

# OFFICE OF LEGISLATIVE MANAGEMENT

[//www.cga.ct.gov/olm](http://www.cga.ct.gov/olm)

## AGENCY PURPOSE

The Office of Legislative Management provides administrative and operational support for the Connecticut General Assembly.

The office, while implementing the policies of the Joint Committee on Legislative Management, provides administrative and financial services, administers compensation and human resources services,

and oversees the management and maintenance of all buildings and grounds under the supervision and control of the General Assembly.

The Office of Legislative Management ensures the daily functioning of the Legislature for the benefit of the legislators, their staff, and the general public.

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total	Change From	Total	Change From	Total
	Authorized	FY 2013	Recommended	FY 2014	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	439	0	439	0	439
<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	45,260,629	47,370,881	47,370,881	50,020,355	50,020,355
Other Expenses	14,983,232	16,235,635	16,235,635	17,138,316	17,138,316
<u>Capital Outlay</u>					
Equipment	316,000	529,700	529,700	692,800	692,800
<u>Other Current Expenses</u>					
Flag Restoration	75,000	75,000	75,000	75,000	75,000
Minor Capitol Improvements	265,000	700,000	700,000	900,000	900,000
Interim Salary/Caucus Offices	464,100	605,086	605,086	495,478	495,478
CT Academy of Sci & Engineering	100,000	100,000	100,000	100,000	100,000
Old State House	616,523	555,950	555,950	581,500	581,500
TOTAL - Other Current Expenses	1,520,623	2,036,036	2,036,036	2,151,978	2,151,978
<u>Pmts to Other than Local Govts</u>					
Interstate Conference Fund	380,584	383,747	383,747	399,080	399,080
New England Board of Higher Education	194,183	192,938	192,938	202,584	202,584
TOTAL - Pmts to Other than Local Govts	574,767	576,685	576,685	601,664	601,664
<u>Nonfunctional - Change to Accruals</u>					
	0	309,233	309,233	208,102	295,053
TOTAL - General Fund	62,655,251	67,058,170	67,058,170	70,813,215	70,900,166
<u>Additional Funds Available</u>					
Private Funds	2,500,000	3,200,000	3,200,000	3,200,000	3,200,000
TOTAL - All Funds Net	65,155,251	70,258,170	70,258,170	74,013,215	74,100,166

# AUDITORS OF PUBLIC ACCOUNTS

[//www.cga.ct.gov/apa](http://www.cga.ct.gov/apa)

## AGENCY PURPOSE

The Auditors of Public Accounts is a legislative agency of the state of Connecticut. Its primary mission is to audit the books and accounts of each state agency, including the Treasurer and Comptroller; all institutions supported by the state; and all public and quasi-public bodies created by the legislature and not subject to the Municipal Auditing Act. Each audit performed includes an examination and verification of accounting records and documents, a determination of the agency's compliance with applicable state and federal statutory and budgetary requirements, verification of the collection

and proper handling of state revenue, and examination of expenditures charged to state appropriations and federal grants.

The Auditors of Public Accounts also review all whistleblower complaints filed under CGS 4-61dd.

The two auditors may not be of the same political party and are appointed by the General Assembly to assure the independence and impartiality required for effective auditing.

The professional staff includes many certified public accountants and certified fraud examiners.

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total	Change From	Total	Change From	Total
	Authorized	FY 2013	Recommended	FY 2014	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	117	0	117	0	117
<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	11,136,456	11,287,145	11,287,145	11,860,523	11,860,523
Other Expenses	417,709	426,778	426,778	439,153	439,153
<u>Capital Outlay</u>					
Equipment	10,000	10,000	10,000	10,000	10,000
<u>Nonfunctional - Change to Accruals</u>					
TOTAL - General Fund	11,564,165	11,792,609	11,792,609	12,379,309	12,379,313



# COMMISSION ON AGING

## AGENCY PURPOSE

The Commission on Aging (CoA) was created in 1993 as an independent, non-partisan, public policy agency within state government for older adults. In 2005, the CoA became a legislative agency through Public Act 05-77. In 2009 its board composition was altered and its mandate strengthened through PA 09-7, with Results-Based Accountability embedded into its work.

The CoA:

- Advances systemic change in many areas including, but not limited to, long-term care, health care, nutrition, housing, employment, transportation, legal assistance and economic security.
- Raises awareness about the dynamic challenges and opportunities presented by an aging state and nation.
- Works directly with and serves as an independent, non-partisan resource to the Connecticut General Assembly, Governor, municipalities, and stakeholders on aging-related issues.
- Independently, and at the General Assembly's direction, conducts and/or directs comprehensive studies on trends and issues, such as long-term care, that impact older adults and, increasingly, persons with disabilities.
- Convenes and leads diverse groups in multi-faceted efforts to develop, enhance and promote progressive public policy.
- Develops and comments on state legislation, regulations and state agency policies and programs to promote a more effective, efficient and coordinated system.

*The Commission on Aging is recommended for elimination as a result of the creation of the State Department on Aging in the Governor's budget as part of his proposal to restructure and transform state government.*

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total Authorized	Change From FY 2013	Total Recommended	Change From FY 2014	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	4	0	4	0	4
<b>Financial Summary</b>					
	FY 2013 Estimated	FY 2014 Current Services	FY 2014 Total Recommended	FY 2015 Current Services	FY 2015 Total Recommended
Personal Services	251,989	395,673	395,673	417,627	417,627
Other Expenses	6,495	37,418	37,418	38,848	38,848
<u>Capital Outlay</u>					
Equipment	1,500	0	0	0	0
<u>Nonfunctional - Change to Accruals</u>	0	7,901	7,901	1,751	2,499
TOTAL - General Fund	259,984	440,992	440,992	458,226	458,974

# PERMANENT COMMISSION ON THE STATUS OF WOMEN

[//www.cga.ct.gov/pcsw](http://www.cga.ct.gov/pcsw)

## AGENCY PURPOSE

The Permanent Commission on the Status of Women (PCSW) was established to study and improve Connecticut women's economic security, health and safety; to promote consideration of qualified women to leadership positions; and to work toward the elimination of gender discrimination. As a non-partisan arm of the General Assembly, the agency monitors, critiques and recommends changes

to legislation to inform public policy, and assesses programs and practices in State agencies for their effect on the state's women. The PCSW serves as a liaison between government and its diverse constituents, and convenes stakeholders, including the business, non-profit and educational communities, local governments, and the media, in order to promote awareness of women's issues.

*The Permanent Commission on the Status of Women is recommended for consolidation into a new Commission on Citizen Advocacy in the Governor's budget as part of his proposal to restructure and transform state government.*

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total	Change From	Total	Change From	Total
	Authorized	FY 2013	Recommended	FY 2014	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	6	0	6	0	6
<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	447,419	513,111	513,111	543,032	543,032
Other Expenses	55,475	58,834	58,834	57,117	57,117
<u>Capital Outlay</u>					
Equipment	1,500	1,000	1,000	1,000	1,000
<u>Nonfunctional - Change to Accruals</u>					
	0	5,476	5,476	3,583	3,588
TOTAL - General Fund	504,394	578,421	578,421	604,732	604,737

# COMMISSION ON CHILDREN

[//www.cga.ct.gov/coc](http://www.cga.ct.gov/coc)

## AGENCY PURPOSE

The Commission on Children is a legislative agency established to:

- Study the status of children and children's programs in order to identify programs, policies and legislation that will improve the development of children and strengthen the capabilities of families to provide for children's basic needs.
- Inform individuals and leaders of business, labor, education, state and local government, the media and the General Assembly of findings and recommendations.
- Promote child and family program and policy coordination across the three branches of government and between local and state endeavors.
- Perform services to facilitate adoption of the recommendations.
- Develop and distribute informational materials regarding children's issues and respond to public queries about child and family policy.
- Promote community and family engagement for good child outcomes.

*The Commission on Children is recommended for consolidation into a new Commission on Citizen Advocacy in the Governor's budget as part of his proposal to restructure and transform state government.*

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total	Change From	Total	Change From	Total
	Authorized	FY 2013	Recommended	FY 2014	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	7	0	7	0	7
<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	502,233	630,416	630,416	670,356	670,356
Other Expenses	29,507	26,187	26,187	27,055	27,055
<u>Nonfunctional - Change to Accruals</u>	0	9,431	9,431	5,062	5,062
TOTAL - General Fund	531,740	666,034	666,034	702,473	702,473

# LATINO AND PUERTO RICAN AFFAIRS COMMISSION

[//www.cga.ct.gov/lprac](http://www.cga.ct.gov/lprac)

## AGENCY PURPOSE

The Latino and Puerto Rican Affairs Commission's (LPRAC) mission is to coordinate and provide access to resources by developing and recommending to the Governor and the General Assembly policy for the advancement of the Latino and Puerto Rican community by:

- Meet regularly to review and focus on matters pertaining to the achievement of the life desired results for the Latino and Puerto Rican population of the state such as health, safety, educational success, economic self-sufficiency, and freedom from discrimination.
- Making recommendations to the General Assembly and the Governor for new or enhanced policies, programs and services that will foster progress in achieving the desired results.
- Reviewing and commenting on any proposed state legislation or recommendations that may affect the Latino and Puerto Rican population of the state and provide copies of any such comments to members of the General Assembly.
- Advising the General Assembly and Governor concerning the coordination and administration of state programs that affect the Latino and Puerto Rican population of the state.
- Gathering and maintaining current information regarding the Latino and Puerto Rican population of the state that can be used to better understand the status, condition and contributions of such Latino and Puerto Rican population. Such information shall be included in the annual report and shall be made available to legislators and other interested parties upon request.
- Maintaining a liaison between the Latino and Puerto Rican population of the state and government agencies, including the General Assembly.
- Conducting educational and outreach activities intended to raise awareness of critical issues for the Latino and Puerto Rican population of the state.

*The Latino and Puerto Rican Affairs Commission is recommended for consolidation into a new Commission on Citizen Advocacy in the Governor's budget as part of his proposal to restructure and transform state government.*

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total Authorized	Change From FY 2013	Total Recommended	Change From FY 2014	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	3	1	4	0	4
<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	284,684	400,430	400,430	419,433	419,433
Other Expenses	33,766	63,980	63,980	28,144	28,144
<u>Nonfunctional - Change to Accruals</u>	0	6,351	6,351	2,457	2,457
TOTAL - General Fund	318,450	470,761	470,761	450,034	450,034

# AFRICAN-AMERICAN AFFAIRS COMMISSION

[//www.cga.ct.gov/aaac](http://www.cga.ct.gov/aaac)

## AGENCY PURPOSE

The African-American Affairs Commission is a legislative agency established to:

- Focusing its efforts on the desired quality of life results that all members of the African-American population of the state are healthy, safe, achieve educational success, economically self-sufficient and free from discrimination.
- Make recommendations to the General Assembly and the Governor for new or enhanced policies, programs and services that will foster progress in achieving the desired results described.
- Review and comment on any proposed state legislation or recommendations that may affect the African-American population of the state and provide copies of any such comments to members of the General Assembly.
- Advise the General Assembly and Governor concerning the coordination and administration of state programs that affect the African-American population of the state.
- Gather and maintain current information regarding the African-American population of the state that can be used to better understand the status, condition and contributions of such African-American population.
- Maintain a liaison between the African-American population of the state and government agencies, including the General Assembly.
- Conduct educational and outreach activities intended to raise awareness of critical issues for the African-American population of the state.

