



GOVERNOR'S OFFICE

AGENCY PURPOSE

The Governor is the elected constitutional officer who is responsible for:

- The executive direction and supervision of the general administration of the state.
- The appointment of commissioners of departments, members of boards and commissions, trustees and other officials.

<http://www.ct.gov/governorrell>

- Presentation of budget recommendations to the General Assembly.
- The approval or veto of legislation passed by the General Assembly.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Remove or Limit Inflation
- Rollout FY2009 Rescissions
- Eliminate Vacant Positions
- OE/OCE General Reductions
- Additional Reduction to Other Expenses

	<u>2009-2010</u>	<u>2010-2011</u>
	-9,801	-16,680
	-9,673	-9,673
	-66,000	-66,000
	-5,979	-5,979
	-95,000	-95,000

AGENCY SUMMARY

Personnel Summary

Permanent Full-Time Positions

	2008-2009 Total Authorized	2009-2010 Change From 2008-2009	2009-2010 Total Recommended	2010-2011 Change From 2009-2010	2010-2011 Total Recommended
General Fund	37	-2	35	0	35

Financial Summary

	2008-2009 Estimated	2009-2010 Current Services	2009-2010 Total Recommended	2010-2011 Current Services	2010-2011 Total Recommended
Personal Services	2,805,199	2,846,000	2,780,000	2,846,000	2,780,000
Other Expenses	470,749	480,417	369,770	480,417	369,770
<u>Capital Outlay</u>					
Equipment	0	100	95	100	95
<u>Pmts to Other than Local Govts</u>					
New England Governors' Conference	90,500	94,967	94,967	100,692	100,692
National Governors' Association	112,600	115,300	115,300	119,900	119,900
TOTAL - Pmts to Other than Local Govts	203,100	210,267	210,267	220,592	220,592
TOTAL - General Fund	3,479,048	3,536,784	3,360,132	3,547,109	3,370,457



SECRETARY OF THE STATE

AGENCY PURPOSE

<http://www.sots.ct.gov/>

The Secretary of the State seeks to educate and inform the public of services, programs and responsibilities of the office, and advocates issues, policies and programs, which promote a healthy democracy and an active, engaged citizenry, with emphasis on encouraging young people to participate in civic life.

The office administers, interprets, and implements all state and federal laws pertaining to elections, primaries, nominating procedures and the acquisition and exercise of voting rights. Personal disclosure statements from state and candidate committees are filed with the office and are available for the public to view electronically on the Secretary of the State's web site. The office also encourages and monitors the implementation of the National Voter Registration Act and other voter registration efforts in Connecticut. The Secretary of the State is the official keeper of all acts, orders, grants, and resolutions of the General Assembly; publishes the *State Register and Manual*; receives and maintains legislation, regulations and other executive branch records as required by statute; and administers Connecticut's notary public program.

Commercial Recording Division

The Commercial Recording Division maintains and makes information available to the public regarding corporations, limited partnerships, limited liability companies, statutory trusts, limited liability partnerships, Uniform Commercial Code and trademarks by reviewing, recording, copying, computerizing, and certifying documents for and of public record. The division also administers the Address Confidentiality Program. This program provides victims of domestic violence, sexual assault, stalking, and risk of injury to a minor with a substitute address to use with state and local government agencies. This prevents public access to a participant's actual address on government records.

E-Government

The Secretary of the State is responsible for a number of E-Government initiatives and maintains the Centralized Voter Registration System, Connecticut Online Commercial Recording Database (CONCORD), Connecticut Finance Information System (CFIS), and the agency web site.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2009-2010</u>	<u>2010-2011</u>
• Remove or Limit Inflation	-21,160	-34,533
• Rollout FY2009 Rescissions	-40,806	-40,806
• Eliminate Vacant Positions	-150,000	-150,000
• OE/OCE General Reductions	-5,834	-5,834
• Transfer Equipment to CEPF	-30,000	-30,000

AGENCY SUMMARY

Personnel Summary

	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Total	Change From	Total	Change From	Total
	Authorized	2008-2009	Recommended	2009-2010	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	30	-2	28	0	28

Financial Summary

	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	1,663,467	1,800,000	1,650,000	1,830,000	1,680,000
Other Expenses	1,573,853	890,524	843,884	890,524	843,884
<u>Capital Outlay</u>					
Equipment	0	30,100	100	30,100	100
TOTAL - General Fund	3,237,320	2,720,624	2,493,984	2,750,624	2,523,984
<u>Additional Funds Available</u>					
Private Funds	9,529,761	11,812,997	11,812,997	11,652,329	11,652,329
TOTAL - All Funds Net	12,767,081	14,533,621	14,306,981	14,402,953	14,176,313



LEUTENANT GOVERNOR'S OFFICE

AGENCY PURPOSE

The Lieutenant Governor is the elected constitutional officer who is charged with:

- Succeeding the Governor in the event of disability or the office becomes vacant during the term.

<http://www.state.ct.us/otlg/>

- Operating the state government during the Governor's absence from the state.
- Presiding over the State Senate and casting the tie-breaking vote when the Senate is equally divided.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Remove or Limit Inflation
- OE/OCE General Reductions
- Reduce Personal Services
- Reduce Other Expenses

	<u>2009-2010</u>	<u>2010-2011</u>
	-2,142	-3,523
	-4,151	-4,151
	-50,000	-50,000
	-10,637	-10,637

AGENCY SUMMARY

Personnel Summary

Permanent Full-Time Positions

	2008-2009 Total Authorized	2009-2010 Change From 2008-2009	2009-2010 Total Recommended	2010-2011 Change From 2009-2010	2010-2011 Total Recommended
General Fund	5	0	5	0	5

Financial Summary

	2008-2009 Estimated	2009-2010 Current Services	2009-2010 Total Recommended	2010-2011 Current Services	2010-2011 Total Recommended
Personal Services	483,563	498,000	448,000	498,000	448,000
Other Expenses	100,199	104,552	89,764	104,552	89,764
<u>Capital Outlay</u>					
Equipment	0	100	100	100	100
TOTAL - General Fund	583,762	602,652	537,864	602,652	537,864



STATE ELECTIONS ENFORCEMENT COMMISSION

AGENCY PURPOSE

[HTTP://WWW.CT.GOV/SEEC/](http://www.ct.gov/seec/)

The Elections Enforcement Commission seeks to improve public confidence in the electoral process and in those officials who seek or hold a public office. The Commission seeks to achieve this objective by focusing on the following core functions:

- Monitor compliance with elections and campaign finance laws.
- Conduct investigations of complaints concerning possible violations of the state laws governing elections, primaries, and referenda.

- Audit financial disclosure statements filed by state, district and municipal candidates for public office; political parties; and political action committees.
- Render formal and informal advisory opinions and rulings.
- Conduct educational seminars, and publish explanatory guides to enhance compliance with the campaign finance laws.

The Governor's Recommended Budget includes repeal of CGS Section 9-7c which prohibits the Governor from proposing budget modifications and also transfers payment of operating expenses from the General Fund to the Citizens' Election Fund.

RECENT HIGHLIGHTS

- Implemented new electronic Campaign Finance Reporting System for candidates, PACS, and Political parties. 275 committees filed their July, 2008 reports electronically.
- Completed first year of full implementation of Campaign Finance filing repository function. Compliance with timeliness requirements for reporting increased by 250%.
- Created new campaign forms for candidates participating in the Citizens' Election Program.
- Achieved 100% candidate participation rate in Citizens' Election Program in connection with 3 special elections for General Assembly.
- Estimated 75% participation rate in Citizens' Election Program in connection with 2008 primaries and election for Connecticut General Assembly.
- Issued \$908,837 in campaign grants to candidates from Citizens' Election Fund in FY2008.
- Prepared numerous publications and other educational materials explaining comprehensive revisions to campaign finance laws.
- Conducted workshops and training sessions to facilitate understanding of new campaign finance laws, and e-filing system.
- Promulgated regulations for 2008 elections for Citizens' Election Program.
- Issued financial report concerning status of Citizens' Election Fund.
- Issued numerous written advices concerning application of comprehensive campaign finance reform legislation.
- Completed agency reorganization to ensure successful implementation of comprehensive campaign finance reform legislation.
- Collected approximately \$68,000 in fees and forfeitures for violations of election laws.
- Provided significant legal support to defense of laws being challenged in Federal Court.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Repeal Section 9-7c Which Prohibits Budget Modifications
- Fund Agency Expenditures from the Citizens' Election Fund

<u>2009-2010</u>	<u>2010-2011</u>
-194,628	-108,925
-1,816,046	-1,850,356

AGENCY SUMMARY**Personnel Summary**

	2008-2009 Total Authorized	2009-2010 Change From 2008-2009	2009-2010 Total Recommended	2010-2011 Change From 2009-2010	2010-2011 Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	17	0	17	0	17
Private Funds	36	3	39	0	39

Financial Summary

	2008-2009 Estimated	2009-2010 Current Services	2009-2010 Total Recommended	2010-2011 Current Services	2010-2011 Total Recommended
Personal Services	1,551,174	1,671,631	1,671,631	1,632,885	1,632,885
Other Expenses	278,884	294,058	294,058	301,396	301,396
<u>Capital Outlay</u>					
Equipment	15,400	24,985	24,985	0	0
<u>Other Current Expenses</u>					
Commission's Per Diems	17,000	20,000	20,000	25,000	25,000
TOTAL - General Fund	1,862,458	2,010,674	2,010,674	1,959,281	1,959,281
<u>Additional Funds Available</u>					
Private Funds	10,786,533	31,787,880	31,787,880	13,013,830	13,013,830
TOTAL - All Funds Net	12,648,991	33,798,554	33,798,554	14,973,111	14,973,111



OFFICE OF STATE ETHICS

AGENCY PURPOSE

<http://www.ct.gov/ethics>

The Office of State Ethics (OSE) is an independent oversight agency for the State of Connecticut. The OSE administers Connecticut General Statutes, Chapter 10, Part I for Public Officials and Part II for Lobbyists, and has limited jurisdiction over Part IV, Ethical Considerations Concerning Bidding and State Contracting. The mission of the OSE is to ensure honesty, integrity and accountability in state government through education, interpretation and enforcement of the State of Connecticut Codes of Ethics.

providing education to state employees, public officials, lobbyists and legislators on the Codes of Ethics. The Citizen's Ethics Advisory Board (CEAB) is responsible for adjudicating cases brought under the Codes of Ethics as well as issuing advisory opinions - interpretations of the Codes as they apply to specific situations. All investigation and prosecution matters are the responsibility of the Ethics Enforcement Officer. The OSE is also statutorily obligated to receive process and maintain records of all lobbyist filings along with public official and state employee Statements of Financial Interests.

The OSE has four main functions: education, interpretation, enforcement and records retention. The OSE is charged with

The Governor's Recommended Budget includes repeal of CGS Section 1-81a which prohibits the Governor from proposing budget modifications.

