy and representing the commissioner in all succession tax litigation.

The Taxpayer Services Division promotes voluntary taxpayer compliance through public information, taxpayer education and assistance activities. Assistance activities include responding to taxpayer inquiries concerning bills, refunds, registration issues and general tax issues received by telephone, letter, in person and by e-mail. Employees assist in completing State applications returns and offer preparation and electronic filing of Federal and State income tax returns. The division provides speakers about state taxes to organizations and businesses. The division is also responsible for responding to requests from taxpayers and other state agencies for copies of taxpayers' tax returns and other confidential information and for administering exemption programs for farmers, fishermen and nonprofit organizations. The division maintains and updates the Department's Internet site and the Taxpayer Answer Center.

The Research Unit analyzes, prepares and disseminates the statistics generated by the Department, including preparation of the Annual Report and statistical overview of the Income Tax. The unit acts as liaison with the Connecticut General Assembly and is responsible for the submission of the Department's legislative package as well as working with the various committees of cognizance to facilitate other legislative initiatives. In addition, the unit administers the Neighborhood Assistance Act Tax Credit Program and responds to requests for information from other states as well as Connecticut's legislative and executive branches of government.

The Appellate Division receives, acknowledges and reviews all taxpayer protests (after final billing) of audit assessments and of corporate officer and successor liability impositions. It also reviews taxpayer protests of proposed disallowances of claims for refund. This division conducts hearings of appeals and is the final administrative adjudicator of the issues presented. It works closely with taxpayers, their legal and accounting representatives, as well as other divisions of the department.

The Administrative Services staff prepares and administers the agency budget, controls and monitors expenses. It also oversees all procurement, printing, budgetary, accounting and facilities management for the agency. Its Education Unit establishes, implements and evaluates personnel training and educational programs to help support management effectiveness, technical expertise and personal development of agency employees. The Personnel and Payroll units administer the rules, regulations, contracts and directives concerning state employment and recruit the staff for the agency.

The Litigation Division represents the Department in litigated tax appeals before the Tax Session of the Connecticut Superior Court. The Litigation Division is responsible for all aspects of these litigated tax appeals, including conducting discovery, filing and responding to pleadings, drafting and submitting pre- and post trial memoranda of law and conducting all legal research related thereto, preparation of witnesses, conducting trials and drafting settlement agreements and closing documents. In addition, the Litigation Division represents the Department in all court ordered pretrial/settlement conferences held by and before the presiding judge of the Tax Session of the Connecticut Superior Court. The Litigation Division also acts as the Department liaison with the Office of the Attorney General, assisting the Office in preparing and arguing appeals of decisions of the Tax Session to the State's Appellate and Supreme Courts.

The Office of Planning and Organizational Development manages the agency's strategic planning process to ensure that business objectives are clearly defined and communicated, and that they are focused on what is best from an enterprise-wide perspective. It objectively evaluates what processes need to change, identifies and assesses opportunities and establishes measures to monitor business progress. The Unit assists in defining and rolling out strategies, exploring new ways of doing business, implementing new technology, and streamlining processes and procedures. This Office also includes the Internal Audit Division whose function is to serve the agency by performing independent evaluations of the adequacy and effectiveness of the agency's internal control structure and operations in order to provide reasonable assurance that agency assets and information are properly safeguarded and business risks are adequately mitigated.

Program Measure	2007-2008 Actual	2008-2009 Estimated	2009-2010 Projected	2010-2011 Projected
Taxpayer Inquiries	408,532	409,000	409,000	409,000
Training programs/Individuals trained	35/2621	30/1200	30/1200	30/1200
Appeals Hearings	1,363	1,000	1,025	1,050
Taxpayer Appeals - Received/Resolved	1311/1324	1200/1200	1225/1225	1250/1250

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	145	4	0	149	149	149	149	149

Financial Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	11,895,394	12,667,525	14,246,367	12,806,393	12,606,393	14,618,016	12,806,436	12,706,436
Other Expenses	4,735,959	4,964,573	4,903,510	4,803,759	4,521,277	4,971,459	4,803,759	4,521,277
<u>Capital Outlay</u>								
Equipment	0	95	372,250	359,306	100	256,875	364,578	100
<u>Other Current Expenses</u>								
Collection and Litigation Contingency	18,723	204,479	434,708	225,767	204,479	440,794	225,767	204,479
TOTAL-General Fund	16,650,076	17,836,672	19,956,835	18,195,225	17,332,249	20,287,144	18,200,540	17,432,292
<u>Additional Funds Available</u>								
Bond Funds	3,900,413	2,958,895	0	0	0	0	0	0
Private Funds	8,219	0	0	0	0	0	0	0
Federal Contributions								
20205 Highway Planning & Construction	53,163	0	0	0	0	0	0	0
TOTAL - All Funds	20,611,871	20,795,567	19,956,835	18,195,225	17,332,249	20,287,144	18,200,540	17,432,292

Management Services**AGENCY FINANCIAL SUMMARY - GENERAL FUND**

Current Expenses by Minor Object	2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	52,369,631	56,387,164	60,808,390	60,424,044	63,156,005	62,771,659
Other Positions	2,651,042	2,625,475	2,704,241	1,017,382	2,785,369	1,049,219
Other	1,513,466	1,940,325	4,596,089	1,705,214	4,768,340	1,661,150
Overtime	617,827	636,362	655,456	655,456	675,119	675,119
TOTAL-Personal Services Gross	57,151,966	61,589,326	68,764,176	63,802,096	71,384,833	66,157,147
Less Reimbursements						
Less Turnover	0	0	-737,024	-1,037,024	-751,764	-1,051,764
TOTAL-Personal Services Net	57,151,966	61,589,326	68,027,152	62,765,072	70,633,069	65,105,383
<u>Other Expenses-Contractual Services</u>						
Dues and Subscriptions	191,745	194,525	198,610	186,340	201,390	186,340
Utility Services	10,732	10,885	10,988	10,885	11,060	10,885
Rentals, Storage and Leasing	329,267	334,042	429,733	422,719	434,580	422,719

Budget-in-Detail

Telecommunication Services	756,823	767,797	783,921	762,942	794,895	762,942
General Repairs	134,803	136,758	139,630	136,758	141,585	136,758
Motor Vehicle Expenses	115,052	116,720	119,171	94,551	120,839	94,551
Fees for Outside Professional Services	1,270,658	1,289,083	1,316,253	1,289,083	1,334,783	1,289,083
Fees for Non-Professional Services	45,180	45,836	46,798	45,836	47,453	45,836
DP Services, Rentals and Maintenance	3,977,755	4,300,513	4,601,973	4,517,228	4,659,655	4,517,228
Postage	1,539,802	1,510,381	1,593,148	1,559,411	1,615,453	1,559,411
Travel	842,871	855,094	873,051	718,290	885,274	718,290
Other Contractual Services	49,309	50,024	51,076	50,024	51,791	50,024
Advertising	24,652	25,009	25,534	25,009	25,891	25,009
Printing & Binding	551,567	559,565	571,316	459,565	579,314	459,565
<i>Other Expenses-Commodities</i>						
Agriculture, Horticulture, Dairy & Food	1,007	1,022	1,042	818	1,063	818
Books	5,547	5,628	5,746	5,628	5,827	5,628
Maintenance and Motor Vehicle Supplies	58,031	58,862	58,864	47,109	58,865	47,109
Medical Supplies	2,372	2,406	2,534	2,406	2,648	2,406
Office Supplies	658,776	614,062	682,361	568,327	691,915	568,327
Refunds of Expenditures Not Otherwise Classified	2,389	2,424	2,475	2,424	2,510	2,424
<i>Other Expenses-Sundry</i>						
Sundry - Other Items	99,945	101,394	101,394	101,394	101,394	101,394
TOTAL-Other Expenses Gross	10,668,283	10,982,030	11,615,618	11,006,747	11,768,185	11,006,747
Less Reimbursements						
TOTAL-Other Expenses Net	10,668,283	10,982,030	11,615,618	11,006,747	11,768,185	11,006,747
<i>Other Current Expenses</i>						
Collection and Litigation Contingency	18,723	204,479	434,708	204,479	440,794	204,479
TOTAL-Other Current Expenses	18,723	204,479	434,708	204,479	440,794	204,479

Character & Major Object Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	57,151,966	61,589,326	68,027,152	63,144,022	62,765,072	70,633,069	65,484,333	65,105,383
Other Expenses Net	10,668,283	10,982,030	11,615,618	11,391,687	11,006,747	11,768,185	11,391,687	11,006,747
Capital Outlay	0	95	760,921	359,306	100	619,053	364,578	100
Other Current Expenses	18,723	204,479	434,708	225,767	204,479	440,794	225,767	204,479
TOTAL-General Fund Net	67,838,972	72,775,930	80,838,399	75,120,782	73,976,398	83,461,101	77,466,365	76,316,709
<i>Additional Funds Available</i>								
Federal and Other Activities	77,890	19,879	0	0	0	0	0	0
Bond Funds	4,754,590	2,958,895	0	0	0	0	0	0
Private Funds	8,219	0	0	0	0	0	0	0
TOTAL-All Funds Net	72,679,671	75,754,704	80,838,399	75,120,782	73,976,398	83,461,101	77,466,365	76,316,709

DIVISION OF SPECIAL REVENUE

AGENCY DESCRIPTION

The mission of the Division of Special Revenue is to ensure the highest degree of integrity in the conduct of all forms of legalized gambling within the State of Connecticut and the federally recognized Tribal Nations within the State. The agency accomplishes its mission by licensing or permitting all individuals and entities that are involved with legalized gambling and by monitoring and educating to ensure compliance with the gaming laws and the Tribal-State agreements, thereby insuring public confidence in the honesty and fairness of all facets of gambling for the highest quality benefit to the State.

With over \$20.7 billion wagered last year on all forms of legalized gambling in Connecticut, the Division's oversight is critical to assuring public confidence in the integrity of this industry. The General Fund received in excess of \$700.2 million from gambling, making it the fourth largest revenue source for the State, surpassed only by the income tax, sales tax and corporate taxes.

The Division discharges its responsibilities through three primary types of program activities:

Gambling Regulation Program

The Casino Section monitors compliance with gaming procedures and with agreements between the State and the Mashantucket Pequot and Mohegan Tribes.

The field staff assists in ensuring compliance with the Memoranda of Understanding between the Tribes and the State. They monitor the drops and buys (removing money and recording the amount collected from each slot machine), in addition to overseeing count room activities to verify slot revenues. They review the daily cash count to discover and reconcile any differences.

The field staff inspects the inventory of slot machines on each casino floor to maintain records of the status of the machines and to ensure that each machine is approved by the Independent Gaming Lab. In FY 2008, \$411.4 million was contributed to the State under the Memoranda of Understanding, with Foxwoods contributing \$190.0 million and the Mohegan Sun contributing \$221.4 million.

The Lottery section ensures the integrity of the operation of the Connecticut Lottery Corporation (CLC) by reviewing procedures governing operation of the Lottery; enforcing statutory mandates and regulations; conducting field inspections of CLC headquarters, the Lottery on-line vendor and approximately 3,000 lottery agent locations; and overseeing the testing of new lottery games. The Lottery transferred \$283.0 million into the General Fund in FY 2008. The division regulated lottery sales of almost \$998.1 million during FY 2008.

The Off-Track Betting section ensures the integrity of the Off-Track Betting system operations and betting activity by monitoring compliance with statutes and regulations involving licensing and integrity issues and oversees daily operations of telephone betting and eleven facilities, ten of which are simulcast facilities. Off-Track Betting provided \$4.6 million to the General Fund in FY 2008.

Charitable Games Program

Sells sealed tickets, issues registrations and/or permits for authorized charitable gaming activities, and assures compliance with applicable statutes and regulations through field oversight. Gambling activities by charitable organizations produced total receipts in excess of \$43.9 million. The Charitable Games program provided in excess of \$1.2 million to the General Fund in the year ending June 30, 2008.

Management Services Program

Includes Executive Management and support units - Licensing and Security Administration, Business Management, Human Resources, Administrative Hearings, Legislation, Legal, and Affirmative Action.

Energy Conservation Statement

Planned energy conservation activities for the next biennium include regular maintenance of HVAC, the use of energy efficient lighting technologies and equipment, and resetting or adjusting building temperature controls. This is a continuation of the efforts undertaken in the past two fiscal years.

The Gaming Policy Board is recommended for consolidation with the Division of Special Revenue in the Governor's budget as part of her proposal to streamline state government.

AGENCY PROGRAM INDEX

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RECOMMENDED SIGNIFICANT CHANGES

	<u>2009-2010</u>	<u>2010-2011</u>
Reductions to Current Services		
• Remove or Limit Inflation	-32,528	-49,295
• Rollout FY2009 Rescissions	-5,827	-5,827
• OE/OCE General Reductions	-19,501	-19,501
• Eliminate or Defer New Leases	-44,844	-44,844
• Transfer Equipment to CEPF	-170,262	-288,007
Reallocations or Transfers		
• Streamline Agency Operations - Transfer Gaming Policy Board Function to DSR	2,903	2,903

AGENCY PROGRAMS

Personnel Summary	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	112	7	0	119	119	119	119	119
Private Funds	29	0	0	29	29	29	29	29

<i>Other Positions Equated to Full Time</i>	2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	4	3	4	0	4	0

Agency Programs by Total Funds (Net of Reimbursements)	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Gambling Regulation	9,073,712	9,545,237	9,568,408	9,435,340	9,433,839	9,861,836	9,479,919	9,478,418
Charitable Games	1,426,281	1,637,386	1,739,316	1,572,951	1,564,951	1,727,895	1,606,862	1,598,862
Management Services	3,498,254	4,360,920	4,218,139	3,975,404	3,747,374	4,522,716	4,176,339	3,830,564
TOTAL Agency Programs - All Funds Gross	13,998,247	15,543,543	15,525,863	14,983,695	14,746,164	16,112,447	15,263,120	14,907,844
Less Turnover	0	0	-224,181	-224,181	-224,181	-224,181	-224,181	-224,181
TOTAL Agency Programs - All Funds Net	13,998,247	15,543,543	15,301,682	14,759,514	14,521,983	15,888,266	15,038,939	14,683,663

Summary of Funding

General Fund Net	6,607,857	7,803,731	7,583,222	7,041,054	6,803,523	8,174,750	7,325,423	6,970,147
Bond Funds	3,821,523	4,198,340	3,774,661	3,774,661	3,774,661	3,769,717	3,769,717	3,769,717
Private Funds	3,568,867	3,541,472	3,943,799	3,943,799	3,943,799	3,943,799	3,943,799	3,943,799
TOTAL Agency Programs - All Funds Net	13,998,247	15,543,543	15,301,682	14,759,514	14,521,983	15,888,266	15,038,939	14,683,663

GAMBLING REGULATION**Statutory Reference**

Section 12-571, 12-572, 12-574, 12-575 and 12-576

Statement of Need and Program Objectives

To ensure the integrity of licensed gambling activities by monitoring compliance with statutes, regulations, and agreements governing the operation and management of casino gambling, the Connecticut Lottery and off-track betting.

Program Description

The Casino Section of the Gambling Regulation Program has liaison officers stationed at the casinos. The casino liaison officers are responsible for monitoring compliance with

gaming procedures and Tribal-State Agreements relating to video facsimile ("slot") machines. Field staff oversees the daily operation of casinos which includes monitoring the drops and buy and overseeing count room activities to verify slot revenues. The casino staff also maintains records on all slot machines to insure they meet minimum standards for payout purposes. They track movement of all slot machines in and out of the casino and verify that they have been independently tested.

~~They track movement of all slot machines in and out of the casino and verify they have been independently tested.~~

Lottery regulatory functions include ensuring compliance with the statutes, regulations and official procedures

including on-site inspection of approximately 3,000 lottery agent sites. Central office regulatory staff has the authority to initiate hearings for violations. They also coordinate and review tests for lottery products and betting systems, review all procedures review denials of lottery agent applications for licensure, and provide testimony at administrative hearings. They also verify that the facilities post information pertaining to the availability of treatment for chronic gambling.

The Off-Track Betting (OTB) operation presently includes eleven OTB facilities, telephone betting, and the totalizator hub (which transfers the signal and odds computed by the tracks) for all OTB wagering. OTB section employees are located at the Division of Special Revenue central office in Newington, the hub site in New Haven and the simulcast sites in New Haven and Windsor Locks. All other OTB facilities located throughout the State are visited by regulatory personnel on a random basis.

The central office staff ensure compliance with the regulations that govern the OTB operation, simulcasting, and telephone betting. Compliance includes the enforcement of rules for each of the wagering pools and approval of the procedures which govern common-pool wagering with out-of-state tracks or with locations outside

of the United States, and the manual merging of pools from within and outside of the State.

The field staff ensures compliance with applicable statutes, regulations and policies including monitoring facilities for public safety issues, ensure that the facilities comply with the prohibition against minors entering the premises and posting information pertaining to the availability of treatment for chronic gambling. Hub liaison officers monitor the closing of wagering pools, report on totalizator and race control incidents, and monitor currency exchange rates.

The Integrity Assurance/Technical Services section audits all licensed legalized gambling entities including the casinos and the Connecticut Lottery Corporation (CLC). The unit produces accounting and financial reports on State revenues from the casino slot machines, pari-mutuel wagering, and charitable gaming. The auditing section of the unit performs acceptance testing on the wagering systems of the CLC and the pari-mutuel industry. The unit also manages the agency information systems. Support services include maintaining the Division's database of all casino, lottery and pari-mutuel licensees, and all Charitable Games permittees.

Program Measure	2007-2008 Actual	2008-2009 Estimated	2009-2010 Projected	2010-2011 Projected
Gambling Regulation -State share of parimutuel and off-track betting revenue (\$M)	4.8	4.7	4.7	4.7

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	48	2	0	50	50	50	50	50
Private Funds	23	0	0	23	23	23	23	23
			2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
<i>Other Positions Equated to Full Time</i>			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			1	1	1	0	1	0

Financial Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	2,928,672	3,232,315	3,181,366	3,047,953	3,047,953	3,476,944	3,097,476	3,097,476
Other Expenses	166,165	191,049	183,789	184,134	182,633	186,583	184,134	182,633
TOTAL-General Fund	3,094,837	3,423,364	3,365,155	3,232,087	3,230,586	3,663,527	3,281,610	3,280,109
<u>Additional Funds Available</u>								
Bond Funds	3,754,229	3,967,000	3,760,661	3,760,661	3,760,661	3,755,717	3,755,717	3,755,717
Private Funds	2,224,646	2,154,873	2,442,592	2,442,592	2,442,592	2,442,592	2,442,592	2,442,592
TOTAL - All Funds	9,073,712	9,545,237	9,568,408	9,435,340	9,433,839	9,861,836	9,479,919	9,478,418

Gambling Regulation

CHARITABLE GAMES

Statutory Reference

Chapter 98 of the General Statutes

Statement of Need and Program Objectives

To ensure the integrity of authorized charitable gaming activities, including the sale of sealed tickets.

Program Description

The Charitable Games Program sells sealed tickets, issues registrations, and/or permits for authorized charitable gaming activities, and assures compliance with applicable statutes and regulations through field oversight. The program's functions are divided between central office administrative operations and field activities. Central office

staff review registration and permit applications to ensure conformance with statutory and regulatory requirements. Staff members design and develop sealed ticket games, distribute and sell sealed tickets to charitable organizations and account for revenue derived from registration and permit fees, and sealed ticket sales. Central office staff also initiate administrative proceedings for statutory and regulatory violations reported by the field staff.

Field staff inspect the conduct of charitable gaming activities, and audit the books and records of permittees. Field staff are liaisons between the central office and the permittees, assisting the permittees in complying with applicable rules, and maintain high visibility at the events they inspect. They also report statutory and regulatory violations.

Program Measure	2007-2008 Actual	2008-2009 Estimated	2009-2010 Projected	2010-2011 Projected
Charitable Games total revenue (\$M)	1.3	1.2	1.2	1.2

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	20	1	0	21	21	21	21	21

Financial Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,032,319	1,225,108	1,272,629	1,133,756	1,133,756	1,255,788	1,167,667	1,167,667
Other Expenses	376,521	395,278	452,687	425,195	417,195	458,107	425,195	417,195
TOTAL-General Fund	1,408,840	1,620,386	1,725,316	1,558,951	1,550,951	1,713,895	1,592,862	1,584,862

Additional Funds Available

Bond Funds	17,441	17,000	14,000	14,000	14,000	14,000	14,000	14,000
TOTAL - All Funds	1,426,281	1,637,386	1,739,316	1,572,951	1,564,951	1,727,895	1,606,862	1,598,862

Charitable Games

MANAGEMENT SERVICES

Statutory Reference

Section 12-559, Chapter 54

Program Description:

This program supports operational units as summarized below.

Executive Management directs efforts of all agency units, and provides management oversight of agency operations and includes: Office of the Executive Director, Legislation, Legal, Affirmative Action, Human Resources, and Administrative Hearings. In accordance with Chapter 54 of the Connecticut General Statutes, organizations or individuals who are denied gaming licenses or licensees who are found in violation of the

statutes, regulations, or Tribal-State Agreements may have an administrative hearing. The Administrative Hearings Section (AHS) of the Division of Special Revenue conducts all hearings that may then be appealed to the AHS.

The Licensing Section has administrative authority over the gambling license issuance process. The Security section is responsible for upholding statutes and regulations as the law enforcement component of Division operations.

Together the sections process all initial applications and annual renewals for lottery retailers as well as the owners, vendors and employees of pari-mutuel facilities, and all gambling-related employees and vendors at the casinos. More than 20,000 license applications are processed annually for over 16,000 casino employees and vendors, almost 3,000

lottery retailers and vendors, and 1,000 occupational licensees.

Security personnel conduct initial criminal history checks on all individuals applying for gambling licenses and track all applications sent to the Connecticut State Police for background investigation reports. Security staff investigate violations of the statutes and regulations, and testify at administrative hearings and in courts of law. They oversee

all lottery drawings and the production of lottery instant ticket games. The Unit maintains liaison with federal, state and local law enforcement agencies.

Business Management provides operational support for all components of the Division including budget preparation, purchasing, general fund accounting, building and grounds maintenance, and related services.

Personnel Summary	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	44	4	0	48	48	48	48	48
Private Funds	6	0	0	6	6	6	6	6
			2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
<i>Other Positions Equated to Full Time</i>			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			3	2	3	0	3	0
Financial Summary	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,444,176	1,857,895	1,943,750	1,706,530	1,700,703	2,122,029	1,787,564	1,781,737
Other Expenses	660,004	902,086	602,820	597,305	542,461	611,373	599,461	544,617
<u>Capital Outlay</u>								
Equipment	0	0	170,362	170,362	100	288,107	288,107	100
<u>Other Current Expenses</u>								
Gaming Policy Board	0	0	0	0	2,903	0	0	2,903
TOTAL-General Fund	2,104,180	2,759,981	2,716,932	2,474,197	2,246,167	3,021,509	2,675,132	2,329,357
<u>Additional Funds Available</u>								
Bond Funds	49,853	214,340	0	0	0	0	0	0
Private Funds	1,344,221	1,386,599	1,501,207	1,501,207	1,501,207	1,501,207	1,501,207	1,501,207
TOTAL - All Funds	3,498,254	4,360,920	4,218,139	3,975,404	3,747,374	4,522,716	4,176,339	3,830,564

Management Services

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object	2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	4,743,683	7,735,043	8,109,734	8,103,221	8,322,663	8,316,150
Other Positions	168,034	234,676	201,903	201,903	209,777	209,777
Other	408,549	278,736	454,528	262,420	682,536	197,880
Overtime	84,901	265,944	182,349	182,349	190,554	190,554
TOTAL-Personal Services Gross	5,405,167	8,514,399	8,948,514	8,749,893	9,405,530	8,914,361
Less Reimbursements	0	-2,199,081	-2,550,769	-2,867,481	-2,550,769	-2,867,481
Less Turnover	0	0	-224,181	-224,181	-224,181	-224,181
TOTAL-Personal Services Net	5,405,167	6,315,318	6,173,564	5,658,231	6,630,580	5,822,699

Budget-in-Detail

Other Expenses-Contractual Services

Dues and Subscriptions	4,391	5,525	5,641	5,084	5,719	5,084
Utility Services	86,204	81,531	122,465	121,741	123,628	122,902
Rentals, Storage and Leasing	96,752	112,726	159,937	112,726	160,785	112,726
Telecommunication Services	57,562	61,487	62,777	59,536	63,655	59,536
General Repairs	76,823	62,326	84,055	82,326	85,232	82,326
Motor Vehicle Expenses	98,379	154,817	136,706	133,894	138,620	133,894
Fees for Outside Professional Services	161,320	358,393	8,671	8,393	8,895	8,393
Fees for Non-Professional Services	86,362	93,894	95,866	93,894	97,208	93,894
DP Services, Rentals and Maintenance	70,983	72,484	78,833	72,484	79,936	72,484
Postage	40,602	43,726	44,644	43,726	45,269	43,726
Travel	14,615	19,695	20,108	15,733	20,388	15,733
Other Contractual Services	29,609	49,121	50,151	49,119	50,853	49,119
Advertising	20,329	0	0	0	0	0
Printing & Binding	2,037	2,175	2,221	2,174	2,253	2,174

Other Expenses-Commodities

Agriculture, Horticulture, Dairy & Food	854	906	924	725	942	725
Books	887	942	962	942	975	942
Maintenance and Motor Vehicle Supplies	71,889	72,406	84,075	71,387	84,378	71,387
Fuel	92,537	85,702	110,564	110,384	111,560	111,379
Office Supplies	63,375	69,751	71,216	69,751	72,214	69,751
Refunds of Expenditures Not Otherwise Classified	250,180	284,948	290,932	284,948	295,005	284,948

Other Expenses-Sundry

Sundry - Other Items	-123,000	10,121	10,108	10,108	10,108	10,108
TOTAL-Other Expenses Gross	1,202,690	1,642,676	1,440,856	1,349,075	1,457,623	1,351,231
Less Reimbursements	0	-154,263	-201,560	-206,786	-201,560	-206,786
TOTAL-Other Expenses Net	1,202,690	1,488,413	1,239,296	1,142,289	1,256,063	1,144,445

Other Current Expenses

Gaming Policy Board	0	0	0	2,903	0	2,903
TOTAL-Other Current Expenses	0	0	0	2,903	0	2,903

Character & Major Object Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	5,405,167	6,315,318	6,173,564	5,664,058	5,658,231	6,630,580	5,828,526	5,822,699
Other Expenses Net	1,202,690	1,488,413	1,239,296	1,206,634	1,142,289	1,256,063	1,208,790	1,144,445
Capital Outlay	0	0	170,362	170,362	100	288,107	288,107	100
Other Current Expenses	0	0	0	0	2,903	0	0	2,903
TOTAL-General Fund Net	6,607,857	7,803,731	7,583,222	7,041,054	6,803,523	8,174,750	7,325,423	6,970,147

Additional Funds Available

Bond Funds	3,821,523	4,198,340	3,774,661	3,774,661	3,774,661	3,769,717	3,769,717	3,769,717
Private Funds	3,568,867	3,541,472	3,943,799	3,943,799	3,943,799	3,943,799	3,943,799	3,943,799
TOTAL-All Funds Net	13,998,247	15,543,543	15,301,682	14,759,514	14,521,983	15,888,266	15,038,939	14,683,663

STATE INSURANCE AND RISK MANAGEMENT BOARD

AGENCY DESCRIPTION

The State Insurance and Risk Management Board determines the method by which the State will insure or self-insure itself against loss. After determining in which situations the State should be an insurer, the Board determines how much and what types of insurance to purchase. It considers the appropriateness of deductibles, self-insured retentions and other concepts and then enters a bid process to secure all commercially placed insurance contracts.

