

## HEALTH AND HOSPITALS

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# DEPARTMENT OF PUBLIC HEALTH

## AGENCY DESCRIPTION

The Department of Public Health (DPH) is responsible for protecting the health and safety of the people of Connecticut; actively working to prevent disease and promote wellness through planning, education and programs such as prenatal care, immunizations, AIDS awareness and nutrition supplements; monitoring and assuring response to public health emergencies, infectious diseases,

environmental and occupational health hazards; regulating health care providers such as health facilities, health professionals and emergency medical services; providing testing and monitoring support through the state laboratory, and collecting and analyzing health data to help plan future policy. DPH is also the repository for all birth, adoption, paternity, marriage and death certificates.

*The programs that support early childhood in Connecticut are recommended for transfer to and consolidation within the new Office of Early Childhood in the Governor's budget as part of his proposal to restructure and transform state government.*

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## RECOMMENDED SIGNIFICANT CHANGES

<b>Reductions to Current Services</b>	<b>FY 2014</b>	<b>FY 2015</b>
• Remove or Limit Inflation	-141,018	-315,696
• Remove Funding for Salary Increases for Appointed Officials	-37,324	-78,414
• Annualize FY 2013 Rescissions	-592,722	-592,722
• Annualize FY 2013 Deficit Mitigation Plan - Reduce Lead Poisoning Education and Outreach Campaign	-3,392	-3,392
• Eliminate School Based Health Center Service Expansion <i>Funds are removed for twenty two new or expanded school based health centers for which funds were originally appropriated in FY 2013.</i>	-2,723,666	-2,723,666
• Reduce Direct Care Subsidies Due to Expanded Insured Population <i>Funds are reduced for community health centers and school based health centers in recognition of increased third party reimbursements.</i>	-461,606	-1,113,423
• Eliminate Funding for Lead Poisoning Education and Outreach Campaign	-72,362	-72,362
• Eliminate Funding for Charitable Dental Program <i>Funds are removed for a grant for a coordinator position within a private organization.</i>	-42,500	-42,500
• Eliminate Funding for Fetal and Infant Mortality/Morbidity Prevention Planning	-19,000	-19,000
• Mandate Online License Renewal <i>Effective 10/1/13, all doctors, dentists and nurses will be asked to renew their professional licenses on-line. Other expenses are reduced to reflect averted bank processing fees and postage costs. A \$5 license fee surcharge will be established to offset credit card processing charges incurred by the state.</i>	-8,600	-30,200
<b>Reallocations or Transfers</b>		
• Transfer Early Childhood Functions to the Office of Early Childhood <i>Funding and positions are transferred to the new Office of Early Childhood to better align early childhood programs as required by PA 11-181. The child day care regulatory function and responsibility for administering the federal Affordable Care Act (ACA) Maternal and Infant Home Visitation program are transferred in FY 2015.</i>	0	-2,276,721
• Reallocate Licensing System Costs to DAS	-40,000	-40,000
• Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-6,571	-6,571

• Streamline Agency Account Structure	0	0		
<i>In order to reduce the number of accounts and provide the agency with more flexibility in executing programs, accounts have been consolidated:</i>				
<i>(A) Medicaid Administration has been consolidated into Personal Services and Other Expenses.</i>				
<i>(B) Personnel costs and other expenses have been transferred from Children's Health Initiatives and Breast and Cervical Cancer Detection and Treatment into Personal Services and Other Expenses.</i>				
<i>(C) Needle and Syringe Exchange, X-Ray Screening and Tuberculosis Care, and Venereal Disease Control have been consolidated into a new account called Infectious Disease Prevention and Control.</i>				
<i>(D) Children with Special Health Care Needs has been consolidated into Children's Health Initiatives.</i>				
<b>Revenues</b>				
• Establish Fees for Healthcare Institution Design Review	0	0		
<i>Projects exceeding \$1,000,000 will be assessed a fee based on one-quarter percent (0.25%) of total construction cost. Revenues are estimated at \$50,000 annually.</i>				
• Establish Fees for Home Health Entities, Assisted Living Services Agencies and Hospices	0	0		
<i>Estimated revenues of \$33,300 in FY 2014 and \$33,800 in FY 2015 are associated with establishing various fees for entities for which no licensure fee presently exists.</i>				
<b>New or Expanded Services</b>				
• Ensure Effective Administration of Childhood Immunization Program	140,041	152,196	152,196	
<i>Three positions are provided to service additional health care providers in response to implementation of universal provider participation on 1/1/13.</i>				
• Continue Administrative Support for Stem Cell Research Initiative	85,000	200,000	200,000	
<i>Funding from the Stem Cell Research Fund has historically been used by DPH for administrative expenses. Commencing in 2013, grants for stem cell research will instead be supported by bond funds through Connecticut Innovations. A General Fund adjustment is provided to continue necessary support through DPH for administrative activities. Up to \$115,000 in the balance of the Stem Cell Research Fund will be available to supplement these dollars in FY 2014.</i>				
<b>Technical Adjustments</b>				
• Revise GAAP Accrual Amounts	20,035	-125,302		

## AGENCY PROGRAMS

<b>Personnel Summary</b>	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
General Fund	479	28	-1	506	509	512	509	472
Federal and Other Activities	277	30	-5	302	300	300	299	295
			FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
Other Positions Equated to Full Time			Actual	Estimated	Requested	Recommended	Requested	Recommended
Federal and Other Activities			36	30	28	28	28	28
<b>Agency Programs by Total Funds</b>								
	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
<b>(Net of Reimbursements)</b>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Commissioner's Programs	6,819,267	10,368,553	10,466,292	10,461,589	10,423,323	10,537,303	10,532,904	10,451,893
Public Health Initiatives	156,401,151	179,237,987	191,444,962	191,212,517	187,644,985	195,514,989	195,310,516	181,244,028
Regulatory Services	18,068,068	16,553,136	18,557,907	18,779,142	18,443,747	19,058,427	19,296,525	16,772,351
Laboratory Services	10,944,992	13,655,627	13,944,258	13,262,200	13,208,299	14,279,900	13,672,752	13,541,654
Healthcare Quality and Safety	25,951,004	25,772,125	25,295,797	24,334,924	24,273,984	25,938,737	24,930,640	24,860,149
Agency Management Services	9,178,500	10,912,222	11,399,741	11,000,096	11,050,100	11,821,431	11,439,700	11,454,595
Health Statistics and Surveillance	20,244,577	19,594,920	19,220,498	19,244,620	19,243,652	17,268,573	17,307,733	17,305,068
Office of Health Care Access	1,510,466	1,619,289	1,695,549	1,704,553	1,702,831	1,761,990	1,793,668	1,788,921
TOTAL Agency Programs - All Funds Gross	249,118,025	277,713,859	292,025,004	289,999,641	285,990,921	296,181,350	294,284,438	277,418,659
Less Turnover	0	0	-614,849	-632,482	-632,482	-633,294	-665,071	-665,071
Nonfunctional - Change to Accruals	0	0	0	181,663	201,698	0	272,404	147,102
TOTAL Agency Programs - All Funds Net	249,118,025	277,713,859	291,410,155	289,548,822	285,560,137	295,548,056	293,891,771	276,900,690
<b>Summary of Funding</b>								
General Fund Net	80,906,635	99,861,229	113,906,851	112,045,518	108,141,833	117,433,969	115,777,684	108,689,912
Federal and Other Activities	134,316,496	144,474,837	148,960,173	148,960,173	148,960,173	150,347,221	150,347,221	140,643,912
Private Funds	33,894,894	33,377,793	28,543,131	28,543,131	28,458,131	27,766,866	27,766,866	27,566,866
TOTAL Agency Programs - All Funds Net	249,118,025	277,713,859	291,410,155	289,548,822	285,560,137	295,548,056	293,891,771	276,900,690

## COMMISSIONER'S PROGRAMS

### Statutory Reference

C.G.S. Sections 19a-1a, 19a-1d, 19a-2a, 19a-4j-k, 19a-7, 19a-7a, 19a-14, 19a-25, 19a-127k, 19a-178, 19a-200 *et seq.*, 19a-240 *et seq.*, 19a-634, 46b-20 *et seq.*, and Sec. 19a-127l.

### Statement of Need and Program Objectives

To establish program direction and ensure conformance with overall agency policy.

### Program Description

Various offices provide support to the agency's major programs.

The *Office of the Commissioner* establishes program direction and ensures conformance with overall agency policy.

The *Communications Office* provides a full range of communication activities that serve the department and its stakeholders. Key functions include public information, freedom of information, media and community relations, marketing communications, issues management and public affairs, internet services, internal communications, and crisis and emergency risk communications.

The *Government Relations Office* is responsible for legislative and regulatory information and referral activities, including the implementation of strategies to achieve the goals of the department's legislative agenda. The office tracks and analyzes public health-related legislation, ensures the implementation of approved legislation, coordinates the development of regulations and maintains the Public Health Code.

The *Public Health Hearing Office* presides over hearings and renders decisions in cases concerning individual healthcare providers who are not overseen by licensing boards; orders issued by local health directors, orders concerning the Women, Infants and Children's (WIC) Program; day care, youth camp, and facility licensees; voluntary and involuntary transfers of water companies; orders issued to water companies; and involuntary discharges from long-term care facilities. It also investigates, responds to, and represents the department in Commission on Human Rights and Opportunities proceedings and Office of Health Care Access public hearings; provides legal and administrative support for 14 professional licensing boards; provides legal guidance on ethics questions; and

ensures compliance with the Health Insurance Portability and Accountability Act of 1996 (HIPAA).

The *Office of Public Health Preparedness and Response (OPHPR)* is responsible for the design, development and implementation of public health emergency plans and initiatives. The OPHPR ensures compliance with state and federal mandates with respect to public health preparedness, and is responsible for identifying and securing grants in support of these initiatives. It provides incident command, operational management of DPH and coordination with strategic partners in emergency situations. The office also coordinates the Centers for Disease Control and Prevention (CDC) Public Health Emergency Preparedness effort, statewide Medical Reserve Corps and the Assistant Secretary of Preparedness and Response Hospital Preparedness Program. In addition, the OPHPR oversees the mobile field hospital project. This includes directing deployments when required on a statewide basis.

The *Office of Public Health Systems Improvement* manages, coordinates and supports organization-wide and multi-sector activities that result in measurable improvements in public health structures, systems and outcomes. Federal funding from the CDC supports a five year cooperative agreement to help state agencies improve efficiency and effectiveness of public health programs and services, while enhancing readiness for national public health accreditation. Specific activities include:

- Implementing quality improvement processes and establishing an agency performance management system that are developed and managed using a data-driven focus;
- Development of the three prerequisites for accreditation that include an agency strategic plan, statewide health assessment and statewide health improvement plan.
- Organize, plan for, and coordinate agency activities and documentation toward meeting national accreditation standards.
- Provide quality improvement training, coaching, and technical assistance opportunities to public health personnel.

These activities are tied to grant performance measures that are monitored annually. The strategic plan and health improvement plan are under development.

<b>Program Measure</b>	<b>FY 2012 Actual</b>	<b>FY 2013 Estimated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>
Number of hearings/full time & part time hearing officers	168/5FT & 2PT	176/5FT & 2PT	176/5FT & 2 PT	176/5FT & 2 PT
Mobile field surge hospital 100 bed actual deployments/training deployments	7/11	5/11	5/11	5/11
Number of projects using quality improvement methods and tools to improve service delivery	0	5	7	9
Development of a performance management information technology system that tracks data for quality improvement	0	0.1	0.2	0.4
Number of accreditation prerequisites completed (out of three prerequisites)	0	1	3	0
Percentage of documentation requirements met for national public health accreditation standards	0	0.1	0.5	1
Number of staff trained in quality improvement methods	50	50	50	50

## COMMISSIONER'S PROGRAMS

### Personnel Summary

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
General Fund	12	1	-1	12	12	12	12	12
Federal and Other Activities	3	0	0	3	3	3	3	3

### Financial Summary

(Net of Reimbursements)	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	1,155,911	1,183,770	1,279,364	1,279,118	1,241,794	1,347,446	1,346,290	1,267,875
Other Expenses	59,784	99,701	101,846	83,040	82,098	104,775	87,183	84,587

### Pmts to Local Governments

Local & District Departments of Health	4,466,965	4,662,487	4,662,487	4,676,836	4,676,836	4,662,487	4,676,836	4,676,836
TOTAL-General Fund	5,682,660	5,945,958	6,043,697	6,038,994	6,000,728	6,114,708	6,110,309	6,029,298

### Additional Funds Available

Private Funds	-1,033	1,250	1,250	1,250	1,250	1,250	1,250	1,250
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### Federal Contributions

10557 Special Supplement Nutrition Pgm	11	0	0	0	0	0	0	0
93069 Public Health Emergency Preparedness	645,848	4,001,345	4,001,345	4,001,345	4,001,345	4,001,345	4,001,345	4,001,345
93268 Immunization Grants	36,060	0	0	0	0	0	0	0
93283 Ctrs-Disease Control & Prevention	140	0	0	0	0	0	0	0
93719 ARRA - Info Tech & Exchange Development	-931	0	0	0	0	0	0	0
93889 National Bioterrorism Hospital Preparedness	5,201	0	0	0	0	0	0	0
93917 HIV Care Formula Grants	-9,570	0	0	0	0	0	0	0
93977 Preventive Health Services Sexually Tr	146	0	0	0	0	0	0	0
93991 Preventive Health & Health Services	460,735	420,000	420,000	420,000	420,000	420,000	420,000	420,000
TOTAL - All Funds	6,819,267	10,368,553	10,466,292	10,461,589	10,423,323	10,537,303	10,532,904	10,451,893

## PUBLIC HEALTH INITIATIVES

### Statutory Reference

C.G.S. Sections 7-36 *et seq.*, 8-219e, 8-266-270a, 10-204a, 10-206, 10-206b, 17b-185, 17b-808, 19a-2a, 19a-7, 19a-7a, 19a-7d, 19a-7f-h, 19a-7j, 19a-25, 19a-36, 19a-48-50, 19a-53-55, 19a-56a-b, 19a-59, 19a-59b-c, 19a-61, 19a-62a, 19a-74, 19a-110, 19a-110a, 19a-111 a-b, 19a-112a, 19a-116, 19a-121, 19a-215-216, 19a-250-269, 19a-490(a), 19a-581-585, 19a-593, 20-474-482, 45a-691, and 52-146k.

### Statement of Need and Program Objectives

To enable data-based preventive health decision-making by collecting and analyzing data on the occurrence of potentially preventable and emerging diseases and associated risk factors. To enhance preparedness for and response to public health emergencies involving infectious diseases by development and analysis of special surveillance systems, development of a flexible emergency response capacity, and participation in emergency response planning.

To promote health and prevent disease by lowering the financial barriers to care and improving access to primary and preventive health care services. To reduce preventable chronic diseases, injuries and infectious diseases through surveillance, outbreak investigation, outreach, immunizations, education, prevention and health treatment.

### Program Description

The department improves and protects the health of Connecticut's residents through promotion of primary and preventive health care at every stage of life; education of individuals and target population

groups to make choices in diet, exercise and personal protective measures that enhance health, promote wellness, and reduce risk of injury and preventable disease; collection of data to assess chronic and infectious disease and injury risk factors and monitor trends to improve individual and population health; disease surveillance and linked intervention activities such as patient counseling, public education, provision of vaccines or medicines, and organization of special clinics; and planning and capacity development for emerging disease problems such as SARS and possible bioterrorist threats such as anthrax or smallpox.

The *Family Health Section* oversees programs and conducts surveillance activities to inform policy development and promote health equity and disease prevention throughout the life course (including pregnant women; mothers and infants; children and adolescents, including children and youth with special health care needs). Programs include: oral health; case management for pregnant women and teens; children and youth with special health care needs (medical homes, respite and extended services); community health centers; family planning; health access programs; early hearing detection and intervention; fetal infant mortality review; intimate partner violence; primary care office; school based health clinics; sexual violence and rape prevention education; sickle cell disease outreach and support; and maternal, infant, and early childhood home visiting.

The *Community Health and Prevention Section (CHAPS)* addresses chronic disease by promoting healthy behaviors and lifestyles that support healthy eating and active living through education, early

Budget-in-Detail

detection and prevention to improve the health of the overall population. CHAPS is also responsible for conducting surveillance activities to inform policy makers and the public health community as well as addressing health disparities in the following programs and offices: asthma; cancer; diabetes; heart disease/stroke; nutrition/physical activity & obesity; tobacco; women, infants and children (WIC); genomics office and the office of multicultural health.

The *Infectious Diseases Section* encompasses surveillance programs for emerging infections and more than 50 acute communicable diseases including conditions potentially associated with bioterrorism; outbreak detection and investigation; planning for the public health response to infectious disease emergencies and programs for the prevention of perinatal infectious diseases, vaccine-preventable diseases, human immunodeficiency virus (HIV), hepatitis, sexually transmitted diseases and tuberculosis.

<b>Program Measure</b>	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
Percent of two year olds with age-appropriate immunizations (national average = 73.3)	79	80	82	85
Vaccines purchased for distribution to family healthcare providers for Connecticut's vaccination program - Pediarix, DTap, Pentacel, Meningococcal, MMR, Hep B, Hep A, IPV, Hib, Rotavirus, HPV, Influenza, Tdap, Td, Varicella	1,049,100	1,206,465	1,508,081	1,885,101
Provider sites that receive vaccines from the state immunization program - private/local health and community health centers	384/242	409/238	452/265	477/300
Community health centers: number of patients served	315,992	330,000	340,000	370,000
Family planning program: number of clients served	28,917	30,000	33,000	33,000
Rape crisis and prevention services: number of children, youth, adolescents, and young adults in school settings participating in rape prevention and education sessions	17,793	17,000	17,000	17,000
Children and youth with special health care needs: number of children and families served through the CT medical home initiative	8,020	8,000	8,000	8,000

**PUBLIC HEALTH INITIATIVES**

**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
<i>Permanent Full-Time Positions</i>								
General Fund	56	2	0	58	58	61	58	61
Federal and Other Activities	130	14	-4	140	139	139	138	134
<i>Other Positions Equated to Full Time</i>								
Federal and Other Activities			9	6	6	6	6	6

**Financial Summary**

<b>(Net of Reimbursements)</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	3,496,739	3,719,422	3,820,110	4,019,008	4,448,987	4,017,158	4,230,062	4,687,776
Other Expenses	210,977	197,889	202,500	164,820	162,950	208,377	173,042	167,891
<u>Other Current Expenses</u>								
Needle and Syringe Exchange	418,654	457,244	459,416	459,416	0*	459,416	459,416	0*
Children's Health Initiatives	1,352,492	1,426,989	1,442,408	1,044,841	2,293,489	1,457,148	1,044,841	2,293,489
AIDS Services	4,350,782	4,975,686	5,007,432	4,983,844	4,975,686	4,994,983	4,994,983	4,975,686
Breast & Cervical Cancer Detectn/Treatment	2,068,045	2,230,275	2,237,055	2,234,800	1,922,815	2,250,120	2,247,795	1,922,815
Children w/Special Hlth Care Needs	1,208,045	1,278,016	1,284,405	1,284,405	0*	1,284,405	1,284,405	0*
Fetal and Infant Mortality Review	0	20,000	20,174	20,174	0	20,410	20,410	0
Immunization Services	0	0	0	0	30,076,656	0	0	31,361,117
Infectious Disease Prevention and Control	0	0	0	0	1,841,926	0	0	1,841,926
<u>Pmts to Other Than Local Governments</u>								
Community Health Services	5,742,359	6,717,155	6,848,810	6,848,810	6,163,866	6,848,810	6,848,810	5,670,796
Rape Crisis	417,700	441,893	444,102	444,102	422,008	444,102	444,102	422,008
X-Ray Screening and Tuberculosis Care	1,193,803	1,201,026	1,240,890	1,242,512	0*	1,280,311	1,283,581	0*
Genetic Diseases Programs	373,881	635,326	637,408	637,408	605,696	637,408	637,408	605,696
Loan Repayment Program	22,438	0	0	0	0	0	0	0
Immunization Services	9,009,776	18,387,336	29,908,490	29,936,615	0*	31,179,601	31,208,921	0*
<u>Pmts to Local Governments</u>								
Venereal Disease Control	185,450	196,191	197,171	197,171	0*	197,171	197,171	0*
School Based Health Clinics	9,846,807	11,543,438	12,852,482	12,852,482	9,973,797	12,852,482	12,852,482	9,815,050
<b>TOTAL-General Fund</b>	<b>39,897,948</b>	<b>53,427,886</b>	<b>66,602,853</b>	<b>66,370,408</b>	<b>62,887,876</b>	<b>68,131,902</b>	<b>67,927,429</b>	<b>63,764,250</b>

Additional Funds Available

Private Funds	20,701,312	20,697,746	16,461,222	16,461,222	16,376,222	15,795,242	15,795,242	15,595,242
<b>Federal Contributions</b>								
ACA Building EPI Laboratory	51,943	51,000	51,000	51,000	51,000	51,000	51,000	51,000
ACA Chronic Diseases Prev	33,022	569,284	569,287	569,287	569,287	569,287	569,287	569,287
ACA Community Transformation	179,025	493,891	493,891	493,891	493,891	493,891	493,891	493,891
ACA DVD&DBD Rotavirus/PCV EIP	154,877	0	0	0	0	0	0	0
ACA MCV/PCV & Pertussis	94,218	199,515	199,515	199,515	199,515	199,515	199,515	199,515
ACA MIE Childhood Home Visitin	614	8,677,222	8,677,222	8,677,222	8,677,222	8,677,222	8,677,222	0
ACA Supplemental Tobacco Cessa	7,000	96,478	0	0	0	0	0	0
Adult Viral Prevention Coordin	186,300	186,300	186,300	186,300	186,300	186,300	186,300	186,300
Affordable Care Act (ACA) Maternal, Infant	935,134	1,026,087	1,026,087	1,026,087	1,026,087	1,026,087	1,026,087	0
Behavioral Risk Factor Surveil	255,062	0	0	0	0	0	0	0
Comprehensive HIV Prevention	1,938,337	5,353,151	5,349,791	5,349,791	5,349,791	5,346,330	5,346,330	5,346,330
Connecticut ELC Capacities App	247,963	250,757	250,757	250,757	250,757	250,757	250,757	250,757
CT EIP Capacities ACA Grant	148,440	188,569	188,569	188,569	188,569	188,569	188,569	188,569
CT PRAMS Grant	6,893	146,777	146,777	146,777	146,777	146,777	146,777	146,777
Dvlpmt of EHD-IS Surveillance	66,813	146,691	145,720	145,720	145,720	145,720	145,720	145,720
Enhanced HIV/AIDS Surveillance	141,861	0	0	0	0	0	0	0
Envr Pub Hlth Trkg-Netrk Impl	13,300	0	0	0	0	0	0	0
Expanded HIV Testing for Dispr	503,689	56,061	0	0	0	0	0	0
FSIS FERN Cooperative Agreement Program	14,221	20,000	20,000	20,000	20,000	20,000	20,000	20,000
Personal Responsibility Educat	476,641	590,195	590,195	590,195	590,195	590,195	590,195	590,195
Prevention & Public Health Fund	684,348	927,396	927,396	927,396	927,396	927,396	927,396	927,396
Refugee Preventive Health	74,553	95,000	95,000	95,000	95,000	95,000	95,000	95,000
Svc for Childn & Yths with ASD	120,259	300,000	300,000	300,000	300,000	300,000	300,000	300,000
Viral HEP Surveillance	183,065	370,864	370,864	370,864	370,864	370,864	370,864	370,864
10557 Special Supplement Nutrition Pgm	44,813,393	49,450,000	53,425,000	53,425,000	53,425,000	57,400,000	57,400,000	57,400,000
10561 State Admin Match Grt Food Stamp	533,458	588,924	588,924	588,924	588,924	588,924	588,924	588,924
10578 WIC Grants To States(WGS)	18,468	0	0	0	0	0	0	0
66110 Healthy Communities Grant Program	1	0	0	0	0	0	0	0
93069 Public Health Emergency Preparedness	399,172	442,275	442,275	442,275	442,275	442,275	442,275	442,275
93070 A Comprehensive Approach To Address Asthma	427,137	427,137	427,137	427,137	427,137	427,137	427,137	427,137
93103 Food & Drug Administration Research	47,569	0	0	0	0	0	0	0
93110 Maternal & Child Health	271,786	195,736	196,736	196,736	196,736	200,736	200,736	200,736
93116 Grants for Tuberculosis Control Programs	599,815	544,220	544,220	544,220	544,220	544,220	544,220	544,220
93118 Acquired Immunodeficiency Syndrome	4,139,767	1,251,943	1,005,000	1,005,000	1,005,000	1,005,000	1,005,000	1,005,000
93130 Primary Care Svcs Resource Coord	144,847	138,734	138,734	138,734	138,734	138,734	138,734	138,734
93136 Injury Prevention & Control Research	434,784	381,658	381,658	381,658	381,658	381,658	381,658	381,658
93165 Grants for State Loan Repayment	40,313	0	0	0	0	0	0	0
93251 Universal Newborn Hearing Screening	392,235	338,628	279,966	279,966	279,966	320,736	320,736	320,736
93268 Immunization Grants	3,737,633	3,732,523	3,732,523	3,732,523	3,732,523	3,732,523	3,732,523	3,732,523
93283 Ctrs-Disease Control & Prevention	10,903,511	7,659,880	7,638,937	7,638,937	7,638,937	6,888,937	6,888,937	6,888,937
93296 Grant to Improve Minority Health	170,607	0	0	0	0	0	0	0
93414 ARRA Primary Care Initiative	118,882	118,882	56,313	56,313	56,313	0	0	0
93521 CT Emerging Infections Program	0	68,535	0	0	0	0	0	0
93521 Statewide – Tobacco Quitline Capacity	0	190,503	190,503	190,503	190,503	190,503	190,503	190,503
93566 Refugee & Entrant Assistance	28,666	0	0	0	0	0	0	0
93712 ARRA Immunization Reaching Children & Adul	446,738	0	0	0	0	0	0	0
93717 AARA ELC	597,118	0	0	0	0	0	0	0
93717 ARRA - EIP Sec 317 Immunization	61,188	0	0	0	0	0	0	0
93723 ARRA Component 1 - HC/TOB	363,799	0	0	0	0	0	0	0
93723 ARRA Component 3 - HC/TOB	602,891	0	0	0	0	0	0	0
93777 State Survey & Certification of Health	34	346	346	346	346	346	346	346
93889 National Bioterrorism Hospital Preparedness	408	0	0	0	0	0	0	0
93917 HIV Care Formula Grants	15,063,131	14,619,410	14,619,410	14,619,410	14,619,410	14,619,410	14,619,410	14,619,410

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93938 Coop Agree-School Health Pgm	134,669	90,000	0	0	0	0	0	0
93945 Asst Pgm-Chronic Disease	-266,185	0	0	0	0	0	0	0
93977 Preventive Health Services Sexually Tr	731,205	785,092	782,143	782,143	782,143	779,105	779,105	779,105
93991 Preventive Health & Health Services	545,340	520,000	520,000	520,000	520,000	520,000	520,000	520,000
93994 Maternal & Child Health Services	3,781,933	3,822,691	3,822,691	3,822,691	3,822,691	3,822,691	3,822,691	3,822,691
<b>TOTAL - All Funds</b>	<b>156,401,151</b>	<b>179,237,987</b>	<b>191,444,962</b>	<b>191,212,517</b>	<b>187,644,985</b>	<b>195,514,989</b>	<b>195,310,516</b>	<b>181,244,028</b>

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

## REGULATORY SERVICES

### Statutory Reference

C.G.S. Sections 10-212a, 16-261a, 17b-748, 19a-2a, 19a-14, 19a-29a, 19a-36, 19a-77-87e, 19a-329-333, 19a-420-428, 19a-555, 20-341a-341m, 20-358-366, 20-435-442, 20-474-482, 22a-430g, 22a-434a, 25-32 *et seq.*, 25-40, 31-40a, and 31-400.

### Statement of Need and Program Objectives

To ensure the quality of environmental public health services through the development and enforcement of state and federal laws and regulations and promote the expansion or development of new services to meet consumer needs. To ensure quality care in child day care centers and family day care homes.

### Program Description

The *Branch of Regulatory Services* protects the public health by ensuring competent and capable child care, provision of local health services, drinking water safety, and effective environmental public health service and providers. This is accomplished through regulation of environmental services and providers, child care professions, local health, drinking water, and through regulatory oversight of facilities and services.

The branch consists of four major program components, which are responsible for implementing state and federal regulatory programs.

The *Section of Community Based Regulation* regulates and responds to complaints concerning over 1,515 group and child day care centers and 2,543 family day care homes. The licensure process includes application review, background screening, technical assistance, on-site inspection and review of building/zoning, local health and fire safety certificates. The section also licenses and inspects 477 youth camps.

The *Drinking Water Section* is responsible for the administration of all state and federal safe drinking water requirements. The section holds EPA primary enforcement powers and administers millions of dollars annually in low interest loans for drinking water infrastructure improvements through the Drinking Water State Revolving Fund. It assures the security, quality and adequacy of our state's public drinking water. This is accomplished through certification, technical assistance, education, regulatory enforcement, and regional participation in drinking water forums. Over 2,600 entities provide drinking water to almost 2.6 million residents in Connecticut. Homeland security efforts and emergency response to drinking water issues are also coordinated.

The *Environmental Health Section* is comprised of multiple programs that work closely with local health departments and sister agencies.

Section responsibilities include initial licensure and regulatory oversight of 8,800 environmental health practitioners, certification of 180 environmental laboratories, the ongoing training and certification of 375 local health officials to inspect food service establishments, the ongoing training and annual refresher courses in lead inspection for approximately 250 local health officials so that they can conduct lead inspections in response to childhood lead poisoning cases, and the training and certification of approximately 55 local health officials annually to review and approve subsurface sewage disposal systems. In addition to ensuring compliance with asbestos, lead, private well, sub-surface sewage disposal system, radon and food safety regulations and/or control strategies and providing technical assistance to the workforce and others, the section approves new public swimming pools plus ensures the safe use of swimming areas.

Section staff also review and approve certain traditional subsurface sewage systems, as well as proprietary subsurface sewage components and leaching systems. Staff within the section's environmental and occupational health assessment program are responsible for providing technical assistance on a variety of topics, the assessment of risk from environmental and toxic hazards, and the investigation of outbreaks or unusual occurrences of illness that may be related to environmental or occupational exposure and measures for prevention of additional cases. Funds for lead poisoning prevention programs are awarded to local health departments who test all children under the age of two for lead poisoning. If a child is found to be lead poisoned, an epidemiological investigation and an environmental assessment are conducted of the property where the child lives. DPH follows up to assist the local department with abatement and additional follow-up measures as appropriate.

The *Office of Local Health Administration* serves as the primary interface between DPH and Connecticut's local health departments (LHDs). Responsibilities include advising the commissioner on the approval of appointments of local directors of health and acting directors of health; administering per capita grants-in-aid for LHDs; and providing technical assistance and consultation to DPH programs, local health directors, local officials and residents on local public health issues. The office maintains and develops Connecticut's health alert network (HAN) and assists local health departments with the development of local HAN systems. It also provides guidance to local health departments to become full-time health departments if they are part-time, and to form and/or join other health districts.

<b>Program Measure</b>	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
Percent of population served by community drinking water supply systems meeting drinking water quality standards	0.99	0.99	0.99	0.99
Number of children less than six years of age tested for lead by State lab and private labs (* reflects 2011 data)	77,423*	75,000	75,000	75,000
Number of childhood lead exposure cases that exceed the CDC established reference value of 5 ug/dL (CY 2011 data), thus requiring further case management	4,984*	4,900	4,900	4,900
Number of required lead inspections (i.e., cases of childhood lead poisoning that exceed 15 ug/dL)	264	260	255	250
Number of severely poisoned children (blood lead level of 20 ug/dL+)	111	105	100	100
Toxic risk assessments conducted	20	20	20	20
Percent of population receiving full-time local health services	0.93	0.94	0.94	0.94

**REGULATORY SERVICES****Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	96	0	0	96	96	96	96	56
Federal and Other Activities	39	3	-2	40	39	39	39	39

*Other Positions Equated to Full Time*

	FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Federal and Other Activities	11	10	8	8	8	8

**Financial Summary****(Net of Reimbursements)**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	7,013,568	6,948,302	7,247,292	7,507,963	7,507,963	7,641,800	7,902,237	5,731,517
Other Expenses	206,372	200,144	206,134	166,698	164,807	212,093	175,014	169,804

*Other Current Expenses*

Children's Health Initiatives	1,365,172	1,006,376	1,006,376	1,006,376	748,626	1,006,376	1,021,116	748,626
Childhood Lead Poisoning	71,250	75,377	75,754	75,754	0	75,754	75,754	0
<b>TOTAL-General Fund</b>	<b>8,656,362</b>	<b>8,230,199</b>	<b>8,535,556</b>	<b>8,756,791</b>	<b>8,421,396</b>	<b>8,936,023</b>	<b>9,174,121</b>	<b>6,649,947</b>

*Additional Funds Available*

Private Funds	76,610	88,773	92,870	92,870	92,870	97,935	97,935	97,935
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**Federal Contributions**

ATSDR's Appletree Program	450,645	431,189	431,189	431,189	431,189	431,189	431,189	431,189
CT Unreg Drinking Water Trckng	29,365	70,000	70,000	70,000	70,000	70,000	70,000	70,000
DWSRF Construction Subsidy	10,247	683,289	3,330,150	3,330,150	3,330,150	3,330,150	3,330,150	3,330,150
E Llicensing Child DaycareSystem ARRA	192,681	0	0	0	0	0	0	0
Envr Pub Hlth Trkg-Netrwk Impl	447,329	722,000	722,000	722,000	722,000	722,000	722,000	722,000
Lead & Healthy Homes Project	247,054	0	0	0	0	0	0	0
Refugee Preventive Health	6,122	5,000	5,000	5,000	5,000	5,000	5,000	5,000
10559 Summer Food Svc Pgm for Children	18,000	17,000	17,000	17,000	17,000	17,000	17,000	17,000
66032 State Indoor Radon Grants	204,075	185,671	185,671	185,671	185,671	185,671	185,671	185,671
66432 State Public Water System Supervision	1,030,450	1,202,500	1,202,500	1,202,500	1,202,500	1,202,500	1,202,500	1,202,500
66468 Capitalization Grants for Drinking Water	4,377,700	3,856,111	2,999,567	2,999,567	2,999,567	3,089,555	3,089,555	3,089,555
66471 State Grants to Operators of Small Water Systems	237,437	0	0	0	0	0	0	0
66472 Beach Monitoring & Notification	188,431	210,260	210,260	210,260	210,260	210,260	210,260	210,260
66474 Water Protection Grants to the States	23,470	0	0	0	0	0	0	0
66701 Toxic Substances Compliance Monitoring	240,055	210,000	115,000	115,000	115,000	120,000	120,000	120,000
66707 TSCA Title IV St Lead Grants Certificate	225,181	250,000	250,000	250,000	250,000	250,000	250,000	250,000
93069 Public Health Emergency Preparedness	232,020	271,145	271,145	271,145	271,145	271,145	271,145	271,145
93161 Health Program for Toxic Substances Registry	-14,899	0	0	0	0	0	0	0

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93197 Childhood Lead Poisoning Prevention	156,175	0	0	0	0	0	0	0
93262 Occupational Safety and Health Program	117,857	119,999	119,999	119,999	119,999	119,999	119,999	119,999
93283 Ctrs-Disease Control & Prevention	248,909	0	0	0	0	0	0	0
93667 Social Services Block Grant	666,792	0	0	0	0	0	0	0
<b>TOTAL - All Funds</b>	<b>18,068,068</b>	<b>16,553,136</b>	<b>18,557,907</b>	<b>18,779,142</b>	<b>18,443,747</b>	<b>19,058,427</b>	<b>19,296,525</b>	<b>16,772,351</b>

**LABORATORY SERVICES**

**Statutory Reference**

C.G.S. Sections 19a-26, 19a-29, 19a-55, 19a-111a-b; and 25-40.