RECENT HIGHLIGHTS

- Taped DVD training on Part I of the Code of Ethics, which is offered to state agencies free of charge and is also available via Web-streaming video.
- Produced new at-a-glance fact sheets and plain-language guides to the Codes for all regulated persons/entities.
- Reviewed and reorganized its web site.
- Issued 14 Advisory Opinions from July 2007-July 2008, none of which were appealed; approximately 1,000 written staff opinions in the past fiscal year; and provided legal advice via the telephone on a daily basis, with an average of 26 calls answered per day.
- Issued or received 31 complaints with corresponding investigations; held seven contested hearings and collected over \$120,000 in penalties in FY2008.
- Completed and approved 61 audits of registered client and communicator lobbyists.
- Handled over 2,800 public official Statements of Financial Interests in paper and electronic form with 100% compliance with mandated filing.
- Responded to 39 requests for information under the Freedom of Information Act.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Repeal Section 1-81a Which Prohibits Budget Modifications

<u>2009-2010</u>	<u>2010-2011</u>
-125,835	-177,862

AGENCY SUMMARY

Personnel Summary

Permanent Full-Time Positions

	2008-2009 Total Authorized	2009-2010 Change From 2008-2009	2009-2010 Total Recommended	2010-2011 Change From 2009-2010	2010-2011 Total Recommended
General Fund	21	0	21	1	22

Financial Summary	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	1,411,773	1,669,526	1,669,526	1,811,700	1,811,700
Other Expenses	202,789	239,017	239,017	245,796	245,796
<u>Capital Outlay</u>					
Equipment	2,500	16,500	16,500	15,000	15,000
<u>Other Current Expenses</u>					
Judge Trial Referee Fees	25,000	25,000	25,000	25,000	25,000
Reserve for Attorney Fees	50,000	50,000	50,000	50,000	50,000
Information Technology Initiatives	400,000	50,000	50,000	50,000	50,000
TOTAL - Other Current Expenses	475,000	125,000	125,000	125,000	125,000
TOTAL - General Fund	2,092,062	2,050,043	2,050,043	2,197,496	2,197,496



FREEDOM OF INFORMATION COMMISSION

AGENCY PURPOSE

The Freedom of Information (FOI) Commission is an independent, government oversight agency charged with administering and enforcing Connecticut's FOI Act and thereby ensuring that the people of the state have full access to the records and meetings of all public agencies to the extent provided by law. In furtherance of this purpose, the commission focuses on the following core functions:

- Settle complaints informally through an ombudsman or mediation process.
- Decide complaints by a speedy, inexpensive process designed for lay people.

- Represent the commission, by staff counsel, in all court appeals from its decisions and in all other litigation affecting the commission.
- Render declaratory rulings that apply the FOI Act to situations of general concern.
- Conduct programs, publish literature, answer written and oral inquiries and perform other educational functions to ensure that the requirements of the law will be known and understood by everyone.

The Governor's Recommended Budget includes repeal of CGS Section 1-205a which prohibits the Governor from proposing budget modifications.

RECENT HIGHLIGHTS

- Received 504 formal complaints through July 31, 2008.
- Resumed the Liaison Training Program. Through this program, more than 175 individuals who are "on the front line" for FOI issues in their towns or agencies have received extensive training and are certified as FOI liaisons.
- Continued work, with the support of the Connecticut Foundation for Open Government, on a high school civics curriculum designed to incorporate Freedom of Information into Connecticut's public schools' civics

classes. The curriculum was written and used as part of a pilot program for four high school classes in Regional School District 13. It is being refined and will be offered to other districts around the state in the near future.

- Continued, with the support of the Connecticut Foundation for Open Government, to craft other facets of its community outreach program aimed at the state's minority communities. A pilot program targeting Spanish-speaking citizens is being prepared with a 2009 implementation.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Repeal Section 1-205a Which Prohibits Budget Modifications

	<u>2009-2010</u>	<u>2010-2011</u>
	-216,958	-256,729

AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Total Authorized	Change From 2008-2009	Total Recommended	Change From 2009-2010	Total Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	22	1	23	0	23
<u><i>Other Positions Equated to Fulltime</i></u>					
General Fund	1	-1	0	0	0
<i>Financial Summary</i>	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	1,861,312	2,048,200	2,048,200	2,121,870	2,121,870
Other Expenses	200,000	269,918	269,918	278,445	278,445
<u><i>Capital Outlay</i></u>					
Equipment	30,000	44,800	44,800	48,500	48,500
TOTAL - General Fund	2,091,312	2,362,918	2,362,918	2,448,815	2,448,815



JUDICIAL SELECTION COMMISSION

AGENCY PURPOSE

- To seek, evaluate, investigate and recommend qualified candidates for consideration by the Governor for nomination as judges of the Superior Court, Appellate Court and Supreme Court.
- To interview judges seeking reappointment and/or elevation and evaluate the respective judge on legal ability, competence, integrity, character, temperament, and any other relevant factor.
- To prepare a confidential listing of qualified candidates for nomination as a judge and incumbent judges seeking appointment to a different court for the Governor.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2009-2010</u>	<u>2010-2011</u>
• Rollout FY2009 Rescissions <i>Continuation of reduction to Other Expenses.</i>	-1,085	-1,085
• OE/OCE General Reductions <i>25% reduction to cellular communication services; 20% to food and beverage; 10% to in-state travel and subscriptions.</i>	-2,231	-2,231
• Transfer Equipment to CEPF	-1,100	0
• Remove or Limit Inflation	-445	-822

AGENCY SUMMARY

Personnel Summary

Permanent Full-Time Positions

	2008-2009 Total Authorized	2009-2010 Change From 2008-2009	2009-2010 Total Recommended	2010-2011 Change From 2009-2010	2010-2011 Total Recommended
General Fund	1	0	1	0	1

Financial Summary

	2008-2009 Estimated	2009-2010 Current Services	2009-2010 Total Recommended	2010-2011 Current Services	2010-2011 Total Recommended
Personal Services	85,071	72,072	72,072	72,072	72,072
Other Expenses	20,606	21,691	18,375	21,691	18,375
<u>Capital Outlay</u>					
Equipment	95	1,200	100	100	100
TOTAL - General Fund	105,772	94,963	90,547	93,863	90,547



STATE PROPERTIES REVIEW BOARD

AGENCY PURPOSE

Review and approve State transactions involving:

- The acquisition and development of land and buildings for State use.
- Leasing of private buildings for State agencies.
- Sale or lease of surplus State buildings and lands.
- Acquisition of farms or development rights.
- Assignment of State agencies to State buildings.
- The selection of and contracts for DPW project consultants.
- Lease and/or purchase of group homes for DDS.
- Lease of warehouse/distribution space at the CT Regional Market.
- Leases, operating or concession agreements at State airports and piers.
- Acquisition of highway and railroad rights-of-way and related facilities.
- Other transactions/hearings mandated by the Legislature.

The State Properties Review Board is recommended for consolidation with the Department of Administrative Services in the Governor's budget as part of her proposal to streamline state government.

RECENT HIGHLIGHTS

<i>Five Years in Review</i>					
	2003-04	2004- 05	2005-06	2006-07	2007-08
Number of Transactions	449	399	364	436	351
Average Calendar Days to Process	10.3	9.73	11.6	18.75	13.18
Savings to the State	\$797,391	\$1,236,715	\$914,362	\$909,526	\$794,968

The Board processed 351 transactions in 13.18 calendar days, including weekends and holidays during the fiscal year ending 2008 as opposed to 18.75 calendar days in the preceding fiscal year.

Documented savings were \$794,968 which represents 1.73 times the Board's annual operating expenditures. Not tabulated are future savings that cannot be precisely quantified on the date of the Board's action.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Remove or Limit Inflation
- Streamline Agency Operations - Eliminate One Clerical Position

2009-2010 2010-2011

-3,987 -6,718
-49,812 -49,812

Reallocations or Transfers

- Streamline Agency Operations - Transfer Function of the State Properties Review Board to DAS

-450,129 -454,161

AGENCY SUMMARY

Personnel Summary

	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Total	Change From	Total	Change From	Total
	Authorized	2008-2009	Recommended	2009-2010	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	4	-4	0	0	0

<i>Financial Summary</i>	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	326,148	308,229	0	314,060	0
Other Expenses	176,418	191,682	0	189,883	0
<u><i>Capital Outlay</i></u>					
Equipment	95	100	0	100	0
TOTAL - General Fund	502,661	500,011	0	504,043	0
<u><i>Additional Funds Available</i></u>					
Bond Funds	3,210	0	0	0	0
TOTAL - All Funds Net	505,871	500,011	0	504,043	0



CONTRACTING STANDARDS BOARD

AGENCY PURPOSE

The Contracting Standards Board is proposed to be a fourteen member board appointed to oversee all state contracts. Some of the Board's duties will be to:

- Conduct a comprehensive review of existing state contracting and procurement laws, regulations and practices.
- Audit state contracting agency's triennially to ensure they are in compliance with procurement policies.
- Issue recommendations regarding the disqualification of contractors from bidding or proposing on state contracts.
- Review all new proposed privatization contracts to ensure the state saves money without jeopardizing the quality of services.

AGENCY SUMMARY

Personnel Summary

	2008-2009 Total Authorized	2009-2010 Change From 2008-2009	2009-2010 Total Recommended	2010-2011 Change From 2009-2010	2010-2011 Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	10	0	10	0	10

Financial Summary

	2008-2009 Estimated	2009-2010 Current Services	2009-2010 Total Recommended	2010-2011 Current Services	2010-2011 Total Recommended
Personal Services	0	708,123	708,123	749,736	749,736
Other Expenses	0	600,000	600,000	350,000	350,000
<u>Capital Outlay</u>					
Equipment	0	100	100	100	100
<u>Other Current Expenses</u>					
Contracting Standards Board	665,000	0	0	0	0
TOTAL - General Fund	665,000	1,308,223	1,308,223	1,099,836	1,099,836



STATE TREASURER

AGENCY PURPOSE

<http://www.state.ct.us/ott>

The State Treasurer, elected for a term of four years as prescribed by the State Constitution, is responsible for the safe custody of the property and money of the State and makes disbursements on warrants drawn and presented by the State Comptroller.

The Treasurer invests the State's General Fund as well as the assets of the State's pensions, trusts and other funds. The Treasurer administers the issuance of State bonds and the payment of principal and interest thereon. The Treasurer also manages the process of the borrowing of those funds, the

repayment of which is a limited or contingent liability of the State.

The Treasurer also serves as the custodian for all unclaimed property remitted to the State. The Treasurer safeguards these assets, publicizes the names of the rightful owners and returns those assets to the owners as they come forward.

The Second Injury Fund, a workers' compensation fund financed by assessments on businesses, is also under the jurisdiction of the Treasurer.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2009-2010</u>	<u>2010-2011</u>
• Remove or Limit Inflation	-7,064	-11,865
• Rollout FY2009 Rescissions	-8,630	-8,630
• Eliminate Vacant Positions	-400,000	-400,000
• OE/OCE General Reductions	-12,200	-12,200
• Transfer Equipment to CEPF	-13,100	-13,100

AGENCY SUMMARY

Personnel Summary

	2008-2009 Total Authorized	2009-2010 Change From 2008-2009	2009-2010 Total Recommended	2010-2011 Change From 2009-2010	2010-2011 Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	53	-6	47	0	47
Unclaimed Property Fund	34	0	34	0	34
Bond Funds	3	0	3	0	3
Second Injury & Compensation Assurance	62	0	62	0	62
Investment Trust Fund	29	0	29	0	29
Private Funds	7	0	7	0	7
<u>Other Positions Equated to Fulltime</u>					
General Fund	3	0	3	0	3

Financial Summary

	2008-2009 Estimated	2009-2010 Current Services	2009-2010 Total Recommended	2010-2011 Current Services	2010-2011 Total Recommended
Personal Services	4,280,483	4,505,709	4,105,709	4,560,240	4,160,240
Other Expenses	330,168	338,798	317,968	338,798	317,968
<u>Capital Outlay</u>					
Equipment	0	13,200	100	13,200	100
TOTAL - General Fund	4,610,651	4,857,707	4,423,777	4,912,238	4,478,308
<u>Additional Funds Available</u>					
Unclaimed Property Fund	9,326,098	9,605,508	9,605,508	9,893,298	9,893,298
Special Funds, Non-Appropriated	1,462,056	962,056	962,056	962,056	962,056
Bond Funds	48,482,748	60,008,775	60,008,775	74,485,045	74,485,045
2nd Injury & Comp Assurance	8,907,855	9,173,590	9,173,590	9,447,295	9,447,295
Investment Trust Fund	69,914,548	72,011,237	72,011,237	74,170,826	74,170,826
Private Funds	165,083,483	88,203,044	88,203,044	80,435,529	80,435,529
TOTAL - All Funds Net	307,787,439	244,821,917	244,387,987	254,306,287	253,872,357



STATE COMPTROLLER

AGENCY PURPOSE

<http://www.osc.state.ct.us/>

The Office of State Comptroller (OSC) has a wide variety of duties and projects which originate from both its constitutional charges and directives from the legislature: such as:

- Administering state payroll, retirement, employee benefits, health insurance and accounting systems.
- Analyzing state expenditures and receipts.