The Board serves as the focal point of all risk management and insurance matters affecting the State. The Board identifies and addresses the State's unique exposures by developing risk management tools to help reduce the cost of risk to the State. Some of these techniques include large loss review meetings, training sessions for State personnel, property inspections and accident review meetings. Board members receive no compensation for the performance of their duties.

The State Insurance and Risk Management Board is recommended for consolidation with the Department of Administrative Services in the Governor's budget as part of her proposal to streamline state government.

RECOMMENDED SIGNIFICANT CHANGES

	<u>2009-2010</u>	<u>2010-2011</u>
Reductions to Current Services		
• Remove or Limit Inflation	-478	-809
• OE/OCE General Reductions	-2,815	-2,815
• Streamline Agency Operations - Eliminate One Fiscal Administrative Officer Position	-71,355	-71,355
• Transfer Equipment to CEPF	-1,100	-1,100
Reallocations or Transfers		
• Streamline Agency Operations - Transfer Insurance and Risk Management Board to DAS - General Fund	-14,170,932	-15,160,638
• Streamline Agency Operations - Transfer Insurance and Risk Management Board to DAS - Special Transportation Fund	-2,536,000	-2,717,500

AGENCY PROGRAMS

Personnel Summary	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	3	0	0	3	3	0	3	0
Agency Programs by Total Funds	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Insurance on State Property & Surety Bonds	14,199,800	16,497,494	16,783,480	16,782,202	0	17,955,267	17,953,408	0
TOTAL Agency Programs - All Funds Gross	14,199,800	16,497,494	16,783,480	16,782,202	0	17,955,267	17,953,408	0
Less Turnover								
TOTAL Agency Programs - All Funds Net	14,199,800	16,497,494	16,783,480	16,782,202	0	17,955,267	17,953,408	0
Summary of Funding								
General Fund Net	11,837,996	14,105,831	14,247,480	14,246,202	0	15,237,767	15,235,908	0
Special Transportation Fund Net	2,361,804	2,391,663	2,536,000	2,536,000	0	2,717,500	2,717,500	0
TOTAL Agency Programs - All Funds Net	14,199,800	16,497,494	16,783,480	16,782,202	0	17,955,267	17,953,408	0

INSURANCE ON STATE PROPERTIES AND SURETY BONDS FOR STATE OFFICIALS & EMPLOYEES

Statutory Reference

C.G.S. Sections 4a-19, 4a-20, 4a-20a and 4a-21

Statement of Need and Program Objectives

To protect the state against loss by providing and promoting a coordinated risk management program within the state.

Program Description

The principal duties of the State Insurance and Risk Management Board are:

Determining the method by which the state shall insure itself against losses by the purchase of insurance; obtaining the broadest coverage at the most reasonable costs; directing negotiations for the purchase of such insurance and determine the applicability of deductibles and self-insurance; designating the agent of record and selecting companies from which the insurance coverage shall be purchased; negotiating all elements of insurance premiums and the agent's commission and/or fee for service; establishing specifications and request bids for each insurance contract through the agent of record; identifying the most effective and economical methods to reduce the state's losses through exposure identification, loss control, risk transfer or risk assumption.

Some of the risk management devices, which the board has utilized, are large loss review, loss control surveys, training sessions for state personnel, appraisals of buildings/contents and defensive driving programs. The board has undertaken initiatives to develop and implement a more proactive risk management program. As statutorily required, the board continues to assess the feasibility of self-insurance (including deductibles and retentions) as a possible alternative to commercial insurance.

The major areas of coverage under the board's purview are real and personal property insurance, liability insurance and surety bonds for state officials and employees.

Program Measure	2007-2008 Actual	2008-2009 Estimated	2009-2010 Projected	2010-2011 Projected
Motor Vehicle liability (000)	7,438	8,440	8,222	8,987
Fire, Extended Coverage (000)	5,038	6,396	6,347	6,641
Liability (000)	5,132	5,423	5,472	5,818
All Other (000)	931	1,020	1,118	1,153

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	3	0	0	3	3	0	3	0

Financial Summary

(Net of Reimbursements)	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	264,032	281,653	294,130	294,130	0	303,004	303,004	0
Other Expenses	11,522,892	13,802,478	13,856,150	13,855,672	0	14,858,113	14,857,304	0
Capital Outlay								
Equipment	0	0	2,000	1,200	0	2,250	1,200	0
Other Current Expenses								
Surety Bonds State Officials & Emps	51,072	21,700	95,200	95,200	0	74,400	74,400	0
TOTAL-General Fund	11,837,996	14,105,831	14,247,480	14,246,202	0	15,237,767	15,235,908	0
Other Expenses	2,361,804	2,391,663	2,536,000	2,536,000	0	2,717,500	2,717,500	0
TOTAL-Special Transportation Fund	2,361,804	2,391,663	2,536,000	2,536,000	0	2,717,500	2,717,500	0

Insurance on State Property & Surety Bonds

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object	2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	262,601	280,200	285,380	0	294,089	0
Other	1,431	1,453	8,750	0	8,915	0
TOTAL-Personal Services Gross	264,032	281,653	294,130	0	303,004	0
Less Reimbursements						
Less Turnover						
TOTAL-Personal Services Net	264,032	281,653	294,130	0	303,004	0
<u>Other Expenses-Contractual Services</u>						
Dues and Subscriptions	3,115	3,829	3,909	0	3,964	0
Rentals, Storage and Leasing	3,033	3,728	3,806	0	3,859	0
Telecommunication Services	1,343	1,528	1,560	0	1,582	0
Insurance	11,180,198	18,018,938	18,180,075	0	19,446,250	0
Fees for Outside Professional Services	324,167	334,167	346,800	0	358,932	0
DP Services, Rentals and Maintenance	500	615	628	0	637	0
Postage	399	490	500	0	507	0
Travel	4,767	5,982	6,108	0	6,193	0
<u>Other Expenses-Commodities</u>						
Agriculture, Horticulture, Dairy & Food	845	1,039	1,060	0	1,081	0
Books	229	281	287	0	291	0
Office Supplies	4,296	5,281	5,392	0	5,467	0
TOTAL-Other Expenses Gross	11,522,892	18,375,878	18,550,125	0	19,828,763	0
Less Reimbursements	0	-4,573,400	-4,693,975	0	-4,970,650	0
TOTAL-Other Expenses Net	11,522,892	13,802,478	13,856,150	0	14,858,113	0
<u>Other Current Expenses</u>						
Surety Bonds State Officials & Emps	51,072	21,700	95,200	0	74,400	0
TOTAL-Other Current Expenses	51,072	21,700	95,200	0	74,400	0

AGENCY FINANCIAL SUMMARY - SPECIAL TRANSPORTATION FUND

Current Expenses by Minor Object	2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Other Expenses-Contractual Services</u>						
Insurance	2,361,804	2,391,663	2,536,000	0	2,717,500	0
TOTAL-Other Expenses Gross	2,361,804	2,391,663	2,536,000	0	2,717,500	0
Less Reimbursements						
TOTAL-Other Expenses Net	2,361,804	2,391,663	2,536,000	0	2,717,500	0

Budget-in-Detail

Character & Major Object Summary	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	264,032	281,653	294,130	294,130	0	303,004	303,004	0
Other Expenses Net	11,522,892	13,802,478	13,856,150	13,855,672	0	14,858,113	14,857,304	0
Capital Outlay	0	0	2,000	1,200	0	2,250	1,200	0
Other Current Expenses	51,072	21,700	95,200	95,200	0	74,400	74,400	0
TOTAL-General Fund Net	11,837,996	14,105,831	14,247,480	14,246,202	0	15,237,767	15,235,908	0
Other Expenses Net	2,361,804	2,391,663	2,536,000	2,536,000	0	2,717,500	2,717,500	0
TOTAL-Special Transportation Fund Net	2,361,804	2,391,663	2,536,000	2,536,000	0	2,717,500	2,717,500	0

GAMING POLICY BOARD

AGENCY DESCRIPTION

The Gaming Policy Board works in cooperation with the Division of Special Revenue to implement and administer provisions covering the conduct and operation of legalized gaming. The board's responsibilities include: approving the hours of operation at the OTB locations,— advising and

assisting the executive director of the Division of Special Revenue, advising the Governor on statewide plans and goals for legalized gaming, and approving administrative regulations covering activities such as charitable gaming..

The Gaming Policy Board is recommended for consolidation with the Division of Special Revenue in the Governor's budget as part of her proposal to streamline state government.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Remove or Limit Inflation

<u>2009-2010</u>	<u>2010-2011</u>
-60	-102

Reallocations or Transfers

- Streamline Agency Operations - Transfer to the Division of Special Revenue

-2,903	-2,903
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AGENCY PROGRAMS

Agency Programs by Total Funds	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Development of Gaming Policy	2,637	2,903	2,963	2,903	0	3,005	2,903	0
TOTAL Agency Programs - All Funds Gross	2,637	2,903	2,963	2,903	0	3,005	2,903	0
Less Turnover								
TOTAL Agency Programs - All Funds Net	2,637	2,903	2,963	2,903	0	3,005	2,903	0
<u>Summary of Funding</u>								
General Fund Net	2,637	2,903	2,963	2,903	0	3,005	2,903	0
TOTAL Agency Programs - All Funds Net	2,637	2,903	2,963	2,903	0	3,005	2,903	0

DEVELOPMENT OF GAMING POLICY

Statutory Reference

C.G.S. Chapter 226 and 226b

Statement of Need and Program Objectives

To protect the public interest by ensuring the highest standard of legalized gambling regulation and to continue to maintain public trust by working in cooperation with the Division of Special Revenue.

Program Description

The Gaming Policy Board works in cooperation with the Division of Special Revenue to implement and administer the statutory and regulatory provisions governing legalized gambling.

The Gaming Policy Board consists of five members appointed by the Governor with the advice and consent of both houses of the General Assembly. Not more than three members of the Board at any one time shall be members of the same political party. They serve a term of four years. The

Executive Director serves on the Board ex officio without voting rights.

To insure the highest standard of legalized gambling regulation at least four of the Board members shall have training or experience in at least one of the following fields: corporate finance, economics, law, accounting, law enforcement, computer science, or the pari-mutuel industry. At least two of these fields shall be represented on the Board at any one time.

The Gaming Policy Board meets on a routine schedule in order to keep abreast of the status of authorized gambling activities. The Board approves requests of pari-mutuel licensees for types of wagering permitted. In addition, the Board approves, suspends or revokes association or affiliate licenses and advises and assists the Executive Director. The Board approves Division contracts for goods and services. They approve regulations prior to adoption and hear all appeals taken ~~under general~~ under general statutes and as outlined under the Tribal Compacts.

Budget-in-Detail

Financial Summary	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Other Expenses	2,637	2,903	2,963	2,903	0	3,005	2,903	0
TOTAL-General Fund	2,637	2,903	2,963	2,903	0	3,005	2,903	0

Development of Gaming Policy

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object	2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Other Expenses-Contractual Services</u>						
Postage	146	162	165	0	167	0
Travel	741	815	832	0	844	0
Other Contractual Services	1,750	1,926	1,966	0	1,994	0
TOTAL-Other Expenses Gross	2,637	2,903	2,963	0	3,005	0
Less Reimbursements						
TOTAL-Other Expenses Net	2,637	2,903	2,963	0	3,005	0

Character & Major Object Summary	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Other Expenses Net	2,637	2,903	2,963	2,903	0	3,005	2,903	0
TOTAL-General Fund Net	2,637	2,903	2,963	2,903	0	3,005	2,903	0

OFFICE OF POLICY AND MANAGEMENT

AGENCY DESCRIPTION

The Office of Policy and Management (OPM) serves as a staff agency reporting directly to the Governor, providing the information and analysis required to formulate public policy for the state and assisting state agencies and municipalities in implementing policy decisions on the Governor's behalf.

The objectives of OPM are to: provide the staff functions of budget, management, planning, intergovernmental relations and labor relations through a single agency; encourage the integration of planning, budgeting and program analysis; provide necessary staff support to the Governor on policy analysis, development and implementation; and seek long-term improvements in the management of state agencies.

OPM is headed by a secretary and a deputy secretary who advise the Governor with the assistance of division heads for Budget and Financial Management, Criminal Justice Policy and Planning, Finance, Intergovernmental Policy, Labor Relations, Policy Development and Planning, and Transportation Policy. Much of OPM's work is affected by external forces such as general economic, environmental and social conditions, state-

federal relations, natural disasters, and the energy supply and demand outlook.

OPM performs various fiscal functions to monitor, implement and report on the state's budget throughout the fiscal year. OPM prepares the Governor's biennial budget, including the state's capital budget, for submission to the legislature in odd-numbered years. OPM prepares the Governor's budget adjustments for the second half of the biennium that are submitted in even-numbered years.

Areas in which OPM is facilitating interagency coordination include urban policy (with particular focus on distressed municipalities), prison overcrowding and reentry strategies, crime prevention and youth development, land use and physical resources, water resources conservation, human capital development to match Connecticut's workers and their skills to available jobs, job creation and economic development, transportation, housing, health care access, and the purchase of human services.

OPM also performs grants management, intergovernmental reviews, and provides technical assistance to municipalities.

The Property Rights Ombudsman within OPM is recommended for elimination in the Governor's budget as part of her proposal to streamline state government.

AGENCY PROGRAM INDEX

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RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2009-2010</u>	<u>2010-2011</u>
• Remove or Limit Inflation	-286,532	-481,739
• Rollout FY2009 Rescissions	-785,161	-785,161
• Eliminate Vacant Positions	-298,019	-298,019
• OE/OCE General Reductions	-13,101	-13,101
• Transfer Equipment to CEPF	-35,900	-54,900
• Eliminate State Funding for Regional Planning Agencies	-1,000,000	-1,000,000
<i>Approximately \$50 million of bond funds is proposed by the Governor for an incentive grant program for regional projects.</i>		
• Reduce Funding for Justice Assistance Grants	-89,043	-89,043
• Reduce Funding for the Capital City Economic Development Authority (CCEDA)	-1,500,000	-1,500,000
<i>Funding for 3 positions (\$300,000) and a portion (\$1,200,000) of the grant to the Greater Hartford Convention Visitors Bureau are eliminated.</i>		
• Eliminate State Funding for Leadership, Education, Athletics in Partnership (LEAP) Grant	-850,000	-850,000
<i>Federal Funding is anticipated to be available for youth programs as part of the federal stimulus program.</i>		
• Reduce State Funding for the Neighborhood Youth Centers Grant	-101,450	-101,450
• Eliminate Office of the Property Rights Ombudsman	-214,667	-214,667
<i>The functions of the Ombudsman will be assimilated into the Office of Responsible Growth within OPM.</i>		

Budget-in-Detail

• Eliminate State Funding for Land Use Education	-150,000	-150,000		
• Flat Fund Municipal Aid at FY2009 Level - Fund the PILOT New Mfg. Machinery & Equipment Grant at FY2009 Spending Levels	-31,794,339	-42,651,785		
• Reduce Funding for Personal Services	-160,000	-160,000		
New or Expanded Services	<u>2009-2010</u>	<u>2010-2011</u>	<u>2011-2012</u>	
• Implement a Regionalization Incentive Grant Program <i>\$40 million of bond funds is proposed by the Governor for Capital Infrastructure Costs related to the regionalization of a required local governmental function or service.</i>	0	0	0	
• Implement a Municipal Capital Equipment Purchase Fund Program <i>\$10 million of bond funds is proposed by the Governor for interlocal purchases of equipment for a required local governmental function or service.</i>	0	0	0	
• Establish a new Office of Accountability <i>The Office of Accountability shall 1) act to detect and prevent fraud, waste and abuse in the management of state personnel, the use and disposition of state property and the collection, disbursement and expenditure of state and federal funds administered by state governmental or quasi-public agencies and may investigate and audit state agencies to achieve these purposes, and 2) oversee the administration of quality assurance measures to ensure that state services are provided in a timely and professional manner utilizing industry-appropriate best practices. It shall be within the Office of Policy and Management for administrative purposes and shall be staffed with 2 currently vacant OPM positions and associated funding.</i>	0	0	0	

AGENCY PROGRAMS

Personnel Summary	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	156	19	0	175	175	165	175	165
Federal Contributions	4	0	0	4	4	4	4	4
Private Funds	1	0	0	1	1	1	1	1
<i>Other Positions Equated to Full Time</i>			2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
General Fund			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
			2	4	4	4	4	4
Agency Programs by Total Funds	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Office of the Secretary and Administrative Support	24,781,526	13,422,366	12,992,955	12,733,345	10,815,544	13,414,699	12,828,196	10,891,395
Policy Development, Coordination and Implementation	68,128,669	108,855,728	38,225,872	37,229,723	35,749,480	31,719,005	30,556,874	29,076,631
Intergovernmental Policy	147,852,175	192,148,898	199,114,125	179,126,334	145,712,048	203,828,899	191,997,336	147,725,604
TOTAL Agency Programs - All Funds Gross	240,762,370	314,426,992	250,332,952	229,089,402	192,277,072	248,962,603	235,382,406	187,693,630
Less Turnover	0	0	-247,641	-303,109	-303,109	-247,641	-303,109	-303,109
TOTAL Agency Programs - All Funds Net	240,762,370	314,426,992	250,085,311	228,786,293	191,973,963	248,714,962	235,079,297	187,390,521
Summary of Funding								
General Fund Net	159,740,937	229,417,568	201,696,613	180,397,595	143,585,265	207,147,634	193,511,971	145,823,195
Federal and Other Activities	8,406,776	12,641,455	6,252,398	6,252,398	6,252,398	6,728,849	6,728,849	6,728,849
Bond Funds	63,219,136	52,498,985	39,600,000	39,600,000	39,600,000	32,434,485	32,434,483	32,434,483
Private Funds	9,395,521	19,868,984	2,536,300	2,536,300	2,536,300	2,403,994	2,403,994	2,403,994
TOTAL Agency Programs - All Funds Net	240,762,370	314,426,992	250,085,311	228,786,293	191,973,963	248,714,962	235,079,297	187,390,521

OFFICE OF THE SECRETARY AND ADMINISTRATIVE SUPPORT

Statutory Reference

C.G.S. Section 4-65a

Statement of Need and Program Objectives

To assist and advise the Governor on policy and financial issues; to advocate the Governor's policies and to coordinate their implementation.

To attain OPM's objectives by directing and coordinating its programs and providing operational support services.

Program Description

The Secretary, unique as chief financial and policy advisor to the Governor, is responsible for policy development and the overall financial management of state government. This responsibility includes protecting the long-term and short-term interests of the state.

The Office of the Secretary, with analytic support from the agency's divisions, advises the Governor on all matters related to fiscal policy; policy initiatives, particularly those initiatives that cross agency lines; state agency management issues, including agency strategic business planning; the reorganization of state government; labor relations; federal issues, in conjunction with the Governor's Washington Office; impact of federal and state policies on local governments; financial management policies and practices in all state agencies; and legislative matters.

The Secretary and Deputy Secretary advocate the Governor's policies with legislators, state agencies, private service providers, the general public, legislative task forces, and the boards and commissions to which the Secretary is named a member.

This office directs the development and implementation of the state's biennial operating and capital budgets as well as

budget adjustments for the second half of the biennium. The Secretary meets with the Governor to assist in establishing priorities and meets with state agency heads in developing the Governor's budget. The Secretary reviews agency requests for bonding of capital projects for submittal to the State Bond Commission and regularly meets with credit rating agencies, bond counsel, and economists concerning the status of the state's bond rating and projections on the national economy.

The office reviews legislative proposals of all state agencies and closely monitors such proposals during the legislative session.

In order to improve the quality of state agency management and control, the Office of the Secretary makes strategic and operational decisions that are consistent with the Governor's policies and programs. When state agency management issues arise, the Secretary may direct the analysis of those issues and work with agency heads on implementation of any recommendations.

The office establishes the priorities for the planning and analysis, coordination, and implementation efforts of OPM's divisions of Budget and Financial Management, Criminal Justice Policy and Planning, Finance, Intergovernmental Policy, Labor Relations, Policy Development and Planning, and Transportation Policy. The office provides guidance and support to the divisions to assist them in attaining their goals.

The Division of Administration is an adjunct to the Office of the Secretary. The division provides a myriad of diversified support services to the agency's divisions and to all of its employees in the areas of accounting and audit, business operations, human resources, information systems, and organizational and staff development.

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
<i>Permanent Full-Time Positions</i>								
General Fund	34	7	0	41	41	38	41	38
			2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
<i>Other Positions Equated to Full Time</i>			Actual	Estimated	Requested	Recommended	Requested	Recommended
General Fund			1	1	1	1	1	1

Financial Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
(Net of Reimbursements)	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	4,689,944	4,835,228	4,150,725	4,070,920	3,702,120	4,227,576	4,146,771	3,777,971
Other Expenses	562,278	658,338	736,530	722,625	709,524	745,999	722,625	709,524
<i>Capital Outlay</i>								
Equipment	100	0	36,000	36,000	100	258,500	55,000	100
<i>Other Current Expenses</i>								
Litigation Settlement Costs	1,992,998	0	0	0	0	0	0	0
Licensing and Permitting Fees	173,030	0	0	0	0	0	0	0

Budget-in-Detail

Contingency Needs	8,850,103	0	0	0	0	0	0	0
<i>Pmts to Local Governments</i>								
Capital City Economic Development	8,250,000	7,900,000	8,065,900	7,900,000	6,400,000	8,178,823	7,900,000	6,400,000
TOTAL-General Fund	24,518,453	13,393,566	12,989,155	12,729,545	10,811,744	13,410,898	12,824,396	10,887,595

Additional Funds Available

Bond Funds	258,403	25,000	0	0	0	1	0	0
Private Funds	4,670	3,800	3,800	3,800	3,800	3,800	3,800	3,800
TOTAL - All Funds	24,781,526	13,422,366	12,992,955	12,733,345	10,815,544	13,414,699	12,828,196	10,891,395

Office of the Secretary and Administrative Support

POLICY DEVELOPMENT, COORDINATION AND IMPLEMENTATION

Statutory Reference

C.G.S. Section 4-65a

Statement of Need and Program Objectives

To assist the Governor, Secretary and state agencies in making policy decisions and in effectively deploying current and future financial and other resources by planning, formulating, coordinating, implementing and evaluating programs and policies that address state needs.

Program Description

Six divisions of OPM participate in a comprehensive program of resource allocation, planning, policy analysis, management improvement, financial management improvement, and program evaluation that assists the Governor and the Secretary in formulating and implementing strategies, priorities and programs.

Budget and Financial Management Division develops and implements the state's fiscal and program policies through the formulation of the Governor's Operating and Capital Budget Proposals and the execution of the budget as enacted by the General Assembly and signed by the Governor; provides ongoing comprehensive analyses, evaluation and recommendations to the Governor and the Secretary regarding the financial and programmatic implications of public policies; and manages and coordinates the implementation of these financial and programmatic policies among state agencies.

Criminal Justice Policy and Planning Division promotes a more effective and cohesive criminal justice system by ensuring the efficient use of resources through research, policy development, and interagency coordination; identifies and analyzes critical issues in the criminal justice system such as drug abuse prevention, education and treatment, prison overcrowding and comprehensive reentry strategies, and youth initiatives as alternatives to violence and delinquency; manages state and federal assistance programs such as juvenile justice and mental health grants to schools and state and non-profit agencies; and identifies the long-range information needs of the criminal justice system and develops

plans and programs to collect such information, such as the Criminal Justice Information System.

Office of Finance reviews and monitors the financial management policies and practices of state agencies, establishes standards and guidelines for Purchase of Service Contracts and Personal Service Agreements, and participates in the planning and design to enhance the state's core financial and administrative systems to implement increased reporting and business analytics.

Office of Labor Relations delivers timely and effective labor relations and collective bargaining services on behalf of the state employer for state employees in the executive branch, other than the constituent units of Higher Education, the State Board of Education, and the Division of Criminal Justice; advises and consults with state agencies regarding labor relations and other employee relations matters; represents the state during the contract and statutory grievance process, contract negotiation, midterm bargaining and interest arbitration for executive branch state employee bargaining units; represents the state in employee related whistleblower complaints and other legal matters; and represents the state in coalition negotiations on statewide issues such as pension and health care benefits.

Policy Development and Planning Division coordinates the implementation of interagency service delivery systems and improves the effectiveness of state services through research and policy development; identifies and analyzes issues and trends in areas such as long term care financing, and health and human services; develops the State Capital and Facility Plan, and studies related to the reuse of state surplus properties. The division also acts as the state energy office and has a broad range of responsibilities relating to state, regional and federal energy issues.

Office of Transportation Policy evaluates transportation initiatives and proposed expenditures; coordinates interagency policy and initiatives concerning transportation; ensures the coordination of state and regional transportation planning with other state planning efforts, including but not limited to economic development and housing plans; and provides and coordinates staff support and consultant services for the Transportation Strategy Board.

