

CORRECTIONS

Department of Correction	437
Department of Children and Families	450

DEPARTMENT OF CORRECTION

AGENCY DESCRIPTION

The Department of Correction is dedicated to the protection of the citizens of the state through a continual commitment to the safe, secure and orderly operation of its fifteen correctional facilities, which in recent years have remained free from major incident. That protection is also extended to the agency's professional staff of men and women as well as to the inmates placed in its care and custody.

The agency operates on a re-entry model of corrections, which emphasizes from the first day of incarceration, the need to support the offenders' eventual re-entry into law-abiding society. By assessing and identifying each offender's needs and deficiencies and setting the expectation that those be addressed during incarceration through an offender accountability plan, the department hopes to better prepare offenders for successful reintegration as they return to their home communities.

For appropriate offenders, placement in the community under the supervision of a parole officer, for a period of time toward the end of their sentence has been shown to not only reduce recidivism but also enhances public safety. The alternative of releasing an offender on the last day of sentence without supervision, can double the potential for re-offending.

The re-entry model is effective in managing the offender population and can mitigate surges in inmate population primarily due to criminal activity and the law enforcement response in the state's communities.

Outcome Measure

Goals: Maintain order and security and provide a humane environment for offenders that reinforces order, safety, accountability and responsibility for behaviors, enhancing the effectiveness of the department to manage the challenging population. In addition, prepare offenders for eventual transition into the community by giving them the supervision, tools and access to services that will assist in a successful transition.

Objectives: Management focuses on making inmates more accountable for their behavior and responsible for the consequences of their actions. The implementation of the strategies to maintain good order in facilities through leadership and clearly defined expectations, policies and practices should result in a safer, more secure and humane environment. Measurable indicators of success include:

- 19.83% reduction in inmate on staff assaults.
- 33.40% reduction in inmate on inmate assaults.
- 9.63% reduction in offender disciplinary infractions.
- 100% reduction in escapes.

*Figures represent a two year look back from 2012

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RECOMMENDED SIGNIFICANT CHANGES

	FY 2014	FY 2015
Reductions to Current Services		
• Remove or Limit Inflation	-6,593,641	-12,128,310
• Remove Funding for Salary Increases for Appointed Officials	-39,828	-83,673
• Annualize FY 2013 Rescissions	-764,011	-764,011
• Annualize FY 2013 Deficit Mitigation Plan - Eliminate Distance Learning	-95,000	-95,000
• Annualize FY 2013 Deficit Mitigation Plan - Reduce Funding for Legal Services to Prisoners	-43,530	-43,530
• Manage Attendance Policies and Reduce Overtime	-2,500,000	-2,500,000
• Convert Full-time Parole Board Members to Part-time	-121,347	-321,339
Reallocations or Transfers		
• Centralize Affirmative Action Planning Function in CHRO <i>Funding for one position is transferred to reflect the centralization of affirmative action planning under CHRO.</i>	-57,585	-60,688
• Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-52,848	-52,848
• Streamline Agency Account Structure <i>Funding for Aid to Paroled and Discharged Inmates is merged into Other Expenses and funding for Volunteer Services is combined with Community Support Services.</i>	0	0

Technical Adjustments

- Revise GAAP Accrual Amounts

-1,116,766

62,432

AGENCY PROGRAMS

Personnel Summary	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	5,828	343	177	6,348	6,171	6,347	6,171	6,347
Federal and Other Activities	7	0	0	7	7	7	7	7
Correction Industries	69	14	0	83	83	83	83	83
			FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
<i>Other Positions Equated to Full Time</i>			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Federal and Other Activities			12	12	12	12	12	12
Agency Programs by Total Funds	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Care and Custody								
Custody	464,579,030	445,067,908	494,842,747	484,043,430	475,607,380	514,605,072	500,423,037	487,631,333
Programs and Treatment Services	40,308,618	36,385,070	44,224,243	43,970,975	43,810,546	46,248,396	46,003,126	45,824,837
Staff Training & Development	2,822,842	2,537,518	3,194,365	3,187,439	3,111,654	3,271,614	3,264,510	3,156,684
TOTAL Program	507,710,490	483,990,496	542,261,355	531,201,844	522,529,580	564,125,082	549,690,673	536,612,854
Health and Addiction Services	92,664,537	86,849,968	93,776,713	92,079,770	91,154,464	99,066,386	97,314,606	95,436,541
Parole and Community Services	57,152,028	57,106,763	59,433,907	58,620,682	58,266,570	61,476,509	59,460,573	58,955,507
Consideration of Pardons and Parole	6,116,079	5,862,932	6,446,866	6,196,507	6,053,114	6,785,311	6,534,952	6,169,502
Agency Management Services	11,275,158	10,976,574	12,646,926	12,545,427	12,372,712	13,102,267	12,998,038	12,775,039
TOTAL Agency Programs - All Funds Gross	674,918,292	644,786,733	714,565,767	700,644,230	690,376,440	744,555,555	725,998,842	709,949,443
Less Turnover	0	0	-9,496,274	-20,030,280	-20,030,280	-9,888,269	-20,796,119	-20,796,119
Nonfunctional - Change to Accruals	0	0	0	3,674,341	2,557,575	0	2,269,587	2,332,019
TOTAL Agency Programs - All Funds Net	674,918,292	644,786,733	705,069,493	684,288,291	672,903,735	734,667,286	707,472,310	691,485,343
Summary of Funding								
General Fund Net	670,906,626	640,949,296	701,828,493	681,047,291	669,662,735	731,426,286	704,231,310	688,244,343
Federal and Other Activities	3,481,348	3,512,071	3,025,000	3,025,000	3,025,000	3,025,000	3,025,000	3,025,000
Special Funds, Non-Appropriated	78,549	43,371	0	0	0	0	0	0
Private Funds	451,769	281,995	216,000	216,000	216,000	216,000	216,000	216,000
TOTAL Agency Programs - All Funds Net	674,918,292	644,786,733	705,069,493	684,288,291	672,903,735	734,667,286	707,472,310	691,485,343

CARE AND CUSTODY**Statutory Reference**

C.G.S. Section 18-81 and 18-101.

Statement of Need and Program Objectives

To ensure the safe, secure, humane confinement of accused and sentenced inmates, both youthful and adult, who have been removed from the community by the criminal justice process. To provide a comprehensive program for all employees to enhance their knowledge, skills and abilities and to ensure the safety and security of staff and inmates through training. To provide a continuum of quality health care, promote maximum individual and community wellness and ensure clinically appropriate, time-efficient and resource-sensitive utilization of services within an integrated managed-care environment.

Program Description

There are three subprograms within the Care and Custody program.

Custody receives and houses adult and youthful accused and sentenced inmates. Correction officers and others control all aspects of an inmate's confinement.

Programs and Treatment Services are provided including addiction, educational, religious and volunteer services. In addition, the Offender Classification and Population Management Unit handles inmate classification and population management, administrative segregation, close custody/chronic discipline units, protective custody placement and removal, inmate assessment, inmate record keeping, interstate compact systems and extensive services to victims of crimes.

Staff Training and Development is offered to all employees, through a system of institutionally based training officers. Training officers located throughout the system address the specific needs of permanent staff. Academy-based staff coordinates these activities and train newly hired staff.

CARE AND CUSTODY**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	5,494	309	177	5,980	5,803	5,980	5,803	5,980
Federal and Other Activities	6	0	0	6	6	6	6	6
			FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
<i>Other Positions Equated to Full Time</i>			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Federal and Other Activities			12	12	12	12	12	12

Financial Summary**(Net of Reimbursements)**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	409,060,197	383,955,361	436,291,783	425,874,240	423,374,240	454,136,733	439,926,960	437,426,960
Other Expenses	69,262,987	69,887,562	74,884,813	74,082,937	69,958,620	77,748,172	77,298,976	69,989,174
Capital Outlay								
Equipment	0	1	0	1	1	0	1	1
Other Current Expenses								
Stress Management	1,012	35,000	0	0	0	0	0	0
Workers' Compensation Claims	26,836,715	27,636,219	28,643,240	28,803,147	26,886,219	29,796,584	30,021,143	26,886,219
Distance Learning	50,000	50,000	50,000	50,000	0	50,000	50,000	0
Pmts to Other Than Local Governments								
Aid to Paroled and Discharged Inmates	1,600	9,500	9,500	9,500	0*	9,500	9,500	0*
Volunteer Services	46,283	70,000	71,519	71,519	0*	73,593	73,593	0*
TOTAL-General Fund	505,258,794	481,643,643	539,950,855	528,891,344	520,219,080	561,814,582	547,380,173	534,302,354
Additional Funds Available								
Private Funds	207,168	215,500	215,500	215,500	215,500	215,500	215,500	215,500
Federal Contributions								
ARRA Competency Development	17,839	0	0	0	0	0	0	0
Immigrant and Youth Ed. Prog.	10,015	1,353	0	0	0	0	0	0
16593 Res Subs Abuse Trtmt State Prisoners	44,054	50,000	50,000	50,000	50,000	50,000	50,000	50,000
16738 E Byrne Memorial Justice Assistance Grant	23,647	10,000	10,000	10,000	10,000	10,000	10,000	10,000
16745 Criminal and Juvenile Justice and Mental Health Co	130,716	20,000	0	0	0	0	0	0
84002 Adult Education State Grant Program	42,056	0	0	0	0	0	0	0
84013 Title I Pgm Neglected / Delinquent Children	1,296,098	1,425,000	1,425,000	1,425,000	1,425,000	1,425,000	1,425,000	1,425,000
84048 Vocational Education Basic Grants to States	191,474	250,000	250,000	250,000	250,000	250,000	250,000	250,000
84331 Grants for Incarcerated Youths	114,027	15,000	0	0	0	0	0	0
84375 Academic Competitiveness Grants	321,989	350,000	350,000	350,000	350,000	350,000	350,000	350,000
84391 Special Education Grants to States, Recovery Act	48,251	0	0	0	0	0	0	0
99125 Forfeited Assets Fund	4,362	10,000	10,000	10,000	10,000	10,000	10,000	10,000
TOTAL - All Funds	507,710,490	483,990,496	542,261,355	531,201,844	522,529,580	564,125,082	549,690,673	536,612,854

* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

CUSTODY**Statutory Reference**

C.G.S. Section 18-81.

Statement of Need and Program Objectives

To ensure the safe, secure, humane confinement of accused and sentenced inmates, both youthful and adult, who have been removed from the community by the criminal justice process.

The department operates fifteen facilities including long-term institutions and shorter-term correctional centers graded by

security level and program function. Each facility has an administrator who translates department policy into operating procedures. The facilities receive and house adult and youthful accused and sentenced inmates. Generally there are 24 percent accused and 76 percent sentenced inmates detained in the facilities. Inmates are classified in level 2 (minimum) through level 5 (maximum) security statuses. Most facilities house inmates in several levels, while the newer celled facilities house predominantly level 4 and 5 offenders.

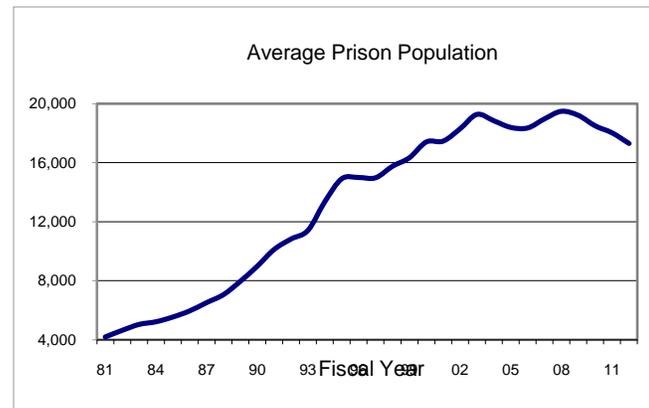
During FY 1982, there were 23,103 admissions, 21,681 discharges

28,527 admissions and 29,589 discharges with an average daily population of 17,301.

The K-9 Unit is currently composed of twenty handlers and their canine partners. The unit currently has sixteen patrol teams, two narcotic detection dogs and one Bloodhound for tracking. In addition nine of the patrol dogs are cross-trained for narcotic detection.

The Correctional Transportation Unit (CTU) is responsible for statewide inmate transportation with offices in Cheshire and Enfield. Annually the CTU securely transports more than 107,000 inmates for sentence review hearings, court appearances, medical appointments, halfway house placements, discharges and in and out of state transfers.

The Tactical Operations Unit represents the department as a supporting agency to the Department of Emergency Management and Homeland Security (DEMHS) ensuring public safety in any emergency within the state during which the State Emergency Operations Command Center is activated.



and the average population was 4,639. In FY 2012, there were

CUSTODY

Personnel Summary

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
General Fund	4,998	256	177	5,431	5,254	5,431	5,254	5,431

Financial Summary

(Net of Reimbursements)	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	369,313,032	348,365,615	392,219,142	382,061,793	379,561,793	408,012,186	394,054,787	391,554,787
Other Expenses	68,074,776	68,636,573	73,585,865	72,783,989	68,774,367	76,401,802	75,952,606	68,805,326
<u>Capital Outlay</u>								
Equipment	0	1	0	1	1	0	1	1
<u>Other Current Expenses</u>								
Stress Management	1,012	35,000	0	0	0	0	0	0
Workers' Compensation Claims	26,836,715	27,636,219	28,643,240	28,803,147	26,886,219	29,796,584	30,021,143	26,886,219
<u>Pmts to Other Than Local Governments</u>								
Aid to Paroled and Discharged Inmates	1,600	9,500	9,500	9,500	0*	9,500	9,500	0*
TOTAL-General Fund	464,227,135	444,682,908	494,457,747	483,658,430	475,222,380	514,220,072	500,038,037	487,246,333
<u>Additional Funds Available</u>								
Private Funds	25,544	25,000	25,000	25,000	25,000	25,000	25,000	25,000
Federal Contributions								
84375 Academic Competitiveness Grants	321,989	350,000	350,000	350,000	350,000	350,000	350,000	350,000
99125 Forfeited Assets Fund	4,362	10,000	10,000	10,000	10,000	10,000	10,000	10,000
TOTAL - All Funds	464,579,030	445,067,908	494,842,747	484,043,430	475,607,380	514,605,072	500,423,037	487,631,333

* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

PROGRAMS AND TREATMENT SERVICES DIVISION

Statutory Reference

C.G.S. Section 18-81 and 18-101.

Statement of Need and Program Objectives

To increase safety in institutions and in the community through provision of programs and services that emphasize offender accountability and responsibility.

Program Description

The Programs and Treatment Division supports the agency's goals through provision of a wide range of educational and vocational opportunities, treatment programs, religious and volunteer activities, victim services and classification and population management.

Services are designed to equip offenders to adapt to healthy and productive lifestyles within the correctional system and effect a successful transition back into the community following release. Development of the Offender Management Plan structures offenders' release preparation from the first day of incarceration to release into the community. Following a comprehensive risk and treatment need assessment upon admission, an Offender Accountability Plan is developed with the offender, to identify objectives to be addressed through the duration of the sentence. The plan holds the offender accountable for conduct and treatment goals such as education, vocational training, mental health services, treatment and substance abuse programming, and reentry planning.