- Preparing financial statements and reports as required by statute.
- Monitoring and assisting state agencies to achieve compliance with accounting system procedures and to maximize accountability, standardization and cost effectiveness.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Remove or Limit Inflation
- Rollout FY2009 Rescissions
- Eliminate Vacant Positions

2009-2010 **2010-2011**

-30,789 -51,695

-328,228 -328,228

0 0

Twelve unfunded vacant positions are eliminated.

- OE/OCE General Reductions
- Transfer Equipment to CEPF

-7,399 -7,399

-130,000 -250,000

Reallocations or Transfers

- Core-CT Consolidation

1,831,000 1,837,000

The Department of Information Technology is sending funding and twenty-six positions to the State Comptroller and the State Comptroller is sending funding and two positions to the Department of Administrative Services.

AGENCY SUMMARY

Personnel Summary

	2008-2009 Total Authorized	2009-2010 Change From 2008-2009	2009-2010 Total Recommended	2010-2011 Change From 2009-2010	2010-2011 Total Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	268	12	280	0	280

Financial Summary

	2008-2009 Estimated	2009-2010 Current Services	2009-2010 Total Recommended	2010-2011 Current Services	2010-2011 Total Recommended
Personal Services	20,403,398	21,050,000	22,696,000	21,550,000	23,202,000
Other Expenses	5,740,275	5,774,913	5,624,286	5,989,975	5,839,348
<u><i>Capital Outlay</i></u>					
Equipment	0	130,100	100	250,100	100
<u><i>Pmts to Other than Local Govts</i></u>					
Governmental Accounting Standards Bd	18,592	19,570	19,570	19,570	19,570
TOTAL - General Fund	26,162,265	26,974,583	28,339,956	27,809,645	29,061,018



DEPARTMENT OF REVENUE SERVICES

AGENCY PURPOSE

<http://www.drs.state.ct.us>

- Administers the tax laws of the State of Connecticut.
- Collects the tax revenues in the most cost effective manner.
- Strives for the highest level of voluntary compliance through accurate, efficient and courteous customer service.
- Executes its duties in a manner which instills public confidence in the integrity and fairness of the State's tax programs

RECENT HIGHLIGHTS

The Department of Revenue Services (DRS) managed tax revenue deposits of \$14 billion and produced, distributed, and processed more than 4.3 million tax returns.

Collection and Enforcement Division

The DRS Collection and Enforcement Division (C&E) collected \$113,626,358 in overdue tax revenue, for all tax types. This represents an 8.7 percent increase over last year's collection rate. Included in the total is \$10,520,618 from delinquent returns filed as a result of correspondence from the agency.

Offset Program

The offset program between DRS and the Internal Revenue Service continued for a second year. Taxpayers with past due Connecticut income tax debts were mailed a letter warning that federal tax refunds would be offset if bills were not resolved. To date, more than \$15.6 million has been either remitted directly by the taxpayer or actually offset by the federal government on our behalf resolving 39,606 outstanding accounts.

Electronic Filing

DRS experienced a 9.34 percent increase in electronically filed income tax returns along with a 4 percent increase in business tax returns filed through the Taxpayer Service Center (TSC) for the 2008 filing season. Conversely, paper tax return filing decreased by 19 percent. Of the 1.7 million personal income tax returns received by DRS, 65 percent were filed electronically. A total of 453,000 estimated income tax payments were filed on paper returns, while 8,800 were received electronically. Electronic Funds Transfers accounted for \$ 5.3 billion of total revenue received.

Tax practitioners who prepared 50 or more income tax returns during 2007 were required to submit all income tax returns electronically during the 2008 filing season.

Appeals Process

The Appeals process closed 1,324 cases with a resolved dollar value in excess of \$227 million. Of the Appellate Division total determinations, 97 percent became final and were not appealed.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Remove or Limit Inflation
- Rollout FY2009 Rescissions
- OE/OCE General Reductions
- Transfer Equipment to CEPF
- Reduce Operational Expenditures in the Agency Management Services Program
- Reduce the Information Services Program Staffing by 2 positions

<u>2009-2010</u>	<u>2010-2011</u>
-232,872	-391,525
-221,288	-221,288
-184,940	-184,940
-359,206	-364,478
-200,000	-200,000
-178,950	-178,950

AGENCY SUMMARY

Personnel Summary

Permanent Full-Time Positions

	2008-2009 Total Authorized	2009-2010 Change From 2008-2009	2009-2010 Total Recommended	2010-2011 Change From 2009-2010	2010-2011 Total Recommended
General Fund	768	-2	766	0	766

Budget Summary

Financial Summary	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	61,589,326	63,144,022	62,765,072	65,484,333	65,105,383
Other Expenses	10,982,030	11,391,687	11,006,747	11,391,687	11,006,747
<u>Capital Outlay</u>					
Equipment	95	359,306	100	364,578	100
<u>Other Current Expenses</u>					
Collection and Litigation Contingency	204,479	225,767	204,479	225,767	204,479
TOTAL - General Fund	72,775,930	75,120,782	73,976,398	77,466,365	76,316,709
<u>Additional Funds Available</u>					
Federal and Other Activities	19,879	0	0	0	0
Bond Funds	2,958,895	0	0	0	0
TOTAL - All Funds Net	75,754,704	75,120,782	73,976,398	77,466,365	76,316,709



DIVISION OF SPECIAL REVENUE

AGENCY PURPOSE

The Division of Special Revenue is the regulatory agency charged with ensuring the highest degree of integrity in the operation of all legalized gambling activity within the State by ensuring compliance with the gaming laws of the State of Connecticut and monitoring compliance with the Tribal-State Agreements governing gambling at Tribal casinos. In furtherance of this purpose, the Division focuses on the following core functions:

- Ensuring compliance with statutes, regulations, and procedures, to assure the public that all legalized gambling is conducted in a fair and honest manner.
- Licensing, registering or permitting only suitable individuals, organizations and vendors of equipment and services to be employed by, or contracted with gaming

<http://www.dosr.state.ct.us>

licensees or permittees within the State, or to sell Lottery tickets.

- Providing due process and an opportunity to be heard to those individuals or entities denied initial licensure or whose current license is in the process of being revoked, pursuant to the Uniform Administrative Procedures Act.
- Testing wagering systems and related equipment to insure the integrity of games.
- Conducting independent audits to ensure operations are compliant with statutory and regulatory requirements.
- Collecting pari-mutuel taxes and regulation fees, and paying grants to municipalities.

The Gaming Policy Board is recommended for elimination through consolidation with the Division of Special Revenue in the Governor's budget as part of her proposal to streamline state government.

RECENT HIGHLIGHTS

- Entered into an Escrow Agreement with the Mohegan Tribe of Indians to accrue disputed slot revenues.
- Participated in the statewide laptop encryption project.
- Facilitated the passage of new statutes during the 2008 legislative session including An Act Concerning Enforcement Authority Of The Division Of Special Revenue and An Act Creating An Exemption From Permit Requirements For Parent Teacher Association Conducted Bingo.
- Finalized the review of all documents relating to the new Foxwoods/MGM marketing agreement.
- Issued a Request for Proposal (RFP) for a comprehensive Study on the Effects of Legalized Gambling on the Citizens of the State of Connecticut. Analyzed the results and awarded the RFP initiating the project.
- Assisted a delegation from the State of Massachusetts in discussing initiation of casinos within their State.
- Received delegations from New Jersey and the IRS to discuss the improvement of on-going relationships and communications between agencies.
- Installed a new audio and video system in the Board Room to enhance the recordings in the hearing process, the Gaming Policy Board meetings, and as a tool for training sessions in the hearing process.
- Submitted new lottery and Charitable Games regulations.
- Participated in various statewide initiatives for energy reduction efforts, mileage reduction and gas-saving.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Remove or Limit Inflation
- Rollout FY2009 Rescissions
- OE/OCE General Reductions
- Eliminate or Defer New Leases
- Transfer Equipment to CEPF

Reallocations or Transfers

- Streamline Agency Operations - Transfer Gaming Policy Board Function to DSR

	<u>2009-2010</u>	<u>2010-2011</u>
	-32,528	-49,295
	-5,827	-5,827
	-19,501	-19,501
	-44,844	-44,844
	-170,262	-288,007
	2,903	2,903

AGENCY SUMMARY**Personnel Summary**

	2008-2009 Total Authorized	2009-2010 Change From 2008-2009	2009-2010 Total Recommended	2010-2011 Change From 2009-2010	2010-2011 Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	119	0	119	0	119
Private Funds	29	0	29	0	29
<u>Other Positions Equated to Fulltime</u>					
General Fund	3	-3	0	0	0

Financial Summary

	2008-2009 Estimated	2009-2010 Current Services	2009-2010 Total Recommended	2010-2011 Current Services	2010-2011 Total Recommended
Personal Services	6,315,318	5,664,058	5,658,231	5,828,526	5,822,699
Other Expenses	1,488,413	1,206,634	1,142,289	1,208,790	1,144,445
<u>Capital Outlay</u>					
Equipment	0	170,362	100	288,107	100
<u>Other Current Expenses</u>					
Gaming Policy Board	0	0	2,903	0	2,903
TOTAL - General Fund	7,803,731	7,041,054	6,803,523	7,325,423	6,970,147
<u>Additional Funds Available</u>					
Bond Funds	4,198,340	3,774,661	3,774,661	3,769,717	3,769,717
Private Funds	3,541,472	3,943,799	3,943,799	3,943,799	3,943,799
TOTAL - All Funds Net	15,543,543	14,759,514	14,521,983	15,038,939	14,683,663



STATE INSURANCE & RISK MANAGEMENT BOARD

AGENCY PURPOSE

<http://www.irmb.state.ct.us/>

- Promote a coordinated insurance and risk management program within the State.
- Protect the assets of the State of Connecticut by developing and implementing risk management and loss prevention programs.
- Determine method by which the State shall insure/self-insure.
- Obtain broadest coverage at the most reasonable cost.
- Designate agent of record and select insurance companies.
- Utilize risk management methods such as exposure identification, loss control, risk transfer or risk assumption.

The State Insurance and Risk Management Board is recommended for consolidation with the Department of Administrative Services in the Governor's budget as part of her proposal to streamline state government.