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	93	9	0	102	102	97	102	97
Federal Contributions	4	0	0	4	4	4	4	4
			2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
<i>Other Positions Equated to Full Time</i>			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			1	3	3	3	3	3

Financial Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	7,668,076	8,530,157	10,481,595	10,341,087	9,955,167	10,633,012	10,489,504	10,103,584
Other Expenses	2,765,119	2,748,182	2,983,318	2,889,116	2,889,116	3,035,851	2,889,116	2,889,116
<u>Other Current Expenses</u>								
Automated Budget Sys & Database Lnk	15,914	40,430	64,946	63,610	59,780	65,855	63,610	59,780
Leadership, Educ, Athletics-Partnership	850,000	807,500	867,850	850,000	0	880,000	850,000	0
Cash Management Improvement Act	0	0	0	100	100	0	100	100
Justice Assistance Grants	2,030,813	2,715,023	3,025,409	2,963,182	2,874,139	3,067,765	2,963,182	2,874,139
Neighborhood Youth Centers	1,133,333	1,188,383	1,277,200	1,250,930	1,149,480	1,295,080	1,250,930	1,149,480
Office of Business Advocate	455,910	264,308	611,856	0	0	620,422	0	0
Implement Energy Initiatives	2,600,000	0	0	0	0	0	0	0
Urban Youth Violence Prevention	1,000,000	1,000,000	1,021,000	1,000,000	1,000,000	1,035,294	1,000,000	1,000,000
Crim Jus/CT Imp. Driving Rcds Info Sys	0	950,000	1,021,000	1,000,000	950,000	1,035,294	1,000,000	950,000
Furnace Rebate Program	0	3,000,000	0	0	0	0	0	0
Furnace Repair and Upgrade	0	2,000,000	0	0	0	0	0	0
Energy Audit Subsidy	0	7,000,000	0	0	0	0	0	0
<u>Pmts to Other Than Local Governments</u>								
Private Providers	0	1,332,073	0	0	0	0	0	0
Operation Fuel 200% Federal Poverty Level	0	8,500,000	0	0	0	0	0	0
Operation Fuel Median Income	0	5,000,000	0	0	0	0	0	0
Heating Assist. Age 65	0	4,000,000	0	0	0	0	0	0
Operation Fuel Administration	0	500,000	0	0	0	0	0	0
<u>Pmts to Local Governments</u>								
Heating Assist. Schools	0	6,500,000	0	0	0	0	0	0
TOTAL-General Fund	18,519,165	56,076,056	21,354,174	20,358,025	18,877,782	21,668,573	20,506,442	19,026,199
<u>Additional Funds Available</u>								
Bond Funds	33,110,099	21,724,369	9,500,000	9,500,000	9,500,000	2,334,483	2,334,483	2,334,483
Private Funds	9,351,087	18,451,048	1,119,300	1,119,300	1,119,300	987,100	987,100	987,100
Federal Contributions								
16523 Juvenile Accountability Incentive Bkck	155,458	676,361	401,596	401,596	401,596	551,500	551,500	551,500
16540 Juv Just & Delinquency Prev Alloc Stat	867,837	1,166,544	601,000	601,000	601,000	581,000	581,000	581,000
16548 Title V Delinquency Prevention Pgm	108,196	152,246	48,360	48,360	48,360	75,250	75,250	75,250
16554 National Criminal History Impmtt Pgm	296,325	489,951	311,492	311,492	311,492	250,000	250,000	250,000
16560 National Inst Justice Rsrch, Eval Dev Prj	132,811	0	0	0	0	0	0	0
16579 Byrne Formula Grant Program	747,658	559,568	0	0	0	0	0	0

Budget-in-Detail

16588 Violence Against Women Formula Grants	1,376,149	1,999,244	1,119,406	1,119,406	1,119,406	1,057,931	1,057,931	1,057,931
16589 Rural Domestic Violence/Child Victimization Enfnt	210,000	149,578	0	0	0	0	0	0
16590 Encourage Arrest Policies & Protection Orders	249,345	211,569	0	0	0	0	0	0
16593 Res Subs Abuse Trtmt State Prisoners	248,801	120,589	100,000	100,000	100,000	100,000	100,000	100,000
16607 Bulletproof Vest Partnership Program	48,740	0	0	0	0	0	0	0
16727 Enforcing Underage Drinking Laws Pgm	322,971	524,780	370,740	370,740	370,740	350,000	350,000	350,000
16734 Spec Data Collections & Statistic Studies	49,310	48,691	50,000	50,000	50,000	50,000	50,000	50,000
16738 E Byrne Memorial Justice Assistance Grant	419,246	2,541,286	2,105,901	2,105,901	2,105,901	2,160,318	2,160,318	2,160,318
20600 State & Community Highway Safety	187,521	1,674,547	0	0	0	0	0	0
81039 SHOPP	11,273	18,000	14,000	14,000	14,000	14,000	14,000	14,000
81041 State Energy Program	589,708	610,127	450,000	450,000	450,000	450,000	450,000	450,000
81117 Energy Efficiency & Renewable Energy	39,187	35,288	0	0	0	0	0	0
81119 St Energy Pgm Special Proj	103,850	30,145	0	0	0	0	0	0
84186 Safe & Drug-Free Schools & Communities	720,145	878,817	580,544	580,544	580,544	580,544	580,544	580,544
99136 Diamond Shamrock Overcharge	23,685	12,921	0	0	0	0	0	0
99136 Exxon Overcharge	31,321	21,191	25,000	25,000	25,000	25,000	25,000	25,000
99136 Stripper Well	208,781	682,812	74,359	74,359	74,359	483,306	483,306	483,306
TOTAL - All Funds	68,128,669	108,855,728	38,225,872	37,229,723	35,749,480	31,719,005	30,556,874	29,076,631

Policy Development, Coordination & Implementation

INTERGOVERNMENTAL POLICY

Statutory Reference

C.G.S. Section 4-65a

Statement of Need and Program Objectives

To promote sound financial management practices and accurate financial reporting by municipalities and those non-profit entities receiving state financial assistance; initiate and support state policy development with regard to municipalities and regional planning organizations; administer state tax relief programs and formula grant programs that benefit municipalities, companies and individuals; certify assessors and revaluation companies and their personnel; and collect, analyze, and publish municipal data.

Program Description

The Intergovernmental Policy Division assists in formulating state policy pertaining to the relationship between the state

and Connecticut's municipalities and regional planning organizations, and maintains ongoing relationships with municipal officials and regional stakeholders; administers and manages municipal and regional grants and local property tax relief programs; measures the property tax wealth of municipalities used in the distribution of certain state grants; monitors the financial conditions of the state's municipalities through review and analysis of audit reports and budget data to determine those encountering significant financial problems and provide technical assistance to such communities; develops and updates the Conservation and Development Policies Plan for Connecticut; develops and recommends policies relating to state, regional and municipal stakeholders; staffs the Office of Responsible Growth, created under Executive Order No. 15; and coordinates state agency efforts under the Connecticut Environmental Policy Act (CEPA).

Program Measure	2007-2008 Actual	2008-2009 Estimated	2009-2010 Projected	2010-2011 Projected
Tax Relief-Elderly and Disabled Renters	18,401,649	20,064,695	22,000,000	24,000,000
Average Payment (\$)	469.75	484.75	499.75	517.75
Tax Relief-Elderly & Disabled Homeowners	20,505,899	20,505,899	20,505,899	20,505,899
Average credit (\$)	509.68	509.68	497.69	497.69
Elderly Homeowners-Freeze Program	839,365	713,461	610,000	560,000
Average credit (\$)	1,832.68	1,832.68	1,832.68	1,832.68
Real Estate Sales analyzed each year	48,800	39,000	41,000	41,000

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
General Fund	29	3	0	32	32	30	32	30
Private Funds	1	0	0	1	1	1	1	1

Financial Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
(Net of Reimbursements)	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	2,283,545	2,491,761	2,457,256	2,415,915	2,190,635	2,521,918	2,479,577	2,254,297
Other Expenses	149,898	185,632	208,283	204,000	204,000	211,204	204,000	204,000
<u>Other Current Expenses</u>								
Plans of Conserv. And Develop	10,029	0	0	0	0	0	0	0
Land Use Education	150,000	142,500	153,150	150,000	0	155,295	150,000	0
Office of Property Rights Ombudsman	144,913	203,934	219,175	214,667	0	222,243	214,667	0
Water Planning Council	10,141	160,000	204,200	200,000	170,000	207,059	200,000	170,000
<u>Pmts to Other Than Local Governments</u>								
Tax Relief for Elderly Renters	18,401,649	20,472,979	22,000,000	22,000,000	22,000,000	24,000,000	24,000,000	24,000,000
Regional Planning Agencies	1,000,000	950,000	1,021,000	1,000,000	0	1,035,294	1,000,000	0
Regional Performance Incentive Program	9,627,105	0	0	0	0	0	0	0
<u>Pmts to Local Governments</u>								
Reimb Property Tax-Disability Exempt	372,884	576,142	588,241	400,000	400,000	596,476	400,000	400,000
Distressed Municipalities	6,858,236	7,309,000	7,963,800	7,800,000	7,800,000	8,075,293	7,800,000	7,800,000
Prop Tax Relief Elder-Circuit Breaker	20,505,899	20,505,899	21,000,000	20,505,899	20,505,899	22,000,000	20,505,899	20,505,899
Prop Tax Relief Elderly Freeze Program	839,365	900,000	700,000	610,000	610,000	650,000	560,000	560,000
Property Tax Relief for Veterans	2,970,099	2,970,099	3,033,390	2,970,099	2,970,099	3,075,857	2,970,099	2,970,099
P.I.L.O.T. New Mfg Machine & Equip	53,379,556	103,080,000	107,133,530	89,142,554	57,348,215	108,633,400	100,000,000	57,348,215
Property Tax Exemption for Hybrid Vehicles	0	0	918,900	0	0	931,765	0	0
TOTAL-General Fund	116,703,319	159,947,946	167,600,925	147,613,134	114,198,848	172,315,804	160,484,242	116,212,510
<u>Additional Funds Available</u>								
Bond Funds	29,850,634	30,749,616	30,100,000	30,100,000	30,100,000	30,100,001	30,100,000	30,100,000
Private Funds	39,764	1,414,136	1,413,200	1,413,200	1,413,200	1,413,094	1,413,094	1,413,094
Federal Contributions								
15809 Nat'l Spatial Data Infrass Coop Agrmt	50,000	0	0	0	0	0	0	0
83516 FEMA	0	37,200	0	0	0	0	0	0
97004 State Domestic Preparedness Equipmt	1,208,458	0	0	0	0	0	0	0
TOTAL - All Funds	147,852,175	192,148,898	199,114,125	179,126,334	145,712,048	203,828,899	191,997,336	147,725,604

Intergovernmental Policy**AGENCY FINANCIAL SUMMARY - GENERAL FUND**

Current Expenses by Minor Object	2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Actual	Estimated	Requested	Recommended	Requested	Recommended
<u>Personal Services</u>						
Permanent Fulltime Positions	13,581,415	14,672,798	15,962,698	14,966,044	16,189,151	15,192,497

Budget-in-Detail

Other Positions	129,614	255,349	267,497	267,497	284,042	284,042
Other	913,443	893,691	823,456	578,456	871,831	621,831
Overtime	17,093	35,308	35,925	35,925	37,482	37,482
TOTAL-Personal Services Gross	14,641,565	15,857,146	17,089,576	15,847,922	17,382,506	16,135,852
Less Reimbursements						
Less Turnover	0	0	-247,641	-303,109	-247,641	-303,109
TOTAL-Personal Services Net	14,641,565	15,857,146	16,841,935	15,544,813	17,134,865	15,832,743

Other Expenses-Contractual Services

Dues and Subscriptions	62,511	75,050	76,626	71,545	77,699	71,545
Rentals, Storage and Leasing	71,803	79,850	81,527	79,850	82,668	79,850
Telecommunication Services	50,166	84,505	101,741	95,725	103,164	95,725
General Repairs	39,103	63,530	64,864	63,530	65,772	63,530
Motor Vehicle Expenses	8,044	9,350	9,545	7,980	9,679	7,980
Fees for Outside Professional Services	751,563	709,900	735,368	709,900	756,436	709,900
Fees for Non-Professional Services	67,306	108,625	110,904	108,625	112,454	108,625
DP Services, Rentals and Maintenance	1,444,462	1,574,444	1,817,807	1,757,888	1,843,256	1,757,888
Postage	34,341	42,925	43,826	42,344	44,440	42,344
Travel	18,221	19,350	19,756	18,870	20,032	18,870
Other Contractual Services	18,668	32,100	32,774	32,100	33,233	32,100
Advertising	319,457	11,000	11,231	11,000	11,388	11,000
Printing & Binding	43,651	75,850	77,443	75,850	78,527	75,850

Other Expenses-Commodities

Agriculture, Horticulture, Dairy & Food	6,189	12,175	12,418	9,740	12,666	9,740
Books	4,141	9,475	9,674	9,475	9,809	9,475
Maintenance and Motor Vehicle Supplies	1,384	4,025	4,106	3,220	4,106	3,220
Office Supplies	280,415	196,998	226,659	221,998	229,832	221,998
Refunds of Expenditures Not Otherwise	4	0	0	0	0	0

Other Expenses-Sundry

Sundry - Other Items	255,866	483,000	491,862	483,000	497,893	483,000
TOTAL-Other Expenses Gross	3,477,295	3,592,152	3,928,131	3,802,640	3,993,054	3,802,640
Less Reimbursements						
TOTAL-Other Expenses Net	3,477,295	3,592,152	3,928,131	3,802,640	3,993,054	3,802,640

Other Current Expenses

Litigation Settlement Costs	1,992,998	0	0	0	0	0
Automated Budget Sys & Database Lnk	15,914	40,430	64,946	59,780	65,855	59,780
Leadership, Educ, Athletics-Partnership	850,000	807,500	867,850	0	880,000	0
Cash Management Improvement Act	0	0	0	100	0	100
Justice Assistance Grants	2,030,813	2,715,023	3,025,409	2,874,139	3,067,765	2,874,139
Neighborhood Youth Centers	1,133,333	1,188,383	1,277,200	1,149,480	1,295,080	1,149,480
Licensing and Permitting Fees	173,030	0	0	0	0	0
Plans of Conserv. And Develop	10,029	0	0	0	0	0
Contingency Needs	8,850,103	0	0	0	0	0
Land Use Education	150,000	142,500	153,150	0	155,295	0
Office of Property Rights Ombudsman	144,913	203,934	219,175	0	222,243	0
Office of Business Advocate	455,910	264,308	611,856	0	620,422	0
Implement Energy Initiatives	2,600,000	0	0	0	0	0
Water Planning Council	10,141	160,000	204,200	170,000	207,059	170,000

Urban Youth Violence Prevention	1,000,000	1,000,000	1,021,000	1,000,000	1,035,294	1,000,000
Crim Jus/CT Imp. Driving Rcds Info Sys	0	950,000	1,021,000	950,000	1,035,294	950,000
Furnace Rebate Program	0	3,000,000	0	0	0	0
Furnace Repair and Upgrade	0	2,000,000	0	0	0	0
Energy Audit Subsidy	0	7,000,000	0	0	0	0
TOTAL-Other Current Expenses	19,417,184	19,472,078	8,465,786	6,203,499	8,584,307	6,203,499
<u>Pmts to Other Than Local Govts</u>						
Tax Relief for Elderly Renters	18,401,649	20,472,979	22,000,000	22,000,000	24,000,000	24,000,000
Private Providers	0	1,332,073	0	0	0	0
Regional Planning Agencies	1,000,000	950,000	1,021,000	0	1,035,294	0
Regional Performance Incentive Program	9,627,105	0	0	0	0	0
Operation Fuel 200% Federal Poverty Level	0	8,500,000	0	0	0	0
Operation Fuel Median Income	0	5,000,000	0	0	0	0
Heating Assist. Age 65	0	4,000,000	0	0	0	0
Operation Fuel Administration	0	500,000	0	0	0	0
TOTAL-Pmts to Other Than Local Govts	29,028,754	40,755,052	23,021,000	22,000,000	25,035,294	24,000,000
<u>Pmts to Local Governments</u>						
Reimb Property Tax-Disability Exempt	372,884	576,142	588,241	400,000	596,476	400,000
Distressed Municipalities	6,858,236	7,309,000	7,963,800	7,800,000	8,075,293	7,800,000
Prop Tax Relief Elder-Circuit Breaker	20,505,899	20,505,899	21,000,000	20,505,899	22,000,000	20,505,899
Prop Tax Relief Elderly Freeze Program	839,365	900,000	700,000	610,000	650,000	560,000
Property Tax Relief for Veterans	2,970,099	2,970,099	3,033,390	2,970,099	3,075,857	2,970,099
P.I.L.O.T. New Mfg Machine & Equip	53,379,556	103,080,000	107,133,530	57,348,215	108,633,400	57,348,215
Capital City Economic Development	8,250,000	7,900,000	8,065,900	6,400,000	8,178,823	6,400,000
Property Tax Exemption for Hybrid Vehicles	0	0	918,900	0	931,765	0
Heating Assist. Schools	0	6,500,000	0	0	0	0
TOTAL-Pmts to Local Governments	93,176,039	149,741,140	149,403,761	96,034,213	152,141,614	95,984,213

Character & Major Object Summary	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	14,641,565	15,857,146	16,841,935	16,524,813	15,544,813	17,134,865	16,812,743	15,832,743
Other Expenses Net	3,477,295	3,592,152	3,928,131	3,815,741	3,802,640	3,993,054	3,815,741	3,802,640
Capital Outlay	100	0	36,000	36,000	100	258,500	55,000	100
Other Current Expenses	19,417,184	19,472,078	8,465,786	7,692,489	6,203,499	8,584,307	7,692,489	6,203,499
Payments to Other Than Local Governments	29,028,754	40,755,052	23,021,000	23,000,000	22,000,000	25,035,294	25,000,000	24,000,000
Payments to Local Governments	93,176,039	149,741,140	149,403,761	129,328,552	96,034,213	152,141,614	140,135,998	95,984,213
TOTAL-General Fund Net	159,740,937	229,417,568	201,696,613	180,397,595	143,585,265	207,147,634	193,511,971	145,823,195
<u>Additional Funds Available</u>								
Federal and Other Activities	8,406,776	12,641,455	6,252,398	6,252,398	6,252,398	6,728,849	6,728,849	6,728,849
Bond Funds	63,219,136	52,498,985	39,600,000	39,600,000	39,600,000	32,434,485	32,434,483	32,434,483
Private Funds	9,395,521	19,868,984	2,536,300	2,536,300	2,536,300	2,403,994	2,403,994	2,403,994
TOTAL-All Funds Net	240,762,370	314,426,992	250,085,311	228,786,293	191,973,963	248,714,962	235,079,297	187,390,521

DEPARTMENT OF VETERANS' AFFAIRS

AGENCY DESCRIPTION

The Department of Veterans' Affairs (DVA) combines a program of advocacy and assistance with the Veterans' Home in Rocky Hill. The department's mission is to serve Connecticut veterans by advocating for veterans' interests and assisting them in obtaining entitlements and benefits through the Office of Advocacy and Assistance. In addition, the agency provides direct health, social and rehabilitative services through the Veterans' Health Care Facility and through residential and rehabilitative services programs.

Energy Conservation Statement

The department endeavors to create and implement strategies that are consistent with the Governor's mandate to all Connecticut state agencies to conserve energy, and by implementing various steps to encourage a "culture of energy efficiency in state government."

AGENCY PROGRAM INDEX

Office of the Commissioner	Veterans' Health Care Services	169
Office of Advocacy & Assistance.....	Residential and Rehabilitative Services	169

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2009-2010</u>	<u>2010-2011</u>
• Rollout FY2009 Rescissions	-10,000	-10,000
• OE/OCE General Reductions	-33,668	-33,668
• Transfer Equipment to CEPF	-501,649	-306,900
• Remove or Limit Inflation	-246,861	-377,763

AGENCY PROGRAMS

Personnel Summary

<i>Permanent Full-Time Positions</i>	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	299	16	1	316	316	316	316	316

Agency Programs by Total Funds

<i>(Net of Reimbursements)</i>	<u>2007-2008</u>	<u>2008-2009</u>	<u>2009-2010</u>	<u>Current</u>	<u>2009-2010</u>	<u>2010-2011</u>	<u>Current</u>	<u>2010-2011</u>
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Office of the Commissioner	16,883,331	17,028,140	19,210,385	18,110,941	17,575,217	19,456,101	18,047,278	17,713,764
Office of Advocacy & Assistance	1,160,680	1,260,723	1,304,889	1,255,701	1,254,770	1,314,094	1,242,317	1,233,941
Veterans' Health Care Services	24,124,128	18,779,252	16,354,717	15,870,388	15,862,266	16,804,268	16,042,588	16,034,450
Residential and Rehabilitative Services	1,937,352	1,995,597	2,132,262	2,079,184	2,078,644	2,143,432	2,059,979	2,059,439
TOTAL Agency Programs - All Funds Gross	44,105,491	39,063,712	39,002,253	37,316,214	36,770,897	39,717,895	37,392,162	37,041,594
Less Turnover	0	0	-100,000	-500,000	-500,000	-100,000	-500,000	-500,000
TOTAL Agency Programs - All Funds Net	44,105,491	39,063,712	38,902,253	36,816,214	36,270,897	39,617,895	36,892,162	36,541,594

Summary of Funding

General Fund Net	32,370,647	33,649,901	36,753,542	34,667,503	34,122,186	37,469,184	34,743,451	34,392,883
Federal and Other Activities	9,634,905	2,885,773	0	0	0	0	0	0
Bond Funds	489,818	379,327	0	0	0	0	0	0
Private Funds	1,610,121	2,148,711	2,148,711	2,148,711	2,148,711	2,148,711	2,148,711	2,148,711
TOTAL Agency Programs - All Funds Net	44,105,491	39,063,712	38,902,253	36,816,214	36,270,897	39,617,895	36,892,162	36,541,594

OFFICE OF THE COMMISSIONER

Statutory Reference

C.G.S. Section 27-102l through 27-137

Statement of Need and Program Objectives

To guide the development of agency policy as well as provide administrative direction and support. To ensure compliance with laws, rules and regulations governing the operations of the department through the establishment, revision and distribution of agency policies and by reviewing the procedures used to implement those policies.

Program Description

The Office of the Commissioner is tasked with administrative authority for the department; outreach to veterans and community agencies; liaisons with legislature, statutory and

legislative authorities and veteran service organizations; assessment of the efficiency of programs and evaluation and development of new initiatives to better serve 280,000 Connecticut veterans and their families. The Office of the Commissioner also provides overall administrative support to all agency programs through human resource activities such as personnel and payroll; fiscal/administrative services such as budgeting, accounting, third-party patient Medicaid and Medicare billing, veterans' accounts, material management, and administration of grants; and information technology services such as support in automated systems planning, development, installation, and telecommunications.

In addition, the Office of the Commissioner provides comprehensive short and long-term planning services, ensures compliance with affirmative action laws and regulations and is responsible for safety compliance and security.

Personnel Summary

Permanent Full-Time Positions	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	144	10	1	155	155	155	155	155

Financial Summary

(Net of Reimbursements)	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	9,483,763	9,989,932	10,845,315	10,595,351	10,595,351	11,162,792	10,718,307	10,718,307
Other Expenses	5,740,778	5,494,938	6,321,378	5,766,731	5,741,690	6,458,366	5,782,308	5,757,281
<u>Capital Outlay</u>								
Equipment	1,000	0	570,749	501,749	100	362,000	299,553	100
<u>Other Current Expenses</u>								
Support Services for Veterans	146,243	146,500	406,500	180,667	171,633	406,500	180,667	171,633
<u>Pmts to Other Than Local Governments</u>								
Burial Expenses	7,200	7,200	7,200	7,200	7,200	7,200	7,200	7,200
Headstones	301,091	370,000	370,000	370,000	370,000	370,000	370,000	370,000
TOTAL-General Fund	15,680,075	16,008,570	18,521,142	17,421,698	16,885,974	18,766,858	17,358,035	17,024,521
<u>Additional Funds Available</u>								
Bond Funds	440,815	330,327	0	0	0	0	0	0
Private Funds	528,438	689,243	689,243	689,243	689,243	689,243	689,243	689,243
Federal Contributions								
64005 Grants Construction of State Home Facilities	234,003	0	0	0	0	0	0	0
TOTAL - All Funds	16,883,331	17,028,140	19,210,385	18,110,941	17,575,217	19,456,101	18,047,278	17,713,764

Office of the Commissioner

OFFICE OF ADVOCACY AND ASSISTANCE

Statutory Reference

C.G.S. Sections 27-102l through 27-137

Statement of Need and Program Objectives

To provide comprehensive assistance and formal representation to veterans, their spouses and/or eligible dependents in obtaining rights, benefits and privileges to

Budget-in-Detail

which they may be entitled under federal, state and local laws.

Program Description

The Office of Advocacy and Assistance (OAA) operates five regional offices staffed with Veterans' Service Officers (VSOs) who provide advice, assistance and formal representation to the state's approximately 280,000 veterans - administering the process of obtaining comprehensive rights, benefits and privileges to which they are entitled under law. OAA VSOs have assisted thousands of veterans in preparing and presenting benefit claims to the U.S. Department of Veterans' Affairs, resulting in tens of millions in federal dollars flowing into the state in the form of increased benefits to Connecticut veterans and their families. OAA also publishes numerous brochures including "Office of Advocacy and Assistance," "State of Connecticut Veterans' Benefits" and "2008 Reference Guide for Connecticut Veterans, Active Duty, National Guard and Reserves" that help explain the complex federal and state laws that govern health care and financial services for veterans and their dependents.

These federal benefits for veterans provide a direct savings to state taxpayers where the clients are Medicaid recipients and often serve to help clients from relying more heavily on state funded services.

OAA prepares studies and collects information concerning facilities and services available to veterans and conducts interviews in health care facilities to ascertain which benefits veterans are receiving or should receive. The Office cooperates with service organizations in disseminating

information and furnishing counsel to resident veterans concerning the availability of educational training and retraining facilities; health, medical, rehabilitation and housing facilities and services; and employment and reemployment services and other rights, benefits or privileges.

Cemetery and Memorial Services has been recently assigned to Advocacy and Assistance. This unit is responsible for processing all necessary paperwork for burial in the state cemeteries at Rocky Hill and Middletown for veterans and their eligible dependents as well as pre-approving those who wish to establish eligibility for burial planning purposes.

OAA also processes the applications for and issues the new Connecticut Veterans Wartime Service Medal and maintains the corresponding State Veterans Registry database.

Outcome Measure	
New Federal Veterans' Benefits Acquired for Connecticut Veterans, Families and Dependents (in Millions):	
Actual FY2006	\$44.50
Actual FY2007	\$49.50
Actual FY2008	\$52.50
Projected FY2009	\$55.00
Projected FY2010	\$58.00
Projected FY2011	\$61.00

Program Measure	2007-2008 Actual	2008-2009 Estimated	2009-2010 Projected	2010-2011 Projected
Applications filed on behalf of veterans:				
Compensation	906	1,100	1,100	1,100
Pension	501	525	525	525
Death Pension	303	325	325	325
Dependency Indemnity Compensation	45	50	50	50
Burial Benefit	30	40	40	40

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	19	2	0	21	21	21	21	21

Financial Summary

(Net of Reimbursements)	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,026,440	1,116,577	1,164,979	1,138,128	1,138,128	1,164,023	1,117,673	1,117,673
Other Expenses	93,058	81,852	83,616	76,279	75,948	84,777	75,903	75,574

Capital Outlay

Equipment	0	0	0	0	0	9,000	7,447	0
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Other Current Expenses

Support Services for Veterans	2,511	27,000	27,000	12,000	11,400	27,000	12,000	11,400
TOTAL-General Fund	1,122,009	1,225,429	1,275,595	1,226,407	1,225,476	1,284,800	1,213,023	1,204,647

Additional Funds Available

Bond Funds	4,988	6,000	0	0	0	0	0	0
Private Funds	33,683	29,294	29,294	29,294	29,294	29,294	29,294	29,294
TOTAL - All Funds	1,160,680	1,260,723	1,304,889	1,255,701	1,254,770	1,314,094	1,242,317	1,233,941

Office of Advocacy & Assistance

VETERANS' HEALTH CARE SERVICES**Statutory Reference**

C.G.S. Sections 27-102l through 27-126

Statement of Need and Program Objectives

To deliver to Connecticut veterans a responsive system of inpatient and long-term health care and clinical support. To provide comprehensive healthcare for veterans across the continuum of needs. The department's inpatient healthcare covers a complete array of services, from long-term nursing care and dementia care to rehabilitation and end of life care. A plan of care is developed and continually updated throughout the veteran's stay and quality healthcare services are provided to assist the veteran reach his/her maximum potential.

Program Description

The Veterans' Home programs are a major element of the department's operations. The programs offer a progressive, caring continuum of health care, social and rehabilitative services dedicated to excellence. The program has adopted a rehabilitative model of care.

The Health Care Facility is licensed by the state Department of Public Health as a Chronic Disease Hospital for up to 125 beds, and is certified by the Federal Department of Veterans' Affairs for 125 licensed beds. The new healthcare facility officially opened on October 23, 2008. Under the direction of the Healthcare Services Administrator, the medical staff, nursing department and substance abuse team, comprehensive medical and rehabilitation services are provided to improve every aspect of care for each veteran served.

DVA offers 24 hour quality healthcare to veterans with chronic and disabling medical conditions and whose needs require specialized care. Examples of conditions treated include chronic obstructive pulmonary disease, congestive heart disease, end stage renal disease, diabetes, cardiovascular accident, Parkinson's disease, Alzheimer's disease, end of life and palliative care, and pain management.

Programs include general medical care, Alzheimer's and related dementia care, end of life care, palliative care, long term care, rehabilitation, respite care, mental health and psychological counseling, and a formal substance abuse rehabilitation program. Primary care clinics are available for veterans in the healthcare facility and residents in the residential facility. In addition to medicine and nursing, specialty areas include physical, occupational, speech, and recreational therapy, laboratory, radiology, pharmacy, social work, cardiopulmonary and dental.