Program Measure	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
EDUCATION				
Students Enrolled in Educational Services	7,406	8,000	9,000	8,800
General Education Development Diplomas	574	500	675	650
Vocational Education Certificates	390	450	400	350
Special Education-Individualized Plans	424	400	700	675
ADDICTION SERVICES				
Number Served in Tier 1 - Orientation and Assessment	21,628	20,000	13,500	13,500
Number Served in Tier 1 - Pre-release S/A Education	208	210	1,350	1,350
Number Served in Tier 2 - Intensive Outpatient	1,856	2,000	2,100	2,100
Number Served in Tier 3 - Residential Recovery Unit	20	0	300	300
Number Served in Tier 4 - Residential Treatment	865	880	950	950
Number Served in Technical Violators Program	506	500	400	400
Number Served in Aftercare Program	516	520	600	600
Total individual counseling sessions	6,135	6,600	9,450	9,450
Total group counseling sessions	5,948	6,000	9,000	9,000
A.A.-Annual Meetings	612	640	1,900	1,900
N.A.-Annual Meetings	651	675	1,900	1,900
VOLUNTEER SERVICES				
Hours of Service by Volunteers	60,937	63,430	152,000	152,000
Number of Volunteers	1,838	1,880	0	0
Total number of average inmates involved weekly	14,873	15,563	0	0
Number of new volunteers	1,162	1,193	0	0
RELIGIOUS SERVICES			0	0
Worship services and studies	18,395	20,100	0	0
Total Worship services and studies participants	345,042	347,000	0	0

PROGRAMS AND TREATMENT SERVICES**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	471	46	0	517	517	517	517	517
Federal and Other Activities	6	0	0	6	6	6	6	6

Other Positions Equated to Full Time

	FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Federal and Other Activities	12	12	12	12	12	12

Financial Summary

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	37,761,475	33,872,285	41,737,056	41,483,788	41,483,788	43,746,687	43,501,417	43,501,417
Other Expenses	358,866	431,432	440,668	440,668	401,758	453,116	453,116	398,420
<u>Other Current Expenses</u>								
Distance Learning	50,000	50,000	50,000	50,000	0	50,000	50,000	0
<u>Pmts to Other Than Local Governments</u>								
Volunteer Services	46,283	70,000	71,519	71,519	0*	73,593	73,593	0*
TOTAL-General Fund	38,216,624	34,423,717	42,299,243	42,045,975	41,885,546	44,323,396	44,078,126	43,899,837
<u>Additional Funds Available</u>								
Private Funds	173,817	190,000	190,000	190,000	190,000	190,000	190,000	190,000
Federal Contributions								
ARRA Competency Development	17,839	0	0	0	0	0	0	0
Immigrant and Youth Ed. Prog.	10,015	1,353	0	0	0	0	0	0
16593 Res Subs Abuse Trmt State Prisoners	44,054	50,000	50,000	50,000	50,000	50,000	50,000	50,000
16738 E Byrne Memorial Justice Assistance Grant	23,647	10,000	10,000	10,000	10,000	10,000	10,000	10,000
16745 Criminal and Juvenile Justice and Mental Health Co	130,716	20,000	0	0	0	0	0	0
84002 Adult Education State Grant Program	42,056	0	0	0	0	0	0	0
84013 Title I Pgm Neglected / Delinquent Children	1,296,098	1,425,000	1,425,000	1,425,000	1,425,000	1,425,000	1,425,000	1,425,000

Budget-in-Detail

84048 Vocational Education Basic Grants to States	191,474	250,000	250,000	250,000	250,000	250,000	250,000	250,000
84331 Grants for Incarcerated Youths	114,027	15,000	0	0	0	0	0	0
84391 Special Education Grants to States, Recovery Act	48,251	0	0	0	0	0	0	0
TOTAL - All Funds	40,308,618	36,385,070	44,224,243	43,970,975	43,810,546	46,248,396	46,003,126	45,824,837

* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

STAFF TRAINING AND DEVELOPMENT

Statutory Reference

C.G.S. Section 18-81.

Statement of Need and Program Objectives

To create and maintain an environment where new employees are prepared for entry into the correctional profession, experienced staff is developed to their highest potential and all staff is empowered to achieve the highest standard of professionalism attainable.

Program Description

Programs and services available include pre-service education for newly hired employees, in service training via the web and traditional classroom for staff, firearms instruction for those who are required to carry weapons and new supervisors training. In addition train the trainer class exists for in service training and firearms to maintain a cadre of experienced instructors.

Program Measure	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
STAFF TRAINING & DEVELOPMENT				
Orientation training graduates	156	400	450	450
In-service training hours	111,910	216,000	400,000	400,000

STAFF TRAINING & DEVELOPMENT

Personnel Summary

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	25	7	0	32	32	32	32	32

Financial Summary

(Net of Reimbursements)	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,985,690	1,717,461	2,335,585	2,328,659	2,328,659	2,377,860	2,370,756	2,370,756
Other Expenses	829,345	819,557	858,280	858,280	782,495	893,254	893,254	785,428
TOTAL-General Fund	2,815,035	2,537,018	3,193,865	3,186,939	3,111,154	3,271,114	3,264,010	3,156,184
Additional Funds Available								
Private Funds	7,807	500	500	500	500	500	500	500
TOTAL - All Funds	2,822,842	2,537,518	3,194,365	3,187,439	3,111,654	3,271,614	3,264,510	3,156,684

HEALTH SERVICES PROGRAM

Statutory Reference

C.G.S. Section 18-81.

Statement of Need and Program Objectives

To provide for the physical and behavioral health of offenders from admission through reintegration with the community through provision of evidence-based assessment, treatment and aftercare services.

Program Description

The Health Service Unit collaborates with the University of Connecticut Health Center to provide comprehensive managed health care for the physical and behavioral health of offenders from admission through reintegration with the community.

The unit has received national recognition for the hospice, bereavement and palliative care program as well as for the integrated model of parole supervision for mental health offenders.

Program Measure	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
HEALTH SERVICES (Medical)				
Intake Screening	41,383	45,000	61,000	60,000
Inpatient Bed Days	17,927	20,000	24,000	25,000

Medical Sick Call	27,706	28,000	43,000	44,000
HIV tests	6,912	10,000	7,200	7,400
Tuberculin Skin Tests	23,284	40,000	34,100	35,100
MENTAL HEALTH				
Referral Seen by MH	44,509	50,000	80,000	80,000
Suicide Assessments	16,244	18,000	25,100	25,000
Mental Health Caseload (Monthly)	2,120	2,800	4,100	4,000
MH Inpatient Bed Days	16,472	20,000	32,000	34,000
Psychotropic Medication Population (Average Monthly)	1,182	2,200	3,500	3,000
DENTAL				
Dental Surgeries	6,728	7,000	5,000	5,200
Dental X-Rays (Panorex/Routine)	8,141	9,000	11,000	11,100

HEALTH SERVICES PROGRAM**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
General Fund	9	3	0	12	12	12	12	12
Federal and Other Activities	1	0	0	1	1	1	1	1

Financial Summary

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
(Net of Reimbursements)								
Personal Services	1,163,630	1,086,676	1,407,938	1,389,268	1,389,268	1,466,001	1,453,300	1,453,300
Other Expenses	51,756	54,527	56,239	56,239	51,273	58,161	58,161	51,140
<u>Other Current Expenses</u>								
Inmate Medical Services	91,025,952	85,629,399	92,312,536	90,634,263	89,713,923	97,542,224	95,803,145	93,932,101
Mental Health AIC	300,000	0	0	0	0	0	0	0
TOTAL-General Fund	92,541,338	86,770,602	93,776,713	92,079,770	91,154,464	99,066,386	97,314,606	95,436,541
<u>Additional Funds Available</u>								
Special Funds, Non-Appropriated	78,549	43,371	0	0	0	0	0	0
Private Funds	31,792	15,995	0	0	0	0	0	0
Federal Contributions								
Ct. Criminal Just. Drug Abuse T	12,858	20,000	0	0	0	0	0	0
TOTAL - All Funds	92,664,537	86,849,968	93,776,713	92,079,770	91,154,464	99,066,386	97,314,606	95,436,541

PAROLE & COMMUNITY SERVICES**Statutory Reference**

C.G.S. 18-81, 18-101h-101k and 54-124a – 54-129.

Statement of Need and Program Objectives

To protect the community and foster offenders' successful community reintegration.

Program Description

The Parole and Community Services Division's mission is to enhance public safety by providing offenders opportunities to successfully reintegrate into the community and be productive, accountable members of society. Preparation for community supervision begins on the first day of incarceration based on the Offender Accountability Plan (OAP). It continues with a consistent program methodology and monitoring in the community. The model relies on the parole officer to develop and monitor a comprehensive individual case management plan for all offenders incorporating risk assessment, relapse prevention, life skills, and incentives as well as sanctions and treatment interventions. The program is intended to assist the offender with community

reintegration, ensure accountability, and use contracted community residential and non-residential programs.

The division provides supervision and services to those offenders who are presently on transfer parole, discretionary parole, special parole, transitional supervision, transitional placement, home confinement and re-entry furloughs or who are in a DOC contracted community residential program. The level of offender supervision in the community ranges from intensive (weekly reporting plus electronic monitoring) to minimum (once monthly reporting) or quarterly supervision.

The division is comprised of five district offices in Bridgeport, Hartford, New Haven, Norwich and Waterbury as well as five specialized units: Central Intake, DUI/Home Confinement, Fugitive Investigations, Mental Health and Special Management. Parole managers and officers in each district monitor the progress of offenders and their adherence to release conditions set forth when they are released from incarceration.

Program Measure	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
PAROLE & COMMUNITY SERVICES				
TRANSITIONAL SUPERVISION (TS)				
Total TS Annual Admissions	2,897	3,000	3,500	3,500
Average TS monthly offenders supervised	797	850	1,100	1,100
RESIDENTIAL PROGRAMS				
Budgeted Beds	1,177	1,250	1,220	1,220
Number of Admissions to Residential Programs	2,437	2,500	2,900	3,000
PAROLE (Includes Special Parole, PARCOM & Transfer Parole)				
Total Parole Admissions	3,529	3,540	3,400	3,400
Average number of parolees supervised in community	2,509	2,540	3,200	3,300
Average number of parolees supervised out of state	311	315	300	300

PAROLE & COMMUNITY SERVICES**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	158	7	0	165	165	165	165	165

Financial Summary

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	12,822,497	12,371,337	13,712,130	13,576,510	13,576,510	14,428,849	14,292,666	14,292,666
Other Expenses	3,856,443	4,011,719	4,115,451	4,115,451	3,752,062	4,236,201	4,236,201	3,724,843
<u>Other Current Expenses</u>								
Distance Learning	45,000	50,000	50,000	50,000	0	50,000	50,000	0
<u>Pmts to Other Than Local Governments</u>								
Volunteer Services	57,968	100,758	102,944	102,944	0*	105,929	105,929	0*
Community Support Services	40,370,120	40,572,949	41,453,382	40,775,777	40,937,998	42,655,530	40,775,777	40,937,998
TOTAL-General Fund	57,152,028	57,106,763	59,433,907	58,620,682	58,266,570	61,476,509	59,460,573	58,955,507

* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

CORRECTIONAL ENTERPRISES OF CONNECTICUT**Statutory Reference**

C.G.S. Sections 4-57, 4-57a and 18-88.

Statement of Need and Program Objectives

To reduce inmate idleness, teach meaningful job skills and work habits and prepare inmates for release into the community workplace.

Correctional Enterprises employs inmates in various manufacturing and service industries.

Correctional Commissaries employs inmates in various job functions relative to the sale and delivery of commissary goods to the inmate population. The activities in this program are operating under a self-supporting revolving fund.

CORRECTIONAL ENTERPRISES OF CT**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Correction Industries	69	14	0	83	83	83	83	83

CORRECTIONAL ENTERPRISES**Statutory Reference**

C.G.S. Section 18-88.

Statement of Need and Program Objectives

To provide a program that maximizes inmate employment and vocational education skill development by offering a work setting within institutional walls that replicates private industries while maintaining a safe and secure setting for staff and inmates.

Correctional Enterprises of Connecticut (CEC) is self-supporting, generating sufficient revenues from the sale of products and services to meet the costs of operations.

Program Description

The Correctional Enterprises Unit's objective is to be a self-supporting business that offers vocational education and occupational development skills to offenders while being engaged in the production of useful goods and services to state agencies,

municipalities and nonprofit organizations. CEC operates twelve industry programs within four correctional institutions, offering inmates a realistic work experience in a business-like environment that stresses the same type of performance standards, accountability, and rewards used for workers in the community. This unit also serves the operational goals of the department by reducing inmate idleness by teaching meaningful job skills and work habits and in preparing inmates for release into the community workplace. Some products and services offered by CEC

include license plates, clothing and textiles, furniture refinishing and re-upholstery, custom metal fabrication, data entry and scanning, trash liners, graphic arts and printing. A new partnership with the Department of Energy and Environmental Protection resulted in \$70,000 in shipments of embroidered and silk screened items. Expanded relationships with the Department of Children and Families – Riverview Hospital yielded an increase in shipments of \$50,000.

Program Measure	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
CORRECTIONAL ENTERPRISES OF CONNECTICUT				
Sales (\$000)	7,113	6,400	8,500	8,500
Inmates Employed	342	350	350	350

CORRECTIONAL ENTERPRISES

Personnel Summary

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
Correction Industries	29	8	0	37	37	37	37	37

CONNECTICUT CORRECTIONAL COMMISSARIES

Statutory Reference

C.G.S. Sections 4-57, 4-57a and 18-88.

Statement of Need and Program Objectives

To sell and deliver products each week to the more than 16,000 inmates incarcerated in the State of Connecticut, while maintaining a safe and secure environment for both staff and inmates. To provide inmates the best products available, with the lowest potential for misuse, at the lowest prices, for purchase with their own funds and earnings from inmate work opportunities, thus providing personal incentive rather than complete General Fund dependency. The commissary attempts to be sensitive to inmates' limited funds and has accommodated this with contracting for goods offered through competitive bidding. To operate the department's three district commissaries within the constraints of the operating budget while maintaining profitability to support the operation and provide residual profit to the welfare fund for humanitarian purposes benefiting the incarcerated.

Program Description

Correctional commissaries sold \$15,435,742 worth of goods to inmates during FY 2012 including holiday package sales in excess of

\$390,000. These goods included such commodities as health and beauty aids, over-the-counter medications, snacks and other food items, certain acceptable clothing, footwear, recorded music and electronic products.

The sales from these products are designed to generate sufficient income to pay for cost of goods, supplies and salaries directly related to the operation of this self-supporting program.

Inmates are encouraged to work in the commissaries to learn about retail and warehousing operations, ordering and inventory control. Approximately 120 inmates currently are employed. This program assists with re-entry into the community and provides usable skills for employment opportunities.

THREE YEAR SALES HISTORY	
2010	\$ 14,370,821
2011	\$ 14,973,952
2012	\$ 15,435,742

Program Measure	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
CORRECTIONAL COMMISSARIES				
Sales (\$000)	15,435	15,550	15,550	15,550
Inmates Served, weekly opportunities	18,000	17,500	19,500	19,500
Inmates Employed	120	120	120	120

CT CORRECTIONAL COMMISSARIES

Personnel Summary

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
Correction Industries	40	6	0	46	46	46	46	46

CONSIDERATION OF PARDONS AND PAROLES

Statutory Reference

C.G.S. Sections 18-24a, 18-26; 54-124a through 54-133.

Statement of Need and Program Objectives

To protect the public by releasing only those offenders who will likely live and remain at liberty without violating the law; by releasing prisoners only under those conditions which will maximize their chances of adopting a lawful lifestyle; by returning to prison any parolee who will not comply with the conditions of parole or poses a danger to the community and by sharing information with all areas of the criminal justice system in order to ensure that responsible decisions are made and the welfare of society is protected. To give victims a voice by ensuring victims are notified of pending hearings and are advised of their rights and options within the parole process.

Program Description

The members of the Board of Pardons and Paroles are appointed by the Governor. The board decides whether to release or continue confinement of offenders after carefully evaluating case factors. The board continues to maintain its interest in the public safety and in the rehabilitation of the offender by strictly enforcing parole conditions and returning inmates to confinement when deemed necessary via the parole rescission and revocation processes.