RECENT HIGHLIGHTS

The Board worked with several State agencies with locations on the coast to help them improve inefficiencies in buildings in case of severe wind damage to minimize the cost of loss.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2009-2010</u>	<u>2010-2011</u>
• Remove or Limit Inflation	-478	-809
• OE/OCE General Reductions	-2,815	-2,815
• Streamline Agency Operations - Eliminate One Fiscal Administrative Officer Position	-71,355	-71,355
• Transfer Equipment to CEPF	-1,100	-1,100

Reallocations or Transfers

• Streamline Agency Operations - Transfer Insurance and Risk Management Board to DAS - General Fund	-14,170,932	-15,160,638
• Streamline Agency Operations - Transfer Insurance and Risk Management Board to DAS - Special Transportation Fund	-2,536,000	-2,717,500

AGENCY SUMMARY

Personnel Summary

	2008-2009 Total Authorized	2009-2010 Change From 2008-2009	2009-2010 Total Recommended	2010-2011 Change From 2009-2010	2010-2011 Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	3	-3	0	0	0

Financial Summary

	2008-2009 Estimated	2009-2010 Current Services	2009-2010 Total Recommended	2010-2011 Current Services	2010-2011 Total Recommended
Personal Services	281,653	294,130	0	303,004	0
Other Expenses	13,802,478	13,855,672	0	14,857,304	0
<u>Capital Outlay</u>					
Equipment	0	1,200	0	1,200	0
<u>Other Current Expenses</u>					
Surety Bonds State Officials & Emps	21,700	95,200	0	74,400	0
TOTAL - General Fund	14,105,831	14,246,202	0	15,235,908	0
Other Expenses	2,391,663	2,536,000	0	2,717,500	0
TOTAL - Special Transportation Fund	2,391,663	2,536,000	0	2,717,500	0
TOTAL - All Funds Net	16,497,494	16,782,202	0	17,953,408	0



GAMING POLICY BOARD

AGENCY PURPOSE

The Gaming Policy Board works in cooperation with the Division of Special Revenue to implement and administer the statutory and regulatory provisions governing legalized gambling.

Statutory responsibilities include:

- Advising the Governor on statewide plans and goals for legalized gambling.
- Assisting the Division of Special Revenue Executive Director in the development of regulations and other matters.

- Approving, suspending or revoking association and affiliate licenses.
- Approving the types of pari-mutuel wagering to be permitted.
- Approving Division contracts for goods and services.
- Approving regulations prior to adoption for all programs.
- Hearing all licensing appeals taken under subsection (j) of section 12-574 of the general statutes and appeals concerning the Connecticut Lottery Corporation as well as appeals from the two Tribal Nations as described in the Compact.

The Gaming Policy Board is recommended for elimination through consolidation with the Division of Special Revenue in the Governor's budget as part of her proposal to streamline state government.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Remove or Limit Inflation

<u>2009-2010</u>	<u>2010-2011</u>
-60	-102

Reallocations or Transfers

- Streamline Agency Operations - Transfer to the Division of Special Revenue

-2,903	-2,903
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AGENCY SUMMARY

Financial Summary

	2008-2009 Estimated	2009-2010 Current Services	2009-2010 Total Recommended	2010-2011 Current Services	2010-2011 Total Recommended
Other Expenses	2,903	2,903	0	2,903	0
TOTAL - General Fund	2,903	2,903	0	2,903	0



OFFICE OF POLICY AND MANAGEMENT

AGENCY PURPOSE

<http://www.ct.gov/opm>

- Support the Governor in development, implementation and analysis of various policies relating to assets management, criminal justice, energy, health and human services, and transportation.
- Prepare executive budget and execute biennial budgets as enacted into law.
- Provide analyses, evaluations and recommendations to the Governor regarding the financial implications of state policies and practices.
- Formulate policy pertaining to the relationship between the state and Connecticut's municipalities.
- Improve the effectiveness of state services by ensuring the efficient use of resources through research, policy development and interagency coordination.
- Deliver timely and effective labor relations and collective bargaining services on behalf of the state as an employer.
- Review and monitor the core financial management policies and practices in state agencies.
- Ensure the implementation of programs enacted by law.
- Review and approve agency legislative proposals.

RECENT HIGHLIGHTS

Budget and Financial Management

Key components of the Midterm Budget included sweeping reforms to the criminal justice system; increased public safety; continued commitment to those needing developmental disability or behavioral health services; and increased oversight of nursing homes for both quality of service and fiscal stability.

Financial Management Policies and Practices

Submitted a plan to the Human Services and Public Health Committees of the General Assembly for the competitive procurement of health and human services.

Created a website that gives accountants in Connecticut the ability to electronically renew their CPA License, Registered Certificate or Firm Permit. The website became available in the fall of 2007 and over 6,000 licenses were renewed on-line using a credit card for payment.

Assets Management Policy and Planning

Reviewed, analyzed and made recommendations for real estate transactions proposed by State agencies including sales, easements, and the leasing of State owned property to non-State entities. It is estimated that these transactions will bring approximately \$41.2 million to the State over the terms of the various agreements.

Criminal Justice Policy and Planning

Conducted statistical analysis and provided staff participation to support the Governor's Sentencing and Parole Review Task Force, which was convened to conduct a top-to-bottom assessment of all the procedures and processes involved in charging, sentencing and releasing those convicted of crimes in Connecticut.

Energy Policy and Planning

Conducted an on-line reverse auction for procuring electricity to serve State facilities. The process produced contracts that saved an estimated \$20 million in energy costs over the contract period ending June 2009. In addition, a substantial portion of the electricity purchased will be from green and renewable resources - more than 17% above the Renewable Portfolio Standard in each year of the contracts.

Health and Human Services Policy and Planning

A total of over 47,000 Partnership for Long-Term Care approved insurance policies have been purchased by Connecticut residents.

The Assisted Living Demonstration project helped over 625 residents in FY2008 in the communities of Glastonbury, Hartford, Middletown and Seymour.

New Municipal Programs

Launched three (3) new programs for municipalities. Twenty-four awards totaling \$8.6 million were granted to Regional Planning Organizations under the Regional Performance Incentive Program to encourage municipalities to provide services on a regional basis. \$3.6 million is available under the HomeCT program to encourage municipalities to develop housing zones. \$500,000 of bond funds per year is available to municipalities to update and review their Plans of Conservation and Development.

Collective Bargaining & Interest Arbitration

Negotiated collective bargaining agreement for NP-5 Protective Services Employees Coalition within the established grant of authority. Negotiated and entered into Interest Arbitration for the NP-4 Corrections employees.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services	<u>2009-2010</u>	<u>2010-2011</u>	
• Remove or Limit Inflation	-286,532	-481,739	
• Rollout FY2009 Rescissions	-785,161	-785,161	
• Eliminate Vacant Positions	-298,019	-298,019	
• OE/OCE General Reductions	-13,101	-13,101	
• Transfer Equipment to CEPF	-35,900	-54,900	
• Eliminate State Funding for Regional Planning Agencies	-1,000,000	-1,000,000	
<i>Approximately \$50 million of bond funds is proposed by the Governor for an incentive grant program for regional projects.</i>			
• Reduce Funding for Justice Assistance Grants	-89,043	-89,043	
• Reduce Funding for the Capital City Economic Development Authority (CCEDA)	-1,500,000	-1,500,000	
<i>Funding for 3 positions (\$300,000) and a portion (\$1,200,000) of the grant to the Greater Hartford Convention Visitors Bureau are eliminated.</i>			
• Eliminate State Funding for Leadership, Education, Athletics in Partnership (LEAP) Grant	-850,000	-850,000	
<i>Federal Funding is anticipated to be available for youth programs as part of the federal stimulus program.</i>			
• Reduce State Funding for the Neighborhood Youth Centers Grant	-101,450	-101,450	
• Eliminate Office of the Property Rights Ombudsman	-214,667	-214,667	
<i>The functions of the Ombudsman will be assimilated into the Office of Responsible Growth within OPM.</i>			
• Eliminate State Funding for Land Use Education	-150,000	-150,000	
• Flat Fund Municipal Aid at FY2009 Level - Fund the PILOT New Mfg. Machinery & Equipment Grant at FY2009 Spending Levels	-31,794,339	-42,651,785	
• Reduce Funding for Personal Services	-160,000	-160,000	
New or Expanded Services	<u>2009-2010</u>	<u>2010-2011</u>	<u>2011-2012</u>
• Implement a Regionalization Incentive Grant Program	0	0	0
<i>\$40 million of bond funds is proposed by the Governor for Capital Infrastructure Costs related to the regionalization of a required local governmental function or service.</i>			
• Implement a Municipal Capital Equipment Purchase Fund Program	0	0	0
<i>\$10 million of bond funds is proposed by the Governor for interlocal purchases of equipment for a required local government function or service.</i>			
• Establish a new Office of Accountability	0	0	0
<i>The Office of Accountability shall 1) act to detect and prevent fraud, waste and abuse in the management of state personnel, the use and disposition of state property and the collection, disbursement and expenditure of state and federal funds administered by state governmental or quasi-public agencies and may investigate and audit state agencies to achieve these purposes, and 2) oversee the administration of quality assurance measures to ensure that state services are provided in a timely and professional manner utilizing industry-appropriate best practices. It shall be within the Office of Policy and Management for administrative purposes and shall be staffed with 2 currently vacant OPM positions and associated funding.</i>			

AGENCY SUMMARY

Personnel Summary	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Total	Change From	Total	Change From	Total
	Authorized	2008-2009	Recommended	2009-2010	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	175	-10	165	0	165
Federal Contributions	4	0	4	0	4
Private Funds	1	0	1	0	1
<u>Other Positions Equated to Fulltime</u>					
General Fund	4	0	4	0	4

Financial Summary	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	15,857,146	16,524,813	15,544,813	16,812,743	15,832,743
Other Expenses	3,592,152	3,815,741	3,802,640	3,815,741	3,802,640
<u>Capital Outlay</u>					
Equipment	0	36,000	100	55,000	100
<u>Other Current Expenses</u>					
Automated Budget Sys & Database Lnk	40,430	63,610	59,780	63,610	59,780
Leadership, Educ, Athletics-Partnership	807,500	850,000	0	850,000	0
Cash Management Improvement Act	0	100	100	100	100
Justice Assistance Grants	2,715,023	2,963,182	2,874,139	2,963,182	2,874,139
Neighborhood Youth Centers	1,188,383	1,250,930	1,149,480	1,250,930	1,149,480
Land Use Education	142,500	150,000	0	150,000	0
Office of Property Rights Ombudsman	203,934	214,667	0	214,667	0
Office of Business Advocate	264,308	0	0	0	0
Water Planning Council	160,000	200,000	170,000	200,000	170,000
Urban Youth Violence Prevention	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000
Crim Jus/CT Imp. Driving Rcds Info Sys	950,000	1,000,000	950,000	1,000,000	950,000
Furnace Rebate Program	3,000,000	0	0	0	0
Furnace Repair and Upgrade	2,000,000	0	0	0	0
Energy Audit Subsidy	7,000,000	0	0	0	0
TOTAL - Other Current Expenses	19,472,078	7,692,489	6,203,499	7,692,489	6,203,499
<u>Pmts to Other than Local Govts</u>					
Tax Relief for Elderly Renters	20,472,979	22,000,000	22,000,000	24,000,000	24,000,000
Private Providers	1,332,073	0	0	0	0
Regional Planning Agencies	950,000	1,000,000	0	1,000,000	0
Operation Fuel 200% Federal Poverty Level	8,500,000	0	0	0	0
Operation Fuel Median Income	5,000,000	0	0	0	0
Heating Assist. Age 65	4,000,000	0	0	0	0
Operation Fuel Administration	500,000	0	0	0	0
TOTAL - Pmts to Other than Local Govts	40,755,052	23,000,000	22,000,000	25,000,000	24,000,000
<u>Pmts to Local Governments</u>					
Reimb Property Tax-Disability Exempt	576,142	400,000	400,000	400,000	400,000
Distressed Municipalities	7,309,000	7,800,000	7,800,000	7,800,000	7,800,000
Prop Tax Relief Elder-Circuit Breaker	20,505,899	20,505,899	20,505,899	20,505,899	20,505,899
Prop Tax Relief Elderly Freeze Program	900,000	610,000	610,000	560,000	560,000
Property Tax Relief for Veterans	2,970,099	2,970,099	2,970,099	2,970,099	2,970,099
P.I.L.O.T. New Mfg Machine & Equip	103,080,000	89,142,554	57,348,215	100,000,000	57,348,215
Capital City Economic Development	7,900,000	7,900,000	6,400,000	7,900,000	6,400,000
Heating Assist. Schools	6,500,000	0	0	0	0
TOTAL - Pmts to Local Governments	149,741,140	129,328,552	96,034,213	140,135,998	95,984,213
TOTAL - General Fund	229,417,568	180,397,595	143,585,265	193,511,971	145,823,195
<u>Additional Funds Available</u>					
Federal and Other Activities	12,641,455	6,252,398	6,252,398	6,728,849	6,728,849
Bond Funds	52,498,985	39,600,000	39,600,000	32,434,483	32,434,483
Private Funds	19,868,984	2,536,300	2,536,300	2,403,994	2,403,994
TOTAL - All Funds Net	314,426,992	228,786,293	191,973,963	235,079,297	187,390,521



DEPARTMENT OF VETERANS' AFFAIRS

AGENCY PURPOSE

To provide comprehensive assistance and formal representation to veterans, their spouses and/or eligible dependents in obtaining rights, benefits and privileges to which they may be entitled under federal, state and local laws.