*The African-American Affairs Commission is recommended for consolidation into a new Commission on Citizen Advocacy in the Governor's budget as part of his proposal to restructure and transform state government.*

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total Authorized	Change From FY 2013	Total Recommended	Change From FY 2014	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	2	1	3	0	3
<b>Financial Summary</b>		FY 2014	FY 2014	FY 2015	FY 2015
	FY 2013	Current	Total	Current	Total
	Estimated	Services	Recommended	Services	Recommended
Personal Services	187,166	260,856	260,856	273,642	273,642
Other Expenses	22,663	25,032	25,032	25,684	25,684
<u>Nonfunctional - Change to Accruals</u>	0	4,081	4,081	1,544	1,551
TOTAL - General Fund	209,829	289,969	289,969	300,870	300,877

# ASIAN PACIFIC AMERICAN AFFAIRS COMMISSION

## AGENCY PURPOSE

The Asian Pacific American Affairs Commission is established as a legislative agency to deal with issues related to the Asian Pacific American population. The commission makes recommendations to the General Assembly and the Governor on the health, safety, education, economic self-sufficiency and efforts to remain free from discrimination within the Asian Pacific American population in Connecticut by:

- Reviewing and commenting on proposed state legislation that may affect the Asian Pacific American population of the state.
- Advising on the coordination and administration of state programs that affect the Asian Pacific American population of the state.
- Conducting educational and outreach activities intended to raise awareness of critical issues for the Asian Pacific American population of the state.

*The Asian Pacific American Affairs Commission is recommended for consolidation into a new Commission on Citizen Advocacy in the Governor's budget as part of his proposal to restructure and transform state government.*

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total	Change From	Total	Change From	Total
	Authorized	FY 2013	Recommended	FY 2014	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	2	0	2	0	2
<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	147,482	169,370	169,370	179,683	179,683
Other Expenses	4,213	65,709	65,709	15,038	15,038
<u>Capital Outlay</u>					
Equipment	1,500	0	0	0	0
<u>Nonfunctional - Change to Accruals</u>					
	0	4,483	4,483	2,586	2,678
TOTAL - General Fund	153,195	239,562	239,562	197,307	197,399



# GOVERNOR'S OFFICE

[www.governor.ct.gov/malloj](http://www.governor.ct.gov/malloj)

## AGENCY PURPOSE

The Governor is the elected constitutional officer who is responsible for:

- The executive direction and supervision of the general administration of the State.
- The appointment of commissioners of departments, members of boards and commissions, trustees and other officials.
- Presentation of budget recommendations to the General Assembly.
- The approval or veto of legislation passed by the General Assembly.

## RECOMMENDED SIGNIFICANT CHANGES

	<b>FY 2014</b>	<b>FY 2015</b>
<b>Reductions to Current Services</b>		
• Remove or Limit Inflation	-5,818	-13,346
• Remove Funding for Salary Increases for Appointed Officials	-120,617	-253,403
• Annualize FY 2013 Rescissions	-33,957	-33,957
<b>Reallocations or Transfers</b>		
• Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-3,100	-3,100
• Streamline Agency Account Structure <i>The accounts that support membership in the National Governors' Association and the New England Governors' Conference are combined into the Other Expenses account.</i>	0	0
<b>Technical Adjustments</b>		
• Revise GAAP Accrual Amounts	-75,635	-26,775

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total Authorized	Change From FY 2013	Total Recommended	Change From FY 2014	Total Recommended
<b>Permanent Full-Time Positions</b>					
General Fund	27	0	27	0	27
<b>Other Positions Equated to Fulltime</b>					
General Fund	2	0	2	0	2
<b>Financial Summary</b>					
	FY 2013 Estimated	FY 2014 Current Services	FY 2014 Total Recommended	FY 2015 Current Services	FY 2015 Total Recommended
Personal Services	2,270,218	2,452,642	2,322,025	2,592,063	2,328,660
Other Expenses	231,311	237,129	457,490	244,657	464,834
<b>Capital Outlay</b>					
Equipment	1	1	1	1	1
<b>Pmts to Other than Local Govts</b>					
New England Governors' Conference	113,138	115,593	0*	118,945	0*
National Governors' Association	134,720	137,643	0*	141,635	0*
TOTAL - Pmts to Other than Local Govts	247,858	253,236	0	260,580	0
<b>Nonfunctional - Change to Accruals</b>					
TOTAL - General Fund	2,749,388	3,018,643	2,779,516	3,133,106	2,802,525

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.



# SECRETARY OF THE STATE

## AGENCY PURPOSE

<http://www.sots.ct.gov>

The Secretary of the State seeks to educate and inform the public of services, programs and responsibilities of the office, and advocates issues, policies and programs which promote a healthy democracy and an active, engaged citizenry, with emphasis on encouraging young people to participate in civic life.

The office administers, interprets, and implements all state and federal laws pertaining to elections, primaries, nominating procedures and the acquisition and exercise of voting rights. The office also encourages and monitors the implementation of the National Voter Registration Act, the Help America Vote Act and other voter registration efforts in Connecticut. The Secretary of the State is the official keeper of all acts, orders, grants, and resolutions of the General Assembly; publishes the *State Register and Manual*; receives and maintains legislation, regulations and other executive branch records as required by statute; and administers Connecticut's notary public program.

### **Commercial Recording Division**

The Commercial Recording Division maintains and makes information available to the public regarding corporations, limited partnerships, limited liability companies, statutory trusts, limited liability partnerships, Uniform Commercial Code and trademarks by reviewing, recording, copying, computerizing, and certifying documents for and of public record. The division also administers the Address Confidentiality Program. This program provides victims of domestic violence, sexual assault, stalking, and risk of injury to a minor with a substitute address to use with state and local government agencies. This prevents public access to a participant's actual address on government records.

## RECOMMENDED SIGNIFICANT CHANGES

### **Reductions to Current Services**

	<b>FY 2014</b>	<b>FY 2015</b>
• Remove or Limit Inflation	-46,344	-108,729
• Remove Funding for Salary Increases for Appointed Officials	-33,547	-70,478
• Annualize FY 2013 Rescissions	-145,031	-145,031
• Eliminate Funding for Board of Accountancy Vacancy	-62,912	-67,770
• Reduce Printing Costs for the State Register and Manual	-10,000	-10,000

### **Reallocations or Transfers**

• Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-5,982	-5,982
• Centralize Costs for On-line Licensing System at the Department of Administrative Services	-10,000	-10,000
• Reallocate Citizenship Training to the Secretary of the State <i>Transfers funding for citizenship training from the Department of Social Services.</i>	50,000	50,000

### **Technical Adjustments**

• Revise GAAP Accrual Amounts	29,190	-1,137
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## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total	Change From	Total	Change From	Total
	Authorized	FY 2013	Recommended	FY 2014	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	85	-1	84	0	84

<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	1,045,730	1,163,501	1,153,186	1,216,695	1,195,025
Other Expenses	563,356	575,213	569,207	586,780	569,207
<u>Capital Outlay</u>					
Equipment	1	1	1	1	1
<u>Other Current Expenses</u>					
Commercial Recording Division	5,797,115	7,170,456	7,003,824	7,344,013	7,103,239
Board of Accountancy	337,284	351,114	270,251	370,139	282,167
TOTAL - Other Current Expenses	6,134,399	7,521,570	7,274,075	7,714,152	7,385,406
<u>Nonfunctional - Change to Accruals</u>	0	44,443	73,633	35,197	34,060
TOTAL - General Fund	7,743,486	9,304,728	9,070,102	9,552,825	9,183,699
<u>Additional Funds Available</u>					
Federal and Other Activities	1,558,316	0	0	0	0
TOTAL - All Funds Net	9,301,802	9,304,728	9,070,102	9,552,825	9,183,699



# LIEUTENANT GOVERNOR'S OFFICE

[www.state.ct.us/otlg](http://www.state.ct.us/otlg)

## AGENCY PURPOSE

The Lieutenant Governor is the elected constitutional officer who is charged with:

- Succeeding the Governor in the event of disability or the office becoming vacant during the term.
- Operating the State government during the Governor's absence from the state.
- Presiding over the State Senate and casting the tie-breaking vote when the Senate is equally divided.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

	<u>FY 2014</u>	<u>FY 2015</u>
• Remove or Limit Inflation	-3,239	-6,166
• Remove Funding for Salary Increases for Appointed Officials	-18,200	-38,235
• Annualize FY 2013 Rescissions	-24,727	-24,727
• Eliminate the Office of Health Reform and Innovation	-213,830	-227,848

*The Office of Health Reform and Innovation is eliminated, and two positions and funding are transferred to Personal Services to support various health care initiatives overseen by the Lieutenant Governor, including the Health Insurance Exchange and health information technology efforts.*

### Reallocations or Transfers

• Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-31	-31
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### Technical Adjustments

• Revise GAAP Accrual Amounts	9,693	387
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## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total	Change From	Total	Change From	Total
	Authorized	FY 2013	Recommended	FY 2014	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	9	-2	7	0	7
<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	423,042	442,205	630,003	462,241	642,515
Other Expenses	67,541	70,453	74,133	72,937	74,133
<u>Capital Outlay</u>					
Equipment	1	1	1	1	1
<u>Other Current Expenses</u>					
Health Reform and Innovation	427,000	451,505	0	478,477	0
<u>Nonfunctional - Change to Accruals</u>	0	2,809	12,502	3,022	3,409
TOTAL - General Fund	917,584	966,973	716,639	1,016,678	720,058
<u>Additional Funds Available</u>					
Federal and Other Activities	4,750	0	0	0	0
Private Funds	20,000	0	0	0	0
TOTAL - All Funds Net	942,334	966,973	716,639	1,016,678	720,058



# STATE TREASURER

## AGENCY PURPOSE

[www.state.ct.us/ott](http://www.state.ct.us/ott)

The State Treasurer, elected for a term of four years as prescribed by the State Constitution, is responsible for the safe custody of the property and money of the state and makes disbursements on warrants drawn and presented by the State Comptroller.

The Treasurer invests the state's General Fund as well as the assets of the state's pensions, trusts and other funds. The Treasurer administers the issuance of state bonds and the payment of principal and interest thereon. The Treasurer also manages the process of the

borrowing of those funds, the repayment of which is a limited or contingent liability of the state.

The Treasurer serves as the custodian for all unclaimed property remitted to the state. The Treasurer safeguards these assets, publicizes the names of the rightful owners and returns those assets to the owners as they come forward.

The Second Injury Fund, a workers' compensation fund financed by assessments on businesses, is also under the jurisdiction of the Treasurer.

## RECOMMENDED SIGNIFICANT CHANGES

	<b>FY 2014</b>	<b>FY 2015</b>
<b>Reductions to Current Services</b>		
• Remove or Limit Inflation	-3,992	-9,304
• Remove Funding for Salary Increases for Appointed Officials	-55,700	-117,019
• Annualize FY 2013 Rescissions	-8,967	-8,967
<b>Reallocations or Transfers</b>		
• Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-4,119	-4,119
<b>Technical Adjustments</b>		
• Revise GAAP Accrual Amounts	-5,576	-5,422

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total Authorized	Change From FY 2013	Total Recommended	Change From FY 2014	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	48	0	48	0	48
Federal and Other Activities	113	0	113	0	113
Clear Water Fund	3	0	3	0	3
<u>Other Positions Equated to Fulltime</u>					
Federal and Other Activities	1	0	1	0	1
<b>Financial Summary</b>					
	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	3,381,288	3,584,867	3,529,167	3,768,404	3,651,385
Other Expenses	179,350	183,342	166,264	188,654	166,264
<u>Capital Outlay</u>					
Equipment	1	1	1	1	1
<u>Nonfunctional - Change to Accruals</u>					
TOTAL - General Fund	3,560,639	3,795,371	3,717,017	3,984,684	3,839,853
<u>Additional Funds Available</u>					
Unclaimed Property Fund	6,000,000	6,179,702	6,179,702	6,364,794	6,364,794
Second Injury & Compensation Assurance	7,249,668	7,466,694	7,466,694	7,690,468	7,690,468
Investment Trust Fund	78,686,303	81,046,142	81,046,142	83,476,774	83,476,774
Private Funds	19,341,350	19,921,515	19,921,515	20,519,085	20,519,085
TOTAL - All Funds Net	114,837,960	118,409,424	118,331,070	122,035,805	121,890,974



## STATE COMPTROLLER

[www.osc.state.ct.us](http://www.osc.state.ct.us)

## AGENCY PURPOSE

To provide accounting and financial services, to administer employee and retiree benefits, to develop accounting policy and exercise

accounting oversight, and to prepare financial reports for state, federal and municipal governments and the public.

