



# DEPARTMENT OF PUBLIC SAFETY

## AGENCY PURPOSE

The Connecticut Department of Public Safety (DPS) is committed to protecting and improving the quality of life for all by providing enforcement, regulatory, and scientific services through prevention, education, and innovative use of technology.

In striving to accomplish our mission, we embody our core values with great **P.R.I.D.E.**

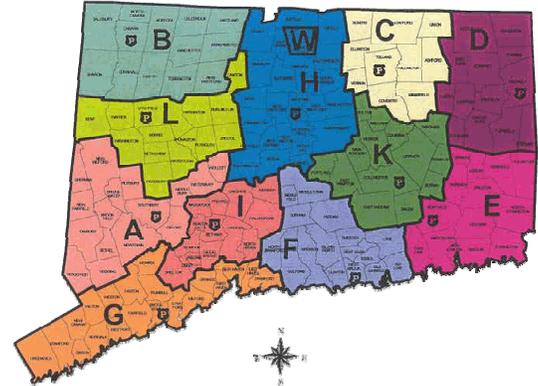
**P**ROFESSIONALISM through an elite and diverse team of trained men and women.

**R**ESPECT for ourselves and others through our words and actions.

**I**NTEGRITY through adherence to standards and values that foster public trust.

**D**EDICATION to service.

**E**QUALITY through fair and unprejudiced application of the law.



*The Board of Firearm Permit Examiners is recommended for consolidation with the Department of Public Safety in the Governor's budget as part of her proposal to streamline state government.*

## RECENT HIGHLIGHTS

### **AFIS Fingerprint System.**

The agency manages the AFIS Fingerprint System. This system allows police departments to submit fingerprints electronically through Livescan fingerprint machines directly to the Fingerprint Unit and the FBI. The agencies receive immediate response from the FBI identifying the person being printed and any out of state arrest record. Currently 79 local police departments within Connecticut, have Livescan machines. The Fingerprint Unit processes an average of 14,000 criminal submissions and 5,000 applicant submissions per month.

### **Technology Enhancement**

The department encrypted approximately 1,000 Mobile Data Terminals (MDTs), which are located in patrol vehicles, with the SafeBoot System in February 2008. This was done to ensure that all department laptops are encrypted, thus preventing unauthorized access to data stored on state computers.

### **Communications Center**

In December 2007, DPS implemented the Safe Haven Program. This Governor's program designed for the protection of newborn children, uses the Communications Center as the point of contact for designated emergency facilities that receive newborn children who have been voluntarily given up by their parents. The Communications Center makes notification to DCF investigators.

In July 2008, DPS implemented the Text-A-Tip Program (TipSoft) anonymous text messaging system. TipSoft allows an individual to anonymously text a tip regarding criminal activity. Communications Center personnel receive the

information and then properly disseminate the information to the appropriate law enforcement agencies.

During the course of the year, the A Child is Missing notification system was implemented on the Department's intranet. This allows all DPS personnel access to the notification system to aid in the recovery of a missing child. The system is a reverse call notification system in which the aid of the public is sought by means of a telephone recorded informational broadcast.

### **CAD/RMS/GIS Unit**

In November 2007, the Department released MDT Arrest and Mobile Arrest Warrant Modules, which allow troopers in the field to enter arrest/processing information directly into the records management system, and to draft arrest warrants with the MDT mobile unit.

In May 2008, DPS initiated a refresh program for the MDT units. It received 217 Panasonic Toughbooks to replace outdated MDT units being utilized by field personnel.

A July 2008 electronic data sharing project with DMV initiated the transfer of commercial motor vehicle crash data through electronic means.

In August 2008, DPS performed the first electronic commercial motor vehicle data transfer to DOT. This system will eventually replace the paper report system.

DPS released a weapons module for the mobile Computer Aided Dispatch (CAD) Units in September 2008. The weapons module allows troopers to query the Connecticut Firearms Weapons data base to view firearms license status and information regarding registered firearms.

During the course of the year the CAD/RMS/GIS Unit has nearly completed the installation of the Record Management System (RMS) into the Department's resident trooper towns.

#### **Forensic Science Laboratory**

Funding has been approved for Phase III of the Division of Scientific Services to be built in Meriden. This development will add an additional 25,000 square feet to the existing Forensic Laboratory. It will house the Toxicology and Controlled Substance Laboratory, the Mitochondrial DNA Analysis Unit, as well as part of the Computer Crimes and Electronic Evidence Laboratory Unit.

#### **Connecticut Telecommunications System**

The Connecticut Telecommunications System Unit (CTS) completed an upgrade of the state police radio system to the 4.1 Motorola Platform. This will ensure that the system will be expandable for future technological advances.

#### **New Trooper Class**

On May 14, 2008, a class of 41 recruits graduated to the rank of State Trooper Trainee and were assigned to the eleven Troops throughout the State. A new recruit class entered the Academy on October 10, 2008.

#### **Leadership Development / Team Building Course**

The State Police Training Academy conducted a Leadership Development Course for Law Enforcement. This five day program focuses on the functions of a leader as well as the special knowledge skill required for effective supervision in present day law enforcement. The team-building portion of training is designed to evaluate and reinforce teamwork, communication, trust, leadership, decision making, self-esteem, and problem solving. The course was run four times in 2008 and was open to sworn and civilian personnel, regardless of rank or position.

#### **Sex Offender Registry and DNA Collection**

The Sex Offender Registry Unit (SORU) provides information to the public regarding more than 5,000 registered sex offenders who live, work and attend educational institutions in the state. In an effort to ensure that the information is timely and accurate, the SORU sends out 90 day address verification letters to each registrant, retakes photographs, and works with local law enforcement to enforce violations.

The SORU is in the process of upgrading the information technology systems used by the unit. Improvements and upgrading are planned for both the internal registry database system to track and register individuals, and the external public website to provide expanded functionality for members of the public to map registrants and receive email notifications when registrants move into their community. The SORU is also seeking to implement the federal

requirements of the Adam Walsh Child Protection and Safety Act of 2006 through procedural and statutory changes.

The DNA Collection Unit collects biological samples for DNA analysis on convicted felons that are not incarcerated or supervised by probation or parole. The samples are forwarded to the State Forensic Lab and compared to unsolved cases.

#### **Infrastructure Improvements**

Troop Study We are in the process of selecting a design professional who will be responsible for doing a comprehensive review of the various DPS troops, including current locations, in order to determine the appropriate number of facilities, as well as the appropriate location, size, and functional layout for each Troop.

Renovations and Improvements to the Firing Range We are working with DPW and an environmental consultant to do a hydrology study that provides the data necessary to obtain approval from FEMA and DEP to renovate the range. This project will include re-building the classroom building and the range tower, utilizing construction methods commensurate with a flood zone.

#### **Division of Scientific Services**

The Toxicology and Controlled Substances Laboratory received its accreditation from the American Society of Crime Laboratory Directors (ASCLD) for meeting or exceeding the standards and requirements of the ASCLD/Lab Accreditation Manual in the disciplines of Trace Evidence, Biology, Firearms/Tool Marks, Questioned Documents and Latent Prints.

The Forensic Laboratory's Firearms Section, as well as the DNA Section, are approaching their 1,000th database hit in their areas. The Fingerprint Section of the Laboratory has confirmed in excess of 1,100 AFIS latent fingerprint hits in the past year, connecting crime scenes to known suspects. During the past year, the Computer Crime and Electronic Laboratory investigated 217 Cybertips from the National Center for Missing and Exploited Children (NCMEC).

#### **Division of Fire, Emergency & Building Services**

As a result of recent legislation, the Deputy State Fire Marshal and the State Building Inspector are required to oversee extensive new construction at the Connecticut State University System (CSUS). DPS will be required to hire and assign Public Safety Building Officials and Fire and Life Safety Specialists to the project. This Division has been charged with ensuring State Fire Safety Code and State Building Code compliance with respect to all buildings or building projects that are part of the CSU 2020 plan.

## **RECOMMENDED SIGNIFICANT CHANGES**

#### **Reductions to Current Services**

- Rollout FY2009 Rescissions
- OE/OCE General Reductions

<u>2009-2010</u>	<u>2010-2011</u>
-602,959	-602,959
-274,404	-274,404

- Streamline Agency Operations - Consolidate Weigh Stations into DMV

*The Department of Motor Vehicles (DMV) will control the weigh station operations. 11 non-sworn positions are transferred to DMV and the additional savings will occur through a reduction in overtime by redeploying 21 troopers to other patrol duties.*

- Transfer Equipment to CEPF

-1,595,208	-1,595,208
-3,015,945	-2,395,155

## Budget Summary

• Limit Meal Money Benefit to Contractual Requirements	-287,313	-287,313
<i>Limit pay for meals as provided in collective bargaining agreement.</i>		
• Defer a Trooper Training Class	-1,533,850	0
• Reduce Funding for the State Police Aviation Unit	-310,333	-310,333
• Return Non-patrol Troopers to Core Functions	-1,534,500	-1,534,500
• Redeploy Non-reimbursed Troop W (Bradley Airport) Troopers	-891,000	-891,000
• Reduce DPS Fleet for Civilian Vehicles	-72,000	-72,000
• Reduce Discretionary Overtime at Rentschler Field Events	-50,000	-50,000
• Eliminate Subsidy for the Statewide Narcotics Task Force	-238,800	-238,800
<i>The operations of the task force shall continue, however, there will no longer be a subsidy paid to municipalities for participation in the task force.</i>		
<b>Reallocations or Transfers</b>		
• Transfer Funds for 5 Vehicles for Urban Search and Rescue	-20,000	-20,000
• Reallocate IT Manager Funding from DPS to DOIT	-99,559	-99,559

## AGENCY SUMMARY

<b>Personnel Summary</b>	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Total Authorized	Change From 2008-2009	Total Recommended	Change From 2009-2010	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	1,787	-11	1,776	0	1,776
Federal Contributions	10	-1	9	0	9
Private Funds	71	0	71	0	71
<b>Financial Summary</b>	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	134,510,889	142,099,861	136,549,790	142,985,559	138,528,538
Other Expenses	30,062,009	31,886,888	30,860,792	32,257,113	31,671,817
<u>Capital Outlay</u>					
Equipment	0	3,016,045	100	2,395,255	100
<u>Other Current Expenses</u>					
Stress Reduction	23,686	23,354	23,354	23,354	23,354
Fleet Purchase	7,933,581	9,010,796	8,501,239	9,473,153	8,963,596
Gun Law Enforcement Task Force	400,000	400,000	400,000	400,000	400,000
Workers' Compensation Claims	3,438,787	3,619,776	3,438,787	3,619,776	3,438,787
COLLECT	48,925	51,500	48,925	51,500	48,925
Urban Violence Task Force	318,018	318,018	318,018	318,018	318,018
Persistent Violent Felony Offenders Act	514,000	0	0	0	0
TOTAL - Other Current Expenses	12,676,997	13,423,444	12,730,323	13,885,801	13,192,680
<u>Pmts to Other than Local Govts</u>					
Civil Air Patrol	34,920	36,758	34,920	36,758	34,920
<u>Pmts to Local Governments</u>					
SNTF Local Officer Incentive Program	238,800	238,800	0	238,800	0
TOTAL - General Fund	177,523,615	190,701,796	180,175,925	191,799,286	183,428,055
<u>Additional Funds Available</u>					
Federal and Other Activities	2,129,000	1,498,500	1,498,500	1,498,500	1,498,500
Bond Funds	465,500	0	0	0	0
Private Funds	27,103,152	28,000,539	28,000,539	28,671,106	28,671,106
TOTAL - All Funds Net	207,221,267	220,200,835	209,674,964	221,968,892	213,597,661



# POLICE OFFICER STANDARDS & TRAINING COUNCIL

## AGENCY PURPOSE

The Police Officer Standards and Training Council (POST) is responsible for the certification of all police officers, law enforcement instructors and police training programs throughout the State of Connecticut.