To provide comprehensive healthcare for veterans across the continuum of needs. Inpatient healthcare covers a complete array of services, from long-term nursing care and dementia

care to rehabilitation and hospice care. A plan of care is developed and continually updated throughout the veteran's stay and quality healthcare services are provided to assist the veteran in reaching his/her maximum potential.

To provide a domiciliary level of care, substance abuse treatment, and associated services which facilitate rehabilitation and the return to independent living to the greatest extent possible.

<http://www.ct.gov/ctva/>

RECENT HIGHLIGHTS

Community Outreach

The agency's Office of Advocacy and Assistance served over 2,500 walk-in clients, acquired 1,100 new clients and filed 1,900 new claims on behalf of veterans in state fiscal year 2008. Accredited agency veterans' service officers conduct a variety of outreach across the state including seminars, benefit fairs, briefings for returning units, and nursing home visitations. The officers also use the mobile service office, "Vet Express," to provide more convenient customer service.

Connecticut Veterans Wartime Service Medals

The agency administers the Connecticut Veterans Wartime Service Medal program, awarding over 14,000 medals and certificates to eligible veterans and registering over 19,200 veterans in the Connecticut Veterans Registry to date. Over 45 community award ceremonies were held in fiscal year 2008 resulting in the personal presentation of thousands of medals. The agency will soon implement the new posthumous Wartime Service Medal program for the families of Connecticut veterans who died on or after July 1, 2005.

Connecticut Veterans INFO line

Over 6,000 inquiries from veterans are handled annually through the agency's toll-free Connecticut Veterans INFO line. This popular service provides veterans with easy access to information on general benefits, referrals to veterans' assistance programs and state agencies, and information on the most convenient locations to receive one-on-one assistance.

Military Support Program

The agency, in conjunction with the Connecticut Military Department and the Department of Mental Health and Addiction Services, offers the Military Support Program. This program provides confidential, transitional services across the state to Connecticut military members and their families before, during and after deployment in support of the Global War on Terror. Hundreds of civilian behavioral health professionals have been trained in the areas of military culture, post traumatic stress disorder and traumatic brain injury.

The Faces of Connecticut's Fallen

At the request of Governor Rell, the Department commissioned a traveling tribute to the forty-three servicemen and servicewomen with ties to Connecticut who have died in Iraq and Afghanistan. Entitled "*Faces of Connecticut's Fallen*," this moving video presentation reveals in their faces, the valor and patriotism of these sons and daughters of our state. The exhibit has been on display in West Hartford, Waterford, Milford and Bridgeport, and will conclude with a visit to Waterbury and Hartford.

Special Events

In May 2008, DVA held a ribbon cutting ceremony for the Sgt. John L. Levitow Veterans Health Center in Rocky Hill, and the facility opened to patients in Fall 2008. The facility is the first new construction on the campus in sixty-eight years, and offers state-of-the-art health care for veteran patients.

In September 2008, DVA held the annual "Connecticut Cares" Stand Down offering outreach and services to veterans in need. 999 veterans from across the state received services from state agencies, the Judicial Department, various healthcare providers and 266 volunteers.

The first Summit for Returning Veterans was held at Central Connecticut State University in October 2007. Over 200 attendees participated in sessions to discuss issues including: veterans' healthcare, traumatic brain injuries, family issues, readjustment to civilian life, education, employment and benefits, mental health and substance abuse, and women veterans' Issues. The all-day event resulted in development of action plans and creation of a Governor's Task Force on Returning Veterans which continues to meet.

The Department co-sponsored with the Department of Labor the "Heroes for Hire" job fair at Rentschler Field in January 2008. Over 1,000 veterans met with nearly 200 businesses.

In April 2008, DVA offered a veterans' benefits fair at the Legislative Office Building. Representatives from federal and state agencies worked with the over 150 attendees to help veterans access the benefits they earned.

RECOMMENDED SIGNIFICANT CHANGES**Reductions to Current Services**

	<u>2009-2010</u>	<u>2010-2011</u>
• Rollout FY2009 Rescissions	-10,000	-10,000
• OE/OCE General Reductions	-33,668	-33,668
• Transfer Equipment to CEPF	-501,649	-306,900
• Remove or Limit Inflation	-246,861	-377,763

AGENCY SUMMARY**Personnel Summary**

	2008-2009 Total Authorized	2009-2010 Change From 2008-2009	2009-2010 Total Recommended	2010-2011 Change From 2009-2010	2010-2011 Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	316	0	316	0	316

Financial Summary

	2008-2009 Estimated	2009-2010 Current Services	2009-2010 Total Recommended	2010-2011 Current Services	2010-2011 Total Recommended
Personal Services	25,581,728	25,834,943	25,834,943	26,080,931	26,080,931
Other Expenses	7,500,973	7,753,611	7,719,943	7,778,320	7,744,652
<u>Capital Outlay</u>					
Equipment	0	501,749	100	307,000	100
<u>Other Current Expenses</u>					
Support Services for Veterans	190,000	200,000	190,000	200,000	190,000
<u>Pmts to Other than Local Govts</u>					
Burial Expenses	7,200	7,200	7,200	7,200	7,200
Headstones	370,000	370,000	370,000	370,000	370,000
TOTAL - Pmts to Other than Local Govts	377,200	377,200	377,200	377,200	377,200
TOTAL - General Fund	33,649,901	34,667,503	34,122,186	34,743,451	34,392,883
<u>Additional Funds Available</u>					
Federal and Other Activities	2,885,773	0	0	0	0
Bond Funds	379,327	0	0	0	0
Private Funds	2,148,711	2,148,711	2,148,711	2,148,711	2,148,711
TOTAL - All Funds Net	39,063,712	36,816,214	36,270,897	36,892,162	36,541,594



OFFICE OF WORKFORCE COMPETITIVENESS

AGENCY PURPOSE

The Office for Workforce Competitiveness (OWC) serves as the Governor's principal workforce development policy agency.

The OWC acts as:

Liaison between the Governor and various federal, state and local entities involved in workforce development issues.

Staff support to the Connecticut Employment and Training Commission (CETC) and the Governor's JOBS Cabinet.

The Office for Workforce Competitiveness is recommended for elimination through consolidation with the reconfigured Department of Economic and Community Development and the new Middle College System in the Governor's budget as part of her proposal to streamline state government.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2009-2010</u>	<u>2010-2011</u>
• Rollout FY2009 Rescissions	-341,343	-341,343
• Remove or Limit Inflation	-146,468	-246,164
• Eliminate Vacant Positions	-17,792	-17,792
<i>Eliminate one vacant position.</i>		
• OE/OCE General Reductions	-1,981	-1,981
• Transfer Equipment to CEPF	-900	-900
• Reconfigure Dept of Economic & Community Development - Reallocate Funding from OWC to DECD <i>As part of the reorganization of state government, funding is transferred to an expanded Department of Economic and Community Development (DECD). The new DECD will assimilate the important Jobs Funnel, SBIR Matching Grants, Nanotechnology, Film Industry Training and SAMA funding from the Office of Workforce Competitiveness.</i>	-2,407,500	-2,407,500
• Create Middle College - Reallocate Funding from OWC <i>As part of the reorganization of state government, a new Middle College System is being established which will include important workforce functions from the Office of Workforce Competitiveness. Four positions and the CETC Workforce account are being transferred to the new Middle College.</i>	-2,317,619	-2,322,806
• Create Middle College - Reduce Other Expenses and OCE Accounts <i>As part of the reorganization of state government, a new Middle College System is being established. Savings are anticipated from the consolidation of operating functions in the new System.</i>	-1,172,800	-1,172,800
• Eliminate Career Ladder Pilot Programs Funding	-475,000	-475,000
• Reduce Funding for the Film Industry Training Program	-300,000	-300,000
• Eliminate CCAT Subsidy for Small Business Program <i>As part of the reorganization of state government, programs that are considered duplicative are eliminated.</i>	-237,500	-237,500
• Eliminate Funding for Adult Literacy Board <i>As part of the reorganization of state government, programs that are considered duplicative are eliminated.</i>	-167,945	-167,945

AGENCY SUMMARY

Personnel Summary

	2008-2009		2009-2010		2010-2011	
	Total	Change From	Total	Change From	Total	Change From
	Authorized	2008-2009	Recommended	2009-2010	Recommended	2009-2010
<u>Permanent Full-Time Positions</u>						
General Fund	5	-5	0	0	0	0

Financial Summary	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	462,260	444,079	0	449,266	0
Other Expenses	314,681	322,378	0	322,378	0
<u>Capital Outlay</u>					
Equipment	0	1,000	0	1,000	0
<u>Other Current Expenses</u>					
CETC Workforce	1,991,332	2,096,139	0	2,096,139	0
Jobs Funnel Projects	950,000	1,000,000	0	1,000,000	0
Connecticut Career Choices	760,000	800,000	0	800,000	0
Nanotechnology Study	285,000	300,000	0	300,000	0
SBIR Initiative	237,500	250,000	0	250,000	0
Career Ladder Pilot Programs	475,000	500,000	0	500,000	0
Spanish American Merchant Association	285,000	300,000	0	300,000	0
Adult Literacy Council	167,945	176,784	0	176,784	0
Film Industry Training Program	950,000	1,000,000	0	1,000,000	0
SBIR Matching Grants	237,500	250,000	0	250,000	0
TOTAL - Other Current Expenses	6,339,277	6,672,923	0	6,672,923	0
TOTAL - General Fund	7,116,218	7,440,380	0	7,445,567	0



BOARD OF ACCOUNTANCY

AGENCY PURPOSE

The Board of Accountancy (BOA) is statutorily charged with the licensing and regulation of the public accounting profession. The nine member board and its staff ensure that the highest standards of integrity and professionalism are

maintained by Connecticut's certified public accountants (CPA). The BOA protects consumers from illegal and unethical accounting practices.

<http://www.ct.gov/sboa>

RECENT HIGHLIGHTS

- In 2008, the State Board of Accountancy continued to initiate an e-licensing renewal program that significantly simplifies the manner in which accountants renew licenses. This business friendly change benefits the accounting profession and it will help create a 21st century licensing environment.
- In 2008 the State Board of Accountancy moved from approving initial certification, registrations, licenses and permits monthly to weekly approval.
- The State Board of Accountancy continues to shift its focus from licensing to enforcement.
- In 2008 The State Board of Accountancy implemented the mobility model for interstate practice of public accounting which continues to improve Connecticut's business friendly climate and strengthens the Board's enforcement authority.