The Special Care Unit provides a safe, structured and positive social environment with dedicated staff trained in understanding that Alzheimer's disease is an illness characterized by a decline in cognitive abilities. Veterans with Alzheimer's disease or other related dementias reside in a safe and supportive environment based on Person Centered Care.

The Respite Care Program is intermittent care provided to the disabled veteran in an inpatient setting for the purpose of providing relief to the family member or caregiver. Veterans are eligible for 28 days of respite care per calendar year.

The End of Life and Palliative Care Program provides special medical, psychological and spiritual needs to the terminally ill. Pain management, special nursing visits, alternative therapies and volunteer companions are provided to comfort the veteran at end of life. A wide range of support and counseling services for veterans and their loved ones, including individual and grief counseling, spiritual counseling, resource planning and assistance with end-of-life issues are provided.

The Recovery Support Rehabilitation Program provides education, motivation and environmental support for veterans seeking recovery from the effects of substance abuse. Veterans who are committed toward their recovery from drug and/or alcohol addictions receive a concentrated program of treatment, work opportunities and community service.

Program Measure	2007-2008 Actual	2008-2009 Estimated	2009-2010 Projected	2010-2011 Projected
Patient Days in Hospital	46,502	46,502	46,502	46,502
Total Clinic Visits	10,602	10,602	10,602	10,602
Dental Treatments	9,500	9,500	9,500	9,500

Budget-in-Detail

Total X-Rays (Films)	1,606	1,606	1,606	1,606
Total Laboratory Analyses	55,550	55,550	55,550	55,550
Cardiopulmonary Tests & Treatments	55,396	55,396	55,396	55,396
Social Work Units of Service Programs	20,307	20,307	20,307	20,307
Pharmacy Transactions:				
Total Medication Orders Processed	128,802	128,802	128,802	128,802
Total Prescriptions Dispensed	87,378	87,378	87,378	87,378

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
General Fund	111	3	0	114	114	114	114	114

Financial Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
(Net of Reimbursements)	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	11,869,099	12,849,078	13,208,384	12,903,955	12,903,955	13,608,398	13,066,531	13,066,531
Other Expenses	2,105,214	1,916,405	2,050,337	1,870,437	1,862,315	2,099,874	1,880,061	1,871,923
<u>Other Current Expenses</u>								
Support Services for Veterans	19,552	0	0	0	0	0	0	0
TOTAL-General Fund	13,993,865	14,765,483	15,258,721	14,774,392	14,766,270	15,708,272	14,946,592	14,938,454
<u>Additional Funds Available</u>								
Bond Funds	32,807	32,000	0	0	0	0	0	0
Private Funds	696,554	1,095,996	1,095,996	1,095,996	1,095,996	1,095,996	1,095,996	1,095,996
Federal Contributions								
64005 Grants Construction of State Home Facilities	9,400,902	2,885,773	0	0	0	0	0	0
TOTAL - All Funds	24,124,128	18,779,252	16,354,717	15,870,388	15,862,266	16,804,268	16,042,588	16,034,450

Veterans' Health Care Services

RESIDENTIAL AND REHABILITATIVE SERVICES

Statutory Reference

C.G.S. Sections 27-102l through 27-126

Statement of Need and Program Objectives

To provide homeless and needy veterans with a domiciliary level of care to facilitate rehabilitation and a return to independent living whenever possible.

Program Description

The basic philosophy of the Residential and Rehabilitative Services (RRS) program is to facilitate rehabilitation of all its residents to the greatest extent possible and at the fastest rate possible. This is accomplished through the Veterans Improvement Program. Rehabilitation includes medical care, substance abuse treatment, social work services, recreational services, educational and vocational services, job training and supportive housing. The ultimate goal is to return as many residents as possible to society as sober, productive citizens, capable of independent living.

The Substance Abuse Rehabilitation Program provides education, motivation and environmental support for veterans seeking recovery from the effects of substance abuse.

Social Work Services. Each new resident is assigned a social worker upon admission who case manages them through the duration of their stay at the facility. They can assist residents in resolving problems with finances, family issues, legal matters and eventual housing needs upon discharge. They can also assist them with pursuing disability and retirement benefits they may be eligible for.

Vocational Services for residents include participating in a compensated work therapy program to begin earning income, receiving vocational counseling and testing to develop realistic future career goals, providing assistance to attend local colleges and training institutions to enhance marketability, assisting in developing a resume, learning interview skills and job placement.

Recreational Activities for all residents include bingo, table games, pool tournaments, weekly bowling leagues, softball, basketball and volleyball teams, movies and in-house groups. Community trips to professional ballgames, theater, shopping

malls and local organizations are also available and encouraged.

Supportive Housing is available to residents who have secured full-time employment or schooling in the community.

In addition to employees involved in the above departments, a trained staff of domicile supervisors is available on a daily basis to attend to residents needs.

The Residential Facility is certified by the Federal Department of Veterans' Affairs and has 488 licensed beds.

An extensive rehabilitative initiative has been developed within the RRS program. The main goal is to return veterans to their respective communities with the financial, educational and vocational resources to be successful. The department has tracked the percentage of those program participants who have successfully returned to the community.

Program Measure	2007-2008 Actual	2008-2009 Estimated	2009-2010 Projected	2010-2011 Projected
Domicile Occupancy Rate (%)	294/390 (75%)	310/390 (79%)	325/390 (83%)	340/390 (87%)
Veterans' Recovery Unit (%)	37/80 (46%)	47/80 (59%)	57/80 (71%)	67/80 (84%)
STAR (Supportive Transitional Alternative Residency) Unit (%)	9/12 (75%)	11/12 (92%)	11/12 (92%)	11/12 (98%)

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
General Fund	25	1	0	26	26	26	26	26

Financial Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
(Net of Reimbursements)	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	1,498,213	1,626,141	1,737,557	1,697,509	1,697,509	1,748,024	1,678,420	1,678,420
Other Expenses	44,792	7,778	44,027	40,164	39,990	44,730	40,048	39,874
<u>Other Current Expenses</u>								
Support Services for Veterans	31,693	16,500	16,500	7,333	6,967	16,500	7,333	6,967
TOTAL-General Fund	1,574,698	1,650,419	1,798,084	1,745,006	1,744,466	1,809,254	1,725,801	1,725,261
<u>Additional Funds Available</u>								
Bond Funds	11,208	11,000	0	0	0	0	0	0
Private Funds	351,446	334,178	334,178	334,178	334,178	334,178	334,178	334,178
TOTAL - All Funds	1,937,352	1,995,597	2,132,262	2,079,184	2,078,644	2,143,432	2,059,979	2,059,439

Residential and Rehabilitative Services

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object

	2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Actual	Estimated	Requested	Recommended	Requested	Recommended
<u>Personal Services</u>						
Permanent Fulltime Positions	16,051,373	17,142,441	17,864,836	17,861,299	17,950,642	17,947,106
Other Positions	2,734,255	2,958,440	3,108,999	3,048,301	3,267,950	3,141,597
Other	2,770,523	2,969,153	3,264,751	2,906,764	3,524,149	2,966,745
Overtime	2,321,364	2,511,694	2,717,649	2,518,579	2,940,496	2,525,483
TOTAL-Personal Services Gross	23,877,515	25,581,728	26,956,235	26,334,943	27,683,237	26,580,931
Less Reimbursements						
Less Turnover	0	0	-100,000	-500,000	-100,000	-500,000
TOTAL-Personal Services Net	23,877,515	25,581,728	26,856,235	25,834,943	27,583,237	26,080,931
<u>Other Expenses-Contractual Services</u>						
Utility Services	1,855,157	2,452,035	2,955,173	2,591,203	2,982,838	2,612,994

Budget Summary

Rentals, Storage and Leasing	116,917	37,857	113,451	38,362	115,559	38,362
Telecommunication Services	109,230	84,242	102,159	82,593	103,588	82,593
General Repairs	299,467	275,552	312,589	279,225	316,581	279,225
Motor Vehicle Expenses	61,128	57,331	59,315	46,476	60,145	46,476
Fees for Outside Professional Services	257,563	181,011	192,460	183,424	200,443	183,424
Fees for Non-Professional Services	245,630	238,539	246,795	241,719	250,249	241,719
DP Services, Rentals and Maintenance	154,082	92,481	124,224	123,714	125,533	123,714
Postage	34,141	32,020	33,128	32,447	33,591	32,447
Travel	0	22,797	23,586	22,824	23,915	22,824
Other Contractual Services	510,541	456,022	471,806	462,102	478,412	462,102
Printing & Binding	1,847	1,732	1,792	1,755	1,817	1,755

Other Expenses-Commodities

Agriculture, Horticulture, Dairy & Food	1,309,880	1,287,171	1,376,956	1,304,331	1,455,576	1,304,331
Books	132	123	128	125	130	125
Maintenance and Motor Vehicle Supplies	392,887	360,851	372,544	348,561	376,412	348,561
Medical Supplies	1,430,290	1,341,451	1,404,202	1,359,334	1,440,511	1,359,334
Fuel	774,992	305,921	384,260	324,260	387,718	327,178
Office Supplies	429,958	273,837	324,790	277,488	334,729	277,488
TOTAL-Other Expenses Gross	7,983,842	7,500,973	8,499,358	7,719,943	8,687,747	7,744,652
Less Reimbursements						
TOTAL-Other Expenses Net	7,983,842	7,500,973	8,499,358	7,719,943	8,687,747	7,744,652

Other Current Expenses

Support Services for Veterans	199,999	190,000	450,000	190,000	450,000	190,000
TOTAL-Other Current Expenses	199,999	190,000	450,000	190,000	450,000	190,000

Pmts to Other Than Local Govts

Burial Expenses	7,200	7,200	7,200	7,200	7,200	7,200
Headstones	301,091	370,000	370,000	370,000	370,000	370,000
TOTAL-Pmts to Other Than Local Govts	308,291	377,200	377,200	377,200	377,200	377,200

Character & Major Object Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	23,877,515	25,581,728	26,856,235	25,834,943	25,834,943	27,583,237	26,080,931	26,080,931
Other Expenses Net	7,983,842	7,500,973	8,499,358	7,753,611	7,719,943	8,687,747	7,778,320	7,744,652
Capital Outlay	1,000	0	570,749	501,749	100	371,000	307,000	100
Other Current Expenses	199,999	190,000	450,000	200,000	190,000	450,000	200,000	190,000
Payments to Other Than Local Governments	308,291	377,200	377,200	377,200	377,200	377,200	377,200	377,200
TOTAL-General Fund Net	32,370,647	33,649,901	36,753,542	34,667,503	34,122,186	37,469,184	34,743,451	34,392,883

Additional Funds Available

Federal and Other Activities	9,634,905	2,885,773	0	0	0	0	0	0
Bond Funds	489,818	379,327	0	0	0	0	0	0
Private Funds	1,610,121	2,148,711	2,148,711	2,148,711	2,148,711	2,148,711	2,148,711	2,148,711
TOTAL-All Funds Net	44,105,491	39,063,712	38,902,253	36,816,214	36,270,897	39,617,895	36,892,162	36,541,594

OFFICE OF WORKFORCE COMPETITIVENESS

AGENCY PURPOSE

The OWC serves as the Governor's principal workforce development policy agency. The OWC acts as:

- Liaison between the Governor and various federal, state and local entities involved in workforce development issues.
- Staff support to the Connecticut Employment and Training Commission (CETC) and the Governor's JOBS Cabinet.
- OWC's fundamental goal has not changed; it remains dedicated to implementing A Talent-Based Strategy that will keep Connecticut competitive regionally, nationally and globally in the 21st century and beyond. OWC will continue to ensure that the state's education and training pipeline is well prepared to meet the rising skill demands of Connecticut business and industry through its focus on three fundamental policy objectives - GROWING TALENT,

USING TALENT and ENRICHING TALENT - that together comprise the Talent-Based Strategy for Economic Growth for Connecticut.

The CETC, which is a partnership of private and public sector volunteers appointed by the Governor, oversees the development of statewide workforce development policy. The Governor's JOBS Cabinet guides the implementation of integrated, coordinated, multi-agency education and training programs and services that are responsive to customers and labor force needs. OWC is responsible for overseeing implementation of the federal Workforce Investment Act of 1998 (WIA) on behalf of the Governor, the JOBS Cabinet and the CETC.

The Office of Workforce Competitiveness is recommended for consolidation with the reconfigured Department of Economic Development and the new Middle College System in the Governor's budget as part of her proposal to streamline state government.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2009-2010</u>	<u>2010-2011</u>
• Rollout FY2009 Rescissions	-341,343	-341,343
• Remove or Limit Inflation	-146,468	-246,164
• Eliminate Vacant Positions <i>Eliminate one vacant position.</i>	-17,792	-17,792
• OE/OCE General Reductions	-1,981	-1,981
• Transfer Equipment to CEPF	-900	-900
• Reconfigure Dept of Economic & Community Development - Reallocate Funding from OWC to DECD <i>As part of the reorganization of state government, funding is transferred to an expanded Department of Economic and Community Development (DECD). The new DECD will assimilate the important Jobs Funnel, SBIR Matching Grants, Nanotechnology, Film Industry Training and SAMA funding from the Office of Workforce Competitiveness.</i>	-2,407,500	-2,407,500
• Create Middle College - Reallocate Funding from OWC <i>As part of the reorganization of state government, a new Middle College System is being established which will include important workforce functions from the Office of Workforce Competitiveness. Four positions and the CETC Workforce account are being transferred to the new Middle College.</i>	-2,317,619	-2,322,806
• Create Middle College - Reduce Other Expenses and OCE Accounts <i>As part of the reorganization of state government, a new Middle College System is being established. Savings are anticipated from the consolidation of operating functions in the new System.</i>	-1,172,800	-1,172,800
• Eliminate Career Ladder Pilot Programs Funding	-475,000	-475,000
• Reduce Funding for the Film Industry Training Program	-300,000	-300,000
• Eliminate CCAT Subsidy for Small Business Program <i>As part of the reorganization of state government, programs that are considered duplicative are eliminated.</i>	-237,500	-237,500
• Eliminate Funding for Adult Literacy Board <i>As part of the reorganization of state government, programs that are considered duplicative are eliminated.</i>	-167,945	-167,945

AGENCY PROGRAMS

Personnel Summary	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	4	1	0	5	5	0	5	0

Agency Programs by Total Funds	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Workforce Competitiveness	6,461,774	7,116,218	9,963,172	7,482,280	0	10,540,289	7,487,467	0
TOTAL Agency Programs - All Funds Gross	6,461,774	7,116,218	9,963,172	7,482,280	0	10,540,289	7,487,467	0
Less Turnover	0	0	0	-41,900	0	0	-41,900	0
TOTAL Agency Programs - All Funds Net	6,461,774	7,116,218	9,963,172	7,440,380	0	10,540,289	7,445,567	0
<u>Summary of Funding</u>								
General Fund Net	6,461,774	7,116,218	9,963,172	7,440,380	0	10,540,289	7,445,567	0
TOTAL Agency Programs - All Funds Net	6,461,774	7,116,218	9,963,172	7,440,380	0	10,540,289	7,445,567	0

OFFICE OF WORKFORCE COMPETITIVENESS**Statutory Reference**

C.G.S. Sections 4-124w and 4-124bb through 4-124gg
P.A. 05-198, 06-182 and 06-187

Statement of Need and Program Objectives

To plan, initiate, coordinate, and collaborate in the development of a comprehensive, statewide workforce development strategy that responds to the needs of current and future workers, as well as the state's employers.

Program Description**The Connecticut Career Choices Program (CCC)**

CCC has evolved into what has become a statewide, coordinated K-16 initiative that includes urban, suburban and rural school districts focused on "growing" 21st century talent. In fact, over the past four years, an estimated 6000 Connecticut students from 33 schools systems have participated in various components of the program.

Youth Development

OWC is involved in coordinating and supporting a variety of broad-based youth activities. These include:

- Staff support at the policy level for the Youth Committee of the Connecticut Employment and Training Commission;
- Leadership, staff support, and funding support of the state's Youth Vision Team and the Youth Futures Committee, focusing on bringing at-risk and vulnerable youth into the workforce; and
- Facilitation (with the Bureau of Rehabilitation Services) of an exciting "big-picture" inter-agency effort to address the state's problems relating to data-sharing capability across the various human service agencies' databases, starting with but not limited to vulnerable youth.

Healthcare

Public Acts 04-196, 04-220 and 04-253 established OWC as a partner and facilitator of workforce development strategies, including education, training, and career ladder development, in support of increasing the number of individuals involved in nursing and allied health fields.

CT Small Business Innovation and Research (SBIR) Office

The Office's objectives are to build awareness of the federal SBIR Program and build collaborative connections for tech-based small businesses with universities, large, mid-tier and other small businesses. Toward this objective the office has:

- Contacted/educated over 2500 businesses
- Met one-on-one with over 165 businesses

Nanotechnology

- Through the Connecticut SBIR Office, a successful "Nanotechnology-Fuel Cell pilot" was launched, in which small technology companies submitted competitive proposals for grants to perform early stage research using nanotechnology and fuel cells for unmanned vehicles. Electric Boat has agreed to provide industry oversight to the companies as they progress with their research.
- The Department of Higher Education is leading a consortium of deans and provosts from Connecticut's two- and four-year schools in the design and development of post-secondary curriculum to support a nanotechnology industry in Connecticut. They have developed the first "minor" in the nanotechnology field in the state.

The Connecticut Jobs Funnel

Currently operating in Hartford and New Haven, the Jobs Funnel model represents a joint public-private effort to create career opportunities in the construction trades for city residents.

Personnel Summary	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	4	1	0	5	5	0	5	0

Financial Summary	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	446,341	462,260	511,207	485,979	0	517,907	491,166	0
Other Expenses	276,363	314,681	308,161	322,378	0	312,475	322,378	0
<u>Capital Outlay</u>								
Equipment	0	0	15,000	1,000	0	5,000	1,000	0
<u>Other Current Expenses</u>								
CETC Workforce	1,400,946	1,991,332	2,590,158	2,096,139	0	2,695,120	2,096,139	0
Jobs Funnel Projects	1,000,000	950,000	1,271,000	1,000,000	0	1,535,294	1,000,000	0
Connecticut Career Choices	800,000	760,000	1,016,800	800,000	0	1,103,235	800,000	0
Nanotechnology Study	300,000	285,000	506,300	300,000	0	520,588	300,000	0
SBIR Initiative	250,000	237,500	505,250	250,000	0	558,824	250,000	0
Career Ladder Pilot Programs	500,000	475,000	510,500	500,000	0	517,647	500,000	0
Spanish American Merchant Association	300,000	285,000	306,300	300,000	0	310,588	300,000	0
Film Industry Equipment	500,000	0	510,500	0	0	517,647	0	0
Film Industry Study	250,000	0	255,250	0	0	258,823	0	0
Adult Literacy Council	172,889	167,945	180,496	176,784	0	183,023	176,784	0
Film Industry Training Program	15,235	950,000	1,021,000	1,000,000	0	1,035,294	1,000,000	0
SBIR Matching Grants	250,000	237,500	455,250	250,000	0	468,824	250,000	0
TOTAL-General Fund	6,461,774	7,116,218	9,963,172	7,482,280	0	10,540,289	7,487,467	0

Workforce Competitiveness**AGENCY FINANCIAL SUMMARY - GENERAL FUND**

Current Expenses by Minor Object	2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	401,594	414,637	470,219	0	473,899	0
Other	44,747	47,623	40,988	0	44,008	0
TOTAL-Personal Services Gross	446,341	462,260	511,207	0	517,907	0
Less Reimbursements						
Less Turnover						
TOTAL-Personal Services Net	446,341	462,260	511,207	0	517,907	0
<u>Other Expenses-Contractual Services</u>						
Dues and Subscriptions	255	271	284	0	288	0
Rentals, Storage and Leasing	73,096	77,794	81,506	0	82,647	0
Telecommunication Services	17,532	18,657	19,547	0	19,822	0
General Repairs	156	166	174	0	176	0
Motor Vehicle Expenses	233	248	259	0	263	0
Fees for Outside Professional Services	155,000	164,963	172,835	0	175,255	0
Fees for Non-Professional Services	9,551	10,164	10,649	0	10,798	0

Budget-in-Detail

DP Services, Rentals and Maintenance	7,421	28,446	8,269	0	8,384	0
Postage	235	250	262	0	266	0
Travel	2,313	2,463	2,582	0	2,618	0
Other Contractual Services	1,355	1,443	1,512	0	1,533	0
Advertising	1,261	1,343	1,407	0	1,427	0
Printing & Binding	38	41	43	0	44	0
<i><u>Other Expenses-Commodities</u></i>						
Maintenance and Motor Vehicle Supplies	41	44	46	0	46	0
Office Supplies	7,801	8,308	8,704	0	8,826	0
<i><u>Other Expenses-Sundry</u></i>						
Sundry - Other Items	75	80	82	0	82	0
TOTAL-Other Expenses Gross	276,363	314,681	308,161	0	312,475	0
Less Reimbursements						
TOTAL-Other Expenses Net	276,363	314,681	308,161	0	312,475	0

Other Current Expenses

CETC Workforce	1,400,946	1,991,332	2,590,158	0	2,695,120	0
Jobs Funnel Projects	1,000,000	950,000	1,271,000	0	1,535,294	0
Connecticut Career Choices	800,000	760,000	1,016,800	0	1,103,235	0
Nanotechnology Study	300,000	285,000	506,300	0	520,588	0
SBIR Initiative	250,000	237,500	505,250	0	558,824	0
Career Ladder Pilot Programs	500,000	475,000	510,500	0	517,647	0
Spanish American Merchant Association	300,000	285,000	306,300	0	310,588	0
Film Industry Equipment	500,000	0	510,500	0	517,647	0
Film Industry Study	250,000	0	255,250	0	258,823	0
Adult Literacy Council	172,889	167,945	180,496	0	183,023	0
Film Industry Training Program	15,235	950,000	1,021,000	0	1,035,294	0
SBIR Matching Grants	250,000	237,500	455,250	0	468,824	0
TOTAL-Other Current Expenses	5,739,070	6,339,277	9,128,804	0	9,704,907	0

Character & Major Object Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	446,341	462,260	511,207	444,079	0	517,907	449,266	0
Other Expenses Net	276,363	314,681	308,161	322,378	0	312,475	322,378	0
Capital Outlay	0	0	15,000	1,000	0	5,000	1,000	0
Other Current Expenses	5,739,070	6,339,277	9,128,804	6,672,923	0	9,704,907	6,672,923	0
TOTAL-General Fund Net	6,461,774	7,116,218	9,963,172	7,440,380	0	10,540,289	7,445,567	0

BOARD OF ACCOUNTANCY

AGENCY DESCRIPTION

The Board of Accountancy licenses and regulates certified public accountants and is responsible for ensuring the highest standards of integrity and professionalism are maintained by Connecticut's certified public accountants.

In 2007 The Board of Accountancy marked 100 years as a State Board.

The Board of Accountancy is recommended for consolidation with Department of Consumer Protection in the Governor's budget as part of her proposal to streamline state government.

RECOMMENDED SIGNIFICANT CHANGES

	<u>2009-2010</u>	<u>2010-2011</u>
Reductions to Current Services		
• OE/OCE General Reductions	-2,155	-2,155
• Reduce Equipment	-6,982	0
• Reduce Other Expenses	-100,625	-20,857
• Remove Calligrapher Cost	-2,474	-2,474
• Remove or Limit Inflation	-2,208	-3,711
• Streamline Agency Operations - Eliminate an Office Assistant and Unit Supervisor Position	-97,099	-96,999
Reallocations or Transfers		
• Streamline Agency Operations - Transfer Board of Accountancy to Department of Consumer Protection	-322,069	-326,170

AGENCY PROGRAMS

Personnel Summary	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	5	0	0	5	5	0	5	0

Agency Programs by Total Funds	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Board of Accountancy	412,975	418,525	557,783	531,404	0	475,004	448,655	0
TOTAL Agency Programs - All Funds Gross	412,975	418,525	557,783	531,404	0	475,004	448,655	0
Less Turnover								
TOTAL Agency Programs - All Funds Net	412,975	418,525	557,783	531,404	0	475,004	448,655	0
Summary of Funding								
General Fund Net	412,975	418,525	557,783	531,404	0	475,004	448,655	0
TOTAL Agency Programs - All Funds Net	412,975	418,525	557,783	531,404	0	475,004	448,655	0

REGULATION OF LICENSED ACCOUNTANTS

Statutory Reference

C.G.S. Chapter 389

Statement of Need and Program Objectives

To protect the users of services rendered by Connecticut licensed accountants by regulating the authorized practice of public accountancy by certified public accountants (CPA) and the unauthorized practice of public accountancy by unlicensed individuals and firms.

Program Description

Through its licensing, continuing education, peer review, and examination activities, the Board of Accountancy (BOA) ensures that qualified individuals are granted the certificate for public accountants and that they continue to be current with the most recent developments in the accounting field. If there are difficulties encountered by a consumer with a licensed accountant or firm, the BOA investigates all complaints to protect the public.

Budget-in-Detail

After ensuring that all regulatory requirements have been met, the board accepts or rejects requests and proffers initial certification, licenses, registrations, and permits.

The board renews currently held licenses, registrations and permits annually.

Permit holders, as a condition of renewal, must undergo a peer review to determine compliance with technical standards. The results are reported to the board for review and action. All licensees must report 40 hours of continuing education each year. Disclosure forms are received, reviewed and audited for compliance.

The board administers the uniform national CPA exam. Certification requires fulfillment of education, exam and experience requirements.

Allegations of violations are received and investigated. Formal hearings or settlements may result in suspension, revocation, reprimand, probation or censure of a respondent's Connecticut certificate, license or permit or the issuance of an Order of Immediate Discontinuance in the case of unauthorized practice and use of title. Civil penalties of up to \$50,000 may be imposed.

Program Measure	2007-2008 Actual	2008-2009 Estimated	2009-2010 Projected	2010-2011 Projected
Licenses and Permits Issued Initial				
CPA Certificates	526	525	525	525
CPA Licenses	626	625	625	625
CPA Certificates Registered	136	135	135	135
Firm Permits	124	125	125	125
Renewal				
CPA Licenses/ Public Account Licenses	4,622	4,500	4,500	4,500
CPA Certificates Registered	2,519	2,750	2,750	2,750
Firm Permits	1,536	1,600	1,600	1,600
Exam Applicants	1,872	2,000	2,000	2,000
Written Complaints				
Received/Resolved	138/122	120/95	120/95	120/95
Pending	67	92	92	92
Disciplinary Hearings Held	7	8	8	8

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
<i>Permanent Full-Time Positions</i>								
General Fund	5	0	0	5	5	0	5	0

Financial Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
(Net of Reimbursements)								
Personal Services	315,643	314,139	340,711	340,711	0	345,306	345,306	0
Other Expenses	97,332	104,386	207,990	183,611	0	129,698	103,349	0

Capital Outlay

Equipment	0	0	9,082	7,082	0	0	0	0
TOTAL-General Fund	412,975	418,525	557,783	531,404	0	475,004	448,655	0

Regulation of Licensed Accounts

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object	2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	301,651	303,987	325,689	0	330,832	0
Other	6,387	6,827	7,522	0	6,974	0
Overtime	7,605	6,500	7,500	0	7,500	0
TOTAL-Personal Services Gross	315,643	317,314	340,711	0	345,306	0
Less Reimbursements	0	-3,175	0	0	0	0
Less Turnover						
TOTAL-Personal Services Net	315,643	314,139	340,711	0	345,306	0
<u>Other Expenses-Contractual Services</u>						
Dues and Subscriptions	5,484	5,925	6,049	0	6,134	0
Telecommunication Services	2,696	2,913	2,974	0	3,015	0
General Repairs	108	117	119	0	121	0
Fees for Outside Professional Services	1,119	1,209	1,235	0	1,252	0
Fees for Non-Professional Services	49,387	53,010	54,477	0	55,238	0
DP Services, Rentals and Maintenance	13,636	15,732	115,683	0	36,109	0
Postage	11,845	12,797	13,066	0	13,249	0
Travel	3,716	4,015	4,099	0	4,157	0
Printing & Binding	996	1,077	1,099	0	1,114	0
<u>Other Expenses-Commodities</u>						
Agriculture, Horticulture, Dairy & Food	240	259	264	0	269	0
Office Supplies	7,465	6,641	8,234	0	8,349	0
<u>Other Expenses-Sundry</u>						
Sundry - Other Items	640	691	691	0	691	0
TOTAL-Other Expenses Gross	97,332	104,386	207,990	0	129,698	0
Less Reimbursements						
TOTAL-Other Expenses Net	97,332	104,386	207,990	0	129,698	0

Character & Major Object Summary	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	315,643	314,139	340,711	340,711	0	345,306	345,306	0
Other Expenses Net	97,332	104,386	207,990	183,611	0	129,698	103,349	0
Capital Outlay	0	0	9,082	7,082	0	0	0	0
TOTAL-General Fund Net	412,975	418,525	557,783	531,404	0	475,004	448,655	0

DEPARTMENT OF ADMINISTRATIVE SERVICES

AGENCY DESCRIPTION

The Department of Administrative Services (DAS) coordinates the purchase of goods and services; provides document management services; provides policy direction and support for statewide human resources administration; distributes surplus property; orders and distributes federally donated

foods; manages the state's fleet of vehicles; investigates and collects money owed for care received in state institutions and assists state agencies with communications, affirmative action, human resources and fiscal services.