- It is the primary provider of training for municipal, state agency and state university police officers in the areas of basic law enforcement, in-service law enforcement and professional development.
- It is responsible for all of the certified police training activities at the Connecticut Police Academy.
- It develops and revises a comprehensive police training and education plan, which includes approving the operations of police training schools, approving courses of

<http://www.post.state.ct.us/>

study, certifying instructors and setting minimum employment standards and certification requirements.

- It offers professional, advanced, specialized and continuing education to veteran police officers as part of the license renewal process, which requires each police officer to satisfactorily complete at least 60 hours of certified review training every three years.
- It may revoke the certification, i.e. license, of police officers under certain statutory conditions.
- It confers State Accreditation on Law Enforcement Units who comply with 327 individual standards over a three tier system.

## RECENT HIGHLIGHTS

### ***Municipal Police Agency Accreditation Program***

Police Officer Standards and Training has completed the fourth year of Municipal Police Agency Accreditation Program established by statute and has exceeded it's goals having conferred accreditation upon 23 agencies with 35 more agencies in the process.

### ***CALEA Accreditation of the Police Officer Standards and Training Council***

The Police Officer Standards and Training Council was awarded national accreditation by CALEA, the Commission on

Accreditation for Law Enforcement Agencies, Inc. on March 15, 2008 as a fully accredited Public Safety Training Academy. This award was conferred after compliance with 182 national standards.

### ***Tactical Training Village***

POST, in conjunction with the Department of Public Safety and Wilcox State Technical School in Meriden, has completed construction of a five building tactical training village on the Academy grounds. Plans are in place for one additional building and fixtures such as sidewalks, curbs and lighting.

## RECOMMENDED SIGNIFICANT CHANGES

### ***Reductions to Current Services***

- Rollout FY2009 Rescissions
- OE/OCE General Reductions
- Transfer Equipment to CEPF
- Eliminate Funding for One Recruit Class

	<u>2009-2010</u>	<u>2010-2011</u>
	-26,249	-26,249
	-8,955	-8,955
	-191,255	-103,005
	-72,624	-72,624

## AGENCY SUMMARY

### ***Personnel Summary***

#### Permanent Full-Time Positions

General Fund

	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Total	Change From	Total	Change From	Total
	Authorized	2008-2009	Recommended	2009-2010	Recommended
General Fund	27	0	27	0	27

Budget Summary

<b>Financial Summary</b>	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	2,018,893	2,118,224	2,089,372	2,172,490	2,143,638
Other Expenses	1,002,353	1,028,602	949,626	1,028,602	949,626
<u>Capital Outlay</u>					
Equipment	0	191,355	100	103,105	100
TOTAL - General Fund	3,021,246	3,338,181	3,039,098	3,304,197	3,093,364
<u>Additional Funds Available</u>					
Federal and Other Activities	34,371	11,121	11,121	11,121	11,121
Bond Funds	110,400	21,000	21,000	21,000	21,000
Private Funds	3,707	3,707	3,707	3,707	3,707
TOTAL - All Funds Net	3,169,724	3,374,009	3,074,926	3,340,025	3,129,192



# BOARD OF FIREARM PERMIT EXAMINERS

## AGENCY PURPOSE

[www.ct.gov/bfpe](http://www.ct.gov/bfpe)

The Board of Firearms Permit Examiners was established under C.G.S. 29-32b to provide a means of appeal to any person aggrieved by any refusal to issue or renew a pistol permit or certificate under the provisions of Sections 29-28 or 29-36f, by any limitation or revocation of a pistol permit or

certificate issued under any of said sections, or by a refusal or failure of any issuing authority to furnish a pistol permit application as provided in Section 29-28a through administrative hearings.

*The Board of Firearm Permit Examiners is recommended for consolidation with the Department of Public Safety in the Governor's budget as part of her proposal to streamline state government.*

## RECENT HIGHLIGHTS

- During fiscal year 2008, the Board received 338 new requests to appeal. The office staff reviewed and prepared 222 cases which included 85 denials and 137 revocations of pistol permits. The Board members held 11 meetings and heard 93 cases.
- A subcommittee was formed to research regulations, policy and solutions to reduce the backlog, including increasing the number of meetings from 12 to 16 and increasing the number of cases heard.
- The Board's website contains the public hearing schedules, deadline requirements, dormancy calendar, and requests for continuances.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

	<u>2009-2010</u>	<u>2010-2011</u>
• Rollout FY2009 Rescissions	-738	-738
<i>Continuation of reduction to Other Expenses.</i>		
• Remove or Limit Inflation	-306	-514
• Streamline Agency Operations - Transfer Functions to the Department of Public Safety	-100,346	-101,492
<i>Support functions will be the responsibility of the Department of Public Safety.</i>		
• OE/OCE General Reductions	-42	-42

## AGENCY SUMMARY

### Personnel Summary

	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Total	Change From	Total	Change From	Total
	Authorized	2008-2009	Recommended	2009-2010	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	1	-1	0	0	0
<u>Other Positions Equated to Fulltime</u>					
General Fund	1	-1	0	0	0

### Financial Summary

	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Estimated	Current	Total	Current	Total
	Estimated	Services	Recommended	Services	Recommended
Personal Services	89,197	91,275	0	92,421	0
Other Expenses	9,013	9,751	0	9,751	0
<u>Capital Outlay</u>					
Equipment	0	100	0	100	0
TOTAL - General Fund	98,210	101,126	0	102,272	0



# DEPARTMENT OF MOTOR VEHICLES

## AGENCY PURPOSE

- Perform public safety functions through enforcement of the statutes concerning motor vehicles and their operation.
- Issue credentials for motor vehicles, their operators and vehicle-related businesses.
- Deliver high quality, innovative services to customers.
- Impose sanctions on the credential-holders who violate laws and regulations.
- Collect revenues for the construction and maintenance of the State’s highways, collect information on revenues, credentials and credential-holders, and provide it to all those with a legitimate need to know.

*Closure of the Stamford satellite office is recommended in the Governor’s budget as part of her proposal to streamline state government.*

## RECENT HIGHLIGHTS

<b>FY 2007-08 Fast Facts</b>	
1.37 million	Calls to Phone Center
1.8 million	Visitors to Branch Offices
3.04 million	Registered Motor Vehicles
2.56 million	Licensed Drivers
102,096	Voters Registered *
\$ 408 million	Revenue Collected by DMV

- Provided administrative leadership and staffing for the Governor’s Task Force on Teen Driving, which resulted in significant changes to the State’s graduated license program for teen drivers and in the establishment of the Connecticut Teen Driving Center.
- Established the DMV Diversity Management Council to examine agency policies, procedures and systems to ensure that each agency employee is treated equally and respectfully and has the opportunity to reach full potential.
- Renewed and expanded the contract for the driver license system to enhance service delivery options, add security features and set the stage for meeting the requirements of the Federal Real ID Act.
- Introduced a pilot program in the Wethersfield Branch Office to accept credit and debit cards as payment for credentials and transactions.
- Reengineered the processes, procedures and systems utilized in the sanctioning of drivers to comply with the requirements of the Federal Motor Carrier Safety Improvement Act (MCSIA).

\* Motor Voter Registration cards are included in License Renewal correspondence, filled out by the customer, returned to DMV, and forwarded to the Secretary of State for processing.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

	<u>2009-2010</u>	<u>2010-2011</u>
• Remove or Limit Inflation	-372,032	-633,462
• Rollout FY2009 Rescissions	-1,704,352	-1,704,352
• Eliminate Vacant Positions	-771,824	-771,824
• OE/OCE General Reductions	-99,205	-99,205
• Eliminate or Defer New Leases - Norwich Branch	-140,626	-140,626
<i>Funding is removed to defer the lease and occupancy of the Norwich Branch until 7/1/11.</i>		
• Streamline Agency Operations - Consolidations	-64,345	-64,345
<i>Funding is removed for the operation of the Stamford part-time branch.</i>		
• Suspend Vision Screening Program	-1,565,247	-1,478,725
<i>Funding is removed so as to suspend the Vision Screening Program.</i>		
• Defer the Replacement of Equipment	-438,448	-376,680

**Reallocations or Transfers**

- Reallocate Insurance Enforcement Costs to Personal Services and Other Expenses 0 0  
*Funding is reallocated from the Insurance Enforcement Other Current Expense line to Personal Services and Other Expenses. This transfer amount reflects the net balance of the Rollout FY2009 Rescissions Significant Change.*

**New or Expanded Services**

- |  | <u>2009-2010</u> | <u>2010-2011</u> | <u>2011-2012</u> |
|--|------------------|------------------|------------------|
| • Driver Surcharge Program Operating Costs           | 250,000          | 250,000          | 250,000          |
| • Consolidations - Consolidate Weigh Stations to DMV | 707,908          | 707,908          | 746,959          |
- Funding is provided for 13 positions (11 non-sworn transferred from the Department of Public Safety and 2 new Motor Vehicle Inspector positions) in order to designate all weigh station operation, personnel, responsibility and vigilance to the DMV.*

**AGENCY SUMMARY****Personnel Summary**

	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Total	Change From	Total	Change From	Total
	Authorized	2008-2009	Recommended	2009-2010	Recommended
<u>Permanent Full-Time Positions</u>					
Special Transportation Fund	649	-24	625	0	625
Auto Emissions	63	0	63	0	63
Special Funds, Non-Appropriated	3	0	3	0	3
Federal Contributions	24	0	24	0	24
<u>Other Positions Equated to Fulltime</u>					
Special Transportation Fund	102	0	102	0	102
Auto Emissions	10	0	10	0	10

**Financial Summary**

	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Estimated	Current	Total	Current	Total
	Estimated	Services	Recommended	Services	Recommended
Personal Services	44,263,436	45,781,443	45,404,832	46,444,343	46,084,063
Other Expenses	15,369,219	16,575,264	15,559,017	16,575,264	15,553,199
<u>Capital Outlay</u>					
Equipment	917,829	1,004,388	543,741	985,532	586,653
<u>Other Current Expenses</u>					
Insurance Enforcement	626,796	643,237	0	653,750	0
Commercial Veh Info Sys & Networks Project	268,850	283,000	268,850	283,000	268,850
Vision Screening Program	0	1,565,247	0	1,478,725	0
Driver Surcharge Program	0	0	250,000	0	250,000
TOTAL - Other Current Expenses	895,646	2,491,484	518,850	2,415,475	518,850
TOTAL - Special Transportation Fund	61,446,130	65,852,579	62,026,440	66,420,614	62,742,765
<u>Additional Funds Available</u>					
Federal and Other Activities	2,620,448	1,489,198	1,489,198	1,489,198	1,489,198
Auto Emissions	5,500,000	6,500,000	6,500,000	6,500,000	6,500,000
Special Funds, Non-Appropriated	613,813	627,038	627,038	639,055	639,055
Bond Funds	18,852,652	0	0	0	0
TOTAL - All Funds Net	89,033,043	74,468,815	70,642,676	75,048,867	71,371,018

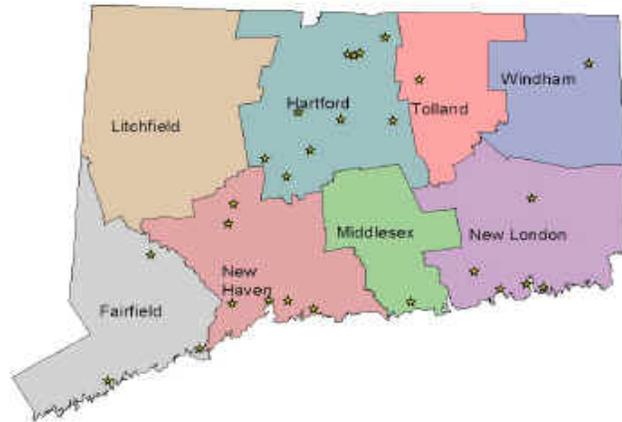


# MILITARY DEPARTMENT

## AGENCY PURPOSE

<http://www.mil.state.ct.us/>

- The Military Department is composed of the Connecticut Army National Guard, Air National Guard and the State Militia.
- The state mission is to provide trained and disciplined forces for domestic emergencies or as otherwise required by law.
- The federal mission is to maintain properly trained and equipped units available for prompt mobilization for war or national emergencies.
- Collectively, the Connecticut Army and Air National Guard and the organized militia augment federal, state, and local emergency responders in the event of large-scale emergencies and disasters, providing trained military personnel, military facilities, equipment and supplies.