The Board of Accountancy is recommended through consolidation with Department of Consumer Protection in the Governor's budget as part of her proposal to streamline state government.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2009-2010</u>	<u>2010-2011</u>
• OE/OCE General Reductions	-2,155	-2,155
• Reduce Equipment	-6,982	0
• Reduce Other Expenses	-100,625	-20,857
• Remove Calligrapher Cost	-2,474	-2,474
• Remove or Limit Inflation	-2,208	-3,711
• Streamline Agency Operations - Eliminate an Office Assistant and Unit Supervisor Position	-97,099	-96,999

Reallocations or Transfers

• Streamline Agency Operations - Transfer Board of Accountancy to Department of Consumer Protection	-322,069	-326,170
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AGENCY SUMMARY

Personnel Summary

	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Total	Change From	Total	Change From	Total
	Authorized	2008-2009	Recommended	2009-2010	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	5	-5	0	0	0

Financial Summary

	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	314,139	340,711	0	345,306	0
Other Expenses	104,386	183,611	0	103,349	0
<u>Capital Outlay</u>					
Equipment	0	7,082	0	0	0
TOTAL - General Fund	418,525	531,404	0	448,655	0



DEPARTMENT OF ADMINISTRATIVE SERVICES

AGENCY PURPOSE

<http://www.das.state.ct.us/>

The Department of Administrative Services (DAS) is a service entity that provides programs and products, specialized support and business solutions to state agencies, municipalities, vendors, colleges and universities, non-profit organizations and the public at large.

DAS offers support by:

- Providing Human Resource policy direction and assistance for all state agencies.
- Administrating state contracts for the procurement of goods and services.
- Assisting small agencies with personnel, payroll, affirmative action and business office functions.
- Collecting money owed to the state.
- Managing the state's fleet of vehicles.
- Providing state mail and courier services.
- Distributing surplus property and ordering and distributing federally donated foods.
- Providing document management services.
- Administering the Workers' Compensation Program for all state employees.
- Administering the state's Construction Contract Prequalification Program.

In light of the current fiscal situation, the State Insurance and Risk Management Board, the Office of the Claims Commissioner, State Properties Review Board and the State Marshals are proposed for elimination through consolidation with DAS in the Governor's budget as part of her proposal to streamline state government. Additionally, the Correctional Ombudsman contract within DAS is recommended for elimination in the Governor's budget.

RECENT HIGHLIGHTS

Management Information Systems

The DAS MIS staff was part of a core team of IT professionals that assisted DOIT in the success of the largest State IT security mobilization. More than 6,000 laptop computers in 45 agencies were encrypted within just a six-week period.

Strategic Services

- Coordinated State of Connecticut's response to the Pew Center's Government Performance Project (GPP).
- Delivered 16 classes in using the Core system's ad hoc data reporting system for 135 statewide users.
- Presented two management briefings attended by 350 seasoned managers on "Freedom of Information and the Media" and "Strategic and Workforce Planning".
- Created new threat assessment team training in support of statewide workplace violence prevention.
- Collaborated with the Pandemic Interagency Strategic Planning (PISP) task force to produce the State of Connecticut Pandemic Flu Operations Plan in response to the federal government national planning initiative.

Managed the DAS Learning Center

- Provided 24 class topics including 8 new classes; 62 sessions serving 884 state employees.
- Provided registration hosting and support to 6 sessions of statewide Pandemic Continuity of Operations Planning (COOP) initiative on information technology needs. 243 participants in these sessions helped 45 state agencies

determine needed technology upgrades to implement strategies for continuing business operations in a pandemic flu.

Collection Services

- For the fourth year in a row, broke the \$800 million mark, and collected a record \$866 million comprised of money owed to the state for care provided by state-run programs and facilities.
- New revenue has again increased through productivity gains and expanded programs, due to the partnerships with sister state agencies, the new programs initiated, and the creative use of technology. More than 400,000 claims were filed for nearly 8 million services.

Fleet Services

- Met with agencies to review all aspects of their state vehicle usage, car rentals for state business, and mileage reimbursement for the personal use of vehicles for state business. Explored all avenues for achieving the Governor's directive of mileage and fuel reduction.
- Purchased 225 Ford Focuses and 134 hybrid vehicles for model year 2007 which have a fuel economy rating of 30mpg or better. Additionally, DAS fleet vehicles consumed over 45,000 gallons of E-85 fuel during fiscal year 2007-2008. E-85 is a blend of 85 percent ethanol and 15 percent gasoline and is considered a renewable and environmentally friendly fuel.

Core-CT

- Implemented a disaster recovery plan and facility.
- Purchased a scheduling front end system for agencies with 24/7 operations.
- Revised process for procurement of information technology goods and services.
- Enterprise Performance Management (EPM) upgrade to Version 9.0.

Procurement

- Captured approximately \$24 million in savings and cost avoidance on various state contracts.
- Trained contractor organizations on the Construction Contractor Prequalification Program.
- Participated in cooperative procurement initiatives through the National Association of State Procurement Officials (NASPO).
- Trained over 1300 agency staff on CoreCT financial modules.
- Provided 3 training sessions to P-card coordinators.
- Implemented the janitorial work pilot program for persons with disabilities and persons with a disadvantage now codified in C.G.S. § 4a-82.
- In conjunction with DMV, enhanced the process and updated written procedures for ensuring that vendors providing services with heavy commercial vehicles met safety requirements before entering contractual agreements with the state.
- Created a new online procurement manual.
- Received an increased annual rebate based on higher usage volumes (over \$20 million for FY 07/08).
- Pre-qualified more than 480 construction contractors totaling \$1.5 million in revenue for the state.
- Continue to reap benefits from state surplus program, received gross revenue of \$2,788,552 from auctions.

- Through the Master Insurance Program generated \$140,646 in revenue.
- Supplier Diversity Program has certified 1696 Connecticut small businesses including 354 minority business enterprises.
- Implemented new online notification system for State Surplus Auctions.

Smart/DAS HR

- Establishment of SmART's Website, which is accessible from DAS's Home Page, and assists staff at each of the small agencies we service.

Statewide Human Resources

- Developed performance based interviewing training for managers and held 5 sessions; held 5 "Ace the Interview" training sessions for state employees. Additionally, offered training sessions to individual agencies on merit system requirements, applicant tracking and certification requirements and procedures, FMLA and ADA. Held focus groups with HR professionals in an effort to address and develop advanced training needs.
- Analyzed the organizational structure and corresponding classifications used throughout the Department of Revenue Services; provided guidance to the Department of Developmental Services in the central office reorganization to include emphasis on quality management; coached and guided the Department of Economic and Community Development on an agency reorganization and assisted agency in developing a succession planning process; provided guidance to develop and implement a 360-degree leadership assessment tool and organizational development services for the Department of Insurance; provided organizational start-up and classification services to the University of Connecticut's new co-generation power plant.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2009-2010</u>	<u>2010-2011</u>
• Rollout FY2009 Rescissions	-49,070	-49,070
• Eliminate Vacant Positions	0	0
<i>Eliminate 17 vacant positions.</i>		
• OE/OCE General Reductions	-6,277	-6,277
• Transfer Equipment to CEPF	-80,970	-71,970
• Reduce the Loss Control Account	-25,000	-25,000
• Restructure the State Exam Process	-91,090	-91,090
• Reduce the Workers' Compensation Administrator Account	-400,000	-400,000
• Eliminate the Correctional Ombudsman Account	-334,000	-334,000

Reallocations or Transfers

• Streamline Agency Operations - Transfer the Office of the Claims Commissioner to the Department of Administrative Services	386,228	390,511
• Streamline Agency Operations - Transfer Function of the Insurance and Risk Management Board to DAS	14,170,932	15,160,638
• Streamline Agency Operations - Transfer Function of the State Properties Review Board to Department of Administrative Service	450,129	454,161
• Streamline Agency Operations - Transfer Function of the Insurance and Risk Management Board to DAS Transportation Fund	2,536,000	2,717,500
• CORE-CT Transfer of Positions from DOIT and OSC	286,000	286,000

AGENCY SUMMARY

Personnel Summary	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Total	Change From	Total	Change From	Total
	Authorized	2008-2009	Recommended	2009-2010	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	289	-4	285	0	285
Financial Summary	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Estimated	Current	Total	Current	Total
	Services	Recommended	Services	Recommended	Recommended
Personal Services	21,585,903	22,143,539	22,354,561	22,506,780	22,717,802
Other Expenses	1,093,777	1,216,844	1,165,847	1,216,844	1,165,847
<u>Capital Outlay</u>					
Equipment	0	81,070	100	72,070	100
<u>Other Current Expenses</u>					
Tuition Reimburs Training, Travel	382,000	0	0	0	0
Loss Control Risk Management	264,329	278,241	239,329	278,241	239,329
Employees' Review Board	29,998	32,630	32,630	32,630	32,630
Quality of Work-Life	350,000	0	0	0	0
Refunds of Collections	28,500	30,000	28,500	30,000	28,500
W. C. Administrator	5,450,055	5,613,554	5,213,554	5,613,554	5,213,554
Hospital Billing System	75,955	115,000	109,950	120,000	114,950
Correctional Ombudsman	284,050	334,000	0	334,000	0
Claims Commissioner Operations	0	0	386,228	0	390,511
Properties Review Board Operations	0	0	450,129	0	454,161
State Insurance and Risk Mgmt Operations	0	0	14,170,932	0	15,160,638
TOTAL - Other Current Expenses	6,864,887	6,403,425	20,631,252	6,408,425	21,634,273
TOTAL - General Fund	29,544,567	29,844,878	44,151,760	30,204,119	45,518,022
<u>Other Current Expenses</u>					
State Insurance and Risk Mgmt Operations	0	0	2,536,000	0	2,717,500
TOTAL - Special Transportation Fund	0	0	2,536,000	0	2,717,500
<u>Additional Funds Available</u>					
Federal and Other Activities	146,388	146,388	146,388	146,388	146,388
Bond Funds	165,923	0	0	0	0
Private Funds	45,000	45,000	45,000	45,115	45,115
TOTAL - All Funds Net	29,901,878	30,036,266	46,879,148	30,395,622	48,427,025



DEPARTMENT OF INFORMATION TECHNOLOGY

AGENCY PURPOSE

<http://www.ct.gov/doi>

The Department of Information Technology (DOIT) was created to make effective use of information technology, build the statewide information infrastructure for state agencies and citizens, and direct the development of systems to meet the common business and technology needs of multiple state agencies.

The mission of the Department of Information Technology is to provide quality information technology services and solutions to customers, effectively aligning business and technology objectives through collaboration, in order to provide the most cost-effective solutions that facilitate and improve the conduct of business for state residents, businesses, visitors and government entities.

RECENT HIGHLIGHTS

Best Practices

DOIT's launched a new, seven phase System Development Methodology (SDM) to develop and execute major IT systems. Governor Rell issued Executive Order #19 requiring agencies to use the new SDM. DOIT's push for operational excellence continues with the IT Service Management Program, a multi-year initiative to improve customer service through process and procedural improvements.

Security

Two disaster backup and recovery exercises were held and planning advanced for the second Data Center. Six thousand laptops were encrypted as part of mobile computing device security crackdown. Intrusion prevention and detection tools blocked more than 2.1 million potential threats. Anti-virus protection rose 286 percent and spam blocks increased 370 percent.

E-Government

DOIT expanded State internet access capacity by 660 percent in FY 2008 and launched 17 new CT.gov websites. A new system was launched to provide web-based access to multiple GIS data sets and a new enterprise training system launched

with the capacity to create and deliver online workforce training.

Agency Support

The number of hosted/supported applications hosted by DOIT increased by 22.5 percent. Thirty-eight percent of servers are now virtualized enabling DOIT to maximize use of existing servers in face of rising demand. DOIT's Storage Area Network expanded by 13 percent and data stored surpassed 2.4 petabytes. Remote access technology, enabling state employees to access the state network, computers and systems from remote locations, expanded 26 percent in FY 2008.