In light of the current fiscal situation, the State Insurance and Risk Management Board, the Office of the Claims Commissioner, State Properties Review Board and the State Marshals are proposed for elimination through consolidation with DAS in the Governor's budget as part of her proposal to streamline state government. Additionally, the Correctional Ombudsman contract within DAS is recommended for elimination in the Governor's budget.

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RECOMMENDED SIGNIFICANT CHANGES

<i>Reductions to Current Services</i>	<u>2009-2010</u>	<u>2010-2011</u>
• Rollout FY2009 Rescissions	-49,070	-49,070
• Eliminate Vacant Positions <i>Eliminate 17 vacant positions.</i>	0	0
• OE/OCE General Reductions	-6,277	-6,277
• Transfer Equipment to CEPF	-80,970	-71,970
• Reduce the Loss Control Account	-25,000	-25,000
• Restructure the State Exam Process	-91,090	-91,090
• Reduce the Workers' Compensation Administrator Account	-400,000	-400,000
• Eliminate the Correctional Ombudsman Account	-334,000	-334,000
<i>Reallocations or Transfers</i>		
• Streamline Agency Operations - Transfer the Office of the Claims Commissioner to the Department of Administrative Services	386,228	390,511
• Streamline Agency Operations - Transfer Function of the Insurance and Risk Management Board to DAS	14,170,932	15,160,638
• Streamline Agency Operations - Transfer Function of the State Properties Review Board to Department of Administrative Service	450,129	454,161
• Streamline Agency Operations - Transfer Function of the Insurance and Risk Management Board to DAS Transportation Fund	2,536,000	2,717,500
• CORE-CT Transfer of Positions from DOIT and OSC	286,000	286,000

AGENCY PROGRAMS

<i>Personnel Summary</i>	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	267	22	0	289	289	285	289	285
<i>Agency Programs by Total Funds</i>	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
<i>(Net of Reimbursements)</i>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Agency Management Services	1,170,417	1,145,896	890,543	868,173	18,411,462	892,143	868,568	19,591,378
Financial Services Center	8,896,543	9,339,816	10,213,083	9,395,217	9,039,225	10,435,903	9,593,093	9,237,101
Human Capital Management	6,260,758	6,349,103	6,711,193	6,686,864	6,595,774	6,754,459	6,734,839	6,643,749

Strategic Leadership	6,717,211	6,788,507	7,301,999	7,080,240	6,641,328	7,302,852	7,080,769	6,641,857
Business Enterprises	3,664,780	3,803,545	4,329,361	4,326,831	4,326,831	4,449,751	4,446,772	4,446,772
Information & Technology	2,509,232	2,475,011	2,537,605	2,499,394	2,684,981	2,545,330	2,492,034	2,686,621
TOTAL Agency Programs - All Funds Gross	29,218,941	29,901,878	31,983,784	30,856,719	47,699,601	32,380,438	31,216,075	49,247,478
Less Turnover	0	0	0	-820,453	-820,453	0	-820,453	-820,453
TOTAL Agency Programs - All Funds Net	29,218,941	29,901,878	31,983,784	30,036,266	46,879,148	32,380,438	30,395,622	48,427,025

Summary of Funding

General Fund Net	28,893,870	29,544,567	31,792,396	29,844,878	44,151,760	32,188,935	30,204,119	45,518,022
Special Transportation Fund Net	0	0	0	0	2,536,000	0	0	2,717,500
Federal and Other Activities	194,888	146,388	146,388	146,388	146,388	146,388	146,388	146,388
Bond Funds	77,893	165,923	0	0	0	0	0	0
Private Funds	52,290	45,000	45,000	45,000	45,000	45,115	45,115	45,115
TOTAL Agency Programs - All Funds Net	29,218,941	29,901,878	31,983,784	30,036,266	46,879,148	32,380,438	30,395,622	48,427,025

AGENCY MANAGEMENT SERVICES**Statutory Reference**

C.G.S. Sections 4a-1, 4a-2, 5-200, 5-199c

C.G.S. Section 1-101rr; 1-83 (a)(2); 46a-68(b)(5)

Statement of Need and Program Objectives

To direct and support the DAS divisions and to provide administrative services to other state agencies. To provide legal support and policy guidance to DAS Commissioners and Division Directors; to provide legal support and training both internal and external; to represent DAS before all administrative agencies and act as the liaison to the Office of the Attorney General; to provide government relations services for DAS; and to provide Ethics Compliance Officer functions for DAS and act as a repository for other agencies' Ethics Statements. To provide internal written and electronic communications and print, media and web design to support DAS divisions and keep employees informed of agency activity; to provide external written and electronic communications and design to market DAS services, develop a positive public image of the agency through media outlets, and to support the design/print needs of state agencies; and to provide design and media support for the Governor's Office and Lieutenant Governor's Office.

Communications. Staff Counsel is responsible for developing DAS policies and assisting managers and staff with their implementation; assisting in the development of statewide policies relating to human resources and other DAS business units; providing employment law guidance and training (i.e. HR policies, preventing sexual harassment, ADA, the Uniformed Services Employment & Reemployment Rights Act, the Fair Labor Standards Act, etc.); serving as DAS's Attorney General Designee to represent the agency before the Commission on Human Rights & Opportunities and the Equal Employment Opportunities Commission, representing the agency in Freedom of Information Commission matters and before other administrative bodies; acting as the agency's legislative liaison, including drafting agency legislative proposals, drafting testimony and managing the legislative process, and handling all legislative constituent issues; drafting DAS regulations and guiding them through the approval process; and serving as the agency's Ethics Compliance Officer. DAS Communications offers a wide range of communications and marketing materials, handles all media requests, writes news releases and speeches, provides graphic and web design services to the Governor's Office, Lieutenant Governor's Office and other state agencies, and is the central clearinghouse for all Freedom of Information requests.

Program Description

In addition to the Office of the Commissioner, Agency Management Services includes Staff Counsel and

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	7	0	0	7	7	14	7	14

Financial Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,115,563	1,007,306	749,622	749,622	749,622	750,017	750,017	750,017

Budget Summary

Other Expenses	25,339	84,156	85,921	85,921	85,921	87,126	85,921	85,921
<i>Other Current Expenses</i>								
Employees' Review Board	19,022	29,998	55,000	32,630	32,630	55,000	32,630	32,630
Claims Commissioner Operations	0	0	0	0	386,228	0	0	390,511
Properties Review Board Operations	0	0	0	0	450,129	0	0	454,161
State Insurance and Risk Mgmt Operations	0	0	0	0	14,170,932	0	0	15,160,638
TOTAL-General Fund	1,159,924	1,121,460	890,543	868,173	15,875,462	892,143	868,568	16,873,878
State Insurance and Risk Mgmt Operations	0	0	0	0	2,536,000	0	0	2,717,500
TOTAL-Special Transportation Fund	0	0	0	0	2,536,000	0	0	2,717,500
<i>Additional Funds Available</i>								
Bond Funds	10,493	24,436	0	0	0	0	0	0
TOTAL - All Funds	1,170,417	1,145,896	890,543	868,173	18,411,462	892,143	868,568	19,591,378

Agency Management Services

FINANCIAL SERVICES CENTER

Statutory Reference

C.G.S. 4a-12, 4a-13, 4a-15 and 4a-16

C.G.S. Section 4a-12

P.A. 05-251, Section 60(c)

Statement of Need and Program Objectives

To maximize revenue through collection of money owed to the state. To provide financial services to DAS as well as to Commission on Arts, Culture, and Tourism, Deaf and Hearing Impaired, Connecticut Siting Council, Department of Agriculture, Office of Consumer Counsel, Department of Consumer Protection, Board of Education/Services for the Blind, Fire Prevention and Control, Fire Arms Permit Examiners, Governor's Office, Office of Health Care Access, Judicial Selection Commission, Lieutenant Governor's Office, Office of Child Advocate, Office of Protection and Advocacy, Office of Victim Advocate, Office of Workforce Competitiveness, State Properties Review Board, Police Officers Standards and Training, State Marshal Commission, and Board of Accountancy.

Program Description

Collections Services provides comprehensive billing and collection services for care provided by the Departments of Developmental Services, Social Services, Mental Health and Addiction Services, Children and Families, Corrections, and Veterans Affairs, as well as 70 towns participating in the School Based Child and Health Services Program. Collections Services also maintains 1200 trustee accounts for individuals residing in state humane institutions. The Business Office administers the State & Federal Tax Intercept Program, acts as a collection agency, processes payments from Medicare and Medicaid, bills vendors for services, pays for services, performs Travel, P-Card, and Petty Cash functions, procures goods and services, develops and reports Small Business Set Aside Goals, obtains grant funds, monitors the use of the funds and completes required federal reporting, develops and administers budgets, tags incoming equipment, conducts annual physical inventories and maintains inventory reports for agency assets, provides competitive printing services to agencies and municipalities, prepares all incoming mail for next day service, delivers mail to 200 stops throughout the state on a daily basis, provides commodities to schools and institutions, and provides telecommunication services to our service agencies.

Program Measure	2007-2008 Actual	2008-2009 Estimated	2009-2010 Projected	2010-2011 Projected
Dollars collected	893M	911M	911M	911M

Personnel Summary

	As of 06/30/2008	2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011	
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	111	8	0	119	119	111	119	111

Financial Summary	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	7,530,802	7,780,576	8,467,989	8,457,876	8,457,876	8,660,865	8,650,752	8,650,752
Other Expenses	331,124	292,347	338,156	311,953	296,511	342,850	311,953	296,511
<u>Other Current Expenses</u>								
Tuition Reimburs Training, Travel	382,000	382,000	382,000	0	0	382,000	0	0
Quality of Work-Life	107,128	350,000	350,000	0	0	350,000	0	0
Refunds of Collections	21,601	28,500	30,000	30,000	28,500	30,000	30,000	28,500
Hospital Billing System	0	75,955	135,000	115,000	109,950	140,000	120,000	114,950
Correctional Ombudsman	329,000	284,050	363,550	334,000	0	383,800	334,000	0
TOTAL-General Fund	8,701,655	9,193,428	10,066,695	9,248,829	8,892,837	10,289,515	9,446,705	9,090,713
<u>Additional Funds Available</u>								
Federal Contributions								
10550 Equipment	194,888	146,388	146,388	146,388	146,388	146,388	146,388	146,388
TOTAL - All Funds	8,896,543	9,339,816	10,213,083	9,395,217	9,039,225	10,435,903	9,593,093	9,237,101
Financial Services Center								

HUMAN CAPITAL MANAGEMENT

Statutory Reference

C.G.S. Section 5-200

Public Act 05-251, Section 60(c)

Statement of Need and Program Objectives

To assist state agencies through the delivery of cost effective, innovative and timely human resources products and services.

Program Description

Statewide Human Resources designs and manages human resources systems for state agencies; provides quality control and auditing of personnel transactions; develops and administers employment examinations for all classified

competitive job titles in the state; provides comprehensive services in the areas of organizational design, classification, staffing, objective job evaluation, and compensation and the administration of HR laws and regulations. The DAS HR/SmART (Small Agency Resource Team) unit staff performs the various human resources functions, Affirmative Action responsibilities, payroll functions and benefit administration for DAS staff, the Governor and Lieutenant Governor's staff, as well as for 22 small state agencies. The Board of Accountancy joined the SmART unit this past July 1st. The DAS HR/SmART (Small Agency Resource Team) unit staff performs the various human resources functions, Affirmative Action responsibilities, payroll functions and benefit administration for DAS staff, the Governor and Lieutenant Governor's staff, as well as for 22 small state agencies.

Personnel Summary	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	70	6	0	76	76	70	76	70

Financial Summary	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	5,882,638	6,075,930	6,393,607	6,386,019	6,313,041	6,441,582	6,433,994	6,361,016
Other Expenses	324,452	273,173	308,586	300,845	282,733	312,877	300,845	282,733
<u>Capital Outlay</u>								
Equipment	0	0	9,000	0	0	0	0	0
<u>Other Current Expenses</u>								
Labor - Management Fund	42,268	0	0	0	0	0	0	0
Loss Control Risk Management	11,400	0	0	0	0	0	0	0
TOTAL-General Fund	6,260,758	6,349,103	6,711,193	6,686,864	6,595,774	6,754,459	6,734,839	6,643,749

STRATEGIC LEADERSHIP**Statutory Reference**

C.G.S. Sections 5-199c, 5-204, 5-248a and b, 5-248i

C.G.S. Section 31-284a

Statement of Need and Program Objectives

To provide statewide human resource planning and training and major strategic initiatives for the state. To empower and support state agencies to meet the established operational procedures within the Workers' Compensation program as well as promoting a culture of safety within their respective workforces.

Program Description

Strategic Services coordinates statewide HR planning, DAS strategic planning and the agency's business plan and performance measurement; offers training for state employees through the DAS Learning Center, and arranges for state employees to access training classes and programs through the community and technical college system; coordinates agency workplace wellness programs; administers the Governor's Service Award; supplies subject matter expertise in the proper administration of statutory federal

and state family and medical leave; regularly publishes an HR Almanac reflecting the characteristics and trends of the state executive branch workforce; supplies tools, methods and data access necessary for agencies to conduct workforce planning and anticipate future workforce planning; manages the administration of the managerial performance awards and recognition system (PARS) by agencies; comprehensively orients newly appointed managers to the rules, regulations, ethics, and parameters of working in state service via New Managers' Orientation Program and provides veteran managers with quarterly briefings; provides in-depth training on the EPM (Enterprise Performance Management) reporting module of Core-CT for state fiscal and human resources professionals; and publishes the states' telecommuting guidelines. The DAS Workers' Compensation division designs the State of Connecticut workers' compensation program, establishes statewide reporting and processing procedures, manages statewide workers' compensation fiscal and accounting functions, procures and manages the third party claim administration company, and offers a wide array of loss control and safety services statewide. The main objective is to achieve the delicate balance between cost effectiveness for our organization and the delivery of a responsive program to injured workers.

Personnel Summary

Permanent Full-Time Positions	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	12	1	0	13	13	13	13	13

Financial Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
(Net of Reimbursements)	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	991,932	1,044,096	1,157,952	1,157,952	1,157,952	1,158,481	1,158,481	1,158,481
Other Expenses	33,082	30,027	30,493	30,493	30,493	30,817	30,493	30,493
<i>Other Current Expenses</i>								
Loss Control Risk Management	242,142	264,329	500,000	278,241	239,329	500,000	278,241	239,329
W. C. Administrator	5,450,055	5,450,055	5,613,554	5,613,554	5,213,554	5,613,554	5,613,554	5,213,554
TOTAL-General Fund	6,717,211	6,788,507	7,301,999	7,080,240	6,641,328	7,302,852	7,080,769	6,641,857

Strategic Leadership**BUSINESS ENTERPRISES****Statutory Reference**

C.G.S. Section 4a-4, 4a-5, 4a-51, and 4a-67d

C.G.S. Section 4a-2b, 4a-51, 4a-60g, 4a-67, 4a-57a, 4a-100

Statement of Need and Program Objectives

To provide and maintain vehicles that meet the diverse needs of our state agencies in a timely and cost effective manner. To provide value added and cost effective services to our clients, including state agencies, municipalities, certain non-

profit organizations, and Connecticut small and minority businesses.

Program Description

Fleet Services has the responsibility of maintenance, replacement, acquisition and disposal of motor vehicles for 107 state agencies. Through a staff of 50 professionals, 3 repair facilities, and our administrative offices, we maintain over 4,200 vehicles. We work with user agencies to control vehicle costs while allocating vehicles based on their specific requirements. We direct a safety program aimed at reducing

accidents involving fleet vehicles to ensure the safety of State-owned vehicles and employees. The Procurement Division is responsible for managing the statewide procurement of goods and services and related activities such as contract administration and purchasing; certification of

Connecticut small and minority businesses; prequalification of construction contractors; administration of the state p-card program; provision of housing insurance to municipal housing programs; administration of the surplus state property and federal surplus property programs.

Personnel Summary	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	45	7	0	52	52	50	52	50

Financial Summary	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	3,577,252	3,727,559	4,252,731	4,250,201	4,250,201	4,372,557	4,370,027	4,370,027
Other Expenses	35,238	30,986	31,630	31,630	31,630	32,079	31,630	31,630
TOTAL-General Fund	3,612,490	3,758,545	4,284,361	4,281,831	4,281,831	4,404,636	4,401,657	4,401,657
<u>Additional Funds Available</u>								
Private Funds	52,290	45,000	45,000	45,000	45,000	45,115	45,115	45,115
TOTAL - All Funds	3,664,780	3,803,545	4,329,361	4,326,831	4,326,831	4,449,751	4,446,772	4,446,772

Business Enterprises

INFORMATION AND TECHNOLOGY

Statutory Reference

C.G.S. Section 5-200d, and 4a-51

Statement of Need and Program Objectives

To provide management information solutions in response to agency needs. To provide and maintain a standardized technology system to streamline government operations, increase efficiency, facilitate better decision-making and eliminate redundant systems for the statewide human resources and procurement functions.

Program Description

Management Information Solutions (MIS) focus is always on the customer. Whether the customer is an employee, a vendor, or other professionals from outside state agencies, our goal is to listen and develop solutions for their needs. MIS develops, maintains and supports our own Web Portal for our internal and business unit external customers. Procurement: Contracts, P-Card registration, Vendor Pre-Qualification, Supplier Diversity, Vendor Performance along with support of

other Internet Business Initiatives. Statewide Human Resources: State Police & Correctional Officers recruitment and scheduling. Collection Services: Hospital Billing Information System (AVATAR), Claims Processing. Collection Services Recovery: Patient Recipient Index (PRI)/Judicial match, Client Index Master Liability Index (MLI), Insurance Match, Legal Rep and Trust recovery. Workers' Compensation: First Check Reconciliation. State Surplus: Statewide Equipment Surplus. Food Distribution Program: Electronic Computer Automated Foods System (ECAFS).

Core-CT is the State's enterprise-wide financial, human resource and payroll system. The system's financial modules are used to perform certain DAS statutory procurement functions in all executive branch agencies - purchasing, eProcurement, asset management, and inventory management. The Human Resource Management System (HRMS) modules of the system are used to conduct the DAS functions of human resources and time and attendance employees in all three branches of government and in the State's institutions of higher education.

Personnel Summary	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	22	0	0	22	22	27	22	27

Budget-in-Detail

Financial Summary	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,856,684	1,950,436	1,962,322	1,962,322	2,246,322	1,963,962	1,963,962	2,247,962
Other Expenses	466,963	383,088	463,083	456,002	438,559	469,168	456,002	438,559
<u>Capital Outlay</u>								
Equipment	100	0	112,200	81,070	100	112,200	72,070	100
<u>Other Current Expenses</u>								
Hospital Billing System	118,085	0	0	0	0	0	0	0
TOTAL-General Fund	2,441,832	2,333,524	2,537,605	2,499,394	2,684,981	2,545,330	2,492,034	2,686,621
<u>Additional Funds Available</u>								
Bond Funds	67,400	141,487	0	0	0	0	0	0
TOTAL - All Funds	2,509,232	2,475,011	2,537,605	2,499,394	2,684,981	2,545,330	2,492,034	2,686,621

Information & Technology

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object	2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	19,467,714	20,049,231	21,848,714	22,112,483	22,269,718	22,533,487
Other Positions	578,820	540,029	572,917	499,939	625,081	552,103
Other	890,838	978,077	542,897	542,897	431,770	431,770
Overtime	17,499	18,566	19,695	19,695	20,895	20,895
TOTAL-Personal Services Gross	20,954,871	21,585,903	22,984,223	23,175,014	23,347,464	23,538,255
Less Reimbursements						
Less Turnover	0	0	0	-820,453	0	-820,453
TOTAL-Personal Services Net	20,954,871	21,585,903	22,984,223	22,354,561	23,347,464	22,717,802
<u>Other Expenses-Contractual Services</u>						
Dues and Subscriptions	16,450	61,779	68,194	70,882	69,149	70,882
Rentals, Storage and Leasing	75,078	69,085	78,096	55,602	79,191	55,602
Telecommunication Services	45,784	42,129	48,421	42,305	49,100	42,305
General Repairs	27,342	25,157	27,507	26,703	27,891	26,703
Motor Vehicle Expenses	11,834	10,890	11,118	9,247	11,273	9,247
Fees for Outside Professional Services	67,873	65,341	66,712	69,356	67,655	69,356
Fees for Non-Professional Services	27,207	25,036	25,878	25,473	26,240	25,473
DP Services, Rentals and Maintenance	434,107	352,983	432,868	385,283	438,562	385,283
Postage	119,270	104,749	130,092	116,432	131,914	116,432
Travel	33,719	31,026	31,680	31,660	32,126	31,660
Other Contractual Services	44,705	41,106	41,969	43,630	42,557	43,630
Advertising	154,809	131,624	134,388	139,710	136,269	139,710
Printing & Binding	44,269	36,778	44,829	39,037	45,457	39,037
<u>Other Expenses-Commodities</u>						
Agriculture, Horticulture, Dairy & Food	3,392	3,121	3,184	2,706	3,246	2,706
Books	2,089	1,924	2,013	2,042	2,042	2,042
Office Supplies	89,050	73,365	93,154	87,008	94,461	87,008
Refunds of Expenditures Not Othr Classified	899	827	901	878	914	878

Other Expenses-Sundry

Sundry - Other Items	18,321	16,857	16,865	17,893	16,870	17,893
TOTAL-Other Expenses Gross	1,216,198	1,093,777	1,257,869	1,165,847	1,274,917	1,165,847
Less Reimbursements						
TOTAL-Other Expenses Net	1,216,198	1,093,777	1,257,869	1,165,847	1,274,917	1,165,847

Other Current Expenses

Tuition Reimburs Training, Travel	382,000	382,000	382,000	0	382,000	0
Labor - Management Fund	42,268	0	0	0	0	0
Loss Control Risk Management	253,542	264,329	500,000	239,329	500,000	239,329
Employees' Review Board	19,022	29,998	55,000	32,630	55,000	32,630
Quality of Work-Life	107,128	350,000	350,000	0	350,000	0
Refunds of Collections	21,601	28,500	30,000	28,500	30,000	28,500
W. C. Administrator	5,450,055	5,450,055	5,613,554	5,213,554	5,613,554	5,213,554
Hospital Billing System	118,085	75,955	135,000	109,950	140,000	114,950
Correctional Ombudsman	329,000	284,050	363,550	0	383,800	0
Claims Commissioner Operations	0	0	0	386,228	0	390,511
Properties Review Board Operations	0	0	0	450,129	0	454,161
State Insurance and Risk Mgmt Operations	0	0	0	14,170,932	0	15,160,638
TOTAL-Other Current Expenses	6,722,701	6,864,887	7,429,104	20,631,252	7,454,354	21,634,273

AGENCY FINANCIAL SUMMARY - SPECIAL TRANSPORTATION FUND**Current Expenses by Minor Object**

	2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
State Insurance and Risk Mgmt Operations	0	0	0	2,536,000	0	2,717,500
TOTAL-Other Current Expenses	0	0	0	2,536,000	0	2,717,500

Character & Major Object Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	20,954,871	21,585,903	22,984,223	22,143,539	22,354,561	23,347,464	22,506,780	22,717,802
Other Expenses Net	1,216,198	1,093,777	1,257,869	1,216,844	1,165,847	1,274,917	1,216,844	1,165,847
Capital Outlay	100	0	121,200	81,070	100	112,200	72,070	100
Other Current Expenses	6,722,701	6,864,887	7,429,104	6,403,425	20,631,252	7,454,354	6,408,425	21,634,273
TOTAL-General Fund Net	28,893,870	29,544,567	31,792,396	29,844,878	44,151,760	32,188,935	30,204,119	45,518,022
Other Current Expenses	0	0	0	0	2,536,000	0	0	2,717,500
TOTAL-Special Transportation Fund Net	0	0	0	0	2,536,000	0	0	2,717,500

Additional Funds Available

Federal and Other Activities	194,888	146,388	146,388	146,388	146,388	146,388	146,388	146,388
Bond Funds	77,893	165,923	0	0	0	0	0	0
Private Funds	52,290	45,000	45,000	45,000	45,000	45,115	45,115	45,115
TOTAL-All Funds Net	29,218,941	29,901,878	31,983,784	30,036,266	46,879,148	32,380,438	30,395,622	48,427,025

DEPARTMENT OF INFORMATION TECHNOLOGY

AGENCY DESCRIPTION

The Department of Information Technology (DOIT) was created to make effective use of information technology, build the statewide information infrastructure for state agencies and citizens, and direct the development of IT systems to meet the common business and technology needs of multiple state agencies.

DOIT's mission is to provide quality IT services and solutions to customers, effectively aligning business and technology objectives through collaboration, in order to provide the most cost-effective solutions that facilitate and improve the conduct of business for state residents, businesses, visitors and government entities.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2009-2010</u>	<u>2010-2011</u>
• Remove or Limit Inflation	-144,618	-235,336
• Rollout FY2009 Rescissions	-225,037	-225,037
• Eliminate Vacant Positions	-182,697	-182,697
• OE/OCE General Reductions	-40,583	-40,583
• Transfer Equipment to CEPF	-720,000	-735,000
• Reduce Funding for the Connecticut Education Network	-499,307	-499,307
• Reduce Other Expenses	-292,820	-292,820

Reallocations or Transfers

• Core-CT Consolidation	-2,117,000	-2,123,000
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Funding and twenty-six positions are transferred to the State Comptroller and funding and three positions are transferred to the Department of Administrative Services.

• Reallocate Funds from DHMAS and DPS to DOIT	213,175	213,175
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Consistent with State policy, IT manager positions will be funded as part of DOIT's General Fund budget. Funding for one position in the Dept. of Mental Health & Addiction Services and funding for another position in the Dept. of Public Safety are transferred to DOIT. The positions will continue to support these two agencies.