Connecticut Military Facilities

## RECENT HIGHLIGHTS

### *Troop Deployment*

- Currently there are over 900 Connecticut National Guard service members either deployed or preparing to deploy during this budget cycle to the Middle East and Afghanistan in support of the Global War on Terror. Deployed units include elements of the 1109<sup>th</sup> Aviation Classification Repair Activity Depot and members of the 103<sup>rd</sup> Security Squadron and Air Control Squadron.
- Within the past year the 143<sup>rd</sup> Combat Service Support Battalion, the 192<sup>nd</sup> Engineer Battalion, the 134<sup>th</sup> and 643<sup>rd</sup> Military Police Companies, the 185<sup>th</sup> Aviation Company, the 1048<sup>th</sup> Transportation Company, elements of the 1109<sup>th</sup> AVCRAD, a composite Embedded Training Team and various elements of the Air National Guard have returned from their tours of duties in Iraq, Afghanistan, Italy and Germany.
- Processed over 3423 Combat Zone Military Bonus Payments to members of the Connecticut National Guard who have served during deployments in support of the Global War on Terror.

### *Drug Programs*

- Provided over \$1.1 million in federal support to Connecticut's drug interdiction and demand-reduction activities through the CT National Guard Counter Drug Program.
- Supported communities through training and community service programs, including STARBASE, Counter Drug and Drug Demand Reduction programs.
- Construction and Maintenance Projects
- Connecticut ranks within the top ten states in Military Construction funding provided by the federal government to state government to achieve quality

facilities to support the Readiness of the Connecticut National Guard (CTNG). This is quite an accomplishment for such a small state and enhances the state's military readiness, which is a necessary component of Connecticut's security plans and disaster response capabilities.

### *Readiness Centers*

- Received preliminary approval, during the planning and programming phase, for over \$204 million of Federal Construction and Consultant Design funding. This funding is directed by Congress and normally referred to as the Future Years Defense Program or the Military Construction appropriations.
- Approved projects also include new Readiness Centers/Armories in Windsor Locks, Middletown, and a new Depot Level Vehicle Maintenance Facility in Windsor Locks.
- Commenced construction of a Readiness Center located at Camp Rell in East Lyme valued at \$18 million to support a new Military Police Battalion and Company Headquarters.
- Completed design build package for the Regimental Training Institute Academy located at Camp Rell in East Lyme, valued at \$32 million, with construction scheduled for FY2009.
- Designed and planned 35% of the East Haven Rifle Range improvements valued at \$13.8 million with construction scheduled for FY2009.
- Participated in plan development to establish Joint Armed Forces Reserve Centers of Excellence with other Reserve Components for the joint stationing of military units, thereby eliminating the requirement for independent facilities, increasing building usage and reducing associated overhead costs.

**Completed Projects**

Completed a \$5 million Southington Readiness Center expansion and renovation project and three 15,000 square feet Controlled Humidity Preservation Buildings in East Lyme at a total construction cost of \$2 million.

Completed a master plan for our Logistic Support Facility at Camp Hartell in Windsor Locks.

**Minor Construction/Maintenance-Repair Program**

Completed 10 minor construction and maintenance repair projects valued at over \$2 million to improve the quality of life and mobilization capabilities for the CTNG service members.

**RECOMMENDED SIGNIFICANT CHANGES**

<b>Reductions to Current Services</b>	<u>2009-2010</u>	<u>2010-2011</u>
• OE/OCE General Reductions <i>25% reduction to cellular communication services and out of state travel; 20% to motor vehicle rentals, supplies, fuels and food and beverage; 10% to in-state travel.</i>	-20,948	-20,948
• Transfer Equipment to CEPF	-339,800	-301,100
• Reduce Funding for Militia Annual Training <i>Militia is comprised of the Governor's First and Second Foot Guards and the First and Second Horse Guards.</i>	-108,147	-108,147
• Remove Remaining Operating Costs for the Bristol Armory <i>The agency has begun the surplus property process through the Assets Management Unit of the Office of Policy and Management.</i>	0	-79,979
• Remove Remaining Operating Costs for the Putnam Armory <i>The agency has begun the surplus property process through the Assets Management Unit of the Office of Policy and Management.</i>	0	-30,679
• Adjust Funding Related to the Governor's Horse Guard <i>Approximately \$150,500 remains in Other Expenses for animal care.</i>	-16,727	-16,727
• Remove or Limit Inflation	-97,607	-252,169
<b>Within Current Services</b>		
• Remove Lead Contamination at the Hartford Armory	106,000	-106,000

**AGENCY SUMMARY****Personnel Summary**

	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Total	Change From	Total	Change From	Total
	Authorized	2008-2009	Recommended	2009-2010	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	51	0	51	0	51
Federal Contributions	62	0	62	0	62
<u>Other Positions Equated to Fulltime</u>					
General Fund	3	-3	0	0	0
Federal Contributions	6	0	6	0	6

**Financial Summary**

	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	3,523,390	3,613,192	3,505,045	3,659,090	3,550,943
Other Expenses	3,182,931	3,380,999	3,343,324	3,274,999	3,126,666
<u>Capital Outlay</u>					
Equipment	0	339,900	100	301,200	100
<u>Other Current Expenses</u>					
Honor Guard	319,500	319,500	319,500	319,500	319,500
Veterans' Service Bonuses	250,000	306,000	306,000	306,000	306,000
TOTAL - Other Current Expenses	569,500	625,500	625,500	625,500	625,500
TOTAL - General Fund	7,275,821	7,959,591	7,473,969	7,860,789	7,303,209
<u>Additional Funds Available</u>					
Federal and Other Activities	13,875,903	14,596,171	14,596,171	15,377,144	15,377,144
Bond Funds	153,350	284,800	284,800	399,600	399,600
Private Funds	101,258	114,821	114,821	128,562	128,562
TOTAL - All Funds Net	21,406,332	22,955,383	22,469,761	23,766,095	23,208,515



# COMMISSION ON FIRE PREVENTION & CONTROL

## AGENCY PURPOSE

<http://www.ct.gov/cfpc>

- Reduce death, injury and property damage due to fire, emergencies and other man-made or natural disasters by increasing the proficiency of fire service personnel through training, education and certification.
- Serve as the primary advocate for Connecticut's fire service within state and federal government.
- Provide technical assistance, guidance and resource services to the fire and emergency services community.
- Raise the fire and life safety awareness level of the public.

## RECENT HIGHLIGHTS

- Delivered 623 training programs which served 11,235 students resulting in 230,232 contact hours.
- Certified 2,004 Connecticut fire service personnel to any of twenty-two levels of professional competency.
- Placed into operation three new Regional Foam Trailer units hosted by the Danbury, Willington and Winsted Fire Departments. There are now eight units strategically located and deployed statewide.
- Administered Candidate Physical Ability Testing (CPAT) to 1,042 registered candidates resulting in a 93% pass rate.
- Administered the Entry-level Training Reimbursement Program providing approximately \$400,000 in fiscal relief to municipalities and fire departments for the cost of entry level training of their fire service personnel.
- Transitioned the Recruit Firefighter Training Program from ten to fourteen weeks exceeding the minimum requirements of NFPA 1001 Standard for Firefighter Professional Qualifications.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

	<u>2009-2010</u>	<u>2010-2011</u>
• Rollout FY2009 Rescissions	-85,965	-85,965
<i>Continuation of reductions to the following accounts: Firefighter Training 1, Payments to Volunteer Fire Companies and Fire Training Schools in Willimantic, Torrington, New Haven, Derby, Wolcott, Fairfield, Hartford, Middletown and Stamford.</i>		
• OE/OCE General Reductions	-9,946	-9,946
<i>25% reduction to cellular communication and beeper/pager services; 20% reduction to motor vehicle rentals, supplies, fuels and food and beverage; 10% reduction to subscriptions.</i>		
• Transfer Equipment to CEPF	-428,941	-502,841
• Suspend Funding for Firefighter Training 1	-555,250	-555,250
<i>Funding was provided in FY2008 by the legislature to reimburse municipalities and municipal fire companies for one half the cost of Firefighter 1 certification and recruit training. More local assistance will be required.</i>		
• Reduce Funding for Payments to Volunteer Fire Companies	-100,000	-100,000
<i>The Supplemental Grant Award Program provides annual payments of \$1,200, within available funds per CGS Section 7-323r, to eligible volunteer fire companies statewide.</i>		
• Remove or Limit Inflation	-42,385	-65,928

### Reallocations or Transfers

• Combine Accounts for the Fire Training School - Stamford	0	0
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## AGENCY SUMMARY

### Personnel Summary

	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Total	Change From	Total	Change From	Total
	Authorized	2008-2009	Recommended	2009-2010	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	18	0	18	0	18

<b>Financial Summary</b>	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	1,734,987	1,752,421	1,752,421	1,778,546	1,778,546
Other Expenses	709,702	727,474	717,528	759,708	749,762
<u>Capital Outlay</u>					
Equipment	0	429,041	100	502,941	100
<u>Other Current Expenses</u>					
Firefighter Training I	555,250	595,000	0	595,000	0
<u>Pmts to Other than Local Govts</u>					
Fire Training School - Willimantic	161,798	170,314	161,798	170,314	161,798
Fire Training School - Torrington	81,367	85,650	81,367	85,650	81,367
Fire Training School - New Haven	48,364	50,910	48,364	50,910	48,364
Fire Training School - Derby	37,139	39,094	37,139	39,094	37,139
Fire Training School - Wolcott	100,162	105,434	100,162	105,434	100,162
Fire Training School - Fairfield	70,395	74,100	70,395	74,100	70,395
Fire Training School - Hartford	169,336	178,248	169,336	178,248	169,336
Fire Training School - Middletown	59,053	62,161	59,053	62,161	59,053
Fire Training School - Stamford	3,182	3,350	0	3,350	0
Payments to Volunteer Fire Companies	195,000	200,000	95,000	200,000	95,000
Fire Training School - Stamford	52,250	55,000	55,432	55,000	55,432
TOTAL - Pmts to Other than Local Govts	978,046	1,024,261	878,046	1,024,261	878,046
TOTAL - General Fund	3,977,985	4,528,197	3,348,095	4,660,456	3,406,454
<u>Additional Funds Available</u>					
Bond Funds	987,830	0	0	0	0
Private Funds	2,408,892	1,472,405	1,472,405	1,472,405	1,472,405
TOTAL - All Funds Net	7,374,707	6,000,602	4,820,500	6,132,861	4,878,859



# DEPARTMENT OF BANKING

## AGENCY PURPOSE

<http://www.ct.gov/dob>

- Protect Connecticut consumers and investors.
- Administer the state's banking and related laws.
- Ensure the safety and soundness of regulated depository institutions.

## RECENT HIGHLIGHTS

### *New Banks*

The Financial Institutions Division opened one state-chartered bank, Quinnipiac Bank and Trust in Hamden. As of the end of the fiscal year, there were two state-chartered domestic banks in various stages of organization: Harbor Bank & Trust, Fairfield; and The Bank of Fairfield, Fairfield.