## RECOMMENDED SIGNIFICANT CHANGES

	<b>FY 2014</b>	<b>FY 2015</b>
<b>Reductions to Current Services</b>		
• Remove or Limit Inflation	-74,168	-175,443
• Remove Funding for Salary Increases for Appointed Officials	-50,028	-105,102
<b>Reallocations or Transfers</b>		
• Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-2,088	-2,088
<b>Technical Adjustments</b>		
• Revise GAAP Accrual Amounts	-6,759	54,026

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total	Change From	Total	Change From	Total
	Authorized	FY 2013	Recommended	FY 2014	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	273	0	273	0	273
<b>Financial Summary</b>		FY 2014	FY 2014	FY 2015	FY 2015
	FY 2013	Current	Total	Current	Total
	Estimated	Services	Recommended	Services	Recommended
Personal Services	22,690,975	22,934,693	22,884,665	24,148,653	24,043,551
Other Expenses	4,018,046	4,498,214	4,421,958	4,599,489	4,421,958
<u>Capital Outlay</u>					
Equipment	1	1	1	1	1
<u>Pmts to Other than Local Govts</u>					
Governmental Accounting Standards Bd	19,570	19,570	19,570	19,570	19,570
<u>Nonfunctional - Change to Accruals</u>	0	210,382	203,623	94,897	148,923
TOTAL - General Fund	26,728,592	27,662,860	27,529,817	28,862,610	28,634,003



# DEPARTMENT OF REVENUE SERVICES

## AGENCY PURPOSE

[www.ct.gov/drs](http://www.ct.gov/drs)

- To administer the tax laws of the State of Connecticut.
- To collect the tax revenues in the most cost effective manner.
- To strive to achieve the highest level of voluntary compliance through accurate, efficient and courteous customer services.
- To perform in a manner which instills public confidence in the integrity and fairness of the department's programs.

## RECENT HIGHLIGHTS

- Performed a LEAN event to reduce tax delinquency through a cross-agency team approach resulted in the clearing of more than 225,000 delinquent sales and use tax accounts.
- Collected more than \$7 million through the agency's voluntary disclosure program.
- Expanded its cooperative refund offset program with the Internal Revenue Service resulting in the collection of \$10,366,172. Similar programs with New York and Rhode Island resulted in the collection of \$18,269,424.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

	<b>FY 2014</b>	<b>FY 2015</b>
• Remove or Limit Inflation	-178,303	-421,436
• Remove Funding for Salary Increases for Appointed Officials	-18,048	-37,917
• Annualize FY 2013 Rescissions	-264,227	-269,399
• Realize Cost Savings by Mandating Electronic Filing for Business Tax Returns <i>Postage, printing and data entry costs will be reduced through this initiative.</i>	-500,000	-500,000
• Reduce Funding for Information Services Division Overtime	-15,000	-15,000

### Reallocations or Transfers

• Centralize Affirmative Action Planning Function in CHRO <i>Funding for one position is transferred to reflect the centralization of affirmative action planning under CHRO.</i>	-65,225	-67,149
• Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-8,472	-8,472

### New or Expanded Services

	<b>FY 2014</b>	<b>FY 2015</b>	<b>FY 2016</b>
• Provide Funding to Implement a Tax Amnesty Program <i>Provides funding for advertising consultants, media advertisements, temporary workers and system upgrades necessary to implement and administer a tax amnesty program.</i>	1,830,000	0	0

### Technical Adjustments

• Revise GAAP Accrual Amounts	-987	-20,759
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## AGENCY SUMMARY

	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total	Change From	Total	Change From	Total
	Authorized	FY 2013	Recommended	FY 2014	Recommended
<b>Personnel Summary</b>					
<u>Permanent Full-Time Positions</u>					
General Fund	670	-6	664	0	664
<b>Financial Summary</b>					
	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	55,412,504	58,086,407	57,853,869	60,830,547	60,446,045
Other Expenses	8,153,274	8,389,422	9,409,801	8,629,614	7,704,801
<u>Capital Outlay</u>					
Equipment	1	1	1	1	1
<u>Other Current Expenses</u>					
Collection and Litigation Contingency	99,256	101,410	94,294	104,351	94,294
<u>Nonfunctional - Change to Accruals</u>					
	0	324,800	323,813	347,010	326,251
TOTAL - General Fund	63,665,035	66,902,040	67,681,778	69,911,523	68,571,392

# OFFICE OF GOVERNMENTAL ACCOUNTABILITY

## AGENCY PURPOSE

[www.ct.gov/oga](http://www.ct.gov/oga)

The Office of Governmental Accountability is a newly created agency formed by consolidating those agencies and programs whose primary mission is to enhance honesty, integrity, and accountability within state government. The consolidated agencies include: the Freedom of Information Commission, Board of Firearms Permit

Examiners, Judicial Review Council, Judicial Selection Commission, and Office of the Child Advocate, Office of State Ethics, State Contracting Standards Board, State Elections Enforcement Commission, and Office of the Victim Advocate.

***The Council on Environmental Quality is recommended for consolidation with the Office of Governmental Accountability in the Governor's budget as part of his proposal to restructure and transform state government.***

## RECENT HIGHLIGHTS

- The Office of State Ethics successfully defended in the Connecticut Supreme Court the Board's decision in Advisory Opinion No. 2009-3, "Application of the Code of Ethics for Public Officials to Workers' Compensation Commission Hearing Reporters." This was the first legal challenge of a Citizen's Ethics Advisory Board advisory opinion.
- The Victim Advocate co-sponsored the *16th Annual Melanie Rieger Conference Against Violence*.

## RECOMMENDED SIGNIFICANT CHANGES

	<b>FY 2014</b>	<b>FY 2015</b>	
<b>Reductions to Current Services</b>			
• Remove or Limit Inflation	-1,506	-6,367	
• Annualize FY 2013 Rescissions	-41,956	-41,956	
• Remove Funding for Salary Increases for Appointed Officials	-27,437	-57,641	
• Eliminate Funding for Vacancies <i>Reflects savings for two vacant positions.</i>	-186,794	-198,170	
<b>Reallocations or Transfers</b>			
• Consolidate Council on Environmental Quality into the Office of Governmental Accountability <i>One position is transferred to the Office of Governmental Accountability to support the Council on Environmental Quality.</i>	106,013	108,969	
• Streamline Agency Account Structure <i>Transfer funding for the divisions of the Office of Governmental Accountability into Personal Services and Other Expenses except for the accounts specific to the State Elections Enforcement Commission (SEEC), Office of State Ethics (OSE), and Freedom of Information Commission (FOIC). The two SEEC accounts are consolidated into a single account.</i>	0	0	
• Create the Office of Hearings <i>Transfer staff from the divisions of the Office of Governmental Accountability to create an Office of Hearings.</i>	0	0	
• Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-3,349	-3,349	
<b>New or Expanded Services</b>			
• Provide Funding for Additional IT Staff for the State Ethics Commission <i>One position is added to assist with lobbyist registration and training programs.</i>	42,908	60,694	<b>FY 2016</b> 60,694
<b>Technical Adjustments</b>			
• Revise GAAP Accrual Amounts	-53,924	-13,420	

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total	Change From	Total	Change From	Total
	Authorized	FY 2013	Recommended	FY 2014	Recommended
<b><u>Permanent Full-Time Positions</u></b>					
General Fund	86	2	88	0	88

<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	832,767	875,884	4,747,841	925,994	4,995,163
Other Expenses	275,288	259,424	384,132	208,735	333,443
<u>Capital Outlay</u>					
Equipment	24,905	1	1	1	1
<u>Other Current Expenses</u>					
Child Fatality Review Board	95,010	95,682	0*	101,255	0*
Information Technology Initiatives	33,250	33,972	0*	34,957	0*
Citizens' Election Fund Admin	2,128,549	1,759,186	0*	1,956,136	0*
Elections Enforcement Commission	1,006,720	1,503,523	0*	1,592,340	0*
Office of State Ethics	1,293,638	1,380,158	750,338	1,465,822	798,424
Freedom of Information Commission	1,712,235	1,706,725	981,540	1,766,808	999,407
Judicial Review Council	144,952	139,328	0*	143,981	0*
Judicial Selection Commission	90,620	92,580	0*	95,246	0*
Office of the Child Advocate	567,465	536,839	0*	561,905	0*
Office of Victim Advocate	315,235	325,400	0*	343,765	0*
Board of Firearms Permit Examiners	81,086	83,598	0*	86,033	0*
ELECTIONS ENFORCEMENT ADMINISTRATION	0	0	1,816,327	0	2,018,720
TOTAL - Other Current Expenses	7,468,760	7,656,991	3,548,205	8,148,248	3,816,551
<u>Nonfunctional - Change to Accruals</u>	0	53,924	0	54,795	41,375
TOTAL - General Fund	8,601,720	8,846,224	8,680,179	9,337,773	9,186,533

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.



# OFFICE OF POLICY AND MANAGEMENT

[www.ct.gov/opm](http://www.ct.gov/opm)

## AGENCY PURPOSE

- To support the Governor in development, implementation and analysis of various policies relating to assets management, criminal justice, health and human services, and information technology systems.
- To prepare executive budget and execute biennial budgets as enacted into law.
- To provide analyses, evaluations and recommendations to the Governor regarding the financial implications of state policies and practices.
- To formulate policy pertaining to the relationship between the state and Connecticut's municipalities.
- To improve the effectiveness of state services by ensuring the efficient use of resources through research, policy development and interagency coordination.
- To deliver timely and effective labor relations and collective bargaining services on behalf of the state as an employer.
- To design and manage human resources systems for the state.
- To review and monitor the core financial management policies and practices in state agencies.
- To ensure the implementation of programs enacted by law.

## RECENT HIGHLIGHTS

### *Financial Management Policies and Practices*

As part of the initiative to streamline the contracting processes and realize greater efficiencies, established the Purchase of Services (POS) Contract Efficiency Project Office. The project office is comprised of staff donated from the six state agencies that purchase health and human services from non-profit providers. The annual expenditures of the 1,100 POS contracts exceed \$1.4 billion. The purpose of the project office is to streamline, standardize and automate contract development and execution, financial reporting, and payment systems associated with POS contracts. The project office has created an electronic document vault for uploading contract compliance forms once per year as opposed to forms being submitted for each separate contractor amendment.

### *Criminal Justice Policy and Planning*

The agency received a \$915,000 grant from the U.S. Department of Justice for 22 towns in Connecticut to work towards enhancing police services, strengthening community and youth relations, and reviewing crime prevention strategies.

### *Information Technology Systems*

As part of the Governor's effort to modernize the state information technology infrastructure and making government more user-

friendly, efficient and transparent, during the 2012 legislative session the General Assembly approved the establishment of an information technology capital investment program, which includes a bond allocation of \$50 million for FY 2013. The initiatives selected through the program will be targeted towards a multi-agency, shared service solution.

### *Municipal Programs*

The Intergovernmental Policy Division provided technical support to Mid-State and Connecticut River Estuary Regional planning agencies through the processes of merging two planning regions and forming a single Council of Governments.