AGENCY PROGRAMS

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	122	2	25	149	149	118	149	118
Special Funds, Non-Appropriated	181	27	0	208	208	208	208	208

	2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Other Positions Equated to Full Time						
General Fund	14	14	13	13	13	13
Special Funds, Non-Appropriated	4	4	4	4	4	4

Agency Programs by Total Funds

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Information Technology	62,139,206	68,733,378	71,971,206	70,186,988	66,322,719	72,924,862	70,948,928	67,063,659
TOTAL Agency Programs - All Funds Gross	62,139,206	68,733,378	71,971,206	70,186,988	66,322,719	72,924,862	70,948,928	67,063,659
Less Turnover	0	0	-332,087	-336,803	-336,803	-333,419	-338,498	-338,498
TOTAL Agency Programs - All Funds Net	62,139,206	68,733,378	71,639,119	69,850,185	65,985,916	72,591,443	70,610,430	66,725,161

Summary of Funding

General Fund Net	25,438,832	25,797,975	31,286,809	29,497,875	25,633,606	31,852,368	29,871,355	25,986,086
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Federal and Other Activities	785,935	240,648	100,000	100,000	100,000	0	0	0
Special Funds, Non-Appropriated	34,836,593	41,825,755	39,732,810	39,732,810	39,732,810	40,719,575	40,719,575	40,719,575
Private Funds	1,077,846	869,000	519,500	519,500	519,500	19,500	19,500	19,500
TOTAL Agency Programs - All Funds Net	62,139,206	68,733,378	71,639,119	69,850,185	65,985,916	72,591,443	70,610,430	66,725,161

INFORMATION TECHNOLOGY

Statutory Reference

C.G.S. Sec. 4d

Statement of Need and Program Objectives

To build a high performance organization by investing in IT personnel and the DOIT organization. To strengthen and improve disaster backup and recovery strategies and security programs. To enhance and enforce a technology architectural blueprint and standards. To improve program effectiveness and resolve business issues using technology and making services more accessible for residents and businesses. To improve delivery of services by implementing technology best practices.

Program Description

DOIT provides enterprise services to support state agency business and operational needs, from phones and desktops to the state's most critical financial, human service and public safety applications.

DOIT provides infrastructure, hosting and development support for state data and distributed systems. DOIT works to

protect the state's IT infrastructure with a broad array of IT security services, including intrusion protection, business continuity and disaster recovery services for HIPAA-impacted agencies.

DOIT provides customer agencies with advanced office environments through provision of enterprise messaging and e-mail, directory, desktop, file/print, anti-virus and patch management services.

DOIT provides network connectivity to state agencies and facilities through maintenance and enhancement of the statewide local and wide area networks. DOIT also provides telecommunication system consulting and project management services.

DOIT provides the tools and management for expansion and advancement of the State's internet presence, including deployment of a statewide online web content management system.

DOIT provides statewide procurement services for information technology and network products and services and administers the centralized telecommunication billing system for state agencies.

Program Measure	2007-2008 Actual	2008-2009 Estimated	2009-2010 Projected	2010-2011 Projected
Spam E-mails Blocked (per day)	300,000	300,000	300,000	300,000
CT Education Network Bandwidth (Megabits)	3,000	3,000	4,000	5,000
CT Education Network Usage (Megabits)	1,300	1,300	2,000	3,000
E-mails Processed (millions per year)	50	52	52	54
State Bandwidth Capacity for State Agencies (Megabits)	175	175	175	175
State Bandwidth Usage for State Agencies (Megabits)	90	90	90	95
Storage Capacity (terabytes)	90	95	95	100
Millions of Instructions Per Second (MIPS) Capability	840	840	840	840
Routers in the DOIT Enterprise Network	1,250	1,250	1,250	1,250

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	122	2	25	149	149	118	149	118
Special Funds, Non-Appropriated	181	27	0	208	208	208	208	208

	2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Other Positions Equated to Full Time</i>						
General Fund	14	14	13	13	13	13
Special Funds, Non-Appropriated	4	4	4	4	4	4

Budget-in-Detail

Financial Summary	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	9,421,478	9,404,885	11,489,386	11,386,803	9,282,978	11,541,081	11,438,498	9,328,673
Other Expenses	7,800,789	7,465,832	7,885,539	7,867,477	7,362,489	8,175,707	8,153,078	7,648,090
<u>Capital Outlay</u>								
Equipment	0	95	0	100	100	0	100	100
<u>Other Current Expenses</u>								
Connecticut Education Network	3,233,489	3,077,163	4,993,077	4,700,885	3,479,874	5,149,632	4,738,401	3,502,390
Internet and E-Mail Services	4,983,076	5,850,000	7,250,894	5,879,413	5,844,968	7,319,367	5,879,776	5,845,331
TOTAL-General Fund	25,438,832	25,797,975	31,618,896	29,834,678	25,970,409	32,185,787	30,209,853	26,324,584
<u>Additional Funds Available</u>								
Special Funds, Non-Appropriated	34,836,593	41,825,755	39,732,810	39,732,810	39,732,810	40,719,575	40,719,575	40,719,575
Private Funds	1,077,846	869,000	519,500	519,500	519,500	19,500	19,500	19,500
Federal Contributions								
15809 Nat'l Spatial Data Infrass Coop Agreemt	51,648	51,648	0	0	0	0	0	0
93778 Medical Assistance Program	734,287	189,000	100,000	100,000	100,000	0	0	0
TOTAL - All Funds	62,139,206	68,733,378	71,971,206	70,186,988	66,322,719	72,924,862	70,948,928	67,063,659

Information Technology

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object	2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	8,510,091	9,171,870	11,069,577	9,055,302	11,113,972	9,098,995
Other Positions	253,214	225,241	271,781	222,378	275,228	223,451
Other	637,831	172,084	307,651	169,897	311,504	170,717
Overtime	20,342	22,685	27,372	22,396	27,372	22,505
TOTAL-Personal Services Gross	9,421,478	9,591,880	11,676,381	9,469,973	11,728,076	9,515,668
Less Reimbursements	0	-186,995	-186,995	-186,995	-186,995	-186,995
Less Turnover	0	0	-332,087	-336,803	-333,419	-338,498
TOTAL-Personal Services Net	9,421,478	9,404,885	11,157,299	8,946,175	11,207,662	8,990,175
<u>Other Expenses-Contractual Services</u>						
Dues and Subscriptions	10,892	10,945	11,204	10,797	11,207	11,303
Utility Services	1,189,955	1,388,776	1,486,378	1,372,478	1,499,755	1,436,683
Rentals, Storage and Leasing	3,858,985	4,498,951	4,603,378	4,446,154	4,979,530	4,654,148
Telecommunication Services	12,050	12,119	12,400	8,913	12,400	9,330
General Repairs	233,182	276,504	288,142	273,259	292,172	286,042
Fees for Non-Professional Services	3,359	3,863	4,031	3,769	4,084	3,945
DP Services, Rentals and Maintenance	2,384,000	2,143,309	2,333,000	2,118,157	2,333,000	2,217,246
Travel	32,131	11,274	31,672	9,892	31,765	10,356
Other Contractual Services	4	49	50	48	50	51
Advertising	4,224	5,717	5,973	5,650	6,057	5,915
<u>Other Expenses-Commodities</u>						
Books	208	201	200	199	200	207
Maintenance and Motor Vehicle Supplies	19,498	20,009	20,903	19,774	21,196	20,699

Budget-in-Detail

Fuel	10,982	12,817	13,717	12,666	13,841	13,259
Office Supplies	13,108	21,012	13,560	20,766	13,560	21,737
<i>Other Expenses-Sundry</i>						
Sundry - Other Items	28,211	27,133	27,778	26,814	27,789	28,068
TOTAL-Other Expenses Gross	7,800,789	8,432,679	8,852,386	8,329,336	9,246,606	8,718,989
Less Reimbursements	0	-966,847	-966,847	-966,847	-1,070,899	-1,070,899
TOTAL-Other Expenses Net	7,800,789	7,465,832	7,885,539	7,362,489	8,175,707	7,648,090

Other Current Expenses

Connecticut Education Network	3,233,489	3,077,163	4,993,077	3,479,874	5,149,632	3,502,390
Internet and E-Mail Services	4,983,076	5,850,000	7,250,894	5,844,968	7,319,367	5,845,331
TOTAL-Other Current Expenses	8,216,565	8,927,163	12,243,971	9,324,842	12,468,999	9,347,721

Character & Major Object Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	9,421,478	9,404,885	11,157,299	11,050,000	8,946,175	11,207,662	11,100,000	8,990,175
Other Expenses Net	7,800,789	7,465,832	7,885,539	7,867,477	7,362,489	8,175,707	8,153,078	7,648,090
Capital Outlay	0	95	0	100	100	0	100	100
Other Current Expenses	8,216,565	8,927,163	12,243,971	10,580,298	9,324,842	12,468,999	10,618,177	9,347,721
TOTAL-General Fund Net	25,438,832	25,797,975	31,286,809	29,497,875	25,633,606	31,852,368	29,871,355	25,986,086

Additional Funds Available

Federal and Other Activities	785,935	240,648	100,000	100,000	100,000	0	0	0
Special Funds, Non-Appropriated	34,836,593	41,825,755	39,732,810	39,732,810	39,732,810	40,719,575	40,719,575	40,719,575
Private Funds	1,077,846	869,000	519,500	519,500	519,500	19,500	19,500	19,500
TOTAL-All Funds Net	62,139,206	68,733,378	71,639,119	69,850,185	65,985,916	72,591,443	70,610,430	66,725,161

DEPARTMENT OF PUBLIC WORKS

AGENCY DESCRIPTION

The Department of Public Works' (DPW) mission is to be the leader in providing quality facilities and in delivering cost-effective, responsive, timely services to state agencies in the areas of design, construction, facilities management, leasing and property disposition.

The Department of Public Works (DPW) is responsible for the design and construction of nearly all major capital projects, maintenance of security standards for most facilities housing offices and equipment of the state, leasing and property acquisitions for most state agencies as well as facility management, maintenance and security of state buildings in the greater Hartford area and surplus property statewide. The department is also mandated to assist agencies and departments with long-term facilities planning and the preparation of cost estimates for such plans.

DPW provides facility related services to the majority of Connecticut's state agencies and commissions. Many DPW services, such as security, parking and snow and ice removal,

also benefit the public who visit the facilities. It is the policy of DPW to work in close cooperation with its client agencies to ensure that the finished product reflects the needs of the agency and facilitates the delivery of services to their client.

Energy Conservation Statement

The DPW plans to undertake a wide range of energy conservation activities in the next biennium. In addition to regular maintenance and use of Energy Star equipment, the Department plans to continue its energy benchmarking efforts and to upgrade existing windows with high-efficiency units. The DPW has a stated conservation goal to achieve lower energy usage and cost savings. The Department's plans are a continuation of the significant efforts made over the last two years.

AGENCY PROGRAM INDEX

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RECOMMENDED SIGNIFICANT CHANGES

<i>Reductions to Current Services</i>	<u>2009-2010</u>	<u>2010-2011</u>
• Remove or Limit Inflation	-1,049,220	-1,487,693
• Rollout FY2009 Rescissions	-1,277	-1,277
• Eliminate Vacant Positions	-80,000	-80,000
• OE/OCE General Reductions	-17,108	-17,108
• Eliminate or Defer New Leases	-997,000	-997,000
• Transfer Equipment to CEPF	-216,400	-224,725
<i>Reallocations or Transfers</i>		
• Transfer Funding for 960 Main St., Hartford Lease Costs to Community Technical Colleges	-724,200	-965,600

AGENCY PROGRAMS

<i>Personnel Summary</i>	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	130	9	0	139	139	138	139	138
Bond Funds	61	7	0	68	68	68	68	68

<i>Other Positions Equated to Full Time</i>	2007-2008		2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>	
General Fund	0	0	2	0	2	0	

<i>Agency Programs by Total Funds</i>	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
<i>(Net of Reimbursements)</i>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Management and Planning	5,737,235	5,287,089	5,840,187	5,782,220	5,640,820	5,935,673	5,843,473	5,757,073

Facilities Management	35,620,152	33,025,818	35,580,846	34,784,555	34,611,170	35,970,199	35,008,824	34,772,114
Leasing & Property Transfer	10,326,973	11,299,429	15,698,919	15,694,622	13,973,422	15,472,664	15,466,239	13,503,639
Facilities Design & Construction	9,562,071	9,262,170	9,802,719	9,528,583	9,528,583	9,814,211	9,525,781	9,525,781
TOTAL Agency Programs - All Funds Gross	61,246,431	58,874,506	66,922,671	65,789,980	63,753,995	67,192,747	65,844,317	63,558,607
Less Turnover	0	0	-233,761	-402,348	-402,348	-236,719	-407,458	-407,458
TOTAL Agency Programs - All Funds Net	61,246,431	58,874,506	66,688,910	65,387,632	63,351,647	66,956,028	65,436,859	63,151,149
<i>Summary of Funding</i>								
General Fund Net	55,262,001	52,916,093	60,723,774	59,422,496	57,386,511	60,990,892	59,471,723	57,186,013
Bond Funds	5,984,430	5,958,413	5,965,136	5,965,136	5,965,136	5,965,136	5,965,136	5,965,136
TOTAL Agency Programs - All Funds Net	61,246,431	58,874,506	66,688,910	65,387,632	63,351,647	66,956,028	65,436,859	63,151,149

MANAGEMENT AND PLANNING

Statutory Reference

C.G.S. Section 4b-1

Statement of Need and Program Objectives

To manage the staff and activities of the Department of Public Works to ensure the responsive and cost effective implementation of the state's facilities space management and capital development program plans by setting departmental policy, standards and priorities and managing internal processes.

Program Description

This program consists of the Commissioner's Office, the Design and Construction Deputy Commissioner's Office, the Property Management Deputy Commissioner's Office and the Office of the Chief of Staff.

The Chief of Staff oversees the department's human resources activities and the support service units including Legal Services, Financial Management and Information Technology.

The Legal Services Division provides the full array of legal advice to the agency, covering areas that include, but are not limited to, contract, real estate, and administrative law. Additionally, the Division is responsible for proposing and keeping up to date on legislative changes. The Division also provides services to the public concerning legal requirements, freedom of information, and press inquiries.

The Financial Management Unit provides purchasing, accounts payable and inventory control services, accounting for Capital Project Fund construction, renovation and repair projects, as well as capital and operating budget development, reporting

and monitoring. The unit solicits bids for all contracts for construction, remodeling or demolition of state buildings and solicits lease proposals. In addition the office is responsible for monitoring the small business set-aside program.

The Information Technology Unit is responsible for preparing and implementing the annual Information Technology Plan, coordinating purchase and installation of hardware and software and providing technical support services for DPW's automated systems.

The Statewide Security Unit, under the direction of the Property Management Deputy Commissioner, develops and implements security standards, policies and procedures and standardizes equipment and systems to provide an adequate level of security to the employees and the public and to state assets at all nonexempt state-owned and leased facilities. It also administers the statewide workplace violence online reporting system and helps other state agencies manage incidents.

The Quality and Process Improvement Unit, under the direction of the Design and Construction Deputy Commissioner, supports other units in the agency to address ongoing customer service improvement and business improvement activities. The process management staff may take a leadership role in specific improvement efforts at the request of the core business managers and/or senior management.

In accordance with the provisions of Public Act 05-251, and to promote more efficient delivery of services, the Human Resources, Payroll and Diversity Programs units of the DPW have been transferred to the Department of Administrative Services (DAS).

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
General Fund	54	0	0	54	54	54	54	54
			2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
Other Positions Equated to Full Time			Actual	Estimated	Requested	Recommended	Requested	Recommended
General Fund			0	0	2	0	2	0

Budget-in-Detail

Financial Summary	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	3,164,691	3,382,682	3,634,043	3,605,230	3,605,230	3,703,402	3,674,589	3,674,589
Other Expenses	1,154,775	362,045	381,238	398,084	398,084	436,471	398,084	398,084
<u>Capital Outlay</u>								
Equipment	0	0	187,500	141,500	100	111,500	86,500	100
<u>Other Current Expenses</u>								
Facilities Design Expenses	1,399,604	1,542,362	1,637,406	1,637,406	1,637,406	1,684,300	1,684,300	1,684,300
TOTAL-General Fund	5,719,070	5,287,089	5,840,187	5,782,220	5,640,820	5,935,673	5,843,473	5,757,073
<u>Additional Funds Available</u>								
Bond Funds	18,165	0	0	0	0	0	0	0
TOTAL - All Funds	5,737,235	5,287,089	5,840,187	5,782,220	5,640,820	5,935,673	5,843,473	5,757,073

Management and Planning

FACILITIES MANAGEMENT

Statutory Reference

C.G.S. Section 4b-1

Statement of Need and Program Objectives

To ensure that state employees and the visiting public have a clean, safe and healthy environment in which to work or visit by providing facilities management services such as building maintenance, health safety, environmental compliance, audits, inspections, housekeeping security, and general building improvements for nearly all state-owned buildings in the greater Hartford area and several regional locations occupied by the executive branch. Services are also provided for other facilities that are transferred to the department for management while being sold or transferred to other agencies.

Program Description

The Facilities Management Division administers the operation, maintenance and security of 5.9 million square feet of state owned occupied buildings, and 1.5 million square feet of vacant buildings. Buildings are located throughout the Hartford/Wethersfield area and major cities in the state

including Mystic, Newtown and Waterbury. The Facilities Management Division is responsible for the long term management of the asset including the physical integrity of property, containment of overall operating expenditures, oversight of the preventative maintenance program as well as recommended capital expenditures, administration of contracts for property management and service contracts, standardization of procedures, participation in relocation of agencies within DPW managed buildings and oversight of security personnel, procedures and equipment.

The Facilities Management Division works closely with the Office of Policy and Management (OPM) Asset Management Division regarding campus closure and re-use scenarios. The division interacts with the DPW client teams and statewide programs unit regarding planning and implementation of facility renovations, monitoring and implementation of energy savings programs and asbestos abatement and removal in DPW facilities. The division also works closely with the DAS Small Business Set-aside Program providing contracting opportunities to vendors certified by the program. The department works closely with DAS to develop and integrate many services into standardized specifications and contracts.

Program Measure	2007-2008 Actual	2008-2009 Estimated	2009-2010 Projected	2010-2011 Projected
Operating and Maintenance costs per sq. ft. Hartford area (\$M)	8.39	8.58	8.77	8.96

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	52	6	0	58	58	57	58	57

Financial Summary (Net of Reimbursements)	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	3,244,325	3,381,455	3,637,410	3,617,410	3,536,133	3,672,722	3,652,722	3,571,445
Other Expenses	27,628,957	25,423,688	27,170,920	26,855,637	26,838,529	27,500,477	26,981,269	26,964,161
<u>Capital Outlay</u>								
Equipment	0	0	415,150	75,000	0	388,175	138,325	0
<u>Other Current Expenses</u>								
Management Services	4,490,804	4,220,675	4,357,366	4,236,508	4,236,508	4,408,825	4,236,508	4,236,508
Rents and Moving	102,731	0	0	0	0	0	0	0
Facilities Design Expenses	107,165	0	0	0	0	0	0	0
TOTAL-General Fund	35,573,982	33,025,818	35,580,846	34,784,555	34,611,170	35,970,199	35,008,824	34,772,114
<u>Additional Funds Available</u>								
Bond Funds	46,170	0	0	0	0	0	0	0
TOTAL - All Funds	35,620,152	33,025,818	35,580,846	34,784,555	34,611,170	35,970,199	35,008,824	34,772,114
Facilities Management								

LEASING AND PROPERTY TRANSFER

Statutory Reference

C.G.S. Sections 4b-30 and 4b-21

Statement of Need and Program Objectives

To acquire adequate leased facilities of all types for use and occupancy by all state agencies (except the Labor Department and the University of Connecticut) using established state standards and departmental procedures to procure the most economically advantageous terms and conditions. To acquire, sell and transfer real property based on sound economic principles pursuant to the best interests of the state. To lease out state facilities to private parties, where appropriate.

Program Description

At the end of FY2008, this division administered approximately 210 leases with an annual rent roll in excess of \$45.2 million for approximately 2,876,041 square feet.

Objectives are achieved by: assisting state agencies in determining office space requirements; maintaining an up-to-date statewide inventory of available office space; advertising for office space requirements in excess of 2,500 square feet; conducting extensive site searches for suitable office space to meet agency requirements; negotiating to obtain the best transaction possible; preparing lease proposals for the approval of OPM and the State Properties Review Board; preparing lease documents for the approval of the Attorney General; performing on-site inspections to ensure renovations are performed in accordance with the approved plans and specifications and lease terms; acquiring, selling, or transferring real property to meet agency requirements; ensuring quality control of lease files; performing lease compliance activities for all leased facilities and leasing state-owned property to private and non profit groups when appropriate.

Program Measure	2007-2008 Actual	2008-2009 Estimated	2009-2010 Projected	2010-2011 Projected
Average cost per sq. ft. of space leased by the state (\$)	15.46	15.75	15.97	16.0
Cost per sq. ft. Hartford (\$)	15.6	18.28	22.96	23.77

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	11	1	0	12	12	12	12	12

Financial Summary

Financial Summary (Net of Reimbursements)	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	749,428	817,665	850,005	850,005	850,005	851,622	851,622	851,622

Budget-in-Detail

Other Expenses	129,698	47,885	50,161	49,171	49,171	50,833	49,171	49,171
<i>Other Current Expenses</i>								
Rents and Moving	9,324,336	10,319,629	14,668,196	14,668,196	12,946,996	14,438,196	14,438,196	12,475,596
Capitol Day Care Center	123,511	114,250	130,557	127,250	127,250	132,013	127,250	127,250
TOTAL-General Fund	10,326,973	11,299,429	15,698,919	15,694,622	13,973,422	15,472,664	15,466,239	13,503,639

Leasing & Property Transfer

FACILITIES DESIGN AND CONSTRUCTION

Statutory Reference

C.G.S. Section 4b-1

Statement of Need and Program Objectives

To manage the planning, design and construction of state-owned facilities, which provide adequate, efficient and environmentally sound buildings, including associated utilities and site improvements. To provide technical assistance to agency facility managers, including all state-managed institutions.

Program Description

Under this program, the department provides technical assistance and oversight of the design and construction of state projects including client teams, statewide programs, technical support, special projects, energy management, construction and claims management.

Client Plans and Programs Teams provide client agencies with a single point of contact with the DPW. The four agency teams are empowered to move horizontally throughout the department to gather the technical, administrative, financial, facilities management and lease assistance required to respond to any client agency issue which falls under the department's statutory purview.

A second but important function the client teams provide either with in-house staff or a hired 3rd party is construction

overview. This construction administration duty is essential to ensure compliance with the intended design and adherence to the established schedule and budget. The construction administrator is responsible for monitoring the status of all assigned projects and reporting the status, when processing change orders and provisions of final completion when accomplished.

The Statewide Services Sections review preliminary, basic and final design plans submitted by consultants and perform design of smaller projects where immediate need arises. They also assist agencies in emergency situations and with design problems on the construction site. These sections also ensure participation in energy conservation programs and life cycle cost analysis with the Office of Policy and Management and provide project support in the implementation of the Clean Air Act. Additionally, they are involved in programs that administer energy conservation, asbestos removal, lead paint removal and underground fuel tank removal.

The Special Projects Section oversees and administers the minor capital program projects and the major capital improvement projects (Agency Administered) which cost \$500,000 or less (\$2,000,000 or less for the higher education units). In addition, the section enforces all guarantees and bonds on all state projects undertaken under DPW.

The Claims Management Section assists the Office of the Attorney General in processing project claims and develops techniques for the department to avoid future claims.

Program Measure	2007-2008 Actual	2008-2009 Estimated	2009-2010 Projected	2010-2011 Projected
Construction projects in process at start of FY	73	54	39	34
Construction projects started in FY	13	20	20	20
Construction projects completed in FY	32	35	25	22
Value of projects completed in FY (\$M)	183	400	230	175

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	13	2	0	15	15	15	15	15
Bond Funds	61	7	0	68	68	68	68	68

Financial Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
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(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	99,237	0	0	0	0	0	0	0
<i>Other Current Expenses</i>								
Facilities Design Expenses	3,542,739	3,303,757	3,837,583	3,563,447	3,563,447	3,849,075	3,560,645	3,560,645
TOTAL-General Fund	3,641,976	3,303,757	3,837,583	3,563,447	3,563,447	3,849,075	3,560,645	3,560,645
<i>Additional Funds Available</i>								
Bond Funds	5,920,095	5,958,413	5,965,136	5,965,136	5,965,136	5,965,136	5,965,136	5,965,136
TOTAL - All Funds	9,562,071	9,262,170	9,802,719	9,528,583	9,528,583	9,814,211	9,525,781	9,525,781
Facilities Design & Construction								

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object	2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Personal Services</i>						
Permanent Fulltime Positions	6,793,874	7,233,607	7,792,017	7,701,927	7,890,631	7,800,541
Other Positions	0	0	10,000	10,000	10,000	10,000
Other	317,866	233,195	204,441	164,441	212,115	172,115
Overtime	145,941	115,000	115,000	115,000	115,000	115,000
TOTAL-Personal Services Gross	7,257,681	7,581,802	8,121,458	7,991,368	8,227,746	8,097,656
Less Reimbursements						
Less Turnover	0	0	-233,761	-402,348	-236,719	-407,458
TOTAL-Personal Services Net	7,257,681	7,581,802	7,887,697	7,589,020	7,991,027	7,690,198
<i>Other Expenses-Contractual Services</i>						
Dues and Subscriptions	5,525	5,048	5,154	4,667	5,226	4,667
Utility Services	10,671,742	11,237,584	12,467,299	12,447,431	12,580,393	12,557,950
Rentals, Storage and Leasing	74,457	113,640	118,526	113,640	170,151	113,640
Telecommunication Services	181,440	77,750	79,382	73,351	80,491	73,351
General Repairs	1,648,095	726,490	741,748	726,490	752,132	726,490
Motor Vehicle Expenses	69,491	31,246	31,902	25,278	32,349	25,278
Fees for Outside Professional Services	13,360,656	12,458,946	12,905,584	12,643,946	13,083,672	12,643,946
Fees for Non-Professional Services	792,446	548,899	560,427	548,899	568,273	548,899
DP Services, Rentals and Maintenance	48,409	190,629	167,028	190,629	169,367	190,629
Postage	3,155	11,773	12,021	11,773	12,189	11,773
Travel	4,198	1,900	1,940	1,847	1,967	1,847
Other Contractual Services	66,214	32,582	33,266	32,582	33,731	32,582
Advertising	98,486	38,453	39,260	38,453	39,810	38,453
Printing & Binding	18,557	10,778	11,004	10,778	11,158	10,778
<i>Other Expenses-Commodities</i>						
Agriculture, Horticulture, Dairy & Food	29,659	31,518	32,154	26,433	32,759	26,433
Books	1,890	9,929	10,138	9,929	10,280	9,929
Clothing and Personal Supplies	2,986	580	592	580	601	580
Maintenance and Motor Vehicle Supplies	213,522	162,380	165,786	161,286	168,019	161,373
Medical Supplies	7	125	132	125	138	125
Fuel	1,361,753	1,615,206	1,689,505	1,689,505	1,704,711	1,704,531
Office Supplies	241,563	59,284	60,528	59,284	61,376	59,284

Budget-in-Detail

Refunds of Expenditures Not Otherwise	11,383	3,100	3,165	3,100	3,210	3,100
<i>Other Expenses-Sundry</i>						
Sundry - Other Items	7,796	8,068	8,068	8,068	8,068	8,068
TOTAL-Other Expenses Gross	28,913,430	27,375,908	29,144,609	28,828,074	29,530,071	28,953,706
Less Reimbursements	0	-1,542,290	-1,542,290	-1,542,290	-1,542,290	-1,542,290
TOTAL-Other Expenses Net	28,913,430	25,833,618	27,602,319	27,285,784	27,987,781	27,411,416

Other Current Expenses

Management Services	4,490,804	4,220,675	4,357,366	4,236,508	4,408,825	4,236,508
Rents and Moving	9,427,067	10,319,629	14,668,196	12,946,996	14,438,196	12,475,596
Capitol Day Care Center	123,511	114,250	130,557	127,250	132,013	127,250
Facilities Design Expenses	5,049,508	4,846,119	5,474,989	5,200,853	5,533,375	5,244,945
TOTAL-Other Current Expenses	19,090,890	19,500,673	24,631,108	22,511,607	24,512,409	22,084,299

Character & Major Object Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	7,257,681	7,581,802	7,887,697	7,670,297	7,589,020	7,991,027	7,771,475	7,690,198
Other Expenses Net	28,913,430	25,833,618	27,602,319	27,302,892	27,285,784	27,987,781	27,428,524	27,411,416
Capital Outlay	0	0	602,650	216,500	100	499,675	224,825	100
Other Current Expenses	19,090,890	19,500,673	24,631,108	24,232,807	22,511,607	24,512,409	24,046,899	22,084,299
TOTAL-General Fund Net	55,262,001	52,916,093	60,723,774	59,422,496	57,386,511	60,990,892	59,471,723	57,186,013
<i>Additional Funds Available</i>								
Bond Funds	5,984,430	5,958,413	5,965,136	5,965,136	5,965,136	5,965,136	5,965,136	5,965,136
TOTAL-All Funds Net	61,246,431	58,874,506	66,688,910	65,387,632	63,351,647	66,956,028	65,436,859	63,151,149

ATTORNEY GENERAL

AGENCY DESCRIPTION

The Attorney General is the chief legal officer of the State of Connecticut. The Attorney General's Office serves as legal counsel to all state agencies and acts to protect the public interest for the people of the State of Connecticut.