### *Consumer Credit Division*

As a result of increased enforcement focus, the Consumer Credit Division was involved in approximately 162 enforcement actions resulting in a variety of actions against licensees and civil penalties of \$1,004,000.

### *Over \$7 Million Returned to Consumers and Investors*

Intervention by the Securities and Business Investments Division during the fiscal year resulted in restitution and rescission offers to the investing public totaling \$5,230,888.

The Government Relations and Consumer Affairs Division obtained \$1,841,258 in adjustments and reimbursements on behalf of consumers during the fiscal year, while assisting consumers with approximately 3,072 written complaints and 15,000 telephone inquiries.

The public received restitution of approximately \$116,885 related to penalties imposed upon licensees by the Consumer Credit Division as part of the examination process.

The agency's security deposit investigator resolves 319 landlord tenant disputes during the fiscal year and recovered \$137,045.

### *Security and Business investments Division*

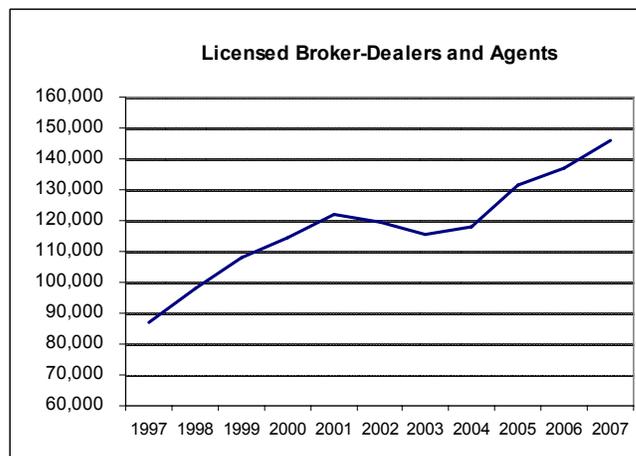
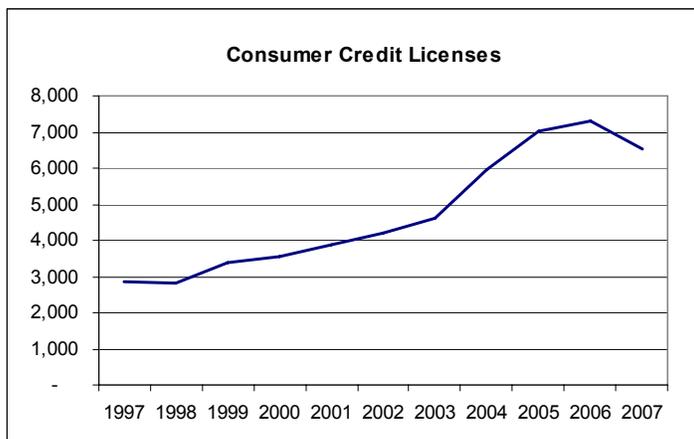
The Securities and Business Investments Division serves Connecticut citizens by providing a local enforcement presence to protect investors from securities fraud. During FY 2007-08, the division imposed \$1,939,900 in fines for violations of the state's securities and business opportunity laws.

A portion of the fines levied came about as a result of negotiated settlements with securities brokerage firms and others, including three firms that were fined \$400,000 in the aggregate for failing to supervise an agent convicted of misappropriating client funds. The Division also focused its enforcement efforts on fraudulent promissory note sales, oil and gas scams and schemes to defraud senior citizens. The Division provided assistance to state and federal prosecutors in two additional cases involving defendants accused of selling non-existent investments and converting the funds of Connecticut investors, respectively.

### *Seminars and Conferences*

In June 2008, the agency sponsored a "Safe Investing Seminar" for seniors in Hartford. The seminar was co-hosted by AARP and offered information for consumers how to protect themselves from securities fraud and avoid identity theft.

The Department held its 19th annual Securities Forum in Cromwell in October 2007.



**RECOMMENDED SIGNIFICANT CHANGES****Reductions to Current Services**

- OE/OCE General Reductions
- Remove or Limit Inflation

<u>2009-2010</u>	<u>2010-2011</u>
-28,380	-28,380
-23,684	-49,998

**New or Expanded Services**

- Update the Agency's Complaint, Licensing and Examination System (CELS)

<u>2009-2010</u>	<u>2010-2011</u>	<u>2011-2012</u>
100,000	11,000	11,000

*This update will maintain the State of Connecticut's compliance with the Safe and Fair Enforcement Mortgage Licensing Act.*

**AGENCY SUMMARY****Personnel Summary**

	2008-2009 Total Authorized	2009-2010 Change From 2008-2009	2009-2010 Total Recommended	2010-2011 Change From 2009-2010	2010-2011 Total Recommended
<u>Permanent Full-Time Positions</u>					
Banking Fund	129	0	129	0	129

**Financial Summary**

	2008-2009 Estimated	2009-2010 Current Services	2009-2010 Total Recommended	2010-2011 Current Services	2010-2011 Total Recommended
Personal Services	10,605,361	10,785,132	10,785,132	11,072,611	11,072,611
Other Expenses	1,749,702	1,903,115	1,974,735	1,903,115	1,885,735
<u>Capital Outlay</u>					
Equipment	95	18,984	18,984	21,708	21,708
<u>Other Current Expenses</u>					
Fringe Benefits	5,979,741	5,982,965	5,982,965	6,187,321	6,187,321
Indirect Overhead	234,139	879,332	879,332	905,711	905,711
TOTAL - Other Current Expenses	<u>6,213,880</u>	<u>6,862,297</u>	<u>6,862,297</u>	<u>7,093,032</u>	<u>7,093,032</u>
TOTAL - Banking Fund	18,569,038	19,569,528	19,641,148	20,090,466	20,073,086
<u>Additional Funds Available</u>					
Private Funds	281,488	281,488	281,488	281,488	281,488
TOTAL - All Funds Net	18,850,526	19,851,016	19,922,636	20,371,954	20,354,574



# INSURANCE DEPARTMENT

## AGENCY PURPOSE

The mission of the Connecticut Insurance Department is to serve consumers in a professional and timely manner by providing assistance and information to the public and to policy makers, by regulating the Insurance Industry in a fair

and efficient manner which promotes a competitive and financially sound Insurance market for consumers, and by enforcing the insurance laws to ensure that consumers are treated fairly and are protected from unfair practices.

<http://www.ct.gov/cid>

## RECENT HIGHLIGHTS

### Market Conduct Unit

The Market Conduct Unit recovered \$1,968,729 in fines as a result of comprehensive Market Conduct examinations and investigations and utilization review surveys, during the 2007-2008 fiscal year.

### Legal Division

The Legal Division, during FY 2007-2008, promulgated two regulations and assisted Department divisions in 208 administrative enforcement proceedings or stipulated settlements that resulted in the assessment of \$4,217,893 in fines and penalties. The Division also supported 52 insurance rate hearings and participated in five hearings under the Connecticut Insurance Holding Act regarding the merger or acquisition of control of a Connecticut domiciled insurer.

### Financial Regulation Division

During 2007, the Division received 31 insurance company license applications and approved 31 license applications.

### Consumer Affairs Unit

During FY 2007-2008, the unit responded to almost 6,000 formal complaints, handled over 21,000 phone calls, and provided consumer education through distribution of over 1,200 informational pamphlets and booklets. As a direct result of this Unit's involvement, \$2,509,914 was recovered by Connecticut consumers during the fiscal year.

### Financial Examination Division

During 2007, the Financial Examination Division commenced 9 insurance company examinations. These insurance companies combined had over \$39 billion in written premium in 2007.

### Assurant Settlement

The Department reached the largest regulatory settlement agreement in its history as a collaborative effort of the Market Conduct Unit, Consumer Affairs Unit, and the Legal Division. The settlement required two Assurant Health insurers to implement a corrective action plan and required the insurers to pay approximately \$920,000 in restitution to insureds and \$2.1 million in monetary penalties.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

- Remove or Limit Inflation
- Rollout FY2009 Rescissions
- OE/OCE General Reductions

	<u>2009-2010</u>	<u>2010-2011</u>
	-45,141	-75,675
	-6,725	-6,725
	-17,790	-17,790

### Revenues

- Increase Fee on Foreign Company Appointments

	0	0
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*The increase makes the foreign company appointment fees equal to domestic company appointment fees.*

## AGENCY SUMMARY

### Personnel Summary

#### Permanent Full-Time Positions

	2008-2009 Total Authorized	2009-2010 Change From 2008-2009	2009-2010 Total Recommended	2010-2011 Change From 2009-2010	2010-2011 Total Recommended
Insurance Fund	149	0	149	0	149
Private Funds	3	0	3	0	3
<u>Other Positions Equated to Fulltime</u>					
Insurance Fund	8	0	8	0	8

<b>Financial Summary</b>	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	13,206,743	13,337,009	13,337,009	13,770,005	13,770,005
Other Expenses	2,088,612	2,597,549	2,579,759	2,598,218	2,580,428
<u>Capital Outlay</u>					
Equipment	127,775	109,100	102,375	108,100	101,375
<u>Other Current Expenses</u>					
Fringe Benefits	7,215,610	7,784,395	7,784,395	8,216,348	8,216,348
Indirect Overhead	175,000	370,204	370,204	395,204	395,204
TOTAL - Other Current Expenses	7,390,610	8,154,599	8,154,599	8,611,552	8,611,552
TOTAL - Insurance Fund	22,813,740	24,198,257	24,173,742	25,087,875	25,063,360
<u>Additional Funds Available</u>					
Private Funds	500,000	655,000	655,000	685,000	685,000
TOTAL - All Funds Net	23,313,740	24,853,257	24,828,742	25,772,875	25,748,360



# OFFICE OF CONSUMER COUNSEL

## AGENCY PURPOSE

The Office of Consumer Counsel (OCC) is the State of Connecticut's statutory advocate for all utility ratepayers (Conn. Gen. Stat. §16-2a et seq). OCC seeks to ensure just and reasonable rates and reliable utility service for customers of Connecticut's electric, gas, telephone, and water utilities and reasonable protections for cable television customers. The OCC's advocacy includes the promotion of beneficial policies for ratepayers, such as the conservation of energy resources.

The OCC participates actively in proceedings before the Connecticut Department of Public Utility Control (DPUC), the Federal Energy Regulatory Commission (FERC), the Federal Communications Commission (FCC), and state and federal

<http://www.ct.gov/occ>

courts. The OCC works to advance ratepayer concerns at the U.S. Congress and the Connecticut General Assembly. The OCC is a statutory party to all matters before the DPUC, including all contested matters and is authorized to appeal state regulatory decisions to court.

The OCC supports the State of Connecticut's Results-Based Accountability process by carefully identifying our constituency and quantifying the quantity, delivery, and quality of the services we provide utility consumers across the state. Accordingly, the OCC provides below a summary of recent highlights of the direct effects resulting from our efforts.