The Hearings Division ensures that all eligible offenders are reviewed for parole consideration in an organized and timely manner and that release decisions are based on accurate, comprehensive and thorough case investigations. Also, the division is responsible for the timely scheduling of all revocation and rescission hearings.

Each case being reviewed for parole consideration requires a detailed parole summary. These comprehensive summaries provide

board members with information regarding applicant's criminal, social and correctional history. If the inmate is granted parole, these summaries then form the basis of information upon which field parole officers develop case management, treatment and supervision plans. These summaries also detail each parole eligible inmate's offense(s), adjustment and achievement during incarceration and letters from interested parties supporting or opposing parole.

In full panel hearings, panel members are provided with comprehensive case files prior to the hearing date for review. During these hearings the inmates are present.

Revocation hearings are conducted in cases of persons who have allegedly violated conditions of their parole and who are entitled to a hearing before a panel of the board.

The board retains the authority to rescind or modify a previously granted parole in the event of new information or behavior resulting in either Department of Correction disciplinary action or new criminal charges. In this case, rescission hearings are conducted prior to release. The Board of Pardons has the authority to grant pardons to persons convicted of any offense other than motor vehicle.

Consideration of pardon applications are submitted for action by the board at its scheduled sessions. Consideration is given for pardon based on mercy. In deciding on whether to grant a pardon, the board reviews the following: the nature of the offense, time since the occurrence, the person's behavior since the offense, lack of criminal record since the offense and efforts to rehabilitate and any other pertinent information. The board also decides on whether to commute the sentence of a person sentenced to death to a lesser penalty.

Program Measure	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
Consideration of Pardons	Inmates / Non-Inmates	Inmates / Non-Inmates	Inmates / Non-Inmates	Inmates / Non-Inmates
Applicants for consideration Inmates/Non-Inmates	0/0	0/0	85/1000	85/1050
Pardons granted Inmates/Non-Inmates	0/0	0/0	3/525	4/550
Number of Hearings Conducted Inmates/Non-Inmates	0/0	0/0	2/8	2/8
Request for applications	0	0	2,100	2,150
Applications received	0	0	1,500	1,550
Consideration of Parole				
Number of offenders granted parole	0	0	2,477	2,484
Number of offenders denied parole	0	0	715	721
Rescinded Parole	0	0	235	236
Revoked parole	0	0	840	840
Successful completion of parole	0	0	1,120	1,086

CONSIDERATION OF PARDONS AND PAROLE

Personnel Summary

Permanent Full-Time Positions General Fund	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
	66	6	0	72	72	72	72	72

Financial Summary

(Net of Reimbursements)	FY 2012 Actual	FY 2013 Estimated	FY 2014 Requested	Current Services	FY 2014 Recommended	FY 2015 Requested	Current Services	FY 2015 Recommended
Other Current Expenses								
Board of Pardons and Paroles	5,962,552	5,778,325	6,446,866	6,196,507	6,053,114	6,785,311	6,534,952	6,169,502
TOTAL-General Fund	5,962,552	5,778,325	6,446,866	6,196,507	6,053,114	6,785,311	6,534,952	6,169,502

Additional Funds Available**Federal Contributions**

Case Notes Completion Project	77,418	22,582	0	0	0	0	0	0
16803 American Recovery/Reinvestment Act of 2009 (JAG)	76,109	62,025	0	0	0	0	0	0
TOTAL - All Funds	6,116,079	5,862,932	6,446,866	6,196,507	6,053,114	6,785,311	6,534,952	6,169,502

MANAGEMENT SERVICES**Statutory Reference**

C.G.S. Section 18-81.

Statement of Need and Program Objectives

To ensure that the statewide correction system effectively and efficiently accomplishes its mission by the setting of uniform policies, centralizing certain support services and providing overall agency management.

Program Description

The primary areas of Management Services include the office of the commissioner, legal services, management information systems, fiscal services, human resources, external communications, affirmative action and facilities management and engineering services.

AGENCY MANAGEMENT SERVICES**Personnel Summary**

<i>Permanent Full-Time Positions</i>	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	101	18	0	119	119	118	119	118

Financial Summary

(Net of Reimbursements)	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	8,838,132	8,308,790	10,328,745	10,227,246	10,143,719	10,768,666	10,664,437	10,549,248
Other Expenses	282,950	470,578	517,086	517,086	471,428	532,506	532,506	468,226

Other Current Expenses

Stress Management	237	0	0	0	0	0	0	0
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Pmts to Other Than Local Governments

Legal Services to Prisoners	870,595	870,595	870,595	870,595	827,065	870,595	870,595	827,065
TOTAL-General Fund	9,991,914	9,649,963	11,716,426	11,614,927	11,442,212	12,171,767	12,067,538	11,844,539

Additional Funds Available

Private Funds	212,809	50,500	500	500	500	500	500	500
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Federal Contributions

ARRA CCHS Research Partnership	46,928	7,925	0	0	0	0	0	0
Second Chance Prisoner Reentry	467,016	500,000	500,000	500,000	500,000	500,000	500,000	500,000
16738 E Byrne Memorial Justice Assistance Grant	112,706	70,000	70,000	70,000	70,000	70,000	70,000	70,000
16803 American Recovery/Reinvestment Act of 2009 (JAG)	58,322	338,186	0	0	0	0	0	0
84375 Academic Competitiveness Grants	378,352	350,000	350,000	350,000	350,000	350,000	350,000	350,000
99125 Forfeited Assets Fund	7,111	10,000	10,000	10,000	10,000	10,000	10,000	10,000
TOTAL - All Funds	11,275,158	10,976,574	12,646,926	12,545,427	12,372,712	13,102,267	12,998,038	12,775,039

AGENCY FINANCIAL SUMMARY - GENERAL FUND**Current Expenses by Minor Object**

	FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	331,462,546	314,005,240	357,866,485	357,782,958	372,638,804	367,204,350
Other Positions	2,493,431	2,279,446	2,413,521	2,413,521	2,482,770	2,305,239
Other	25,918,773	24,034,448	27,446,810	25,147,096	29,019,040	23,064,179
Overtime	72,009,706	65,818,030	74,428,780	63,555,162	77,074,635	71,563,406
TOTAL-Personal Services Gross	431,884,456	406,137,164	462,155,596	448,898,737	481,215,249	464,137,174
Less Reimbursements	0	-415,000	-415,000	-415,000	-415,000	-415,000
Less Turnover	0	0	-9,496,274	-20,030,280	-9,888,269	-20,796,119
TOTAL-Personal Services Net	431,884,456	405,722,164	452,244,322	428,453,457	470,911,980	442,926,055

Budget-in-Detail

	0	-1,849,326	-1,849,326	-1,849,326	-1,849,326	-1,849,326
<u>Other Expenses-Contractual Services</u>						
Dues and Subscriptions	24,484	38,001	38,826	35,398	39,952	35,130
Utility Services	21,042,292	21,470,379	22,280,612	20,918,242	23,349,718	20,838,489
Rentals, Storage and Leasing	1,434,861	1,419,748	1,450,557	1,322,474	1,492,623	1,312,446
Telecommunication Services	3,852,448	3,638,707	3,717,669	3,389,404	3,825,483	3,363,704
General Repairs	2,606,933	2,462,512	2,665,948	2,430,547	2,738,913	2,408,297
Motor Vehicle Expenses	2,168,952	2,353,937	2,405,016	2,192,656	2,474,762	2,176,030
Fees for Outside Professional Services	450,893	516,545	529,771	482,993	546,426	480,467
Fees for Non-Professional Services	182,840	425,801	470,260	428,737	483,896	425,486
DP Services, Rentals and Maintenance	744,927	1,082,598	1,607,329	1,465,404	1,389,056	1,221,381
Postage	328,874	358,261	366,035	333,715	376,650	331,184
Travel	135,296	115,614	118,122	107,692	121,547	106,875
Other Contractual Services	443,764	1,748,639	1,786,585	1,628,832	1,838,396	1,616,480
Advertising and Marketing	154	1,500	1,533	1,398	1,577	1,387
Printing & Binding	3,300	4,000	4,087	3,726	4,206	3,698
<u>Other Expenses-Commodities</u>						
Agriculture, Horticulture, Dairy & Food	61,558	59,610	60,903	55,525	62,669	55,104
Books	62,600	48,430	49,480	45,110	50,914	44,768
Clothing and Personal Supplies	3,579,554	3,448,543	3,523,375	3,212,266	3,625,553	3,187,907
Maintenance and Motor Vehicle Supplies	9,578,796	9,771,047	9,587,803	9,293,593	9,856,298	9,269,173
Medical Supplies	17,601	17,546	18,314	16,697	19,092	16,787
Fuel	4,920,539	5,920,148	8,287,212	7,555,463	9,054,406	7,961,436
Office Supplies	2,818,142	2,575,332	2,550,677	2,325,455	2,624,263	2,307,486
Refunds of Expenditures Not Otherwise Classified	655,219	638,131	651,978	594,409	670,885	589,902
<u>Other Expenses-Sundry</u>						
Employee Fringe Benefits	16,583,354	16,338,188	17,161,563	16,338,188	17,761,791	16,557,075
Sundry - Other Items	1,756,755	1,820,495	2,089,260	1,904,785	2,015,290	1,772,017
TOTAL-Other Expenses Gross	73,454,136	74,424,386	79,573,589	74,233,383	82,575,040	74,233,383
Less Reimbursements						
TOTAL-Other Expenses Net	73,454,136	74,424,386	79,573,589	74,233,383	82,575,040	74,233,383
<u>Other Current Expenses</u>						
Stress Management	1,249	35,000	0	0	0	0
Workers' Compensation Claims	26,836,715	27,636,219	28,643,240	26,886,219	29,796,584	26,886,219
Inmate Medical Services	91,025,952	85,629,399	92,312,536	89,713,923	97,542,224	93,932,101
Board of Pardons and Paroles	5,962,552	5,778,325	6,446,866	6,053,114	6,785,311	6,169,502
Mental Health AIC	300,000	0	0	0	0	0
Distance Learning	95,000	100,000	100,000	0	100,000	0
TOTAL-Other Current Expenses	124,221,468	119,178,943	127,502,642	122,653,256	134,224,119	126,987,822
<u>Pmts to Other Than Local Govts</u>						
Aid to Paroled and Discharged Inmates	1,600	9,500	9,500	0*	9,500	0*
Legal Services to Prisoners	870,595	870,595	870,595	827,065	870,595	827,065
Volunteer Services	104,251	170,758	174,463	0*	179,522	0*
Community Support Services	40,370,120	40,572,949	41,453,382	40,937,998	42,655,530	40,937,998
TOTAL-Pmts to Other Than Local Govts	41,346,566	41,623,802	42,507,940	41,765,063	43,715,147	41,765,063
<u>Nonfunctional - Change to Accruals</u>						
	0	0	0	2,557,575	0	2,332,019

* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

Character & Major Object Summary	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	431,884,456	405,722,164	452,244,322	431,036,984	428,453,457	470,911,980	445,541,244	442,926,055
Other Expenses Net	73,454,136	74,424,386	79,573,589	78,771,713	74,233,383	82,575,040	82,125,844	74,233,383
Capital Outlay	0	1	0	1	1	0	1	1
Other Current Expenses	124,221,468	119,178,943	127,502,642	125,733,917	122,653,256	134,224,119	132,459,240	126,987,822
Payments to Other Than Local Governments	41,346,566	41,623,802	42,507,940	41,830,335	41,765,063	43,715,147	41,835,394	41,765,063
Nonfunctional - Change to Accruals	0	0	0	3,674,341	2,557,575	0	2,269,587	2,332,019
TOTAL-General Fund Net	670,906,626	640,949,296	701,828,493	681,047,291	669,662,735	731,426,286	704,231,310	688,244,343
<u>Additional Funds Available</u>								
Federal and Other Activities	3,481,348	3,512,071	3,025,000	3,025,000	3,025,000	3,025,000	3,025,000	3,025,000
Special Funds, Non-Appropriated	78,549	43,371	0	0	0	0	0	0
Private Funds	451,769	281,995	216,000	216,000	216,000	216,000	216,000	216,000
TOTAL-All Funds Net	674,918,292	644,786,733	705,069,493	684,288,291	672,903,735	734,667,286	707,472,310	691,485,343

DEPARTMENT OF CHILDREN AND FAMILIES

MAKING A DIFFERENCE FOR CHILDREN, FAMILIES AND COMMUNITIES

AGENCY DESCRIPTION

The department is empowered under Section 17a-3 of the Connecticut General Statutes as a comprehensive, consolidated agency serving children and families. Its mandates include child protective and family services, juvenile justice services, behavioral health services, prevention and educational services.

DCF serves approximately 35,000 children and 15,000 families at any point in time. On any given day, approximately:

- 14,000 cases are open;
- 3,800 families with children living at home receive services;
- 2,000 investigations and 1,000 family assessments are underway;
- 4,300 children are in some type of placement;
- 650 children receive services voluntarily - about 550 at home, the balance are served out-of-home.

TRANSFORMING THE AGENCY

Policy and practice reforms led to substantially improved outcomes in the eleven month period ending December 1, 2012:

- 14 percent reduction in children in care;
- 78 percent fewer out-of-state placements;
- 20 percent reduction in children in congregate care:
 - 82 percent fewer, age 6 or under,
 - 64 percent fewer, ages 7 through 12;
- 33 percent increase in children in a relative home;
- 33 percent more children in a kinship home; and
- 13 percent increase in children in family care.

Innovative Service Models

The strengthening families practice model (SFPM) has been launched statewide. This model incorporates a focus on family strengths and protective factors. Core elements include family-centered practice, purposeful visits, family assessment and child and family teaming.

The differential response system (DRS) was implemented in March 2012. DRS serves as a family-assessment rather than investigate gateway to child welfare services for referred families whose child safety risk is low. Six community partner agencies support children and families and connect them to an array of resources designed to promote their safety and well-being.

The department is implementing team decision making (TDM), a process that convenes families, their natural supports, service providers, and DCF staff to identify strength-based solutions and enhance case planning and outcomes for children. TDM was first used to significantly reduce the use of congregate care for younger children and is now being used to transition older children to lower levels of care.

Reduced Reliance on Congregate Care

Practice changes, administrative mandates and the use of TDM resulted in a 94 percent decline in the number of children ages six and younger in congregate care between January 2011 and August 2012. During the same period, the number of children between the ages of 7 to 12 in congregate care declined by 55 percent. For

all children in care, the percentage of children in a congregate setting declined 19 percent during the 18-month period.

Out-of-state placements now require the commissioner's approval based on evidence that the child's individual treatment needs cannot be met in Connecticut. The number of children out-of-state declined 67 percent between January 2011 and August 2012.

Foster Care and Adoption

435 adoptions were completed and guardianship was transferred for 264 children during FY 2012. 775 new foster and adoptive homes were licensed.

Work with the Annie E. Casey Foundation identified improvements in the foster care licensing process. Staff training was conducted, and resource guides for staff and relatives were produced. As a result of these combined efforts, the percentage of children in care living with a relative increased 28.9 percent from January 2011 to August 2012, and the percentage of children in care living in some form of family setting increased 12.7 percent.

The department initiated the community and families ties foster care model, a professional parent model in which homes are recruited and licensed to provide care for the most complex children. Referred youth are those currently in a residential setting.

Improving Medical Protocols for Identifying Abuse

DCF and Hartford Hospital are working with several medical groups, including the Department of Public Health's pediatric trauma subcommittee, to develop new hospital standards that will raise awareness, educate, and encourage both new and existing physicians to conduct more extensive "investigative medicine" in situations in which a child is brought in with a traumatic injury. Medical providers will be supported to more fully check past records to see if an incident is part of a larger pattern.