The mission of this office is to represent and advocate the interests of the state and its citizens, to ensure that state

government acts within the letter and spirit of the law, to protect public resources for present and future generations, to preserve and enhance the quality of life of all the state's citizens, and to safeguard the rights of its most vulnerable citizens.

One position and funding for the Child Advocate is recommended for transfer to Office of the Attorney General in the Governor's budget as part of her proposal to streamline state government.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2009-2010</u>	<u>2010-2011</u>
• Remove or Limit Inflation	-36,589	-61,223
• Rollout FY2009 Rescissions	-41,547	-41,547
• Eliminate Vacant Positions	0	0
<i>Seven unfunded vacant positions are eliminated.</i>		
• OE/OCE General Reductions	-54,379	-54,379
• Transfer Equipment to CEPF	-200,000	-200,000

Reallocations or Transfers

• Streamline Agency Operations - Transfer the Child Advocate to the Attorney General's Office	141,000	141,000
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AGENCY PROGRAMS

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	302	14	0	316	316	310	316	310
Other Positions Equated to Full Time								
General Fund			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
			33	33	33	33	33	33

Agency Programs by Total Funds

(Net of Reimbursements)	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Legal Services	36,439,942	37,252,599	40,462,278	39,358,285	39,203,359	41,672,968	39,473,065	39,318,139
TOTAL Agency Programs - All Funds Gross	36,439,942	37,252,599	40,462,278	39,358,285	39,203,359	41,672,968	39,473,065	39,318,139
Less Turnover	0	0	-405,000	-959,622	-959,622	-415,000	-964,402	-964,402
TOTAL Agency Programs - All Funds Net	36,439,942	37,252,599	40,057,278	38,398,663	38,243,737	41,257,968	38,508,663	38,353,737
<i>Summary of Funding</i>								
General Fund Net	30,957,764	32,022,699	34,615,278	33,006,663	32,851,737	35,742,968	33,093,663	32,938,737
Bond Funds	122,304	59,900	250,000	200,000	200,000	300,000	200,000	200,000
Private Funds	5,359,874	5,170,000	5,192,000	5,192,000	5,192,000	5,215,000	5,215,000	5,215,000
TOTAL Agency Programs - All Funds Net	36,439,942	37,252,599	40,057,278	38,398,663	38,243,737	41,257,968	38,508,663	38,353,737

LEGAL SERVICES**Statutory Reference**

Sections 3-124 through 3-131

Statement of Need and Program Objectives

To facilitate the efficient and effective provision of state services by preventing legal problems from impeding state operations. To advocate before the courts and administrative boards the rights and welfare of citizens of the state and the public policies of Connecticut.

Program Description

The Office of the Attorney General is organized into one administrative and fourteen legal departments. Each of the fourteen departments provides the entire range of legal services to groups of state agencies. These legal services consist primarily of counseling and representation. The counseling function includes the rendering of informal advice and preparation of formal opinions, as well as the drafting

and review of regulations, contracts and other instruments for legal sufficiency. The representation function involves representing all state agencies and employees, elected and appointed officials in their official capacities in all phases of litigation in federal and state courts and in administrative proceedings. The office also initiates affirmative litigation as appropriate.

The central management of the Office of the Attorney General includes an executive and administrative staff. The executive staff oversees, directs and manages litigation and the provision of legal services, including contract review and issuance of advisory opinions; oversees education to the public on legal issues; and formulates proposed legislation. The administrative staff manages all business operations, recruits and trains personnel, maintains the information systems, maintains the law libraries of the office and plans and develops systems to improve the efficiency and effectiveness of the office.

Program Measure	2007-2008 Actual	2008-2009 Estimated	2009-2010 Projected	2010-2011 Projected
Formal written opinions	35	40	45	50
Court Cases Completed	15,119	16,000	16,100	16,200
Legal documents examined	5,866	5,900	6,000	6,050
General Fund dollars collected (000)	218,007,969	218,500,000	219,500,000	220,000,000
Revenue generated for special funds	19,219,697	20,000,000	20,500,000	21,000,000
Revenue awarded or paid to Individuals or Businesses	447,373,547	448,000,000	450,000,000	455,000,000

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	302	14	0	316	316	310	316	310

	2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Other Positions Equated to Full Time</i>						
General Fund	33	33	33	33	33	33

Financial Summary

(Net of Reimbursements)	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	29,451,634	30,435,683	32,930,886	32,139,622	32,280,622	34,153,892	32,234,402	32,375,402
Other Expenses	1,503,946	1,587,016	1,663,692	1,626,563	1,530,637	1,685,326	1,623,563	1,527,637

Capital Outlay

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Equipment	2,184	0	425,700	200,100	100	318,750	200,100	100
TOTAL-General Fund	30,957,764	32,022,699	35,020,278	33,966,285	33,811,359	36,157,968	34,058,065	33,903,139

Additional Funds Available

Bond Funds	122,304	59,900	250,000	200,000	200,000	300,000	200,000	200,000
Private Funds	5,359,874	5,170,000	5,192,000	5,192,000	5,192,000	5,215,000	5,215,000	5,215,000
TOTAL - All Funds	36,439,942	37,252,599	40,462,278	39,358,285	39,203,359	41,672,968	39,473,065	39,318,139

Legal Services

AGENCY FINANCIAL SUMMARY - GENERAL FUND

<i>Current Expenses by Minor Object</i>	2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Personal Services</i>						
Permanent Fulltime Positions	26,790,946	28,810,868	30,540,875	30,670,736	31,806,823	30,768,177
Other Positions	1,291,093	1,381,317	1,464,200	1,470,487	1,469,800	1,475,158
Other	1,368,667	787,555	1,484,811	838,399	1,446,269	841,067
Overtime	928	943	1,000	1,000	1,000	1,000
TOTAL-Personal Services Gross	29,451,634	30,980,683	33,490,886	32,980,622	34,723,892	33,085,402
Less Reimbursements	0	-545,000	-560,000	-700,000	-570,000	-710,000
Less Turnover	0	0	-405,000	-959,622	-415,000	-964,402
TOTAL-Personal Services Net	29,451,634	30,435,683	32,525,886	31,321,000	33,738,892	31,411,000

Other Expenses-Contractual Services

Dues and Subscriptions	165,040	174,273	182,262	160,871	184,814	160,871
Rentals, Storage and Leasing	143,568	151,599	158,549	151,599	160,768	151,599
Telecommunication Services	106,050	111,983	117,117	110,465	118,757	110,465
General Repairs	26,996	33,101	34,618	33,101	35,103	33,101
Motor Vehicle Expenses	21,227	22,414	23,442	17,881	23,770	17,881
Fees for Outside Professional Services	28,114	7,664	8,016	7,664	8,128	7,664
Fees for Non-Professional Services	347,116	386,177	403,882	386,106	409,536	386,106
DP Services, Rentals and Maintenance	161,103	186,359	195,442	186,358	198,178	186,358
Postage	88,047	102,736	107,446	99,505	108,951	99,505
Travel	182,991	271,327	283,768	243,839	287,741	243,839
Other Contractual Services	23,327	24,632	25,761	24,631	26,122	24,631
Advertising	3,766	6,611	6,914	6,611	7,011	6,611
Printing & Binding	26,320	37,555	39,277	37,555	39,827	37,555

Other Expenses-Commodities

Agriculture, Horticulture, Dairy & Food	171	181	189	181	193	181
Maintenance and Motor Vehicle Supplies	19,122	20,192	21,097	16,055	21,097	16,055
Office Supplies	156,426	165,174	172,751	165,177	175,169	165,177

Other Expenses-Sundry

Sundry - Other Items	4,562	5,038	5,161	5,038	5,161	5,038
TOTAL-Other Expenses Gross	1,503,946	1,707,016	1,785,692	1,652,637	1,810,326	1,652,637
Less Reimbursements	0	-120,000	-122,000	-122,000	-125,000	-125,000
TOTAL-Other Expenses Net	1,503,946	1,587,016	1,663,692	1,530,637	1,685,326	1,527,637

Character & Major Object Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	29,451,634	30,435,683	32,525,886	31,180,000	31,321,000	33,738,892	31,270,000	31,411,000
Other Expenses Net	1,503,946	1,587,016	1,663,692	1,626,563	1,530,637	1,685,326	1,623,563	1,527,637
Capital Outlay	2,184	0	425,700	200,100	100	318,750	200,100	100
TOTAL-General Fund Net	30,957,764	32,022,699	34,615,278	33,006,663	32,851,737	35,742,968	33,093,663	32,938,737

Additional Funds Available

Bond Funds	122,304	59,900	250,000	200,000	200,000	300,000	200,000	200,000
Private Funds	5,359,874	5,170,000	5,192,000	5,192,000	5,192,000	5,215,000	5,215,000	5,215,000
TOTAL-All Funds Net	36,439,942	37,252,599	40,057,278	38,398,663	38,243,737	41,257,968	38,508,663	38,353,737

OFFICE OF THE CLAIMS COMMISSIONER

AGENCY DESCRIPTION

The Claims Commissioner is charged with the responsibility to hear and determine claims against the State and may make awards up to \$7,500 on any given claim. The commissioner makes recommendations to the General Assembly on those claims, which exceed \$7,500. The Claims Commissioner may

authorize a suit against the State if a claim presents an issue of law or fact under which the State, if it were a private person, could be liable.

The Office of the Claims Commissioner is recommended for consolidation with the Department of Administrative Services in the Governor's budget as part of her proposal to streamline state government.

RECOMMENDED SIGNIFICANT CHANGES

	<u>2009-2010</u>	<u>2010-2011</u>
Reductions to Current Services		
• Remove or Limit Inflation	-787	-1,303
• Rollout FY2009 Rescissions	-1,000	-1,000
• Streamline Agency Operations - Eliminate One Clerical Position	-31,656	-31,656
Reallocations or Transfers		
• Streamline Agency Operations - Transfer the Office of the Claims Commissioner to DAS	-386,228	-390,511

AGENCY PROGRAMS

Personnel Summary	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	3	1	0	4	4	0	4	0

Agency Programs by Total Funds	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Claims Adjudication & Administration	282,359	396,235	419,622	418,935	0	424,933	423,218	0
TOTAL Agency Programs - All Funds Gross	282,359	396,235	419,622	418,935	0	424,933	423,218	0
Less Turnover								
TOTAL Agency Programs - All Funds Net	282,359	396,235	419,622	418,935	0	424,933	423,218	0
<i>Summary of Funding</i>								
General Fund Net	282,359	396,235	419,622	418,935	0	424,933	423,218	0
TOTAL Agency Programs - All Funds Net	282,359	396,235	419,622	418,935	0	424,933	423,218	0

CLAIMS ADJUDICATION AND ADMINISTRATION

Statutory Reference

C.G.S. Chapter 53

Statement of Need and Program Objectives

To receive, hear and adjudicate claims against the State and to authorize payment of claims within the commission's jurisdiction. To recommend other claims for consideration by the legislature or grant permission for claimants to institute suit against the State.

Program Description

The Claims Commissioner makes recommendations to the General Assembly on those claims which exceed \$7,500, annually at the start of a legislative session, maintains caseloads, schedules and conducts hearings, receives motions, makes findings and issues orders.

Personnel Summary	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	3	1	0	4	4	0	4	0

Financial Summary	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	204,977	279,854	297,741	297,741	0	302,024	302,024	0
Other Expenses	18,755	35,631	36,881	36,094	0	37,909	36,094	0
<u>Capital Outlay</u>								
Equipment	0	0	0	100	0	0	100	0
<u>Other Current Expenses</u>								
Adjudicated Claims	58,627	80,750	85,000	85,000	0	85,000	85,000	0
TOTAL-General Fund	282,359	396,235	419,622	418,935	0	424,933	423,218	0

Claims Adjudication & Administration**AGENCY FINANCIAL SUMMARY - GENERAL FUND**

Current Expenses by Minor Object	2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	161,649	217,585	240,545	0	244,665	0
Other Positions	27,794	39,944	41,142	0	42,376	0
Other	13,601	19,547	13,193	0	12,036	0
Overtime	1,933	2,778	2,861	0	2,947	0
TOTAL-Personal Services Gross	204,977	279,854	297,741	0	302,024	0
Less Reimbursements						
Less Turnover						
TOTAL-Personal Services Net	204,977	279,854	297,741	0	302,024	0
<u>Other Expenses-Contractual Services</u>						
Rentals, Storage and Leasing	1,470	2,940	3,002	0	3,044	0
Telecommunication Services	965	1,930	1,971	0	1,998	0
General Repairs	251	502	513	0	520	0
Fees for Outside Professional Services	8,750	15,621	16,449	0	17,189	0
Fees for Non-Professional Services	1,596	3,192	3,259	0	3,305	0
DP Services, Rentals and Maintenance	621	1,242	1,268	0	1,286	0
Postage	3,027	6,054	6,181	0	6,268	0
Travel	13	26	27	0	27	0
Printing & Binding	222	444	453	0	459	0
<u>Other Expenses-Commodities</u>						
Agriculture, Horticulture, Dairy & Food	119	238	243	0	248	0
Office Supplies	1,721	3,442	3,515	0	3,565	0
TOTAL-Other Expenses Gross	18,755	35,631	36,881	0	37,909	0
Less Reimbursements						
TOTAL-Other Expenses Net	18,755	35,631	36,881	0	37,909	0

Budget-in-Detail

Other Current Expenses

Adjudicated Claims	58,627	80,750	85,000	0	85,000	0
TOTAL-Other Current Expenses	58,627	80,750	85,000	0	85,000	0

Character & Major Object Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	204,977	279,854	297,741	297,741	0	302,024	302,024	0
Other Expenses Net	18,755	35,631	36,881	36,094	0	37,909	36,094	0
Capital Outlay	0	0	0	100	0	0	100	0
Other Current Expenses	58,627	80,750	85,000	85,000	0	85,000	85,000	0
TOTAL-General Fund Net	282,359	396,235	419,622	418,935	0	424,933	423,218	0

DIVISION OF CRIMINAL JUSTICE

AGENCY DESCRIPTION

The Division of Criminal Justice is constitutionally charged with the investigation and prosecution of all criminal matters in the Superior Court. Pursuant to Article 23 of the Connecticut Constitution, the Division's mission is to contribute to the due process of criminal law and to achieve justice. Article 23, enacted by the voters in 1984, established the Division as an Executive Branch agency and transferred it from the Judicial Branch.

The Chief State's Attorney, as administrative head of the agency, is responsible for planning and establishing agency policy and administering the operations and activities of the central office and over 50 prosecutor's offices throughout the state.

The Division is organized into three major activity areas: investigation and prosecution, appellate and collateral litigation, and management and support services. These program areas include: prosecution of all felonies, misdemeanors, infractions, motor vehicle offenses and violations arising under state statutes; investigation and

prosecution of particular crimes and offenses of statewide scope and/or requiring special expertise and representation of the state in all appellate, post-trial and post conviction proceedings related to criminal matters.

The Division has expanded its activities in the areas of public integrity, "cold case" investigation, elder services and prosecution of elder abuse, gun violence prosecution, computer crime, neighborhood prosecution, domestic violence, youth violence, teen pregnancy, and drunk driving. The inclusion of juvenile prosecution in the Division's repertoire of duties continues to present specialized issues. The Division attained jurisdiction over juvenile prosecution as a result of Public Act No. 95-225. Juvenile offenders provide special challenges to prosecutors in that some juveniles may best be diverted to rehabilitative programs to avoid judicial action and detention. However, some juvenile offenders commit crimes serious enough to warrant their transfer to the adult docket.

The Criminal Justice Commission is recommended for consolidation with the Division of Criminal Justice in the Governor's budget as part of her proposal to streamline state government.

AGENCY PROGRAM INDEX

Investigation & Prosecution	Management and Support Services	
Appellate & Collateral Litigation.....		211

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2009-2010</u>	<u>2010-2011</u>
• Remove or Limit Inflation	-106,623	-170,698
• Transfer Equipment to CEPF	-748,607	-421,900
• Rollout FY2009 Rescissions	-82,181	-82,181
• Eliminate Vacant Positions	0	0
• OE/OCE General Reductions	-27,034	-27,034
• Streamline Agency Operations - Transfer Criminal Justice Commission into the DCJ	650	650
<i>Support for the Criminal Justice Commission is proposed for transfer to the Division of Criminal Justice as a separate function.</i>		
• Consolidations - Courthouse Closings	-292,208	-292,208
<i>Funding for 5 positions is removed to coincide with the closing of the Bristol GA and Meriden GA and JD courthouses.</i>		

Reallocations or Transfers

• Reallocate the Criminal Justice fraud Unit to the Division of Criminal Justice	558,545	558,754
<i>Funding for the Criminal Justice Fraud Unit is centralized into an account in the Worker's Compensation Fund within the Division of Criminal Justice</i>		

AGENCY PROGRAMS

Personnel Summary	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
<i>Permanent Full-Time Positions</i>								
General Fund	519	13	7	539	532	522	532	522
Federal Contributions	7	0	0	7	0	0	0	0
Private Funds	3	0	0	3	0	0	0	0

Agency Programs by Total Funds	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
(Net of Reimbursements)	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Investigation & Prosecution	43,479,745	44,041,896	47,387,626	46,952,753	46,768,266	47,461,170	46,922,916	46,827,055
Appellate & Collateral Litigation	4,442,747	4,825,540	5,102,011	5,151,463	5,121,564	5,111,214	5,162,732	5,132,804
Management and Support Services	4,338,335	6,445,261	5,496,256	5,071,064	4,694,615	5,195,367	4,845,693	4,707,563
TOTAL Agency Programs - All Funds Gross	52,260,827	55,312,697	57,985,893	57,175,280	56,584,445	57,767,751	56,931,341	56,667,422
Less Turnover	0	0	-250,000	-1,000,000	-1,000,000	-250,000	-1,000,000	-1,000,000
TOTAL Agency Programs - All Funds Net	52,260,827	55,312,697	57,735,893	56,175,280	55,584,445	57,517,751	55,931,341	55,667,422

Summary of Funding

General Fund Net	49,773,808	53,493,896	57,680,557	56,119,944	54,970,564	57,462,415	55,876,005	55,053,332
Workers' Compensation Fund Net	54,198	55,336	55,336	55,336	613,881	55,336	55,336	614,090
Federal and Other Activities	1,187,462	1,763,465	0	0	0	0	0	0
Bond Funds	428,087	0	0	0	0	0	0	0
Private Funds	817,272	0	0	0	0	0	0	0
TOTAL Agency Programs - All Funds Net	52,260,827	55,312,697	57,735,893	56,175,280	55,584,445	57,517,751	55,931,341	55,667,422

INVESTIGATION AND PROSECUTION**Statutory References**

C.G.S. Sections 51-276, 51-286e, 54-82l-m and 54-36h

Statement of Need and Program Objectives

To represent the people of the State of Connecticut in the investigation and prosecution of all felonies, misdemeanors and motor vehicle offenses against the laws of the State of Connecticut and the ordinances of local government after arrest by state or local law enforcement officials.

Program Description

Thirteen Judicial District (JD) State's Attorneys' Offices handle major felonies. Twenty Geographic Area (GA) Offices are responsible for lesser felonies and misdemeanors, including motor vehicle and criminal infractions. Thirteen Juvenile Matters Offices are responsible for juvenile crimes.

Connecticut prosecutors are assigned to specific offices and the process of handling cases is the same in both JD and GA locations.

Throughout the process, significant time may be spent in negotiation with defense counsel, with the anticipated result being that many cases are resolved without a jury or court trial. In capital cases, prosecution requires the attention of more than one prosecutor and centralized research assistance is provided by Appellate Bureau staff that specializes in capital litigation.

In addition to the ongoing review of filed criminal cases, substantial prosecutor time must be devoted to the review and issuance of arrest, re-arrest and search warrants, as well as post-conviction actions, and the collection of forfeited bail bonds. The Division is responsible for the extradition of prisoners from other states and for bringing in witnesses needed for trial who reside in other states.

The volume of capital felony prosecutions in the state remains significant. As of August 2008, there were thirty capital cases pending in the state's courts. Connecticut's speedy trial law requires that all incarcerated defendants be tried within eight months of arrest.

Juvenile Prosecution became the responsibility of the Division of Criminal Justice in 1996. The prosecution of juvenile delinquents and status offenders runs the full gamut of offenses from truancy to capital crimes. The Division has taken substantial steps to improve the effectiveness of juvenile prosecution by the hiring of Supervisory Juvenile Prosecutors and additional Juvenile Investigators and Juvenile Prosecutors and upgrading the technology used by the staff. The State's Attorneys provide supervision of the juvenile matters staff while the Office of the Chief State's Attorney provides statewide coordination of juvenile matters as well as training and educational programs for staff, police agencies, schools and community groups.

Domestic Violence Prosecution in the Division of Criminal Justice, through a combination of state and federal funding, has been enhanced in the Judicial Districts of

Ansonia/Milford, Fairfield, Hartford, New Britain, New Haven, Stamford, Waterbury, and Windham (with a special emphasis on rural domestic violence and child sexual assault issues) where prosecutors and investigators are given specialized training and handle domestic violence cases exclusively. They work with judicial, police and social service agencies to create a global approach that recognizes the special needs of victims and the importance of accountability for offenders. This approach includes expertise in the investigation and prosecution of statutory rape and also includes outreach programs designed to prevent teen pregnancy. Federal funding has also enabled the Division to establish a centralized Domestic Violence Unit, which assists in domestic violence investigation and prosecution as well as in the formation, codification, dissemination and implementation of best policies and procedures. The centralized Unit, housed at the Office of the Chief State's Attorney, also conducts in-depth statewide trainings on specialized domestic violence topics including the prosecution of strangulation crimes.

The Asset Forfeiture Bureau works in conjunction with prosecutors handling drug-related prosecutions by filing civil actions for the forfeiture to the state of property or assets used in the commission of the drug-related crime as a means to deter criminal activity. The Bureau provides revenue to the state and programs for local and state agencies through forfeited assets. In recent years approximately \$1.7 million has been collected annually in drug asset forfeitures. The Bureau represents the state in the collection of bonds forfeited in criminal cases, with an average annual collection of \$4.6 million.

Housing Court Prosecutors deal with criminal cases in the state's urban areas and deal with issues including fire code violations, lead paint abatement and building code violations. This equates to approximately 200 prosecutions per year.

Community Court, through an innovative partnership between the Judicial Branch, city officials and the Division of Criminal Justice, handles "quality of life" offenses which otherwise would be assigned to the regular court docket. These crimes and violations are targeted because of their impact on the public safety of citizens and their contribution to the deterioration of many neighborhoods. From August 1, 2006 through July 31, 2008, the Division prosecuted 16,717 cases, resulting in 59,074 hours of community service, 4,508 referrals to human service providers and over 1,500 referrals to Hartford-area mediation.

Office of the Chief State's Attorney conducts investigations and prosecutions on a statewide basis in a number of different specialized areas. Pre-arrest activities are organized based on their highly technical, complex or statewide nature. In the majority of cases that fall into these areas, referrals will be made from state or local police departments or the State's Attorney's Offices throughout the state.

The Public Integrity Bureau was established in August of 2003. The purpose of the Bureau is to investigate and prosecute cases of corruption and carries an average of 18 such open cases. The Division has inaugurated a toll-free "tip line" to allow the public to confidentially report allegations of corruption at the state and local levels of government. Calls to the "tip line", at 1-888-742-2726, are processed by the Public Integrity Bureau in the Office of the Chief State's

Attorney. The "tip line" has received over 550 calls since its inception.

The Statewide Prosecution Bureau investigates and prosecutes criminal violations relating to economic fraud, social services recipient and provider fraud, private health care fraud, environmental laws, election laws and other designated matters. The Bureau is charged with the vertical prosecution of gang and gun-related crimes across the state, as well as other organized criminal activity, through use of the state's CORA (Corrupt Organization and Racketeering Act) laws.

The Cold Case Unit investigates and prosecutes serious crimes that have gone "cold," or unsolved for a long period of time. The cases assigned to this Unit typically include unsolved murders, some of which took place decades ago. The Unit works with the Connecticut State Police, local police departments, and nationally recognized forensics experts. The Unit has obtained convictions in fifteen cases in which arrests have been made, a significant majority of them homicides.

The Nuisance Abatement Unit, within the Asset Forfeiture Bureau, employs two prosecutors assigned to handle Nuisance Abatement cases. These prosecutors are responsible for filing civil actions seeking injunctive relief against criminal nuisances as authorized in Connecticut General Statutes Section 19a-343, et seq. In addition to litigation responsibilities, the prosecutors conduct training of law enforcement personnel for nuisance abatement investigations and conduct community group education regarding the program. Another function of the nuisance abatement program is to provide cooperating landlords an opportunity to correct problems at their properties with the assistance of local police and the Chief State's Attorney's Office.

The Elder Services Bureau was created in 2003 to enhance and focus the Division's efforts to address all manner of crimes perpetrated against senior citizens.

The Elder Abuse Unit, within the Elder Services Bureau, was established in 2000. The creation of this unit recognizes the increasing incidence of crimes against the elderly, ranging from telemarketing scams to physical abuse. Prosecutors and Investigators assigned to this Unit work with other local, state and federal agencies to segregate abuses of the aged and vertically prosecute them. The Unit averages over 85 open cases representing more than \$7 million in financial abuse.

The Medicaid Fraud Control Unit, also within the Elder Services Bureau, protects the State of Connecticut and its citizens by investigating and prosecuting fraud committed by health care professionals and facilities that provide services paid for by the Connecticut Medicaid program. In addition, the Unit investigates and prosecutes abuse and neglect of patients by Medicaid providers as well as misappropriation of patients' personal funds. In recent years penalty fees, restitution and recovery proceeds collections have averaged \$3.62 million annually.