*In light of the current fiscal situation and the Governor's efforts to streamline state government and return it to its core functions, this commission is recommended for elimination in the Governor's budget.*

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

- Remove or Limit Inflation
- OE/OCE General Reductions
- Eliminate the Office of the Consumer Counsel

	2009-2010	2010-2011
• Remove or Limit Inflation	-11,717	-18,340
• OE/OCE General Reductions	-9,930	-9,930
• Eliminate the Office of the Consumer Counsel	-3,118,979	-3,224,134

## AGENCY SUMMARY

### Personnel Summary

#### Permanent Full-Time Positions

	2008-2009 Total Authorized	2009-2010 Change From 2008-2009	2009-2010 Total Recommended	2010-2011 Change From 2009-2010	2010-2011 Total Recommended
Public Utility Fund	17	-17	0	0	0

### Financial Summary

	2008-2009 Estimated	2009-2010 Current Services	2009-2010 Total Recommended	2010-2011 Current Services	2010-2011 Total Recommended
Personal Services	1,482,485	1,476,507	0	1,523,895	0
Other Expenses	527,934	566,901	0	566,901	0
<u>Capital Outlay</u>					
Equipment	21,565	10,000	0	9,500	0
<u>Other Current Expenses</u>					
Fringe Benefits	817,666	866,726	0	918,729	0
Indirect Overhead	224,321	208,775	0	215,039	0
TOTAL - Other Current Expenses	1,041,987	1,075,501	0	1,133,768	0
TOTAL - Public Utility Fund	3,073,971	3,128,909	0	3,234,064	0



# DEPARTMENT OF PUBLIC UTILITY CONTROL

## AGENCY PURPOSE

<http://www.ct.gov/dpuc>

- To ensure that safe, reliable, and fairly priced utility services are available throughout Connecticut.
- To balance the public's need for adequate utility service at reasonable rates with the providers' right to earn a reasonable return on their investment in those industries still wholly regulated.
- To design and oversee competitive utility service (telephone, commercial/industrial natural gas and electric service) with equity among the competitors while affording customers the economic benefit of competition along with adequate customer service.
- To assure that cable television providers' certificates are awarded and operated according to statute and regulation and that customer service is being provided in a timely and courteous manner.
- To perform management audits of public service companies.
- To perform research and analysis to provide data and support for adjudicatory functions.
- To monitor and enforce utility safety requirements.
- To resolve individual consumer complaints.
- To increase consumers' knowledge of their rights.
- To counter-balance utility companies' rate increase requests with an independently prepared, presented and defended opposing case.
- To educate consumers about changes taking place in the utility industry.

## RECENT HIGHLIGHTS

- Awarded approval for the construction of peaking electric generation plants pursuant to state statute and federal guidelines.
- Processed over 100 applications for Capital Grants to private/public entities that install distributed generation.
- Initiated multi-faceted public awareness campaign designed to inform ratepayers about energy efficiency, combined heat & power technologies, real time electricity pricing and alternative electric suppliers.
- Concluded rate cases for water utility companies and continue adjudicating rate cases for two of the state's three natural gas companies.
- Investigated problems concerning billing issues for one gas company and one electric distribution company.
- Recently began accepting applications for monetary grants to promote and expand public access offerings.
- Coordinated efforts among electric utilities regarding capacity needs and focused on methods to reduce the possibility of shortages of electricity in southwestern CT.

### ***Accessibility Improvements***

Revised the application process to make it easier for companies that provide competitive electric supply to register as approved providers; expanded the provision of e-mail service to case participants to further reduce the Department's costs; expanded capability to receive filings from case participants via the internet to further reduce cost and staff time; expanded the ability to accept customer contacts via the internet.

## RECOMMENDED SIGNIFICANT CHANGES

### ***Reductions to Current Services***

	<u>2009-2010</u>	<u>2010-2011</u>
• Remove or Limit Inflation	-37,837	-68,531
• Eliminate Vacant Positions	-156,000	-156,000
• OE/OCE General Reductions	-26,818	-26,818
• Eliminate Funding for the Nuclear Energy Advisory Council	-9,116	-9,116

**AGENCY SUMMARY****Personnel Summary**

	2008-2009 Total Authorized	2009-2010 Change From 2008-2009	2009-2010 Total Recommended	2010-2011 Change From 2009-2010	2010-2011 Total Recommended
<u>Permanent Full-Time Positions</u>					
Public Utility Fund	142	-1	141	0	141
Siting Council	11	0	11	0	11
<u>Other Positions Equated to Fulltime</u>					
Public Utility Fund	1	0	1	0	1
Siting Council	1	0	1	0	1

**Financial Summary**

	2008-2009 Estimated	2009-2010 Current Services	2009-2010 Total Recommended	2010-2011 Current Services	2010-2011 Total Recommended
Personal Services	11,653,159	12,226,237	12,126,237	12,603,089	12,503,089
Other Expenses	1,617,009	1,704,489	1,677,671	1,705,304	1,678,486
<u>Capital Outlay</u>					
Equipment	92,626	60,500	60,500	80,500	80,500
<u>Other Current Expenses</u>					
Fringe Benefits	6,834,901	7,101,159	7,045,159	7,302,693	7,246,693
Indirect Overhead	149,575	387,526	387,526	410,780	410,780
Nuclear Energy Advisory Council	8,661	9,116	0	9,116	0
TOTAL - Other Current Expenses	6,993,137	7,497,801	7,432,685	7,722,589	7,657,473
TOTAL - Public Utility Fund	20,355,931	21,489,027	21,297,093	22,111,482	21,919,548
<u>Additional Funds Available</u>					
Siting Council	2,228,692	2,527,709	2,527,709	2,598,665	2,598,665
Federal and Other Activities	408,020	420,256	420,256	433,200	433,200
TOTAL - All Funds Net	22,992,643	24,436,992	24,245,058	25,143,347	24,951,413



# OFFICE OF THE HEALTHCARE ADVOCATE

## AGENCY PURPOSE

<http://www.ct.gov/oha>

The Office of the Healthcare Advocate (OHA) assists health insurance consumers to make informed choices when selecting a plan, to understand their rights and responsibilities under their plan, to appeal denials of service and reimbursement, and to access services through information, referral and assistance.

OHA also provides information to the public, providers, agencies, and others regarding problems and concerns of health care consumers and makes legislative and regulatory recommendations to resolve those concerns.

*In light of the current fiscal situation and the Governor's efforts to streamline state government and return it to its core functions, this commission is recommended for elimination in the Governor's budget. The Insurance Department's Consumer Services Division will assume its functions.*

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

	<u>2009-2010</u>	<u>2010-2011</u>
• Remove or Limit Inflation	-3,020	-5,078
• Rollout FY2009 Rescissions	-4,000	-4,000
• OE/OCE General Reductions	-619	-619
• Eliminate the Office of the Healthcare Advocate	-1,047,634	-1,065,475

## AGENCY SUMMARY

### Personnel Summary

	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Total	Change From	Total	Change From	Total
	Authorized	2008-2009	Recommended	2009-2010	Recommended
<u>Permanent Full-Time Positions</u>					
Insurance Fund	7	-7	0	0	0
<u>Other Positions Equated to Fulltime</u>					
Insurance Fund	2	-2	0	0	0

### Financial Summary

	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	541,822	556,987	0	565,235	0
Other Expenses	137,542	144,970	0	144,970	0
<u>Capital Outlay</u>					
Equipment	1,266	2,400	0	2,400	0
<u>Other Current Expenses</u>					
Fringe Benefits	303,692	327,896	0	333,489	0
Indirect Overhead	23,750	20,000	0	24,000	0
TOTAL - Other Current Expenses	327,442	347,896	0	357,489	0
TOTAL - Insurance Fund	1,008,072	1,052,253	0	1,070,094	0



# DEPARTMENT OF CONSUMER PROTECTION

## AGENCY PURPOSE

- To eliminate the hazards of adulterated, contaminated, or unsanitary food products by regulating the manufacture and sale of food products in the State of Connecticut.
- To ensure the integrity of medication available for use in Connecticut and prevent the diversion of controlled drugs by regulating the manufacture, distribution and sale of drugs, cosmetics and medical devices in the state.
- To prevent the sale of alcoholic liquor to minors and intoxicated persons and ensure that licensed premises are safe and sanitary by regulating the distribution, sale, and dispensation of alcoholic liquor.
- To ensure that 229,000 people or businesses in 208 trades and professions are qualified to offer their services and/or products.
- To ensure the accuracy of all weighing and measuring devices in order to provide a fair and equitable marketplace for both buyer and seller.
- To protect both consumers and businesses from unfair, deceptive or unsafe practices through consumer education, mediation, arbitration and enforcement activities.

*The Board of Accountancy is recommended for consolidation with Department of Consumer Protection in the Governor's budget as part of her proposal to streamline state government.*

## RECENT HIGHLIGHTS

### ***Toy Safety and Food Recalls***

Pursuant to a national recall effectiveness order from the U. S. Consumer Product Safety Commission, the department confiscated hundreds of toys containing lead from retailers throughout the State of Connecticut during Fiscal Year 2007-2008 (FY08). It also conducted sixteen statewide recall effectiveness checks as a result of national food recalls by the USDA Food Safety Inspection Service and assisted the FDA during a national tomato outbreak investigation by testing samples for bacterial contamination.

### ***Home Heating Oil Contracts***

The department responded to the largest failure of a Connecticut home heating oil company in the state's history during FY08. More than 10,000 customers were affected by this closure and at least 1,800 filed complaints with the agency because they were out of fuel or without a service agreement for home heating oil services. The monetary loss to consumers is estimated to be between \$3 and \$4 million. The department continues to work aggressively on new and existing legislative initiatives in order to increase its protection of the public in this area.

### ***Alcohol Compliance Checks***

With the assistance of a federal grant, the department worked with municipal and state police on alcohol compliance checks designed to prevent underage drinking. In FY08, the agency conducted 553 compliance checks - an 11% increase over the previous year - as a direct result of this grant. 170 of these stores checked for compliance failed by selling alcoholic liquor to a minor and, as a result, violators face fines and possible suspension of their licenses.

### ***Unscrupulous Home Contractors***

In its continued efforts to protect consumers from unscrupulous home improvement contractors operating in Connecticut, the department conducted a 12 week *sting* operation that found 134 home improvement contractors who had either failed to register with the agency as legally required or for violating other provisions of the Home Improvement Act. Furthermore, \$2.5 million in restitution was paid to Connecticut consumers from the Home Improvement Guaranty Fund during FY08.

### ***Prescription Monitoring Program***

With the assistance of a federal grant, the department implemented a new statewide software program that will be used by physicians, pharmacists and regulatory officials to monitor the distribution of prescription drugs, identify patterns of abuse and allow - where necessary - enforcement action. This important public health and safety program entails the education and training of hundreds of health care professionals and law enforcement personnel throughout Connecticut.

### ***Online Customer Services***

The department significantly expanded and improved online services to its customers during FY08. Businesses and individuals may now renew their licenses and change their addresses and phone numbers online. The public can also verify license information, download rosters of all agency license types, access board and commission meeting minutes, view consumer complaint information, agency regulations, hearing decisions and a wide variety of consumer education information and materials.

**RECOMMENDED SIGNIFICANT CHANGES**

	<u>2009-2010</u>	<u>2010-2011</u>
<b>Reductions to Current Services</b>		
• Remove or Limit Inflation	-27,741	-44,676
• Rollout FY2009 Rescissions	-143,154	-143,154
• Eliminate Vacant Positions	-118,000	-118,000
• OE/OCE General Reductions	-95,162	-95,162
• Transfer Equipment to CEPF	-100,000	-100,000
<b>Reallocations or Transfers</b>		
• Streamline Agency Operations - Transfer the Board of Accountancy to the Department of Consumer Protection	322,069	326,170

**AGENCY SUMMARY**

<b>Personnel Summary</b>	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Total	Change From	Total	Change From	Total
	Authorized	2008-2009	Recommended	2009-2010	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	147	-1	146	0	146
Federal Contributions	1	0	1	0	1
Private Funds	32	0	32	0	32
<u>Other Positions Equated to Fulltime</u>					
General Fund	6	2	8	0	8
<b>Financial Summary</b>	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	10,452,754	11,000,000	11,017,712	11,300,000	11,322,307
Other Expenses	1,381,415	1,429,306	1,377,347	1,363,689	1,311,236
<u>Capital Outlay</u>					
Equipment	0	100,100	100	100,100	100
TOTAL - General Fund	11,834,169	12,529,406	12,395,159	12,763,789	12,633,643
<u>Additional Funds Available</u>					
Federal and Other Activities	359,500	444,800	444,800	445,100	445,100
Bond Funds	395,049	0	0	0	0
Private Funds	4,299,029	4,472,583	4,472,583	4,740,938	4,740,938
TOTAL - All Funds Net	16,887,747	17,446,789	17,312,542	17,949,827	17,819,681



# DEPARTMENT OF LABOR

## AGENCY PURPOSE

The mission of the Department of Labor is to protect and promote the interests of Connecticut's workers and assist workers and employers to be competitive in the global economy.