The Connecticut Behavioral Health Partnership

The CT Behavioral Health Partnership has focused on reducing reliance on unnecessary psychiatric inpatient care. The percentage of discharge delay days for HUSKY youth on inpatient units decreased from 19 percent of all inpatient days in 2010 to 11 percent of all inpatient days in 2011. This significant drop in unnecessary days in the hospital (6,854 to 3,555) was the result of concerted effort and coordinated activity between Value Options, DCF and hospital inpatient units. The medically necessary average length of stay for HUSKY youth on psychiatric units dropped to 11.7 days.

Youth Transitions to Adult Care Systems

From the beginning of FY 2007 through 2012, DCF screened 5,911 youths (age 15) to determine if they were appropriate for referral to the Departments of Mental Health and Addiction Services (DMHAS) or Developmental Services (DDS). In FY 2012, separate memoranda of agreement defining referral and transition protocols were revised and signed with DMHAS and with DDS.

DCF has referred 1,938 youth to DMHAS since FY 2007, with an 88 percent acceptance rate. In FY 2012, 222 youth were referred, and special effort has been made to improve the transition process and

timeliness. 86 percent of the transitioning youth are 18 or 19 years of age.

Since 2005, DCF and DDS have worked together to transfer over 350 voluntary services program children/youth from DCF to the DDS program, which has maximized the state's revenue enhancement capacity. In FY 2012, DCF identified 35 children for transfer. In addition, the two agencies are working to transfer eligible clients from DCF to the new autism division at DDS.

Juvenile Justice Services

DCF collaborated with the Judicial Branch's Court Support Services Division (CSSD) and the Connecticut Youth Services Association to implement local interagency service teams (LIST), a strategy for establishing an integrated system for planning, implementation and evaluation of juvenile justice service delivery. As a result, nine additional juvenile review boards (bringing the total from 56 to 65) and three community pilot projects to reduce school-based arrests were established.

Youth Work and Employment Initiatives

DCF and DOL began the summer youth employment program in Spring 2011. This was the first employment experience for many

of the 260 DCF youth participants. 90 percent completed the program.

In 2012, the youth employment initiative provided youth the opportunity to participate in a six-week summer program and to continue their employment throughout the year. Additional funds were made available and over 350 youth were matched to a summer job in their communities.

Academy for Family and Workforce Knowledge and Development

The DCF Academy for Family and Workforce Knowledge and Development has expanded the role of the previous DCF training academy by integrating a new provider academy, advocacy groups, community service providers, professional organizations, other state agencies and public and private universities.

The newly-established provider academy initiated online registration and expanded its target audience to include parents, foster parents and community partners not funded by DCF. As of the close of FY 2012, more than 2,000 individuals have been trained.

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RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	FY 2014	FY 2015
• Remove or Limit Inflation	-3,569,967	-7,074,503
• Remove Funding for Salary Increases for Appointed Officials	-31,518	-66,215
• Annualize FY 2013 Rescissions	-2,116,696	-2,116,696
• Annualize FY 2013 Deficit Mitigation Plan - Reduce Capacity of Therapeutic Group Homes <i>Funding is removed for four therapeutic group homes as more children are being served in their communities.</i>	-4,071,467	-4,071,467
• Annualize FY 2013 Deficit Mitigation Plan - Enhance Service Utilization Management <i>Achieve savings by enhanced regional service utilization management for the procurement of individualized services for children and families.</i>	-1,927,203	-1,917,260
• Annualize FY 2013 Deficit Mitigation Plan - Credential Transportation Services <i>Ensure children's safety and achieve cost containment by credentialing transportation providers.</i>	-809,298	-809,298
• Eliminate Private Residential Treatment Rate Increases <i>Funding is removed to reflect suspension of rate adjustments usually determined by single cost accounting for residential treatment facilities and elimination of inflationary increases for out-of-state rates.</i>	-4,667,773	-7,609,593
• Adjust Staffing to Reflect Reduced Caseloads <i>Funding for thirty vacant positions is removed in recognition of reduced agency caseloads as a result of differential response system implementation.</i>	-2,033,010	-2,033,010
• Establish Internal Audit Function <i>Achieve savings by developing an internal audit capacity to achieve efficiencies in operating expenses.</i>	-1,131,546	-1,153,652

Budget-in-Detail

• Achieve Savings from Consolidating Food Service Workers with DMHAS <i>Achieve personnel cost savings by consolidating ten Solnit Center - South Campus food service workers under the Department of Mental Health and Addiction Services' Connecticut Valley Hospital.</i>	-300,000	-300,000
• Transfer Case Management for DDS Eligible Clients <i>Funding associated with four positions is removed to reflect assumption of case management responsibilities for eligible clients by DDS.</i>	-260,642	-531,710
• Eliminate Support for Neighborhood Centers	-250,414	-250,414
Reallocations or Transfers		
• Consolidate Affirmative Action Planning Function <i>Funding for one position is transferred to reflect the centralization of affirmative action planning under CHRO.</i>	-84,265	-89,242
• Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-57,353	-57,353
• Streamline Agency Account Structure <i>In order to reduce the number of accounts and provide the agency with more flexibility in executing programs, various accounts have been consolidated.</i> <i>(A) Personnel costs have been transferred from Local Systems of Care into Personal Services; grant dollars have been consolidated into Community KidCare.</i> <i>(B) Short Term Residential Treatment has been consolidated into Board and Care for Children - Residential.</i> <i>(C) Emergency Needs has been consolidated into Individualized Family Supports.</i> <i>(D) Psychiatric Clinics for Children and Extended Day Treatment have been consolidated into Community KidCare.</i> <i>(E) Health Assessment and Consultation has been consolidated into Board and Care - Adoption & Foster.</i> <i>(F) Family Support Services has been consolidated into various accounts according to service type.</i> <i>(G) No Nexus Special Education has been consolidated into Board and Care for Children - Residential.</i> <i>(H) Substance Abuse Screening has been consolidated into Substance Abuse Services.</i> <i>(I) Family Preservation has been consolidated into Child Welfare Support Services.</i> <i>(J) Board and Care for Children - Adoption and Board and Care for Children - Foster have been combined into a new Board and Care - Adoption & Foster account.</i> <i>(K) Covenant to Care has been consolidated into Board and Care - Adoption & Foster.</i> <i>(L) Family Violence Outreach and Counseling has been consolidated into Support for Recovering Families.</i>	0	0
Revenues		
• Maximize Revenue for Services to Children Over the Age of 18 <i>Revenues are estimated at \$7.0 million annually in response to initiating claiming of federal reimbursement for services to young adults.</i>	0	0
Technical Adjustments		
• Revise GAAP Accrual Amounts	-362,931	-19,244

AGENCY PROGRAMS

Personnel Summary	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	3,080	167	0	3,247	3,247	3,211	3,247	3,207
Federal and Other Activities	21	0	-2	19	19	19	19	19
Agency Programs by Total Funds (Net of Reimbursements)								
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Current Services</u>	<u>FY 2014 Recommended</u>	<u>FY 2015 Requested</u>	<u>Current Services</u>	<u>FY 2015 Recommended</u>
Child Protection Services								
Child Protection Services Community Based Services	24,068,306	25,487,050	26,143,869	24,579,060	25,425,852	26,369,279	24,768,594	25,359,655
Child Protection Services Out of Home Services	248,079,176	252,920,123	283,915,128	253,489,217	246,589,359	288,184,786	256,526,175	248,095,261
Child Protection Administration	179,535,295	178,162,261	193,271,148	192,012,111	187,509,355	201,836,142	200,944,430	195,386,487
TOTAL Program	451,682,777	456,569,434	503,330,145	470,080,388	459,524,566	516,390,207	482,239,199	468,841,403
Children & Families Behavioral Health								
Behavioral Health Community Based Services	79,893,279	87,014,651	87,241,649	86,296,260	87,400,700	87,268,846	86,370,490	87,249,752

Behavioral Health Out of Home Services	133,351,906	143,761,696	127,542,935	121,679,839	113,395,936	129,950,632	124,558,355	113,965,717
Behavioral Health State Operated Facility	47,019,588	48,730,842	53,226,279	53,022,327	52,092,813	55,610,887	55,598,635	54,293,131
BH Administration	4,257,324	4,795,947	4,945,618	5,132,943	5,008,253	5,127,004	5,348,575	5,190,614
TOTAL Program	264,522,097	284,303,136	272,956,481	266,131,369	257,897,702	277,957,369	271,876,055	260,699,214
Juvenile Services								
Juvenile Services Community Based Services	12,643,413	13,208,865	13,485,810	13,663,201	14,524,721	13,618,860	13,846,964	14,682,721
Juvenile Services Out of Home Services	16,028,478	16,933,027	17,735,978	14,555,812	13,906,469	20,481,995	14,871,666	13,972,998
Juvenile Services State Operated Facilities	30,174,030	30,057,664	33,680,393	32,723,588	32,207,785	35,459,232	34,280,989	33,416,329
Juvenile Services Administration	789,455	3,345,668	3,479,074	3,451,696	3,289,625	3,524,348	3,500,982	3,335,055
TOTAL Program	59,635,376	63,545,224	68,381,255	64,394,297	63,928,600	73,084,435	66,500,601	65,407,103
Prevention for Children & Families	6,438,351	9,072,818	9,153,486	9,176,148	7,463,868	9,192,140	9,227,078	7,509,433
Agency Management Services	33,495,094	32,536,814	35,265,632	35,022,837	34,679,151	36,710,346	36,662,866	35,968,233
TOTAL Agency Programs - All Funds Gross	815,773,695	846,027,426	889,086,999	844,805,039	823,493,887	913,334,497	866,505,799	838,425,386
Less Turnover	0	0	-6,990,696	-8,273,901	-8,273,901	-7,322,900	-8,687,596	-8,687,596
Nonfunctional - Change to Accruals	0	0	0	1,648,090	1,285,159	0	1,682,138	1,662,894
TOTAL Agency Programs - All Funds Net	815,773,695	846,027,426	882,096,303	838,179,228	816,505,145	906,011,597	859,500,341	831,400,684
<u>Summary of Funding</u>								
General Fund Net	801,878,606	831,868,629	868,455,883	824,538,808	802,864,725	893,650,140	847,138,884	819,039,227
Federal and Other Activities	13,675,143	14,095,297	13,576,920	13,576,920	13,576,920	12,297,957	12,297,957	12,297,957
Private Funds	219,946	63,500	63,500	63,500	63,500	63,500	63,500	63,500
TOTAL Agency Programs - All Funds Net	815,773,695	846,027,426	882,096,303	838,179,228	816,505,145	906,011,597	859,500,341	831,400,684

CHILD PROTECTION SERVICES

Statutory Reference

C.G.S. Sections 17a-3 and 17a-90.

Statement of Need and Program Objectives

To protect children and youth who are reported as abused or neglected. To strengthen families so children can remain safely at home. To find permanent homes for children and youth through reunification with their families, subsidized guardianship with a relative, adoption, or independent living. To help foster and relative parents and other substitute caregivers provide temporary care when children cannot reside at home.

Program Description

The department provides services through a partnership of state staff and community-based resources, including foster and adoptive parents. Services start when a report from the community comes to the statewide Careline, which takes calls 24 hours a day, seven days a week. Reports alleging that a child has been abused, neglected or abandoned are forwarded to area offices.

In March 2011, the department began a differential response system (DRS) that provides for two separate response tracks. Specifically, reports that pertain to children who are assessed to be at lower risk are diverted to a family assessment response track

that results in referrals to community providers who work with the family to meet identified needs and build upon strengths. The differential response system refers families whose child and safety risk score is low to community supports to promote safety and well-being of children and families.

For children who are assessed to be at higher risk, an investigation is commenced. Investigators complete an assessment of the family and determine whether neglect and/or abuse of the children have occurred or if community supports are needed to promote well-being.

If abuse or neglect is substantiated, appropriate services are provided to the child and family. These include in-home services to help ensure the safety of the children at home. If the investigation determines a child or youth cannot remain safely in the home, the department seeks a court order to remove the child. Following removal, the department determines whether reunification with the family is possible.

If this goal cannot be achieved, the department will seek a permanent home for the child through subsidized guardianship, adoption or independent living. In seeking permanency for children, the department always remains focused on the critical importance of the child's sense of time.

Program Measure	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
Average number of subsidized guardianship placements	182	206	250	225
Average number of subsidized adoptions	492	550	647	640
Number of children in foster care as of end of fiscal year	2,328	2,275	2,268	2,240
Training hours devoted to increasing staff knowledge and skills	75,000	75,000	75,000	75,000
Number of treatment planning conferences/administrative case reviews completed	15,311	15,311	17,095	17,095

Budget-in-Detail

CHILD PROTECTION SERVICES

Personnel Summary

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions	2,071	102	0	2,173	2,173	2,139	2,173	2,135
General Fund	3	0	1	4	4	4	4	4
Federal and Other Activities								

Financial Summary

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	170,327,717	161,860,461	177,616,326	176,224,921	174,851,715	186,107,034	185,117,960	183,522,463
Other Expenses	18,039,407	17,673,271	18,162,409	18,506,976	17,569,524	18,718,571	19,185,612	17,569,524

Other Current Expenses

Workers' Compensation Claims	2,236,211	2,091,719	2,415,703	2,379,603	2,279,114	2,502,983	2,483,368	2,279,114
Local Systems of Care	1,315,449	1,408,974	1,451,243	1,280,357	0*	1,494,781	1,344,700	0*
Family Support Services	37,500	37,688	37,875	37,875	0*	37,875	37,875	0*
Emergency Needs	1,152,188	1,500,000	1,528,928	1,528,928	0*	1,568,235	1,568,235	0*
Differential Response System	1,542,031	9,250,000	9,250,000	9,250,000	8,346,386	9,250,000	9,250,000	8,346,386

Pmts to Other Than Local Governments

Health Assessment and Consultation	956,206	970,471	975,447	975,447	0*	975,713	975,713	0*
Psychiatric Clinics for Children	602,497	641,339	643,645	643,645	0*	643,645	643,645	0*
Juvenile Justice Services	361,355	203,568	204,268	207,614	194,687	204,268	207,794	194,687
Child Abuse and Neglect Intervention	4,359,051	4,655,276	4,678,035	4,678,035	7,104,335	4,678,035	4,678,035	7,104,335
Prevention Services	1,163,103	966,916	971,726	971,726	1,371,161	971,726	971,726	1,371,161
Family Violence Outreach and Counseling	1,644,889	1,754,906	1,758,369	1,758,369	0*	1,758,369	1,758,369	0*
Support for Recovering Families	0	0	0	0	1,892,201	0	0	1,892,201
Family Preservation Services	5,285,311	5,412,453	5,439,380	5,439,380	0*	5,439,380	5,439,380	0*
Child Welfare Support Services	3,238,547	3,208,634	3,224,767	3,224,767	8,185,304	3,225,457	3,225,457	8,185,304
Board and Care for Children - Adoption	86,743,776	89,641,649	111,140,200	91,119,841	0*	113,879,373	92,955,828	0*
Board and Care for Children - Foster	105,184,889	110,138,577	122,357,223	112,430,801	0*	124,329,325	113,351,448	0*
Board and Care - Residential	30,892,429	28,574,820	24,558,973	24,117,118	23,041,540	24,598,563	24,679,896	23,166,401
Individualized Family Supports	9,432,451	9,771,014	10,365,988	8,755,345	7,999,913	10,618,091	8,975,375	7,999,913
Community KidCare	0	0	0	0	534,881	0	0	534,881
Covenant to Care	158,191	167,353	168,181	168,181	0*	168,181	168,181	0*
Board and Care - Adoption & Foster	0	0	0	0	199,772,346	0	0	201,454,431
TOTAL-General Fund	444,673,198	449,929,089	496,948,686	463,698,929	453,143,107	511,169,605	477,018,597	463,620,801

Additional Funds Available

Private Funds	157,105	0	0	0	0	0	0	0
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Federal Contributions

93556 Promoting Safe & Stable Families	2,528,526	2,660,922	2,672,766	2,672,766	2,672,766	1,950,000	1,950,000	1,950,000
93599 Chafee Education & Training Vouchers	642,661	336,093	349,661	349,661	349,661	357,141	357,141	357,141
93603 Adoption Incentive Payments	453,635	50,000	0	0	0	0	0	0
93643 Children's Justice Grants to States	211,433	218,937	201,687	201,687	201,687	201,687	201,687	201,687
93645 Child Welfare Services State Grants	459,539	590,984	604,945	604,945	604,945	620,092	620,092	620,092
93652 Adoption Opportunities	89,008	160,992	0	0	0	0	0	0
93669 Child Abuse & Neglect State Grants	324,853	335,430	335,430	335,430	335,430	269,430	269,430	269,430
93674 Chafee Foster Care Independent Living	1,714,405	1,860,992	1,790,975	1,790,975	1,790,975	1,396,257	1,396,257	1,396,257
93958 Block Grants for Community Mental Health	428,414	425,995	425,995	425,995	425,995	425,995	425,995	425,995
TOTAL - All Funds	451,682,777	456,569,434	503,330,145	470,080,388	459,524,566	516,390,207	482,239,199	468,841,403

* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

CHILD PROTECTION SERVICES - COMMUNITY BASED SERVICES

Statutory Reference

C.G.S Section 17a-90.