Other efforts to encourage shared services and, therefore, reduce municipal costs included the Regional Performance Incentive grant program, which provides funding to two or more municipalities, economic development districts, or regional planning organizations that wish to study or establish regional programs that replace the individual efforts of municipalities; and an Inter-municipal Capital Equipment Purchase Incentive grant program, which provides partial funding to any two or more municipalities for the acquisition of capital equipment which will be shared.

## RECOMMENDED SIGNIFICANT CHANGES

### **Reductions to Current Services**

	<b>FY 2014</b>	<b>FY 2015</b>
• Remove or Limit Inflation	-120,563	-282,067
• Extend Cap on Statutory Formula Grants	-73,220,093	-73,220,093
• Annualize FY 2013 Rescissions	-846,874	-846,874
• Annualize the FY 2013 Deficit Mitigation Plan	-708,000	-708,000
<i>Funding for the following programs is reduced: Revenue Maximization and Innovation Challenge Grant Program.</i>		
• Reduce Pequot Grant Funding <i>Reduce appropriated funding for the Pequot Grant which is offset by an increase in funding for the Local Capital Improvement Program.</i>	-56,429,907	-56,429,907
• Reduce Funding for Vacancies	-1,718,610	-1,889,938

• Eliminate Funding for Programs <i>Eliminate funding for Innovation Challenge Grant Program, Revenue Maximization, Focus Deterrence and Main Street Investment Fund Administration programs and General Fund funding for Regional Planning Agencies grants. The Regional Planning grants will be paid from the Regional Performance Incentive Account.</i>	-1,236,376	-1,236,376		
• Realize Savings from the Consolidation of Human Resources Functions Within the Office of Policy and Management <i>By restructuring and streamlining the statewide human resources function and revising how the state conducts recruitment and examinations, efficiencies are anticipated that will result in savings to the state.</i>	-292,587	-301,365		
• Remove Funding for Salary Increases for Appointed Officials	-69,735	-146,506		
<b>Reallocations or Transfers</b>				
• Transfer State Owned PILOT Funding to Education Cost Sharing Grant	-73,641,646	-73,641,646		
• Transfer the Renters' Rebate Program to the Department of Housing	-26,888,781	-28,703,774		
• Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-3,790	-3,790		
• Streamline Agency Account Structure <i>Reallocate funding from the Automated Budget System and Cash Management accounts to Other Expenses.</i>	0	0		
• Transfer Labor Agreement Accounts from the Department of Administrative Services to the Office of Policy and Management	807,000	807,000		
• Transfer Statewide Human Resources from the Department of Administrative Services to the Office of Policy and Management	3,368,815	3,478,544		
<b>New or Expanded Services</b>	<b>FY 2014</b>	<b>FY 2015</b>	<b>FY 2016</b>	
• Provide Funding for Municipal Aid Adjustments <i>This grant will minimize any town-by-town impact resulting from changes to other components of municipal aid.</i>	47,221,132	31,559,234	0	
• Appropriate Funding for a Position Previously Paid from Private Funds	0	50,000	50,000	
<b>Technical Adjustments</b>				
• Revise GAAP Accrual Amounts	24,550	2,321		

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total	Change From	Total	Change From	Total
	Authorized	FY 2013	Recommended	FY 2014	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	147	13	160	0	160
Insurance Fund	2	0	2	0	2
Federal and Other Activities	1	0	1	0	1
<u>Other Positions Equated to Fulltime</u>					
General Fund	2	0	2	0	2
Insurance Fund	1	0	1	0	1
<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	11,264,140	14,043,699	14,728,376	14,740,947	15,278,476
Other Expenses	2,127,148	2,179,413	2,106,798	2,246,476	2,106,798
<u>Capital Outlay</u>					
Equipment	1	1	1	1	1
<u>Other Current Expenses</u>					
Tuition Reimburs Training, Travel	0	0	382,000	0	382,000
Labor - Management Fund	0	0	75,000	0	75,000
Quality of Work-Life	0	0	350,000	0	350,000
Automated Budget Sys & Database Lnk	52,322	53,457	0*	55,008	0*
Cash Management Improvement Act	95	97	0*	100	0*
Justice Assistance Grants	1,131,353	1,155,903	1,076,943	1,189,425	1,078,704

## Budget Summary

Innovation Challenge Grant Program	500,000	510,850	0	525,665	0
Revenue Maximization	787,500	804,589	0	827,922	0
Criminal Justice Information System	2,089,605	1,856,718	1,856,718	482,700	482,700
Main Street Investment Fund Administration	75,000	76,628	0	78,850	0
<b>TOTAL - Other Current Expenses</b>	<b>4,635,875</b>	<b>4,458,242</b>	<b>3,740,661</b>	<b>3,159,670</b>	<b>2,368,404</b>
<u><i>Pmts to Other than Local Govts</i></u>					
Tax Relief for Elderly Renters	25,260,000	26,888,781	0	28,703,774	0
Regional Planning Agencies	500,000	204,340	0	210,266	0
<b>TOTAL - Pmts to Other than Local Govts</b>	<b>25,760,000</b>	<b>27,093,121</b>	<b>0</b>	<b>28,914,040</b>	<b>0</b>
<u><i>Pmts to Local Governments</i></u>					
Loss of Taxes on State Property	73,641,646	73,641,646	0	73,641,646	0
Loss Taxes Private Tax-Exempt Property	115,431,737	115,431,737	115,431,737	115,431,737	115,431,737
Reimb Property Tax-Disability Exempt	400,000	400,000	400,000	400,000	400,000
Distressed Municipalities	5,800,000	5,800,000	5,800,000	5,800,000	5,800,000
Prop Tax Relief Elder-Circuit Breaker	20,505,900	20,505,900	20,505,900	20,505,900	20,505,900
Prop Tax Relief Elderly Freeze Program	390,000	235,000	235,000	235,000	235,000
Property Tax Relief for Veterans	2,970,098	2,970,098	2,970,098	2,970,098	2,970,098
Focus Deterrence	500,000	510,850	0	525,665	0
Municipal Aid Adjustment	0	0	47,221,132	0	31,559,234
<b>TOTAL - Pmts to Local Governments</b>	<b>219,639,381</b>	<b>219,495,231</b>	<b>192,563,867</b>	<b>219,510,046</b>	<b>176,901,969</b>
<u><i>Nonfunctional - Change to Accruals</i></u>					
<b>TOTAL - General Fund</b>	<b>263,426,545</b>	<b>267,422,345</b>	<b>213,316,891</b>	<b>268,571,180</b>	<b>196,655,648</b>
Personal Services	208,927	232,048	232,048	241,800	291,800
Other Expenses	500	511	500	526	500
<u><i>Other Current Expenses</i></u>					
Fringe Benefits	144,161	162,434	162,434	169,260	169,260
<u><i>Nonfunctional - Change to Accruals</i></u>					
<b>TOTAL - Insurance Fund</b>	<b>353,588</b>	<b>398,292</b>	<b>398,281</b>	<b>413,947</b>	<b>466,242</b>
<u><i>Pmts to Local Governments</i></u>					
Grants to Towns	61,779,907	135,000,000	5,350,000	135,000,000	5,350,000
<b>TOTAL - Mashantucket Pequot and Mohegan Fund</b>	<b>61,779,907</b>	<b>135,000,000</b>	<b>5,350,000</b>	<b>135,000,000</b>	<b>5,350,000</b>
<u><i>Additional Funds Available</i></u>					
Federal and Other Activities	21,452,612	9,063,914	9,063,914	4,063,948	4,063,948
Private Funds	101,483,103	101,106,070	100,506,070	105,606,070	100,606,070
<b>TOTAL - All Funds Net</b>	<b>448,495,755</b>	<b>512,990,621</b>	<b>328,635,156</b>	<b>513,655,145</b>	<b>307,141,908</b>

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.



# DEPARTMENT OF VETERANS' AFFAIRS

[www.ct.gov/ctva](http://www.ct.gov/ctva)

## AGENCY PURPOSE

- To provide comprehensive assistance and formal representation to veterans, their spouses and/or eligible dependents in obtaining rights, benefits and privileges to which they may be entitled under federal, state and local laws.
- To provide comprehensive healthcare for veterans across a continuum of needs. Inpatient healthcare covers a complete array of services, from rehabilitation to long-term nursing, dementia, end of life and palliative care. A plan of care is developed and continually

updated for each resident to assist the veteran in reaching his/her maximum potential. Comprehensive healthcare services are provided to the residents in the residential facility working with the federal Veterans Administration.

- To provide a residential level of care, substance abuse recovery and associated services which facilitate rehabilitation and assist with the return to independent living.

## RECENT HIGHLIGHTS

### CAPITAL IMPROVEMENTS

Neared completion of a multi-year project to renovate the State Veterans Home WWII-era residential buildings which have capacity to house over 375 homeless and needy veterans. The project includes new roofs and drainage systems, HVAC systems modernization, fire sprinkler upgrades and bathroom renovations.

### COMMUNITY OUTREACH

The Office of Advocacy and Assistance (OAA) served over 2,000 walk-in clients and answered thousands of telephone inquiries, acquired 1,200 new clients and filed 1,194 new federal VA benefits claims resulting in over \$4.5 million in new income for Connecticut veterans and their families.

### CONNECTICUT VETERANS WARTIME SERVICE MEDALS

Awarded over 28,000 Connecticut Veterans Wartime Service Medals and certificates and entered over 68,000 names into the Connecticut Veterans Registry. Six community award ceremonies were held in state FY 2012, resulting in the presentation of hundreds of medals. The agency also awarded posthumous Wartime Service Medals for the families of Connecticut veterans who died on or after January 1, 2000. To date, 311 posthumous medals have been awarded.

### CONNECTICUT VETERANS INFO LINE

The Connecticut Veterans INFO Line (1-866-9CT-VETS) continues to receive an average of 25 calls per day. This service provides veterans an easy access to general benefit information, assistance with requesting military records, referrals to other state agencies, and helpful information on the location and availability of the five district offices.

### SPECIAL EVENTS

- Stand Down - On September 21, 2012 DVA held the 17<sup>th</sup> Annual "Connecticut Cares" Stand Down offering outreach and services to homeless and needy veterans from across the state. Over 1,200 veterans participated and utilized the services and resources provided. Charitable contributions, foundation grants and federal grants covered the full cost of this significant event. Stand Down was held on the grounds of the Veterans' Home in Rocky Hill with approximately 70 federal, state and community agencies offering services and benefits information to needy veterans.
- Annual Courage Awards – The ceremony recognized those who demonstrated courage, compassion and assisted in helping fellow veterans.
- Wreaths Across America - 400 wreaths were placed at Middletown cemetery, 70 wreaths were placed at Colonel Gates Cemetery in Rocky Hill and several hundred wreaths were placed in Darien cemetery with coordinated ceremonies at each individual cemetery.
- Heroes for Hire - DVA and the Department of Labor co-sponsored a "Heroes for Hire" Job Fair at Rentschler Field in April 2012. Over 1,000 veterans attended and met with nearly 100 businesses at the event.
- Connecticut Veterans Hall of Fame - Ten new honorees were inducted into the Class of 2012 in November. The Connecticut Veterans Hall of Fame, which is administered by the DVA, now includes 82 distinguished Connecticut veterans who have gone on to serve their communities with equal honor.