**Statement of Need and Program Objectives**

To protect the health and safety of Connecticut residents by providing high quality, timely and cost-effective laboratory services for detection of infectious disease agents, genetic/metabolic diseases and environmental chemicals and toxins. To provide scientific expertise to health and environmental agencies for assessment and program planning.

**Program Description**

The public health laboratory supports the needs of all communities in the state by analyzing human clinical specimens and environmental samples submitted by federal and state agencies, local health departments, the health care community and water utilities. Analytical data are used to monitor for agents harmful to the public, identify the cause of outbreaks, and assure that control measures are effective. The laboratory is comprised of the following testing units:

*Biological Science Services* tests for infectious agents in humans, animals, food and water and provides reference testing in support of epidemiological surveillance and outbreak investigations. Laboratory services include testing for infectious disease agents, such as tuberculosis, influenza, norovirus, and sexually transmitted diseases. The laboratory also exclusively provides testing for rabies virus, DNA fingerprinting of food borne pathogens, and testing of all Connecticut newborns for the presence of approximately 45 inherited disorders that cause severe mental and/or physical

illnesses. The laboratory is designated as the state’s biological terrorism response laboratory and is certified to analyze samples for all biological weapons of mass destruction (WMD) identified by the Centers for Disease Control and Prevention. The laboratory responds to all bioterrorism threats and provides identification services for “white powder” events for the Federal Bureau of Investigation.

*Environmental Chemistry Services* tests for over 100 toxic chemicals in housing and schools, public drinking water supplies and private wells; in rivers, lakes and streams; in wastewater and soils; in consumer products and other materials where there is potential human exposure. This section also provides analytical support by testing environmental samples collected for investigations involving elevated blood levels in children. The laboratory also tests environmental samples such as old paint, folk medicines or other environmental sources implicated in exposures to children with elevated levels of lead in blood.

Other services include monitoring of the nuclear power industry, serving on the state’s nuclear response team, and maintaining preparedness and capabilities to respond to radiation or other toxins in food or environmental samples. The laboratory is also designated as the state’s chemical terrorism response laboratory to provide testing of clinical specimens in the event of a terrorist attack involving chemical agents or WMDs.

The new Dr. Katherine A. Kelly State Public Health Laboratory was completed in Rocky Hill and became operational in July 2012.

<b>Program Measure</b>	<b>FY 2012 Actual</b>	<b>FY 2013 Estimated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>
Number of newborns screened for genetic and metabolic disorders	40,000	40,000	40,000	40,000
Quality of laboratory testing: number of external accreditations earned/percent correct on proficiency performance/number of proficiency tests performed	13/98%/ 2,239	13/ 98%/ 2,239	13/98%/ 2,239	13/98%/2,239
Number of specimen & sample transport kits for infectious diseases (Flu, TB, stool specimens, HIV, environmental, blood analysis) sent to CT submitters	53,000	53,000	53,000	53,000
Number of tests for infectious disease agents	100,000	100,000	100,000	100,000
Number of environmental samples analyzed for chemicals and toxins	38,000	42,000	42,000	42,000
Specimens and samples tested/year	250,000	250,000	250,000	250,000

LABORATORY SERVICES**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	69	0	0	69	69	69	69	69
Federal and Other Activities	20	4	0	24	24	24	24	24
			FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
Other Positions Equated to Full Time			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Federal and Other Activities			2	2	2	2	2	2

**Financial Summary****(Net of Reimbursements)**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	4,607,042	4,665,474	4,844,514	5,041,261	5,041,261	5,089,840	5,305,998	5,305,998
Other Expenses	1,713,256	4,654,436	4,755,436	3,876,631	3,832,663	4,893,340	4,070,034	3,948,869
<u>Pmts to Other Than Local Governments</u>								
Genetic Diseases Programs	412,710	197,582	199,664	199,664	189,731	199,664	199,664	189,731
TOTAL-General Fund	6,733,008	9,517,492	9,799,614	9,117,556	9,063,655	10,182,844	9,575,696	9,444,598
<u>Additional Funds Available</u>								
Private Funds	1,167,358	900,000	900,000	900,000	900,000	900,000	900,000	900,000
<b>Federal Contributions</b>								
Comprehensive HIV Prevention	51,527	50,000	53,560	53,560	53,560	55,167	55,167	55,167
Connecticut ELC Capacities App	25,381	25,381	25,381	25,381	25,381	25,381	25,381	25,381
CT EIP Capacities ACA Grant	169,033	214,701	214,701	214,701	214,701	214,701	214,701	214,701
FSIS FERN Cooperative Agreement Program	180,235	205,000	205,000	205,000	205,000	205,000	205,000	205,000
66432 State Public Water System Supervision	171,632	185,000	185,000	185,000	185,000	185,000	185,000	185,000
66472 Beach Monitoring & Notification	21,829	28,000	28,000	28,000	28,000	28,000	28,000	28,000
93069 Public Health Emergency Preparedness	1,052,098	1,333,703	1,333,703	1,333,703	1,333,703	1,333,703	1,333,703	1,333,703
93116 Grants for Tuberculosis Control Programs	83,760	85,000	85,000	85,000	85,000	85,000	85,000	85,000
93118 Acquired Immunodeficiency Syndrome	106,168	0	0	0	0	0	0	0
93268 Immunization Grants	34,751	0	0	0	0	0	0	0
93283 Ctrs-Disease Control & Prevention	535,532	529,715	529,715	529,715	529,715	477,482	477,482	477,482
93393 Cancer Cause & Prevention Research	24	0	0	0	0	0	0	0
93717 AARA ELC	114	0	0	0	0	0	0	0
93977 Preventive Health Services Sexually Tr	96,450	98,314	101,263	101,263	101,263	104,301	104,301	104,301
93991 Preventive Health & Health Services	52,771	20,000	20,000	20,000	20,000	20,000	20,000	20,000
93994 Maternal & Child Health Services	463,321	463,321	463,321	463,321	463,321	463,321	463,321	463,321
TOTAL - All Funds	10,944,992	13,655,627	13,944,258	13,262,200	13,208,299	14,279,900	13,672,752	13,541,654

**HEALTHCARE QUALITY AND SAFETY****Statutory Reference**

C.G.S. Sections 19a-14, 19a-17, 19a-30, 19a-88, 19a-127I-n, 19a-490-560, and Chapters 369-381a, 383-388, and 398-399.

**Statement of Need and Program Objectives**

To protect the health and safety of the people of Connecticut through the regulatory oversight of health care professionals and facilities; and to ensure that regulatory oversight is fair, educational and transparent.

**Program Description**

Regulatory oversight of health care professionals, providers and facilities is consolidated in the Branch of Healthcare Systems. Oversight is accomplished via programs that regulate entry through licensure/certification; monitor compliance with applicable state and federal laws and regulations; investigate complaints, incidents and adverse events and implement

disciplinary or corrective action against licensees who do not conform to established standards of care. The branch also provides educational programs and technical assistance to regulated professions and industries on emerging trends and best practices.

The *Facility Licensing and Investigations Section* licenses Connecticut's healthcare institutions and certifies them for Medicare and Medicaid participation. The section investigates consumer complaints involving health care facilities and monitors their ongoing compliance with laws and regulations. It regulates health care and treatment services as well as physical environment and fire safety in a range of health care settings such as hospitals, long-term care facilities, outpatient clinics, hemodialysis units, surgical centers, home health and hospice providers and clinical laboratories. Direct surveillance is accomplished through on-site

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inspections conducted in accordance with regularly scheduled licensure requirements.

As the designated state survey agency, the section is under contract with the Centers for Medicare and Medicaid (CMS) and the Connecticut Department of Social Services to administer the federal certification program for 3,180 health care providers and suppliers. On-site inspections determine compliance with federal requirements and eligibility for Medicare and Medicaid reimbursement.

The *Practitioner Licensing and Investigations Section* licenses, certifies and registers over 200,000 health practitioners in 55 professions and investigates consumer complaints and other practice related issues involving these practitioners. The section receives and processes all licensure and renewal applications and administers examinations. Regulated health care practitioners include professions such as physicians, dentists, nurses, behavioral health practitioners, veterinarians, chiropractors, rehabilitation practitioners and podiatrists. The section is also responsible for inspecting funeral homes and optical shops, maintaining the Connecticut nurse aide registry and the physician profile program and inspecting and reviewing health professional education programs for nurses, nurse aides and barbers/hairdressers.

*The Office of Licensure Regulation and Compliance* provides prosecutorial, regulatory, and legislative support to the branch, as well as prosecutorial support to environmental, day care and WIC hearings. The office also processes criminal background checks annually for nursing home administrators and long-term care employees, as well as child day care providers.

The *Office of Emergency Medical Services* administers and enforces emergency medical services statutes, regulations, programs and policies. Responsibilities include:

- Developing the statewide emergency medical services plan and training curriculum;
- Approving and overseeing the five regional emergency medical services council work plans and contracts;
- Regulatory oversight of licensed/certified emergency response personnel and provider organizations, and approving sponsor hospital designations;
- Conducting complaint investigations; and
- Coordination of emergency planning and response with the Department of Emergency Services and Public Protection (DESPP).

<b>Program Measure</b>	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
Regulated practitioners: new licenses or certificates issued/total licenses or certificates issued	15,500/212,000	15,500/212,000	15,500/212,000	15,500/212,000
Percent of long term care facilities in substantial compliance with state and federal regulatory requirements	0.98	0.98	0.98	0.98
Criminal background checks processed	25,000	25,000	25,000	25,000

**HEALTHCARE QUALITY AND SAFETY**

**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015	
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>	
<i>Permanent Full-Time Positions</i>									
General Fund	132	7	0	139	142	142	142	142	
Federal and Other Activities	42	5	-1	46	46	46	46	46	
<i>Other Positions Equated to Full Time</i>									
Federal and Other Activities				<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
				6	6	6	6	6	6

**Financial Summary**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<b>(Net of Reimbursements)</b>								
Personal Services	6,961,090	7,017,503	7,608,893	7,582,737	10,100,544	8,012,678	7,980,937	10,642,134
Other Expenses	486,808	480,510	491,418	400,211	395,673	505,650	420,179	407,669
<b>Capital Outlay</b>								
Equipment	0	1,278	0	1	1	0	1	1
<b>Other Current Expenses</b>								
Medicaid Administration	2,550,838	3,865,874	3,417,720	2,574,209	0*	3,610,064	2,719,178	0*
<b>TOTAL-General Fund</b>	<b>9,998,736</b>	<b>11,365,165</b>	<b>11,518,031</b>	<b>10,557,158</b>	<b>10,496,218</b>	<b>12,128,392</b>	<b>11,120,295</b>	<b>11,049,804</b>
<b>Additional Funds Available</b>								
Private Funds	755,445	846,750	890,000	890,000	890,000	924,650	924,650	924,650
<b>Federal Contributions</b>								
ACA CT Background Check Progra	102,481	1,500,000	859,000	859,000	859,000	859,000	859,000	859,000
Connecticut ELC Capacities App	3,196	0	0	0	0	0	0	0
93069 Public Health Emergency Preparedness	4,408,308	1,083,978	1,083,978	1,083,978	1,083,978	1,083,978	1,083,978	1,083,978
93089 Emergency System for Advanced Registration	12,675	199,000	199,000	199,000	199,000	199,000	199,000	199,000
93110 Maternal & Child Health	45,601	45,601	45,601	45,601	45,601	45,601	45,601	45,601
93118 Acquired Immunodeficiency Syndrome	-13,713	0	0	0	0	0	0	0



Budget-in-Detail

<b>Financial Summary</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
<b>(Net of Reimbursements)</b>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	5,054,923	5,292,330	5,682,370	5,718,608	5,853,670	5,986,180	6,018,915	6,157,642
Other Expenses	1,008,766	2,116,787	2,162,786	1,763,050	1,743,054	2,225,492	1,851,007	1,795,902
<b>Other Current Expenses</b>								
Medicaid Administration	116,097	97,709	101,209	65,062	0*	108,708	68,727	0*
<b>TOTAL-General Fund</b>	<b>6,179,786</b>	<b>7,506,826</b>	<b>7,946,365</b>	<b>7,546,720</b>	<b>7,596,724</b>	<b>8,320,380</b>	<b>7,938,649</b>	<b>7,953,544</b>
<b>Additional Funds Available</b>								
Private Funds	48,248	47,789	47,789	47,789	47,789	47,789	47,789	47,789
<b>Federal Contributions</b>								
Comprehensive HIV Prevention	57,249	60,000	61,800	61,800	61,800	63,654	63,654	63,654
Connecticut ELC Capacities App	68,862	68,862	68,862	68,862	68,862	68,862	68,862	68,862
Dvlpmt of EHDI-IS Surveillance	19,502	23,309	24,280	24,280	24,280	24,280	24,280	24,280
10557 Special Supplement Nutrition Pgm	606,837	550,000	575,000	575,000	575,000	600,000	600,000	600,000
66468 Capitalization Grants for Drinking Water	448,499	334,094	344,116	344,116	344,116	354,440	354,440	354,440
93069 Public Health Emergency Preparedness	787,216	143,994	143,994	143,994	143,994	143,994	143,994	143,994
93118 Acquired Immunodeficiency Syndrome	126,740	0	0	0	0	0	0	0
93251 Universal Newborn Hearing Screening	19,357	19,357	20,034	20,034	20,034	20,736	20,736	20,736
93268 Immunization Grants	143,039	143,039	143,039	143,039	143,039	143,039	143,039	143,039
93283 Ctrs-Disease Control & Prevention	8,706	0	0	0	0	0	0	0
93393 Cancer Cause & Prevention Research	229,807	250,000	257,500	257,500	257,500	265,225	265,225	265,225
93719 ARRA - Info Tech & Exchange Development	42,769	0	0	0	0	0	0	0
93777 State Survey & Certification of Health	97,497	299,000	299,000	299,000	299,000	299,000	299,000	299,000
93889 National Bioterrorism Hospital Preparedness	66,681	67,000	69,010	69,010	69,010	71,080	71,080	71,080
93919 Cooperative Agreements for State-Based	0	1,192,129	1,192,129	1,192,129	1,192,129	1,192,129	1,192,129	1,192,129
93991 Preventive Health & Health Services	40,882	20,000	20,000	20,000	20,000	20,000	20,000	20,000
93994 Maternal & Child Health Services	186,823	186,823	186,823	186,823	186,823	186,823	186,823	186,823
<b>TOTAL - All Funds</b>	<b>9,178,500</b>	<b>10,912,222</b>	<b>11,399,741</b>	<b>11,000,096</b>	<b>11,050,100</b>	<b>11,821,431</b>	<b>11,439,700</b>	<b>11,454,595</b>

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

## HEALTH STATISTICS AND SURVEILLANCE

### Statutory Reference

C.G.S. Sections 7-42, 7-50, 7-51, 7-62b, 7-73, 19a-2a, 19a-7(a), 19a-32C, 19a-41, 19a-42, 19a-45, 19a-127(k), 19a-322, 19a-127.

### Statement of Need and Program Objectives

To provide comprehensive health data planning, population health status monitoring and support to DPH staff and local, regional and state partners.

### Program Description

The *Health Statistics and Surveillance Section (HSS)* is responsible for data collection, analysis and dissemination of a wide range of critical public health data. HSS assures that access to certified copies of vital records is efficient, and that comprehensive and accurate population health statistics that portray the health status of Connecticut's population are accessible to the public, health care providers, researchers, and legislators. HHS collects and analyzes data in six areas: vital records (births, deaths, marriages and fetal deaths); current behavioral risk factor population surveys for adults and for youth; inpatient hospitalizations; adverse event reports from hospitals and healthcare facilities; reports on all newly diagnosed cancer cases; and annual state-town population estimates.

The *Statewide Vital Records Section* registers births, deaths and marriages; maintains the state paternity registry and collaborates with the Department of Social Services and obstetric hospitals to encourage unwed couples to establish paternity; processes all adoptions for Connecticut-born children, foreign-born children adopted by Connecticut residents, and adoptions finalized in Connecticut. The section issues certified copies of birth, marriage, deaths and fetal deaths upon request from eligible parties.

The *Connecticut Tumor Registry* is an electronic database of information on over 735,000 cancers diagnosed in Connecticut residents from 1935 through 2010. It is used by researchers to examine cancer patterns, risk factors and other cancer concerns in Connecticut. With continuing support from the National Cancer Institute, the state has the oldest cancer registry in the nation.

The *Health Survey Unit* administers the national Behavioral Risk Factor Surveillance Survey system (BRFSS) and student health survey Youth Behavior Component (YBC) of the Connecticut School Health Survey (CSHS), which provides current data on risk behaviors and health care practices that affect our population's health. The analysis of these health data is used internally and externally to identify strategic health care needs within Connecticut and to monitor progress in meeting those needs.

<b>Program Measure</b>	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
Vital record events: births/ deaths	37,525/ 29,190	37,250/ 29,000	37,000/ 28,800	36,750/ 28,600
Vital record events: fetal deaths	210	210	210	210
Vital record events: marriages	19,646	19,600	19,600	19,600
Vital record events: paternity actions	13,603	13,500	13,500	13,500
Cancers diagnosed in CT residents	22,514	22,770	22,900	23,100
Behavioral risk factor surveillance system (BRFSS) interviews completed: landline/cell	7,200/ 1,800	6,000/ 2,000	6,000/ 2,000	6,000/ 2,000
Youth behavior component/CT school health survey: surveys completed	2,058	2,150	2,150	2,150

**HEALTH STATISTICS AND SURVEILLANCE****Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015	
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>	
Permanent Full-Time Positions									
General Fund	30	0	0	30	30	30	30	30	
Federal and Other Activities	28	2	-1	29	29	29	29	29	
<i>Other Positions Equated to Full Time</i>									
Federal and Other Activities				<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
				3	3	3	3	3	3

**Financial Summary****(Net of Reimbursements)**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	2,142,944	2,146,019	2,278,899	2,318,873	2,318,873	2,386,955	2,440,646	2,440,646
Other Expenses	104,725	102,395	101,136	85,284	84,316	104,069	89,538	86,873
TOTAL-General Fund	2,247,669	2,248,414	2,380,035	2,404,157	2,403,189	2,491,024	2,530,184	2,527,519

**Additional Funds Available**

Private Funds	11,146,954	10,795,485	10,150,000	10,150,000	10,150,000	10,000,000	10,000,000	10,000,000
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**Federal Contributions**

ACA Chronic Diseases Prev	65,800	0	0	0	0	0	0	0
Behavioral Risk Factor Surveil	155,006	489,000	489,000	489,000	489,000	489,000	489,000	489,000
DMHAS CT School Health Survey	25,000	0	0	0	0	0	0	0
Strengthening Public Health In	204,159	300,000	300,000	300,000	300,000	300,000	300,000	300,000
10557 Special Supplement Nutrition Pgm	22	0	0	0	0	0	0	0
93069 Public Health Emergency Preparedness	626,142	633,699	633,699	633,699	633,699	633,699	633,699	633,699
93070 A Comprehensive Approach To Address Asthma	15,500	15,500	15,500	15,500	15,500	15,500	15,500	15,500
93110 Maternal & Child Health	2,376	2,376	2,376	2,376	2,376	2,376	2,376	2,376
93118 Acquired Immunodeficiency Syndrome	18,900	0	0	0	0	0	0	0
93136 Injury Prevention & Control Research	320,556	337,824	337,824	337,824	337,824	337,824	337,824	337,824
93283 Ctrs-Disease Control & Prevention	100,463	41,000	41,000	41,000	41,000	41,000	41,000	41,000
93296 Grant to Improve Minority Health	18,878	135,000	135,000	135,000	135,000	135,000	135,000	135,000
93393 Cancer Cause & Prevention Research	1,914,180	1,847,962	2,342,744	2,342,744	2,342,744	2,422,041	2,422,041	2,422,041
93719 ARRA - Info Tech & Exchange Development	2,974,172	2,323,758	2,000,000	2,000,000	2,000,000	0	0	0
93889 National Bioterrorism Hospital Preparedness	42,233	0	0	0	0	0	0	0
93938 Coop Agree-School Health Pgm	39,680	39,000	0	0	0	0	0	0
93977 Preventive Health Services Sexually Tr	11	0	0	0	0	0	0	0
93991 Preventive Health & Health Services	41,968	17,000	17,000	17,000	17,000	17,000	17,000	17,000
93994 Maternal & Child Health Services	220,544	220,544	220,544	220,544	220,544	220,544	220,544	220,544
96000 Vital Stats Death Records-SS	1,320	37,910	39,806	39,806	39,806	41,796	41,796	41,796
99125 Vital Statistics Birth Records for SS	63,044	110,448	115,970	115,970	115,970	121,769	121,769	121,769
TOTAL - All Funds	20,244,577	19,594,920	19,220,498	19,244,620	19,243,652	17,268,573	17,307,733	17,305,068

## OFFICE OF HEALTH CARE ACCESS

**Statutory Reference**

C.G.S. Sections 19a-612 through 689.

**Statement of Need and Program Objectives**

The mission of the Office of Health Care Access (OHCA) is to ensure that the citizens of Connecticut have access to a quality health care delivery system; to provide quality information; to promote sound health care policy; and to support the development of an effective and efficient health care system for Connecticut.

**Program Description**

The office fulfills its mission by designing and directing health care system development, advising executive and legislative branch policy makers on health care issues, and informing the public and industry of statewide and national trends.

Health care accounts for slightly more than one-third of the state's overall budget. OHCA's research, analysis, regulatory and reporting functions help Connecticut policy makers and industry leaders to monitor the health care delivery system, identify areas of potential

need, formulate appropriate solutions, and better coordinate state policy and actions to control cost and increase quality.

OHCA gathers, verifies, analyzes and reports on a wide range of hospital financial data. This information includes hospital expenses and revenues, uncompensated care volumes, Disproportionate Share Hospital and other financial data as needed.

OHCA also analyzes and interprets data related to hospital and health care utilization, and communicates regularly with legislators, health care policy makers, the health care industry and the general public. By analyzing this data, OHCA can identify areas needing better coordination or a different configuration of resources and services, and articulate more effective strategies.

OHCA also assists in health system planning while limiting excess system capacity and ensuring accessible health care through administration of the certificate of need (CON) program for hospitals and health care facilities. An important aspect of the CON process is the provision for public comment, offering Connecticut residents a voice in directing the development of the state's health care delivery system.

<b>Program Measure</b>	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
Number of CON applications	26	28	28	28
Hospital financial filings reviewed and analyzed	30	30	29	29
Annual hospital financial stability report and other hospital financial related briefs or reports	2	6	6	6
Collect and perform quality checks on inpatient data from acute care hospitals every six months/analyze data	90	90	90	90
Statewide facilities/services plan, monthly research/policy briefs and reports distributed to legislature and general public	6	6	6	6

## OFFICE OF HEALTH CARE ACCESS

**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
General Fund	16	0	0	16	16	16	16	16

**Financial Summary**

<b>(Net of Reimbursements)</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	1,418,824	1,436,927	1,509,231	1,552,666	1,552,666	1,570,269	1,634,203	1,634,203
Other Expenses	91,642	182,362	186,318	151,887	150,165	191,721	159,465	154,718
TOTAL-General Fund	1,510,466	1,619,289	1,695,549	1,704,553	1,702,831	1,761,990	1,793,668	1,788,921

## AGENCY FINANCIAL SUMMARY - GENERAL FUND

**Current Expenses by Minor Object**

	FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Actual	Estimated	Requested	Recommended	Requested	Recommended
<b>Personal Services</b>						
Permanent Fulltime Positions	30,188,409	31,214,289	33,147,250	37,328,539	34,850,871	36,401,246
Other Positions	904,457	1,107,916	869,402	899,148	912,393	946,251
Other	657,798	809,273	785,189	388,289	813,041	397,602
Overtime	100,377	15,060	106,050	116,574	108,171	122,692
TOTAL-Personal Services Gross	31,851,041	33,146,538	34,907,891	38,732,550	36,684,476	37,867,791
Less Reimbursements	0	-736,791	-736,791	-666,792	-736,791	0
Less Turnover	0	0	-614,849	-632,482	-633,294	-665,071
TOTAL-Personal Services Net	31,851,041	32,409,747	33,556,251	37,433,276	35,314,391	37,202,720

Other Expenses-Contractual Services

Dues and Subscriptions	68,359	66,074	67,509	66,623	69,468	65,558
Rentals, Storage and Leasing	62,488	59,363	60,651	59,857	62,409	58,898
Telecommunication Services	277,503	1,033,980	1,056,417	270,116	1,087,051	265,800
General Repairs	577,242	3,848,379	3,931,888	3,206,918	4,045,913	3,368,416
Motor Vehicle Expenses	162,196	153,884	157,223	155,162	161,783	152,679
Fees for Outside Professional Services	99,702	152,875	156,217	164,141	160,764	256,564
Fees for Non-Professional Services	88,992	38,729	39,570	39,054	40,716	38,425
DP Services, Rentals and Maintenance	662,158	813,089	830,733	779,843	854,821	766,725
Postage	333,284	353,955	361,634	346,724	372,122	339,614
Travel	16,166	15,264	15,596	17,391	16,050	19,144
Other Contractual Services	60,913	42,375	43,295	42,727	44,551	42,043
Advertising and Marketing	2,038	1,936	1,978	1,952	2,035	1,921
Printing & Binding	218,299	262,211	267,900	264,389	275,670	260,159

Other Expenses-Commodities

Books	3,078	3,075	3,142	3,101	3,233	3,051
Maintenance and Motor Vehicle Supplies	55,517	50,711	54,293	51,133	55,828	50,276
Medical Supplies	29,946	28,448	29,103	28,684	29,971	28,225
Office Supplies	1,114,020	1,091,694	1,111,861	1,099,578	1,144,047	1,080,775
Refunds of Expenditures Not Otherwise Classified	3,943	3,745	3,826	3,776	3,937	3,716

Other Expenses-Sundry

Employee Fringe Benefits	4,645	8,894	9,084	8,968	9,343	8,824
Sundry - Other Items	41,841	5,543	5,654	5,589	5,805	5,500
TOTAL-Other Expenses Gross	3,882,330	8,034,224	8,207,574	6,615,726	8,445,517	6,816,313
Less Reimbursements						
TOTAL-Other Expenses Net	3,882,330	8,034,224	8,207,574	6,615,726	8,445,517	6,816,313

Other Current Expenses

Needle and Syringe Exchange	418,654	457,244	459,416	0*	459,416	0*
Children's Health Initiatives	2,717,664	2,433,365	2,448,784	3,042,115	2,463,524	3,042,115
Childhood Lead Poisoning	71,250	75,377	75,754	0	75,754	0
AIDS Services	4,350,782	4,975,686	5,007,432	4,975,686	4,994,983	4,975,686
Breast & Cervical Cancer Detectn/Treatment	2,068,045	2,230,275	2,237,055	1,922,815	2,250,120	1,922,815
Children w/Special Hlth Care Needs	1,208,045	1,278,016	1,284,405	0*	1,284,405	0*
Medicaid Administration	2,666,935	3,963,583	3,518,929	0*	3,718,772	0*
Fetal and Infant Mortality Review	0	20,000	20,174	0	20,410	0
Immunization Services	0	0	0	30,076,656	0	31,361,117
Infectious Disease Prevention and Control	0	0	0	1,841,926	0	1,841,926
TOTAL-Other Current Expenses	13,501,375	15,433,546	15,051,949	41,859,198	15,267,384	43,143,659

Pmts to Other Than Local Govts

Community Health Services	5,742,359	6,717,155	6,848,810	6,163,866	6,848,810	5,670,796
Rape Crisis	417,700	441,893	444,102	422,008	444,102	422,008
X-Ray Screening and Tuberculosis Care	1,193,803	1,201,026	1,240,890	0*	1,280,311	0*
Genetic Diseases Programs	786,591	832,908	837,072	795,427	837,072	795,427
Loan Repayment Program	22,438	0	0	0	0	0
Immunization Services	9,009,776	18,387,336	29,908,490	0*	31,179,601	0*
TOTAL-Pmts to Other Than Local Govts	17,172,667	27,580,318	39,279,364	7,381,301	40,589,896	6,888,231

Pmts to Local Governments

Local & District Departments of Health	4,466,965	4,662,487	4,662,487	4,676,836	4,662,487	4,676,836
Venereal Disease Control	185,450	196,191	197,171	0*	197,171	0*
School Based Health Clinics	9,846,807	11,543,438	12,852,482	9,973,797	12,852,482	9,815,050
TOTAL-Pmts to Local Governments	14,499,222	16,402,116	17,712,140	14,650,633	17,712,140	14,491,886

Nonfunctional - Change to Accruals

	0	0	0	201,698	0	147,102
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\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

Budget-in-Detail

<b>Character &amp; Major Object Summary</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	31,851,041	32,409,747	33,655,824	34,387,752	37,433,276	35,419,032	36,194,217	37,202,720
Other Expenses Net	3,882,330	8,034,224	8,207,574	6,691,621	6,615,726	8,445,517	7,025,462	6,816,313
Capital Outlay	0	1,278	0	1	1	0	1	1
Other Current Expenses	13,501,375	15,433,546	15,051,949	13,748,881	41,859,198	15,267,384	13,936,625	43,143,659
Payments to Other Than Local Governments	17,172,667	27,580,318	39,279,364	39,309,111	7,381,301	40,589,896	40,622,486	6,888,231
Payments to Local Governments	14,499,222	16,402,116	17,712,140	17,726,489	14,650,633	17,712,140	17,726,489	14,491,886
Nonfunctional - Change to Accruals	0	0	0	181,663	201,698	0	272,404	147,102
<b>TOTAL-General Fund Net</b>	<b>80,906,635</b>	<b>99,861,229</b>	<b>113,906,851</b>	<b>112,045,518</b>	<b>108,141,833</b>	<b>117,433,969</b>	<b>115,777,684</b>	<b>108,689,912</b>
<i><u>Additional Funds Available</u></i>								
Federal and Other Activities	134,316,496	144,474,837	148,960,173	148,960,173	148,960,173	150,347,221	150,347,221	140,643,912
Private Funds	33,894,894	33,377,793	28,543,131	28,543,131	28,458,131	27,766,866	27,766,866	27,566,866
<b>TOTAL-All Funds Net</b>	<b>249,118,025</b>	<b>277,713,859</b>	<b>291,410,155</b>	<b>289,548,822</b>	<b>285,560,137</b>	<b>295,548,056</b>	<b>293,891,771</b>	<b>276,900,690</b>

# OFFICE OF THE CHIEF MEDICAL EXAMINER

## AGENCY DESCRIPTION

The Office of the Chief Medical Examiner (OCME), functioning under the regulations of the Commission on Medicolegal Investigations, investigates fatalities in the following categories: deaths due to any form of injury, whether resulting from accident, suicide or homicide; sudden or unexpected deaths not due to readily recognizable disease; deaths occurring under suspicious circumstances (e.g. child abuse); deaths of any individual whose body is to be disposed of in a manner which will render it unavailable for later examination; deaths at or related to the workplace; and deaths due to disease which might constitute a threat to the public health.

Information provided by these fatality investigations may prevent unnecessary litigation; protect those who may have been falsely accused; and lead to proper adjudication in criminal matters. Medicolegal investigations also protect the public health by diagnosing previously unsuspected contagious disease; by identifying hazardous environmental conditions in the workplace, in the home and elsewhere; by identifying trends such as changes in numbers of homicides, traffic fatalities and drug and alcohol related deaths and by identifying new types and forms of drugs appearing in the state, or existing drugs/substances becoming new subjects of abuse.