The department accomplishes its mission by providing a variety of services that benefit the workplace. These services include: income support that assists workers between jobs and stimulates the local economy; protection on the job (through regulation of wages, safety and working conditions, and on-site health and safety consultations); work-related training programs; job search and recruitment assistance (through the Connecticut Job Bank, local and regional job fairs, and employer recruitments at *CT Works* offices); tax credit incentive programs and maintenance of the collective bargaining relationship. As the Connecticut arm of the U.S. Bureau of Labor Statistics, the department collects, analyzes and disseminates workforce data to inform businesses, the general public, educational institutions, and government planners and policymakers about employment issues and trends.

The services provided by DOL and the resulting successes of individuals using those services are heavily impacted by

*Certain programs within the Department of Labor are proposed for elimination through consolidation with the newly formed Connecticut Middle College System in the Governor's budget as part of her initiative to streamline state government.*

## RECENT HIGHLIGHTS

### **Office for Veterans' Workforce Development**

Veterans' Employment Representatives provided employment and training services to 6,378 veterans and facilitated employment workshops for the Transition Assistance Program (TAP) at the U.S. Naval Submarine Base in New London to help men and women leaving the armed forces transition to civilian careers. In partnership with both the state and federal Department of Veterans' Affairs and the Connecticut Military Department, demobilization services were provided to the returning troops from the Connecticut National Guard and U.S. Armed Forces Reserves.

### **Connecticut Job & Career Connection**

The Military Skills Translator, a new on-line feature in DOL's Connecticut Job & Career Connection (JCC), incorporates Military Occupation Classifications (MOC) to identify similar occupations in the civilian workforce. The JCC provides job descriptions, education and training requirements, wage information and employment projections for nearly 800 occupations, making it the state workforce system's prime career information resource.

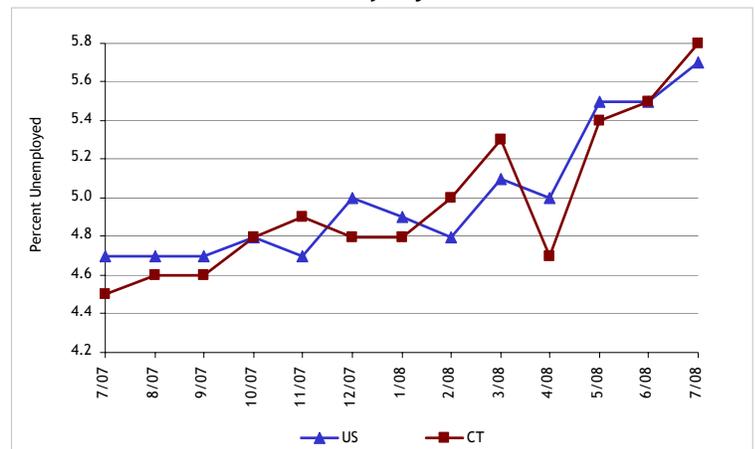
### **Federal Grant**

DOL has recently been awarded a \$2 million federal grant to help workers in declining industries. The money is part of \$17.5 million in grants awarded by the U.S. Department of Labor to state labor agencies for dislocated worker projects

<http://www.ctdol.state.ct.us/>

Connecticut's unemployment rate. The chart below reflects the non-farm unemployment rates for Connecticut and the US for July 2007 through July 2008.

UNEMPLOYMENT RATE - CONNECTICUT AND U.S.  
2007-2008  
Seasonally Adjusted



that focus on retraining, re-educating and re-employment. The Connecticut Department of Labor was one of 10 agencies nationwide to receive a grant. It will be used to develop an advance notification system for declining industries, with the goal of averting layoffs and raising the educational and skill levels of dislocated workers. The notification system will be developed using data from the agency's Office of Research. The project will also include the Department of Economic and Community Development, with training and education services offered through additional partnerships.

### **Disability Program Navigator Grant**

In May 2007, the Connecticut Department of Labor was awarded a two-year \$1.18 million Disability Program Navigator Grant from the USDOL. This grant established a state level Lead Program Navigator at the CT Department of Labor and six regional Disability Program Navigators located at the CTWORKS One-Stop Centers. The role of the navigators is to coordinate assistance to people with disabilities in order to "navigate" through the challenges of attaining employment. This grant also furthers the collaboration between DOL, local workforce investment boards, the Bureau of Rehabilitation Services, employers and other partners providing programs and services to persons with disabilities.

**Employer Education Breakfast Seminar Series**

Due to high demand, new topics were added to the agency's popular Employer Education Breakfast Seminar Series. Seminar choices now include: Introduction to Employment Law, Unemployment Insurance 101, The Essentials of Connecticut's Wage and Hour Laws, Connecticut's Family and Medical Leave Act (FMLA) and Drug Testing in the Connecticut Workplace. These seminars educate human resources professionals, business owners, attorneys and other interested parties about Connecticut employment laws. More than 450 participants attended seminars during the past year.

**Program Policy**

A DOL program policy staff member served as a faculty member for a nationwide on-line learning course for state

workforce agency adjudicators. This web-based course, which is coordinated by the National Judicial College, educates adjudicators on interviewing and fact-finding methods, weighing evidence and making legal determinations.

**Direct Deposit/Debit Card Program**

As part of the UI Modernization efforts currently underway, the Labor Department is working on a new service that will allow customers collecting unemployment insurance to have their benefits paid through direct deposit or a debit card. This service will improve our unemployment benefits program at the agency, with the ultimate goal of saving postage and printing costs and providing more efficient service to our customers.

**RECOMMENDED SIGNIFICANT CHANGES****Reductions to Current Services**

	<u>2009-2010</u>	<u>2010-2011</u>
• Remove or Limit Inflation	-616,143	-1,040,680
• Rollout FY2009 Rescissions	-1,592,970	-1,592,970
• Eliminate Vacant Positions	-297,518	-298,518
• OE/OCE General Reductions	-45,995	-45,995
• Transfer Equipment to CEPF	-70,922	-60,203
• Eliminate Funding for Connecticut's Youth Employment Program	-4,750,000	-4,750,000
<i>Remaining funding after rollout of FY2009 rescission of \$250,000 is eliminated. It is anticipated that the federal stimulus legislation will provide additional funding for initiatives such as summer youth employment.</i>		
• Re-bid Contracted Services for Jobs First Employment Services and TANF Job Reorganization	-3,496,607	-3,496,607
<i>It is anticipated that considerable savings can be achieved through a competitive bid process for the services required to place persons on Temporary Family Assistance in appropriate employment and training activities.</i>		
• Eliminate Funding for Individual Development Accounts	-570,000	-570,000
• Transfer Funding for Unemployment Compensation Benefits for Military Spouses To UI Trust Fund	-175,000	-175,000
<i>Unemployment compensation benefits for military spouses are fully funded through the Unemployment Insurance trust fund.</i>		
• Eliminate Funding for Occupational Health Clinics	-674,587	-674,587
<i>Occupational Health Clinics are funded from the Workers' Compensation Fund. Support for the collection of injury data is redundant of other data collection efforts. Occupational injury data is available from other sources.</i>		
• Reduce Funding for Various Programs	-370,999	-370,999
<i>Due to anticipated efficiencies employment service and training related program funds and associated staff are transferred to the Middle College initiative.</i>		
<b>Reallocations or Transfers</b>		
• Reallocate Funds For Job Training and Education to the Middle College Initiative	-2,882,665	-2,883,361
<i>Funds and related positions for specific programs are transferred to the newly created Middle College. Programs transferred from DOL are Opportunity Industrial Centers, STRIDE, the Apprenticeship Program, Connecticut Career Resource Center, 21st Century Jobs, Incumbent Worker Training and STRIVE.</i>		
• Combine Funding JOBS First Employment Services and TANF Job Reorganization	0	0
<i>Funding in the TANF Job Reorganization account is combined with funding for the JFES Program as both accounts serve the same function. There is no program impact as a result of this reallocation.</i>		

**AGENCY SUMMARY**

<b>Personnel Summary</b>	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Total	Change From	Total	Change From	Total
	Authorized	2008-2009	Recommended	2009-2010	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	232	-23	209	0	209
Employment Security Admin Fund	578	0	578	0	578
Private Funds	11	0	11	0	11
<u>Other Positions Equated to Fulltime</u>					
General Fund	4	0	4	0	4
Employment Security Admin Fund	20	-3	17	0	17
Special Funds, Non-Appropriated	1	0	1	0	1
<b>Financial Summary</b>	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Estimated	Current	Total	Current	Total
	Estimated	Services	Recommended	Services	Recommended
Personal Services	8,439,710	8,928,333	8,630,815	9,047,224	8,748,706
Other Expenses	1,491,531	1,548,136	1,502,141	1,548,371	1,502,376
<u>Capital Outlay</u>					
Equipment	50	71,022	100	60,303	100
<u>Other Current Expenses</u>					
Workforce Investment Act	24,464,108	22,957,988	22,957,988	22,957,988	22,957,988
Connecticut's Youth Employment Program	4,750,000	5,000,000	0	5,000,000	0
Jobs First Employment Services	15,521,077	16,692,533	18,555,803	16,694,693	18,557,963
Opportunity Industrial Centers	475,000	500,000	0	500,000	0
Individual Development Accounts	570,000	600,000	0	600,000	0
STRIDE	285,000	300,000	0	300,000	0
Apprenticeship Program	621,965	656,582	0	656,582	0
Connecticut Career Resource Network	156,514	166,143	0	166,839	0
21st Century Jobs	951,859	1,002,010	0	1,002,010	0
TANF Job Reorganization	6,175,000	6,501,776	0	6,501,776	0
Incumbent Worker Training	475,000	500,000	0	500,000	0
STRIVE	285,000	300,000	0	300,000	0
Unemployment Benefits for Military Spouses	175,000	175,000	0	175,000	0
TOTAL - Other Current Expenses	54,905,523	55,352,032	41,513,791	55,354,888	41,515,951
TOTAL - General Fund	64,836,814	65,899,523	51,646,847	66,010,786	51,767,133
<u>Other Current Expenses</u>					
Customized Services	2,500,000	0	0	0	0
TOTAL - Banking Fund	2,500,000	0	0	0	0
<u>Other Current Expenses</u>					
Occupational Health Clinics	674,587	688,837	0	697,840	0
TOTAL - Workers' Compensation Fund	674,587	688,837	0	697,840	0
<u>Additional Funds Available</u>					
Employment Security Admin Fund	87,575,530	96,050,815	96,050,815	98,589,518	98,589,518
Federal and Other Activities	25,000	25,500	25,500	26,010	26,010
Special Funds, Non-Appropriated	481,028	481,620	481,620	482,283	482,283
Bond Funds	784,720	300,000	300,000	300,000	300,000
Private Funds	1,499,063	1,566,592	1,566,592	1,589,182	1,589,182
TOTAL - All Funds Net	158,376,742	165,012,887	150,071,374	167,695,619	152,754,126



# OFFICE OF THE VICTIM ADVOCATE

## AGENCY PURPOSE

<http://www.ova.state.ct.us/>

Monitors and evaluates the provision of services to crime victims and the enforcement of victims' rights in Connecticut.