Energy Conservation Program Launched

An energy conservation program was launched in FY 2008 in support of statewide strategic efforts to improve energy efficiency. The plan included the adoption of basic office conservation practices, and server consolidation and refresh activities to reduce Data Center electrical requirements. DOIT also sponsored agency information sessions on the use of audio conferencing as an alternative to traditional auto-based travel.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Remove or Limit Inflation
- Rollout FY2009 Rescissions
- Eliminate Vacant Positions
- OE/OCE General Reductions
- Transfer Equipment to CEPF
- Reduce Funding for the Connecticut Education Network
- Reduce Other Expenses

Reallocations or Transfers

- Core-CT Consolidation

	<u>2009-2010</u>	<u>2010-2011</u>
	-144,618	-235,336
	-225,037	-225,037
	-182,697	-182,697
	-40,583	-40,583
	-720,000	-735,000
	-499,307	-499,307
	-292,820	-292,820
	-2,117,000	-2,123,000

Funding and twenty-six positions are transferred to the State Comptroller and funding and three positions are transferred to the Department of Administrative Services.

- Reallocate Funds from DHMAS and DPS to DOIT

	213,175	213,175
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Consistent with State policy, IT manager positions will be funded as part of DOIT's General Fund budget. Funding for one position in the Dept. of Mental Health & Addiction Services and funding for another position in the Dept. of Public Safety are transferred to DOIT. The positions will continue to support these two agencies.

AGENCY SUMMARY

Personnel Summary	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Total	Change From	Total	Change From	Total
	Authorized	2008-2009	Recommended	2009-2010	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	149	-31	118	0	118
Special Funds, Non-Appropriated	208	0	208	0	208
<u>Other Positions Equated to Fulltime</u>					
General Fund	14	-1	13	0	13
Special Funds, Non-Appropriated	4	0	4	0	4
Financial Summary	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Estimated	Current	Total	Current	Total
	Estimated	Services	Recommended	Services	Recommended
Personal Services	9,404,885	11,050,000	8,946,175	11,100,000	8,990,175
Other Expenses	7,465,832	7,867,477	7,362,489	8,153,078	7,648,090
<u>Capital Outlay</u>					
Equipment	95	100	100	100	100
<u>Other Current Expenses</u>					
Connecticut Education Network	3,077,163	4,700,885	3,479,874	4,738,401	3,502,390
Internet and E-Mail Services	5,850,000	5,879,413	5,844,968	5,879,776	5,845,331
TOTAL - Other Current Expenses	8,927,163	10,580,298	9,324,842	10,618,177	9,347,721
TOTAL - General Fund	25,797,975	29,497,875	25,633,606	29,871,355	25,986,086
<u>Additional Funds Available</u>					
Federal and Other Activities	240,648	100,000	100,000	0	0
Special Funds, Non-Appropriated	41,825,755	39,732,810	39,732,810	40,719,575	40,719,575
Private Funds	869,000	519,500	519,500	19,500	19,500
TOTAL - All Funds Net	68,733,378	69,850,185	65,985,916	70,610,430	66,725,161



DEPARTMENT OF PUBLIC WORKS

AGENCY PURPOSE

<http://www.ct.gov/dpw/>

- Supervise care and control of State property, including buildings and grounds in Hartford, district office facilities in the major urban centers, various locations outside of Hartford by agreement and surplus property of the state, with some exceptions.
- Purchase, lease and acquire property and space for state agencies.
- Provide technical assistance to agencies in developing plans for improvement to their facilities.
- Provide construction planning and management services for capital improvements undertaken by the state, with some exceptions.
- Provide energy management technical support and guidance on the state's energy policy.
- Collaborate with OPM Assets Management in the sale of surplus state property.
- Maintain an inventory of state land and buildings, including space utilization information.
- Establish and maintain security standards for facilities, housing, offices and equipment of the state, with some exceptions.

RECENT HIGHLIGHTS

Green Buildings

DPW is part of a multi-agency working group drafting regulations for building construction standards consistent with the Leadership in Energy and Environmental Design (LEED) rating system for both new construction and major renovation projects. This effort, headed by the Office of Policy and Management, also includes the Department of Environmental Protection, the Department of Public Safety, and the Institute for Sustainable Energy.

The LEED designation verifies an environmentally friendly design and sustainable building construction. A sustainable-design is more energy efficient, has better indoor air quality and occupant comfort, less environmental risk, uses materials that are less detrimental to natural environment, and uses the building site's natural resources wisely in order for the environment to continue to sustain itself.

Presently, DPW's largest project is the planned new campus for Gateway Community College in New Haven, estimated at a total cost of \$198 million. This state-of-the-art higher education complex is currently moving ahead in the design stage. The new campus will be the state's first gold-certified LEED public building. Gateway will utilize renewable and alternative energy technologies including solar, hot water and photovoltaics, and will maximize the use of energy efficient systems and equipment and reduce the demand on the existing energy utilities. The design will result in better indoor air quality and long-term reductions in air pollution.

Construction - Energy Conservation in State Facilities

The U.S. Environmental Protection Agency (EPA) presented DPW the prestigious Energy Star Label plaque for superior energy efficiency at a DPW building - 55 West Main Street,

Waterbury, the Rowland State Government Center. In qualifying for the Energy Star, DPW demonstrated superior ratings in the four categories evaluated: energy performance, thermal comfort, indoor air quality, and illumination levels. The building, built in 1999, houses offices for 11 state agencies including the headquarters for the Department of Motor Vehicles.

A statewide energy conservation program is administered by the DPW Energy Group. DPW has implemented numerous energy audits, retrofits and energy management upgrades for several State agencies with funding from this program.

The department participates in the CL&P Energy Efficiency Services (EES) Project program. During FY 2008, the department received incentive payments from CL&P totaling \$292,472 for six EES projects.

Construction Services

During fiscal year 2008, DPW completed several significant construction projects including:

- A new Library and Classroom Building at Tunxis Community College in Farmington at a cost of approximately \$34.4 million.
- A new Veterans Health Care Facility for the Department of Veterans' Affairs in Rocky Hill at a cost of approximately \$33.8 million.
- A new Art and Science Center at Northwestern Connecticut Community College in Winsted at a cost of approximately \$11.5 million.
- A new addition and renovations at the Connecticut Army National Guard Readiness Center in Southington at a cost of approximately \$5 million.

RECOMMENDED SIGNIFICANT CHANGES

	<u>2009-2010</u>	<u>2010-2011</u>
Reductions to Current Services		
• Remove or Limit Inflation	-1,049,220	-1,487,693
• Rollout FY2009 Rescissions	-1,277	-1,277
• Eliminate Vacant Positions	-80,000	-80,000
• OE/OCE General Reductions	-17,108	-17,108
• Eliminate or Defer New Leases	-997,000	-997,000
• Transfer Equipment to CEPF	-216,400	-224,725
Reallocations or Transfers		
• Transfer Funding for 960 Main St., Hartford Lease Costs to Community Technical Colleges	-724,200	-965,600

AGENCY SUMMARY

Personnel Summary	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Total	Change From	Total	Change From	Total
	Authorized	2008-2009	Recommended	2009-2010	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	139	-1	138	0	138
Bond Funds	68	0	68	0	68
Financial Summary	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	7,581,802	7,670,297	7,589,020	7,771,475	7,690,198
Other Expenses	25,833,618	27,302,892	27,285,784	27,428,524	27,411,416
<u>Capital Outlay</u>					
Equipment	0	216,500	100	224,825	100
<u>Other Current Expenses</u>					
Management Services	4,220,675	4,236,508	4,236,508	4,236,508	4,236,508
Rents and Moving	10,319,629	14,668,196	12,946,996	14,438,196	12,475,596
Capitol Day Care Center	114,250	127,250	127,250	127,250	127,250
Facilities Design Expenses	4,846,119	5,200,853	5,200,853	5,244,945	5,244,945
TOTAL - Other Current Expenses	19,500,673	24,232,807	22,511,607	24,046,899	22,084,299
TOTAL - General Fund	52,916,093	59,422,496	57,386,511	59,471,723	57,186,013
<u>Additional Funds Available</u>					
Bond Funds	5,958,413	5,965,136	5,965,136	5,965,136	5,965,136
TOTAL - All Funds Net	58,874,506	65,387,632	63,351,647	65,436,859	63,151,149



ATTORNEY GENERAL

AGENCY PURPOSE

The Attorney General is the chief legal officer of the State of Connecticut. The Attorney General's office serves as legal counsel to all state agencies and acts to protect the public interest for the people of the State of Connecticut.

The mission of this office is to represent and advocate the interests of the state and its citizens, to ensure that state

<http://www.ct.gov/ag>

government acts within the letter and spirit of the law, to protect public resources for present and future generations, to preserve and enhance the quality of life for all of the state's citizens and to safeguard the rights of its most vulnerable citizens.

One position and funding for the Child Advocate is recommended for transfer to Office of the Attorney General in the Governor's budget as part of her proposal to streamline state government.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2009-2010</u>	<u>2010-2011</u>
• Remove or Limit Inflation	-36,589	-61,223
• Rollout FY2009 Rescissions	-41,547	-41,547
• Eliminate Vacant Positions	0	0

Seven unfunded vacant positions are eliminated.

• OE/OCE General Reductions	-54,379	-54,379
• Transfer Equipment to CEPF	-200,000	-200,000

Reallocations or Transfers

• Streamline Agency Operations - Transfer the Child Advocate to the Attorney General's Office	141,000	141,000
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AGENCY SUMMARY

Personnel Summary

	2008-2009 Total Authorized	2009-2010 Change From 2008-2009	2009-2010 Total Recommended	2010-2011 Change From 2009-2010	2010-2011 Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	316	-6	310	0	310
<u>Other Positions Equated to Fulltime</u>					
General Fund	33	0	33	0	33

Financial Summary

	2008-2009 Estimated	2009-2010 Current Services	2009-2010 Total Recommended	2010-2011 Current Services	2010-2011 Total Recommended
Personal Services	30,435,683	31,180,000	31,321,000	31,270,000	31,411,000
Other Expenses	1,587,016	1,626,563	1,530,637	1,623,563	1,527,637
<u>Capital Outlay</u>					
Equipment	0	200,100	100	200,100	100
TOTAL - General Fund	32,022,699	33,006,663	32,851,737	33,093,663	32,938,737
<u>Additional Funds Available</u>					
Bond Funds	59,900	200,000	200,000	200,000	200,000
Private Funds	5,170,000	5,192,000	5,192,000	5,215,000	5,215,000
TOTAL - All Funds Net	37,252,599	38,398,663	38,243,737	38,508,663	38,353,737



OFFICE OF THE CLAIMS COMMISSIONER

AGENCY PURPOSE

<http://www.claims.state.ct.us/>

For instances in which there is no statute specifically granting permission to sue, the legislature has adopted a statutory scheme which allows persons to petition the Claims Commissioner for permission to sue the state. The Office:

- Receives claims filed against the state pursuant to section 4-141 of the Connecticut General Statutes.
- Conducts hearings for claims seeking more than \$7,500 and/or permission to sue the state.
- Adjudicates, with or without hearings, all claims against the state for less than \$7,500.
- Processes all claims in an expeditious manner.
- Decides which claims are “just and equitable” and meet the other statutory elements warranting a waiver of the Sovereign Immunity of the State.