## RECOMMENDED SIGNIFICANT CHANGES

	FY 2014	FY 2015
<b>Reductions to Current Services</b>		
• Remove or Limit Inflation	-15,899	-20,712
• Remove Funding for Salary Increases for Appointed Officials	-17,700	-37,185
• Annualize FY 2013 Rescissions	-774	-774
• Annualize FY 2013 Deficit Mitigation Plan	-1,441	-1,411
<b>Reallocations or Transfers</b>		
• Streamline Agency Account Structure	0	0
<i>Funding for Medicolegal Investigations has been consolidated into the Other Expenses account.</i>		
<b>Technical Adjustments</b>		
• Revise GAAP Accrual Amounts	-756	-976

## AGENCY PROGRAMS

<b>Personnel Summary</b>	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions	44	9	0	53	53	53	53	53
General Fund								
<b>Agency Programs by Total Funds (Net of Reimbursements)</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Medicolegal Investigations	5,236,902	5,046,020	5,681,254	5,214,441	5,178,627	5,931,432	5,481,243	5,421,161
TOTAL Agency Programs - All Funds Gross	5,236,902	5,046,020	5,681,254	5,214,441	5,178,627	5,931,432	5,481,243	5,421,161
Nonfunctional - Change to Accruals	0	0	0	21,932	21,176	0	27,579	26,603
TOTAL Agency Programs - All Funds Net	5,236,902	5,046,020	5,681,254	5,236,373	5,199,803	5,931,432	5,508,822	5,447,764
<b>Summary of Funding</b>								
General Fund Net	5,236,902	5,046,020	5,681,254	5,236,373	5,199,803	5,931,432	5,508,822	5,447,764
TOTAL Agency Programs - All Funds Net	5,236,902	5,046,020	5,681,254	5,236,373	5,199,803	5,931,432	5,508,822	5,447,764

## AUTOPSIES AND EXAMINATIONS

### Statutory Reference

C.G.S. Sections 19a-400 through 19a-414.

### Statement of Need and Program Objectives

To provide accurate certification of the cause of death and to identify, document and interpret relevant forensic scientific information for use in criminal and civil legal proceedings necessary in the investigation of violent, suspicious and sudden unexpected deaths.

### Program Description

The initial investigation begins when a death is reported to OCME. The body is examined, inquiry is made into the circumstances surrounding the death and a determination is made whether an autopsy will be required to complete the investigation.

Medicolegal autopsies are performed by a forensic pathologist at the Farmington facility. In fiscal year 2012, the agency had 18,620 cases reported, of which 15,767 cases were accepted under our jurisdiction. Of those cases, 1,906 were brought in for autopsy or examination. These numbers are all approximately 10 percent higher than reported in 2010.

In conjunction with such examinations, toxicological (chemical) analysis of body fluids and tissues and other forensic scientific examinations are performed in the agency's laboratories. In-facility laboratories help to ensure quality control and promote continuity of the chain of evidence required in legal proceedings.

Budget-in-Detail

Complete records of all investigations are maintained by the agency and are available to the family of the deceased; to any federal, state or municipal governmental agency or public health authority investigating the death; to insurance companies with a legitimate interest in the death; to all parties in civil litigation proceedings; and to treating physicians. In addition, records may be made available to any other individual with the written consent of the family or by court order or by applicable state statute.

The office shares information with other state agencies involved in monitoring fatalities such as the departments of Children and Families, Transportation and Public Health as well as the various agencies interested in the control of narcotics and other drugs. There may be involvement with federal agencies such as the

Occupational Safety and Health Administration (when death may relate to a hazard in the workplace), the National Transportation Safety Board (in the event of an airplane crash), the Federal Bureau of Investigation (when death is incident to violation of federal law) or the Drug Enforcement Agency. Deaths due to potentially contagious disease are promptly reported to the epidemiology section of the Connecticut Department of Public Health.

Forensic pathologists are actively involved in the education of law enforcement officers, medical and law students, pathology residents, attorneys, emergency medical technicians, nurses and other interested groups. The professional staff is available for pre-trial conferences with attorneys from both sides of litigation to discuss available forensic, pathologic and other scientific information.

**MEDICOLEGAL INVESTIGATIONS**

**Personnel Summary**

Permanent Full-Time Positions	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	44	9	0	53	53	53	53	53

**Financial Summary**

(Net of Reimbursements)	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	4,290,212	4,317,148	4,920,848	4,465,170	4,447,470	5,149,688	4,711,260	4,674,075
Other Expenses	904,571	684,544	701,406	700,443	711,931	722,744	721,155	727,860
<u>Capital Outlay</u>								
Equipment	14,726	15,500	30,000	20,000	19,226	30,000	20,000	19,226
<u>Other Current Expenses</u>								
Medicolegal Investigations	27,393	28,828	29,000	28,828	0*	29,000	28,828	0*
<b>TOTAL-General Fund</b>	<b>5,236,902</b>	<b>5,046,020</b>	<b>5,681,254</b>	<b>5,214,441</b>	<b>5,178,627</b>	<b>5,931,432</b>	<b>5,481,243</b>	<b>5,421,161</b>

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**AGENCY FINANCIAL SUMMARY - GENERAL FUND**

**Current Expenses by Minor Object**

Personal Services	FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Actual	Estimated	Requested	Recommended	Requested	Recommended
Permanent Fulltime Positions	3,545,231	3,567,491	4,130,786	3,750,163	4,340,061	3,957,144
Other Positions	185,828	186,994	192,000	174,309	196,000	178,707
Other	404,453	406,991	438,062	377,741	449,627	388,694
Overtime	154,700	155,672	160,000	145,257	164,000	149,530
<b>TOTAL-Personal Services Gross</b>	<b>4,290,212</b>	<b>4,317,148</b>	<b>4,920,848</b>	<b>4,447,470</b>	<b>5,149,688</b>	<b>4,674,075</b>
Less Reimbursements						
Less Turnover						
<b>TOTAL-Personal Services Net</b>	<b>4,290,212</b>	<b>4,317,148</b>	<b>4,920,848</b>	<b>4,447,470</b>	<b>5,149,688</b>	<b>4,674,075</b>

**Other Expenses-Contractual Services**

Dues and Subscriptions	3,444	3,444	3,519	3,572	3,621	3,647
Rentals, Storage and Leasing	12,419	11,667	11,920	12,099	12,266	12,353
Telecommunication Services	46,330	41,094	41,985	42,616	43,203	43,508
General Repairs	73,521	66,558	68,002	69,023	69,974	70,469
Motor Vehicle Expenses	75,982	72,348	73,918	75,027	76,062	76,600
Fees for Outside Professional Services	15,383	10,750	10,996	11,160	11,324	11,405
Fees for Non-Professional Services	355,461	230,940	235,951	239,492	242,794	244,513
DP Services, Rentals and Maintenance	40,557	31,856	32,548	33,036	33,492	33,729
Postage	4,606	6,000	6,130	6,222	6,308	6,353
Travel	3,343	1,800	1,839	1,866	1,893	1,906
Other Contractual Services	10,211	9,960	10,176	10,329	10,471	10,545

Advertising and Marketing	420	0	0	0	0	0
Printing & Binding	937	960	981	996	1,009	1,016
<i>Other Expenses-Commodities</i>						
Books	1,183	1,200	1,226	1,244	1,262	1,271
Clothing and Personal Supplies	2,084	2,040	2,084	2,115	2,144	2,159
Maintenance and Motor Vehicle Supplies	58,665	44,040	47,232	47,941	48,565	48,909
Medical Supplies	101,016	72,000	74,829	75,952	77,811	78,362
Fuel	3,071	2,400	3,299	3,349	3,606	3,632
Office Supplies	92,871	73,140	74,728	75,849	76,895	77,439
<i>Other Expenses-Sundry</i>						
Employee Fringe Benefits	3,011	2,305	0	0	0	0
Sundry - Other Items	56	42	43	43	44	44
TOTAL-Other Expenses Gross	904,571	684,544	701,406	711,931	722,744	727,860
Less Reimbursements						
TOTAL-Other Expenses Net	904,571	684,544	701,406	711,931	722,744	727,860
<i>Other Current Expenses</i>						
Medicolegal Investigations	27,393	28,828	29,000	0*	29,000	0*
TOTAL-Other Current Expenses	27,393	28,828	29,000	0	29,000	0
<i>Nonfunctional - Change to Accruals</i>	0	0	0	21,176	0	26,603

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

<b>Character &amp; Major Object Summary</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	4,290,212	4,317,148	4,920,848	4,465,170	4,447,470	5,149,688	4,711,260	4,674,075
Other Expenses Net	904,571	684,544	701,406	700,443	711,931	722,744	721,155	727,860
Capital Outlay	14,726	15,500	30,000	20,000	19,226	30,000	20,000	19,226
Other Current Expenses	27,393	28,828	29,000	28,828	0	29,000	28,828	0
Nonfunctional - Change to Accruals	0	0	0	21,932	21,176	0	27,579	26,603
TOTAL-General Fund Net	5,236,902	5,046,020	5,681,254	5,236,373	5,199,803	5,931,432	5,508,822	5,447,764

# DEPARTMENT OF DEVELOPMENTAL SERVICES

## AGENCY DESCRIPTION

It is the responsibility of the Department of Developmental Services (DDS) to plan for and assist in the development of a comprehensive array of supports and services for Connecticut citizens who have intellectual disability or Prader-Willi Syndrome. The department is mandated to serve as the lead agency in providing support and coordination for services for individuals with autism. DDS is also mandated to administer a system to provide early intervention services for all infants and toddlers under the age of three who have any type of disability or significant developmental delay.

### ELIGIBILITY FOR SERVICES

Section 1-1g of the Connecticut General Statutes states “‘intellectual disability’ means a significant limitation in intellectual functioning and deficits in adaptive behavior that originated during the developmental period before eighteen years of age.” Thus, three factors must be in place for a person to be diagnosed as having intellectual disability. The person has an intelligence quotient (IQ) of less than 70 as measured by a standardized intelligence test; the everyday behavior or adaptive behavior of the person is markedly below what is expected for someone of the same age in the same cultural group; and the intellectual disability occurred before the individual's 18th birthday.

### THE DEPARTMENT'S MISSION

The mission of the Department of Developmental Services is to partner with the individuals it supports and their families, to support lifelong planning and to join with others to create and promote meaningful opportunities for individuals to fully participate as valued members of their communities. All citizens supported by the Department of Developmental Services are valued contributors to their communities as family members, friends, neighbors, students, employees, volunteers, members of civic and religious associations, voters and advocates.

These individuals

- Live, learn, work and enjoy community life in places where they can use their personal strengths, talents and passions.
- Have safe, meaningful and empowering relationships.
- Have families who feel supported from the earliest years and throughout their lifetimes.
- Have lifelong opportunities and the assistance to learn things that matter to them.
- Make informed choices and take responsibility for their lives and experience the dignity of risk.
- Earn money to facilitate personal choices.
- Know their rights and responsibilities and pursue opportunities to live the life they choose.

### SERVICES AND TRENDS

Persons with intellectual disability have much in common with non-disabled citizens in Connecticut, although individuals who have intellectual disability often need lifelong support to exercise their rights to become full and contributing members of their communities. As of June 30, 2012, the Department of Developmental Services was serving 20,398 persons, including those enrolled in the Birth to Three Program. In a comparison of demographic information from 10 years ago, the number of people DDS supports under the age of 45 is nearly identical. However, the number of people DDS supports who are 45 or older has grown by 31%. DDS also initiated a pilot program for adults with Autism Spectrum Disorder (but not intellectual disability) as a result of Section 37 of Public Act 06-188. This program served approximately 78 individuals with autism in FY 2012.

OUTCOME MEASURES				
PEOPLE SERVED BY DDS (as of June 2012)				
Age Range	In Home	Out of Home	Total	Pct
Birth to Three (0 – 3)	4,561	0	4,561	22%
Children (3 – 17)	2,384	162	2,546	12%
Young Adults (18 – 21)	1,287	268	1,555	8%
Adults (22 and older)	4,445	7,291	11,736	58%
Total	12,667	7,721	20,398	100%
Percent	62 %	38 %		

Most traditional services and all new development of residential supports are contracted through private providers. DDS has worked to streamline and improve efficiencies while maintaining services. In the coming years, DDS will be shifting resources from traditional services to family services. DDS operates three Home and Community Based Services (HCBS) waivers serving a total of 8,638 people and has requested to expand service options through waiver renewal and amendment applications to the Centers for Medicare and Medicaid Services (CMS). Two of these requests were granted in late 2011. The department anticipates continued growth in the use of self-directed services over the next biennium. The department completed its third year of operating the Voluntary Services Program (VSP) for children who have intellectual disabilities and a mental health diagnosis. The VSP program supports over 490 children and their families, serving the majority of them with in-home supports.

<b>Outcome Measures</b>				
PROGRAM	FY 2009	FY 2010	FY 2011	FY 2012
Birth to Three (Children with IFSPs on June 1 of each year)	4,774	4,679	4,553	4,561
Self Directed Residential (Family or Own Home)	959	994	1,014	1,059
Self Directed Employment and Day	213	237	245	274
Private Community	3,657	4,026	4,179	4,222
Public Community	537	453	408	386
Public Campus	723	686	656	612
Employment and Day	9,653	9,788	9,912	10,092

## AGENCY PROGRAM INDEX

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## RECOMMENDED SIGNIFICANT CHANGES

<b>Reductions to Current Services</b>	<b>FY 2014</b>	<b>FY 2015</b>
• Remove or Limit Inflation	-1,864,356	-3,439,047
• Remove Funding for Salary Increases for Appointed Officials	-16,271	-34,183
• Annualize FY 2013 Rescissions	-15,654,336	-15,654,336
• Annualize FY 2013 Deficit Mitigation Plan	-3,100,944	-3,100,944
• Increase Efficiencies and Oversight in Residential Services and Supports <i>Savings will be realized through a review of group home and residential center placements for consolidation opportunities. Additionally, family grants and/or individual budgets will be analyzed to ensure payment amounts are appropriate.</i>	-3,560,000	-4,313,000
• Close Public Residential Settings <i>This proposal, through attrition and reorganization, will close three non-respite, state-run group homes and three residential units at Southbury Training School. Clients currently living in these settings will remain in residential placement in alternative locations.</i>	-1,308,611	-2,764,881
• Incentivize the Use of In-Home Supports <i>Funding is reduced for residential placements to encourage an increased use of various in-home supports such as family support grants and respite programs for new and existing DDS clients.</i>	-760,000	-760,000
• Transfer Staff from Leased to State-Owned Space	0	-65,541
<b>Within Current Services</b>		
• Fund Caseload Growth and Prior Year Annualization <i>Approximately \$60 million is recommended throughout the biennium to support new caseload and annualize costs from prior year placements. Funding will support day programs for 752 individuals who have graduated or will be graduating from high school, or who are aging out of services provided by the Department of Children and Families or local education agencies. Funding will also support 274 residential placements under the Money Follows the Person or DDS' adult services program and 6 new forensic (court involved) cases in each year of the biennium.</i>	29,600,000	30,100,000

**Reallocations or Transfers**

• Transfer Case Management of DDS Eligible Clients from the Department of Children and Families <i>This proposal includes funding for five case manager positions, enabling the transfer of additional DDS eligible clients from DCF. The budget assumes three case managers are hired in FY 2014 and the remaining two in FY 2015. The annualized cost for all five positions is \$320,000; the net savings to the state is approximately \$150,000 in FY 2014 and \$225,000 in FY 2015.</i>	115,000	308,000
• Transfer Birth to Three to the New Office of Early Childhood in FY 2015 <i>This proposal transfers the Birth to Three program and seven state funded positions to the new Office of Early Childhood effective July 1, 2014.</i>	0	-37,991,718
• Transfer Supportive Housing Funding to the Department of Housing <i>This proposal transfers \$450,000 to the Department of Housing to fund 15 Rental Assistance Program certificates (RAPs) that will be used to develop an appropriate supportive housing model for individuals with intellectual disabilities served by DDS. Savings of \$2.1 million are assumed in each year of the biennium to reflect the transfer of these individuals into less intensive placements.</i>	-450,000	-450,000
• Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-10,836	-10,836
• Streamline Agency Account Structure <i>In order to reduce the number of accounts and provide the agency with more flexibility in executing programs, accounts have been consolidated. The Human Resource Development and Clinical Services accounts have been consolidated into the Other Expenses account. Funding for Family Support Grants, Community Temporary Support Services, Community Respite Care Programs and Family Reunion Program have been consolidated into a new grant account called Family Supports.</i>	0	0

**Technical Adjustments**

• Revise GAAP Accrual Amounts	-1,203,148	-1,625,681
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**AGENCY PROGRAMS**

<b>Personnel Summary</b>	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions	2,963	270	89	3,322	3,320	3,325	3,320	3,320
General Fund	8	0	0	8	8	8	8	0
Federal and Other Activities								
			FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
Other Positions Equated to Full Time			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			0	0	0	0	71	0
<b>Agency Programs by Total Funds (Net of Reimbursements)</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Resource Services								
Case Management	16,569,454	15,696,752	17,486,301	17,169,776	17,158,583	18,378,788	17,989,069	18,076,955
Family Support	63,680,411	66,514,942	70,961,019	69,626,792	67,756,421	73,554,228	71,839,535	69,856,042
Specialized Support	35,063,034	33,446,983	37,811,936	35,566,281	31,763,554	39,639,673	37,047,017	33,251,020
Staff Support	266,093	252,458	295,178	290,143	289,830	307,428	303,870	301,535
TOTAL Program	115,578,992	115,911,135	126,554,434	122,652,992	116,968,388	131,880,117	127,179,491	121,485,552
Employment Opportunities & Day Services								
Sheltered Employment	7,132,586	7,775,875	7,996,671	8,075,076	7,949,075	8,422,437	8,440,780	8,311,595
Group Supported Employment	54,400,005	57,924,295	59,581,675	60,295,621	59,307,214	62,801,243	63,012,400	62,018,010
Day Support Options	130,715,346	140,171,293	153,345,051	154,956,770	152,545,914	159,612,580	161,962,258	159,497,990
Individual Supported Employment	3,076,506	3,389,605	3,483,100	3,525,117	3,467,464	3,670,165	3,683,948	3,626,016
Birth to Three System	40,239,118	37,440,832	40,647,010	40,019,417	40,019,228	40,666,730	40,051,301	0
TOTAL Program	235,563,561	246,701,900	265,053,507	266,872,001	263,288,895	275,173,155	277,150,687	233,453,611
Residential Services								
Community Training Homes	8,896,746	9,248,579	9,804,072	9,550,620	9,209,924	10,196,521	9,952,793	9,595,122
Community Living Arrangements	387,716,429	398,505,881	428,562,490	417,193,330	404,342,163	446,132,515	434,831,632	420,703,341
Campus Units	131,667,083	126,927,637	144,539,898	140,259,963	139,558,188	151,469,248	146,221,430	144,277,296
Other Private Facilities	18,666,818	19,407,927	20,353,099	20,116,585	19,715,425	21,036,692	20,613,927	20,184,316
Individualized Home Supports	83,179,154	86,194,621	91,121,876	89,496,603	86,271,591	94,466,832	93,094,862	89,724,099
TOTAL Program	630,126,230	640,284,645	694,381,435	676,617,101	659,097,291	723,301,808	704,714,644	684,484,174
Agency Management Services	43,127,777	40,924,531	46,126,345	45,284,954	45,962,120	48,315,482	47,281,060	47,318,059
TOTAL Agency Programs - All Funds Gross	1,024,396,560	1,043,822,211	1,132,115,721	1,111,427,048	1,085,316,694	1,178,670,562	1,156,325,882	1,086,741,396
Less Turnover	0	0	-4,402,500	-18,554,226	-18,554,226	-4,666,650	-20,281,799	-20,281,799

Nonfunctional - Change to Accruals	0	0	0	2,185,733	982,585	0	1,625,681	0
TOTAL Agency Programs - All Funds Net	1,024,396,560	1,043,822,211	1,127,713,221	1,095,058,555	1,067,745,053	1,174,003,912	1,137,669,764	1,066,459,597
<b>Summary of Funding</b>								
General Fund Net	1,013,182,474	1,038,993,117	1,124,171,721	1,091,517,055	1,063,703,553	1,170,462,412	1,134,128,264	1,064,226,097
Federal and Other Activities	11,168,525	4,787,594	3,500,000	3,500,000	3,500,000	3,500,000	3,500,000	1,442,000
Private Funds	45,561	41,500	41,500	41,500	541,500	41,500	41,500	791,500
TOTAL Agency Programs - All Funds Net	1,024,396,560	1,043,822,211	1,127,713,221	1,095,058,555	1,067,745,053	1,174,003,912	1,137,669,764	1,066,459,597

## RESOURCE AND SERVICES

### Statutory Reference

C.G.S. Section 17a-210.

### Statement of Need and Program Objectives

The Resource and Services program assists individuals with intellectual disabilities or Prader-Willi Syndrome, infants and toddlers with significant developmental delays who are enrolled in the Birth to Three program and adults served by the Autism Division

(individuals on the autism spectrum but without intellectual disability) and their families. This program coordinates the planning, development and administration of a system of supports and services based on individual needs and preferences. Efforts are made to maximize federal resources, promote and ensure quality services and supports and coordinate training and staff development for department employees and private sector staff.

### RESOURCE SERVICES

#### Personnel Summary

Permanent Full-Time Positions	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	597	50	-1	646	646	649	646	651

#### Financial Summary

(Net of Reimbursements)	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	49,496,283	46,847,525	53,871,525	51,713,401	51,321,404	56,571,597	54,189,184	53,700,715
Other Expenses	742,836	727,598	746,972	745,926	848,838	770,525	774,545	845,351

#### Other Current Expenses

Family Support Grants	2,972,623	3,114,011	3,181,811	3,114,011	0*	3,274,229	3,114,011	0*
Cooperative Placements Program	1,627,365	1,701,200	1,746,386	1,798,923	1,713,215	1,783,157	1,872,937	1,786,762
Clinical Services	4,288,197	3,900,525	4,497,986	3,958,603	0*	4,710,050	3,989,877	0*
Community Temporary Support Services	63,950	63,950	66,745	63,950	0*	69,581	63,950	0*
Community Respite Care Programs	308,093	313,828	320,638	313,828	0*	329,937	313,828	0*
Autism Services	1,092,317	1,583,182	1,618,896	1,591,285	1,589,010	1,666,716	1,594,391	1,589,010
Voluntary Services	12,474,708	13,357,110	13,929,823	13,929,823	13,780,597	14,233,386	13,929,823	13,780,597

#### Pmts to Other Than Local Governments

Family Reunion Program	98,500	128,156	130,937	128,156	0*	134,734	128,156	0*
Employment Opportunities & Day Svcs	1,908,792	2,109,542	2,166,842	2,193,546	2,158,199	2,285,806	2,292,390	2,257,043
Community Residential Services	39,656,683	41,397,468	43,883,873	42,709,540	41,117,800	45,658,399	44,524,399	42,861,749
Family Supports	0	0	0	0	3,597,325	0	0	3,597,325
TOTAL-General Fund	114,730,347	115,244,095	126,162,434	122,260,992	116,126,388	131,488,117	126,787,491	120,418,552

#### Additional Funds Available

Private Funds	34,787	32,000	32,000	32,000	482,000	32,000	32,000	707,000
<b>Federal Contributions</b>								
84181 Special Education Grants for Infants	813,858	635,040	360,000	360,000	360,000	360,000	360,000	360,000
TOTAL - All Funds	115,578,992	115,911,135	126,554,434	122,652,992	116,968,388	131,880,117	127,179,491	121,485,552

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

## CASE MANAGEMENT

### Statutory Reference

C.G.S. Section 17a-210.

### Program Description

Case management is available to individuals eligible for DDS services. Personnel from the DDS regional offices provided this service to

more than 15,858 clients in FY 2012. Case management is designed to assist individuals and their families to plan for and coordinate needed supports and services, including those directly provided by DDS, purchased from private providers or self-directed.

In FY 2010, DDS created a regional based Helpline that assists over 3,000 individuals and their families each year to access services.

**CASE MANAGEMENT****Personnel Summary**

Permanent Full-Time Positions General Fund	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
	220	14	-1	233	233	236	233	238

**Financial Summary****(Net of Reimbursements)**

Personal Services Other Expenses TOTAL-General Fund	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	16,285,516	15,417,702	17,200,733	16,884,608	16,834,072	18,084,941	17,692,960	17,752,988
Other Expenses	283,938	279,050	285,568	285,168	324,511	293,847	296,109	323,967
TOTAL-General Fund	16,569,454	15,696,752	17,486,301	17,169,776	17,158,583	18,378,788	17,989,069	18,076,955

**FAMILY SUPPORT****Statutory Reference**

C.G.S. Sections 17a-210 and 17a-218, and 17a-219a.

families to purchase respite and family support grants to defray extraordinary expenses.

**Program Description**

Family supports are available to assist individuals and their families to live at home. Supports may include respite, financial assistance to

**FAMILY SUPPORT****Personnel Summary**

Permanent Full-Time Positions General Fund	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
	102	2	0	104	104	104	104	104

**Financial Summary****(Net of Reimbursements)**

Personal Services Other Expenses <u>Other Current Expenses</u> Family Support Grants Cooperative Placements Program Clinical Services Community Temporary Support Services Community Respite Care Programs Autism Services Voluntary Services <u>Pmts to Other Than Local Governments</u> Family Reunion Program Employment Opportunities & Day Svcs Community Residential Services Family Supports TOTAL-General Fund	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	7,316,398	6,924,404	8,264,252	8,115,289	8,035,727	8,666,331	8,503,809	8,384,626
Other Expenses	46,613	45,810	46,804	46,738	53,187	48,162	48,532	53,097
<u>Other Current Expenses</u>								
Family Support Grants	2,971,826	3,113,175	3,180,958	3,113,176	0*	3,273,351	3,113,176	0*
Cooperative Placements Program	1,599,635	1,672,219	1,716,635	1,768,277	1,684,029	1,752,780	1,841,030	1,756,323
Clinical Services	14,560	13,197	14,434	12,703	0*	14,527	12,803	0*
Community Temporary Support Services	63,950	63,950	66,745	63,950	0*	69,581	63,950	0*
Community Respite Care Programs	308,093	313,828	320,638	313,828	0*	329,937	313,828	0*
Autism Services	996,079	1,443,701	1,475,029	1,449,872	1,447,799	1,517,805	1,452,702	1,447,799
Voluntary Services	12,097,740	12,953,478	13,508,884	13,508,884	13,364,167	13,803,274	13,508,884	13,364,167
<u>Pmts to Other Than Local Governments</u>								
Family Reunion Program	98,500	128,156	130,937	128,156	0*	134,734	128,156	0*
Employment Opportunities & Day Svcs	10,000	11,052	11,352	11,492	11,307	11,975	12,010	11,825
Community Residential Services	38,157,017	39,831,972	42,224,351	41,094,427	39,562,880	43,931,771	42,840,655	41,240,880
Family Supports	0	0	0	0	3,597,325	0	0	3,597,325
TOTAL-General Fund	63,680,411	66,514,942	70,961,019	69,626,792	67,756,421	73,554,228	71,839,535	69,856,042

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**SPECIALIZED SUPPORT****Statutory Reference**

C.G.S. Section 17a-210.

**Program Description**

Specialized supports are available to individuals who require assistance due to physical disabilities, challenging behaviors or

health needs. Department staff, contracted specialists and community providers conduct assessments and develop plans for specialized support services that include behavioral, physical and occupational therapy, nursing, medical, dental, dietary, psychological and psychiatric services, communication and adaptive devices and technology.

**SPECIALIZED SUPPORT**

<b>Personnel Summary</b>	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	272	33	0	305	305	305	305	305
<b>Financial Summary</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
<b>(Net of Reimbursements)</b>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	25,645,363	24,269,754	28,128,519	26,440,494	26,181,272	29,530,552	27,706,335	27,281,030
Other Expenses	395,198	385,945	397,443	396,887	451,643	410,861	412,114	448,823
<u>Other Current Expenses</u>								
Family Support Grants	797	836	853	835	0*	878	835	0*
Cooperative Placements Program	27,730	28,981	29,751	30,646	29,186	30,377	31,907	30,439
Clinical Services	4,273,637	3,887,328	4,483,552	3,945,900	0*	4,695,523	3,977,074	0*
Autism Services	96,238	139,481	143,867	141,413	141,211	148,911	141,689	141,211
Voluntary Services	376,968	403,632	420,939	420,939	416,430	430,112	420,939	416,430
<u>Pmts to Other Than Local Governments</u>								
Employment Opportunities & Day Svcs	1,898,792	2,098,490	2,155,490	2,182,054	2,146,892	2,273,831	2,280,380	2,245,218
Community Residential Services	1,499,666	1,565,496	1,659,522	1,615,113	1,554,920	1,726,628	1,683,744	1,620,869
TOTAL-General Fund	34,214,389	32,779,943	37,419,936	35,174,281	30,921,554	39,247,673	36,655,017	32,184,020
<u>Additional Funds Available</u>								
Private Funds	34,787	32,000	32,000	32,000	482,000	32,000	32,000	707,000
<b>Federal Contributions</b>								
84181 Special Education Grants for Infants	813,858	635,040	360,000	360,000	360,000	360,000	360,000	360,000
TOTAL - All Funds	35,063,034	33,446,983	37,811,936	35,566,281	31,763,554	39,639,673	37,047,017	33,251,020

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**STAFF SUPPORT****Statutory Reference**

C.G.S. Section 17a-210.

**Program Description**

Staff support programs are designed to develop and enhance employee competence. These training opportunities occur in the classroom, through web-based learning opportunities, on-the-job or

through mentoring activities. Programs include new employee orientation, DDS mission and policies, health and wellness, safety and basic protections, medication administration, specialized supports, person-centered planning, individual and family support, case management, supervision, diversity, cultural competency and quality management and improvement.

**STAFF SUPPORT**

<b>Personnel Summary</b>	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	3	1	0	4	4	4	4	4
<b>Financial Summary</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
<b>(Net of Reimbursements)</b>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	249,006	235,665	278,021	273,010	270,333	289,773	286,080	282,071
Other Expenses	17,087	16,793	17,157	17,133	19,497	17,655	17,790	19,464
TOTAL-General Fund	266,093	252,458	295,178	290,143	289,830	307,428	303,870	301,535

**EMPLOYMENT OPPORTUNITIES AND DAY SERVICES****Statutory Reference**

C.G.S. Sections 17a-210, 17a-217, and 17a-226.

**Statement of Need and Program Objectives**

Employment Opportunities and Day Services assist children and adults in accessing employment and day programs by developing and

enhancing work skills and securing/retaining paid employment in community business settings. The program also provides opportunities for adults with intellectual disabilities to experience valued adult roles within the community. Supported employment and day service options can be purchased from a provider agency or be self-directed.

**EMPLOYMENT OPPORTUNITIES & DAY SERVICE**

<b>Personnel Summary</b>	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	140	16	0	156	156	156	156	149
Federal and Other Activities	8	0	0	8	8	8	8	0
<b>Financial Summary</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
<b>(Net of Reimbursements)</b>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	11,198,751	10,598,752	11,645,183	11,435,279	11,323,168	12,089,374	11,982,744	11,162,723
Other Expenses	332,772	276,712	288,070	287,666	327,354	296,726	298,704	277,409
<u>Other Current Expenses</u>								
Cooperative Placements Program	937,865	980,419	1,006,461	1,036,739	987,344	1,027,653	1,079,394	1,029,730
Clinical Services	600	544	600	528	0*	600	532	0*
Early Intervention	36,281,785	34,862,523	37,902,751	37,286,804	37,286,804	37,899,000	37,286,804	0
<u>Pmts to Other Than Local Governments</u>								
Employment Opportunities & Day Svcs	178,604,648	197,388,350	212,150,442	214,764,985	211,304,225	221,799,802	224,442,509	220,981,749
TOTAL-General Fund	227,356,421	244,107,300	262,993,507	264,812,001	261,228,895	273,113,155	275,090,687	233,451,611
<u>Additional Funds Available</u>								
<b>Federal Contributions</b>								
84027 Special Education Grants to States	878,770	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000	0
84181 Special Education Grants for Infants	2,331,047	869,840	1,060,000	1,060,000	1,060,000	1,060,000	1,060,000	2,000
93667 Social Services Block Grant	4,997,323	724,760	0	0	0	0	0	0
TOTAL - All Funds	235,563,561	246,701,900	265,053,507	266,872,001	263,288,895	275,173,155	277,150,687	233,453,611

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**SHELTERED EMPLOYMENT****Statutory Reference**

C.G.S. Section 17a-226.

disabilities in FY 2012. This service is undergoing change as DDS works toward increased participation in competitive employment opportunities.

**Program Description**

Sheltered employment programs, available through the department and the private sector, supported 496 adults with intellectual

**SHELTERED EMPLOYMENT**

<b>Personnel Summary</b>	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	8	2	0	10	10	10	10	10
<b>Financial Summary</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
<b>(Net of Reimbursements)</b>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	672,959	636,903	663,786	651,821	645,431	686,962	683,027	673,454
Other Expenses	61	60	61	61	69	63	63	69
<u>Pmts to Other Than Local Governments</u>								
Employment Opportunities & Day Svcs	6,459,566	7,138,912	7,332,824	7,423,194	7,303,575	7,735,412	7,757,690	7,638,072
TOTAL-General Fund	7,132,586	7,775,875	7,996,671	8,075,076	7,949,075	8,422,437	8,440,780	8,311,595

**GROUP SUPPORTED EMPLOYMENT****Statutory Reference**

C.G.S. Section 17a-226.

disabilities through in FY 2012. Individuals work for wages commensurate with those paid to the typical workforce. Supported employment services are primarily offered by private agencies under contract with the department.

**Program Description**

Group supported employment offered employment training and supervision of small groups to 3,337 individuals with intellectual

**GROUP SUPPORTED EMPLOYMENT**

<b>Personnel Summary</b>	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	11	1	0	12	12	12	12	12
<b>Financial Summary</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
<b>(Net of Reimbursements)</b>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,064,206	1,007,188	1,120,687	1,100,487	1,089,698	1,157,349	1,153,173	1,137,011
Other Expenses	9,893	9,723	9,934	9,920	11,289	10,222	10,301	11,270
<u>Other Current Expenses</u>								
Cooperative Placements Program	725,868	758,804	778,959	802,393	764,164	795,361	835,406	796,968
<u>Pmts to Other Than Local Governments</u>								
Employment Opportunities & Day Svcs	50,802,224	56,145,052	57,670,095	58,380,821	57,440,063	60,836,311	61,011,520	60,070,761
TOTAL-General Fund	52,602,191	57,920,767	59,579,675	60,293,621	59,305,214	62,799,243	63,010,400	62,016,010
<u>Additional Funds Available</u>								
<b>Federal Contributions</b>								
84181 Special Education Grants for Infants	3,137	3,528	2,000	2,000	2,000	2,000	2,000	2,000
93667 Social Services Block Grant	1,794,677	0	0	0	0	0	0	0
TOTAL - All Funds	54,400,005	57,924,295	59,581,675	60,295,621	59,307,214	62,801,243	63,012,400	62,018,010

**DAY SUPPORT OPTIONS****Statutory Reference**

C.G.S. Sections 17a-217 and 17a-226.