Statement of Purpose and Program Objectives

To protect children from abuse or injury, provide in-home services to children and their families, and maintain or reunify children with their families when possible.

Program Description

Careline -The Careline (formerly "Hotline") received approximately 96,000 calls in 2012. Of those, 45,748 were accepted reports, and 27,354 reports were investigated. Of these investigations, 97 percent were commenced in a timely manner and 92 percent were completed within 45 days. In FY 2012, 1,387 allegations of physical and sexual abuse were substantiated, as were 16,803 allegations of physical, emotional, educational and/or medical neglect.

Substantiated cases are typically transferred to ongoing services when the children are assessed to be at significant risk without the department's continued involvement. Services are identified to address the issues that precipitated DCF intervention. The department often refers unsubstantiated cases to community services through the differential response system, which was launched in March 2012.

Social Work Services - Cases substantiated for abuse or neglect are typically assigned to a treatment social worker in one of 14 area offices across the state. These social workers provide ongoing services to help ensure that children are safe and families are supported, whether the children are at home or placed in out-of-home care. The goal of intervention for children placed in out-of-home care is reunification with their biological family or the placement of the child in a permanent home.

In an effort to increase support to families, the department makes flexible funding available to children and families to provide them with services that would not be covered under traditional contracted programs or by another state agency. The use of discretionary funding enables the department to meet the individualized needs of children and families in a timely and effective manner.

Community-based, In-Home Services - Approximately 3,800 families with children living at home receive community-based, in-home services provided through private, non-profit providers under department contract. Services include intensive family preservation, parent aide and substance abuse screening. In part due to these in-home services, there are approximately 14 percent fewer children in placement as of December 2012 as compared with January 2011.

CHILD PROTECTION SVCS COMMUNITY BASED SERVICES

Financial Summary	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<u>Other Current Expenses</u>								
Emergency Needs	1,152,188	1,500,000	1,528,928	1,528,928	0*	1,568,235	1,568,235	0*
<u>Pmts to Other Than Local Governments</u>								
Psychiatric Clinics for Children	602,497	641,339	643,645	643,645	0*	643,645	643,645	0*
Child Abuse and Neglect Intervention	4,359,051	4,655,276	4,678,035	4,678,035	7,104,335	4,678,035	4,678,035	7,104,335
Prevention Services	1,163,103	966,916	971,726	971,726	1,371,161	971,726	971,726	1,371,161
Family Violence Outreach and Counseling	1,644,889	1,754,906	1,758,369	1,758,369	0*	1,758,369	1,758,369	0*
Support for Recovering Families	0	0	0	0	1,892,201	0	0	1,892,201
Family Preservation Services	5,285,311	5,412,453	5,439,380	5,439,380	0*	5,439,380	5,439,380	0*
Child Welfare Support Services	102,463	102,173	102,674	102,674	5,814,042	102,674	102,674	5,814,042
Board and Care for Children - Foster	0	297,648	300,000	303,843	0*	300,000	306,331	0*
Individualized Family Supports	9,103,962	9,491,640	10,073,663	8,505,011	7,771,179	10,325,766	8,718,750	7,771,179
Community KidCare	0	0	0	0	534,881	0	0	534,881
Board and Care - Adoption & Foster	0	0	0	0	290,604	0	0	290,407
TOTAL-General Fund	23,413,464	24,822,351	25,496,420	23,931,611	24,778,403	25,787,830	24,187,145	24,778,206
<u>Additional Funds Available</u>								
Federal Contributions								
93643 Children's Justice Grants to States	211,433	218,937	201,687	201,687	201,687	201,687	201,687	201,687
93645 Child Welfare Services State Grants	219,729	220,500	220,500	220,500	220,500	220,500	220,500	220,500
93669 Child Abuse & Neglect State Grants	223,680	225,262	225,262	225,262	225,262	159,262	159,262	159,262
TOTAL - All Funds	24,068,306	25,487,050	26,143,869	24,579,060	25,425,852	26,369,279	24,768,594	25,359,655

* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

CHILD PROTECTION SERVICES - OUT-OF-HOME SERVICES

Statutory Reference

C.G.S. Sections 46b-129 (j), 17a-3, 17a-101g, 17a-117 and 17a-126.

Statement of Purpose and Program Objectives

To protect abused and neglected children and meet their individual developmental needs through an out-of-home placement while a child's biological family cannot care for them or, in cases where reunification is not possible, while a child awaits a permanent placement. In addition, to provide permanency through subsidized guardianship, adoption and independent living services.

Program Description

Adoptive homes serve children who cannot return to their biological families. The department provides services on behalf of children entering these homes, including: legally freeing a child for adoption, preparing adoptive home studies, pre-placement planning, helping children prepare for adoption, placement planning with the child and adoptive family, and providing financial/medical subsidies for children with special needs.

Subsidized Guardianship provides a permanent home for children with relatives who function as adoptive parents but without parental rights being terminated. Subsidized guardianship offers relatives subsidies to assist them in providing care to these children.

Relative Caregivers offer the best option when children cannot live safely in their homes. The department has made the use of relatives as a resource for children who must be in care a top priority. The share of children in care who lived with a relative in December 2012 increased by 35 percent as compared to January 2011.

Foster Care provides a substitute family experience that, together with other services provided to the foster parents, families and children, facilitates reunification of children with their families or establishes another permanent family for children. The present administration has made it a priority to increase the share of children in care who live in a family setting as opposed to congregate care. The share of children in care who lived in a family setting in December 2012 increased by 15 percent as compared to January 2011.

Independent Living programs provide permanency for older adolescents. They provide youth who have been in foster care or other placement settings opportunities to live on their own with supportive services provided by DCF and other community programs, as well as assist in their successful transition to adulthood. The department's post secondary (2 or 4 year colleges or other full time school) program provided financial support for 593 youths up to age 23 in 2011.

CHILD PROTECTION SVCS OUT OF HOME SERVICES

Personnel Summary

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions	178	3	0	181	181	181	181	181
General Fund	3	0	1	4	4	4	4	4
Federal and Other Activities								

Financial Summary

(Net of Reimbursements)	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	15,176,813	14,544,068	15,837,150	15,834,795	15,758,396	16,444,107	16,633,884	16,555,193
Other Expenses	307,170	300,937	307,565	315,133	299,170	316,483	326,689	299,170

Other Current Expenses

Family Support Services	37,500	37,688	37,875	37,875	0*	37,875	37,875	0*
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Pmts to Other Than Local Governments

Health Assessment and Consultation	956,206	970,471	975,447	975,447	0*	975,713	975,713	0*
Juvenile Justice Services	361,355	203,568	204,268	207,614	194,687	204,268	207,794	194,687
Child Welfare Support Services	3,136,084	3,106,461	3,122,093	3,122,093	2,371,262	3,122,783	3,122,783	2,371,262
Board and Care for Children - Adoption	86,743,776	89,641,649	111,140,200	91,119,841	0*	113,879,373	92,955,828	0*
Board and Care for Children - Foster	105,184,889	109,840,929	122,057,223	112,126,958	0*	124,029,325	113,045,117	0*
Board and Care - Residential	30,892,429	28,574,820	24,558,973	24,117,118	23,041,540	24,598,563	24,679,896	23,166,401
Individualized Family Supports	328,489	279,374	292,325	250,334	228,734	292,325	256,625	228,734
Covenant to Care	158,191	167,353	168,181	168,181	0*	168,181	168,181	0*
Board and Care - Adoption & Foster	0	0	0	0	199,481,742	0	0	201,164,024
TOTAL-General Fund	243,282,902	247,667,318	278,701,300	248,275,389	241,375,531	284,068,996	252,410,385	243,979,471

Additional Funds Available**Federal Contributions**

93556 Promoting Safe & Stable Families	2,528,526	2,660,922	2,672,766	2,672,766	2,672,766	1,950,000	1,950,000	1,950,000
93599 Chafee Education & Training Vouchers	145,060	211,093	224,661	224,661	224,661	238,141	238,141	238,141
93603 Adoption Incentive Payments	52,891	0	0	0	0	0	0	0
93645 Child Welfare Services State Grants	87,275	93,803	99,431	99,431	99,431	105,397	105,397	105,397
93674 Chafee Foster Care Independent Living	1,556,704	1,860,992	1,790,975	1,790,975	1,790,975	1,396,257	1,396,257	1,396,257
93958 Block Grants for Community Mental Health	425,818	425,995	425,995	425,995	425,995	425,995	425,995	425,995
TOTAL - All Funds	248,079,176	252,920,123	283,915,128	253,489,217	246,589,359	288,184,786	256,526,175	248,095,261

* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

CHILD PROTECTION ADMINISTRATION

The department currently has 14 area offices that are under one of six regions in order to realize efficiencies and support standards while also maintaining a local structure that enables the department to be responsive to families and the community. Each region has program directors for administration and quality

improvement, clinical services and for systems development. These staff report to the regional administrator and are responsible for overseeing and managing services and supports across the region.

CHILD PROTECTION ADMINISTRATION

Personnel Summary	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	1,893	99	0	1,992	1,992	1,958	1,992	1,954
Financial Summary	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	155,150,904	147,316,393	161,779,176	160,390,126	159,093,319	169,662,927	168,484,076	166,967,270
Other Expenses	17,732,237	17,372,334	17,854,844	18,191,843	17,270,354	18,402,088	18,858,923	17,270,354
<u>Other Current Expenses</u>								
Workers' Compensation Claims	2,236,211	2,091,719	2,415,703	2,379,603	2,279,114	2,502,983	2,483,368	2,279,114
Local Systems of Care	1,315,449	1,408,974	1,451,243	1,280,357	0*	1,494,781	1,344,700	0*
Differential Response System	1,542,031	9,250,000	9,250,000	9,250,000	8,346,386	9,250,000	9,250,000	8,346,386
TOTAL-General Fund	177,976,832	177,439,420	192,750,966	191,491,929	186,989,173	201,312,779	200,421,067	194,863,124
<u>Additional Funds Available</u>								
Private Funds	157,105	0	0	0	0	0	0	0
Federal Contributions								
93599 Chafee Education & Training Vouchers	497,601	125,000	125,000	125,000	125,000	119,000	119,000	119,000
93603 Adoption Incentive Payments	400,744	50,000	0	0	0	0	0	0
93645 Child Welfare Services State Grants	152,535	276,681	285,014	285,014	285,014	294,195	294,195	294,195
93652 Adoption Opportunities	89,008	160,992	0	0	0	0	0	0
93669 Child Abuse & Neglect State Grants	101,173	110,168	110,168	110,168	110,168	110,168	110,168	110,168
93674 Chafee Foster Care Independent Living	157,701	0	0	0	0	0	0	0
93958 Block Grants for Community Mental Health	2,596	0	0	0	0	0	0	0
TOTAL - All Funds	179,535,295	178,162,261	193,271,148	192,012,111	187,509,355	201,836,142	200,944,430	195,386,487

* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

BEHAVIORAL HEALTH

Statutory Reference

C.G.S. Sections 17a-3 and 17a-127.

Statement of Purpose and Program Objectives

To provide appropriate behavioral health and substance abuse assessment, treatment and aftercare to address the behavioral health needs of Connecticut's children. To restructure and reform the delivery of children's behavioral health services in consultation with the Department of Social Services. To promote the further development of an appropriate system of community-based services so that children may be served in their homes and communities to the greatest extent possible. To address the specialized needs of infants and young children and the unique behavioral health

challenges facing children in the foster care system. To plan, collaborate and administer with the Department of Mental Health and Addiction Services a cost-effective substance treatment program for families in the mental health system. To develop and enhance services for older adolescents with mental health needs who will need services from the Department of Mental Health and Addiction Services. To promote use of the most effective, evidence-based practices in all behavioral health services provided or contracted by the department.

Program Description

CT Behavioral Health Partnership (CTBHP)/Administrative Services Organization The overarching aim of the CT BHP is to improve access

Budget-in-Detail

to key services, more effectively allocate resources through enhanced care management, and improve the quality of care.

DCF operates two consolidated facilities called the Albert J. Solnit Children's Center. The agency also licenses and monitors a wide variety of behavioral health programs and services provided by private providers under contract to the state.

Behavioral health services are provided to children committed to the department as a result of abuse and/or neglect, committed to DCF as delinquent, as well as children with behavioral health needs who have no involvement with DCF.