The Office of the Claims Commissioner is recommended for consolidation with the Department of Administrative Services in the Governor’s budget as part of her proposal to streamline state government.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2009-2010</u>	<u>2010-2011</u>
• Remove or Limit Inflation	-787	-1,303
• Rollout FY2009 Rescissions	-1,000	-1,000
• Streamline Agency Operations - Eliminate One Clerical Position	-31,656	-31,656

Reallocations or Transfers

• Streamline Agency Operations - Transfer the Office of the Claims Commissioner to DAS	-386,228	-390,511
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AGENCY SUMMARY

Personnel Summary

	2008-2009 Total Authorized	2009-2010 Change From 2008-2009	2009-2010 Total Recommended	2010-2011 Change From 2009-2010	2010-2011 Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	4	-4	0	0	0

Financial Summary

	2008-2009 Estimated	2009-2010 Current Services	2009-2010 Total Recommended	2010-2011 Current Services	2010-2011 Total Recommended
Personal Services	279,854	297,741	0	302,024	0
Other Expenses	35,631	36,094	0	36,094	0
<u>Capital Outlay</u>					
Equipment	0	100	0	100	0
<u>Other Current Expenses</u>					
Adjudicated Claims	80,750	85,000	0	85,000	0
TOTAL - General Fund	396,235	418,935	0	423,218	0



DIVISION OF CRIMINAL JUSTICE

AGENCY PURPOSE

The Division of Criminal Justice is constitutionally charged with the investigation and prosecution of all criminal matters in the Superior Court. Pursuant to Article 23 of the Connecticut Constitution, the Division's mission is to

contribute to the due process of criminal law and to achieve justice. Article 23, enacted by the voters in 1984, established the Division as an Executive Branch agency and transferred it from the Judicial Branch.

The Criminal Justice Commission is recommended for elimination through consolidation with the Division of Criminal Justice in the Governor's budget as part of her proposal to streamline state government.

RECENT HIGHLIGHTS

Domestic Violence Unit

Utilized federal funding to establish a centralized Domestic Violence Unit, comprised of a prosecutor and an inspector, who assist in domestic violence investigation and prosecution on a statewide basis. The Unit also assists in the formation, codification, dissemination, and implementation of best policies and practices in domestic violence arrest and prosecution matters. Through the efforts of the Unit, the Division has recently co-sponsored a Statewide Domestic Violence Conference and a national level symposium on strangulation crimes.

currently has 29 active cases, and case referral is at an all time high.

Tip Line

Established a toll-free "tip line" to allow the public to confidentially report allegations of corruption at the state and local levels of government which received over 200 calls in FY2008. Calls to the toll-free number, 1-888-742-2726, are processed by the Public Integrity Bureau.

Training Program for Prosecutors

In 2003, the Division inaugurated its first Annual Training Program for prosecutors. Over 200 Division personnel attended an enhanced, intensive two-day program held in Westbrook in 2008. One of the featured sessions involved training on the Division's new trial presentation software. Other significant training efforts included a one-day Annual Training Program for Inspectors, expanded web-based instruction such as a new Case Presentation section on the Intranet site and a technical overhaul of the Prosecutor's Deskbook online resource.

The Division's highly successful internship program once again provided educational opportunities to 34 law students during the fiscal year. One intern from the University of Connecticut was secured under the Tolland State's Attorney's outreach program, an effort designed to attract minority students to the law, law school and prosecution as a career.

Recorded Confessions

Utilizing special funding provided by the legislature, the Division has implemented a Pilot Program for recording custodial interviews in major felony investigations. Initial sites were the Bridgeport, Meriden, Southington and Waterford Police Departments, and the Eastern and Western District Major Crime Squads of the Department of Public Safety.

Finding Words - Half a Nation by 2010

Recognizing the need to develop an approach to conducting child abuse interviews that is consistent and forensically sound, the Division, in conjunction with the Governor's Task Force on Justice for Abused Children, utilized funding provided by the federal government and the Department of Children and Families, conducted three training events under the American Prosecutor's Research Institute's "Finding Words- Half a Nation by 2010" initiative. The trainings, held at Police Officer Standards and Training (POST), were attended by over 100 police officers, prosecutors, social workers and forensic interviewers. Subsequently, Connecticut was certified as a "Finding Words" state in October of 2007. This project, which will go forward as the "Child First Connecticut Initiative," has brought a replicable, nationally recognized child abuse training program to Connecticut.

Cold Case Unit

The Division's Cold Case Unit investigates and prosecutes serious crimes that have gone "cold," or unsolved for a long period of time. Cases over the past year included DNA cases (with support from a federal Solving Cold Cases with DNA grant award), physical evidence cases and eye witness accounts. Arrests were made for crimes including murder, sexual assault, kidnapping, and armed robbery. The Unit

RECOMMENDED SIGNIFICANT CHANGES

	<u>2009-2010</u>	<u>2010-2011</u>
Reductions to Current Services		
• Remove or Limit Inflation	-106,623	-170,698
• Transfer Equipment to CEPF	-748,607	-421,900
• Rollout FY2009 Rescissions	-82,181	-82,181
• Eliminate Vacant Positions	0	0
• OE/OCE General Reductions	-27,034	-27,034
• Streamline Agency Operations - Transfer Criminal Justice Commission into the DCJ	650	650
<i>Support for the Criminal Justice Commission is proposed for transfer to the Division of Criminal Justice as a separate function.</i>		
• Consolidations - Courthouse Closings	-292,208	-292,208
<i>Funding for 5 positions is removed to coincide with the closing of the Bristol GA and Meriden GA and JD courthouses.</i>		
Reallocations or Transfers		
• Reallocate the Criminal Justice fraud Unit to the Division of Criminal Justice	558,545	558,754
<i>Funding for the Criminal Justice Fraud Unit is centralized into an account in the Worker's Compensation Fund within the Division of Criminal Justice.</i>		

AGENCY SUMMARY

Personnel Summary	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Total	Change From	Total	Change From	Total
	Authorized	2008-2009	Recommended	2009-2010	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	539	-17	522	0	522
Federal Contributions	7	-7	0	0	0
Private Funds	3	-3	0	0	0
Financial Summary	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Estimated	Current	Total	Current	Total
	Estimated	Services	Recommended	Services	Recommended
Personal Services	47,626,198	49,862,021	49,582,313	49,888,618	49,608,910
Other Expenses	2,727,878	2,908,287	2,868,753	2,948,287	2,908,753
<u>Capital Outlay</u>					
Equipment	0	748,707	100	422,000	100
<u>Other Current Expenses</u>					
Forensic Sex Evidence Exams	1,021,060	1,074,800	1,021,060	1,074,800	1,021,060
Witness Protection	425,517	416,607	394,211	410,643	388,247
Training and Education	114,863	120,961	114,916	115,732	109,687
Expert Witnesses	236,643	248,643	248,643	248,643	248,643
Medicaid Fraud Control	660,737	739,918	739,918	767,282	767,282
Persistent Violent Felony Offenders Act	681,000	0	0	0	0
Criminal Justice Commission	0	0	650	0	650
TOTAL - Other Current Expenses	3,139,820	2,600,929	2,519,398	2,617,100	2,535,569
TOTAL - General Fund	53,493,896	56,119,944	54,970,564	55,876,005	55,053,332
Personal Services	55,336	55,336	589,619	55,336	590,714
Other Expenses	0	0	22,462	0	22,776
<u>Capital Outlay</u>					
Equipment	0	0	1,800	0	600
TOTAL - Workers' Compensation Fund	55,336	55,336	613,881	55,336	614,090
<u>Additional Funds Available</u>					
Federal and Other Activities	1,763,465	0	0	0	0
TOTAL - All Funds Net	55,312,697	56,175,280	55,584,445	55,931,341	55,667,422



CRIMINAL JUSTICE COMMISSION

AGENCY PURPOSE

The Criminal Justice Commission is an autonomous body constitutionally charged to appoint certain prosecutors of the Division of Criminal Justice including statutorily mandated prosecutors, as well as other prosecutors requested by the Chief State's Attorney. The Commission's appointment authority includes the Chief State's Attorney, Deputy Chief State's Attorneys, State's Attorneys and Deputy Assistant State's Attorneys. The Commission may also investigate complaints concerning the performance of the Chief State's Attorney, State's Attorneys, and other prosecutors. If necessary, the Commission may remove these appointees for cause.

The terms of appointment for prosecutors required by statute are: Chief State's Attorney, five years; Deputy Chief State's Attorneys, four years and State's Attorneys, eight years.

The Commission is composed of the Chief State's Attorney and six members nominated by the Governor and appointed by the General Assembly, two of whom must be judges of the Superior Court. The Governor appoints the chairperson.

Support for the Criminal Justice Commission is proposed for transfer to the Division of Criminal Justice as a separate function.

In light of the current fiscal situation and the Governor's efforts to streamline state government and return it to its core functions, the Criminal Justice Commission is recommended for consolidation with the Division of Criminal Justice in the Governor's budget.

RECENT HIGHLIGHTS

The Commission appointed the State's Attorney for the Judicial District of Hartford. In addition, the Commission appointed ten new Deputy Assistant State's Attorneys to

serve as prosecutors throughout the Division of Criminal Justice. The Commission continued to explore methods of enhancing diversity within the Division.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Rollout FY2009 Rescissions
- Streamline Agency Operations - Transfer Criminal Justice Commission into the Division of Criminal Justice

	<u>2009-2010</u>	<u>2010-2011</u>
Rollout FY2009 Rescissions	-50	-50
Streamline Agency Operations - Transfer Criminal Justice Commission into the Division of Criminal Justice	-650	-650

Support for the Criminal Justice Commission is proposed for transfer to the Division of Criminal Justice as a separate function.

AGENCY SUMMARY

Financial Summary

	2008-2009	2009-2010		2010-2011	
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Other Expenses	950	700	0	700	0
TOTAL - General Fund	950	700	0	700	0



STATE MARSHAL COMMISSION

AGENCY PURPOSE

The State Marshal Commission is an independent agency that oversees the administration of the State Marshals. The Commission consists of eight appointed members and two non-voting representatives of the State Marshals.

- The Commission is the appointing authority for new State Marshals.
- The Commission sets professional standards, including training requirements and minimum fees for execution and services of process.
- The Commission may remove a State Marshal for cause after due notice and hearing.
- The Commission must periodically review and audit records and accounts of State Marshals.

The State Marshal Commission is recommended for elimination through consolidation with the Department of Administrative Services in the Governor's budget as part of her proposal to streamline state government.

RECOMMENDED SIGNIFICANT CHANGES

<i>Reductions to Current Services</i>	<u>2009-2010</u>	<u>2010-2011</u>
• Rollout FY2009 Rescissions <i>Continuation of reduction to Other Expenses.</i>	-8,069	-8,069
• OE/OCE General Reductions <i>25% reduction to cellular communication services send out of state travel; 20% to catering, food and beverage; 10% to in-state travel and subscriptions.</i>	-792	-792
• Transfer Equipment to CEPF	-2,300	-2,300
• Remove or Limit Inflation	-3,378	-5,412
• Streamline Agency Operations - Transfer Function to the Department of Administrative Services <i>Support functions will be the responsibility of the Department of Administrative Services.</i>	-445,059	-450,593

AGENCY SUMMARY

Personnel Summary

	2008-2009 Total Authorized	2009-2010 Change From 2008-2009	2009-2010 Total Recommended	2010-2011 Change From 2009-2010	2010-2011 Total Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	4	-4	0	0	0

Financial Summary

	2008-2009 Estimated	2009-2010 Current Services	2009-2010 Total Recommended	2010-2011 Current Services	2010-2011 Total Recommended
Personal Services	304,017	301,287	0	306,821	0
Other Expenses	138,305	152,533	0	152,533	0
<u><i>Capital Outlay</i></u>					
Equipment	0	2,400	0	2,400	0
TOTAL - General Fund	442,322	456,220	0	461,754	0