**Program Description**

Day support options offer adults with intellectual disabilities opportunities to experience valued adult roles, develop relationships and make personal choices about participating in leisure, recreation

and volunteer service activities within their community. Activities occur in a variety of natural settings such as stores, libraries, restaurants, recreational facilities and community centers. Day support options are primarily offered by private agencies under contract with the department. The number of individuals who participated in day support options in FY 2012 was 4,327.

**DAY SUPPORT OPTIONS**

<b>Personnel Summary</b>	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	114	13	0	127	127	127	127	127
<b>Financial Summary</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
<b>(Net of Reimbursements)</b>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	8,701,804	8,235,586	9,165,899	9,000,684	8,912,441	9,528,037	9,431,592	9,299,406
Other Expenses	279,404	224,263	234,085	233,757	266,007	241,182	242,726	265,561
<u>Other Current Expenses</u>								
Cooperative Placements Program	176,400	184,404	189,302	194,997	185,706	193,288	203,020	193,679
Clinical Services	600	544	600	528	0*	600	532	0*
<u>Pmts to Other Than Local Governments</u>								
Employment Opportunities & Day Svcs	118,354,492	130,801,736	143,755,165	145,526,804	143,181,760	149,649,473	152,084,388	149,739,344
TOTAL-General Fund	127,512,700	139,446,533	153,345,051	154,956,770	152,545,914	159,612,580	161,962,258	159,497,990
<u>Additional Funds Available</u>								
<b>Federal Contributions</b>								
93667 Social Services Block Grant	3,202,646	724,760	0	0	0	0	0	0
TOTAL - All Funds	130,715,346	140,171,293	153,345,051	154,956,770	152,545,914	159,612,580	161,962,258	159,497,990

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

## INDIVIDUAL SUPPORTED EMPLOYMENT

**Statutory Reference**

C.G.S. Sections 17a-217 and 17a-226.

**Program Description**

Individual supported employment offers job development services, on-the-job training and supervision in typical business settings with wages and benefits commensurate with those paid to the typical workforce. Individual placement services are primarily offered by

private agencies under contract with the department. The number of adults who participated in such programs was 1,049 in FY 2012.

The total number of people participating in supported employment (group or individual) grew to 4,386 in FY 2012, including adults who utilized individualized or self-directed supports to enter supported employment arrangements.

## INDIVIDUAL SUPPORTED EMPLOYMENT

**Financial Summary****(Net of Reimbursements)**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	52,096	49,305	52,093	51,154	50,653	52,093	53,603	52,852
Other Expenses	447	439	449	448	510	462	466	509
<b>Other Current Expenses</b>								
Cooperative Placements Program	35,597	37,211	38,200	39,349	37,474	39,004	40,968	39,083
<b>Pmts to Other Than Local Governments</b>								
Employment Opportunities & Day Svcs	2,988,366	3,302,650	3,392,358	3,434,166	3,378,827	3,578,606	3,588,911	3,533,572
TOTAL-General Fund	3,076,506	3,389,605	3,483,100	3,525,117	3,467,464	3,670,165	3,683,948	3,626,016

## BIRTH TO THREE

**Statutory Reference**

C.G.S. Section 17a-248.

**Program Description**

The Birth to Three interagency system is responsible for policy setting, managing state and federal funding, contracting, quality assurance, data management, complaint resolution, training and public awareness. The system received 8,419 referrals in FY 2012, a 2% decrease over FY 2011. The system served 9,333 eligible children over the course of FY 2012, which is approximately 3.9%

of all Connecticut children under the age of three. Ten percent of the children born each year in Connecticut will have received services from the Birth to Three System by the time they are three. In FY 2011, the Birth to Three program rebid all contracts and selected 28 general, six autism-specific and three deaf/hard of hearing programs. DDS anticipates that all children served by state-operated programs will be transitioned to privately-run services by the end of FY 2014.

## BIRTH TO THREE SYSTEM

**Personnel Summary****Permanent Full-Time Positions**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	7	0	0	7	7	7	7	0
Federal and Other Activities	8	0	0	8	8	8	8	0

**Financial Summary****(Net of Reimbursements)**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	707,686	669,770	642,718	631,133	624,945	664,933	661,349	0
Other Expenses	42,967	42,227	43,541	43,480	49,479	44,797	45,148	0
<b>Other Current Expenses</b>								
Early Intervention	36,281,785	34,862,523	37,902,751	37,286,804	37,286,804	37,899,000	37,286,804	0
TOTAL-General Fund	37,032,438	35,574,520	38,589,010	37,961,417	37,961,228	38,608,730	37,993,301	0
<b>Additional Funds Available</b>								
<b>Federal Contributions</b>								
84027 Special Education Grants to States	878,770	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000	0
84181 Special Education Grants for Infants	2,327,910	866,312	1,058,000	1,058,000	1,058,000	1,058,000	1,058,000	0
TOTAL - All Funds	40,239,118	37,440,832	40,647,010	40,019,417	40,019,228	40,666,730	40,051,301	0

## RESIDENTIAL SERVICES

**Statutory Reference**

C.G.S. Sections 17a-210, 17a-218,17a-227 and 17a-228.

**Program Description**

Residential services and supports take the form of individualized supports tailored to meet the needs of the individual. They can occur in the family or individual's own home, a community companion home, a community living arrangement, through continuous residential services, supported living services or in a campus setting.

Individualized residential supports are designed by and for children and adults with intellectual disabilities, along with their circle of support or community network, to tailor a package of services and supports that best meet individual needs, goals and residential preferences. Self-directed services and supports may be delivered in a family or individual's own home and are provided either by private agencies or self-directed hires. The number of individuals utilizing agreements to purchase and arrange their own residential supports totaled 1,059 in FY 2012.

**RESIDENTIAL SERVICES****Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	1,842	163	89	2,094	2,092	2,094	2,092	2,094

**Financial Summary**

(Net of Reimbursements)	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	168,815,864	159,769,673	186,821,948	180,496,928	178,727,340	195,803,793	189,138,232	186,515,130
Other Expenses	11,945,865	11,604,576	12,032,893	12,016,051	13,673,835	12,395,844	12,477,069	13,657,204
<b>Other Current Expenses</b>								
Cooperative Placements Program	19,355,036	20,233,194	20,773,775	21,398,715	20,379,201	21,212,185	22,279,141	21,254,057
Clinical Services	202,033	172,905	179,095	157,619	0*	186,478	158,864	0*
Workers' Compensation Claims	15,894,980	15,246,035	17,247,380	15,917,722	15,246,035	18,354,814	16,611,330	15,246,035
Voluntary Services	16,834,043	18,024,797	18,797,646	18,797,646	18,596,272	19,207,290	18,797,646	18,596,272
Supplemental Payments for Medical Services	11,940,390	13,400,000	12,730,000	13,400,000	13,400,000	13,200,000	13,400,000	13,400,000
<b>Pmts to Other Than Local Governments</b>								
Rent Subsidy Program	4,545,937	4,537,554	4,668,235	4,537,554	4,437,554	4,769,215	4,537,554	4,437,554
Employment Opportunities & Day Svcs	763,147	843,406	866,315	876,991	862,859	913,878	916,510	902,378
Community Residential Services	379,781,535	396,452,505	420,264,148	409,017,875	393,774,195	437,258,311	426,398,298	410,475,544
TOTAL-General Fund	630,078,830	640,284,645	694,381,435	676,617,101	659,097,291	723,301,808	704,714,644	684,484,174
<b>Additional Funds Available</b>								
<b>Federal Contributions</b>								
FEMA Public Assist	47,400	0	0	0	0	0	0	0
TOTAL - All Funds	630,126,230	640,284,645	694,381,435	676,617,101	659,097,291	723,301,808	704,714,644	684,484,174

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

## COMMUNITY COMPANION HOMES

**Statutory Reference**

C.G.S. Sections 17a-227 and 17a-228.

**Program Description**

Community companion homes offer children and adults the opportunity to live within a family setting. Families are recruited,

licensed and trained by department staff to share their home with one to three individuals with intellectual disabilities. In FY 2012, 401 persons with intellectual disabilities received supports in these settings.

**COMMUNITY TRAINING HOMES****Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	4	1	0	5	5	5	5	5

Budget-in-Detail

<b>Financial Summary</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
<b>(Net of Reimbursements)</b>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	431,644	408,518	436,144	428,283	424,084	452,851	448,787	442,497
Other Expenses	183	180	184	184	209	189	191	209
<u>Other Current Expenses</u>								
Cooperative Placements Program	22,166	23,171	23,787	24,503	23,335	24,288	25,511	24,337
Voluntary Services	125,046	133,890	139,631	139,631	138,135	142,673	139,631	138,135
<u>Pmts to Other Than Local Governments</u>								
Community Residential Services	8,317,707	8,682,820	9,204,326	8,958,019	8,624,161	9,576,520	9,338,673	8,989,944
TOTAL-General Fund	8,896,746	9,248,579	9,804,072	9,550,620	9,209,924	10,196,521	9,952,793	9,595,122

COMMUNITY LIVING ARRANGEMENTS

**Statutory Reference**

C.G.S. Sections 17a-227 and 17a-228.

**Program Description**

Community residences operated by DDS or private agencies, offer individuals with intellectual disabilities the opportunity to live in typical community housing. Homes are small in size and generally

serve six or fewer individuals. To capture federal reimbursement, the majority of individuals who receive this service are enrolled in the Comprehensive Supports Home and Community Based Services (HCBW) waiver. A small number of homes are certified as Intermediate Care Facilities for Individuals with Intellectual Disabilities (ICF-IID). 3,757 individuals lived in these settings during FY 2012.

COMMUNITY LIVING ARRANGEMENTS

**Personnel Summary**

<i>Permanent Full-Time Positions</i>	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	569	43	53	665	663	665	663	665

**Financial Summary**

<b>(Net of Reimbursements)</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	58,441,119	55,309,988	64,934,519	62,777,530	62,162,061	68,042,389	65,783,010	64,861,043
Other Expenses	5,331,037	5,177,466	5,370,887	5,363,369	6,103,322	5,535,547	5,569,145	6,093,083
<u>Other Current Expenses</u>								
Cooperative Placements Program	6,664,189	6,966,580	7,151,621	7,366,764	7,015,784	7,302,204	7,669,861	7,316,964
Clinical Services	155,228	128,598	134,834	118,665	0*	140,534	119,603	0*
Workers' Compensation Claims	4,663,920	4,573,810	5,174,214	4,775,317	4,573,810	5,506,444	4,983,399	4,573,810
Voluntary Services	8,327,035	8,916,047	9,298,340	9,298,340	9,198,730	9,500,973	9,298,340	9,198,730
<u>Pmts to Other Than Local Governments</u>								
Rent Subsidy Program	29,848	29,800	30,659	29,801	29,144	31,322	29,801	29,144
Community Residential Services	304,056,653	317,403,592	336,467,416	327,463,544	315,259,312	350,073,102	341,378,473	328,630,567
TOTAL-General Fund	387,669,029	398,505,881	428,562,490	417,193,330	404,342,163	446,132,515	434,831,632	420,703,341

Additional Funds Available

**Federal Contributions**

FEMA Public Assist	47,400	0	0	0	0	0	0	0
TOTAL - All Funds	387,716,429	398,505,881	428,562,490	417,193,330	404,342,163	446,132,515	434,831,632	420,703,341

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

CAMPUS UNITS

**Statutory Reference**

C.G.S. Section 17a-210.

**Program Description**

The department operates regional campus facilities and one training school campus facility. Admissions to Southbury Training School (STS)

are closed. All regional campus units as well as STS are certified Intermediate Care Facilities for Individuals with Intellectual Disabilities (ICF-IID) and are eligible for 50% Medicaid reimbursement. The population of campus units declined to 612 individuals, including 401 residents of STS, in FY 2012.

CAMPUS UNITS**Personnel Summary**

Permanent Full-Time Positions	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	1,167	114	36	1,317	1,317	1,317	1,317	1,317

**Financial Summary**

(Net of Reimbursements)	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	101,921,343	96,460,603	113,108,977	109,099,177	108,029,571	118,595,935	114,322,310	112,747,771
Other Expenses	6,379,299	6,195,636	6,421,359	6,412,371	7,297,048	6,612,454	6,657,989	7,291,115
<u>Other Current Expenses</u>								
Cooperative Placements Program	148,498	155,148	162,429	167,315	159,344	166,852	174,200	166,185
Clinical Services	46,493	44,025	43,967	38,695	0*	45,637	39,000	0*
Workers' Compensation Claims	11,231,060	10,672,225	12,073,166	11,142,405	10,672,225	12,848,370	11,627,931	10,672,225
Supplemental Payments for Medical Services	11,940,390	13,400,000	12,730,000	13,400,000	13,400,000	13,200,000	13,400,000	13,400,000
TOTAL-General Fund	131,667,083	126,927,637	144,539,898	140,259,963	139,558,188	151,469,248	146,221,430	144,277,296

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**OTHER PRIVATE FACILITIES****Statutory Reference**

C.G.S. Sections 17a-227 and 17a-228.

**Program Description**

Other private facilities provide specialized services that are purchased from residential treatment facilities and residential

schools for individuals with significant behavioral or medical conditions. DDS' goal is to utilize such settings as temporary support and transition in order to allow people to live in community settings as quickly as possible.

OTHER PRIVATE FACILITIES**Personnel Summary**

Permanent Full-Time Positions	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	47	0	0	47	47	47	47	47

**Financial Summary**

(Net of Reimbursements)	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	3,465,551	3,279,877	3,435,014	3,373,098	3,340,028	3,589,922	3,534,582	3,485,047
Other Expenses	14,659	14,407	14,370	14,350	16,330	14,786	14,900	16,302
<u>Other Current Expenses</u>								
Cooperative Placements Program	100,338	104,891	107,677	110,916	105,632	109,944	115,480	110,166
Voluntary Services	7,957,741	8,520,632	8,885,971	8,885,971	8,790,778	9,079,617	8,885,971	8,790,778
<u>Pmts to Other Than Local Governments</u>								
Rent Subsidy Program	1,782	1,778	1,829	1,778	1,739	1,869	1,778	1,739
Employment Opportunities & Day Svcs	763,147	843,406	866,315	876,991	862,859	913,878	916,510	902,378
Community Residential Services	6,363,600	6,642,936	7,041,923	6,853,481	6,598,059	7,326,676	7,144,706	6,877,906
TOTAL-General Fund	18,666,818	19,407,927	20,353,099	20,116,585	19,715,425	21,036,692	20,613,927	20,184,316

**SUPPORTED LIVING****Statutory Reference**

C.G.S. Sections 17a-218 and 17a-227(d).

**Program Description**

Supported living is now an HCBS Waiver service called Individualized Home Supports and is offered to individuals with intellectual disabilities who typically need less than 24-hour support to live in their own apartment or home. DDS, contracted private agencies, or

self-directed hires provide assistance to individuals to live as independently as possible. Such supports include assistance in locating an apartment or home in the community, health care, shopping, managing finances, housekeeping, transportation, developing relationships and leisure activities. The number of individuals who were supported through supportive living settings was 1,674 in FY 2012.

**INDIVIDUALIZED HOME SUPPORTS**

<b>Personnel Summary</b>	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions	55	5	0	60	60	60	60	60
General Fund								
<b>Financial Summary</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
<b>(Net of Reimbursements)</b>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	4,556,207	4,310,687	4,907,294	4,818,840	4,771,596	5,122,696	5,049,543	4,978,772
Other Expenses	220,687	216,887	226,093	225,777	256,926	232,868	234,844	256,495
<u>Other Current Expenses</u>								
Cooperative Placements Program	12,419,845	12,983,404	13,328,261	13,729,217	13,075,106	13,608,897	14,294,089	13,636,405
Clinical Services	312	282	294	259	0*	307	261	0*
Voluntary Services	424,221	454,228	473,704	473,704	468,629	484,027	473,704	468,629
<u>Pmts to Other Than Local Governments</u>								
Rent Subsidy Program	4,514,307	4,505,976	4,635,747	4,505,975	4,406,671	4,736,024	4,505,975	4,406,671
Community Residential Services	61,043,575	63,723,157	67,550,483	65,742,831	63,292,663	70,282,013	68,536,446	65,977,127
TOTAL-General Fund	83,179,154	86,194,621	91,121,876	89,496,603	86,271,591	94,466,832	93,094,862	89,724,099

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**MANAGEMENT SERVICES**

**Statutory Reference**

C.G.S. Section 17a-210.

**Program Description**

Management services ensure that a comprehensive, integrated, equitable and cost-effective system of services is available to individuals with intellectual disabilities through coordinated planning, systems improvement and standardization of best practices.

**STATE MANAGEMENT**

*Administrative Services* oversees audit, budget, revenue enhancement, Medicaid operations, information technology, communications and constituent services, human resources and labor relations, payroll services, physical plant/engineering services, staff development and educational support.

*Family and Community Services* oversees regional programs and services, Autism Division, Individual and Family Support, Birth to Three, Health and Clinical Services, Elder and Children Services, Waiver Policy and Planning, Quality Improvement, and Program Development.

*Legal and Government Affairs* oversees Legislative Affairs, Legal Affairs, Forensic Services, and Eligibility/Single Point of Entry.

Investigations conduct and monitor investigations of abuse and neglect within DDS and contracted providers. An interagency agreement with the Office of Protection and Advocacy was established in 2005 that outlines DDS responsibilities in this area. The Division of Investigations is directed by a member of the Connecticut State Police based on an interagency agreement.

*Office of the Ombudsperson* is led by an independent official who works on behalf of citizens with disabilities and their families to address complaints and or problems regarding access to services or equity of treatment.

*Quality Management* monitors DDS and private provider operated programs, licensure of Community Companion

*Homes and Community Living Arrangements, and is responsible for the statewide coordination of departmental emergency management activities.*

**REGIONAL MANAGEMENT**

Regional and Training School Directors and Assistant Regional Directors manage the delivery of services to people with intellectual disabilities in their respective regions and at the Southbury Training School.

*Individual and Family Support* oversees case management, individual and family support services (family resource teams, family grants, school to work transition planning, educational liaisons, respite coordination), and early intervention services for infants and toddlers.

*Private Administration* oversees the contracting with and program management of private sector residential services (community living arrangements, continuous residential supports, individualized home supports, and community companion homes), employment and day services for adults, and case management services for individuals supported by private agencies. This unit is also responsible for the management of administrative services for the region.

*Public Programs* include day and residential services for adults in campus programs, community living arrangements or individualized home supports and respite services for children and adults. This unit also includes clinical, health and case management services and physical plant and maintenance support.

*Quality Improvement* oversees various functions such as planning and resource allocation, health and clinical services, abuse/neglect investigations, and information management.

*Self Determination and Self Advocacy Supports* assist individuals to create Person-Centered Plans, make informed decisions, and take personal responsibility for authorizing and managing individualized budgets to the greatest extent possible. Funds from individualized budgets are used to purchase supports and hire staff.

**AGENCY MANAGEMENT SERVICES****Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	384	41	1	426	426	426	426	426

*Other Positions Equated to Full Time*

	FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	0	0	0	0	71	0

**Financial Summary****(Net of Reimbursements)**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	31,168,974	29,498,576	34,133,255	33,339,510	32,996,380	35,814,025	34,935,642	34,411,827
Other Expenses	9,303,834	9,334,058	10,354,744	10,340,250	11,755,998	10,859,731	10,736,973	11,670,717

**Capital Outlay**

Equipment	0	1	0	1	1	0	1	1
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**Other Current Expenses**

Human Resource Development	208,801	208,801	209,284	209,284	0*	209,777	209,777	0*
Family Support Grants	1,986	2,080	2,125	2,080	0*	2,187	2,080	0*
Cooperative Placements Program	8,254	8,729	8,961	9,231	8,791	9,149	9,610	9,168
Clinical Services	275,974	246,746	269,087	236,819	0*	270,310	238,691	0*
Early Intervention	6,457	0	0	0	0	0	0	0
Autism Services	33,596	48,691	49,430	48,587	48,518	50,441	48,682	48,518

**Pmts to Other Than Local Governments**

Community Residential Services	9,000	9,395	9,959	9,692	9,331	10,362	10,104	9,727
Family Supports	0	0	0	0	3,601	0	0	3,601
TOTAL-General Fund	41,016,876	39,357,077	45,036,845	44,195,454	44,822,620	47,225,982	46,191,560	46,153,559

**Additional Funds Available**

Private Funds	10,774	9,500	9,500	9,500	59,500	9,500	9,500	84,500
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**Federal Contributions**

DD-Partners in Policymaking	13,518	6,481	0	0	0	0	0	0
84181 Special Education Grants for Infants	1,287,818	1,023,128	580,000	580,000	580,000	580,000	580,000	580,000
93630 Developmental Disabilities Basic Support	478,070	500,000	500,000	500,000	500,000	500,000	500,000	500,000
93631 Developmental Disabilities Projects of	273,807	28,345	0	0	0	0	0	0
93667 Social Services Block Grant	46,914	0	0	0	0	0	0	0
TOTAL - All Funds	43,127,777	40,924,531	46,126,345	45,284,954	45,962,120	48,315,482	47,281,060	47,318,059

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**AGENCY FINANCIAL SUMMARY - GENERAL FUND****Current Expenses by Minor Object**

	FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<b>Personal Services</b>						
Permanent Fulltime Positions	176,372,215	166,502,115	196,475,055	194,623,297	205,049,959	201,909,542
Other Positions	27,324,780	25,860,797	27,559,998	27,273,735	29,213,600	29,484,448
Other	11,205,496	11,691,858	11,691,858	9,410,993	12,185,632	9,426,861
Overtime	45,777,381	43,324,756	51,410,000	43,725,267	54,494,598	45,634,544
TOTAL-Personal Services Gross	260,679,872	247,379,526	287,136,911	275,033,292	300,943,789	286,455,395
Less Reimbursements	0	-665,000	-665,000	-665,000	-665,000	-665,000
Less Turnover	0	0	-4,402,500	-18,554,226	-4,666,650	-20,281,799
TOTAL-Personal Services Net	260,679,872	246,714,526	282,069,411	255,814,066	295,612,139	265,508,596

Budget-in-Detail

Other Expenses-Contractual Services

Dues and Subscriptions	28,786	28,291	28,905	32,826	29,743	32,635
Utility Services	3,054,258	2,993,463	3,151,659	3,579,230	3,314,556	3,558,366
Rentals, Storage and Leasing	1,487,258	1,443,228	1,732,514	1,967,556	1,834,802	1,956,087
Telecommunication Services	1,133,885	1,108,791	1,133,320	1,287,073	1,166,186	1,279,570
General Repairs	1,237,067	1,169,633	1,199,601	1,362,346	1,234,382	1,354,405
Motor Vehicle Expenses	2,469,485	2,389,377	2,441,941	2,773,228	2,514,521	2,757,062
Fees for Outside Professional Services	923,949	903,072	922,920	1,048,128	949,836	1,042,018
Fees for Non-Professional Services	1,156,979	1,125,578	1,149,956	1,305,964	1,183,239	1,298,352
DP Services, Rentals and Maintenance	554,900	502,487	554,259	629,452	570,333	625,782
Postage	130,216	111,130	113,541	128,945	116,834	128,192
Travel	588,588	576,950	589,436	669,403	606,526	665,499
Other Contractual Services	453,157	444,563	454,205	515,826	467,372	512,819
Advertising and Marketing	1,975	1,941	1,983	2,252	2,041	2,239
Printing & Binding	12,897	12,675	12,950	14,707	13,326	14,621

Other Expenses-Commodities

Agriculture, Horticulture, Dairy & Food	790	777	793	901	817	895
Books	24,232	23,173	23,676	26,888	24,362	26,731
Clothing and Personal Supplies	553,170	543,649	555,097	630,404	571,195	626,729
Maintenance and Motor Vehicle Supplies	2,476,594	2,373,203	2,498,222	2,837,145	2,569,285	2,820,606
Medical Supplies	826,327	804,250	837,893	951,566	872,539	946,019
Fuel	1,497,755	1,471,972	2,013,084	2,286,190	2,198,645	2,272,862
Office Supplies	365,816	324,714	358,885	407,573	369,744	405,198
Refunds of Expenditures Not Otherwise Classified	3,017	2,965	3,029	3,440	3,117	3,419
Highway Supplies	2,761	2,713	2,772	3,148	2,852	3,130

Other Expenses-Sundry

Employee Fringe Benefits	3,338,668	3,623,618	3,683,754	4,183,512	3,748,279	4,159,124
Sundry - Other Items	281	278	284	322	294	321
TOTAL-Other Expenses Gross	22,325,307	21,984,944	23,464,679	26,648,025	24,364,826	26,492,681
Less Reimbursements		-42,000	-42,000	-42,000	-42,000	-42,000
TOTAL-Other Expenses Net	22,325,307	21,942,944	23,422,679	26,606,025	24,322,826	26,450,681

Other Current Expenses

Human Resource Development	208,801	208,801	209,284	0*	209,777	0*
Family Support Grants	2,974,609	3,116,091	3,183,936	0*	3,276,416	0*
Cooperative Placements Program	21,928,520	22,923,542	23,535,583	23,088,551	24,032,144	24,079,717
Clinical Services	4,766,804	4,320,720	4,946,768	0*	5,167,438	0*
Early Intervention	36,288,242	34,862,523	37,902,751	37,286,804	37,899,000	0
Community Temporary Support Services	63,950	63,950	66,745	0*	69,581	0*
Community Respite Care Programs	308,093	313,828	320,638	0*	329,937	0*
Workers' Compensation Claims	15,894,980	15,246,035	17,247,380	15,246,035	18,354,814	15,246,035
Autism Services	1,125,913	1,631,873	1,668,326	1,637,528	1,717,157	1,637,528
Voluntary Services	29,308,751	31,381,907	32,727,469	32,376,869	33,440,676	32,376,869
Supplemental Payments for Medical Services	11,940,390	13,400,000	12,730,000	13,400,000	13,200,000	13,400,000
TOTAL-Other Current Expenses	124,809,053	127,469,270	134,538,880	123,035,787	137,696,940	86,740,149

Pmts to Other Than Local Govts

Rent Subsidy Program	4,545,937	4,537,554	4,668,235	4,437,554	4,769,215	4,437,554
Family Reunion Program	98,500	128,156	130,937	0*	134,734	0*
Employment Opportunities & Day Svcs	181,276,587	200,341,298	215,183,599	214,325,283	224,999,486	224,141,170
Community Residential Services	419,447,218	437,859,368	464,157,980	434,901,326	482,927,072	453,347,020
Family Supports	0	0	0	3,600,926	0	3,600,926
TOTAL-Pmts to Other Than Local Govts	605,368,242	642,866,376	684,140,751	657,265,089	712,830,507	685,526,670

Nonfunctional - Change to Accruals

0	0	0	982,585	0	0
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\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

<b>Character &amp; Major Object Summary</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	260,679,872	246,714,526	282,069,411	258,430,892	255,814,066	295,612,139	269,964,003	265,508,596
Other Expenses Net	22,325,307	21,942,944	23,422,679	23,389,893	26,606,025	24,322,826	24,287,291	26,450,681
Capital Outlay	0	1	0	1	1	0	1	1
Other Current Expenses	124,809,053	127,469,270	134,538,880	133,272,197	123,035,787	137,696,940	135,001,368	86,740,149
Payments to Other Than Local Governments	605,368,242	642,866,376	684,140,751	674,238,339	657,265,089	712,830,507	703,249,920	685,526,670
Nonfunctional - Change to Accruals	0	0	0	2,185,733	982,585	0	1,625,681	0
TOTAL-General Fund Net	<u>1,013,182,474</u>	<u>1,038,993,117</u>	<u>1,124,171,721</u>	<u>1,091,517,055</u>	<u>1,063,703,553</u>	<u>1,170,462,412</u>	<u>1,134,128,264</u>	<u>1,064,226,097</u>
<u>Additional Funds Available</u>								
Federal and Other Activities	11,168,525	4,787,594	3,500,000	3,500,000	3,500,000	3,500,000	3,500,000	1,442,000
Private Funds	45,561	41,500	41,500	41,500	541,500	41,500	41,500	791,500
TOTAL-All Funds Net	<u>1,024,396,560</u>	<u>1,043,822,211</u>	<u>1,127,713,221</u>	<u>1,095,058,555</u>	<u>1,067,745,053</u>	<u>1,174,003,912</u>	<u>1,137,669,764</u>	<u>1,066,459,597</u>

# DEPARTMENT OF MENTAL HEALTH & ADDICTION SERVICES

## AGENCY DESCRIPTION

[www.ct.gov/dmhas](http://www.ct.gov/dmhas)

The Department of Mental Health and Addiction Services is responsible for promoting recovery from psychiatric and substance use disorders by providing an integrated network of comprehensive, effective and efficient mental health and addiction services that foster self-sufficiency, dignity and respect.

The service and management objectives are to develop comprehensive, accessible, and locally-based recovery-oriented systems of care; promote input from people receiving services,

families and advocates; address the special needs of people needing care; emphasize public safety and risk management; promote an interactive system of public and private care; apply evidence-based models to care management and delivery; assure accountability for both state and private providers; manage services in the context of healthcare and welfare reform; and enhance strategic planning and collaboration across state agencies.

*The programs that support housing in Connecticut are recommended for transfer to and consolidation within the Department of Housing in the Governor's budget as part of his proposal to restructure and transform state government.*

## AGENCY PROGRAM INDEX

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## RECOMMENDED SIGNIFICANT CHANGES

<b>Reductions to Current Services</b>	<b>FY 2014</b>	<b>FY 2015</b>
• Remove or Limit Inflation	-2,902,440	-5,471,017
• Remove Funding for Salary Increases for Appointed Officials	-19,855	-41,712
• Annualize FY 2013 Rescissions	-729,411	-729,411
• Annualize FY 2013 Deficit Mitigation Plan	-759,526	-759,526
• Savings Associated with the Affordable Care Act <i>This option adjusts various grant accounts effective January 1, 2014 to reflect a reduction in need for state subsidies for under- and uninsured individuals and services as a result of the Affordable Care Act.</i>	-21,050,000	-42,100,000
• Eliminate Funding for Research Activities at the CT Mental Health Center	-906,438	-906,438
• Reduce Funding for Legal Services <i>This option will reduce funding for legal services to the level required under the consent decree.</i>	-280,441	-280,441
<b>Within Current Services</b>		
• Expand Medicaid Eligibility Pursuant to the Affordable Care Act <i>The budget includes funding to extend Medicaid benefits under the Medicaid Low-Income Adults program (HUSKY D) to individuals with income between 55% and 133% of the federal poverty level. These costs will be fully reimbursed by the federal government through 2016, after which the federal reimbursement will be phased down to 90% in 2020. Additional costs of \$41.3 million in FY 2014 and \$240.8 million in FY 2015 are reflected in the Department of Social Services.</i>	10,310,000	60,200,000
• Fund Caseload Growth <i>Over \$50 million is recommended over the biennium to support caseload growth in the young adult services program, additional waiver slots for individuals with acquired/traumatic brain injury leaving Connecticut Valley Hospital (CVH), placements in the Home and Community-Based Services waiver for individuals with mental illness transitioning from nursing homes, funding to support services for individuals declared discharge-ready to leave CVH, as well as five percent caseload growth in each year for behavioral health services for single low-income adults up to 55% federal poverty level.</i>	26,900,000	23,100,000

**Reallocations or Transfers**

• Reallocate Positions for Unified Human Services Contracting <i>This option transfers six positions from the Department of Social Services to the Department of Mental Health and Addiction Services to promote a unified contracting system for certain human services agencies.</i>	362,165	373,030		
• Transfer Rental Assistance Program (RAP) Certificates to the Department of Housing <i>Funding for rental assistance vouchers associated with the Mental Health Home and Community-Based waiver and Frequent Users Systems Engagement (FUSE) supportive housing program is transferred to consolidate all RAP certificates in the new Department of Housing.</i>	-1,105,000	-1,105,000		
• Centralize Affirmative Action Planning Function in CHRO <i>Funding for two positions is transferred to reflect the centralization of affirmative action planning under CHRO.</i>	-135,367	-139,395		
• Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-36,086	-36,086		
• Consolidate Department of Children and Families Solnit Center - South Campus and Connecticut Valley Hospital Food Services <i>This option creates efficiencies by transferring food services staff from the Department of Children and Families to the Department of Mental Health and Addiction Services.</i>	0	0		
• Streamline Agency Account Structure <i>This option consolidates the like funding in the Jail Diversion, Prison Overcrowding, and Persistent Violent Felony Offender accounts into a new Community Forensic Services account and merges Professional Services, Behavioral Health Medication and Nursing Home Contract funding into Other Expenses.</i>	0	0		

**New or Expanded Services**

	<b>FY 2014</b>	<b>FY 2015</b>	<b>FY 2016</b>
• Establish Health Homes <i>Funding is provided to support the development of a Health Home initiative to better coordinate behavioral and physical healthcare for the DMHAS population. This new funding, combined with in-kind support of state operated and privately provided services, will result in \$50 million in additional revenue over the biennium due to a 90% federal match available under the Affordable Care Act.</i>	10,000,000	10,000,000	10,000,000
• Provide Support Services for 100 Units of Supportive Housing <i>This option funds wrap-around services for 100 units of supportive housing expected to come on line January 1, 2015.</i>	0	500,000	1,000,000

**Technical Adjustments**

• Revise GAAP Accrual Amounts	-425,983	211,281		
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**AGENCY PROGRAMS****Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	3,008	255	1	3,264	3,264	3,312	3,264	3,312
Federal and Other Activities	7	1	8	16	17	16	17	16
<i>Other Positions Equated to Full Time</i>								
Federal and Other Activities			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
			3	6	6	6	3	3

**Agency Programs by Total Funds**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
<b>(Net of Reimbursements)</b>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<b>Community Treatment Services</b>								
Emergency / Crisis	21,833,154	21,913,506	22,981,877	21,396,266	20,616,649	23,905,572	22,077,023	20,130,193
Outpatient	159,362,258	162,876,849	170,967,790	170,302,127	165,207,810	179,859,371	194,979,936	184,003,117
Special Programs	47,266,780	53,703,547	57,237,154	55,642,839	55,280,571	60,176,374	58,267,849	57,638,096
TOTAL Program	228,462,192	238,493,902	251,186,821	247,341,232	241,105,030	263,941,317	275,324,808	261,771,406
<b>Residential Services</b>								
Residential Treatment	81,815,084	88,484,592	99,429,164	100,027,936	98,130,072	109,565,165	116,293,581	111,483,613
Housing	93,487,283	97,434,641	105,332,135	103,314,964	101,563,512	109,047,100	106,255,451	89,528,611
TOTAL Program	175,302,367	185,919,233	204,761,299	203,342,900	199,693,584	218,612,265	222,549,032	201,012,224
<b>Inpatient Services</b>								
Mental Health Inpatient	111,156,473	122,065,028	133,206,281	139,884,624	139,662,290	143,012,515	161,127,291	160,489,912
Forensic Inpatient	59,628,165	60,537,313	71,938,880	64,115,954	63,657,319	75,800,619	67,632,633	66,917,431
Substance Abuse Inpatient	37,581,881	39,068,884	45,494,116	42,435,007	42,196,106	48,286,878	46,988,093	46,606,374

Budget-in-Detail

TOTAL Program	208,366,519	221,671,225	250,639,277	246,435,585	245,515,715	267,100,012	275,748,017	274,013,717
Recovery Support Services								
Case Management	65,503,605	75,437,848	85,254,609	78,371,918	75,747,071	92,134,682	84,196,305	77,878,594
Rehabilitation	29,359,521	28,539,248	29,437,843	28,624,805	26,717,192	30,281,703	28,777,673	24,421,782
Ancillary Services	3,854,071	4,846,165	4,802,490	4,965,940	5,035,721	4,838,764	5,445,417	5,406,867
TOTAL Program	98,717,197	108,823,261	119,494,942	111,962,663	107,499,984	127,255,149	118,419,395	107,707,243
Prevention and Health Promotion								
Advocacy and Prevention	16,513,232	18,196,193	21,979,503	21,649,398	20,733,900	18,247,304	17,797,219	16,014,560
Education and Training	1,814,301	1,808,708	1,921,630	1,855,886	1,761,397	1,949,681	1,877,014	1,742,562
Research	6,438,032	4,770,369	4,795,641	4,545,381	4,369,185	4,707,084	4,447,605	4,272,322
TOTAL Program	24,765,565	24,775,270	28,696,774	28,050,665	26,864,482	24,904,069	24,121,838	22,029,444
Agency Management Services	104,221,924	74,074,954	70,541,997	76,999,806	75,891,657	72,870,867	81,695,476	79,495,136
Disproportionate Share	-77,640,000	-79,818,544	-79,818,544	-79,818,544	-79,818,544	-79,818,544	-79,818,544	-79,818,544
TOTAL Agency Programs - All Funds Gross	762,195,764	773,939,301	845,502,566	834,314,307	816,751,908	894,865,135	918,040,022	866,210,626
Less Turnover	0	0	-5,736,096	-9,645,689	-9,645,689	-6,036,112	-10,079,745	-10,079,745
Nonfunctional - Change to Accruals	0	0	0	1,884,008	1,458,025	0	2,232,859	2,444,140
TOTAL Agency Programs - All Funds Net	762,195,764	773,939,301	839,766,470	826,552,626	808,564,244	888,829,023	910,193,136	858,575,021
<u>Summary of Funding</u>								
General Fund Net	692,807,761	704,799,397	767,067,764	757,777,694	739,789,312	823,121,560	848,411,687	807,926,972
Federal and Other Activities	48,226,661	47,575,309	50,347,009	50,331,809	50,331,809	43,346,409	43,328,969	32,195,569
Private Funds	21,161,342	21,564,595	22,351,697	18,443,123	18,443,123	22,361,054	18,452,480	18,452,480
TOTAL Agency Programs - All Funds Net	762,195,764	773,939,301	839,766,470	826,552,626	808,564,244	888,829,023	910,193,136	858,575,021

COMMUNITY TREATMENT SERVICES

**Statutory Reference**

C.G.S. Sections 17a-450 and 17a-453a, 17a-476, 17a-676.