The Worker's Compensation Fraud Control Bureau at the Chief State's Attorney's Office has the broad responsibility of investigating and prosecuting offenses related to workers' compensation fraud.

The Witness Protection Unit was created in 1999 as a result of Public Act 99-40 and implements the Leroy Brown, Jr. and

Budget-in-Detail

Karen Clarke Witness Protection Program. The Unit administers a statewide program, in cooperation with the State's Attorneys and local, state and federal law enforcement agencies, to identify and protect witnesses in criminal proceedings where there is evidence of substantial danger that they may suffer from intimidation or retaliatory violence. Services rendered include temporary relocation, semi-permanent relocation in or outside Connecticut and

police protection. Since its inception, the Unit has handled 470 witness protection matters and has assisted 1,213 persons with some form of protection.

The Centralized Case Presentation Unit was formed within the Office of the Chief State's Attorney, with federal assistance, to assist prosecutors statewide in the collection and usage of digitized evidence and in utilizing the latest technology in trial presentations.

Program Measure	2007-2008 Actual	2008-2009 Estimated	2009-2010 Projected	2010-2011 Projected
ADULT PROSECUTION:				
Active pending cases - JD (July 1)	4,216	4,216	4,216	4,216
Active pending cases - GA (July 1) - includes motor vehicle	60,520	60,520	60,520	60,520
Cases added - JD	3,452	3,452	3,452	3,452
Cases added - GA - includes motor vehicle	326,128	326,128	326,128	326,128
Pending Cases per FT JD prosecutor	48	48	48	48
Pending Cases per FT GA prosecutor	517	517	517	517
Trials - JD	146	146	146	146
Dispositions - JD	2,843	2,843	2,843	2,843
Dispositions - GA - includes motor vehicle	311,810	311,810	311,810	311,810
JUVENILE PROSECUTION:				
Delinquency Case In-Take	12,240	12,240	12,240	12,240
Delinquency Case Disposals	13,318	13,318	13,318	13,318
Family With Service Needs In-Take	2,867	2,867	2,867	2,867
Family With Service Needs Disposals	3,578	3,578	3,578	3,578
Youth in Crisis - New Cases	1,009	1,009	1,009	1,009
Youth in Crisis - Disposed Cases	1,142	1,142	1,142	1,142
STATEWIDE ACTIVITY:				
Investigations Opened	163	163	163	163
Investigations Closed	192	192	192	192
Fines (\$)	6,825	6,825	6,825	6,825
Restitution (\$)	1,709,194	1,709,194	1,709,194	1,709,194
DRUG ASSET FORFEITURE:				
New Cases	1,350	1,350	1,350	1,350
Disposed Cases	1,218	1,218	1,218	1,218
Forfeitures Collected (DCJ share only)	226,183	226,183	226,183	226,183

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	440	10	5	455	450	453	450	453
Federal Contributions	7	0	0	7	0	0	0	0
Private Funds	3	0	0	3	0	0	0	0

Financial Summary

(Net of Reimbursements)	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	37,379,553	39,823,076	42,006,876	42,528,738	42,294,858	41,951,400	42,525,291	42,291,553

Other Expenses	1,988,175	1,763,465	2,012,973	1,880,091	1,854,535	2,078,253	1,918,981	1,893,249
<u>Capital Outlay</u>								
Equipment	37,620	0	643,500	425,534	57	643,500	337,128	80
<u>Other Current Expenses</u>								
Forensic Sex Evidence Exams	942,322	1,020,818	1,097,371	1,074,545	1,020,818	1,112,734	1,074,549	1,020,821
Witness Protection	13	13	13	13	12	13	13	12
Training and Education	56,221	83,431	87,163	87,861	83,470	86,703	83,619	79,251
Expert Witnesses	152,961	152,960	156,173	160,717	160,717	158,359	160,717	160,717
Medicaid Fraud Control	631,703	660,737	763,313	739,918	739,918	793,810	767,282	767,282
Persistent Violent Felony Offenders Act	0	482,060	564,908	0	0	581,062	0	0
TOTAL-General Fund	41,188,568	43,986,560	47,332,290	46,897,417	46,154,385	47,405,834	46,867,580	46,212,965
Personal Services	54,198	55,336	55,336	55,336	589,619	55,336	55,336	590,714
Other Expenses	0	0	0	0	22,462	0	0	22,776
<u>Capital Outlay</u>								
Equipment	0	0	0	0	1,800	0	0	600
TOTAL-Workers' Compensation Fund	54,198	55,336	55,336	55,336	613,881	55,336	55,336	614,090
<u>Additional Funds Available</u>								
Bond Funds	375,949	0	0	0	0	0	0	0
Private Funds	817,052	0	0	0	0	0	0	0
Federal Contributions								
Government Task Force Finding Words	12,725	0	0	0	0	0	0	0
OPM Finding Words - STATE	26,250	0	0	0	0	0	0	0
16560 National Inst Justice Rsrch,Eval Dev	20,713	0	0	0	0	0	0	0
16579 Byrne Formula Grant Program	297,935	0	0	0	0	0	0	0
16586 Violent Offender Incarceration/Truth	499,198	0	0	0	0	0	0	0
20600 State & Community Highway Safety	187,157	0	0	0	0	0	0	0
TOTAL - All Funds	43,479,745	44,041,896	47,387,626	46,952,753	46,768,266	47,461,170	46,922,916	46,827,055
Investigation & Prosecution								

APPELLATE AND COLLATERAL LITIGATION

Statutory Reference

C.G.S. Sections 51-276, 51-277, 51-279 and 51-279b

Statement of Need and Program Objectives

To participate on behalf of the state in all appellate, post-trial and post-conviction proceedings arising out of the initiation of any criminal action, whether or not the proceedings are denominated civil or criminal for other purposes. To provide research in matters of criminal law and legislation to further specific prosecutorial action and agency policy.

Program Description

Criminal prosecution and investigation generates numerous pre- or post-arrest activities. The Appellate Bureau's activities include: handling direct appeals in criminal cases, whether in the state or federal appellate court system; representing the state in state and federal habeas corpus matters referred to it by the field offices; conducting legal research to assist Division personnel as well as responding to state and municipal law enforcement agencies' information

requests; coordinating capital research and litigation on a statewide basis; providing representation of agency interests in collateral litigation, such as habeas corpus and subpoenas of agency personnel and the collection of bonds forfeited in criminal cases.

The Bureau also assists in the preparation, editing and publication of legal summaries, manuals and handbooks that are disseminated to Division personnel and others to be used in training.

The Bureau has seen a consistently high death penalty caseload. As of August, 2008, there were eighteen capital cases pending trial and seven on appeal in the Connecticut Supreme Court. Five capital cases are in habeas litigation. The percentage of all capital cases on appeal will likely increase as appellate review, in both state and federal courts, occurs.

The Civil Litigation Bureau's primary focus is the representation of the Division's interests in civil matters not otherwise handled by the agency's prosecutors or the Attorney General's Office.

Budget-in-Detail

Program Measure	2007-2008 Actual	2008-2009 Estimated	2009-2010 Projected	2010-2011 Projected
State Supreme & Appellate Court Appeals Pending (at 12/31/2007)	960	960	960	960
Habeas Corpus, State and Federal Court Pending	692	692	692	692
Bond Forfeiture Collections	1,278,695	1,278,695	1,278,695	1,278,695

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	42	1	0	43	43	43	43	43

Financial Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	4,160,191	4,558,628	4,808,612	4,868,351	4,841,578	4,813,706	4,879,557	4,852,737
Other Expenses	190,087	170,881	195,059	182,183	179,706	197,791	182,633	180,184
<u>Other Current Expenses</u>								
Training and Education	8,786	12,348	12,900	13,003	12,354	13,081	12,616	11,957
Expert Witnesses	83,683	83,683	85,440	87,926	87,926	86,636	87,926	87,926
TOTAL-General Fund	4,442,747	4,825,540	5,102,011	5,151,463	5,121,564	5,111,214	5,162,732	5,132,804

Appellate & Collateral Litigation

MANAGEMENT AND SUPPORT SERVICES

Statutory Reference

C.G.S. Sections 51-276 and 54-142h

Statement of Need and Program Objectives

To direct and coordinate the policy, planning and administration of the Division of Criminal Justice. To ensure that the personnel, payroll, budgeting, accounting, information technology, training and service requirements of the central office and 50 geographically disparate field offices are met in a timely and efficient manner.

Program Description

Management and Support Services coordinate a variety of activities and services that respond to the programmatic and logistical demands of the Division. The responsibilities include: establishing and enforcing policy for the Division, developing and supporting legislation related to the criminal justice process, coordinating training and developing manuals related to new issues in prosecution and the law, implementing collective bargaining agreements and coordinating all contracts and grant applications and awards.

In addition, planning, implementation and service delivery for budget, payroll, purchasing, accounting, auditing, data systems, inventory control, communications and personnel functions, including labor relations, are handled in the central office. Financial and personnel records are maintained for each office location and in aggregate.

Management and Support Services is also responsible for providing information technology policies and strategies, in conjunction with the Department of Information Technology, law enforcement and other agencies, such as planning for major infrastructure improvements, establishing electronic means for document management and legal research and implementing the Criminal Justice Information System (CJIS) initiatives.

Further duties include delivering in-service training on issues of criminal law, ethics and forensic science, as well as diversity training, workplace violence training and management training. The Division has prepared a criminal records manual for police departments and has conducted training sessions for police records officers. It also provides an Employee Assistance Program (EAP) in conjunction with the University of Connecticut. Other activities performed by Management Services include: processing complaints concerning Division personnel; coordinating prisoner transportation for extradition; planning facilities for field offices; records retention; managing the agency's fleet of vehicles; coordinating firearms training and law enforcement related purchases and organizing, conducting, and tracking training sessions for prosecutors and support staff. The Division is required by statute to conduct random audits of agencies retaining criminal records to insure that these agencies comply with state law.

Program Measure	2007-2008 Actual	2008-2009 Estimated	2009-2010 Projected	2010-2011 Projected
Purchase Requisitions Processed	697	697	697	697
Purchase Orders Processed	2,658	2,658	2,658	2,658
Vouchers Processed	6,435	6,435	6,435	6,435
TRAINING:				
# of Professional Development Training Experiences	559	559	559	559
# of Employees Trained in Sexual Harassment Prevention	6	6	6	6
# of Sexual Assault Exams Subsidized by State	1,249	1,249	1,249	1,249
Witness Protection Cases Assisted	41	41	41	41

Personnel Summary

	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
General Fund	37	2	2	41	39	26	39	26

Financial Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
(Net of Reimbursements)	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	3,104,808	3,244,494	3,422,414	3,464,932	3,445,877	3,436,755	3,483,770	3,464,620
Other Expenses	832,735	793,532	905,807	846,013	834,512	916,945	846,673	835,320

Capital Outlay

Equipment	8,630	0	488,707	323,173	43	162,000	84,872	20
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Other Current Expenses

Forensic Sex Evidence Exams	260	242	260	255	242	260	251	239
Witness Protection	182,867	425,504	426,000	416,594	394,199	426,000	410,630	388,235
Training and Education	13,193	19,084	19,937	20,097	19,092	20,216	19,497	18,479
Persistent Violent Felony Offenders Act	0	198,940	233,131	0	0	233,191	0	0
Criminal Justice Commission	0	0	0	0	650	0	0	650
TOTAL-General Fund	4,142,493	4,681,796	5,496,256	5,071,064	4,694,615	5,195,367	4,845,693	4,707,563

Additional Funds Available

Bond Funds	52,138	0	0	0	0	0	0	0
Private Funds	220	0	0	0	0	0	0	0

Federal Contributions

Records Management Upgrade - Federal	90,000	0	0	0	0	0	0	0
Server Enhancement Project	45,000	0	0	0	0	0	0	0
16560 National Inst Justice Rsrch, Eval Dev Prj	7,824	1,763,465	0	0	0	0	0	0
16586 Violent Offender Incarceration/Truth Sentencing	660	0	0	0	0	0	0	0
TOTAL - All Funds	4,338,335	6,445,261	5,496,256	5,071,064	4,694,615	5,195,367	4,845,693	4,707,563

Management and Support Services

Budget-in-Detail

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object	2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	41,760,864	44,382,579	46,878,002	47,188,913	47,068,291	47,440,836
Other Positions	1,073,254	1,073,000	1,105,190	1,293,190	1,138,346	1,331,986
Other	1,810,235	2,169,619	2,254,710	2,100,210	1,995,224	1,836,088
Overtime	199	1,000	0	0	0	0
TOTAL-Personal Services Gross	44,644,552	47,626,198	50,237,902	50,582,313	50,201,861	50,608,910
Less Reimbursements						
Less Turnover	0	0	-250,000	-1,000,000	-250,000	-1,000,000
TOTAL-Personal Services Net	44,644,552	47,626,198	49,987,902	49,582,313	49,951,861	49,608,910
<u>Other Expenses-Contractual Services</u>						
Dues and Subscriptions	122,924	111,105	126,604	109,209	128,376	109,209
Utility Services	167,690	151,142	175,846	160,445	177,462	160,445
Rentals, Storage and Leasing	190,131	183,633	209,252	194,938	212,182	194,938
Telecommunication Services	115,008	118,556	122,107	115,363	123,817	115,246
General Repairs	171,511	150,926	171,982	160,217	174,388	160,217
Motor Vehicle Expenses	89,269	78,880	89,883	82,533	91,141	82,533
Insurance	20,199	18,424	20,994	19,558	21,288	19,558
Fees for Outside Professional Services	64,202	73,306	83,518	77,818	84,688	77,818
Fees for Non-Professional Services	431,836	388,242	442,397	412,138	448,590	412,138
DP Services, Rentals and Maintenance	197,297	202,878	245,550	215,365	248,987	215,313
Postage	55,225	25,687	29,271	26,510	29,682	26,510
Travel	72,812	71,323	81,272	71,704	82,410	71,704
Other Contractual Services	466,177	421,914	480,767	447,883	487,498	447,883
Advertising	18,320	17,920	20,420	19,023	20,705	19,023
Printing & Binding	838	417	475	442	482	442
<u>Other Expenses-Commodities</u>						
Agriculture, Horticulture, Dairy & Food	9,899	8,960	10,200	9,512	10,404	8,670
Books	41,013	3,585	4,085	3,806	45,283	3,822
Clothing and Personal Supplies	504	362	412	-1,455	418	-1,502
Maintenance and Motor Vehicle Supplies	330,715	356,277	405,650	378,207	406,688	378,215
Medical Supplies	61	55	64	58	67	-262
Fuel	30,641	27,776	32,426	29,486	32,718	29,486
Office Supplies	341,485	302,166	344,319	320,765	349,141	362,064
Refunds of Expenditures Not Otherwise	73,220	14,344	16,345	15,228	16,574	15,283
<u>Other Expenses-Sundry</u>						
Sundry - Other Items	20	0	0	0	0	0
TOTAL-Other Expenses Gross	3,010,997	2,727,878	3,113,839	2,868,753	3,192,989	2,908,753
Less Reimbursements						
TOTAL-Other Expenses Net	3,010,997	2,727,878	3,113,839	2,868,753	3,192,989	2,908,753
<u>Other Current Expenses</u>						
Forensic Sex Evidence Exams	942,582	1,021,060	1,097,631	1,021,060	1,112,994	1,021,060
Witness Protection	182,880	425,517	426,013	394,211	426,013	388,247
Training and Education	78,200	114,863	120,000	114,916	120,000	109,687
Expert Witnesses	236,644	236,643	241,613	248,643	244,995	248,643
Medicaid Fraud Control	631,703	660,737	763,313	739,918	793,810	767,282
Persistent Violent Felony Offenders Act	0	681,000	798,039	0	814,253	0
Criminal Justice Commission	0	0	0	650	0	650
TOTAL-Other Current Expenses	2,072,009	3,139,820	3,446,609	2,519,398	3,512,065	2,535,569

AGENCY FINANCIAL SUMMARY - WORKERS' COMPENSATION FUND

Current Expenses by Minor Object	2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011		
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>		
<u>Personal Services</u>								
Permanent Fulltime Positions	34,770	35,500	35,500	378,261	35,500	378,964		
Other	19,428	19,836	19,836	211,358	19,836	211,750		
TOTAL-Personal Services Gross	54,198	55,336	55,336	589,619	55,336	590,714		
Less Reimbursements								
Less Turnover								
TOTAL-Personal Services Net	54,198	55,336	55,336	589,619	55,336	590,714		
<u>Other Expenses-Contractual Services</u>								
Dues and Subscriptions	0	0	0	44	0	45		
Telecommunication Services	0	0	0	1,999	0	2,027		
General Repairs	0	0	0	332	0	336		
Motor Vehicle Expenses	0	0	0	1,521	0	1,542		
Fees for Non-Professional Services	0	0	0	295	0	299		
Postage	0	0	0	18	0	18		
Travel	0	0	0	3,239	0	3,285		
<u>Other Expenses-Commodities</u>								
Books	0	0	0	153	0	155		
Maintenance and Motor Vehicle Supplies	0	0	0	11,501	0	11,661		
Office Supplies	0	0	0	780	0	792		
Refunds of Expenditures Not Otherwise	0	0	0	2,479	0	2,514		
<u>Other Expenses-Sundry</u>								
Sundry - Other Items	0	0	0	101	0	102		
TOTAL-Other Expenses Gross	0	0	0	22,462	0	22,776		
Less Reimbursements								
TOTAL-Other Expenses Net	0	0	0	22,462	0	22,776		
Character & Major Object Summary								
	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	44,644,552	47,626,198	49,987,902	49,862,021	49,582,313	49,951,861	49,888,618	49,608,910
Other Expenses Net	3,010,997	2,727,878	3,113,839	2,908,287	2,868,753	3,192,989	2,948,287	2,908,753
Capital Outlay	46,250	0	1,132,207	748,707	100	805,500	422,000	100
Other Current Expenses	2,072,009	3,139,820	3,446,609	2,600,929	2,519,398	3,512,065	2,617,100	2,535,569
TOTAL-General Fund Net	49,773,808	53,493,896	57,680,557	56,119,944	54,970,564	57,462,415	55,876,005	55,053,332
Personal Services Net	54,198	55,336	55,336	55,336	589,619	55,336	55,336	590,714
Other Expenses Net	0	0	0	0	22,462	0	0	22,776
Capital Outlay	0	0	0	0	1,800	0	0	600
TOTAL-Workers' Compensation Fund Net	54,198	55,336	55,336	55,336	613,881	55,336	55,336	614,090
<u>Additional Funds Available</u>								
Federal and Other Activities	1,187,462	1,763,465	0	0	0	0	0	0
Bond Funds	428,087	0	0	0	0	0	0	0
Private Funds	817,272	0	0	0	0	0	0	0
TOTAL-All Funds Net	52,260,827	55,312,697	57,735,893	56,175,280	55,584,445	57,517,751	55,931,341	55,667,422

CRIMINAL JUSTICE COMMISSION

AGENCY DESCRIPTION

The Criminal Justice Commission is composed of the Chief State's Attorney and six members nominated by the Governor and appointed by the General Assembly. The commission is charged with making certain prosecutorial appointments as required by statute or at the request of the Chief State's Attorney.

Support for the Criminal Justice Commission is proposed for transfer to the Division of Criminal Justice as a separate function.

In light of the current fiscal situation and the Governor's efforts to streamline state government and return it to its core functions, the Criminal Justice Commission is recommended for consolidation with the Division of Criminal Justice in the Governor's budget.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2009-2010</u>	<u>2010-2011</u>
• Rollout FY2009 Rescissions	-50	-50
• Streamline Agency Operations - Transfer Criminal Justice Commission into the Division of Criminal Justice	-650	-650

Support for the Criminal Justice Commission is proposed for transfer to the Division of Criminal Justice as a separate function.

AGENCY PROGRAMS

Agency Programs by Total Funds (Net of Reimbursements)	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Enhance Operation of DCJ	595	950	1,200	700	0	1,200	700	0
TOTAL Agency Programs - All Funds Gross	595	950	1,200	700	0	1,200	700	0
Less Turnover								
TOTAL Agency Programs - All Funds Net	595	950	1,200	700	0	1,200	700	0
<u>Summary of Funding</u>								
General Fund Net	595	950	1,200	700	0	1,200	700	0
TOTAL Agency Programs - All Funds Net	595	950	1,200	700	0	1,200	700	0

ENHANCE THE OPERATION OF THE DIVISION OF CRIMINAL JUSTICE

Statutory Reference

Section 51-275a

Statement of Need and Program Objectives

To appoint well qualified individuals to certain prosecutorial positions as required by statute or at the request of the Chief State's Attorney.

Program Description

The Criminal Justice Commission appoints the Chief State's Attorney, two deputy chief state's attorneys and a state's attorney for each judicial district and may fill any prosecutor vacancy at the request of the Chief State's Attorney or State's Attorneys.

Financial Summary (Net of Reimbursements)	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Other Expenses	595	950	1,200	700	0	1,200	700	0
TOTAL-General Fund	595	950	1,200	700	0	1,200	700	0
Enhance Operation of DCJ								

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object	2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Other Expenses-Commodities</i>						
Agriculture, Horticulture, Dairy & Food	594	950	1,200	0	1,200	0
Office Supplies	1	0	0	0	0	0
TOTAL-Other Expenses Gross	595	950	1,200	0	1,200	0
Less Reimbursements						
TOTAL-Other Expenses Net	595	950	1,200	0	1,200	0

Character & Major Object Summary	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Other Expenses Net	595	950	1,200	700	0	1,200	700	0
TOTAL-General Fund Net	595	950	1,200	700	0	1,200	700	0

STATE MARSHAL COMMISSION

AGENCY DESCRIPTION

The State Marshal Commission is an independent agency charged with overseeing the administration of the State Marshals.

The State Marshal Commission is recommended for consolidation with the Department of Administrative Services in the Governor's budget as part of her proposal to streamline state government.

RECOMMENDED SIGNIFICANT CHANGES

<i>Reductions to Current Services</i>	<u>2009-2010</u>	<u>2010-2011</u>
• Rollout FY2009 Rescissions <i>Continuation of reduction to Other Expenses.</i>	-8,069	-8,069
• OE/OCE General Reductions <i>25% reduction to cellular communication services send out of state travel; 20% to catering, food and beverage; 10% to in-state travel and subscriptions.</i>	-792	-792
• Transfer Equipment to CEPF	-2,300	-2,300
• Remove or Limit Inflation	-3,378	-5,412
• Streamline Agency Operations - Transfer Function to the Department of Administrative Services <i>Support functions will be the responsibility of the Department of Administrative Services.</i>	-445,059	-450,593

AGENCY PROGRAMS

Personnel Summary	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	4	0	0	4	4	0	4	0
Agency Programs by Total Funds	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
State Marshals	383,506	442,322	483,827	456,220	0	503,550	461,754	0
TOTAL Agency Programs - All Funds Gross	383,506	442,322	483,827	456,220	0	503,550	461,754	0
Less Turnover								
TOTAL Agency Programs - All Funds Net	383,506	442,322	483,827	456,220	0	503,550	461,754	0
<u>Summary of Funding</u>								
General Fund Net	383,506	442,322	483,827	456,220	0	503,550	461,754	0
TOTAL Agency Programs - All Funds Net	383,506	442,322	483,827	456,220	0	503,550	461,754	0

STATE MARSHAL COMMISSION

Statutory Reference

PA 00-99; Sections 8, 150-152

Statement of Need or Program Objective

To oversee the administration of the State Marshals providing legal execution and service of process throughout the State.

Program Description

The State Marshal Commission consists of eight voting members. One member of the Commission is appointed by

each the Supreme Court Chief Justice (whose appointee must be a judge), the House Speaker, the Senate president pro tempore, the House and Senate majority and minority leaders, and the Governor (who appoints the chairperson).

The chairperson serves a three-year term and no more than four members (excluding the chairperson) can belong to the same political party. At least three of the seven non-judicial members (excluding the chairperson) must not be members of any state bar. No member can be a State Marshal, but two State Marshals from the State Marshal Advisory Board serve as non-voting members.

The Commission sets professional standards, training requirements and minimum fees for execution and service of process. It must also review and audit the records and accounts of State Marshals.

The Commission also adopts rules for conduct of the Commission's internal affairs and for the application and investigation requirements for filling State Marshal vacancies and conducts hearings for the removal of State Marshals.

Personnel Summary	As of 06/30/2008		2008-2009	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	4	0	0	4	4	0	4	0
Financial Summary								
	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	288,844	304,017	316,710	301,287	0	334,302	306,821	0
Other Expenses	94,662	138,305	164,717	152,533	0	166,848	152,533	0
<u>Capital Outlay</u>								
Equipment	0	0	2,400	2,400	0	2,400	2,400	0
TOTAL-General Fund	383,506	442,322	483,827	456,220	0	503,550	461,754	0

State Marshals**AGENCY FINANCIAL SUMMARY - GENERAL FUND**

Current Expenses by Minor Object	2007-2008	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	280,519	296,553	298,870	0	316,223	0
Other Positions	1,923	3,387	13,460	0	13,460	0
Other	6,110	6,515	3,980	0	4,219	0
Overtime	292	317	400	0	400	0
TOTAL-Personal Services Gross	288,844	306,772	316,710	0	334,302	0
Less Reimbursements	0	-2,755	0	0	0	0
Less Turnover						
TOTAL-Personal Services Net	288,844	304,017	316,710	0	334,302	0
<u>Other Expenses-Contractual Services</u>						
Dues and Subscriptions	1,714	2,922	2,984	0	3,026	0
Rentals, Storage and Leasing	5,191	8,850	9,036	0	9,163	0
Telecommunication Services	3,032	5,168	5,277	0	5,351	0
General Repairs	465	793	810	0	821	0
Motor Vehicle Expenses	22,080	14,572	38,431	0	38,969	0
Fees for Non-Professional Services	3,790	6,462	6,596	0	6,687	0
DP Services, Rentals and Maintenance	456	777	793	0	804	0
Postage	5,163	8,802	8,987	0	9,113	0
Travel	1,244	2,119	2,166	0	2,196	0
Other Contractual Services	70	119	121	0	123	0
Advertising	1,617	2,757	2,815	0	2,854	0
Printing & Binding	5,475	9,333	9,529	0	9,662	0
General Government		219				
						State Marshal Commission

Budget Summary

Other Expenses-Commodities

Agriculture, Horticulture, Dairy & Food	91	155	158	0	161	0
Clothing and Personal Supplies	11,049	18,836	19,232	0	19,501	0
Maintenance and Motor Vehicle Supplies	6,338	10,804	11,020	0	11,024	0
Office Supplies	13,787	23,504	23,997	0	24,333	0
Refunds of Expenditures Not Otherwise Classified	5,937	10,121	10,334	0	10,479	0

Other Expenses-Sundry

Sundry - Other Items	7,163	12,211	12,431	0	12,581	0
TOTAL-Other Expenses Gross	94,662	138,305	164,717	0	166,848	0
Less Reimbursements						
TOTAL-Other Expenses Net	94,662	138,305	164,717	0	166,848	0

Character & Major Object Summary

	2007-2008	2008-2009	2009-2010	Current	2009-2010	2010-2011	Current	2010-2011
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	288,844	304,017	316,710	301,287	0	334,302	306,821	0
Other Expenses Net	94,662	138,305	164,717	152,533	0	166,848	152,533	0
Capital Outlay	0	0	2,400	2,400	0	2,400	2,400	0
TOTAL-General Fund Net	383,506	442,322	483,827	456,220	0	503,550	461,754	0