## RECOMMENDED SIGNIFICANT CHANGES

### *Reductions to Current Services*

- Remove or Limit Inflation
- Remove Funding for Salary Increases for Appointed Officials
- Annualize FY 2013 Rescissions
- Annualize FY 2013 Deficit Mitigation Plan

	<b>FY 2014</b>	<b>FY 2015</b>
	-454,292	-729,660
	-18,988	-39,891
	-177,000	-177,000
	-75,592	-75,592

Budget Summary

**Reallocations or Transfers**

• Streamline Agency Account Structure	0	0
<i>In order to consolidate funding for similar activities and provide the agency with more flexibility in executing programs, the funding for Burial Expenses and Headstones has been consolidated into the Support Services for Veterans account.</i>		
• Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-2,225	-2,225
• Transfer DVA IT to DAS BEST	-452,703	-465,235
<i>This proposal enables DAS/BEST to manage all information technology-related activities on behalf of DVA. Five positions and associated Other Expenses dollars will be transferred to DAS to support this change.</i>		
<b>Technical Adjustments</b>		
• Revise GAAP Accrual Amounts	-45,820	-1,986

**AGENCY SUMMARY**

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total	Change From	Total	Change From	Total
	Authorized	FY 2013	Recommended	FY 2014	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	253	-5	248	0	248
<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	21,838,133	22,636,448	21,974,165	23,751,410	23,055,692
Other Expenses	5,645,075	6,099,367	5,607,850	6,374,735	5,607,850
<u>Capital Outlay</u>					
Equipment	1	1	1	1	1
<u>Other Current Expenses</u>					
Support Services for Veterans	190,000	190,000	520,200	190,000	520,200
<u>Pmts to Other than Local Govts</u>					
Burial Expenses	7,200	7,200	0*	7,200	0*
Headstones	350,000	350,000	0*	350,000	0*
TOTAL - Pmts to Other than Local Govts	357,200	357,200	0	357,200	0
<u>Nonfunctional - Change to Accruals</u>					
TOTAL - General Fund	28,030,409	29,404,541	28,177,921	30,812,720	29,321,131
<u>Additional Funds Available</u>					
Federal and Other Activities	44,609	0	0	0	0
Private Funds	1,600,000	1,617,700	1,617,700	1,648,300	1,648,300
TOTAL - All Funds Net	29,675,018	31,022,241	29,795,621	32,461,020	30,969,431

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.



# DEPARTMENT OF ADMINISTRATIVE SERVICES

[www.das.state.ct.us](http://www.das.state.ct.us)

## AGENCY PURPOSE

The Department of Administrative Services (DAS) is the single agency in charge of providing administrative services to other state agencies.

DAS's mission is to provide statewide policy to State of Connecticut agencies on matters related to purchasing, motor vehicle fleet, human resources, information technology, property and facilities management, along with other centralized services, and to supply the best possible people, goods and services to the agencies on time,

in accordance with their business needs, and within statutory requirements.

DAS's services enable the state to save money by taking advantage of economies of scale and streamlining services and processes. The services provided cross state agencies, municipalities, vendors, colleges and universities, non-profit organizations and the public at large.

*The Department of Construction Services is recommended for consolidation with the Department of Administrative Services and other agencies in the Governor's budget as part of his proposal to restructure and transform state government.*

## RECENT HIGHLIGHTS

### BUREAU OF CENTRAL ADMINISTRATION (BCA):

- Implemented the Online Bid Response system, a new user-friendly bid submission tool for our vendors and customers which significantly reduced paper, printing, shredding and storage costs.
- Provided online training videos for potential vendors who are new to the online bidding application.
- For the fourth consecutive year surpassed the billion dollar mark by collecting more than \$1.12 billion in paid claims due to the state through partnerships with sister state agencies, continued streamlining of processes, and innovative use of technology.
- Completed a thorough review and analysis of the motor vehicle leasing rate structure which should allow agencies to realize a reduction in their expenses.
- Achieved over \$8 million in cost reductions during this fiscal year through negotiation, reverse auction, cooperative contracting or rebates in the administration of over 1,000 contracts for executive branch agencies.
- The Food Distribution Program (FDP) managed the statewide distribution of USDA Foods to eligible Connecticut school districts and undertook a program to purchase more Connecticut-grown fresh fruits and vegetables. In school year 2011/12, locally grown produce, valued at \$153,000, was purchased through the new program, a 200% increase from the prior school year.

### BUREAU OF PROPERTY AND FACILITY MANAGEMENT (BPFM):

- Upgraded lighting and installed various lighting control elements, and installed new high-efficiency burners in the boilers at the State Office Building, 165 Capitol Avenue, Hartford.
- Implemented numerous Lead By Example (LBE) projects that improved energy efficiency and provided long-term cost savings.
- Reduced the number of state leases from 176 to 152 and reduced the square footage of leased space by consolidating agencies in state-owned space. From 2010 to the present, the amount of leased space under DAS jurisdiction has been reduced by 11.5% with an associated cost saving of \$2.8 million.

- Stood up a new 24/7 security operations center through the Department of Administrative Services to improve overall security services to state employees, assets and visitors to state facilities using more cost effective methods.

### BUREAU OF ENTERPRISE SYSTEMS AND TECHNOLOGY (BEST):

- Coordinated the procurement of a multi-agency, internal IT service desk to save over \$650,000 in licensing costs for the state.
- Supported and participated in the National Level Exercise sponsored by U.S. Department of Homeland Security to test the nation's ability to coordinate and implement prevention, preparedness, response and recovery plans and capabilities, pertaining to a significant cyber event or a series of events.
- Worked collaboratively with the Secretary of the State on the deployment of a new voter system.
- Worked collaboratively with the Department of Motor Vehicles on the new teen driver test scheduling program.
- Launched a new capability to provide secure access to state email on a variety of smart phones, allowing state employees to turn in state-provided devices.
- Established and executed technology and policies to prevent unauthorized access to state systems and data from the Internet. In FY 2012, the efforts system blocked over 2.1 billion access attempts and approximately 42 million access attempts weekly.

### OFFICE OF THE CLAIMS COMMISSIONER:

- Three hundred seventy-five new claims were received during FY 2012, with 55 of those new claims adjudicated or disposed of in FY 2012. A total of 155 total claims were adjudicated in FY 2012.
- Entered awards totaling \$143,367 for 41 claims where the award did not exceed \$7,500. Six additional claimants were granted permission to sue the state.

**STATE PROPERTIES REVIEW BOARD:**

- A total of 311 proposals were reviewed by the board during the fiscal year.
  - 48% from the Department of Transportation
  - 32% from the Department of Construction Services
  - 15% from the Department of Administrative Services
  - 5% from all other agencies.
- The average time to review proposals was 14.96 calendar days per contract (including weekends and holidays).
- The board’s recommendations resulted in quantifiable annual taxpayer savings in third party transaction costs of approximately \$479,101.
- The board’s staff worked with DAS and OPM to implement the utilization of SharePoint software to review and process submittals through a secure electronic file sharing site. This process became fully operational for the board in December 2012.

**STATE MARSHAL COMMISSION:**

- Completed Memorandum of Understanding with the DMV to take over address verification responsibilities from DMV to provide this service to state marshals out of the SMC office.

**STATE INSURANCE AND RISK MANAGEMENT BOARD:**

- Worked with UConn Health Center and Department of Transportation to provide insurance requirements and risk management initiatives for significant capital projects.
- Utilized the competitive selection process to contract with a new third party administrator to manage fleet and highway liability claims.
- Solicited and purchased additional named windstorm property damage coverage in response to a new catastrophic model which showed potential of \$500 million of state property at risk in New Haven/Hartford corridor.

**RECOMMENDED SIGNIFICANT CHANGES**

<b>Reductions to Current Services</b>	<b>FY 2014</b>	<b>FY 2015</b>
• Remove or Limit Inflation	-1,936,084	-4,059,564
• Remove Funding for Salary Increases for Appointed Officials	-53,032	-111,414
• Annualize FY 2013 Rescissions	-2,739,865	-2,739,865
• Annualize FY 2013 Deficit Mitigation Plan <i>Reduction for cost saving measures adopted in FY 2013 in a variety of areas, including reductions in property management costs and leasing, and competitively bidding contracts.</i>	-2,192,204	-2,192,204
• Absorb Expenses for the Capitol Child Development Center in the Office of Legislative Management	-120,888	-120,888
• Eliminate the Hospital Billing Appropriation <i>Expenditures related to the billing system will be provided through the IT Services account.</i>	-138,562	-145,490
• Reduce the Insurance and Risk Management Account <i>Reflects historical spending levels.</i>	-387,607	-416,636
• Reduce Funding for Property Management and Rent <i>Funding is reduced based on the anticipated closure of a building, continued reductions in leased space, and reduced property management costs.</i>	-677,336	-781,184
• Achieve Savings as a Result of the Consolidation of Construction Services into the Department of Administrative Services <i>Savings from the elimination of 13 positions resulting from the merging of the Department of Construction Services into the Department of Administrative Services.</i>	-1,062,394	-1,113,326
<b>Reallocations or Transfers</b>		
• Consolidate the Department of Construction Services Within the Department of Administrative Services <i>The school construction unit, the fire and building safety unit and the project management staff for state construction projects other than Board of Regents and Regional Vocational Technical High Schools are recommended for transfer into the Department of Administrative Services from the Department of Construction Services. Included are 98 general fund positions, 22 positions paid for from facility design and construction bond funds, and 8 federally funded positions.</i>	10,307,051	10,707,668
• Transfer Costs for Courier and Central Mail Services from Customer Agencies <i>Funds are transferred from customer agencies to the Department of Administrative Services to realign costs associated with moving courier and central mail operations.</i>	485,107	485,107
• Transfer the Department of Veterans' Affairs Information Technology Functions to the Department of Administrative Services <i>This proposal enables DAS/BEST to manage all information technology-related activities on behalf of DVA. Five positions and associated Other Expenses dollars is transferred to DAS to support this change.</i>	452,703	465,235

• Reallocate Licensing System Costs from Customer Agencies to the Department of Administrative Services <i>Funding is transferred from the Departments of Public Health, Consumer Protection, and Agriculture, and the Office of the Secretary of the State to the Department of Administrative Services to manage the on-line licensing system for those agencies.</i>	100,000	100,000		
• Streamline Agency Account Structure <i>Transfer the Connecticut Education Network account into the IT Services account, and the Surety Bonds for State Officials account into the Insurance and Risk Management account.</i>	0	0		
• Transfer the Employees' Review Board to the Department of Labor	-22,210	-22,210		
• Transfer Claims Costs to the Comptroller <i>Streamline the agency's account structure by moving staffing costs to Personal Services, and claims costs to the Adjudicated Claims account within the Office of the Comptroller.</i>	-100,000	-100,000		
• Transfer Statewide Human Resources to the Office of Policy and Management <i>Transfer statewide human resource operations, including agency liaisons, classifications, grievances, exams, audit, training, and HR-related Core-CT staff to the Office of Policy and Management. The transfer includes 37 full-time and 3 part-time positions. Additionally, the collective bargaining accounts for Quality of Work Life, Labor Management and Tuition Reimbursement are transferred.</i>	-4,175,815	-4,285,544		
<b><i>New or Expanded Services</i></b>			<b>FY 2014</b>	<b>FY 2015</b>
• Support Courier and Central Mail Services Within the General Fund <i>Support for courier and central mail operations are recommended within the General Fund rather than the Department of Administrative Services' general services revolving fund. Additional resources are required to support staff and other costs beyond levels that have historically been recovered from customer agencies.</i>			256,179	296,599
				<b>FY 2016</b>
				296,599
<b><i>Technical Adjustments</i></b>				
• Revise GAAP Accrual Amounts			73,044	466,053