**Statement of Need and Program Objectives**

To promote the recovery of adults with mental illnesses, to avoid unnecessary and costly hospitalizations through timely evaluation, diagnosis and treatment in community-based mental health settings and to enable adults with mental illnesses to live in their own communities through use of natural supports and participation in needed residential, vocational and social support services.

To promote the recovery of adults with substance use disorders and to reduce the negative consequences associated with alcohol and other drugs by reducing alcohol and other drug abuse through a system which is responsive to the individuals' needs, by increasing the number of individuals seeking care, by increasing the participation of family and significant others in treatment, and by funding a range of direct care services that are responsive to individual needs.

**Program Description**

Community mental health services focus on three areas: 1) individuals recently discharged from inpatient settings, 2) persons with currently heightened psychiatric symptoms already living in the community, and 3) individuals who need continued assistance to sustain and improve the process of recovery. Community mental health services use naturally occurring supports, as well as clinical and support programs, to help individuals recover from the disabling effects of mental illnesses. Community substance abuse services provide treatment and rehabilitation for individuals, regardless of ability to pay, in a variety of community settings. These services assist the recovery process by offering a continuum of care that affords an individual a progression of appropriate levels of care in four primary treatment settings: residential detoxification, chemical maintenance and ambulatory drug detoxification, alcohol and drug outpatient services, and residential rehabilitation.

COMMUNITY TREATMENT SERVICES

**Personnel Summary**

Permanent Full-Time Positions	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	813	55	1	869	869	903	869	903
Federal and Other Activities	1	1	5	7	8	7	8	7

**Financial Summary**

(Net of Reimbursements)	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	48,255,501	44,391,461	50,527,608	44,480,186	44,388,791	53,158,798	46,862,852	46,769,761
Other Expenses	4,699,550	8,132,277	8,561,507	8,589,268	10,807,872	8,901,720	8,929,821	10,804,563
<u>Other Current Expenses</u>								
Housing Supports and Services	20,500	20,500	21,475	21,378	19,965	21,475	21,407	20,595
Managed Service System	8,613,841	8,850,369	9,311,117	8,947,059	11,175,430	9,375,391	9,007,059	11,245,874
Connecticut Mental Health Center	4,899,500	4,899,500	4,899,500	4,899,500	4,142,035	4,899,500	4,899,500	4,142,035
Professional Services	3,137,162	2,503,821	2,503,821	2,531,761	0*	2,503,821	2,569,905	0*

Behavioral Health for Low-Income Adults	65,004,719	71,868,384	73,608,712	81,170,235	81,170,186	81,816,576	106,143,340	106,143,222
Young Adult Services	31,922,106	34,341,077	36,453,002	35,908,269	35,745,198	39,031,432	38,250,785	37,957,394
TBI Community Services	2,109,011	2,159,319	2,446,067	2,255,648	2,245,148	2,546,474	2,366,953	2,357,049
Jail Diversion	3,961,873	3,941,071	4,098,636	3,923,274	0*	4,203,200	4,031,550	0*
Behavioral Health Medications	178,759	175,152	182,806	182,874	0*	190,799	190,715	0*
Prison Overcrowding	3,737,350	3,584,934	3,645,373	3,336,642	0*	3,748,834	3,345,812	0*
Discharge and Diversion Services	938,713	938,712	957,086	918,785	909,960	957,086	875,595	868,288
Home and Community Based Services	286,963	336,551	337,956	272,655	272,493	337,956	275,937	275,649
Persistent Violent Felony Offenders Act	668,167	671,701	684,919	675,235	0*	684,919	675,235	0*
Community Forensic Services	0	0	0	0	7,802,052	0	0	7,913,763
<i>Pmts to Other Than Local Governments</i>								
Grants for Substance Abuse Services	10,824,114	10,837,924	11,073,114	10,467,548	7,907,171	11,394,202	10,467,548	5,346,791
Grants for Mental Health Services	21,855,452	21,773,487	22,245,972	21,882,765	17,640,579	22,891,105	21,882,765	13,398,393
TOTAL-General Fund	211,113,281	219,426,240	231,558,671	230,463,082	224,226,880	246,663,288	260,796,779	247,243,377
<i>Additional Funds Available</i>								
Private Funds	5,939,204	7,430,044	7,797,896	5,047,896	5,047,896	7,797,896	5,047,896	5,047,896
<b>Federal Contributions</b>								
CT SBIRT Program	80,000	340,100	340,100	340,100	340,100	340,100	340,100	340,100
93003 Public Health & Social Services Emer	40,048	86,000	60,000	60,000	60,000	60,000	60,000	60,000
93230 Consolidated Knowledge Development/Application Pgm	1	0	0	0	0	0	0	0
93243 Substance Abuse & Mental Health Services	219,916	521,707	685,739	685,739	685,739	399,980	399,980	399,980
93275 Substance Abuse & Mental Health Services	3,505,577	3,119,352	3,173,956	3,173,956	3,173,956	1,109,594	1,109,594	1,109,594
93667 Social Services Block Grant	361,560	361,560	361,560	361,560	361,560	361,560	361,560	361,560
93958 Block Grants for Community Mental Health	2,283,368	2,178,741	2,178,741	2,178,741	2,178,741	2,178,741	2,178,741	2,178,741
93959 Block Grants for Prevention & Treatment	4,919,237	5,030,158	5,030,158	5,030,158	5,030,158	5,030,158	5,030,158	5,030,158
TOTAL - All Funds	228,462,192	238,493,902	251,186,821	247,341,232	241,105,030	263,941,317	275,324,808	261,771,406

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

## EMERGENCY/CRISIS SERVICES

### Statutory Reference

C.G.S. Section 17a-476.

### Statement of Need and Program Objectives

To assess and treat adults and families in acute emotional crisis in order to stabilize their condition and prevent hospitalization when possible and to arrange for further treatment when necessary.

### Program Description

Available 24 hours a day, 7 days a week, emergency mental health workers rapidly assess and treat individuals and families through

face-to-face and hotline telephone contacts. These services emphasize reducing suicide risk, risk of harm to others and likelihood of hospitalization. Emergency services are provided in crisis intervention centers, general hospital emergency departments, walk-in clinics or by mobile crisis teams. Crisis intervention centers also include short-term crisis beds and short-term respite beds. Follow-up treatment is arranged as necessary.

<b>Program Measure</b>	<b>FY 2012 Actual</b>	<b>FY 2013 Estimated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>
Crisis Counseling				
Clients Served	8,862	8,862	8,862	8,862
Crisis/Respite beds				
Beds Crisis/Jail Div./Sub-Acute	"	41	41	41
Clients Served	190	190	190	190
Crisis/Jail Diversion				
Clients Served	4,478	4,478	4,478	4,478

Budget-in-Detail

EMERGENCY / CRISIS

**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	112	7	0	119	119	119	119	119

**Financial Summary**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
<b>(Net of Reimbursements)</b>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	11,491,182	10,798,212	11,653,215	10,258,494	10,237,416	12,298,423	10,841,840	10,820,303
Other Expenses	606,087	1,207,453	1,272,055	1,276,180	1,258,160	1,320,566	1,324,735	1,255,807

Other Current Expenses

Housing Supports and Services	19,500	19,500	20,475	20,383	19,035	20,475	20,410	19,636
Managed Service System	1,701,418	1,837,274	1,865,939	1,792,982	2,239,546	1,921,605	1,846,111	2,304,984
Professional Services	48,312	48,312	48,312	48,851	0*	48,312	49,587	0*
Young Adult Services	74,443	74,443	77,958	76,793	76,444	77,991	76,431	75,845
Jail Diversion	157,760	157,758	165,646	158,559	0*	165,646	158,881	0*
Behavioral Health Medications	2,072	2,071	2,161	2,162	0*	2,256	2,255	0*
Prison Overcrowding	100,015	94,654	96,969	88,757	0*	100,384	89,592	0*
Discharge and Diversion Services	125,000	125,000	131,250	125,998	124,787	131,250	120,074	119,073
Community Forensic Services	0	0	0	0	241,971	0	0	243,075

Pmts to Other Than Local Governments

Grants for Substance Abuse Services	118,476	118,626	121,201	114,573	86,550	124,716	114,573	58,523
Grants for Mental Health Services	5,666,060	5,644,810	5,767,303	5,673,141	4,573,347	5,934,555	5,673,141	3,473,554
<b>TOTAL-General Fund</b>	<b>20,110,325</b>	<b>20,128,113</b>	<b>21,222,484</b>	<b>19,636,873</b>	<b>18,857,256</b>	<b>22,146,179</b>	<b>20,317,630</b>	<b>18,370,800</b>

Additional Funds Available

Private Funds	-21,249	100,000	100,000	100,000	100,000	100,000	100,000	100,000
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**Federal Contributions**

93003 Public Health & Social Services Emer	40,048	86,000	60,000	60,000	60,000	60,000	60,000	60,000
93958 Block Grants for Community Mental Health	1,647,791	1,543,154	1,543,154	1,543,154	1,543,154	1,543,154	1,543,154	1,543,154
93959 Block Grants for Prevention & Treatment	56,239	56,239	56,239	56,239	56,239	56,239	56,239	56,239
<b>TOTAL - All Funds</b>	<b>21,833,154</b>	<b>21,913,506</b>	<b>22,981,877</b>	<b>21,396,266</b>	<b>20,616,649</b>	<b>23,905,572</b>	<b>22,077,023</b>	<b>20,130,193</b>

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**OUTPATIENT SERVICES**

**Statutory Reference**

C.G.S. Sections 17a-450, 17a-453a, 17a-476, 17a-676.

**Statement of Need and Program Objectives**

To improve or maintain the psychological functioning of adults who require ongoing clinical treatment through individual or group and family therapy and medication support when necessary.

**Program Description**

Health professionals evaluate, diagnose and treat individuals or families through medication and regularly scheduled therapy visits as needed. Treatment helps to improve or sustain the level of functioning of adults who might otherwise require hospitalization.

Outpatient services also focus on the special needs of the communities in which they are located to best serve persons who are elderly, are members of minorities, are poor or are persons with prior hospitalizations. The treatment plan is developed by the service recipient and therapist and is tailored to the recipient's needs. Outpatient treatment (including prescription and monitoring of medication) for persons with prolonged mental illness may be of an extended duration. Service types include traditional outpatient services, intake and evaluation, treatment for problem gambling, partial hospitalization, intensive outpatient, ambulatory detoxification and methadone maintenance.

<b>Program Measure</b>	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
MH Outpatient				
Unduplicated clients		42,474	48,845	54,707
MH Intensive Outpatient Services				
Unduplicated clients	543	624	718	804
Methadone Maintenance				
Number of Slots	7,891	9,075	10,436	

Clients Served	11,906	13,692	15,746	17,635
Ambulatory Drug Detox				
Number of Slots	83	95	110	123
Clients Served	128	147	169	190
Addiction Outpatient SO and PNP				
Number of Slots	4,543	5,224	6,008	6,729
Clients Served	19,893	22,877	26,308	29,466
Addiction Standard IOP				
Number of Slots	223	256	295	330
Clients Served	3,313	3,810	4,381	4,907
Addiction PHP				
Number of Slots	100	115	132	148
Clients Served	1,133	1,303	1,498	1,678

**OUTPATIENT****Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	350	20	0	370	370	370	370	370
Federal and Other Activities	0	0	4	4	5	4	5	4

**Financial Summary**

(Net of Reimbursements)	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	34,023,299	30,523,832	35,321,667	31,094,176	31,030,285	37,106,577	32,711,801	32,646,821
Other Expenses	3,983,573	3,744,003	3,924,820	3,937,546	5,566,393	4,080,165	4,093,045	5,564,316

**Other Current Expenses**

Housing Supports and Services	1,000	1,000	1,000	995	930	1,000	997	959
Managed Service System	6,200,866	6,191,666	6,618,939	6,360,143	7,944,212	6,618,939	6,358,900	7,939,483
Connecticut Mental Health Center	4,386,572	4,386,572	4,386,572	4,386,572	3,708,406	4,386,572	4,386,572	3,708,406
Professional Services	2,300,200	1,666,808	1,666,808	1,685,408	0*	1,666,808	1,710,801	0*
Behavioral Health for Low-Income Adults	65,004,719	71,868,384	73,608,712	81,170,235	81,170,186	81,816,576	106,143,340	106,143,222
Young Adult Services	3,627,809	4,127,807	4,298,329	4,234,097	4,214,869	4,298,335	4,212,366	4,180,057
TBI Community Services	64,893	63,390	65,894	60,763	60,482	70,347	65,388	65,114
Behavioral Health Medications	176,687	173,081	180,645	180,712	0*	188,543	188,460	0*
Discharge and Diversion Services	478,654	478,653	490,777	471,137	466,612	490,777	448,990	445,243
Home and Community Based Services	286,963	336,551	337,956	272,655	272,493	337,956	275,937	275,649

**Pmts to Other Than Local Governments**

Grants for Substance Abuse Services	10,705,638	10,719,298	10,951,913	10,352,975	7,820,621	11,269,486	10,352,975	5,288,268
Grants for Mental Health Services	16,189,392	16,128,677	16,478,669	16,209,624	13,067,232	16,956,550	16,209,624	9,924,839
<b>TOTAL-General Fund</b>	<b>147,430,265</b>	<b>150,409,722</b>	<b>158,332,701</b>	<b>160,417,038</b>	<b>155,322,721</b>	<b>169,288,631</b>	<b>187,159,196</b>	<b>176,182,377</b>

**Additional Funds Available**

Private Funds	2,485,533	2,737,720	2,750,000	0	0	2,750,000	0	0
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**Federal Contributions**

CT SBIRT Program	80,000	340,100	340,100	340,100	340,100	340,100	340,100	340,100
93243 Substance Abuse & Mental Health Services	748	298,889	399,967	399,967	399,967	399,980	399,980	399,980
93275 Substance Abuse & Mental Health Services	3,505,577	3,119,352	3,173,956	3,173,956	3,173,956	1,109,594	1,109,594	1,109,594
93667 Social Services Block Grant	361,560	361,560	361,560	361,560	361,560	361,560	361,560	361,560
93958 Block Grants for Community Mental Health	635,577	635,587	635,587	635,587	635,587	635,587	635,587	635,587
93959 Block Grants for Prevention & Treatment	4,862,998	4,973,919	4,973,919	4,973,919	4,973,919	4,973,919	4,973,919	4,973,919
<b>TOTAL - All Funds</b>	<b>159,362,258</b>	<b>162,876,849</b>	<b>170,967,790</b>	<b>170,302,127</b>	<b>165,207,810</b>	<b>179,859,371</b>	<b>194,979,936</b>	<b>184,003,117</b>

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**SPECIAL PROGRAMS**

**Statutory Reference**

C.G.S. Sections 17a-450, 17a-453d, 17a-468b, 17a-476, 17a-486, 17a-560, 17a-576.

**Statement of Need and Program Objectives**

This subprogram consists of several distinct services serving different populations.

- For individuals suspected of being not guilty by reason of insanity, programs assist the courts in diagnosing the mental status of individuals being processed through the criminal justice system and provide ongoing psychiatric care and treatment.
- For individuals who are deaf or hearing impaired suffering from mental illness, the department operates diagnostic outpatient treatment services with staff specially trained in treating these individuals.
- Specialized mental health services are provided for young adults at the level of service required.
- For individuals with Acquired/Traumatic Brain Injury (ABI/TBI) upon discharge from DMHAS facilities, appropriate community-based services are provided to avoid unnecessary inpatient admissions.
- To reduce incarceration of persons with behavioral health disorders, the agency provides courts with clinical alternatives to incarceration when appropriate.
- Behavioral health services are provided to returning veterans and their families.
- Specialized programs are provided to assist individuals with psychiatric disabilities to move from nursing homes into community-based services.

**Program Description**

Home and Community-Based Waiver Services allow the state to furnish an array of home and community-based services that assist Medicaid beneficiaries to live in the community and avoid institutional care. Waiver services complement and/or supplement services available to participants through the Medicaid state plan and other federal, state and local public programs as well as natural supports that families and communities provide.

The Military Support Program (MSP) provides an array of behavioral health services to Connecticut's veterans, citizen soldiers and their family members. The central feature of the MSP program is a statewide panel of over 425 licensed clinicians who provide free,

confidential outpatient counseling services to veterans, National Guard and Reserve members and their families (spouses, children, parents, siblings, significant others). MSP services are accessed through a 24/7 toll-free call center.

Forensic Services are provided through court clinics located in New Haven, Bridgeport, Newington and Norwich. The service recipient and therapist design the treatment plan for outpatient services which is tailored to the service recipient's needs. Outpatient treatment (including prescriptions and monitoring of medication) for persons with prolonged mental illness may be of an extended duration. Service types include traditional outpatient services, intake and evaluation, treatment for compulsive gambling, partial hospitalization, intensive outpatient, ambulatory detoxification and chemical maintenance. Court clinics are responsible for assessments required by statute. In addition, court clinics provide consultations to public defenders, judges, and criminal justice and correctional personnel as well as instruction and supervision to medical students, residents, and social work, nursing students. Forensic psychiatrists are employed for the purpose of providing expert advice to DMHAS about competency restoration and services for insanity acquittees and other high-risk individuals with severe mental illness.

Deaf and Hearing Impaired Outpatient Services are provided by specially trained staff. In addition, the agency trains professionals to provide services, operates a network of consultative services and develops intermediate care programs and housing for individuals who have been discharged from state hospitals.

Young Adult Services are provided to young adults who may have been referred from the Department of Children and Families (DCF) and, per a clinical assessment, have been determined to require ongoing mental health services. These services may be provided in residential or outpatient settings and may include intensive supervision.

Community Services for people with ABI/TBI consist primarily of case management services and residential supports and are closely linked to the neuropsychiatry service at Connecticut Valley Hospital and in coordination with the ABI services of the Department of Social Services.

Jail Diversion Programs are provided statewide to all geographical area courts. These programs are provided on-site at the court to identify, diagnose, refer into treatment and monitor defendants with behavioral health treatment needs, thus reducing the need for incarceration and facilitating access to treatment.

<b>Program Measure</b>	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
Special Populations/Transitional Youth				
Unduplicated Clients	1,034	1,086	1,140	1,197

**SPECIAL PROGRAMS**

**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
General Fund	351	28	1	380	380	414	380	414
Federal and Other Activities	1	1	1	3	3	3	3	3

**Financial Summary**

<b>(Net of Reimbursements)</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	2,741,020	3,069,417	3,552,726	3,127,516	3,121,090	3,753,798	3,309,211	3,302,637
Other Expenses	109,890	3,180,821	3,364,632	3,375,542	3,983,319	3,500,989	3,512,041	3,984,440

Other Current Expenses

Managed Service System	711,557	821,429	826,239	793,934	991,672	834,847	802,048	1,001,407
Connecticut Mental Health Center	512,928	512,928	512,928	512,928	433,629	512,928	512,928	433,629
Professional Services	788,650	788,701	788,701	797,502	0*	788,701	809,517	0*
Young Adult Services	28,219,854	30,138,827	32,076,715	31,597,379	31,453,885	34,655,106	33,961,988	33,701,492
TBI Community Services	2,044,118	2,095,929	2,380,173	2,194,885	2,184,666	2,476,127	2,301,565	2,291,935
Jail Diversion	3,804,113	3,783,313	3,932,990	3,764,715	0*	4,037,554	3,872,669	0*
Prison Overcrowding	3,637,335	3,490,280	3,548,404	3,247,885	0*	3,648,450	3,256,220	0*
Discharge and Diversion Services	335,059	335,059	335,059	321,650	318,561	335,059	306,531	303,972
Persistent Violent Felony Offenders Act	668,167	671,701	684,919	675,235	0*	684,919	675,235	0*
Community Forensic Services	0	0	0	0	7,560,081	0	0	7,670,688
TOTAL-General Fund	43,572,691	48,888,405	52,003,486	50,409,171	50,046,903	55,228,478	53,319,953	52,690,200
<u>Additional Funds Available</u>								
Private Funds	3,474,920	4,592,324	4,947,896	4,947,896	4,947,896	4,947,896	4,947,896	4,947,896
<b>Federal Contributions</b>								
93230 Consolidated Knowledge Development/Application Pgm	1	0	0	0	0	0	0	0
93243 Substance Abuse & Mental Health Services	219,168	222,818	285,772	285,772	285,772	0	0	0
TOTAL - All Funds	47,266,780	53,703,547	57,237,154	55,642,839	55,280,571	60,176,374	58,267,849	57,638,096

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

## RESIDENTIAL TREATMENT

**Statutory Reference**

C.G.S. Sections 17a-476, 17a-453a, 17a-485i, 17a-676.

**Statement of Need and Program Objectives**

To promote the recovery of adults with severe and prolonged mental illnesses and or addiction disorders by ensuring that clean, safe and affordable living environments are available for learning and re-learning rehabilitative skills necessary for independent living.

**Program Description**

Mental health residential services offer a wide variety of housing opportunities, including group homes, family-style community residences, supervised and supported apartment programs and transitional residential programs. Some individuals may need support for an extended period while others can live independently after shorter periods of rehabilitated supports. Services, which vary in intensity with the independence of the living environment, include

staff supervision, counseling and follow-up support to assure that residents receive needed community support and psychiatric services.

Substance abuse residential services offer a range of treatment and ongoing living opportunities. Residential detoxification programs offer medical management of the withdrawal from alcohol and drugs. Substance abuse counseling is an integral part of the daily treatment process. Residential rehabilitation programs offer recovery-oriented treatment services in a structured, therapeutic environment for individuals who require supports in order to maintain a drug free lifestyle. Services include recovery houses, halfway houses and residential drug-free programs, including a range of intensive residential programs. The range of services represents a continuum of care that affords an individual a progression through appropriate levels of care.

<b>Program Measure</b>	<b>FY 2012 Actual</b>	<b>FY 2013 Estimated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>
Group Homes				
Beds	198	198	198	198
Unduplicated clients	259	259	259	259
Community Based Residential Rehabilitation: PNP				
Number of Beds	1,510	1,510	1,510	1,510
Clients Served	6,955	7,998	9,198	10,302
Residential Detoxification: PNP				
Number of Beds	125	125	125	125
Clients Served	6,968	8,013	9,215	10,321
Long Term Care 3.3				
Number of Beds	50	50	50	50
Clients Served	172	198	227	255

Budget-in-Detail

**RESIDENTIAL TREATMENT**

**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions	37	7	0	44	44	44	44	44
General Fund								

**Financial Summary**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	4,648,071	3,241,176	3,849,783	3,389,020	3,382,056	4,061,904	3,580,826	3,573,713
Other Expenses	99,619	379,813	400,136	401,433	380,390	415,395	416,706	379,649

Other Current Expenses

Managed Service System	4,479,040	4,477,121	4,700,977	4,517,172	5,642,227	4,700,977	4,516,290	5,638,868
Professional Services	473	473	473	478	0*	473	485	0*
Behavioral Health for Low-Income Adults	29,905,517	30,241,681	33,156,744	36,562,801	36,562,779	36,349,977	47,158,023	47,157,971
Young Adult Services	584,358	1,114,840	3,543,659	3,490,705	3,474,852	5,932,639	5,813,983	5,769,389
TBI Community Services	7,913,294	10,913,294	12,994,959	11,983,346	11,927,557	14,680,959	13,645,979	13,588,883
Jail Diversion	39,000	39,000	40,950	39,198	0*	40,950	39,278	0*
Prison Overcrowding	40,000	40,000	42,000	38,443	0*	42,000	37,485	0*
Medicaid Adult Rehabilitation Option	3,963,349	4,783,262	5,137,901	4,803,175	4,803,175	5,436,683	4,803,175	4,803,175
Discharge and Diversion Services	1,416,205	4,733,274	6,754,085	6,483,797	6,421,524	8,704,085	7,962,983	7,896,521
Community Forensic Services	0	0	0	0	76,307	0	0	75,401

Pmts to Other Than Local Governments

Grants for Substance Abuse Services	6,845,312	6,854,046	7,002,783	6,619,815	5,000,598	7,205,843	6,619,815	3,381,381
Grants for Mental Health Services	6,388,114	6,364,157	6,502,259	6,396,098	5,156,152	6,690,825	6,396,098	3,916,207
TOTAL-General Fund	66,322,352	73,182,137	84,126,709	84,725,481	82,827,617	94,262,710	100,991,126	96,181,158

Additional Funds Available

Private Funds	10,008,186	9,821,319	9,821,319	9,821,319	9,821,319	9,821,319	9,821,319	9,821,319
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**Federal Contributions**

93667 Social Services Block Grant	986,750	804,568	804,568	804,568	804,568	804,568	804,568	804,568
93959 Block Grants for Prevention & Treatment	4,497,796	4,676,568	4,676,568	4,676,568	4,676,568	4,676,568	4,676,568	4,676,568
TOTAL - All Funds	81,815,084	88,484,592	99,429,164	100,027,936	98,130,072	109,565,165	116,293,581	111,483,613

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**HOUSING**

**Statutory Reference**

C.G.S. Sections 17a-453a, 17a-476, 17a-485f, 17a-485i, 17a-676.

**Statement of Need and Program Objectives**

To promote the recovery of adults suffering from severe and prolonged mental illnesses and/or substance use disorders by ensuring that recovery-oriented support services are available to

support individuals through their recovery process. By successfully engaging individuals in a variety of community-based support services, individuals increase their ability to plan and manage their lives. These services include supervised and supported housing, the PILOTS Supportive Housing program, the Next Steps Supportive Housing Collaborative, the federally-funded Shelter Plus Care program, recovery houses and sober housing.

<b>Program Measure</b>	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
<b>Shelters</b>				
DMHAS funded shelters	6	6	6	6
Static Capacity (point in time)	206	206	206	206
Clients Served	826	826	826	826
<b>Mental Health Supervised Apartments</b>				
Beds/Capacity	596	626	657	690
Unduplicated clients	832	874	917	963
<b>Mental Health Supportive Housing (Case Management)</b>				
Capacity	1,798	1,888	1,982	2,081
Unduplicated clients	2,010	2,111	2,216	2,327

**HOUSING****Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	31	2	0	33	33	33	33	33

**Financial Summary**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	2,362,737	1,373,569	1,630,260	1,435,142	1,432,193	1,710,927	1,508,291	1,505,295
Other Expenses	185,222	378,369	398,615	399,908	378,473	413,817	415,123	377,735

**Other Current Expenses**

Housing Supports and Services	13,810,007	15,699,097	16,419,476	16,345,490	15,264,399	16,419,476	16,366,981	15,746,459
Managed Service System	15,004,362	14,985,178	15,724,187	15,109,382	18,872,553	15,724,187	15,106,430	18,861,318
Connecticut Mental Health Center	197,545	197,545	197,545	197,545	167,004	197,545	197,545	167,004
Behavioral Health for Low-Income Adults	2,744,059	2,827,176	2,855,448	3,148,777	3,148,775	2,855,448	3,704,467	3,704,463
Young Adult Services	14,539,429	15,766,110	18,001,646	17,732,640	17,652,110	19,614,223	19,221,929	19,074,493
TBI Community Services	590,000	590,000	619,500	571,274	568,614	619,500	575,826	573,417
Jail Diversion	61,974	61,972	65,071	62,287	0*	65,071	62,414	0*
Prison Overcrowding	775,442	1,033,515	1,205,587	1,103,484	0*	1,325,587	1,183,079	0*
Discharge and Diversion Services	6,606,046	6,831,130	8,662,682	8,316,015	8,236,144	10,162,682	9,297,389	9,219,789
Home and Community Based Services	242,000	845,560	1,194,680	963,840	963,268	1,448,430	1,182,625	1,181,390
Community Forensic Services	0	0	0	0	1,162,850	0	0	1,241,398

**Pmts to Other Than Local Governments**

Grants for Substance Abuse Services	1,894,764	1,897,182	1,938,352	1,832,347	1,384,152	1,994,558	1,832,347	935,957
Grants for Mental Health Services	19,391,128	19,318,406	19,737,615	19,415,362	15,651,506	20,310,006	19,415,362	11,887,650
<b>TOTAL-General Fund</b>	<b>78,404,715</b>	<b>81,804,809</b>	<b>88,650,664</b>	<b>86,633,493</b>	<b>84,882,041</b>	<b>92,861,457</b>	<b>90,069,808</b>	<b>84,476,368</b>

**Additional Funds Available**

Private Funds	1,595,087	1,587,021	1,587,021	1,587,021	1,587,021	1,587,021	1,587,021	1,587,021
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**Federal Contributions**

14235 Supportive Housing Program	1,231,826	1,459,124	1,492,197	1,492,197	1,492,197	1,511,197	1,511,197	1,511,197
14238 Shelter Plus Care	7,096,825	7,309,918	8,017,228	8,017,228	8,017,228	8,368,300	8,368,300	0
17258 WIA Adult Program	509,126	495,405	520,000	520,000	520,000	557,000	557,000	0
20600 State & Community Highway Safety	25,984	0	0	0	0	0	0	0
27011 Intergovernmental Personnel Act (IPA)	59,753	54,493	61,000	61,000	61,000	65,000	65,000	0
42000 SPC Hartford Continuum-TRA	1,693,289	1,698,495	1,700,000	1,700,000	1,700,000	735,000	735,000	0
47076 Education & Human Resources	494,447	528,459	470,000	470,000	470,000	480,000	480,000	0
84165 Magnet Schools Assistance	90,630	173,712	180,000	180,000	180,000	190,000	190,000	0
84200 Graduate Assistance in Areas of National Need	379,460	375,772	450,000	450,000	450,000	480,000	480,000	0
84373 Special Education_Technical Assistance on State Da	7,478	7,478	8,000	8,000	8,000	8,100	8,100	0
90401 Help America Vote Act Requirements Payments	187,061	210,253	215,000	215,000	215,000	220,000	220,000	0
93243 Substance Abuse & Mental Health Services	253,420	278,736	563,805	563,805	563,805	563,805	563,805	563,805
93667 Social Services Block Grant	410,870	457,663	457,663	457,663	457,663	457,663	457,663	457,663
93855 Allergy, Immunology & Transplantation	35,080	35,071	0	0	0	0	0	0
93859 Biomedical Research & Research Training	55,751	0	0	0	0	0	0	0
93925 Scholarships for Health Professions St	23,934	25,675	27,000	27,000	27,000	30,000	30,000	0
93958 Block Grants for Community Mental Health	108,504	108,514	108,514	108,514	108,514	108,514	108,514	108,514
93959 Block Grants for Prevention & Treatment	824,043	824,043	824,043	824,043	824,043	824,043	824,043	824,043
<b>TOTAL - All Funds</b>	<b>93,487,283</b>	<b>97,434,641</b>	<b>105,332,135</b>	<b>103,314,964</b>	<b>101,563,512</b>	<b>109,047,100</b>	<b>106,255,451</b>	<b>89,528,611</b>

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**INPATIENT HOSPITAL SERVICES**

**Statutory Reference**

C.G.S. Sections 17a-458, 17a-560-576 and 17a-635(4).