- Receives complaints from crime victims regarding the violation of rights and provision of services and may investigate such complaints.
- Files a limited appearance in court proceedings to advocate for victims' rights when it is alleged that such rights have been or are being violated when appropriate.
- Advances policies throughout the state that promote the fair and just treatment of victims throughout the criminal justice process.
- Provides oversight and advocacy when the criminal justice system fails crime victims.
- Ensures that the voices of crime victims play a central role in Connecticut's response to violence and those victimized by crime.

## RECENT HIGHLIGHTS

### *Investigations*

The Office of the Victim Advocate (OVA) successfully advocated for the passage of Public Act 08-84, An Act Concerning Protective Orders, which provided victims of certain sexual assault offenses the right to obtain a protective order through the criminal justice process.

The OVA formed focus groups comprised of various agencies to study the current habeas structure in Connecticut and also to review the possibility of creating an exception to the Freedom of Information Act for the protection of crime victims' privacy.

## RECOMMENDED SIGNIFICANT CHANGES

### *Reductions to Current Services*

- OE/OCE General Reductions

*25% reduction to cellular communications services and out of state travel; 20% to motor vehicle rentals, catering, food and beverage; 10% to in-state travel and subscriptions.*

- Transfer Equipment to CEPF
- Remove or Limit Inflation

**2009-2010**      **2010-2011**

-2,241      -2,241

-900      -1,900

-1,098      -1,846

## AGENCY SUMMARY

### *Personnel Summary*

	2008-2009 Total Authorized	2009-2010 Change From 2008-2009	2009-2010 Total Recommended	2010-2011 Change From 2009-2010	2010-2011 Total Recommended
<i><u>Permanent Full-Time Positions</u></i>					
General Fund	4	0	4	0	4
<i><u>Other Positions Equated to Fulltime</u></i>					
General Fund	1	-1	0	0	0

### *Financial Summary*

	2008-2009 Estimated	2009-2010 Current Services	2009-2010 Total Recommended	2010-2011 Current Services	2010-2011 Total Recommended
Personal Services	315,077	326,204	326,204	331,717	331,717
Other Expenses	49,695	52,291	50,050	52,291	50,050
<i><u>Capital Outlay</u></i>					
Equipment	0	1,000	100	2,000	100
TOTAL - General Fund	364,772	379,495	376,354	386,008	381,867
<i><u>Additional Funds Available</u></i>					
Private Funds	2,000	0	0	0	0
TOTAL - All Funds Net	366,772	379,495	376,354	386,008	381,867



# COMMISSION ON HUMAN RIGHTS & OPPORTUNITIES

## AGENCY PURPOSE

<http://www.state.ct.us/chro>

- To enforce human rights laws that prohibit illegal discrimination in employment, housing, public accommodations and credit transactions.
- To provide education to the public regarding the protections afforded by Connecticut's civil rights laws.
- To monitor compliance with state contract compliance laws and with laws requiring affirmative action in the state government.

*Closure of the Norwich and Waterbury Offices is recommended in the Governor's budget as part of her proposal to streamline state government.*

## RECENT HIGHLIGHTS

### Settlements and Awards

During FY 2008, 2.7 million dollars in known settlements or damage awards were paid to persons filing complaints. In

addition, substantial damages were paid under confidential settlements to which the Commission was not a party.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

	<u>2009-2010</u>	<u>2010-2011</u>
• Transfer Equipment to CEPF	-474,900	-124,997
• Eliminate Vacant Positions <i>Eliminate six vacant positions.</i>	-81,656	-81,656
• Remove or Limit Inflation	-11,313	-17,981
• Rollout FY2009 Rescissions	-11,124	-11,124
• OE/OCE General Reductions	-1,124	-1,124
• Streamline Agency Operations-Close Norwich and Waterbury Offices <i>As part of the reorganization of state government, the Norwich and Waterbury Offices are recommended for closure.</i>	-1,675,355	-1,660,203

## AGENCY SUMMARY

### Personnel Summary

	2008-2009 Total Authorized	2009-2010 Change From 2008-2009	2009-2010 Total Recommended	2010-2011 Change From 2009-2010	2010-2011 Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	103	-28	75	0	75
<u>Other Positions Equated to Fulltime</u>					
General Fund	2	0	2	0	2

### Financial Summary

	2008-2009 Estimated	2009-2010 Current Services	2009-2010 Total Recommended	2010-2011 Current Services	2010-2011 Total Recommended
Personal Services	7,205,787	7,239,431	5,694,720	7,258,749	5,714,038
Other Expenses	717,456	899,291	675,076	872,139	663,076
<u>Capital Outlay</u>					
Equipment	0	475,000	100	125,097	100
<u>Other Current Expenses</u>					
Martin Luther King, Jr. Commission	6,317	6,650	6,317	6,650	6,317
TOTAL - General Fund	7,929,560	8,620,372	6,376,213	8,262,635	6,383,531
<u>Additional Funds Available</u>					
Federal and Other Activities	35,960	35,000	35,000	35,000	35,000
Bond Funds	139,627	475,000	475,000	125,000	125,000
TOTAL - All Funds Net	8,105,147	9,130,372	6,886,213	8,422,635	6,543,531



# OFFICE OF PROTECTION AND ADVOCACY FOR PERSONS WITH DISABILITIES

## AGENCY PURPOSE

[www.ct.gov/opapd](http://www.ct.gov/opapd)

To protect and advance the civil and human rights of people with disabilities by:

- Investigating and exposing patterns of discrimination and abuse of rights.
- Pursuing legal and administrative remedies for violations of rights.
- Providing information, referral, technical assistance and training to help empower individuals and groups to effectively advocate for themselves.
- Working with advocacy groups, service systems and communities to develop effective safeguards against discrimination and abuse.

## RECENT HIGHLIGHTS

- During FY 2008, responded to requests for assistance from 12,486 individuals with disabilities, their family members, and interested parties. Provided information, referral and short-term advocacy assistance to 10,936 people, and intensive advocacy representation to 1,550 individuals.
- Processed 1,201 allegations of abuse and neglect of persons with mental retardation, investigating or monitoring 1053 of those cases.
- Supported disability-focused community advocacy and coalition building through co-located grass roots organizations that provided intensive special education training for parents of children with disabilities in Norwalk, Danbury, Willimantic, Bridgeport, Hartford, Greenwich and New London.
- Implemented new agency intake system resulting in higher percentage of callers speaking directly to appropriate staff, faster staff response times and improved information.
- Educated state and local emergency management professionals about emergency preparedness issues affecting persons with disabilities.
- Developed a revised interagency MOU with the Department of Developmental Services to improve and standardize investigation of abuse and neglect involving persons with mental retardation and improve protective service for adults with mental retardation.
- Successfully advocated for revitalization of a program through the Department of Motor Vehicles that provides driver training for persons with disabilities who use sophisticated controls to drive their vehicles.
- Continued to advocate for the rights of persons with mental illness who are inappropriately housed in nursing facilities and incarcerated in jails and prisons.
- Improved access to due process for individuals living in residential care homes.
- Received and investigated reports of serious restraint-related injuries from public agencies pursuant to P.A. 99-210, "An Act Concerning the Physical Restraint of Persons with Disabilities".
- Addressed complaints from individuals who are deaf or hard of hearing involving treatment in prisons, hospitals and nursing facilities resulting in improved access to assistive technology devices and sign language interpreters.

## RECOMMENDED SIGNIFICANT CHANGES

### *Reductions to Current Services*

- Rollout FY2009 Rescissions
- OE/OCE General Reductions
- Transfer Equipment to CEPF

	<u>2009-2010</u>	<u>2010-2011</u>
	-10,020	-10,020
	-7,070	-7,070
	-4,900	-4,900

## AGENCY SUMMARY

### *Personnel Summary*

	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Total	Change From	Total	Change From	Total
	Authorized	2008-2009	Recommended	2009-2010	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	33	0	33	0	33
Federal Contributions	16	0	16	0	16

Budget Summary

<b>Financial Summary</b>	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	2,356,934	2,348,226	2,348,226	2,351,295	2,351,295
Other Expenses	376,553	386,573	369,483	386,573	369,483
<u>Capital Outlay</u>					
Equipment	0	5,000	100	5,000	100
TOTAL - General Fund	2,733,487	2,739,799	2,717,809	2,742,868	2,720,878
<u>Additional Funds Available</u>					
Federal and Other Activities	1,508,543	1,554,114	1,554,114	1,540,755	1,540,755
Private Funds	3,892	3,892	3,892	3,892	3,892
TOTAL - All Funds Net	4,245,922	4,297,805	4,275,815	4,287,515	4,265,525



# OFFICE OF THE CHILD ADVOCATE

## AGENCY PURPOSE

The mission of the Office of the Child Advocate is to oversee the protection and care of Connecticut's children and to advocate for their well-being.

*In light of the current fiscal situation and the Governor's efforts to streamline state government and return it to its core functions, this agency is recommended for elimination in the Governor's budget. In addition, the budget recommends the transfer of one position and funding for the Child Advocate to the Office of the Attorney General.*

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

	<u>2009-2010</u>	<u>2010-2011</u>
• Remove or Limit Inflation	-3,804	-6,390
• Transfer Equipment to CEPF	-1,246	-2,028
• OE/OCE General Reductions	-6,455	-6,455
• Rollout FY2009 Rescissions	-71,747	-71,747
• Streamline Agency Operations - Administrative Savings	-815,788	-816,286

*As part of the Governor's initiative to streamline state government, administrative savings will be realized through consolidating the essential activities of the Child Advocate into the Office of the Attorney General. Savings include the elimination of nine positions.*

### Reallocations or Transfers

• Transfer the Child Advocate to the Attorney General's Office	-141,000	-141,000
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*As part of Governor Rell's initiative to streamline state government, one position and funding for the Child Advocate is transferred to the Office of the Attorney General.*

## AGENCY SUMMARY

### Personnel Summary

	2008-2009 Total Authorized	2009-2010 Change From 2008-2009	2009-2010 Total Recommended	2010-2011 Change From 2009-2010	2010-2011 Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	10	-10	0	0	0
<u>Other Positions Equated to Fulltime</u>					
General Fund	2	-2	0	0	0

### Financial Summary

	2008-2009 Estimated	2009-2010 Current Services	2009-2010 Total Recommended	2010-2011 Current Services	2010-2011 Total Recommended
Personal Services	785,364	759,196	0	759,694	0
Other Expenses	175,471	180,684	0	180,684	0
<u>Capital Outlay</u>					
Equipment	0	1,346	0	2,128	0
<u>Other Current Expenses</u>					
Child Fatality Review Panel	84,917	95,010	0	95,010	0
TOTAL - General Fund	1,045,752	1,036,236	0	1,037,516	0
<u>Additional Funds Available</u>					
Private Funds	96,525	0	0	0	0
TOTAL - All Funds Net	1,142,277	1,036,236	0	1,037,516	0



# WORKERS' COMPENSATION COMMISSION

## AGENCY PURPOSE

<http://wcc.state.ct.us>

The purpose of the Workers' Compensation Commission (WCC) is:

- To adjudicate and resolve disputes arising from the workers' compensation process.
- To administer the workers' compensation laws of the State of Connecticut.
- To promote safety in the workplace.
- To retrain permanently injured employees to enable them to return to the workforce.
- To educate employees and employers on their rights and responsibilities under the law.
- To review applications for managed care plans.
- To certify self-insurance applications.

## RECENT HIGHLIGHTS

### 2008 Medical Fee Schedule

The 2008 Official Practitioner Fee Schedule is effective for medical services rendered on and after July 15, 2008, regardless of the date of injury, that are payable to health care providers authorized or permitted to render care under the Connecticut Workers' Compensation Act.