Program Measure	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
Total number of supportive housing subsidies for recovering families obtained	365	250	250	250

BEHAVIORAL HEALTH

Personnel Summary

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	405	38	0	443	443	439	443	439
Federal and Other Activities	18	0	-3	15	15	15	15	15

Financial Summary

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	41,239,423	42,713,342	46,467,832	46,503,979	45,979,606	48,632,305	48,850,761	48,319,658
Other Expenses	5,100,407	4,996,882	5,200,830	5,232,601	4,967,549	5,378,779	5,424,476	4,967,549

Other Current Expenses

Short Term Residential Treatment	713,129	716,712	720,260	720,260	0*	720,260	720,260	0*
Substance Abuse Screening	1,629,601	1,754,417	1,762,897	1,762,897	0*	1,762,897	1,762,897	0*
Workers' Compensation Claims	4,468,302	4,179,583	4,826,956	4,754,820	4,554,027	5,001,356	4,962,159	4,554,027
Local Systems of Care	693,804	697,287	700,756	700,756	0*	700,756	700,756	0*
Family Support Services	8,433,053	10,411,298	10,454,087	10,454,087	0*	10,454,087	10,454,087	0*

Pmts to Other Than Local Governments

Psychiatric Clinics for Children	13,317,822	13,550,236	13,617,650	13,617,650	0*	13,617,650	13,617,650	0*
Extended Day Treatment	5,373,411	5,524,198	5,550,639	5,550,639	0*	5,550,639	5,550,639	0*
Juvenile Justice Services	1,087,088	1,187,866	1,193,692	1,211,477	1,136,042	1,193,692	1,212,526	1,136,042
Child Abuse and Neglect Intervention	739,024	751,012	754,749	754,749	1,145,710	754,749	754,749	1,145,710
Prevention Services	79,750	79,750	81,481	81,481	114,974	83,844	83,844	114,974
Support for Recovering Families	14,124,990	16,842,319	16,910,822	16,910,822	15,323,546	16,910,822	16,910,822	15,323,546
No Nexus Special Education	5,673,787	7,421,437	6,000,000	5,667,779	0*	6,000,000	5,978,176	0*
Substance Abuse Services	2,959,996	3,088,286	3,104,041	3,104,041	6,923,048	3,107,010	3,107,010	6,923,048
Board and Care for Children - Foster	0	1,757,322	1,897,680	1,793,896	0*	1,897,680	1,808,585	0*
Board and Care - Residential	123,380,614	132,900,222	117,728,252	112,167,648	112,248,166	120,135,949	114,785,103	112,828,893
Individualized Family Supports	6,240,020	5,099,767	5,410,938	4,569,660	4,175,380	5,546,608	4,684,500	4,175,380
Community KidCare	22,653,144	23,481,325	23,618,947	23,618,155	52,659,949	23,672,420	23,671,189	52,659,949
Board and Care - Adoption & Foster	0	0	0	0	1,715,733	0	0	1,714,572
TOTAL-General Fund	257,907,365	277,153,261	266,002,509	259,177,397	250,943,730	271,121,503	265,040,189	253,863,348

Additional Funds Available

Private Funds	61,591	62,250	62,250	62,250	62,250	62,250	62,250	62,250
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Federal Contributions

84013 Title I Pgm Neglected / Delinquent Children	75,002	87,070	87,070	87,070	87,070	87,070	87,070	87,070
84027 Special Education Grants to States	182,969	221,023	221,023	221,023	221,023	221,023	221,023	221,023
93104 Comprehensive Community Mental Health	251,176	0	0	0	0	0	0	0
93110 Maternal & Child Health	10,000	0	0	0	0	0	0	0
93243 Substance Abuse & Mental Health Services	405,118	364,478	100,386	100,386	100,386	0	0	0
93556 Promoting Safe & Stable Families	50,000	50,000	50,000	50,000	50,000	40,000	40,000	40,000
93645 Child Welfare Services State Grants	1,224,179	1,440,476	1,508,665	1,508,665	1,508,665	1,500,945	1,500,945	1,500,945
93652 Adoption Opportunities	326,173	640,000	640,000	640,000	640,000	640,000	640,000	640,000
93667 Social Services Block Grant	3,209,615	3,209,614	3,209,614	3,209,614	3,209,614	3,209,614	3,209,614	3,209,614
93669 Child Abuse & Neglect State Grants	36,828	36,628	36,628	36,628	36,628	36,628	36,628	36,628
93674 Chafee Foster Care Independent	79,199	79,000	79,000	79,000	79,000	79,000	79,000	79,000

Living									
93958 Block Grants for Community Mental Health	702,882	959,336	959,336	959,336	959,336	959,336	959,336	959,336	959,336
TOTAL - All Funds	264,522,097	284,303,136	272,956,481	266,131,369	257,897,702	277,957,369	271,876,055	260,699,214	

* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

BEHAVIORAL HEALTH : IN-HOME AND COMMUNITY BASED SERVICES

The significant reduction in the use of congregate care, increase in use of family settings and the reduction in the number of children in care overall are attributable in large measure to the development of in-home and community based services for children with mental health and substance abuse treatment needs.

The three largest in-home and community based programs provide nearly 40,000 episodes of care annually as set out below:

Service	Episodes
Psychiatric Outpatient Clinics for Children	23,000
Emergency Mobile Psychiatric Crisis Service (EMPS)	13,000
Intensive In-Home Psychiatric Services (IICAPS)	3,000

Connecticut now has the capacity to serve approximately 3,000 children a year in intensive home-based programs. This capacity largely did not exist only a few years ago. Some of the initiatives that help children and families with mental health and substance abuse treatment needs in their homes include:

- “Wrap around” services that help both children and parents on an individualized basis, including non-traditional help such as mentoring and respite.
- Intensive in-home psychiatric services for children returning home from psychiatric hospitals or residential care or at risk of requiring hospitalization or out-of-home placement. DCF has also partnered with the Judicial Department’s Court

Support Services Division to make this service available statewide to court-involved youth; and

- Specialized programs for families in which parents are also provided with intensive substance abuse treatment and other clinical services.

Therapeutic Group Homes provide intensive clinical services and allow children who would otherwise need a more institutional treatment setting to live in a home-like environment and attend school in the community. This initiative has been instrumental in enabling children to reside in home-like community based settings.

Connecticut Community KidCare provides a variety of family-focused community-based, mental health programs for children throughout the state including: emergency mobile psychiatric services; care coordination services; parent advocacy services, child guidance clinics, extended day treatment programs and substance abuse treatment programs for youth, including innovative family-focused treatment and supportive housing programs.

CT Behavioral Health Partnership (CTBHP)/Administrative Services Organization (ASO) has as its overarching goal improving access to key services, more effectively allocating resources through enhanced utilization management, and improving the quality of care for youth in the public behavioral health system (HUSKY, or DCF involved). Over the past year, the Behavioral Health Partnership has engaged in many activities designed to enhance the quality of care children receive within the behavioral health service system.

BEHAVIORAL HEALTH COMMUNITY BASED SERVICES

Personnel Summary	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	4	0	0	4	4	4	4	4

Financial Summary (Net of Reimbursements)	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	547,586	529,858	559,604	576,881	574,097	582,337	605,993	603,126

<u>Other Current Expenses</u>								
Substance Abuse Screening	1,566,149	1,704,417	1,712,897	1,712,897	0*	1,712,897	1,712,897	0*
Local Systems of Care	693,804	697,287	700,756	700,756	0*	700,756	700,756	0*
Family Support Services	6,427,978	8,392,585	8,425,330	8,425,330	0*	8,425,330	8,425,330	0*

<u>Pmts to Other Than Local Governments</u>								
Psychiatric Clinics for Children	13,317,822	13,550,236	13,617,650	13,617,650	0*	13,617,650	13,617,650	0*
Extended Day Treatment	5,373,411	5,524,198	5,550,639	5,550,639	0*	5,550,639	5,550,639	0*
Juvenile Justice Services	1,087,088	1,187,866	1,193,692	1,211,477	1,136,042	1,193,692	1,212,526	1,136,042
Child Abuse and Neglect Intervention	739,024	751,012	754,749	754,749	1,145,710	754,749	754,749	1,145,710
Prevention Services	79,750	79,750	81,481	81,481	114,974	83,844	83,844	114,974
Support for Recovering Families	14,124,990	16,842,319	16,910,822	16,910,822	15,323,546	16,910,822	16,910,822	15,323,546
Substance Abuse Services	2,959,996	3,088,286	3,104,041	3,104,041	6,923,048	3,107,010	3,107,010	6,923,048

Budget-in-Detail

Board and Care for Children - Foster	0	1,380,301	1,517,680	1,409,028	0*	1,517,680	1,420,566	0*
Board and Care - Residential	1,934,602	2,505,002	2,143,948	2,114,219	2,061,844	2,143,948	2,163,555	2,072,790
Individualized Family Supports	6,240,020	5,099,767	5,410,938	4,569,660	4,175,380	5,546,608	4,684,500	4,175,380
Community KidCare	22,653,144	23,481,325	23,618,947	23,618,155	52,659,949	23,672,420	23,671,189	52,659,949
Board and Care - Adoption & Foster	0	0	0	0	1,347,635	0	0	1,346,723
TOTAL-General Fund	77,745,364	84,814,209	85,303,174	84,357,785	85,462,225	85,520,382	84,622,026	85,501,288
<u>Additional Funds Available</u>								
Private Funds	59,341	60,000	60,000	60,000	60,000	60,000	60,000	60,000
Federal Contributions								
93104 Comprehensive Community Mental Health	248,713	0	0	0	0	0	0	0
93243 Substance Abuse & Mental Health Services	401,638	361,978	100,011	100,011	100,011	0	0	0
93556 Promoting Safe & Stable Families	50,000	50,000	50,000	50,000	50,000	40,000	40,000	40,000
93645 Child Welfare Services State Grants	300,000	280,000	280,000	280,000	280,000	200,000	200,000	200,000
93652 Adoption Opportunities	321,237	620,000	620,000	620,000	620,000	620,000	620,000	620,000
93669 Child Abuse & Neglect State Grants	36,828	36,628	36,628	36,628	36,628	36,628	36,628	36,628
93674 Chafee Foster Care Independent Living	79,199	79,000	79,000	79,000	79,000	79,000	79,000	79,000
93958 Block Grants for Community Mental Health	650,959	712,836	712,836	712,836	712,836	712,836	712,836	712,836
TOTAL - All Funds	79,893,279	87,014,651	87,241,649	86,296,260	87,400,700	87,268,846	86,370,490	87,249,752

* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

BEHAVIORAL HEALTH - OUT-OF-HOME SERVICES

Statutory Reference

C.G.S. Section 17a-90.

Statement of Purpose and Program Objectives

To treat children whose behavioral health needs are too acute to address in the community. To provide foster home placements for some of those youth who are unable to return to their families.

Program Description

Residential Treatment Programs are licensed and monitored by DCF to provide structured out-of-home treatment. DCF contracts with a number of types of residential and/or treatment programs to meet the myriad needs of children and adolescents: residential treatment, group homes and therapeutic group homes; specialized foster care and treatment foster care; professional parent programs; transitional programs for youth about to receive

services from the Department of Mental Health and Addiction Services; and residential drug treatment and short-term residential substance abuse treatment.

Short Term Assessment and Respite Centers are temporary congregate care programs that provide short-term care, assessment and a range of clinical and nursing services to children removed from their homes due to abuse, neglect or other high-risk circumstances. Staff provide empathic professional care for youth within a routine of daily activities similar to a nurturing family structure. The youth receive assessment services, individual and group therapy, and educational support in a structured setting. Care coordination is provided to support family reunification or transition to foster care, congregate care, or other settings as appropriate.

Program Measure	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
Number of children in behavioral health residential treatment facilities as of end of fiscal year	322	235	238	235

BEHAVIORAL HEALTH OUT OF HOME SERVICES

Financial Summary

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
(Net of Reimbursements)	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	304,288	0	0	0	0	0	0	0
<u>Other Current Expenses</u>								
Short Term Residential Treatment	713,129	716,712	720,260	720,260	0*	720,260	720,260	0*
Family Support Services	2,005,075	2,018,713	2,028,757	2,028,757	0*	2,028,757	2,028,757	0*
<u>Pmts to Other Than Local Governments</u>								
No Nexus Special Education	5,673,787	7,421,437	6,000,000	5,667,779	0*	6,000,000	5,978,176	0*
Board and Care - Residential	121,446,012	130,395,220	115,584,304	110,053,429	110,186,322	117,992,001	112,621,548	110,756,103
TOTAL-General Fund	130,142,291	140,552,082	124,333,321	118,470,225	110,186,322	126,741,018	121,348,741	110,756,103

Additional Funds Available**Federal Contributions**

93667 Social Services Block Grant	3,209,615	3,209,614	3,209,614	3,209,614	3,209,614	3,209,614	3,209,614	3,209,614
TOTAL - All Funds	133,351,906	143,761,696	127,542,935	121,679,839	113,395,936	129,950,632	124,558,355	113,965,717

* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

BEHAVIORAL HEALTH - STATE OPERATED FACILITIES**Statutory Reference**

C.G.S. Sections 17a-79, 17a-94.

Statement of Purpose and Program Objectives

To provide intensive residential treatment and acute psychiatric hospital care for children with behavioral health needs. To maintain a full range of services required for a behavioral health continuum of care.

Program Description**State-Operated Treatment Facilities**

In 2011, DCF's behavioral health facilities were consolidated to form the Albert J. Solnit Children's Center. The North Campus (formerly Connecticut Children's Place) is located in East Windsor and the South Campus (formerly Riverview Hospital) is located in Middletown.

- The North Campus is a behavioral health care program that uses a multi-disciplinary treatment approach incorporating residential care, medical services, clinical assessment, evaluation and therapy, and an education program. The North Campus also offers a therapeutic recreation program to explore creative talents and offers sports and other activities.

Services are provided in partnership with the child/youth, families and community in order to meet the individual needs of each child/youth and prepare them for transition to home or a less restrictive environment. The age range of children served is generally from 12 to 21 years.

- The South Campus offers in-patient hospital-level psychiatric services and psychiatric residential treatment (subacute) services for children and youth up to 18 years old. Interdisciplinary teams (consisting of child/adolescent psychiatrist, nurse, clinician, rehabilitation therapist, direct care and educational staff) work in concert with the patients and their families to provide individualized treatment aimed at stabilization and to prepare them for a return home or a placement to a less-restrictive setting. Solnit South is a teaching and education center for child psychiatry, psychology, social work, rehab therapy and nursing. The hospital is a training site for both Yale University and the University of Connecticut. Solnit South is accredited by the Joint Commission on Accreditation of Health Care Organizations.

BEHAVIORAL HEALTH STATE OPERATED FACILITY**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
General Fund	376	36	0	412	412	402	412	402
Federal and Other Activities	18	0	-3	15	15	15	15	15

Financial Summary**(Net of Reimbursements)**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	37,072,785	38,875,800	42,471,015	42,325,870	41,821,657	44,455,793	44,461,808	43,951,468
Other Expenses	4,320,211	4,232,522	4,418,855	4,432,184	4,207,676	4,573,778	4,594,708	4,207,676
<u>Other Current Expenses</u>								
Workers' Compensation Claims	4,468,302	4,179,583	4,826,956	4,754,820	4,554,027	5,001,356	4,962,159	4,554,027
TOTAL-General Fund	45,861,298	47,287,905	51,716,826	51,512,874	50,583,360	54,030,927	54,018,675	52,713,171

Additional Funds Available

Private Funds	2,250	2,250	2,250	2,250	2,250	2,250	2,250	2,250
Federal Contributions								
84013 Title I Pgm Neglected / Delinquent Children	75,002	87,070	87,070	87,070	87,070	87,070	87,070	87,070
84027 Special Education Grants to States	182,969	221,023	221,023	221,023	221,023	221,023	221,023	221,023
93645 Child Welfare Services State Grants	898,069	1,132,594	1,199,110	1,199,110	1,199,110	1,269,617	1,269,617	1,269,617
TOTAL - All Funds	47,019,588	48,730,842	53,226,279	53,022,327	52,092,813	55,610,887	55,598,635	54,293,131

BEHAVIORAL HEALTH ADMINISTRATION

The Clinical and Community Consultation and Support Division and the Adolescent and Juvenile Justice Division oversee the department's array of behavioral health and medical programs and services. The divisions have primary responsibility for the management of clinical programs that are operated or contracted by the state. Activities include the development of programmatic

contract expectations and standards, assessment of the need for behavioral health services, clinical case consultation, development and procurement of new services, monitoring and evaluation of program performance, provider relations, coordination with other state agencies and entities and development of data and reports regarding program utilization and outcomes.

BH ADMINISTRATION

Personnel Summary

Permanent Full-Time Positions General Fund	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
	25	2	0	27	27	33	27	33

Financial Summary

(Net of Reimbursements)	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	3,314,764	3,307,684	3,437,213	3,601,228	3,583,852	3,594,175	3,782,960	3,765,064
Other Expenses	780,196	764,360	781,975	800,417	759,873	805,001	829,768	759,873
Other Current Expenses								
Substance Abuse Screening	63,452	50,000	50,000	50,000	0*	50,000	50,000	0*
Pmts to Other Than Local Governments								
Board and Care for Children - Foster	0	377,021	380,000	384,868	0*	380,000	388,019	0*
Board and Care - Adoption & Foster	0	0	0	0	368,098	0	0	367,849
TOTAL-General Fund	4,158,412	4,499,065	4,649,188	4,836,513	4,711,823	4,829,176	5,050,747	4,892,786

Additional Funds Available

Federal Contributions

93104 Comprehensive Community Mental Health	2,463	0	0	0	0	0	0	0
93110 Maternal & Child Health	10,000	0	0	0	0	0	0	0
93243 Substance Abuse & Mental Health Services	3,480	2,500	375	375	375	0	0	0
93645 Child Welfare Services State Grants	26,110	27,882	29,555	29,555	29,555	31,328	31,328	31,328
93652 Adoption Opportunities	4,936	20,000	20,000	20,000	20,000	20,000	20,000	20,000
93958 Block Grants for Community Mental Health	51,923	246,500	246,500	246,500	246,500	246,500	246,500	246,500
TOTAL - All Funds	4,257,324	4,795,947	4,945,618	5,132,943	5,008,253	5,127,004	5,348,575	5,190,614

* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

JUVENILE SERVICES

Statutory Reference

C.G.S. Section 17a-3.