**Statement of Need and Program Objectives**

To reduce acute psychiatric symptoms and improve the level of functioning of adults gravely disabled by mental illness and those dangerous to self or others, in order to enable them to live in a less restrictive treatment setting through the provision of inpatient treatment programs.

To promote recovery and reduce negative consequences associated with alcohol and drug use and abuse by increasing the number of individuals seeking care, increasing family and significant others participation in treatment, and reducing drug and alcohol abuse through the funding of a range of direct care services.

To protect the individual and society by operating a maximum-security facility that provides tertiary-level psychiatric care and treatment of adults with forensic involvement who have presented diagnostic and/or severe behavioral management problems for other DMHAS or Department of Correction facilities.

To assist the courts in diagnosing the mental status of individuals being processed through the criminal justice system and to provide ongoing psychiatric care and treatment to individuals found not competent to stand trial or not guilty by reason of insanity.

**Program Description**

There are three components of inpatient hospital services: Mental Health Inpatient Services; Substance Abuse Inpatient Services and Forensic Inpatient Services.

**INPATIENT SERVICES**

**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
General Fund	1,505	131	0	1,636	1,636	1,646	1,636	1,646

**Financial Summary**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
(Net of Reimbursements)								
Personal Services	144,553,943	137,326,138	165,056,744	154,543,785	154,384,901	174,292,368	162,795,276	162,625,283
Other Expenses	1,635,045	15,493,705	16,298,422	16,342,839	17,733,257	16,907,530	16,952,696	17,787,052
<u>Other Current Expenses</u>								
Managed Service System	1,457,818	1,457,816	1,457,816	1,400,816	1,749,706	1,457,816	1,400,543	1,748,665
Connecticut Mental Health Center	784,085	784,085	784,085	784,085	662,865	784,085	784,085	662,865
Professional Services	1,904,097	1,903,782	1,903,772	1,925,016	0*	1,903,762	1,954,008	0*
Behavioral Health for Low-Income Adults	55,789,111	62,120,696	62,117,765	68,498,870	68,498,829	68,329,546	88,646,172	88,646,073
Young Adult Services	41,473	229,308	236,557	233,022	231,964	249,317	244,331	242,456
Behavioral Health Medications	223,237	223,245	233,001	233,087	0*	243,183	243,075	0*
Discharge and Diversion Services	915,000	1,076,428	1,472,178	1,413,264	1,399,690	1,822,178	1,667,030	1,653,116
<u>Pmts to Other Than Local Governments</u>								
Grants for Substance Abuse Services	13,265	13,282	13,570	12,828	9,690	13,964	12,828	6,553
Grants for Mental Health Services	1,046,665	1,042,740	1,065,367	1,047,973	844,813	1,096,263	1,047,973	641,654
TOTAL-General Fund	208,363,739	221,671,225	250,639,277	246,435,585	245,515,715	267,100,012	275,748,017	274,013,717
<u>Additional Funds Available</u>								
Private Funds	2,780	0	0	0	0	0	0	0
TOTAL - All Funds	208,366,519	221,671,225	250,639,277	246,435,585	245,515,715	267,100,012	275,748,017	274,013,717

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**MENTAL HEALTH INPATIENT SERVICES**

**Statutory Reference**

C.G.S. Section 17a-458.

**Statement of Need and Program Objectives**

To improve the mental health (level of functioning) of adults gravely disabled by mental illness and those dangerous to self or others in order to enable them to live in a less restrictive treatment setting through the provision of inpatient treatment programs.

**Program Description**

There are three DMHAS facilities offering inpatient mental health services (Connecticut Valley Hospital (CVH), Connecticut Mental

Health Center and Greater Bridgeport Community Mental Health Center), each providing services to a wide range of psychiatrically disabled adults. CVH provides specialized services to individuals involved with the criminal justice system. In addition, some acute care services are provided under contract by general hospitals. All DMHAS inpatient facilities provide a range of therapeutic programs designed to meet the treatment needs of adults in the most cost-effective manner possible. Specialty services include geriatrics, traumatic/acquired brain injury, cognitive rehabilitation and dialectical behavior training.

<b>Program Measure</b>	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
Southwest Connecticut Mental Health System - Bridgeport Mental Health Division				
Beds		42	42	42
Average Daily Census	61.2	61.2	61.2	61.2
Admissions	84	84		84
Discharges	83	83	83	83
Unduplicated Clients	122	122	122	122
Connecticut Mental Health Center				
Beds	32	32	32	32
Average Daily Census	26.8	26.8	26.8	26.8
Admissions	236	236	236	236
Discharges	233	233	233	233
Unduplicated Clients	191	191	191	191
Connecticut Valley Hospital - Mental Health Division				
Beds	185	185	185	185
Average Daily Census	230.8	230.8	230.8	230.8
Admissions	132	132	132	132
Discharges	210	210	210	210
Unduplicated Clients	344	344	344	344

**MENTAL HEALTH INPATIENT****Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
General Fund	571	60	0	631	631	641	631	641

**Financial Summary**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
(Net of Reimbursements)								
Personal Services	57,049,317	55,279,858	66,458,837	67,746,617	67,766,080	70,265,963	71,089,403	71,101,578
Other Expenses	742,126	6,722,491	7,041,651	7,056,053	7,964,530	7,292,862	7,307,676	8,030,997
<u>Other Current Expenses</u>								
Managed Service System	1,457,818	1,457,816	1,457,816	1,400,816	1,749,706	1,457,816	1,400,543	1,748,665
Connecticut Mental Health Center	784,085	784,085	784,085	784,085	662,865	784,085	784,085	662,865
Professional Services	1,147,638	1,147,323	1,147,313	1,160,116	0*	1,147,303	1,177,584	0*
Behavioral Health for Low-Income Adults	47,969,571	54,324,979	53,542,477	59,042,678	59,042,642	58,896,728	76,408,666	76,408,581
Young Adult Services	41,473	229,308	236,557	233,022	231,964	249,317	244,331	242,456
Discharge and Diversion Services	915,000	1,076,428	1,472,178	1,413,264	1,399,690	1,822,178	1,667,030	1,653,116
<u>Pmts to Other Than Local Governments</u>								
Grants for Mental Health Services	1,046,665	1,042,740	1,065,367	1,047,973	844,813	1,096,263	1,047,973	641,654
TOTAL-General Fund	111,153,693	122,065,028	133,206,281	139,884,624	139,662,290	143,012,515	161,127,291	160,489,912
<u>Additional Funds Available</u>								
Private Funds	2,780	0	0	0	0	0	0	0
TOTAL - All Funds	111,156,473	122,065,028	133,206,281	139,884,624	139,662,290	143,012,515	161,127,291	160,489,912

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**FORENSIC INPATIENT SERVICES****Statutory Reference**

C.G.S. Sections 17a-560 through 17a-576.

**Statement of Need and Program Objectives**

To protect the individual and society by operating a maximum-security hospital that provides tertiary-level psychiatric care and treatment of adults with forensic involvement who have presented diagnostic and/or severe behavioral management problems for other DMHAS facilities or Department of Correction facilities.

To perform court ordered evaluations of adults with forensic involvement. To provide ongoing psychiatric care and treatment to individuals found not guilty by reason of insanity.

**Program Description**

The Whiting Forensic Division of the Connecticut Valley Hospital consists of the state's sole maximum-security hospital, as well as intermediate level inpatient services. The division accepts referrals of men and women 18 years of age or older from both psychiatric and correctional institutions, as well as persons committed by the

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superior court for evaluation and treatment. Services provided include psychiatric and nursing care, occupational therapy, education services, vocational assessment and recreational activities. The

division also provides assessments of dangerous offenders, research, teaching and training services in the field of forensic psychiatry.

<b>Program Measure</b>	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
Connecticut Valley Hospital - Forensic Division				
Beds	232	232	232	232
Average Daily Census	210.6	210.6	210.6	210.6
Admissions	232	232	232	232
Discharges	224	224	224	224
Unduplicated Clients	425	425	425	425

**FORENSIC INPATIENT**

**Personnel Summary**

Permanent Full-Time Positions	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	609	48	0	657	657	657	657	657

**Financial Summary**

(Net of Reimbursements)	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	59,340,284	54,469,741	65,541,744	57,697,348	57,578,794	69,160,073	60,968,990	60,847,879
Other Expenses	196,031	5,975,722	6,305,286	6,325,731	6,078,525	6,548,696	6,569,369	6,069,552
<u>Other Current Expenses</u>								
Professional Services	91,850	91,850	91,850	92,875	0*	91,850	94,274	0*
TOTAL-General Fund	59,628,165	60,537,313	71,938,880	64,115,954	63,657,319	75,800,619	67,632,633	66,917,431

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**SUBSTANCE ABUSE INPATIENT**

**Statutory Reference**

C.G.S. Section 17a-635(4).

**Statement of Need and Program Objectives**

To reduce the negative consequences associated with alcohol and other drugs by reducing alcohol and other drug abuse through a system that is responsive to the individual's needs, increasing the number of individuals seeking care, increasing the participation of family and significant others in treatment, and funding a range of direct care services that are responsive to individuals' varying levels of dysfunction.

**Program Description**

Treatment and rehabilitation is provided for individuals, regardless of ability to pay, through the direct operation by DMHAS of substance abuse inpatient beds. There are two primary treatment settings:

Residential Medically Managed Detoxification Services involve 24-hour medically-directed evaluation, care and treatment of adults with substance use disorders, within a medically managed inpatient setting that includes 24-hour physician and nursing coverage.

Intensive Medically Monitored Inpatient Treatment Services offer an organized service, staffed by designated addiction treatment personnel, including physicians, that provides a planned regimen of 24-hour professionally directed evaluation, care and treatment in an inpatient setting. These services are provided to adults whose sub-acute biomedical and emotional/behavioral problems are sufficiently severe to require inpatient care.

<b>Program Measure</b>	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
Blue Hills Campus				
Number of Beds (Detox and Rehab)	42	42	42	42
Patient Days (Detox and Rehab)	13,342	13,342		13,342
Unduplicated Clients (Detox and Rehab)	1,206	1,206	1,206	1,206
Utilization Ratio (%)	86.8	86.8	86.8	86.8
Connecticut Valley Hospital - Addiction Services Division				
Number of Beds (Detox and Rehab)	110	110	110	110
Patient Days (Detox and Rehab)	32,701	32,701	32,701	32,701
Unduplicated Clients (Detox and Rehab)	1,447	1,447	1,447	1,447
Utilization Ratio (%)	81.92	81.92	81.92	81.92

SUBSTANCE ABUSE INPATIENT**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	325	23	0	348	348	348	348	348

**Financial Summary****(Net of Reimbursements)**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	28,164,342	27,576,539	33,056,163	29,099,820	29,040,027	34,866,332	30,736,883	30,675,826
Other Expenses	696,888	2,795,492	2,951,485	2,961,055	3,690,202	3,065,972	3,075,651	3,686,503
<u>Other Current Expenses</u>								
Professional Services	664,609	664,609	664,609	672,025	0*	664,609	682,150	0*
Behavioral Health for Low-Income Adults	7,819,540	7,795,717	8,575,288	9,456,192	9,456,187	9,432,818	12,237,506	12,237,492
Behavioral Health Medications	223,237	223,245	233,001	233,087	0*	243,183	243,075	0*
<u>Pmts to Other Than Local Governments</u>								
Grants for Substance Abuse Services	13,265	13,282	13,570	12,828	9,690	13,964	12,828	6,553
TOTAL-General Fund	37,581,881	39,068,884	45,494,116	42,435,007	42,196,106	48,286,878	46,988,093	46,606,374

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

RECOVERY SUPPORT SERVICES**Statutory Reference**

C.G.S. Sections 17a-450, 17a-453a, 17a-476, 17a-484b, 17a-485i, 17a-676.

**Statement of Need and Program Objectives**

Mental health and substance abuse recovery support services offer a wide variety of community-based services that are designed to assist individuals in sustaining their recovery and achieving satisfactory levels of quality of life.

**Program Description**

A wide variety of specifically designed case management programs are available to individuals depending on their specific needs.

Overall, case managers ensure that individuals are actively involved in the development of a comprehensive service plan that identifies his/her needs and goals and matches them with community-based recovery support services designed to achieve these goals. Rehabilitation services, such as vocational, social and peer-to-peer rehabilitation support services offer individuals opportunities to learn social, vocational and educational skills necessary for independent living. Peer engagement services offer consumers/individuals in recovery the opportunity to engage other consumers/individuals in recovery in understanding their issues and their local systems of care.

RECOVERY SUPPORT SERVICES**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	284	30	0	314	314	314	314	314
Federal and Other Activities	0	0	2	2	2	2	2	2

**Financial Summary****(Net of Reimbursements)**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	22,925,549	25,199,610	27,889,026	24,551,114	24,500,667	29,325,973	25,852,706	25,801,350
Other Expenses	810,438	3,169,515	3,350,670	3,361,534	3,283,594	3,485,270	3,496,272	3,283,619
<u>Other Current Expenses</u>								
Housing Supports and Services	435,581	435,594	445,104	443,098	413,792	445,104	443,681	426,860
Managed Service System	7,142,544	8,097,181	8,454,763	8,124,187	10,147,613	8,459,804	8,127,443	10,147,619
Connecticut Mental Health Center	326,779	326,779	326,779	326,779	276,259	326,779	326,779	276,259
Professional Services	99,586	99,586	99,586	100,697	0*	99,586	102,214	0*
Behavioral Health for Low-Income Adults	4,162,434	4,779,152	4,832,333	5,328,738	5,328,736	4,838,908	6,277,675	6,277,668
Nursing Home Screening	622,784	622,784	622,784	622,784	591,645	622,784	622,784	591,645
Young Adult Services	9,429,077	10,017,218	10,394,962	10,239,626	10,193,124	10,404,229	10,196,139	10,117,933
TBI Community Services	381,186	590,000	590,000	544,071	541,538	590,000	548,406	546,112
Jail Diversion	29,906	29,940	111,437	106,669	0*	111,437	106,886	0*
Behavioral Health Medications	2,659	2,650	2,766	2,767	0*	2,887	2,886	0*
Prison Overcrowding	1,494,605	1,696,444	2,151,230	1,969,039	0*	2,231,241	1,991,369	0*

**Budget-in-Detail**

Discharge and Diversion Services	346,108	346,105	363,405	348,862	345,512	363,405	332,464	329,688
Home and Community Based Services	2,563,732	7,226,327	13,023,996	10,507,455	10,501,222	17,931,996	14,641,254	14,625,961
Community Forensic Services	0	0	0	0	2,070,645	0	0	2,091,305
<i>Pmts to Other Than Local Governments</i>								
Grants for Substance Abuse Services	3,577,677	3,582,259	3,659,996	3,459,838	2,613,556	3,766,125	3,459,838	1,767,275
Grants for Mental Health Services	26,961,667	26,860,617	27,443,492	26,995,426	21,762,102	28,239,353	26,995,426	16,528,776
Employment Opportunities	10,417,739	10,470,087	10,697,288	10,522,428	10,522,428	11,007,509	10,522,428	10,522,428
<b>TOTAL-General Fund</b>	<b>91,730,051</b>	<b>103,551,848</b>	<b>114,459,617</b>	<b>107,555,112</b>	<b>103,092,433</b>	<b>122,252,390</b>	<b>114,046,650</b>	<b>103,334,498</b>
<i>Additional Funds Available</i>								
Private Funds	1,282,745	877,312	632,574	20,000	20,000	632,574	20,000	20,000
<b>Federal Contributions</b>								
14235 Supportive Housing Program	91,599	100,887	100,887	100,887	100,887	100,887	100,887	100,887
14238 Shelter Plus Care	69,361	10,800	15,200	0	0	17,440	0	0
93150 Projects for Assistance in Transition	861,333	847,230	850,980	850,980	850,980	850,980	850,980	850,980
93275 Substance Abuse & Mental Health Services	69,612	77,900	78,400	78,400	78,400	43,594	43,594	43,594
93667 Social Services Block Grant	88,078	53,189	53,189	53,189	53,189	53,189	53,189	53,189
93925 Scholarships for Health Professions St	1,752	0	0	0	0	0	0	0
93958 Block Grants for Community Mental Health	1,647,955	496,539	496,539	496,539	496,539	496,539	496,539	496,539
93959 Block Grants for Prevention & Treatment	2,874,711	2,807,556	2,807,556	2,807,556	2,807,556	2,807,556	2,807,556	2,807,556
<b>TOTAL - All Funds</b>	<b>98,717,197</b>	<b>108,823,261</b>	<b>119,494,942</b>	<b>111,962,663</b>	<b>107,499,984</b>	<b>127,255,149</b>	<b>118,419,395</b>	<b>107,707,243</b>

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**CASE MANAGEMENT**

**Statutory Reference**

C.G.S. Sections 17a-450, 17a-476.

**Statement of Need and Program Objectives**

To promote the recovery of persons with psychiatric disabilities by helping them to remain in the community using individualized planning, linkage to other services, support, monitoring and advocacy.

**Program Description**

This program provides a person with a psychiatric illness with a clinical case manager who ensures that the person is actively involved in the services he or she requires to achieve a satisfactory

level of quality of life in the community. Adults are assigned to one of three levels of case management depending upon their assessed need for services. "Assertive Community Treatment" (ACT, the most intensive), "standard," or "monitoring" (the least intensive). Case managers identify required services by assessing an individual's needs and developing a service plan with that person and with others to meet those needs. Case management includes activities such as linkage to services and community supports, monitoring the service recipient's progress and appropriateness of services, advocacy, crisis prevention and intervention, and outreach. Case management may also be provided by residential programs.

<b>Program Measure</b>	<b>FY 2012 Actual</b>	<b>FY 2013 Estimated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>
Case Management				
Unduplicated clients	5,891	5,891	5,891	5,891
Community Support Program (CSP)				
Unduplicated clients	4,308	4,308	4,308	4,308

**CASE MANAGEMENT**

**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	256	25	0	281	281	281	281	281
Federal and Other Activities	0	0	2	2	2	2	2	2

**Financial Summary**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
<b>(Net of Reimbursements)</b>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	20,473,514	22,755,254	25,139,402	22,130,580	22,085,107	26,419,499	23,290,464	23,244,199
Other Expenses	707,841	2,704,780	2,861,073	2,870,350	2,818,682	2,977,003	2,986,401	2,819,614

Other Current Expenses

Housing Supports and Services	435,581	435,594	445,104	443,098	413,792	445,104	443,681	426,860
Managed Service System	4,951,734	5,024,375	5,272,417	5,066,269	6,328,084	5,277,458	5,070,122	6,330,363
Connecticut Mental Health Center	326,779	326,779	326,779	326,779	276,259	326,779	326,779	276,259
Professional Services	99,384	99,384	99,384	100,493	0*	99,384	102,007	0*
Behavioral Health for Low-Income Adults	2,479,075	2,432,768	2,457,096	2,709,503	2,709,502	2,457,096	3,187,672	3,187,668
Young Adult Services	9,020,116	9,560,352	9,916,557	9,768,370	9,724,008	9,916,557	9,718,221	9,643,680
TBI Community Services	381,186	590,000	590,000	544,071	541,538	590,000	548,406	546,112
Jail Diversion	29,906	29,940	111,437	106,669	0*	111,437	106,886	0*
Behavioral Health Medications	2,799	2,797	2,919	2,920	0*	3,047	3,046	0*
Prison Overcrowding	1,494,605	1,696,444	2,151,230	1,969,039	0*	2,231,241	1,991,369	0*
Discharge and Diversion Services	243,108	243,105	255,255	245,040	242,687	255,255	233,522	231,572
Home and Community Based Services	2,563,732	7,226,327	13,023,996	10,507,455	10,501,222	17,931,996	14,641,254	14,625,961
Community Forensic Services	0	0	0	0	2,070,645	0	0	2,091,305

Pmts to Other Than Local Governments

Grants for Substance Abuse Services	2,502,574	2,505,767	2,560,144	2,420,135	1,828,166	2,634,380	2,420,134	1,236,197
Grants for Mental Health Services	15,217,547	15,160,541	15,489,525	15,236,630	12,282,862	15,938,721	15,236,630	9,329,093
<b>TOTAL-General Fund</b>	<b>60,929,481</b>	<b>70,794,207</b>	<b>80,702,318</b>	<b>74,447,401</b>	<b>71,822,554</b>	<b>87,614,957</b>	<b>80,306,594</b>	<b>73,988,883</b>

Additional Funds Available

Private Funds	579,533	712,574	612,574	0	0	612,574	0	0
<b>Federal Contributions</b>								
14238 Shelter Plus Care	69,361	10,800	15,200	0	0	17,440	0	0
93150 Projects for Assistance in Transition	808,325	794,222	797,972	797,972	797,972	797,972	797,972	797,972
93275 Substance Abuse & Mental Health Services	69,612	77,900	78,400	78,400	78,400	43,594	43,594	43,594
93667 Social Services Block Grant	88,078	53,189	53,189	53,189	53,189	53,189	53,189	53,189
93925 Scholarships for Health Professions St	1,752	0	0	0	0	0	0	0
93958 Block Grants for Community Mental Health	192,941	297,589	297,589	297,589	297,589	297,589	297,589	297,589
93959 Block Grants for Prevention & Treatment	2,764,522	2,697,367	2,697,367	2,697,367	2,697,367	2,697,367	2,697,367	2,697,367
<b>TOTAL - All Funds</b>	<b>65,503,605</b>	<b>75,437,848</b>	<b>85,254,609</b>	<b>78,371,918</b>	<b>75,747,071</b>	<b>92,134,682</b>	<b>84,196,305</b>	<b>77,878,594</b>

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

## REHABILITATION

**Statutory Reference**

C.G.S. Sections 17a-453a, 17a-476, 17a-676, 17a-635.

**Statement of Need and Program Objectives**

To assist persons with psychiatric and substance use disorders to successfully engage in community-based employment through the provision of specialized work related services and supports and to participate in community life by teaching them pre-vocational and daily living skills, improving their interpersonal skills, and increasing their ability to plan and manage their lives.

**Program Description**

Specialized vocational services and supports that enable persons with prolonged mental illness or substance abuse disabilities to participate successfully in the competitive labor market include vocational counseling and assessment, the development of specific occupational skills, job seeking and retention training, assistance with job search, self-esteem building, life planning and relapse prevention. In addition to competitive employment, a variety of supported work settings such as transitional employment, individual

placement, mobile work crews, enclaves, and small enterprises are also available.

Follow-up and ongoing support through one-to-one counseling and peer support groups are made available as needed.

In accordance with individual needs, recovery-oriented rehabilitation services provided may include the following:

Daily living skills: Training in hygiene, cooking and nutrition, budgeting, and housekeeping to enable the person to live with the maximum possible independence.

Interpersonal skills: Training in relating to other individuals and groups within the community.

Life management skills: Training to use personal, social and recreation time more effectively and to increase self-esteem.

Pre-vocational skills: Training to learn and master appropriate work-related behaviors such as punctuality, regular attendance, perseverance, the ability to interact with co-workers, and the ability to follow directions.

Budget-in-Detail

<b>Program Measure</b>	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
Employment Services				
Unduplicated clients	5,256	5,256	5,256	5,256
Social Rehabilitation Services				
Unduplicated clients	6,491	6,491	6,491	6,491

**REHABILITATION**

**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	28	4	0	32	32	32	32	32

**Financial Summary**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	2,265,495	2,290,113	2,541,888	2,237,661	2,233,063	2,685,829	2,367,729	2,363,025
Other Expenses	68,395	411,951	433,990	435,397	412,262	450,541	451,963	411,459
<u>Other Current Expenses</u>								
Managed Service System	2,190,810	2,190,806	2,300,346	2,210,404	2,760,931	2,300,346	2,209,972	2,759,288
Professional Services	202	202	202	204	0*	202	207	0*
Young Adult Services	408,961	456,866	478,405	471,256	469,116	487,672	477,918	474,253
<u>Pmts to Other Than Local Governments</u>								
Grants for Substance Abuse Services	851,189	852,292	870,787	823,165	621,818	896,038	823,166	420,471
Grants for Mental Health Services	11,471,890	11,428,867	11,676,873	11,486,226	9,259,510	12,015,502	11,486,226	7,032,794
Employment Opportunities	10,417,739	10,470,087	10,697,288	10,522,428	10,522,428	11,007,509	10,522,428	10,522,428
TOTAL-General Fund	27,674,681	28,101,184	28,999,779	28,186,741	26,279,128	29,843,639	28,339,609	23,983,718
<u>Additional Funds Available</u>								
Private Funds	20,000	20,000	20,000	20,000	20,000	20,000	20,000	20,000
<b>Federal Contributions</b>								
14235 Supportive Housing Program	91,599	100,887	100,887	100,887	100,887	100,887	100,887	100,887
93150 Projects for Assistance in Transition	53,008	53,008	53,008	53,008	53,008	53,008	53,008	53,008
93958 Block Grants for Community Mental Health	1,455,014	198,950	198,950	198,950	198,950	198,950	198,950	198,950
93959 Block Grants for Prevention & Treatment	65,219	65,219	65,219	65,219	65,219	65,219	65,219	65,219
TOTAL - All Funds	29,359,521	28,539,248	29,437,843	28,624,805	26,717,192	30,281,703	28,777,673	24,421,782

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**ANCILLARY SERVICES**

**Statutory Reference**

C.G.S. Sections 17a-485i, 17a-676.

**Statement of Need and Program Objectives**

Ancillary support services are available to further enhance local systems of care.

**Program Description**

They include transportation services and basic needs vouchers (food, clothing, toiletries). Also available are pharmacy, special education and nursing home screening services; all designed to provide enhanced access to local systems of care for service recipients.

**ANCILLARY SERVICES**

**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	0	1	0	1	1	1	1	1

**Financial Summary**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	186,540	154,243	207,736	182,873	182,497	220,645	194,513	194,126
Other Expenses	34,202	52,784	55,607	55,787	52,650	57,726	57,908	52,546

<u>Other Current Expenses</u>								
Managed Service System	0	882,000	882,000	847,514	1,058,598	882,000	847,349	1,057,968
Behavioral Health for Low-Income Adults	1,683,359	2,346,384	2,375,237	2,619,235	2,619,234	2,381,812	3,090,003	3,090,000
Nursing Home Screening	622,784	622,784	622,784	622,784	591,645	622,784	622,784	591,645
Behavioral Health Medications	-140	-147	-153	-153	0*	-160	-160	0*
Discharge and Diversion Services	103,000	103,000	108,150	103,822	102,825	108,150	98,942	98,116
<u>Pmts to Other Than Local Governments</u>								
Grants for Substance Abuse Services	223,914	224,200	229,065	216,538	163,572	235,707	216,538	110,607
Grants for Mental Health Services	272,230	271,209	277,094	272,570	219,730	285,130	272,570	166,889
TOTAL-General Fund	3,125,889	4,656,457	4,757,520	4,920,970	4,990,751	4,793,794	5,400,447	5,361,897
<u>Additional Funds Available</u>								
Private Funds	683,212	144,738	0	0	0	0	0	0
<b>Federal Contributions</b>								
93959 Block Grants for Prevention & Treatment	44,970	44,970	44,970	44,970	44,970	44,970	44,970	44,970
TOTAL - All Funds	3,854,071	4,846,165	4,802,490	4,965,940	5,035,721	4,838,764	5,445,417	5,406,867

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**PREVENTION AND HEALTH PROMOTION**

**Statutory Reference**

C.G.S. Sections 17a-635(4), 17a-636(a)(4) and (9), 17a-637(c) and 54-56g(d).

**Statement of Need and Program Objectives**

To prevent or delay the age of first use of alcohol, tobacco and other drugs.

To reduce the probability that individuals at some time will need intervention or treatment services because of substance use.

To reduce progression of alcohol, tobacco and other drug problems through identification and referral (early intervention).

To foster the involvement of all segments of the community and major societal systems in prevention related activities.

To promote mental health by designing and implementing comprehensive health education programs that focus on prevention, early detection and access to treatment and support resources in partnership with families, school systems, academic institutions, faith communities and other professionals.

**Program Description**

Prevention and intervention services use a capacity building and direct service model to support comprehensive, accessible programming for a broad range of populations. Prevention strategies include information and public awareness, education and skills development, alternative activities, community development, capacity building and system change, community mobilization and social policy initiatives and early intervention services.

**PREVENTION AND HEALTH PROMOTION**

**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
<i>Permanent Full-Time Positions</i>								
General Fund	37	2	0	39	39	39	39	39
Federal and Other Activities	6	0	1	7	7	7	7	7
			FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
<i>Other Positions Equated to Full Time</i>			Actual	Estimated	Requested	Recommended	Requested	Recommended
Federal and Other Activities			3	6	6	6	3	3

**Financial Summary**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
<b>(Net of Reimbursements)</b>	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	2,801,826	2,757,211	3,088,227	2,718,612	2,713,025	3,261,268	2,875,015	2,869,304
Other Expenses	408,448	378,547	406,373	407,691	541,108	424,109	425,448	542,400
<u>Other Current Expenses</u>								
Housing Supports and Services	144,016	144,016	144,016	143,367	133,885	144,016	143,556	138,113
Managed Service System	1,630,047	1,630,046	1,701,805	1,635,266	2,042,548	1,701,805	1,634,946	2,041,332
Legal Services	639,269	817,481	817,481	820,693	499,378	817,481	820,693	499,378
Connecticut Mental Health Center	1,940,954	2,115,954	2,115,954	2,115,954	1,788,826	2,115,954	2,115,954	1,788,826
Professional Services	155,268	155,268	155,268	157,001	0*	155,268	159,366	0*
Young Adult Services	95,000	95,000	99,750	98,259	97,813	99,750	97,755	97,005

**Budget-in-Detail**

TBI Community Services	15,202	15,202	15,202	14,019	13,953	15,202	14,130	14,071
Jail Diversion	434,464	434,463	456,186	436,668	0*	456,186	437,556	0*
Prison Overcrowding	22,148	22,148	22,748	20,822	0*	22,748	20,302	0*
Discharge and Diversion Services	100,000	100,000	105,000	100,798	99,830	105,000	96,060	95,258
Home and Community Based Services	44,865	49,168	49,168	39,668	39,644	49,168	40,145	40,103
Community Forensic Services	0	0	0	0	442,944	0	0	443,379
<u>Pmts to Other Than Local Governments</u>								
Grants for Substance Abuse Services	1,742,634	1,744,858	2,909,683	2,750,558	2,077,767	2,994,055	2,750,558	1,404,977
Grants for Mental Health Services	1,120,690	1,116,487	1,140,714	1,122,090	904,562	1,173,795	1,122,090	687,034
<b>TOTAL-General Fund</b>	<b>11,294,831</b>	<b>11,575,849</b>	<b>13,227,575</b>	<b>12,581,466</b>	<b>11,395,283</b>	<b>13,535,805</b>	<b>12,753,574</b>	<b>10,661,180</b>
<u>Additional Funds Available</u>								
Private Funds	1,638,662	1,302,899	1,966,887	1,966,887	1,966,887	1,976,244	1,976,244	1,976,244
<b>Federal Contributions</b>								
CT Campus Suicide Prev Initiat	454,520	480,000	480,000	480,000	480,000	25,480	25,480	25,480
CT SBIRT Program	1,351,728	1,322,728	1,322,728	1,322,728	1,322,728	1,322,728	1,322,728	1,322,728
CT SPF Prev Enhancement Initia	103,095	397,524	99,381	99,381	99,381	0	0	0
CT Statewide Healthy Campus Initiative	172,559	37,180	0	0	0	0	0	0
FDA Tobacco Inspection Program	225,456	633,417	650,686	650,686	650,686	0	0	0
14235 Supportive Housing Program	45	0	0	0	0	0	0	0
14238 Shelter Plus Care	10	0	0	0	0	0	0	0
93103 Food & Drug Administration Research	0	1,125,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000
93243 Substance Abuse & Mental Health Services	3,623,861	3,128,561	3,264,832	3,264,832	3,264,832	366,627	366,627	366,627
93275 Substance Abuse & Mental Health Services	15,000	22,500	22,500	22,500	22,500	15,000	15,000	15,000
93279 Drug Abuse Research Programs	-16,050	16,050	0	0	0	0	0	0
93667 Social Services Block Grant	16,869	16,869	16,869	16,869	16,869	16,869	16,869	16,869
93768 Medicaid Infrastructure Grants	1,298,658	52,237	0	0	0	0	0	0
93891 Alcohol Research Center Grants	48,955	75,762	56,622	56,622	56,622	56,622	56,622	56,622
93958 Block Grants for Community Mental Health	116,496	116,496	116,496	116,496	116,496	116,496	116,496	116,496
93959 Block Grants for Prevention & Treatment	4,420,870	4,472,198	4,472,198	4,472,198	4,472,198	4,472,198	4,472,198	4,472,198
<b>TOTAL - All Funds</b>	<b>24,765,565</b>	<b>24,775,270</b>	<b>28,696,774</b>	<b>28,050,665</b>	<b>26,864,482</b>	<b>24,904,069</b>	<b>24,121,838</b>	<b>22,029,444</b>

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**ADVOCACY AND PREVENTION**

**Statutory Reference**

C.G.S. Sections 17a-451, 17a-459, 17a-476, 17a-560-576, 17a-635(4), 17a-636(a)(4) and (9), 17a-637(c) and 54-56g(d).