## AGENCY SUMMARY

<b><i>Personnel Summary</i></b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total	Change From	Total	Change From	Total
	Authorized	FY 2013	Recommended	FY 2014	Recommended
<b><i>Permanent Full-Time Positions</i></b>					
General Fund	540	71	611	0	611
Federal and Other Activities	1	8	9	0	9
Bond Fund	0	22	22	0	22
Technical Services	11	0	11	0	11
General Services	74	-18	56	0	56
<b><i>Other Positions Equated to Fulltime</i></b>					
General Fund	6	-1	5	0	5
<b><i>Financial Summary</i></b>					
	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	38,788,855	40,343,817	45,547,684	42,789,598	48,278,057
Other Expenses	31,343,930	37,787,632	35,825,292	46,418,190	43,118,346
<b><i>Capital Outlay</i></b>					
Equipment	1	1	1	1	1
<b><i>Other Current Expenses</i></b>					
Tuition Reimburs Training, Travel	0	382,000	0	382,000	0
Labor - Management Fund	0	75,000	0	75,000	0
Management Services	4,767,986	5,488,607	4,741,484	5,706,030	4,753,809
Loss Control Risk Management	120,898	120,898	114,854	120,898	114,854
Employees' Review Board	23,378	23,378	0	23,378	0
Surety Bonds for State Officials/Employees	82,000	67,600	0*	9,700	0*
Quality of Work-Life	0	350,000	0	350,000	0
Refunds of Collections	27,076	27,664	25,723	28,466	25,723
Rents and Moving	11,924,000	15,221,213	14,183,335	15,341,455	14,100,447

Budget Summary

Capitol Day Care Center	127,250	129,186	0	131,783	0
W. C. Administrator	5,250,000	5,302,500	5,250,000	5,302,500	5,250,000
Hospital Billing System	114,951	138,562	0*	145,490	0*
Connecticut Education Network	2,966,493	3,620,111	0*	3,732,507	0*
Claims Commissioner Operations	258,651	328,505	0*	345,456	0*
Insurance & Risk Operations	12,350,000	12,993,063	12,706,563	13,695,386	13,350,986
IT Services	13,806,786	14,664,931	17,052,382	15,152,573	17,141,108
TOTAL - Other Current Expenses	51,819,469	58,933,218	54,074,341	60,542,622	54,736,927
<u>Nonfunctional - Change to Accruals</u>	0	658,522	734,264	266,757	729,894
TOTAL - General Fund	121,952,255	137,723,190	136,181,582	150,017,168	146,863,225
<u>Other Current Expenses</u>					
Insurance & Risk Operations	7,335,373	7,752,150	7,364,543	8,332,710	7,916,074
<u>Nonfunctional - Change to Accruals</u>	0	2,901	203	923	3,839
TOTAL - Special Transportation Fund	7,335,373	7,755,051	7,364,746	8,333,633	7,919,913
<u>Additional Funds Available</u>					
Federal and Other Activities	27,093,495	4,718,536	4,718,536	248,680	248,680
Private Funds	15,879,412	8,138,874	9,623,538	7,339,560	8,913,304
TOTAL - All Funds Net	172,260,535	158,335,651	157,888,402	165,939,041	163,945,122

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

# DEPARTMENT OF CONSTRUCTION SERVICES

## AGENCY PURPOSE

- To plan and manage the construction of new state-owned facilities and major renovations of existing state facilities for state agencies.
- To promote safety and prevent loss of life, injury and damage by adopting, promulgating, amending and administering safety codes and standards; by training all municipal fire and building officials and by performing a variety of investigative and licensing activities.
- To provide reviews of plans and processing of funding for school construction projects.

*The Department of Construction Services is recommended for consolidation with the Department of Administrative Services and other agencies in the Governor's budget as part of his proposal to restructure and transform state government.*

## RECOMMENDED SIGNIFICANT CHANGES

<b>Reductions to Current Services</b>	<b>FY 2014</b>	<b>FY 2015</b>
• Remove or Limit Inflation	-29,761	-60,878
• Remove Funding for Salary Increases for Appointed Officials	-12,568	-26,406
• Annualize FY 2013 Rescissions	-52,308	-52,308
• Transfer Positions to Bond Funds	-120,824	-129,095
<i>Transfer two positions to the Board of Regents and support via bond funds.</i>		
<b>Reallocations or Transfers</b>		
• Transfer Functions of Department of Construction Services to the Department of Administrative Services	-10,375,512	-10,781,865
<i>Transfers the school construction unit, the fire and building safety unit and the project management staff for state construction projects, other than Board of Regents and Regional Vocational Technical High Schools, to the Department of Administrative Services.</i>		
• Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-426	-426
<b>Technical Adjustments</b>		
• Revise GAAP Accrual Amounts	-49,541	-37,912

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total	Change From	Total	Change From	Total
	Authorized	FY 2013	Recommended	FY 2014	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	94	-94	0	0	0
Federal and Other Activities	8	-8	0	0	0
Bond Fund	55	-55	0	0	0
<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	8,894,319	9,453,979	0	9,918,939	0
Other Expenses	1,046,161	1,137,420	0	1,132,039	0
<u>Nonfunctional - Change to Accruals</u>	0	49,541	0	37,912	0
TOTAL - General Fund	9,940,480	10,640,940	0	11,088,890	0
<u>Additional Funds Available</u>					
Private Funds	1,428,656	1,484,664	0	1,573,744	0
TOTAL - All Funds Net	11,369,136	12,125,604	0	12,662,634	0



# ATTORNEY GENERAL

## AGENCY PURPOSE

[www.ct.gov/ag](http://www.ct.gov/ag)

The Attorney General is the chief legal officer of the State of Connecticut. The Office of the Attorney General serves as legal counsel to all state agencies and acts to protect the public interest for the people of the State of Connecticut.

The mission of the office is to represent and advocate the interests of the state and its citizens, to ensure that state government acts

within the letter and spirit of the law, to protect public resources for present and future generations, to preserve and enhance the quality of life for all of the state's citizens and to safeguard the rights of its most vulnerable citizens.

## RECOMMENDED SIGNIFICANT CHANGES

	<b>FY 2014</b>	<b>FY 2015</b>
<b>Reductions to Current Services</b>		
• Remove or Limit Inflation	-21,392	-45,068
• Remove Funding for Salary Increases for Appointed Officials	-30,188	-63,420
• Annualize FY 2013 Rescissions	-300,000	-300,000
<b>Reallocations or Transfers</b>		
• Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-2,640	-2,640
<b>Technical Adjustments</b>		
• Revise GAAP Accrual Amounts	-17,085	-2,757

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total Authorized	Change From FY 2013	Total Recommended	Change From FY 2014	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	298	0	298	0	298
Federal and Other Activities	11	0	11	0	11
<u>Other Positions Equated to Fulltime</u>					
General Fund	6	0	6	0	6
<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	29,516,393	31,431,409	31,101,221	32,990,085	32,626,665
Other Expenses	940,920	975,351	951,319	997,027	949,319
<u>Capital Outlay</u>					
Equipment	1	1	1	1	1
<u>Nonfunctional - Change to Accruals</u>					
	0	217,038	199,953	212,164	209,407
TOTAL - General Fund	30,457,314	32,623,799	32,252,494	34,199,277	33,785,392
<u>Additional Funds Available</u>					
Federal and Other Activities	80,000	80,000	80,000	80,000	80,000
Second Injury & Compensation Assurance	1,013,378	1,043,779	1,043,779	1,075,092	1,075,092
Private Funds	157,000	162,000	162,000	167,000	167,000
TOTAL - All Funds Net	31,707,692	33,909,578	33,538,273	35,521,369	35,107,484



## DIVISION OF CRIMINAL JUSTICE

### AGENCY PURPOSE

The Division of Criminal Justice is constitutionally charged with the investigation and prosecution of all criminal matters in the Superior Court. Pursuant to Article 23 of the Connecticut Constitution, the division's mission is to contribute to the due process of criminal law

and to achieve justice. Article 23, enacted by the voters in 1984, established the division as an Executive Branch agency and transferred it from the Judicial Branch.

### RECENT HIGHLIGHTS

#### SHOOTING TASK FORCES

In response to a spike in the number of shooting incidents in Hartford, the division formed an investigative team known as the Hartford Shooting Task Force. The task force works in conjunction with the Hartford, East Hartford, Manchester and West Hartford Police Departments, as well as the Connecticut State Police, the Department of Correction, the federal Drug Enforcement Administration and Bureau of Alcohol, Tobacco and Firearms. The Task Force focuses primarily on non-fatal shootings – incidents which previously did not receive prompt in-depth investigation due to the need for departments with scarce resources to concentrate on homicide cases. Such an approach has had a synergistic effect, as many non-fatal shootings are interlinked due to gang retaliations and often provide investigators with information regarding homicides. Since the inception of the Shooting Task Force in July 2011, the City of Hartford has seen a decrease of approximately 33% in the number of persons struck by gunfire. In a much shorter period of time the City of New Haven also has seen a notable decline in shootings, thus prompting the City of New Britain to request the initiation of a shooting team there, which began operations in the Fall of 2012.

#### COLD CASE UNIT

Cold cases over the past year included DNA cases, physical evidence cases and eye witness accounts. Arrests were made for crimes including murder, sexual assault, kidnapping, and armed robbery. The Unit has made 38 arrests in homicide cases, resulting in 29 convictions. Case referral is at an all time high. The success of the Unit engendered the creation of a Cold Case Unit for New London County, in cooperation with the Groton, Norwich, Stonington, and Waterford Police Departments, and the New London State's Attorney.

Cold Case efforts will be further bolstered by operating from the new Cold Case Unit Headquarters located at the Office of the Chief State's Attorney. The Headquarters, created with federal support, provides modern, efficient facilities and equipment for the Unit and precludes the need and expense of the previous offsite facility. The same federal grant provided space and furnishings for a new Inspectors' Support Center which will optimize training and research capabilities for division Inspectors.

#### POST-CONVICTION DNA TESTING

The division's cooperation with the Connecticut Innocence Project in regard to the testing of potentially exculpatory DNA evidence is ongoing. One case in this effort resulted in the exoneration of an individual incarcerated for murder and the subsequent

identification and arrest of a suspect who has been linked to and charged with two additional homicides. A federal grant, awarded by the United States Department of Justice to the division of Criminal Justice, Division of Public Defender Services, and the Department of Public Safety Forensic Science Laboratory to continue and expand such testing, has been extended and renewed.

#### INFORMATION TECHNOLOGY

Utilizing five separate federal grants the division is upgrading its information technology infrastructure as well as designing and implementing an internal Case Management System that will minimize case processing time, eliminate redundant data, and streamline reporting. This will mark the first time since its establishment that the agency possesses an electronic database of case activity. In the further pursuit of optimizing the accuracy of data collection and reporting, the division conducted its federally-funded Audit of Law Enforcement Data Baseline to Criminal History Repository Records project.

#### RECORDED CONFESSIONS

The division conducted a pilot program for recording custodial interviews in major felony investigations. Participating sites were the Bridgeport, Meriden, Southington and Waterford Police Departments, and the Department of Public Safety's Eastern and Western District Major Crime Squads. Over 1,000 custodial interviews have been recorded, resulting in more than 100 confessions. Pursuant to Public Act No. 11-174, *An Act Concerning the Electronic Recording of Custodial Interrogations*, the Chief State's Attorney formed the Electronic Recording of Custodial Interrogations Working Group, which assisted in the development and promulgation of standards for the equipment to be used in the electronic recording of relevant custodial interrogations.

#### DOMESTIC VIOLENCE INITIATIVES

The division received federal STOP Violence Against Women Act (VAWA) funds to conclude its Domestic and Sexual Violence Investigation Kits program in which police officers in the field were provided with the training and resources necessary to completely and accurately document a domestic or sexual violence crime, leading to increased abuser accountability and victim safety. The division received further VAWA funding to continue support for five dedicated domestic violence prosecutor positions.