Statement of Purpose and Program Objectives

The mission of the Adolescent and Juvenile Justice Division, in collaboration with communities, is to aid children, adolescents and their families in Connecticut's juvenile justice system by providing a continuum of prevention, treatment and transitional services. The children adjudicated delinquent and committed to the department represent the most challenging children in the state's juvenile justice system. The services provided by the division are intensive

and results oriented, preparing the children served by developing the skills necessary to prevent recidivism.

Program Description

Juvenile Services seeks to develop competency, accountability, and responsibility in all programs and services with the ultimate goal of each child achieving success in the community. The division offers programming through community-based services, private residential treatment, and state-operated facilities. The division collaborates with community providers, public and private agencies, families, and educational agencies to individualize the treatment for each child, based on the child's strengths, culture and ethnicity, and gender, while maintaining community safety.

Program Measure	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
Percent of youth confined for more than sixty days who are receiving required health, mental health and substance abuse treatment	100%	100%	100%	100%
Increased grade level performance due to year round education and special education services (youth entering CJTS perform academically at an average grade level of 4.8)	One grade	One grade	One grade	One grade
Number of children in juvenile justice residential treatment facilities as of end of fiscal year	92	67	78	87

JUVENILE SERVICES**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	325	15	0	340	340	340	340	340

Financial Summary

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	25,903,115	25,765,250	28,169,958	28,051,811	27,916,468	29,576,502	29,467,421	29,328,017
Other Expenses	4,674,846	4,579,964	5,589,669	4,796,016	4,553,079	5,968,613	4,971,882	4,553,079
<u>Other Current Expenses</u>								
Workers' Compensation Claims	4,031,875	3,771,355	4,355,498	4,290,407	4,109,226	4,512,864	4,477,495	4,109,226
<u>Pmts to Other Than Local Governments</u>								
Juvenile Justice Services	8,083,205	11,129,404	11,176,662	11,350,626	10,643,848	11,188,514	11,360,449	10,643,848
Substance Abuse Services	739,093	1,157,168	1,161,988	1,161,988	2,568,681	1,161,988	1,161,988	2,568,681
Board and Care for Children - Foster	1,275,526	1,403,862	1,419,680	1,433,079	0*	1,419,680	1,444,814	0*
Board and Care - Residential	14,740,438	15,534,741	16,308,821	13,111,305	12,526,565	19,057,295	13,417,260	12,594,446
Community KidCare	99,910	100,410	100,909	100,995	142,025	100,909	101,222	142,025
Board and Care - Adoption & Foster	0	0	0	0	1,370,638	0	0	1,369,711
TOTAL-General Fund	59,548,008	63,442,154	68,283,185	64,296,227	63,830,530	72,986,365	66,402,531	65,309,033
<u>Additional Funds Available</u>								
Private Funds	1,000	1,000	1,000	1,000	1,000	1,000	1,000	1,000
Federal Contributions								
84013 Title I Pgm Neglected / Delinquent Children	72,083	87,070	87,070	87,070	87,070	87,070	87,070	87,070
84048 Vocational Education Basic Grants to States	13,958	15,000	10,000	10,000	10,000	10,000	10,000	10,000
93243 Substance Abuse & Mental Health Services	327	0	0	0	0	0	0	0
TOTAL - All Funds	59,635,376	63,545,224	68,381,255	64,394,297	63,928,600	73,084,435	66,500,601	65,407,103

* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

JUVENILE SERVICES-- COMMUNITY BASED SERVICES**Statutory Reference**

C.G.S. Section 17a-3 (h).

Statement of Purpose and Program Objectives

To help children committed to DCF as delinquents by the juvenile court to successfully re-integrate back into their communities after discharge from a facility or residential program. To serve and supervise committed delinquents who have completed out-of-home treatment, are living at home and are on parole.

Program Description

Parole Services are provided for committed delinquents who reside in the community or in residential treatment centers.

Re-entry Care for Committed Delinquents helps children who have been committed to the department as delinquent to successfully

re-integrate back to their communities through a combination of re-entry programs, including:

Multi-Systemic Therapy (MST) offers intensive, in-home therapy and counseling that treats the whole family. Studies show it can be as effective as residential services in reducing recidivism at less than one-third the cost.

Multisystemic Therapy: Building Stronger Families (MST-BSF) is a specialized form of MST that focuses on damage done to the parent-child relationship by the parent's substance abuse in addition to abuse and neglect issues. Parents take responsibility for the pain they have caused in their children's lives as a step in repairing family relationships.

Fostering Responsibility Education and Employment (FREE) is a new initiative that will provide an array of services for 15 - 19 year old male and female committed delinquents. The services will support

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the adolescent's growth in all areas of functioning through family focused interventions and natural supports provided through the local continuum of services. Client supervision will be achieved, in part, through participation in pro-social activities and skill building.

The Adolescent and Juvenile Justice Division also operates community-based services to divert detention-involved children from out-of-home placement. Services were developed as part of the Emily J. settlement agreement, which was successfully terminated in September 2007. Services include:

Flex Funding allows social workers and probation officers to work together and with families to plan for non-traditional services and critical family supports. These services allow delinquent children to remain in the community in lieu of commitment and out-of-home placement.

Multidimensional Treatment Foster Care is a short-term, behaviorally oriented treatment foster care program with the goal of treating both the child and the family. The child is placed with a host family that reinforces good behavior and the development of appropriate social skills. At the same time, the child's family receives therapy and services to prepare them for a successful reunification with the child.

In-home Family-Based Treatment has been developed to address adolescent and parent substance abuse, family communication and family function through an in-home relational model. Families spend up to 6 months in the intensive phase followed by up to a year in step-down and aftercare services.

JUVENILE SERVICES COMMUNITY BASED SERVICES

Personnel Summary		As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
<i>Permanent Full-Time Positions</i>		<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund		34	1	0	35	35	35	35	35
Financial Summary		FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
(Net of Reimbursements)		<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services		3,347,546	2,883,996	3,099,500	3,139,939	3,124,789	3,213,307	3,298,393	3,282,789
Other Expenses		459,400	450,077	458,774	471,309	447,435	465,999	488,591	447,435
<u>Other Current Expenses</u>									
<i>Workers' Compensation Claims</i>		4,259	3,984	4,601	4,532	4,341	4,767	4,730	4,341
<u>Pmts to Other Than Local Governments</u>									
<i>Juvenile Justice Services</i>		7,993,205	8,613,230	8,660,038	8,784,438	8,237,450	8,671,890	8,792,040	8,237,450
<i>Substance Abuse Services</i>		739,093	1,157,168	1,161,988	1,161,988	2,568,681	1,161,988	1,161,988	2,568,681
<i>Community KidCare</i>		99,910	100,410	100,909	100,995	142,025	100,909	101,222	142,025
TOTAL-General Fund		12,643,413	13,208,865	13,485,810	13,663,201	14,524,721	13,618,860	13,846,964	14,682,721

JUVENILE SERVICES – OUT-OF-HOME

Statutory Reference

CGS Section 17a-3(h).

Statement of Purpose and Program Objectives

To treat youth in the juvenile justice system whose behavioral health needs are too acute to address in the community. To treat children who cannot be treated in the community because of family or legal issues.

Program Description

Residential Treatment Programs are licensed and monitored by DCF to provide out-of-home treatment. DCF contracts with a number of different kinds of residential and/or treatment centers to meet the varied needs of children: residential treatment; group homes; specialized foster care; treatment foster care; professional parent programs; inpatient drug treatment; and short-term residential substance abuse treatment.

JUVENILE SERVICES OUT OF HOME SERVICES

Financial Summary		FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
(Net of Reimbursements)		<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<u>Pmts to Other Than Local Governments</u>									
<i>Juvenile Justice Services</i>		90,000	91,737	92,187	93,560	87,735	92,187	93,641	87,735
<i>Board and Care for Children - Foster</i>		1,275,526	1,403,862	1,419,680	1,433,079	0*	1,419,680	1,444,814	0*
<i>Board and Care - Residential</i>		14,662,952	15,437,428	16,224,111	13,029,173	12,448,096	18,970,128	13,333,211	12,515,552
<i>Board and Care - Adoption & Foster</i>		0	0	0	0	1,370,638	0	0	1,369,711
TOTAL-General Fund		16,028,478	16,933,027	17,735,978	14,555,812	13,906,469	20,481,995	14,871,666	13,972,998

* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

JUVENILE SERVICES -STATE OPERATED FACILITIES

Statutory Reference

C.G.S. Section 17a-3 (a).

Statement of Purpose and Program Objectives

To serve the most challenging boys in the juvenile justice system by providing innovative programming in education, treatment, and rehabilitation services in a secure, state-operated juvenile justice facility. Services promote the boys' successful re-entry into the

community by focusing on core competencies, accountability and community safety.

Program Description

The *Connecticut Juvenile Training School (CJTS)* serves boys convicted as delinquent and committed to the department by Juvenile Court. A full array of programming is offered focusing on innovative vocational and academic education, treatment and rehabilitative services while maintaining public safety.

JUVENILE SERVICES STATE OPERATED FACILITIES**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	281	14	0	295	295	295	295	295

Financial Summary

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	21,846,403	22,059,761	24,103,008	24,017,475	23,901,597	25,353,000	25,229,496	25,110,141
Other Expenses	4,212,970	4,127,462	5,128,418	4,322,168	4,103,233	5,500,065	4,480,658	4,103,233
<u>Other Current Expenses</u>								
Workers' Compensation Claims	4,027,616	3,767,371	4,350,897	4,285,875	4,104,885	4,508,097	4,472,765	4,104,885
TOTAL-General Fund	30,086,989	29,954,594	33,582,323	32,625,518	32,109,715	35,361,162	34,182,919	33,318,259
<u>Additional Funds Available</u>								
Private Funds	1,000	1,000	1,000	1,000	1,000	1,000	1,000	1,000
Federal Contributions								
84013 Title I Pgm Neglected / Delinquent Children	72,083	87,070	87,070	87,070	87,070	87,070	87,070	87,070
84048 Vocational Education Basic Grants to States	13,958	15,000	10,000	10,000	10,000	10,000	10,000	10,000
TOTAL - All Funds	30,174,030	30,057,664	33,680,393	32,723,588	32,207,785	35,459,232	34,280,989	33,416,329

JUVENILE SERVICES – ADMINISTRATION

The department has a centralized approach to program review and oversight of community-based, as well as out-of-home services for youths in the juvenile justice system. Over the last two years, significant efforts have focused on the development of community-based services, redesigning of residential programs, and participation in various committees that will impact the future of juvenile services in the state of Connecticut.

Additionally, the Adolescent and Juvenile Justice Division continues its collaboration with the Judicial Branch in leading a multi-year, multi-partner joint juvenile justice strategic plan that has begun to jointly drive the juvenile justice system in a coordinated manner. The department recently re-established the Adolescent Services Unit in recognition of the unique needs of older youth in the child welfare system, including post secondary education and vocational training.

JUVENILE SERVICES ADMINISTRATION**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	10	0	0	10	10	10	10	10

Financial Summary

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	709,166	821,493	967,450	894,397	890,082	1,010,195	939,532	935,087
Other Expenses	2,476	2,425	2,477	2,539	2,411	2,549	2,633	2,411
<u>Pmts to Other Than Local Governments</u>								
Juvenile Justice Services	0	2,424,437	2,424,437	2,472,628	2,318,663	2,424,437	2,474,768	2,318,663
Board and Care - Residential	77,486	97,313	84,710	82,132	78,469	87,167	84,049	78,894
TOTAL-General Fund	789,128	3,345,668	3,479,074	3,451,696	3,289,625	3,524,348	3,500,982	3,335,055
<u>Additional Funds Available</u>								
Federal Contributions								
93243 Substance Abuse & Mental Health Services	327	0	0	0	0	0	0	0
TOTAL - All Funds	789,455	3,345,668	3,479,074	3,451,696	3,289,625	3,524,348	3,500,982	3,335,055

PREVENTION

Statutory Reference

C.G.S. Sections 17a-3, 17a-38, 17a-49, 17a-54, and 17a-56.

Statement of Purpose and Program Objectives

To promote positive development in children, youths, families and communities. To build on the strengths of children, youths, families and communities. To respect and value children, youths, families and communities as partners with DCF. To support comprehensive, collaborative and coordinated strategies that are rooted in communities. To respond to and respect the cultural and unique personal identities of children, youths, families and communities. To provide families and communities with tools and resources to thrive. To ensure that services are inclusive, accessible and affordable to all.

Program Description

DCF funds prevention programs offered by community providers and offers prevention services directly. Programs include child abuse prevention, parent education and support programs, positive youth development programs, early childhood services, juvenile review boards, juvenile criminal diversion projects, public awareness campaigns and mentoring programs.

Early Childhood Programs

Early childhood programs support the social and emotional health of families and children ages birth through eight.

The First 1000 Days is a collaborative public-private venture focused on improving the development and school readiness outcomes of young children in Connecticut. Conferences to raise awareness and inform policy makers took place in 2012 and are continuing.

The *DCF Head Start Partnership* began as a pilot in 1999 and is currently operating in every DCF area office targeting families with children under the age of five. As a result of the partnership, more young children in DCF placement are receiving a high-quality preschool experience. Besides benefiting from the comprehensive educational and health services (vision, dental, hearing, etc.) offered by Head Start, the children's families also receive additional support and resources that help reduce the families' level of stress.

The *Early Childhood Consultation Partnership (ECCP)* is an early childhood mental health consultation program. The program is designed to meet the social and emotional needs of children birth to five in early care and education settings by building the capacity of caregivers through support, education, and consultation. ECCP is staffed by 20 master's-level early childhood mental health consultants who work out of local community behavioral health agencies throughout Connecticut. Its goal is to reduce risk factors associated with suspension and expulsion by providing supports and mental health consultation to maintain the children in their early care and education settings. To date, ECCP has served 11,686 children with a 98.85 percent success rate in placement retention.

Child FIRST programs are now operating in Bridgeport, Hartford, New Haven, New London, Norwalk, and Waterbury. The program identifies children (prenatally through age five years) who are living in high-risk environments or who show the earliest signs of emotional, behavioral, or developmental problems and provides comprehensive, services and supports that "wrap around" the

children and their families. Child FIRST is a home-based, psycho-educational and psychotherapeutic intervention that promotes a secure parent-child attachment and buffers the brain of the young child from environmental/psycho-social stress. These services are also provided in several additional geographic areas, supported by philanthropic funding.

Triple P - Four current parenting-related services are being transitioned to the evidenced-based model, "Triple P." The Triple P-Positive Parenting Program® is a multi-tiered system of evidence-based education and support for parents and caregivers of children and adolescents. The system works as both an early intervention and prevention model.

Triple P may be offered in clinical and non-clinical settings by a multidisciplinary workforce of social service, mental health, healthcare, and education providers. The Institute of Medicine's 2009 report on prevention of mental, emotional, and behavioral disorders highlighted Triple P as being "noteworthy for being the first [program] to show significant positive effects of a parenting intervention in an entire population."