**Statement of Need and Program Objectives**

To reduce those factors that are known to cause illness and problem behaviors and promote those factors that buffer individuals and promote good health.

To reduce the probability that individuals at some time will need intervention or treatment for psychiatric or substance abuse disabilities; and to limit the further development/progression of psychiatric and substance abuse problems by identifying individuals with such problems and referring them to appropriate treatment (early intervention).

**Program Description**

There are two components of advocacy and prevention: prevention and education and training.

<b>Program Measure</b>	<b>FY 2012 Actual</b>	<b>FY 2013 Estimated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>
Prevention Programs				
Best Practices				
Number of Programs	14	14	14	14
Number of Events	2,083	2,083	2,083	2,083
Number of Participants	15,486	15,486	15,486	15,486

**ADVOCACY AND PREVENTION****Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	8	0	0	8	8	8	8	8
Federal and Other Activities	6	0	1	7	7	7	7	7
			FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
Other Positions Equated to Full Time			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Federal and Other Activities			3	6	6	6	3	3

**Financial Summary****(Net of Reimbursements)**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	564,734	606,179	662,415	583,134	581,935	690,069	608,340	607,131
Other Expenses	199,034	312,399	329,175	330,242	312,542	341,767	342,846	311,968
<u>Other Current Expenses</u>								
Managed Service System	1,435,160	1,435,159	1,506,918	1,447,999	1,808,640	1,506,918	1,447,716	1,807,563
Legal Services	639,269	817,481	817,481	820,693	499,378	817,481	820,693	499,378
Connecticut Mental Health Center	432,442	432,442	432,442	432,442	365,586	432,442	432,442	365,586
Young Adult Services	95,000	95,000	99,750	98,259	97,813	99,750	97,755	97,005
Jail Diversion	434,464	434,463	456,186	436,668	0*	456,186	437,556	0*
Prison Overcrowding	12,000	12,000	12,600	11,533	0*	12,600	11,245	0*
Discharge and Diversion Services	100,000	100,000	105,000	100,798	99,830	105,000	96,060	95,258
Community Forensic Services	0	0	0	0	433,663	0	0	434,338
<u>Pmts to Other Than Local Governments</u>								
Grants for Substance Abuse Services	1,612,134	1,614,191	2,776,181	2,624,357	1,982,435	2,856,682	2,624,357	1,340,514
Grants for Mental Health Services	1,088,064	1,083,983	1,107,505	1,089,423	878,228	1,139,623	1,089,423	667,033
TOTAL-General Fund	6,612,301	6,943,297	8,305,653	7,975,548	7,060,050	8,458,518	8,008,433	6,225,774
<u>Additional Funds Available</u>								
Private Funds	1,517,347	1,261,214	1,941,887	1,941,887	1,941,887	1,951,244	1,951,244	1,951,244
<b>Federal Contributions</b>								
CT Campus Suicide Prev Initiat	454,520	480,000	480,000	480,000	480,000	25,480	25,480	25,480
CT SPF Prev Enhancement Initia	103,095	397,524	99,381	99,381	99,381	0	0	0
CT Statewide Healthy Campus Initiative	172,559	37,180	0	0	0	0	0	0
FDA Tobacco Inspection Program	225,456	633,417	650,686	650,686	650,686	0	0	0
93103 Food & Drug Administration Research	0	1,125,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000
93243 Substance Abuse & Mental Health Services	2,873,719	2,712,998	2,896,333	2,896,333	2,896,333	206,499	206,499	206,499
93667 Social Services Block Grant	16,869	16,869	16,869	16,869	16,869	16,869	16,869	16,869
93958 Block Grants for Community Mental Health	116,496	116,496	116,496	116,496	116,496	116,496	116,496	116,496
93959 Block Grants for Prevention & Treatment	4,420,870	4,472,198	4,472,198	4,472,198	4,472,198	4,472,198	4,472,198	4,472,198
TOTAL - All Funds	16,513,232	18,196,193	21,979,503	21,649,398	20,733,900	18,247,304	17,797,219	16,014,560

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**EDUCATION AND TRAINING****Statutory Reference**

C.G.S. Section 17a-476.

**Statement of Need and Program Objectives**

To improve the understanding of mental illness and substance use disorders by citizens, service providers and government employees who come into contact with people with these disorders by increasing the availability of information, education, training and consultation opportunities.

To provide continuing development and training for professional and para-professional staff in support of the knowledge and technical

skills required to perform the prevention, diagnostic, treatment and rehabilitation and recovery-oriented tasks necessary to operate DMHAS' programs.

**Program Description**

DMHAS currently provides a full spectrum of education and training services through a public/private training model to a wide range of people including mental health professionals, substance abuse counselors, direct care workers, addiction prevention professionals, criminal justice staff, managers, supervisors, administrators, staff from other state agencies, concerned citizens, consumers/individuals in recovery and families. Education, training, prevention, academic

and resource linkages are offered through a wide array of sources. Consultation and education services are available to the general public, as well as in-service training for professional groups. The DMHAS Office of Recovery Affairs is involved with advocating on behalf of people using DMHAS services and in providing Connecticut residents with helpful information about mental health, mental illness and substance use disorders and how to obtain treatment and support services.

Comprehensive training is also available for professionals and volunteers in the field of substance abuse prevention, intervention and treatment through the DMHAS Education and Training Division as well as the Prevention Training Collaborative. Education and training is based on a scope and sequence of skills and knowledge that encompass all facets of treatment, cultural competence, women's issues and prevention. This ensures that counselors, prevention specialists, and other helping professionals can receive the course work necessary for re-certification and ongoing professional development.

**EDUCATION AND TRAINING**

**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	5	2	0	7	7	7	7	7

**Financial Summary**

(Net of Reimbursements)	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	384,419	341,806	489,487	430,903	430,017	518,268	456,886	455,979
Other Expenses	86,208	48,244	50,818	50,983	94,656	52,754	52,921	94,561
<u>Other Current Expenses</u>								
Connecticut Mental Health Center	343,788	343,788	343,788	343,788	290,638	343,788	343,788	290,638
Professional Services	46,406	46,406	46,406	46,924	0*	46,406	47,631	0*
<u>Pmts to Other Than Local Governments</u>								
Grants for Substance Abuse Services	130,500	130,667	133,502	126,201	95,332	137,373	126,201	64,463
Grants for Mental Health Services	32,626	32,504	33,209	32,667	26,334	34,172	32,667	20,001
TOTAL-General Fund	1,023,947	943,415	1,097,210	1,031,466	936,977	1,132,761	1,060,094	925,642

Additional Funds Available

**Federal Contributions**

CT SBIRT Program	771,920	801,920	801,920	801,920	801,920	801,920	801,920	801,920
93243 Substance Abuse & Mental Health Services	3,434	40,873	0	0	0	0	0	0
93275 Substance Abuse & Mental Health Services	15,000	22,500	22,500	22,500	22,500	15,000	15,000	15,000
TOTAL - All Funds	1,814,301	1,808,708	1,921,630	1,855,886	1,761,397	1,949,681	1,877,014	1,742,562

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**RESEARCH**

**Statutory Reference**

C.G.S. Sections 17a-451 and 17a-459.

**Statement of Need and Program Objectives**

To improve the prevention, treatment and rehabilitation methods used in providing mental health and substance abuse services by carrying out basic, epidemiologic and clinical research activities through partnership agreements with Yale University and the University of Connecticut.

**Program Description**

The department, through its partnership with Yale University, provides support for research at the Connecticut Mental Health Center. Current studies include: basic research into brain mechanisms operative in the treatment and pathophysiology of schizophrenia, depression, panic and anxiety and epidemiological research into the incidence and prevalence of mental illnesses;

clinical research into the diagnosis and treatment of depression, schizophrenia and panic disorders; research into the prevention of mental disorders and complications resulting from treatment; and the study of the use of medication in treating various mental illnesses. Funds provided to Yale are used to leverage additional research funding from the federal government and private foundations.

In addition to its partnership with Yale University, the department also obtains federal funding for research through the DMHAS Research Division. This division works closely with the University of Connecticut to evaluate and research a wide range of topics. Current studies are investigating criminal justice diversion and alternative community programs; supportive housing; and implementation of evidence-based practices.

**RESEARCH****Personnel Summary**

Permanent Full-Time Positions	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	24	0	0	24	24	24	24	24

**Financial Summary****(Net of Reimbursements)**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	1,852,673	1,809,226	1,936,325	1,704,575	1,701,073	2,052,931	1,809,789	1,806,194
Other Expenses	123,206	17,904	26,380	26,466	133,910	29,588	29,681	135,871
<b>Other Current Expenses</b>								
Housing Supports and Services	144,016	144,016	144,016	143,367	133,885	144,016	143,556	138,113
Managed Service System	194,887	194,887	194,887	187,267	233,908	194,887	187,230	233,769
Connecticut Mental Health Center	1,164,724	1,339,724	1,339,724	1,339,724	1,132,602	1,339,724	1,339,724	1,132,602
Professional Services	108,862	108,862	108,862	110,077	0*	108,862	111,735	0*
TBI Community Services	15,202	15,202	15,202	14,019	13,953	15,202	14,130	14,071
Prison Overcrowding	10,148	10,148	10,148	9,289	0*	10,148	9,057	0*
Home and Community Based Services	44,865	49,168	49,168	39,668	39,644	49,168	40,145	40,103
Community Forensic Services	0	0	0	0	9,281	0	0	9,041
<b>TOTAL-General Fund</b>	<b>3,658,583</b>	<b>3,689,137</b>	<b>3,824,712</b>	<b>3,574,452</b>	<b>3,398,256</b>	<b>3,944,526</b>	<b>3,685,047</b>	<b>3,509,764</b>
<b>Additional Funds Available</b>								
Private Funds	121,315	41,685	25,000	25,000	25,000	25,000	25,000	25,000
<b>Federal Contributions</b>								
CT SBIRT Program	579,808	520,808	520,808	520,808	520,808	520,808	520,808	520,808
14235 Supportive Housing Program	45	0	0	0	0	0	0	0
14238 Shelter Plus Care	10	0	0	0	0	0	0	0
93243 Substance Abuse & Mental Health Services	746,708	374,690	368,499	368,499	368,499	160,128	160,128	160,128
93279 Drug Abuse Research Programs	-16,050	16,050	0	0	0	0	0	0
93768 Medicaid Infrastructure Grants	1,298,658	52,237	0	0	0	0	0	0
93891 Alcohol Research Center Grants	48,955	75,762	56,622	56,622	56,622	56,622	56,622	56,622
<b>TOTAL - All Funds</b>	<b>6,438,032</b>	<b>4,770,369</b>	<b>4,795,641</b>	<b>4,545,381</b>	<b>4,369,185</b>	<b>4,707,084</b>	<b>4,447,605</b>	<b>4,272,322</b>

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**AGENCY MANAGEMENT SERVICES****Statutory Reference**

C.G.S. Sections 17a-450, 17a-451, 17a-480, 17a-636 and 17a-637.

**Statement of Need and Program Objectives**

To ensure that DMHAS provides appropriate, efficient and effective programs and services to Connecticut's adults who suffer from psychiatric or substance use disorders within the resources available. To lead the state's community-based prevention, intervention and treatment efforts through the development of a comprehensive policy and the assurance of the quality and appropriate range of services provided by the statewide network of grant funded programs. To plan for, integrate, coordinate, support and direct the delivery of programs and services to individuals through systems of care.

**Program Description**

The Management and Support Program has five major functions: conducts comprehensive statewide planning, research, data

collection and policy analysis to support the development of programs that address psychiatric and substance use disorders; supports administrative and financial management of the agency's programs including the operation of state programs by performing such functions as accounting, budget coordination, grants/contracts management, field audits and personnel services; ensures responsible program management and the provision of quality services through on-site monitoring of grantees, program evaluation, training and technical assistance and informs the general public (through press releases and television public service announcements), community organizations, municipalities, legislators and other state agencies about psychiatric and substance use disabilities and available resources in the state. In response to the federal Nursing Home Reform Act, DMHAS is also involved in determining the appropriateness of nursing home care and the need for active treatment for persons suffering with mental illness.

Budget-in-Detail

<b>Program Measure</b>	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
Management and Support Services				
Audits Reviewed	177	177	177	177
General Assistance Audits Conducted	8	8	8	8

**AGENCY MANAGEMENT SERVICES**

**Personnel Summary**

Permanent Full-Time Positions	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	301	28	0	329	329	333	329	333

**Financial Summary**

(Net of Reimbursements)	FY 2012 Actual	FY 2013 Estimated	FY 2014 Requested	Current Services	FY 2014 Recommended	FY 2015 Requested	Current Services	FY 2015 Recommended
Personal Services	33,216,212	35,802,768	30,527,851	36,519,760	36,464,542	32,096,475	38,374,779	38,318,575
Other Expenses	27,272,947	2,480,079	3,333,987	3,344,799	16,544,518	3,412,780	3,423,553	16,494,194

**Capital Outlay**

Equipment	0	1	0	1	1	0	1	1
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**Other Current Expenses**

Housing Supports and Services	460	460	460	459	426	460	457	440
Managed Service System	417,452	417,452	417,452	401,131	501,036	417,452	401,052	500,737
Connecticut Mental Health Center	341,858	341,858	341,858	341,858	289,008	341,858	341,858	289,008
Professional Services	7,126,022	7,125,968	7,125,911	7,205,427	0*	7,125,853	7,313,929	0*
Behavioral Health for Low-Income Adults	6,123,181	6,652,264	6,888,992	7,596,671	7,596,664	7,134,243	9,255,488	9,255,478
Workers' Compensation Claims	11,255,045	10,594,566	10,594,566	11,154,029	10,594,566	10,594,566	11,740,004	10,594,566
Young Adult Services	3,200,128	2,417,557	2,597,862	2,559,041	2,547,419	2,681,639	2,628,006	2,607,848
Behavioral Health Medications	5,737,078	5,768,048	6,020,111	6,022,346	0*	6,283,255	6,280,477	0*
Prison Overcrowding	169,997	163,329	171,285	156,778	0*	180,958	161,504	0*
Home and Community Based Services	1,358,412	1,341,483	1,439,556	1,161,400	1,160,712	1,531,015	1,250,055	1,248,749
Nursing Home Contract	0	300,000	500,000	500,000	0*	500,000	500,000	0*
Community Forensic Services	0	0	0	0	156,659	0	0	161,227

**Pmts to Other Than Local Governments**

Grants for Mental Health Services	7,000,000	0	0	0	0	0	0	0
<b>TOTAL-General Fund</b>	<b>103,218,792</b>	<b>73,405,833</b>	<b>69,959,891</b>	<b>76,963,700</b>	<b>75,855,551</b>	<b>72,300,554</b>	<b>81,671,163</b>	<b>79,470,823</b>

**Additional Funds Available**

Private Funds	694,678	546,000	546,000	0	0	546,000	0	0
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**Federal Contributions**

CT SBIRT Program	3,073	3,172	3,172	3,172	3,172	3,172	3,172	3,172
FDA Tobacco Inspection Program	1,232	0	0	0	0	0	0	0
15808 USGS Rsrch & Data Collect	213,284	94,214	0	0	0	0	0	0
93150 Projects for Assistance in Transition	329	8,774	8,020	8,020	8,020	8,020	8,020	8,020
93243 Substance Abuse & Mental Health Services	82,039	8,873	16,170	16,170	16,170	10,567	10,567	10,567
93275 Substance Abuse & Mental Health Services	8,497	8,088	8,744	8,744	8,744	2,554	2,554	2,554
<b>TOTAL - All Funds</b>	<b>104,221,924</b>	<b>74,074,954</b>	<b>70,541,997</b>	<b>76,999,806</b>	<b>75,891,657</b>	<b>72,870,867</b>	<b>81,695,476</b>	<b>79,495,136</b>

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**DISPROPORTIONATE SHARE PAYMENTS**

**Statutory Reference**

C.G.S. Chapter 302, Part IV.

**Statement of Need and Program Objectives**

To allow the Department of Social Services to make disproportionate share payments for Department of Mental Health and Addiction Services' psychiatric hospitals.

**Program Description**

Under federal law (OBRA-90), Medicaid is allowed to reimburse the state for the cost of care for uninsured low-income persons in certain state-operated psychiatric facilities. The cost of such care must be paid by the Department of Social Services in order to qualify for federal reimbursement. A grant account in the Department of Social Services exists for this purpose.

DISPROPORTIONATE SHARE

<b>Financial Summary</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
<b>(Net of Reimbursements)</b>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	-75,040,000	-77,218,544	-77,218,544	-77,218,544	-77,218,544	-77,218,544	-77,218,544	-77,218,544
Other Expenses	-2,600,000	-2,600,000	-2,600,000	-2,600,000	-2,600,000	-2,600,000	-2,600,000	-2,600,000
TOTAL-General Fund	-77,640,000	-79,818,544	-79,818,544	-79,818,544	-79,818,544	-79,818,544	-79,818,544	-79,818,544

**AGENCY FINANCIAL SUMMARY - GENERAL FUND**

<b>Current Expenses by Minor Object</b>	FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	179,607,784	174,797,159	202,560,733	201,989,299	212,883,860	212,297,408
Other Positions	18,753,256	25,820,346	27,426,659	18,205,478	29,137,682	19,286,047
Other	-60,870,887	-61,606,159	-60,638,870	-63,785,448	-59,615,037	-62,985,927
Overtime	46,233,686	34,684,673	36,849,743	34,497,950	39,155,395	36,545,542
TOTAL-Personal Services Gross	183,723,839	173,696,019	206,198,265	190,907,279	221,561,900	205,143,070
Less Reimbursements	0	-822,630	-847,310	-859,648	-872,731	-898,333
Less Turnover	0	0	-5,736,096	-9,645,689	-6,036,112	-10,079,745
TOTAL-Personal Services Net	183,723,839	172,873,389	199,614,859	180,401,942	214,653,057	194,164,992

Other Expenses-Contractual Services

Dues and Subscriptions	154,118	123,013	125,681	123,013	129,326	123,013
Utility Services	4,007,133	4,044,889	4,233,007	4,044,889	4,465,980	4,044,889
Rentals, Storage and Leasing	4,309,566	4,786,143	4,890,003	4,786,143	5,031,811	4,786,143
Telecommunication Services	1,015,950	964,013	984,935	964,013	1,013,498	964,013
General Repairs	1,879,185	1,736,368	1,774,047	1,736,368	1,825,491	1,736,368
Motor Vehicle Expenses	1,098,175	1,075,194	1,098,525	1,075,194	1,130,378	1,075,194
Insurance	7,329	7,651	7,818	7,651	8,044	7,651
Fees for Outside Professional Services	883,080	1,085,863	1,109,523	7,302,581	1,141,762	7,302,581
Fees for Non-Professional Services	6,252,445	1,650,166	1,685,974	2,790,197	1,734,867	2,790,197
DP Services, Rentals and Maintenance	2,914,087	2,773,256	3,683,436	3,623,256	3,765,606	3,623,256
Postage	125,099	124,493	127,193	88,407	130,880	88,407
Travel	212,995	228,539	233,498	228,539	240,270	228,539
Other Contractual Services	1,967,809	1,958,499	2,000,989	6,842,383	2,059,010	6,842,383
Advertising and Marketing	103,256	107,081	109,406	107,081	112,579	107,081
Printing & Binding	32,894	36,356	37,145	36,356	38,222	36,356
Disproportionate Share OE	-2,600,000	-2,600,000	-2,600,000	-2,600,000	-2,600,000	-2,600,000

Other Expenses-Commodities

Agriculture, Horticulture, Dairy & Food	860	189	192	189	197	189
Books	56,902	80,211	81,953	80,211	84,326	80,211
Clothing and Personal Supplies	211,303	194,999	199,231	194,999	205,006	194,999
Maintenance and Motor Vehicle Supplies	2,043,811	1,937,423	2,002,471	1,937,423	2,060,173	1,937,423
Medical Supplies	657,232	575,404	600,145	6,744,499	625,398	6,744,499
Fuel	1,457,806	2,347,307	3,226,140	2,347,307	3,526,492	2,347,307
Office Supplies	1,371,199	1,155,868	1,173,339	1,155,868	1,207,367	1,155,868
Refunds of Expenditures Not Otherwise Classified	24,178	25,073	25,613	25,073	26,356	25,073

Other Expenses-Sundry

Employee Fringe Benefits	3,473,236	3,122,909	3,179,120	3,122,909	3,235,071	3,122,909
Sundry - Other Items	847,131	821,257	730,126	854,522	750,543	854,522
TOTAL-Other Expenses Gross	32,511,269	28,362,164	30,719,510	47,619,071	31,948,653	47,619,071
Less Reimbursements		-549,859	-569,800	-549,859	-588,032	-549,859
TOTAL-Other Expenses Net	32,511,269	27,812,305	30,149,710	47,069,212	31,360,621	47,069,212

Budget-in-Detail

Other Current Expenses

Housing Supports and Services	14,410,564	16,299,667	17,030,531	15,832,467	17,030,531	16,332,467
Managed Service System	38,745,104	39,915,163	41,768,117	50,131,113	41,837,432	50,184,413
Legal Services	639,269	817,481	817,481	499,378	817,481	499,378
Connecticut Mental Health Center	8,490,721	8,665,721	8,665,721	7,325,997	8,665,721	7,325,997
Professional Services	12,422,608	11,788,898	11,788,831	0*	11,788,763	0*
Behavioral Health for Low-Income Adults	163,729,021	178,489,353	183,459,994	202,305,969	201,324,698	261,184,875
Workers' Compensation Claims	11,255,045	10,594,566	10,594,566	10,594,566	10,594,566	10,594,566
Nursing Home Screening	622,784	622,784	622,784	591,645	622,784	591,645
Young Adult Services	59,811,571	63,981,110	71,327,438	69,942,480	78,013,229	75,866,518
TBI Community Services	11,008,693	14,267,815	16,665,728	15,296,810	18,452,135	17,079,532
Jail Diversion	4,527,217	4,506,446	4,772,280	0*	4,876,844	0*
Behavioral Health Medications	6,141,733	6,169,095	6,438,684	0*	6,720,124	0*
Prison Overcrowding	6,239,542	6,540,370	7,238,223	0*	7,551,368	0*
Medicaid Adult Rehabilitation Option	3,963,349	4,783,262	5,137,901	4,803,175	5,436,683	4,803,175
Discharge and Diversion Services	10,322,072	14,025,649	18,314,436	17,412,660	22,114,436	20,062,660
Home and Community Based Services	4,495,972	9,799,089	16,045,356	12,937,339	21,298,565	17,371,852
Persistent Violent Felony Offenders Act	668,167	671,701	684,919	0*	684,919	0*
Nursing Home Contract	0	300,000	500,000	0*	500,000	0*
Community Forensic Services	0	0	0	11,711,457	0	11,926,473
<b>TOTAL-Other Current Expenses</b>	<b>357,493,432</b>	<b>392,238,170</b>	<b>421,872,990</b>	<b>419,385,056</b>	<b>458,330,279</b>	<b>493,823,551</b>
<u>Pmts to Other Than Local Govts</u>						
Grants for Substance Abuse Services	24,897,766	24,929,551	26,597,498	18,992,934	27,368,747	12,842,934
Grants for Mental Health Services	83,763,716	76,475,894	78,135,419	61,959,714	80,401,347	47,059,714
Employment Opportunities	10,417,739	10,470,087	10,697,288	10,522,428	11,007,509	10,522,428
<b>TOTAL-Pmts to Other Than Local Govts</b>	<b>119,079,221</b>	<b>111,875,532</b>	<b>115,430,205</b>	<b>91,475,076</b>	<b>118,777,603</b>	<b>70,425,076</b>
<u>Nonfunctional - Change to Accruals</u>	0	0	0	1,458,025	0	2,444,140

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**Character & Major Object Summary**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	183,723,839	172,873,389	199,614,859	180,773,386	180,401,942	214,653,057	194,551,456	194,164,992
Other Expenses Net	32,511,269	27,812,305	30,149,710	30,247,472	47,069,212	31,360,621	31,459,619	47,069,212
Capital Outlay	0	1	0	1	1	0	1	1
Other Current Expenses	357,493,432	392,238,170	421,872,990	432,347,751	419,385,056	458,330,279	507,642,676	493,823,551
Payments to Other Than Local Governments	119,079,221	111,875,532	115,430,205	112,525,076	91,475,076	118,777,603	112,525,076	70,425,076
Nonfunctional - Change to Accruals	0	0	0	1,884,008	1,458,025	0	2,232,859	2,444,140
<b>TOTAL-General Fund Net</b>	<b>692,807,761</b>	<b>704,799,397</b>	<b>767,067,764</b>	<b>757,777,694</b>	<b>739,789,312</b>	<b>823,121,560</b>	<b>848,411,687</b>	<b>807,926,972</b>
<u>Additional Funds Available</u>								
Federal and Other Activities	48,226,661	47,575,309	50,347,009	50,331,809	50,331,809	43,346,409	43,328,969	32,195,569
Private Funds	21,161,342	21,564,595	22,351,697	18,443,123	18,443,123	22,361,054	18,452,480	18,452,480
<b>TOTAL-All Funds Net</b>	<b>762,195,764</b>	<b>773,939,301</b>	<b>839,766,470</b>	<b>826,552,626</b>	<b>808,564,244</b>	<b>888,829,023</b>	<b>910,193,136</b>	<b>858,575,021</b>

# PSYCHIATRIC SECURITY REVIEW BOARD

## AGENCY DESCRIPTION

The Psychiatric Security Review Board (PSRB) has jurisdiction over all persons acquitted of a crime by reason of mental disease or defect who are committed by Superior Court. The six-member, autonomous board consists of a psychiatrist, psychologist,

parole/probation expert, a layperson, a victim services advocate and an attorney who functions as the chairperson and is appointed by the Governor in accord with the General Assembly.

## RECOMMENDED SIGNIFICANT CHANGES

<b>Reductions to Current Services</b>	<b>FY 2014</b>	<b>FY 2015</b>
• Remove or Limit Inflation	-681	-1,609
• Remove Funding for Salary Increases for Appointed Officials	-6,137	-12,892
• Annualize FY 2013 Rescissions	-7,471	-7,471
<b>Technical Adjustments</b>		
• Revise GAAP Accrual Amounts	-563	-345

## AGENCY PROGRAMS

<b>Personnel Summary</b>	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
General Fund	3	0	0	3	3	3	3	3
<b>Agency Programs by Total Funds (Net of Reimbursements)</b>								
	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Psychiatric Security Review	301,492	280,497	295,945	291,748	277,459	310,727	306,397	284,425
TOTAL Agency Programs - All Funds Gross	301,492	280,497	295,945	291,748	277,459	310,727	306,397	284,425
Nonfunctional - Change to Accruals	0	0	0	1,274	711	0	1,471	1,126
TOTAL Agency Programs - All Funds Net	301,492	280,497	295,945	293,022	278,170	310,727	307,868	285,551
<b>Summary of Funding</b>								
General Fund Net	301,492	280,497	295,945	293,022	278,170	310,727	307,868	285,551
TOTAL Agency Programs - All Funds Net	301,492	280,497	295,945	293,022	278,170	310,727	307,868	285,551

## PSYCHIATRIC SECURITY REVIEW

### Statutory Reference

C. G. S. Sections 17a-580 through 17a-603, Section 53a-169, 54-250(10), 54-256.

### Statement of Need and Program Objectives

To protect public safety through the oversight of insanity acquittees. To determine the level of supervision, treatment and placement of an acquittee that is required to protect the public.

### Program Description

The Psychiatric Security Review Board holds statutorily mandated public hearings that may result in any of the following orders: maximum security confinement, confinement in a hospital,

temporary leave from the hospital or conditional release to the community. The board may recommend to the court either discharge from the board or continued confinement to the board beyond the original commitment term. The board monitors acquittees in the community and those in institutions through mandated reporting requirements. The board provides notification to victims of all board hearings and their right to make a victim impact statement. In addition, the board registers sex offenders per Connecticut law and registers all acquittees with the Department of Emergency Services and Public Protection for enforcement of gun control laws.

<b>Program Measure</b>	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
Percent of hearings held within statutory timeframe	100%	100%	90%	90%
Issuance of Memorandum of Decision within statutory timeframe	100%	100%	90%	90%
Issuance of Reports to Court within statutory timeframe	100%	100%	90%	90%

PSYCHIATRIC SECURITY REVIEW**Personnel Summary**

Permanent Full-Time Positions	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	3	0	0	3	3	3	3	3

**Financial Summary****(Net of Reimbursements)**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	274,207	249,027	263,795	259,597	245,989	277,649	273,318	252,955
Other Expenses	27,285	31,469	32,150	32,150	31,469	33,078	33,078	31,469
<u>Capital Outlay</u>								
Equipment	0	1	0	1	1	0	1	1
TOTAL-General Fund	301,492	280,497	295,945	291,748	277,459	310,727	306,397	284,425

**AGENCY FINANCIAL SUMMARY - GENERAL FUND****Current Expenses by Minor Object**

	FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	249,274	226,383	239,809	226,201	252,402	232,039
Other	24,933	22,644	23,986	19,788	25,247	20,916
TOTAL-Personal Services Gross	274,207	249,027	263,795	245,989	277,649	252,955
Less Reimbursements						
Less Turnover						
TOTAL-Personal Services Net	274,207	249,027	263,795	245,989	277,649	252,955
<u>Other Expenses-Contractual Services</u>						
Fees for Outside Professional Services	45	53	54	53	56	53
Fees for Non-Professional Services	18,587	21,436	21,901	21,436	22,536	21,436
Travel	1,121	1,294	1,322	1,294	1,360	1,294
Other Contractual Services	7,200	8,303	8,483	8,303	8,729	8,303
<u>Other Expenses-Commodities</u>						
Office Supplies	30	35	36	35	37	35
<u>Other Expenses-Sundry</u>						
Employee Fringe Benefits	302	348	354	348	360	348
TOTAL-Other Expenses Gross	27,285	31,469	32,150	31,469	33,078	31,469
Less Reimbursements						
TOTAL-Other Expenses Net	27,285	31,469	32,150	31,469	33,078	31,469
<u>Nonfunctional - Change to Accruals</u>	0	0	0	711	0	1,126

**Character & Major Object Summary**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	274,207	249,027	263,795	259,597	245,989	277,649	273,318	252,955
Other Expenses Net	27,285	31,469	32,150	32,150	31,469	33,078	33,078	31,469
Capital Outlay	0	1	0	1	1	0	1	1
Nonfunctional - Change to Accruals	0	0	0	1,274	711	0	1,471	1,126
TOTAL-General Fund Net	301,492	280,497	295,945	293,022	278,170	310,727	307,868	285,551

# DEPARTMENT OF REHABILITATION SERVICES

## AGENCY DESCRIPTION

Public Act 12-1 created the Department of Rehabilitation Services (DORS). DORS provides a range of services to help Connecticut citizens with disabilities live and learn independently and work competitively.

In support of its mission, the department provides a wide range of services to individuals with disabilities, children, families or who need assistance in maintaining or achieving their full potential for self-direction, self-reliance and independent living. These programs,

policies and practices are designed to promote employment, independence, equal access and self-sufficiency.

DORS administers legislatively authorized programs, as well as a number of federal programs and other grants. These programs are consolidated into three bureaus: Bureau of Rehabilitation Services, Bureau of Education Services for the Blind and the Bureau of Organizational Support.

## AGENCY PROGRAM INDEX

Vocational Rehabilitation - General	302	Children's Services	306
Rehabilitation Support Programs	303	Vocational Rehabilitation	307
Disability Determination Services	304	Adult Services	308
Independent Living	304	Blind Enterprise Program	308
Assistive Technology	305	Worker's Rehabilitation	309
Disabled Driver Training Program	306	Administrator Funds	309
CDHI Interpreter/Counseling Services	306		

## RECOMMENDED SIGNIFICANT CHANGES

<b>Reductions to Current Services</b>	<b>FY 2014</b>	<b>FY 2015</b>
• Remove or Limit Inflation	-28,427	-66,785
• Remove Funding for Salary Increases for Appointed Officials	-7,841	-16,473
• Annualize FY 2013 Rescissions	-371,548	-371,548
• Incentivize use of Agency Teachers for Visually-Impaired Students <i>Currently, school districts can either obtain teachers for visually-impaired students at no cost through the department, or can hire their own teachers and seek reimbursement for the salary of their teachers. This option removes funding to reimburse towns for this activity.</i>	-1,058,905	-1,058,905
• Eliminate State Funds for Independent Living Centers	-528,680	-528,680
• Reduce Funding for Employment Opportunities Program	-252,626	-252,626
<b>Reallocations or Transfers</b>		
• Transfer the Driver's Training Program to General Fund <i>This option transfers funding for 3 positions and funding for the Driver's Training Program from the Special Transportation Fund to the General Fund.</i>	0	0
• Streamline Agency Account Structure <i>This option consolidates several accounts. First, Vocational Rehabilitation-Disabled and Vocational Rehabilitation-Blind are combined into one Vocational Rehabilitation account. Second, the Enhanced Employment Opportunities account and the Employment Opportunities account are merged into one Employment Opportunities account. Lastly, Personal Services, Other Expenses and Fringe Benefits within the Worker's Compensation Fund are consolidated into the Rehabilitative Program account.</i>	0	0
<b>Technical Adjustments</b>		
• Revise GAAP Accrual Amounts - General Fund	-54,742	865
• Revise GAAP Accrual Amounts - Worker's Compensation Fund	-3,537	-5,630

## AGENCY PROGRAMS

<b>Personnel Summary</b>	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	80	16	13	109	101	112	101	112
Special Transportation Fund	3	0	0	3	3	0	3	0
Workers' Compensation Fund	5	1	0	6	6	6	6	6
Federal and Other Activities	301	45	-4	342	347	347	347	347

<b>Agency Programs by Total Funds (Net of Reimbursements)</b>	FY 2012 <u>Actual</u>	FY 2013 <u>Estimated</u>	FY 2014 <u>Requested</u>	Current <u>Services</u>	FY 2014 <u>Recommended</u>	FY 2015 <u>Requested</u>	Current <u>Services</u>	FY 2015 <u>Recommended</u>
Vocational Rehabilitation - General	33,819,920	33,360,189	34,168,284	34,032,991	34,038,918	34,533,583	34,183,036	34,188,775
Rehabilitation Support Programs	4,804,758	1,871,969	1,081,080	1,063,409	757,878	1,112,431	1,063,409	757,878
Disability Determination Services	22,618,399	22,543,483	22,543,483	22,543,483	22,543,483	22,543,483	22,543,483	22,543,483
Independent Living	787,675	1,002,226	905,753	898,245	341,975	845,129	821,271	265,001
Assistive Technology	619,116	522,020	394,275	394,275	394,275	394,275	394,275	394,275
Disabled Driver Training Program	139,352	209,510	235,181	234,351	233,838	240,954	239,873	239,629
CDHI Interpreter/Counseling Services	290,468	670,069	678,021	590,188	600,922	707,144	657,751	665,480
Children's Services	6,473,630	6,843,555	6,880,447	6,785,219	5,495,919	7,206,635	7,161,656	5,802,493
Vocational Rehabilitation	4,062,211	4,635,963	4,831,358	4,816,412	4,816,412	4,857,874	4,816,412	4,816,412
Adult Services	2,025,372	2,210,233	2,265,483	2,199,044	2,225,734	2,344,165	2,287,919	2,313,342
Blind Enterprise Program	669,342	676,381	684,000	683,036	653,416	689,000	685,925	653,416
Worker's Rehabilitation	1,749,893	2,110,420	2,169,111	2,138,229	2,110,315	2,264,710	2,214,135	2,148,107
Administration	1,645,535	1,894,763	6,733,057	3,871,323	3,725,003	4,680,908	3,938,313	3,755,111
<b>TOTAL Agency Programs - All Funds Gross</b>	<b>79,705,671</b>	<b>78,550,781</b>	<b>83,569,533</b>	<b>80,250,205</b>	<b>77,938,088</b>	<b>82,420,291</b>	<b>81,007,458</b>	<b>78,543,402</b>
Less Turnover	0	0	0	-205,253	-212,258	0	-193,430	-199,671
Nonfunctional - Change to Accruals	0	0	0	58,279	0	0	44,586	39,821
<b>TOTAL Agency Programs - All Funds Net</b>	<b>79,705,671</b>	<b>78,550,781</b>	<b>83,569,533</b>	<b>80,103,231</b>	<b>77,725,830</b>	<b>82,420,291</b>	<b>80,858,614</b>	<b>78,383,552</b>

**VOCATIONAL REHABILITATION PROGRAM**

**Statutory Reference**

C.G.S. Sections 17b-612 and 17b-750a through 17b-666; Rehabilitation Act of 1973, as amended.