The Official Connecticut Practitioner Fee Schedule reflects changes to the 2007 fee schedule pursuant to §31-280 C.G.S., as amended Oct. 1, 2007, which required a methodology predicated on the Medicare resource-based relative value scale (RBRVS). This methodology enabled the Workers' Compensation Commission to establish a fee schedule that more closely reflects the methods used in the current medical marketplace and more efficiently and equitably allows determination of appropriate fees for new procedures and for those procedures that change due to evolving technology. The 2008 publication includes revisions, clarification of rules, and the new 2008 CPT (Current Procedural Terminology) codes.

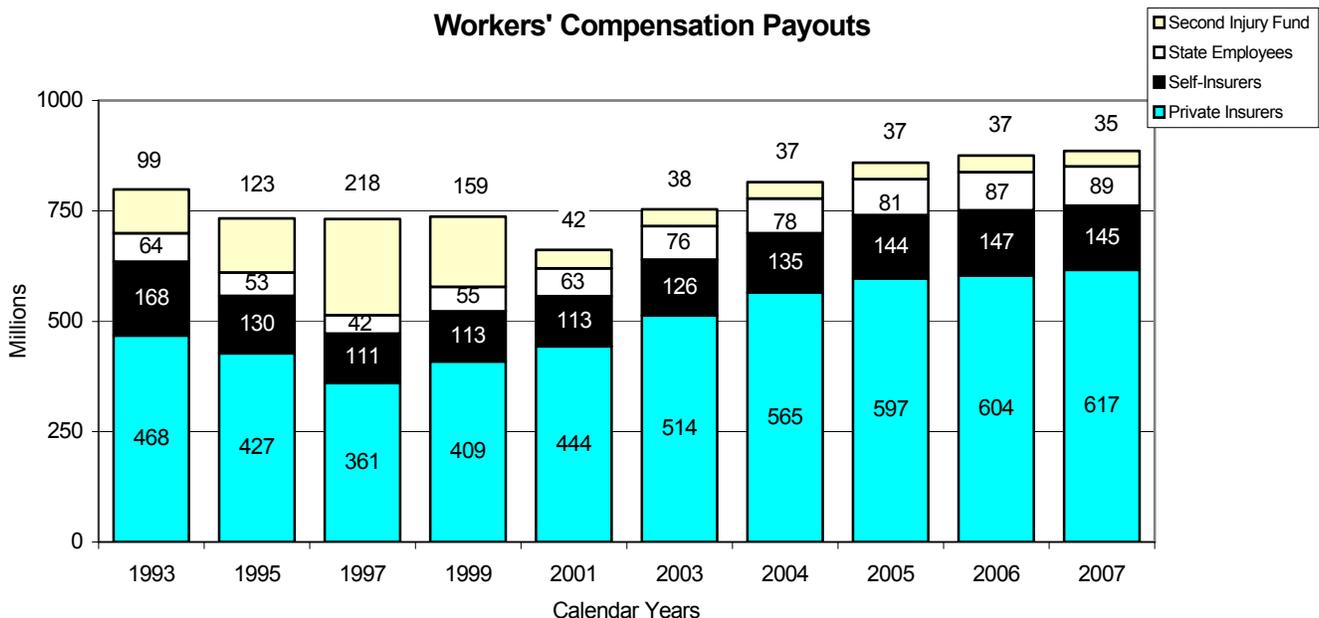
### Electronic FRIS

During the past year, over 73% of Connecticut employers (including 26 Trading Partners) took advantage of the Workers' Compensation Commission's new Internet-based system to file their statutorily-mandated "First Reports of Injury." This free service enables the commission to electronically receive and process an employer's notification of injury so that the injured worker receives essential claim information in an expedited manner. This new technology has produced savings and efficiencies for everyone involved.

### WCC Pain Management Protocols

With input from the medical community, Connecticut-based workers' compensation insurance writers, and large employer organizations, the Workers' Compensation Commission is exploring development of the "first of its kind" pain management treatment guidelines. The guidelines will serve as a reference source for practitioners and insurers to determine the appropriateness of care for evaluation and treatment of patients with chronic pain and pain syndromes.

**Workers' Compensation Payouts**



**RECOMMENDED SIGNIFICANT CHANGES****Reductions to Current Services**

	<u>2009-2010</u>	<u>2010-2011</u>
• Remove or Limit Inflation	-102,119	-152,331
• OE/OCE General Reductions	-9,290	-9,290
• Close Middletown Office	-115,000	-100,000

*Staff would be reallocated to other locations. Savings reflects the cost of the Middletown lease and a new phone system that would no longer be needed.*

**Reallocations or Transfers**

• Reallocate the Criminal Justice Fraud Unit to the Division of Criminal Justice	-558,546	-558,755
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**AGENCY SUMMARY****Personnel Summary**

	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Total	Change From	Total	Change From	Total
	Authorized	2008-2009	Recommended	2009-2010	Recommended
<u>Permanent Full-Time Positions</u>					
Workers' Compensation Fund	132	0	132	0	132

**Financial Summary**

	2008-2009	2009-2010	2009-2010	2010-2011	2010-2011
	Estimated	Current	Total	Current	Total
	Estimated	Services	Recommended	Services	Recommended
Personal Services	9,608,184	9,900,000	9,900,000	10,040,000	10,040,000
Other Expenses	3,146,291	3,264,306	3,155,016	3,264,895	3,155,605
<u>Capital Outlay</u>					
Equipment	291,669	97,000	82,000	137,000	137,000
<u>Other Current Expenses</u>					
Criminal Justice Fraud Unit	473,129	558,546	0	558,755	0
Rehabilitative Services	2,561,048	2,288,065	2,288,065	2,320,098	2,320,098
Fringe Benefits	5,522,685	5,586,922	5,586,922	5,805,640	5,805,640
Indirect Overhead	986,133	895,579	895,579	922,446	922,446
TOTAL - Other Current Expenses	<u>9,542,995</u>	<u>9,329,112</u>	<u>8,770,566</u>	<u>9,606,939</u>	<u>9,048,184</u>
TOTAL - Workers' Compensation Fund	22,589,139	22,590,418	21,907,582	23,048,834	



# EMERGENCY MANAGEMENT & HOMELAND SECURITY

## AGENCY PURPOSE

The Department of Emergency Management and Homeland Security (DEMHS) is charged to develop, administer and coordinate a comprehensive statewide Emergency Management and Homeland Security Program that

encompasses all human-made and natural hazards, and includes prevention, mitigation, preparedness, response and recovery components to ensure the safety and well-being of the citizens of Connecticut.

<http://www.ct.gov/demhs/>

## RECENT HIGHLIGHTS

- The Department of Emergency Management and Homeland Security continued to promote a regional approach to all-hazards planning and response. Working in partnership with the Regional Collaboration Subcommittee of the Emergency Management and Homeland Security Coordinating Council (EMHSCC), DEMHS was successful in establishing, with proper governance, Regional Emergency Planning Teams (REPTs) in each of the five DEMHS regions.
- The establishment of a toll-free tips line (866-HLS-TIPS). This allows the citizens of Connecticut a single point of contact to report suspicious incidents or suspected acts of terrorism. This toll free number is manned 24 hours a day, 7 days a week, and 365 days a year, ensuring that someone will be available to answer the tips line. Tips necessitating a law enforcement response are forwarded to the Joint Terrorism Task Force (JTTF) for investigation.
- The Department of Emergency Management and Homeland Security developed guidance for municipal adoption and use of a very high frequency (VHF) radio telecommunications system. This system is used to communicate with each of the municipalities within Connecticut. The high band radio system contains five radio frequencies, each designated to one of five DEMHS Regions within the state. Each municipality is required by DEMHS to operate one base station on the assigned regional frequency for communications between that municipality and the DEMHS Regional Office during emergency situations, when this type of telecommunications becomes necessary. In certain operations, interoperability is required for handling major emergency situations for adjacent municipalities. This radio system may be used as the vehicle for achieving that necessary non-routine interoperability. Since November 2006, the High Band Radio System's operational capability has risen from 24% to 98%. The State's interoperable communications capabilities have also been enhanced by the ICALL/ITAC system and the deployment of Statewide Tactical On-Scene Communications Systems (STOCS) boxes across the state.
- DEMHS continues to do extensive outreach to the non traditional first responders by holding regional seminars to enforce the importance of the National Incident Management System (NIMS) and the Incident Command System (ICS) and to ensure buy-in to the concepts. NIMS and ICS have been incorporated into the Fire, Police and EMS recruit and refresher trainings. DEMHS training staff is currently working on distance learning, webinars and video conference training to reach a larger audience and reduce the financial burden on agencies. During FY07, DEMHS conducted 229 direct deliver programs within Connecticut.
- During the past year, DEMHS has used a number of types of media to educate the general public on Emergency Preparedness. The campaign included newsprint, radio, television, and internet in both English and Spanish.
- DEMHS and the Connecticut State Department of Education (SDE) in cooperation with the National Weather Service (NWS) and federal agency partners are currently installing NOAA Public Alert Radios in every public school in Connecticut. These radios are part of the DEMHS and SDE continuing effort to provide the best possible warnings to Connecticut's schools in the event of severe weather or other emergencies.
- DEMHS, in partnership with the Department of Information Technology, developed a WebEOC application for the State of Connecticut. WebEOC is a web-based communication and information sharing tool for emergency planning response and recovery. This technology is used in 28 states, including all but one New England state. To date, all DEMHS staff has been trained to use WebEOC, and over 100 state agency and private sector personnel have also been trained. Preliminary training was also provided for Millstone employees and local emergency managers in the surrounding Millstone communities.
- Recently, the Grants Services Branch within the U.S. Department of Homeland Security (DHS) from FEMA Region 1 conducted a financial review of several preparedness grants. DEMHS received a strong letter of approval, noting the skill and expertise of relevant staff "which have stood up a department in a relatively short period of time that demonstrates an evolution and maturity beyond many longstanding organizations."

**RECOMMENDED SIGNIFICANT CHANGES*****Reductions to Current Services***

	<u>2009-2010</u>	<u>2010-2011</u>
• Rollout FY2009 Rescissions	-13,046	-13,046
• OE/OCE General Reductions	-23,528	-23,528
• Transfer Equipment to CEPF	-150,850	-145,900
• Eliminate Funding to the American Red Cross	-225,000	-225,000
• Reduce Sworn Trooper Reimbursement	-266,195	-266,195

**AGENCY SUMMARY*****Personnel Summary***

	2008-2009 Total Authorized	2009-2010 Change From 2008-2009	2009-2010 Total Recommended	2010-2011 Change From 2009-2010	2010-2011 Total Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	46	0	46	0	46
Federal Contributions	14	0	14	0	14
Private Funds	9	0	9	0	9

***Financial Summary***

	2008-2009 Estimated	2009-2010 Current Services	2009-2010 Total Recommended	2010-2011 Current Services	2010-2011 Total Recommended
Personal Services	3,952,502	4,184,912	3,918,717	4,253,335	3,987,140
Other Expenses	857,988	871,034	854,460	871,034	854,460
<u><i>Capital Outlay</i></u>					
Equipment	0	150,950	100	146,000	100
<u><i>Other Current Expenses</i></u>					
American Red Cross	213,750	225,000	0	225,000	0
TOTAL - General Fund	5,024,240	5,431,896	4,773,277	5,495,369	4,841,700
<u><i>Additional Funds Available</i></u>					
Federal and Other Activities	31,669,953	31,897,341	31,897,341	22,737,471	22,737,471
Bond Funds	410,128	314,350	314,350	407,900	407,900
Private Funds	8,328,011	2,600,159	2,600,159	2,634,774	2,634,774
TOTAL - All Funds Net	45,432,332	40,243,746	39,585,127	31,275,514	30,621,845