Positive Youth Development/Strengthening Families

Two programs (Torrington and Enfield) serve high-risk families with children age 6 to 13. Based on local need, DCF-funded community providers have chosen to implement program models from available evidence-based programs or promising practices. Parents learn how to become more effective in their role and how to build stronger relationships with their children and stronger families overall. In addition, four sites (New Haven, West Haven, Hartford and Willimantic) provide after-school services using evidence-based/promising practices programming. The program's train-the-trainer model has allowed the state to develop local capacity for strengthening families with children between the ages of 10-14.

Parents with Cognitive Limitations Workgroup

With the department as the lead, this interagency workgroup, also involving various community based agencies, works to develop a comprehensive, coordinated, efficient and effective system of policies, practices and services for families headed by a parent or other caregiver with cognitive limitations. It is estimated that at least one of three families in the child welfare system are headed by a parent with cognitive limitations. These families are often involved in all of the participating workgroup members' systems.

Youth Suicide Prevention Advisory Board

Established by statute, the Youth Suicide Prevention Advisory Board consists of members of public and private agencies as well as parents. Responsibilities include making recommendations, conducting awareness campaigns, and training. A media campaign continues to inform the public and raise awareness about this issue.

www.CTParenting.com

To give families easy access to information and resources on a wide array of topics related to family health, safety, education, and general well-being, DCF developed www.CTParenting.com. The department collaborated with the Department of Public Health to expand information on the site relating to healthy pregnancy and maternal health, and the two departments joined efforts to publicize the website with a multi-media awareness campaign

funded by a federal grant. DCF's Facebook page is also promoting the site through the use of daily posts and the development of monthly themes to enhance its offerings to parents, including such topics as healthy parent-child relationships and how parents can support their children's educational success. Approximately 1,000 individuals access the site weekly.

Families with Service Needs (FWSN) The FWSN unit consists of seven FWSN liaisons who are out-posted in juvenile courts and the corresponding area offices and provides:

- **Consultation** - Consult on FWSN and delinquency cases, with juvenile probation, DCF area office staff, juvenile court and various community providers.
- **Coordination of Services and Collaboration** - Work with staff at various levels within the department, and in the community, to provide community-based prevention and early intervention for at-risk youth, as well as collaborate with existing programs such as positive youth development programs.

- **Diversion-Utilize the Wilderness School and Juvenile Criminal Diversion Programs**, which include substance abuse programs, truancy prevention, and a parent education program, to help prevent and or divert children from the juvenile justice system.

The Wilderness School, located in East Hartland, is a prevention, intervention and transition program for troubled youth. It offers high-impact wilderness programs intended to foster positive youth development. Courses range from one-day experiences to 20-day expeditions. Designed as a journey experience, the program is based upon the philosophies of experiential learning and is considered therapeutic for the participant. Studies have documented the Wilderness School's positive impact upon self esteem, increased locus of control (personal responsibility), and interpersonal skill enhancement of adolescents attending the program.

Program Measure	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
Percent of children that were referred to early childhood programs for high-risk families experiencing increase in safety	85%	85%	85%	85%
Percent of parents referred to early childhood programs for high-risk families demonstrating improved parenting	85%	85%	85%	85%

PREVENTION FOR CHILDREN & FAMILIES

Personnel Summary

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
General Fund	8	0	0	8	8	8	8	8

Financial Summary

(Net of Reimbursements)

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	1,043,109	831,698	887,005	905,508	901,139	921,453	951,203	946,704
Other Expenses	2,009	1,968	2,010	2,061	1,956	2,068	2,136	1,956
<u>Other Current Expenses</u>								
Family Support Services	0	3,072,501	3,072,501	3,072,501	0*	3,072,501	3,072,501	0*
<u>Pmts to Other Than Local Governments</u>								
Juvenile Justice Services	876,509	906,128	910,572	924,139	866,595	910,572	924,939	866,595
Prevention Services	3,393,743	3,825,975	3,845,457	3,845,457	5,459,380	3,849,605	3,849,605	5,459,380
Child Welfare Support Services	27,752	28,281	28,419	28,419	51,846	28,419	28,419	51,846
Board and Care for Children - Foster	786,330	0	0	0	0*	0	0	0*
Community KidCare	11,106	93,995	93,995	94,543	132,952	93,995	94,755	132,952
Neighborhood Center	247,960	262,272	263,527	253,520	0	263,527	253,520	0
TOTAL-General Fund	6,388,518	9,022,818	9,103,486	9,126,148	7,413,868	9,142,140	9,177,078	7,459,433

Additional Funds Available

Federal Contributions

93104 Comprehensive Community Mental Health	82	0	0	0	0	0	0	0
93958 Block Grants for Community Mental Health	49,751	50,000	50,000	50,000	50,000	50,000	50,000	50,000
TOTAL - All Funds	6,438,351	9,072,818	9,153,486	9,176,148	7,463,868	9,192,140	9,227,078	7,509,433

* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

MANAGEMENT SERVICES

Statutory Reference

C.G.S. Sections 17a-2, 17a-3, 17a-6, 17a-9, 17a-15 and 17a-37.

Statement of Purpose and Program Objectives

To ensure the effective and efficient delivery of service to children and youth through strategic planning, quality assurance, support services, training and overall management and guidance to the department's area offices and facilities.

Program Description

The Office of the Commissioner determines the agency's course by establishing priorities and setting policy and regulations necessary

for the overall management of services and ensuring the proper training of all staff.

Supporting the department's programs in achieving its objectives is an administrative infrastructure that includes: administrative law and policy, continuous quality improvement, contract management, engineering, equal employment opportunity, fiscal, health advocates, human resources, multi-cultural affairs, the office of the ombudsman, payroll, planning and evaluation, public information, and revenue enhancement.

Program Measure	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
Number of facility inspections	574	574	574	574

AGENCY MANAGEMENT SERVICES**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	271	12	0	283	283	285	283	285

Financial Summary

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	24,776,421	23,923,726	26,486,736	26,046,860	26,013,861	27,678,687	27,361,292	27,302,943
Other Expenses	8,285,654	8,117,487	8,298,500	8,500,415	8,203,184	8,539,576	8,812,118	8,203,184

Capital Outlay

Equipment	0	1	0	1	1	0	1	1
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Other Current Expenses

Workers' Compensation Claims	299,442	280,093	323,477	318,642	305,186	335,164	332,536	305,186
TOTAL-General Fund	33,361,517	32,321,307	35,108,713	34,865,918	34,522,232	36,553,427	36,505,947	35,811,314

Additional Funds Available

Private Funds	250	250	250	250	250	250	250	250
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Federal Contributions

84013 Title I Pgm Neglected / Delinquent Children	4,914	0	0	0	0	0	0	0
84027 Special Education Grants to States	2,226	10,000	10,000	10,000	10,000	10,000	10,000	10,000
84367 Improving Teacher Quality State Grants	13,040	13,000	13,000	13,000	13,000	13,000	13,000	13,000
84391 Special Education Grants to States, Recovery Act	251	0	0	0	0	0	0	0
93110 Maternal & Child Health	10,001	0	0	0	0	0	0	0
93556 Promoting Safe & Stable Families	3,113	1,000	0	0	0	0	0	0
93599 Chafee Education & Training Vouchers	0	129,669	129,669	129,669	129,669	129,669	129,669	129,669
93643 Children's Justice Grants to States	5,037	0	0	0	0	0	0	0
93645 Child Welfare Services State Grants	21,069	0	0	0	0	0	0	0
93652 Adoption Opportunities	51,064	37,630	0	0	0	0	0	0
93674 Chafee Foster Care Independent Living	22,612	23,958	4,000	4,000	4,000	4,000	4,000	4,000
TOTAL - All Funds	33,495,094	32,536,814	35,265,632	35,022,837	34,679,151	36,710,346	36,662,866	35,968,233

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object	FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	223,359,642	216,845,164	239,869,249	239,260,686	252,026,284	251,191,162
Other Positions	14,924,601	13,568,347	13,975,397	13,745,153	14,394,659	14,466,282
Other	6,068,037	6,473,237	7,029,249	5,240,427	7,178,456	5,384,663
Overtime	18,937,505	18,207,729	18,753,962	17,416,523	19,316,582	18,377,678
TOTAL-Personal Services Gross	263,289,785	255,094,477	279,627,857	275,662,789	292,915,981	289,419,785
Less Reimbursements						
Less Turnover	0	0	-6,990,696	-8,273,901	-7,322,900	-8,687,596
TOTAL-Personal Services Net	263,289,785	255,094,477	272,637,161	267,388,888	285,593,081	280,732,189
<u>Other Expenses-Contractual Services</u>						
Dues and Subscriptions	52,717	51,310	52,424	51,310	53,944	51,310
Utility Services	1,984,349	1,981,380	2,085,476	1,981,380	2,194,027	1,981,380
Rentals, Storage and Leasing	7,891,651	7,712,327	7,879,684	7,712,327	8,108,193	7,712,327
Telecommunication Services	1,435,999	1,404,233	1,434,704	1,404,233	1,476,312	1,404,233
General Repairs	698,016	701,027	716,237	701,027	737,009	701,027
Motor Vehicle Expenses	3,920,583	3,831,767	3,914,916	3,831,767	4,028,448	3,831,767
Fees for Outside Professional Services	953,965	789,039	809,398	789,039	834,944	789,039
Fees for Non-Professional Services	3,576,375	3,499,730	3,575,670	3,499,730	3,679,366	3,499,730
DP Services, Rentals and Maintenance	5,773,851	5,645,950	5,767,464	5,645,950	5,933,383	5,645,950
Postage	279,423	273,601	286,516	216,248	294,824	216,248
Travel	416,491	402,236	410,964	402,236	422,883	402,236
Other Contractual Services	640,891	625,313	638,883	625,313	657,412	625,313
Advertising and Marketing	37,440	36,659	37,454	36,659	38,540	36,659
Printing & Binding	35,816	35,543	36,314	35,543	37,368	35,543
<u>Other Expenses-Commodities</u>						
Agriculture, Horticulture, Dairy & Food	179	169	173	169	178	169
Books	135,861	140,661	143,714	140,661	147,883	140,661
Clothing and Personal Supplies	191,110	184,753	188,763	184,753	194,238	184,753
Maintenance and Motor Vehicle Supplies	2,309,824	2,289,882	2,424,463	2,289,882	2,493,513	2,289,882
Medical Supplies	736,179	732,420	750,755	732,420	774,090	732,420
Fuel	2,752,764	2,755,768	3,781,037	2,755,768	4,131,846	2,755,768
Office Supplies	822,387	811,796	829,415	811,796	853,466	811,796
Refunds of Expenditures Not Otherwise Classified	20,323	19,443	19,865	19,443	20,441	19,443
<u>Other Expenses-Sundry</u>						
Employee Fringe Benefits	910,214	894,747	910,852	894,747	926,883	894,747
Sundry - Other Items	525,915	549,818	558,277	532,891	568,416	532,891
TOTAL-Other Expenses Gross	36,102,323	35,369,572	37,253,418	35,295,292	38,607,607	35,295,292
Less Reimbursements						
TOTAL-Other Expenses Net	36,102,323	35,369,572	37,253,418	35,295,292	38,607,607	35,295,292
<u>Other Current Expenses</u>						
Short Term Residential Treatment	713,129	716,712	720,260	0*	720,260	0*
Substance Abuse Screening	1,629,601	1,754,417	1,762,897	0*	1,762,897	0*
Workers' Compensation Claims	11,035,830	10,322,750	11,921,634	11,247,553	12,352,367	11,247,553
Local Systems of Care	2,009,253	2,106,261	2,151,999	0*	2,195,537	0*
Family Support Services	8,470,553	13,521,487	13,564,463	0*	13,564,463	0*
Emergency Needs	1,152,188	1,500,000	1,528,928	0*	1,568,235	0*
Differential Response System	1,542,031	9,250,000	9,250,000	8,346,386	9,250,000	8,346,386
TOTAL-Other Current Expenses	26,552,585	39,171,627	40,900,181	19,593,939	41,413,759	19,593,939
<u>Pmts to Other Than Local Govts</u>						
Health Assessment and Consultation	956,206	970,471	975,447	0*	975,713	0*
Psychiatric Clinics for Children	13,920,319	14,191,575	14,261,295	0*	14,261,295	0*
Extended Day Treatment	5,373,411	5,524,198	5,550,639	0*	5,550,639	0*
Juvenile Justice Services	10,408,157	13,426,966	13,485,194	12,841,172	13,497,046	12,841,172
Child Abuse and Neglect Intervention	5,098,075	5,406,288	5,432,784	8,250,045	5,432,784	8,250,045
Prevention Services	4,636,596	4,872,641	4,898,664	6,945,515	4,905,175	6,945,515

Budget-in-Detail

Family Violence Outreach and Counseling	1,644,889	1,754,906	1,758,369	0*	1,758,369	0*
Support for Recovering Families	14,124,990	16,842,319	16,910,822	17,215,747	16,910,822	17,215,747
No Nexus Special Education	5,673,787	7,421,437	6,000,000	0*	6,000,000	0*
Family Preservation Services	5,285,311	5,412,453	5,439,380	0*	5,439,380	0*
Substance Abuse Services	3,699,089	4,245,454	4,266,029	9,491,729	4,268,998	9,491,729
Child Welfare Support Services	3,266,299	3,236,915	3,253,186	8,237,150	3,253,876	8,237,150
Board and Care for Children - Adoption	86,743,776	89,641,649	111,140,200	0*	113,879,373	0*
Board and Care for Children - Foster	107,246,745	113,299,761	125,674,583	0*	127,646,685	0*
Board and Care - Residential	169,013,481	177,009,783	158,596,046	147,816,271	163,791,807	148,589,740
Individualized Family Supports	15,672,471	14,870,781	15,776,926	12,175,293	16,164,699	12,175,293
Community KidCare	22,764,160	23,675,730	23,813,851	53,469,807	23,867,324	53,469,807
Covenant to Care	158,191	167,353	168,181	0*	168,181	0*
Neighborhood Center	247,960	262,272	263,527	0	263,527	0
Board and Care - Adoption & Foster	0	0	0	202,858,717	0	204,538,714
TOTAL-Pmts to Other Than Local Govts	475,933,913	502,232,952	517,665,123	479,301,446	528,035,693	481,754,912
<i>Nonfunctional - Change to Accruals</i>	0	0	0	1,285,159	0	1,662,894

* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

Character & Major Object Summary	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	263,289,785	255,094,477	272,637,161	269,459,178	267,388,888	285,593,081	283,061,041	280,732,189
Other Expenses Net	36,102,323	35,369,572	37,253,418	37,038,069	35,295,292	38,607,607	38,396,224	35,295,292
Capital Outlay	0	1	0	1	1	0	1	1
Other Current Expenses	26,552,585	39,171,627	40,900,181	40,551,133	19,593,939	41,413,759	41,166,869	19,593,939
Payments to Other Than Local Governments	475,933,913	502,232,952	517,665,123	475,842,337	479,301,446	528,035,693	482,832,611	481,754,912
Nonfunctional - Change to Accruals	0	0	0	1,648,090	1,285,159	0	1,682,138	1,662,894
TOTAL-General Fund Net	801,878,606	831,868,629	868,455,883	824,538,808	802,864,725	893,650,140	847,138,884	819,039,227
<i>Additional Funds Available</i>								
Federal and Other Activities	13,675,143	14,095,297	13,576,920	13,576,920	13,576,920	12,297,957	12,297,957	12,297,957
Private Funds	219,946	63,500	63,500	63,500	63,500	63,500	63,500	63,500
TOTAL-All Funds Net	815,773,695	846,027,426	882,096,303	838,179,228	816,505,145	906,011,597	859,500,341	831,400,684