**Statement of Need and Program Objectives**

The agency administers the federal Rehabilitation Act for eligible clients by directly providing and coordinating a broad scope of services to enable the achievement and maintenance of integrated, competitive employment.

**Program Description**

The agency administers the federal Title I Vocational Rehabilitation and Title VI Supported Employment programs of the Rehabilitation Act of 1973, as amended. The program serves eligible consumers by assisting them to prepare for, obtain and retain integrated, competitive employment. The program directly provides and coordinates a broad scope of services including but not limited to vocational counseling, community based rehabilitation services, adaptive home and vehicle modifications, prosthetics and assisted listening devices and various forms of educational and vocational training. This program also assists employers seeking qualified candidates for employment.

<b>Program Measure</b>	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
Vocational rehabilitation clients served	8,896	9,429	9,966	10,165
Successful employment outcomes	1,236	1,400	1,479	1,552
Applications for services	3,836	4,164	4,520	4,907

**VOCATIONAL REHABILITATION - GENERAL**

**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
General Fund	3	0	0	3	3	3	3	3
Federal and Other Activities	144	15	0	159	159	159	159	159

**Financial Summary**

<b>(Net of Reimbursements)</b>	FY 2012 <u>Actual</u>	FY 2013 <u>Estimated</u>	FY 2014 <u>Requested</u>	Current <u>Services</u>	FY 2014 <u>Recommended</u>	FY 2015 <u>Requested</u>	Current <u>Services</u>	FY 2015 <u>Recommended</u>
Personal Services	0	0	173,931	172,205	178,082	183,182	181,414	187,268
Other Expenses	27,329	14,600	14,744	5,161	5,211	14,939	10,105	9,990

Pmts to Other Than Local Governments

Vocational Rehabilitation - Disabled	7,386,668	7,423,780	7,584,876	7,460,892	0*	7,804,837	7,460,892	0*
Vocational Rehabilitation	0	0	0	0	7,460,892	0	0	7,460,892
TOTAL-General Fund	7,413,997	7,438,380	7,773,551	7,638,258	7,644,185	8,002,958	7,652,411	7,658,150

Additional Funds Available**Federal Contributions**

84126 Rehabilitation Services Vocational	25,163,843	25,410,910	25,964,720	25,964,720	25,964,720	26,100,612	26,100,612	26,100,612
84169 Independent Living State Grants	67,169	0	0	0	0	0	0	0
84187 Supported Employment Services	342,480	255,000	255,000	255,000	255,000	255,000	255,000	255,000
84224 Assistive Technology	15,307	0	0	0	0	0	0	0
84265 Rehabilitation Training State Vocation	115,732	219,048	175,013	175,013	175,013	175,013	175,013	175,013
84390 ARRA-Rehab Services-Vocational Rehab Grants	578,816	0	0	0	0	0	0	0
84398 Independent Living- State Grants,Recovery Act	97,165	0	0	0	0	0	0	0
93785 Pilot Program Background Checks	25,411	36,851	0	0	0	0	0	0
TOTAL - All Funds	33,819,920	33,360,189	34,168,284	34,032,991	34,038,918	34,533,583	34,183,036	34,188,775

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**REHABILITATION SUPPORT PROGRAMS****Statutory Reference**

C.G.S. Sections 17b-655 and 17-650a through 17b-666.

**Statement of Need and Program Objectives**

The rehabilitation support program is a compilation of federal grants and a legislatively authorized program that provide services and resources that complement other core programs in the department. Through collaboration and coordination of efforts and funding, this program offers a technical assistance center that strives to reduce barriers to employment for individuals with disabilities by assisting key stakeholders and employers in navigating Connecticut's employment system.

**Program Description**

The Connect-Ability program continues to strengthen and market Connect-Ability, an initiative designed to bring Connecticut employers together with job seekers with disabilities. The cornerstone of the initiative is a technical assistance center designed to meet the needs of employers and job seekers. A toll-free number (1-866-844-1903) provides assistance in navigating the service delivery system and a website ([www.connect-ability.com](http://www.connect-ability.com)) organizes

information on a variety of topics relative to disability and workplace diversity. The Connect-Ability program also created a distance learning platform with a variety of online training modules. The modules are comprised of various topics to help job seekers with disabilities. It is fully accessible and free of charge.

The Connect to Work Program provides a single access point for information about the impact of wages on federal and state benefits. Benefits specialists provide comprehensive benefits analysis summaries to assist individuals with disabilities to maximize income by working and using federal, state and community resources appropriately to enable sustained employment and increased self-sufficiency. Counseling is offered directly to individuals through workshops designed for Social Security beneficiaries and professional staff who serve them.

The Employment Opportunities Program enables individuals with the most significant disabilities to engage in competitive employment by providing funding for long-term supports in order to maintain competitive employment. These long-term supports are provided on a contractual basis by community rehabilitation providers.

<b>Program Measure</b>	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
Employment Opportunities program consumers served	254	272	260	260
Unique Connect-Ability website visitors	15,550	20,000	20,000	20,000
Individuals receiving benefits counseling	737	600	400	400

**REHABILITATION SUPPORT PROGRAMS****Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
Federal and Other Activities	2	0	0	2	2	2	2	2

Budget-in-Detail

<b>Financial Summary</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
<b>(Net of Reimbursements)</b>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<i>Other Current Expenses</i>								
Employment Opportunities	0	0	0	0	757,878	0	0	757,878
<i>Pmts to Other Than Local Governments</i>								
Employment Opportunities	829,192	1,058,119	1,081,080	1,063,409	0*	1,112,431	1,063,409	0*
TOTAL-General Fund	829,192	1,058,119	1,081,080	1,063,409	757,878	1,112,431	1,063,409	757,878
<i>Additional Funds Available</i>								
<b>Federal Contributions</b>								
84224 Assistive Technology	3,734	0	0	0	0	0	0	0
93768 Medicaid Infrastructure Grants	3,791,987	799,831	0	0	0	0	0	0
96008 Soc Sec Benefits Planning, Assistance	179,845	14,019	0	0	0	0	0	0
TOTAL - All Funds	4,804,758	1,871,969	1,081,080	1,063,409	757,878	1,112,431	1,063,409	757,878

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**DISABILITY DETERMINATION SERVICES**

**Statutory Reference**

C.G.S. Sections 17b-650a(b) and 17b-651a.

**Statement of Need and Program Objectives**

The Disability Determination Services program works in cooperation with the Social Security Administration to determine whether the state's applicants for Social Security Disability Insurance and Supplemental Security Income meet the federal disability requirements.

**Program Description**

This program is responsible for making timely and accurate determinations about whether an individual meets the federal criteria for disability or blindness. Disability examiners obtain medical and psychological information, arrange for consultative examinations to obtain additional information, analyze medical and non-medical information and make disability determinations for individuals applying for Supplemental Security Income (SSI) or Social Security Disability Insurance (SSDI).

<b>Program Measure</b>	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
Applications for benefits	43,303	40,996	41,843	43,666
Average days to complete a claim	94	90	88	86
Average of correct determination	98.1%	98.3%	98.5%	98.5%

**DISABILITY DETERMINATION SERVICES**

**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Federal and Other Activities	111	30	-4	137	141	141	141	141

**Financial Summary**

<b>(Net of Reimbursements)</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<i>Additional Funds Available</i>								
<b>Federal Contributions</b>								
96001 Social Security Disability Insurance	22,618,399	22,543,483	22,543,483	22,543,483	22,543,483	22,543,483	22,543,483	22,543,483
TOTAL - All Funds	22,618,399	22,543,483	22,543,483	22,543,483	22,543,483	22,543,483	22,543,483	22,543,483

**INDEPENDENT LIVING PROGRAM**

**Statutory Reference**

C.G.S. Sections 17b-613 through 17b-615 and 17b-657; Rehabilitation Act of 1973, as amended.

**Statement of Need and Program Objectives**

This program supports centers for independent living that are designed and operated within a local community by individuals with disabilities. The centers provide an array of independent living services including information and referral, independent living skills

training, peer counseling, and individual and systems advocacy designed to promote independence, productivity, and quality of life.

**Program Description**

In addition to the legislatively authorized Independent Living Program, DORS administers Title VII of the Rehabilitation Act of 1973 for persons with significant disabilities through contracts with Connecticut's five community-based independent living centers. The centers also oversee the administration and delivery of the federally-mandated state plan for independent living.

<b>Program Measure</b>	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
Consumers served	330	350	250	250

**INDEPENDENT LIVING****Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
Federal and Other Activities	0	0	0	0	1	1	1	1

**Financial Summary****(Net of Reimbursements)**Pmts to Other Than Local Governments

	FY 2012 Actual	FY 2013 Estimated	FY 2014 Requested	Current Services	FY 2014 Recommended	FY 2015 Requested	Current Services	FY 2015 Recommended
Independent Living Centers	519,972	551,804	563,778	556,270	0	580,128	556,270	0
TOTAL-General Fund	519,972	551,804	563,778	556,270	0	580,128	556,270	0

Additional Funds Available**Federal Contributions**

84169 Independent Living State Grants	267,703	450,422	341,975	341,975	341,975	265,001	265,001	265,001
TOTAL - All Funds	787,675	1,002,226	905,753	898,245	341,975	845,129	821,271	265,001

**ASSISTIVE TECHNOLOGY PROGRAM****Statutory Reference**

C.G.S. Sections 17b-661 and 17b-650a through 17b-666.

**Statement of Need and Program Objectives**

Assistive technology (AT) helps individuals with disabilities increase or maintain independence. The statewide Assistive Technology Act program (known as the Connecticut Tech Act project), helps ensure that individuals with disabilities have informed choice about which AT device and/or service is right for them, provides information on funding sources and helps to maximize funds for individuals and entities through the use of AT lending and recycling programs.

**Program Description**

This program increases independence and improves the lives of individuals with disabilities by increasing access to assistive technology devices and services for use at work, school or community living. Services include guidance and consultation; resource and information services; an alternative financing program to help individuals and families purchase equipment; four AT device demonstration sites across the state; AT recycling and reutilization programs; AT device lending as well as training and technical assistance.

<b>Program Measure</b>	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
Total of technology loans issued	\$82,500	\$90,000	\$100,000	\$100,000
Number of individuals served	244	250	250	250
Assistive technology devices recycled	958	1,000	1,000	1,000

**ASSISTIVE TECHNOLOGY****Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
Federal and Other Activities	2	0	0	2	2	2	2	2

**Financial Summary****(Net of Reimbursements)**Additional Funds Available**Federal Contributions**

84224 Assistive Technology	619,116	522,020	394,275	394,275	394,275	394,275	394,275	394,275
TOTAL - All Funds	619,116	522,020	394,275	394,275	394,275	394,275	394,275	394,275

## DRIVER TRAINING PROGRAM FOR PERSONS WITH DISABILITIES

**Statutory Reference**

C.G.S. Section 14-11b.

**Statement of Need and Program Objectives**

This program provides training services to any qualified permanent Connecticut resident who requires special equipment to operate a motor vehicle.

**Program Description**

This program provides driver training and determines special equipment requirements for individuals with physical disabilities who wish to obtain a Connecticut driver's license.

<b>Program Measure</b>	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
Disabled consumers served	253	287	325	350

**DISABLED DRIVER TRAINING PROGRAM****Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
Special Transportation Fund	3	0	0	3	3	0	3	0

**Financial Summary**

<b>(Net of Reimbursements)</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	0	0	0	0	204,226	0	0	212,699
Other Expenses	0	0	0	0	29,612	0	0	26,930
TOTAL-General Fund	0	0	0	0	233,838	0	0	239,629
Personal Services	121,916	195,074	205,056	204,226	0	213,750	212,699	0
Other Expenses	17,436	14,436	30,125	30,125	0	27,204	27,174	0
TOTAL-Special Transportation Fund	139,352	209,510	235,181	234,351	0	240,954	239,873	0

## COUNSELING UNIT FOR INDIVIDUALS WHO ARE DEAF OR HARD OF HEARING

**Statutory Reference**

C.G.S. Sections 17b-650a and 46a-27 through 46a-33.

**Statement of Need and Program Objectives**

To increase self-sufficiency, employability and independence of individuals who are deaf and hard of hearing through the provision of counseling services.

**Program Description**

A counseling unit provides special language, communication, and socio-economic assistance unique to individuals who are deaf or hard of hearing and their families. The program seeks to collaborate with other state and local community agencies to create team approaches in service provision.

**CDHI INTERPRETER/COUNSELING SERVICES****Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
General Fund	5	1	0	6	6	6	6	6
Federal and Other Activities	2	0	0	2	2	2	2	2

**Financial Summary**

<b>(Net of Reimbursements)</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	330,544	359,092	353,787	350,277	362,231	374,395	370,782	382,746
Other Expenses	107,074	119,344	120,234	42,087	42,491	121,449	82,152	81,212
<u>Other Current Expenses</u>								
Part-Time Interpreters	-147,150	191,633	204,000	197,824	196,200	211,300	204,817	201,522
TOTAL-General Fund	290,468	670,069	678,021	590,188	600,922	707,144	657,751	665,480

## CHILDREN'S SERVICES

**Statutory Reference**

C.G.S. Section 10-295(a) and (b).

**Statement of Need and Program Objectives**

The agency provides specialized training, adaptive materials and services to children who are legally blind, visually impaired or deaf

and blind to promote successful integration into educational, social, recreational and vocational settings.

**Program Description**

Certified teachers of the visually impaired provide specialized training and consultation to classroom and special education teachers, parents, paraprofessionals and local school district staff.

Mobility instructors provide training in safe travel techniques in school and the community. Rehabilitation staff provide training in activities of daily living and utilization of adaptive technology devices. The program maintains a full-scope lending library of Braille and large print textbooks and materials and provides adaptive aids

for the vision-related educational needs of the children served by the bureau. Direct services to students include Braille instruction, independent living skills training and transition school-to-work activities.

<b>Program Measure</b>	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
School districts receiving teacher services	114	115	118	120
Students learning Braille	92	95	98	101

#### CHILDREN'S SERVICES

##### Personnel Summary

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	42	2	0	44	44	44	44	44

##### Financial Summary

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	1,801,366	1,956,944	1,821,202	1,803,134	1,864,671	1,909,672	1,891,244	1,952,269
Other Expenses	74,359	64,707	79,455	27,813	28,079	82,004	55,470	54,836
<u>Other Current Expenses</u>								
Educ Aid Blind/Visually Handicap Child	4,597,905	4,821,904	4,979,790	4,954,272	3,603,169	5,214,959	5,214,942	3,795,388
TOTAL-General Fund	6,473,630	6,843,555	6,880,447	6,785,219	5,495,919	7,206,635	7,161,656	5,802,493

#### VOCATIONAL REHABILITATION

##### Statutory Reference

C.G.S. Sections 10-297 and 10-306 through 10-309.

##### Statement of Need and Program Objectives

The agency administers the federal Rehabilitation Act for eligible clients who are legally blind by directly providing and coordinating a broad scope of services to enable the achievement and maintenance of integrated, competitive employment.

##### Program Description

Clients of the program work with vocational rehabilitation counselors to develop individualized employment plans that identify career goals and the services necessary to achieve these goals. Through services such as post-secondary education, skills training, rehabilitation technology, low vision and independent living training, clients acquire marketable vocational skills. Specialized job retention services such as specialized training and adapted equipment for individuals employed at the time of vision loss enable successful continuation of employment.

<b>Program Measure</b>	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
Individuals achieving employment goal	112	114	116	120
Individuals in vocational training and employment program	606	615	620	625

#### VOCATIONAL REHABILITATION

##### Personnel Summary

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
Federal and Other Activities	24	0	0	24	24	24	24	24

##### Financial Summary

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
<u>Pmts to Other Than Local Governments</u>								
Vocational Rehabilitation	890,454	894,928	914,348	899,402	899,402	940,864	899,402	899,402
TOTAL-General Fund	890,454	894,928	914,348	899,402	899,402	940,864	899,402	899,402
<u>Additional Funds Available</u>								
<b>Federal Contributions</b>								
84126 Rehabilitation Services Vocational	3,133,815	3,692,535	3,863,510	3,863,510	3,863,510	3,863,510	3,863,510	3,863,510
84169 Independent Living State Grants	16,086	18,500	18,500	18,500	18,500	18,500	18,500	18,500
84187 Supported Employment Services	21,856	30,000	35,000	35,000	35,000	35,000	35,000	35,000
TOTAL - All Funds	4,062,211	4,635,963	4,831,358	4,816,412	4,816,412	4,857,874	4,816,412	4,816,412

## ADULT SERVICES

**Statutory Reference**

C.G.S. Sections 10-295(c) through 10-295(e), 10-297 and 10-298.

**Statement of Need and Program Objectives**

The agency provides specialized social, educational and rehabilitation services that enable eligible clients of the agency to achieve and maintain the highest level of independence and productivity in daily living activities. This program also serves as the single point of intake for all agency services and coordinates a volunteer services program for clients in support of activities performed by the bureau.

**Program Description**

Social workers issue certificates of legal blindness for property/income tax purposes and provide counseling and referral to support services within the bureau and to community-based organizations. Rehabilitation Teachers assist clients to increase personal and home management independence, leisure time activities and communications. Orientation and mobility instructors teach safe community travel techniques and provide long white canes for use and identification. The program also coordinates volunteer services to assist clients with activities of daily living. The deaf blind program, which is also administered through the Adult Services program, provides for specialized community inclusion activities. Public education programs are offered to senior centers and other community providers to increase awareness and access to agency services.

<b>Program Measure</b>	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
Independent living client served	1,178	1,200	1,250	1,275
Independent living goals achieved	905	915	920	925

## ADULT SERVICES

**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
General Fund	18	1	0	19	19	19	19	19
Federal and Other Activities	4	0	0	4	4	4	4	4

**Financial Summary**

<b>(Net of Reimbursements)</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	1,244,745	1,352,250	1,381,431	1,367,726	1,414,404	1,446,088	1,432,133	1,478,345
Other Expenses	64,621	68,450	70,740	24,762	25,000	72,779	49,230	48,667
<b><u>Pmts to Other Than Local Governments</u></b>								
Supplementary Relief and Services	95,446	104,448	106,715	104,971	99,749	109,810	104,971	99,749
Special Training for the Deaf Blind	254,858	300,085	306,597	301,585	286,581	315,488	301,585	286,581
TOTAL-General Fund	1,659,670	1,825,233	1,865,483	1,799,044	1,825,734	1,944,165	1,887,919	1,913,342
<b><u>Additional Funds Available</u></b>								
<b>Federal Contributions</b>								
84169 Independent Living State Grants	5,489	10,000	15,000	15,000	15,000	15,000	15,000	15,000
84177 Rehab Svcs Independent Living	360,213	375,000	385,000	385,000	385,000	385,000	385,000	385,000
TOTAL - All Funds	2,025,372	2,210,233	2,265,483	2,199,044	2,225,734	2,344,165	2,287,919	2,313,342

## BUSINESS ENTERPRISES

**Statutory Reference**

C.G.S. Section 10-303.

**Statement of Need and Program Objectives**

The agency provides entrepreneurial opportunities for adults who are legally blind to operate businesses on federal, state and municipal properties.

**Program Description**

DORS is responsible for the development of high-quality business ventures for participants who desire to become entrepreneurs. The entrepreneurs derive full profits from the operation of businesses that range from gift shops to full service cafeterias in federal, state and municipal locations. Entrepreneurs receive training in business management and follow-up services. The program is funded entirely through revenues from vending machines installed in municipal, state and federal locations.

<b>Program Measure</b>	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
Vending facility operators	47	48	50	52
Vending machine sites	856	865	875	885

**BLIND ENTERPRISE PROGRAM**

<b>Personnel Summary</b>	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
Federal and Other Activities	12	0	0	12	12	12	12	12

  

<b>Financial Summary</b> (Net of Reimbursements)	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<i>Other Current Expenses</i>								
Employment Opportunities	669,342	676,381	684,000	683,036	653,416	689,000	685,925	653,416
TOTAL-General Fund	669,342	676,381	684,000	683,036	653,416	689,000	685,925	653,416

**WORKER'S REHABILITATION PROGRAM****Statutory Reference**

C.G.S. Chapter 568, Sections 31-275 through 31-355.

**Statement of Need and Program Objectives**

Worker's Rehabilitation Services offer employment retraining to permanently injured workers who are unable to return to former employment.

**Program Description**

This program provides rehabilitation services for individuals injured on the job and are eligible for workers' compensation. Services are intended to help individuals return to gainful employment and might include evaluation, aptitude testing, vocational counseling, job seeking skills training, on-the-job training or formal training.

<b>Program Measure</b>	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
Worker's rehabilitation clients served	1,973	2,000	2,050	2,100
Worker's rehabilitation employment outcomes	315	320	325	330

**WORKER'S REHABILITATION**

<b>Personnel Summary</b>	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
Workers' Compensation Fund	5	1	0	6	6	6	6	6

  

<b>Financial Summary</b> (Net of Reimbursements)	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<i>Other Current Expenses</i>								
Personal Services	425,172	487,578	484,747	484,591	0*	506,917	506,819	0*
Other Expenses	22,610	24,500	25,031	25,031	0*	25,756	25,756	0*
Rehabilitative Services	1,027,636	1,261,913	1,300,670	1,289,296	2,110,315	1,352,175	1,326,685	2,148,107
Fringe Benefits	274,475	336,429	358,663	339,311	0*	379,862	354,875	0*
TOTAL-Workers' Compensation Fund	1,749,893	2,110,420	2,169,111	2,138,229	2,110,315	2,264,710	2,214,135	2,148,107

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**ADMINISTRATION****Statutory Reference**

C.G.S. Sections 17b-650e, 46a-33a and 46a-33b.

**Statement of Need and Program Objectives**

The Bureau of Organizational Support provides an administrative infrastructure that supports all programs administered by the

department and administers a sign language interpreter services program to increase access for individuals who are deaf or hard of hearing.

**Program Description**

This program provides administrative support to the agency including certified interpreting services for individuals who are deaf

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or hard of hearing in situations involving legal and constitutional rights, health, safety, employment, education and personal needs. Numerous state and municipal agencies, employers, private

institutions and other individuals contract for the services of interpreters

**ADMINISTRATOR FUNDS**

**Personnel Summary**

Permanent Full-Time Positions	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	12	12	13	37	29	40	29	40

**Financial Summary**

(Net of Reimbursements)	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	995,332	1,081,376	2,308,506	2,266,244	2,139,362	2,442,985	2,399,193	2,263,907
Other Expenses	566,945	725,745	4,335,009	1,517,438	1,502,382	2,145,784	1,451,479	1,407,945
<b>Capital Outlay</b>								
Equipment	0	2	0	1	1	0	1	1
<b>Pmts to Other Than Local Governments</b>								
Connecticut Radio Information Service	83,258	87,640	89,542	87,640	83,258	92,139	87,640	83,258
TOTAL-General Fund	1,645,535	1,894,763	6,733,057	3,871,323	3,725,003	4,680,908	3,938,313	3,755,111

**AGENCY FINANCIAL SUMMARY - GENERAL FUND**

**Current Expenses by Minor Object**

	FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Actual	Estimated	Requested	Recommended	Requested	Recommended
<b>Personal Services</b>						
Permanent Fulltime Positions	4,189,529	4,551,366	5,809,504	5,995,544	6,115,256	6,298,652
Other Positions	89,142	96,840	101,000	104,447	105,400	108,951
Other	93,116	101,157	128,203	62,829	135,491	69,470
Overtime	200	299	150	156	175	161
TOTAL-Personal Services Gross	4,371,987	4,749,662	6,038,857	6,162,976	6,356,322	6,477,234
Less Reimbursements						
Less Turnover	0	0	0	-212,258	0	-199,671
TOTAL-Personal Services Net	4,371,987	4,749,662	6,038,857	5,950,718	6,356,322	6,277,563

**Other Expenses-Contractual Services**

Dues and Subscriptions	75	100	102	100	128	100
Utility Services	29,190	33,000	34,607	33,000	36,763	33,000
Rentals, Storage and Leasing	444,345	614,138	648,068	648,238	652,538	648,238
Telecommunication Services	51,012	52,800	103,296	86,400	109,664	86,400
General Repairs	17,635	17,600	62,084	61,600	68,373	61,600
Motor Vehicle Expenses	45,226	47,000	48,020	64,916	49,413	61,721
Insurance	225	225	230	225	237	225
Fees for Outside Professional Services	540	600	2,646,411	600	170,631	600
Fees for Non-Professional Services	47,024	48,990	140,053	100,990	149,189	100,990
DP Services, Rentals and Maintenance	25,210	15,725	250,172	147,942	462,160	147,942
Postage	12,987	13,500	16,704	13,500	19,335	13,500
Travel	65,267	66,416	85,780	66,416	90,088	66,416
Other Contractual Services	5,804	6,150	31,897	12,150	36,994	12,150
Advertising and Marketing	5,112	5,000	15,000	5,000	18,750	5,000
Printing & Binding	272	250	255	250	262	250

**Other Expenses-Commodities**

Books	698	700	715	700	894	700
Maintenance and Motor Vehicle Supplies	21,661	22,100	48,616	28,900	53,762	28,900
Medical Supplies	15	0	0	0	0	0
Fuel	10,966	11,300	15,530	11,300	16,977	11,300
Office Supplies	30,181	21,400	127,444	34,696	148,027	34,696

**Other Expenses-Sundry**

Sundry - Other Items	26,883	15,852	345,198	315,852	352,770	315,852
TOTAL-Other Expenses Gross	840,328	992,846	4,620,182	1,632,775	2,436,955	1,629,580
Less Reimbursements						
TOTAL-Other Expenses Net	840,328	992,846	4,620,182	1,632,775	2,436,955	1,629,580

Other Current Expenses

Part-Time Interpreters	-147,150	191,633	204,000	196,200	211,300	201,522
Educ Aid Blind/Visually Handicap Child	4,597,905	4,821,904	4,979,790	3,603,169	5,214,959	3,795,388
Employment Opportunities	669,342	676,381	684,000	1,411,294	689,000	1,411,294
TOTAL-Other Current Expenses	5,120,097	5,689,918	5,867,790	5,210,663	6,115,259	5,408,204

Pmts to Other Than Local Govts

Vocational Rehabilitation - Disabled	7,386,668	7,423,780	7,584,876	0*	7,804,837	0*
Supplementary Relief and Services	95,446	104,448	106,715	99,749	109,810	99,749
Vocational Rehabilitation	890,454	894,928	914,348	8,360,294	940,864	8,360,294
Special Training for the Deaf Blind	254,858	300,085	306,597	286,581	315,488	286,581
Connecticut Radio Information Service	83,258	87,640	89,542	83,258	92,139	83,258
Employment Opportunities	829,192	1,058,119	1,081,080	0*	1,112,431	0*
Independent Living Centers	519,972	551,804	563,778	0	580,128	0
TOTAL-Pmts to Other Than Local Govts	10,059,848	10,420,804	10,646,936	8,829,882	10,955,697	8,829,882

Nonfunctional - Change to Accruals

0	0	0	0	0	39,821
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\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**AGENCY FINANCIAL SUMMARY - SPECIAL TRANSPORTATION FUND**

<b>Current Expenses by Minor Object</b>	FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	121,171	193,879	202,806	0	211,100	0
Other	745	1,195	2,250	0	2,650	0
TOTAL-Personal Services Gross	121,916	195,074	205,056	0	213,750	0
Less Reimbursements						
Less Turnover						
TOTAL-Personal Services Net	121,916	195,074	205,056	0	213,750	0
<u>Other Expenses-Contractual Services</u>						
Telecommunication Services	411	705	3,600	0	3,600	0
Motor Vehicle Expenses	11,512	6,931	17,916	0	14,785	0
<u>Other Expenses-Commodities</u>						
Maintenance and Motor Vehicle Supplies	5,513	6,800	7,313	0	7,519	0
Office Supplies	0	0	1,296	0	1,300	0
TOTAL-Other Expenses Gross	17,436	14,436	30,125	0	27,204	0
Less Reimbursements						
TOTAL-Other Expenses Net	17,436	14,436	30,125	0	27,204	0

**AGENCY FINANCIAL SUMMARY - WORKERS' COMPENSATION FUND**

<b>Current Expenses by Minor Object</b>	FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	422,428	484,430	481,047	0	503,117	0
Other	2,744	3,148	3,700	0	3,800	0
TOTAL-Personal Services Gross	425,172	487,578	484,747	0*	506,917	0*
Less Reimbursements						
Less Turnover						
TOTAL-Personal Services Net	425,172	487,578	484,747	0	506,917	0

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Other Expenses-Contractual Services

Motor Vehicle Expenses	2,087	2,255	2,304	0	2,371	0
Travel	16,665	18,003	18,393	0	18,926	0
Printing & Binding	532	575	587	0	604	0

Other Expenses-Commodities

Office Supplies	3,232	3,491	3,567	0	3,670	0
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Other Expenses-Sundry

Sundry - Other Items	94	176	180	0	185	0
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TOTAL-Other Expenses Gross	22,610	24,500	25,031	0	25,756	0
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Less Reimbursements						
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TOTAL-Other Expenses Net	22,610	24,500	25,031	0*	25,756	0*
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Other Current Expenses

Rehabilitative Services	1,027,636	1,261,913	1,300,670	2,110,315	1,352,175	2,148,107
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Fringe Benefits	274,475	336,429	358,663	0*	379,862	0*
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TOTAL-Other Current Expenses	1,302,111	1,598,342	1,659,333	2,110,315	1,732,037	2,148,107
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\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**Character & Major Object Summary**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	4,371,987	4,749,662	6,038,857	5,754,333	5,950,718	6,356,322	6,081,336	6,277,563
Other Expenses Net	840,328	992,846	4,620,182	1,617,261	1,632,775	2,436,955	1,648,436	1,629,580
Capital Outlay	0	2	0	1	1	0	1	1
Other Current Expenses	5,120,097	5,689,918	5,867,790	5,835,132	5,210,663	6,115,259	6,105,684	5,408,204
Payments to Other Than Local Governments	10,059,848	10,420,804	10,646,936	10,474,169	8,829,882	10,955,697	10,474,169	8,829,882
Nonfunctional - Change to Accruals	0	0	0	53,319	0	0	37,488	39,821
TOTAL-General Fund Net	20,392,260	21,853,232	27,173,765	23,734,215	21,624,039	25,864,233	24,347,114	22,185,051
Personal Services Net	121,916	195,074	205,056	204,226	0	213,750	212,699	0
Other Expenses Net	17,436	14,436	30,125	30,125	0	27,204	27,174	0
Nonfunctional - Change to Accruals	0	0	0	1,423	0	0	1,468	0
TOTAL-Special Transportation Fund Net	139,352	209,510	235,181	235,774	0	240,954	241,341	0
Personal Services Net	425,172	487,578	484,747	484,591	0	506,917	506,819	0
Other Expenses Net	22,610	24,500	25,031	25,031	0	25,756	25,756	0
Other Current Expenses	1,302,111	1,598,342	1,659,333	1,628,607	2,110,315	1,732,037	1,681,560	2,148,107
Nonfunctional - Change to Accruals	0	0	0	3,537	0	0	5,630	0
TOTAL-Workers' Compensation Fund Net	1,749,893	2,110,420	2,169,111	2,141,766	2,110,315	2,264,710	2,219,765	2,148,107
<u>Additional Funds Available</u>								
Federal and Other Activities	57,424,166	54,377,619	53,991,476	53,991,476	53,991,476	54,050,394	54,050,394	54,050,394
TOTAL-All Funds Net	79,705,671	78,550,781	83,569,533	80,103,231	77,725,830	82,420,291	80,858,614	78,383,552