## RECOMMENDED SIGNIFICANT CHANGES

	<b>FY 2014</b>	<b>FY 2015</b>
<b>Reductions to Current Services</b>		
• Remove or Limit Inflation - General Fund	-75,612	-159,823
• Annualize FY 2013 Rescissions	-74,277	-74,277
• Annualize FY 2013 Deficit Mitigation Plan <i>Removes funding for Cold Case Unit vacancy.</i>	-50,898	-54,046
<b>Reallocations or Transfers</b>		
• Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-5,364	-5,364
• Streamline Agency Account Structure <i>Funding for the Cold Case Unit and Shooting Task Force accounts has been consolidated into Personal Services and Other Expenses.</i>	0	0
<b>Technical Adjustments</b>		
• Revise GAAP Accrual Amounts - General Fund	50,913	17,694

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total	Change From	Total	Change From	Total
	Authorized	FY 2013	Recommended	FY 2014	Recommended
<b>Permanent Full-Time Positions</b>					
General Fund	483	-1	482	0	482
Workers' Compensation Fund	4	0	4	0	4
Federal and Other Activities	10	-9	1	0	1
<b>Financial Summary</b>					
	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current	Total	Current	Total
	Services	Services	Recommended	Services	Recommended
Personal Services	43,351,437	44,810,856	45,917,986	46,939,233	48,113,796
Other Expenses	2,314,353	2,531,234	2,602,533	2,607,307	2,602,760
<b>Capital Outlay</b>					
Equipment	23,401	1	1	1	1
<b>Other Current Expenses</b>					
Witness Protection	220,000	200,000	200,000	200,000	200,000
Training and Education	67,500	53,374	50,000	53,374	50,000
Expert Witnesses	286,000	350,000	350,000	350,000	350,000
Medicaid Fraud Control	1,155,149	1,151,372	1,151,372	1,191,890	1,191,890
Criminal Justice Commission	395	500	481	500	481
Cold Case Unit	355,072	318,561	0*	338,413	0*
Shooting Taskforce	1,062,621	1,062,626	0*	1,121,720	0*
TOTAL - Other Current Expenses	3,146,737	3,136,433	1,751,853	3,255,897	1,792,371
<b>Nonfunctional - Change to Accruals</b>					
TOTAL - General Fund	48,835,928	50,729,404	50,574,166	53,077,883	52,802,067
Personal Services	407,580	358,609	358,609	382,159	382,159
Other Expenses	30,653	17,000	17,000	17,000	17,000
<b>Capital Outlay</b>					
Equipment	1	1	1	1	1
<b>Other Current Expenses</b>					
Fringe Benefits	281,230	256,772	256,772	273,645	273,645
<b>Nonfunctional - Change to Accruals</b>					
TOTAL - Workers' Compensation Fund	719,464	632,382	632,382	677,775	677,775
<b>Additional Funds Available</b>					
Federal and Other Activities	2,412,443	479,127	479,127	211,180	211,180
Private Funds	370,941	200,000	200,000	200,000	200,000
TOTAL - All Funds Net	52,338,776	52,040,913	51,885,675	54,166,838	53,891,022

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

# DEPARTMENT OF EMERGENCY SERVICES AND PUBLIC PROTECTION

[www.ct.gov/despp](http://www.ct.gov/despp)

## AGENCY PURPOSE

The Department of Emergency Services and Public Protection (DESPP) is committed to protecting and improving the quality of life for all by providing enforcement, regulatory, response and recovery, training, and scientific services through prevention, education, and innovative use of technology.

The Division of Emergency Management and Homeland Security (DEMHS) is charged with developing, administering and coordinating a comprehensive statewide emergency management and homeland security program that encompasses all human-made and natural hazards, and includes prevention, mitigation, preparedness, response and recovery components to ensure the safety and well-being of the citizens of Connecticut.

The Police Officers Standards and Training Council (POST) division is responsible for the certification of all police officers, law enforcement instructors and police training programs throughout the state of Connecticut.

The Commission on Fire Prevention and Control (FPC) division is committed to reducing death, injury and property damage due to fire, emergencies and other disasters by increasing the proficiency of fire service personnel through training, education and recognition of professional competency through certification.

The Division of Scientific Services is comprised of three laboratories: the Forensic Laboratory, the Toxicology and Controlled Substance Laboratory, and the Computer Crime and Electronic Evidence

Laboratory. The three laboratories are centrally located at the Mulcahey complex in Meriden, fusing the agency's scientific investigative arm into one function. The Division of Scientific Services is unique in that it provides forensic services to both criminal prosecutors and to the public defender's office.

The Division of State Police is responsible for primary law enforcement services for all municipalities that do not have their own chartered police department. The state police provide additional special police resources to all municipalities on request. Special police services include the bomb squad, aviation unit, marine unit, dive team, tactical unit, canine search and rescue, arson cause and origin investigation and major crime investigative units. Police services are coordinated out of three districts and the Bureau of Criminal Investigations (BCI). Troopers are assigned to one of 11 troops, the casino unit or to the BCI where they work on one of the numerous task forces targeting specific public safety concerns. Troopers are also assigned to the Division of Scientific Services and the Division of Emergency Management and Homeland Security in the Office of Counter Terrorism.

The Office of Statewide Emergency Telecommunications is charged with the responsibility for the development of a master plan for emergency telecommunications within the state of Connecticut. It coordinates with area states as well as the FCC and acts as a liaison with the public safety community to ensure that its needs are addressed.

## RECENT HIGHLIGHTS

- The Division of State Police has embarked on a project to regionalize and civilianize the dispatching function of the agency. Both sworn and non-sworn staff are employed as call takers and dispatchers. The goal is to consolidate from 13 dispatch locations to five locations and to civilianize the functions allowing the troopers to be redeployed to law enforcement roles. The regional dispatch system is expected to create efficiencies, reduce costs, improve services and allow for more troopers to be engaged in law enforcement efforts.
- FPC delivered 479 training programs through the Connecticut Fire Academy which served 7,795 students resulting in 170,751 contact hours. A total of 13 academy programs continue to be approved for college transfer credit adding value for students.
- A \$5 million facility expansion of the Connecticut Fire Academy was completed, adding a class A burn building and four bay maintenance garage.
- The POST Council Police Academy is one of 27 training academies that have been awarded accreditation from the Commission on Accreditation for Law Enforcement Agencies, Public Safety Training Academy program. The academy was first recognized in 2008, again in 2011 and is scheduled for review in 2013. This program is internationally recognized and identifies professional excellence in public safety training. The POST Council State of Connecticut Law Enforcement Accreditation Program has awarded accreditation to 27 law enforcement agencies since it started in 2005. During 2012 one agency was awarded initial accreditation and nine others were awarded re-accreditation. There are an additional 45 agencies in the process seeking the prestigious status within the state.
- In 2012, the State Emergency Operations Center (SEOC) was activated for a number of events, as well as for key exercises. Hurricane Sandy resulted in a Presidential disaster declaration. As a result of this disaster, and others that occurred in 2011, DEMHS, along with other divisions and units within DESPP, continues to manage a variety of federal disaster assistance programs. Estimates of the total damage costs eligible for at least 75% reimbursement through these programs exceeds \$240 million.

## RECOMMENDED SIGNIFICANT CHANGES

	<b>FY 2014</b>	<b>FY 2015</b>
<b>Reductions to Current Services</b>		
• Remove or Limit Inflation	-1,339,980	-2,448,018
• Remove Funding for Salary Increases for Appointed Officials	-54,031	-113,514
• Annualize FY 2013 Rescissions	-60,477	-60,477
• Annualize FY 2013 Deficit Mitigation Plan	-720,000	-720,000
• Reduce Overtime at the Division of Scientific Services	-503,239	-503,239
• Consolidate Dispatch Operations for the Central Region	-300,000	-300,000
• Civilianize the Background and Special Licensing and Firearms Investigations Units	-250,000	-250,000
• Seek Reimbursement from FEMA Administrative Funds	-210,000	0
<i>Work continues on approving repairs to damage that occurred during the two 2012 storms. The Department of Emergency Services and Public Protection will charge the Federal Emergency Management Agency (FEMA) for time spent on work related to the two storms.</i>		
• Reduce Other Expenses	-21,709	-21,709
• Reduce Funds as Recommended through the Telecommunication Cost Recovery Project	-13,000	-13,000
<b>Reallocations or Transfers</b>		
• Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-36,069	-36,069
• Streamline Agency Account Structure	0	0
<i>Funding for the Regional Fire Training Schools has been combined into one account, while the two Maintenance of Fire Radio Network accounts have also been merged. Fleet Services has been consolidated into Other Expenses.</i>		
<b>Technical Adjustments</b>		
• Revise GAAP Accrual Amounts	-624,078	33,243

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total Authorized	Change From FY 2013	Total Recommended	Change From FY 2014	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	1,670	0	1,670	0	1,670
Federal and Other Activities	85	0	85	0	85
<u>Other Positions Equated to Fulltime</u>					
Federal and Other Activities	3	0	3	0	3
<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	126,077,292	131,539,747	129,512,477	133,372,034	131,485,282
Other Expenses	28,744,276	27,659,616	31,309,694	28,350,575	31,941,518
<u>Capital Outlay</u>					
Equipment	4	106,022	106,022	93,990	93,990
<u>Other Current Expenses</u>					
Stress Reduction	23,354	23,354	23,354	23,354	23,354
Fleet Purchase	4,271,474	4,870,266	0*	5,692,090	0*
Workers' Compensation Claims	4,238,787	4,422,363	4,238,787	4,613,241	4,238,787
COLLECT	4,892	0	0	0	0
TOTAL - Other Current Expenses	8,538,507	9,315,983	4,262,141	10,328,685	4,262,141
<u>Pmts to Other than Local Govts</u>					
Fire Training School - Willimantic	161,798	165,309	0*	170,103	0*
Maintenance of County Base Fire Radio	25,176	25,176	0*	25,176	0*
Maint of State-Wide Fire Radio Network	16,756	16,756	0*	16,756	0*
Police Association of Connecticut	190,000	190,000	180,500	190,000	180,500
Connecticut State Firefighter's Assoc	194,711	194,711	184,976	194,711	184,976
Fire Training School - Torrington	81,367	83,133	0*	88,544	0*

## Budget Summary

Fire Training School - New Haven	48,364	49,414	0*	50,847	0*
Fire Training School - Derby	37,139	37,945	0*	39,045	0*
Fire Training School - Wolcott	100,162	102,335	0*	105,303	0*
Fire Training School - Fairfield	70,395	71,923	0*	74,009	0*
Fire Training School - Hartford	169,336	173,011	0*	178,028	0*
Fire Training School - Middletown	59,053	60,335	0*	62,085	0*
Fire Training School - Stamford	55,432	56,635	0*	58,277	0*
Regional Fire Training School Grants	0	0	743,899	0	743,899
Maintenance of Fire Radio Networks	0	0	39,837	0	39,837
TOTAL - Pmts to Other than Local Govts	1,209,689	1,226,683	1,149,212	1,252,884	1,149,212
<u>Nonfunctional - Change to Accruals</u>	0	1,355,109	731,031	644,757	678,000
TOTAL - General Fund	164,569,768	171,203,160	167,070,577	174,042,925	169,610,143
<u>Additional Funds Available</u>					
Federal and Other Activities	87,993,609	60,611,447	60,611,447	50,250,473	50,250,473
Special Funds, Non-Appropriated	1,270,804	200,000	200,000	200,000	200,000
Private Funds	51,198,777	43,160,350	43,160,350	33,378,033	33,378,033
TOTAL - All Funds Net	305,032,958	275,174,957	271,042,374	257,871,431	253,438,649

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.



# DEPARTMENT OF MOTOR VEHICLES

## AGENCY PURPOSE

<http://www.ct.gov/dmv>

- Issue identity-related credentials according to stringent guidelines to assure integrity of such individuals, motor vehicle operators and their vehicles, and for companies involved in vehicle-related businesses.
- Promote and advance public safety, security and service through the regulation of drivers, their motor vehicles and certain vehicle-related businesses.
- Maintain records on operators, vehicles, and revenues and making such available to authorized persons and agencies.
- Enforce the statutes regarding motor vehicles and their operation and impose sanctions on the credential-holders who violate laws and regulations.
- Collect revenue for various state agencies, most of which is appropriated within the Special Transportation Fund, for the construction and maintenance of the state's highways.
- Employ new and innovative measures and strategies to deliver high quality, innovative services to customers.

## RECENT HIGHLIGHTS

### FAST FACTS FY 2012

1.0 million	Calls to phone center	\$433 million	Revenue collected by DMV for the state
1.5 million	Vehicle registration transactions	27,275	16 and 17 year old drivers licensed
3.0 million	Registered motor vehicles	350,404	Non-Driver Identification cards issued
2.5 million	Licensed drivers	1.0 million	Driver licenses with organ donor designation
1.4 million	Customers served within branch offices	1.0 million	Vehicle emissions inspections annually

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

	<b>FY 2014</b>	<b>FY 2015</b>
• Remove or Limit Inflation - General Fund	-4,348	-5,938
• Remove or Limit Inflation - Special Transportation Fund	-329,803	-724,893
• Annualize FY 2013 Rescissions - General Fund	-10,019	-10,019
• Reduce Equipment Funding	-200,000	-200,000
• Remove Funding for Salary Increases for Appointed Officials	-19,658	-41,299

### Reallocations or Transfers

• Transfer Boating Fund Operation Expenses to the Special Transportation Fund <i>Provide funding for three positions and expenses through the Special Transportation Fund.</i>	0	0
• Centralize Affirmative Action Planning Function in CHRO <i>Funding for one position is transferred to reflect the centralization of affirmative action planning under CHRO.</i>	-79,218	-83,782
• Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-29,232	-29,232

### Technical Adjustments

• Revise GAAP Accrual Amounts - Special Transportation Fund	3,806	-56,089
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## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total Authorized	Change From FY 2013	Total Recommended	Change From FY 2014	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	3	-3	0	0	0
Special Transportation Fund	572	7	579	1	580
Federal and Other Activities	19	0	19	0	19
Auto Emissions	41	0	41	0	41
<u>Other Positions Equated to Fulltime</u>					
Special Transportation Fund	114	35	149	0	149
Auto Emissions	32	0	32	-5	27
<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	258,466	209,950	0	221,895	0
Other Expenses	200,393	204,741	0	210,679	0
<u>Nonfunctional - Change to Accruals</u>	0	0	0	755	0
TOTAL - General Fund	458,859	414,691	0	433,329	0
Personal Services	39,761,005	43,257,853	43,368,927	45,674,566	45,771,380
Other Expenses	13,255,626	15,373,564	15,204,903	15,964,154	15,404,751
<u>Capital Outlay</u>					
Equipment	600,000	848,153	648,153	714,000	514,000
<u>Other Current Expenses</u>					
Commercial Veh Info Sys & Networks Project	296,289	205,445	205,445	208,666	208,666
<u>Nonfunctional - Change to Accruals</u>	0	268,218	272,024	351,194	295,860
TOTAL - Special Transportation Fund	53,912,920	59,953,233	59,699,452	62,912,580	62,194,657
<u>Additional Funds Available</u>					
Federal and Other Activities	4,369,617	1,760,208	1,760,208	1,760,208	1,760,208
Auto Emissions	7,985,000	7,985,000	7,985,000	7,985,000	7,985,000
Private Funds	3,073,058	3,071,038	3,071,038	3,071,038	3,071,038
TOTAL - All Funds Net	69,799,454	73,184,170	72,515,698	76,162,155	75,010,903

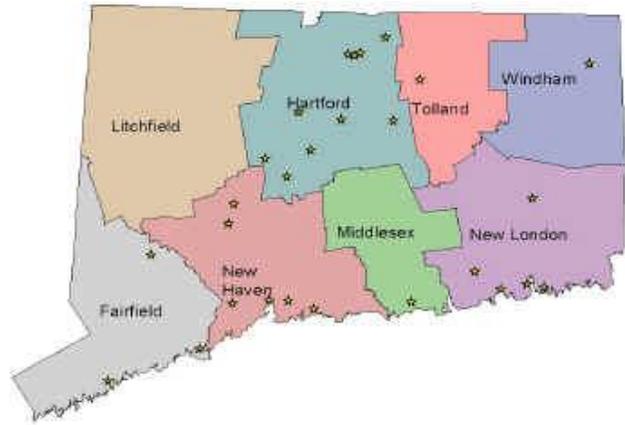


# MILITARY DEPARTMENT

[www.mil.state.ct.us](http://www.mil.state.ct.us)

## AGENCY PURPOSE

- The Military Department is composed of the Connecticut Army National Guard, Air National Guard and the State Militia.
- The state mission is to provide trained and disciplined forces for domestic emergencies or as otherwise required by law.
- The federal mission is to maintain properly trained and equipped units available for prompt mobilization for war or national emergencies.
- Collectively, the Connecticut Army and Air National Guard and the organized militia augment federal, state, and local emergency responders in the event of large-scale emergencies and disasters, providing trained military personnel, military facilities, equipment and supplies.



Connecticut Military Facilities

## RECENT HIGHLIGHTS

### TROOP DEPLOYMENT

- Currently there are over 700 Connecticut National Guard service members either deployed or preparing to deploy during this budget cycle to the Middle East and Afghanistan in support of the Global War on Terror. These units include the 102<sup>nd</sup> Infantry, an Army Guard contracting support team, the 247<sup>th</sup> Engineer Detachment, 130<sup>th</sup> Public Affairs Detachment, elements of the 1109<sup>th</sup> Aviation Classification Repair Activity Depot and members of the 103<sup>rd</sup> Civil Engineer Squadron.
- Within the past year, the 103<sup>rd</sup> Airlift Wing, 118<sup>th</sup> Medical Battalion, the 192<sup>nd</sup> Military Police Battalion, the 104<sup>th</sup> and 169<sup>th</sup> Aviation Battalions, the 250<sup>th</sup> Engineer Company, a Logistics Support Team and various elements of the Air National Guard have returned from tours of duties in Iraq, Afghanistan, Italy and Germany.

### DRUG PROGRAMS

- Provided over \$1.1 million in federal support to Connecticut’s drug interdiction and demand-reduction activities through the Connecticut National Guard counter drug program.
- Supported communities through training and community service programs, including STARBASE, counter drug and drug demand reduction programs.

### CONSTRUCTION AND MAINTENANCE PROJECTS

Connecticut ranks within the top ten states in military construction funding provided by the federal government to achieve quality facilities to support the readiness of the Connecticut National Guard.

#### Current Construction Projects

- Received preliminary approval, during the planning and programming phase, for over \$370 million worth of federal construction and consultant design funding.
- Currently under construction are a \$29 million readiness center, a \$25 million depot level vehicle maintenance facility, and an \$11 million civil support team facility in Windsor Locks. Also under construction is a joint readiness center in Danbury.
- Over \$100 million in Army Guard military construction is programmed for 2013 and beyond.

#### Completed Projects

- Completed a \$32 million Regional Training Institute at Camp Niantic and an East Haven rifle range project valued at \$9 million.
- Completed a \$52 million joint readiness center in Middletown.
- Completed an \$8 million New England Disaster Training Center at Windsor Locks which will provide critical training for military, police, fire and other emergency first responders from around the country.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

- Remove or Limit Inflation
- Remove Funding for Salary Increases for Appointed Officials
- Annualize FY 2013 Rescissions

	<b>FY 2014</b>	<b>FY 2015</b>
Remove or Limit Inflation	-111,633	-217,047
Remove Funding for Salary Increases for Appointed Officials	-17,069	-35,860
Annualize FY 2013 Rescissions	-23,974	-23,974

• Reduce Funds Provided for Premises Repair and Maintenance Services and Supplies	-46,000	0
<b>Reallocations or Transfers</b>		
• Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-2,610	-2,610
<b>Technical Adjustments</b>		
• Revise GAAP Accrual Amounts	-2,615	1,012

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total Authorized	Change From FY 2013	Total Recommended	Change From FY 2014	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	42	0	42	0	42
Federal and Other Activities	71	0	71	0	71
<u>Other Positions Equated to Fulltime</u>					
Federal and Other Activities	12	0	12	0	12
<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	2,785,205	2,975,794	2,958,725	3,166,814	3,130,954
Other Expenses	2,739,394	2,967,051	2,806,808	3,208,385	2,988,728
<u>Capital Outlay</u>					
Equipment	1	1	1	1	1
<u>Other Current Expenses</u>					
Honor Guard	319,500	487,500	471,526	487,500	471,526
Veterans' Service Bonuses	160,000	320,000	312,000	180,000	172,000
TOTAL - Other Current Expenses	479,500	807,500	783,526	667,500	643,526
<u>Nonfunctional - Change to Accruals</u>					
	0	22,797	20,182	18,598	19,610
TOTAL - General Fund	6,004,100	6,773,143	6,569,242	7,061,298	6,782,819
<u>Additional Funds Available</u>					
Federal and Other Activities	20,392,608	21,330,534	21,330,534	22,452,368	22,452,368
Private Funds	44,000	48,000	48,000	43,000	43,000
TOTAL - All Funds Net	26,440,708	28,151,677	27,947,776	29,556,666	29,278,187



# DEPARTMENT OF BANKING

[www.ct.gov/dob](http://www.ct.gov/dob)

## AGENCY PURPOSE

- To administer the state's banking and related laws.
- To ensure the safety and soundness of regulated depository institutions.
- To protect Connecticut consumers and investors through various activities including administering the Truth-in-Lending Act and other consumer credit laws.

## RECOMMENDED SIGNIFICANT CHANGES

	<b>FY 2014</b>	<b>FY 2015</b>
<b>Reductions to Current Services</b>		
• Remove or Limit Inflation	-34,080	-76,478
• Remove Funding for Salary Increases for Appointed Officials	-7,521	-15,800
• Reduce Expenditures for the Department of Banking <i>Removes funding for three vacant positions and associated fringe benefits expenses, and reduces funding for training.</i>	-183,752	-200,377
<b>Reallocations or Transfers</b>		
• Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-1,706	-1,706
<b>Technical Adjustments</b>		
• Revise GAAP Accrual Amounts	-8,042	-2,043

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total	Change From	Total	Change From	Total
	Authorized	FY 2013	Recommended	FY 2014	Recommended
<u>Permanent Full-Time Positions</u>					
Banking Fund	118	-3	115	0	115
<b>Financial Summary</b>		FY 2014	FY 2014	FY 2015	FY 2015
	FY 2013	Current	Total	Current	Total
	Estimated	Services	Recommended	Services	Recommended
Personal Services	10,222,794	10,288,207	10,194,067	10,775,240	10,666,571
Other Expenses	1,482,802	1,532,676	1,460,390	1,575,674	1,454,990
<u>Capital Outlay</u>					
Equipment	37,200	37,200	37,200	37,200	37,200
<u>Other Current Expenses</u>					
Fringe Benefits	7,054,228	7,201,745	7,141,112	7,542,668	7,477,660
Indirect Overhead	215,207	120,739	120,739	126,172	126,172
TOTAL - Other Current Expenses	7,269,435	7,322,484	7,261,851	7,668,840	7,603,832
<u>Nonfunctional - Change to Accruals</u>					
	0	80,751	72,709	114,039	111,996
TOTAL - Banking Fund	19,012,231	19,261,318	19,026,217	20,170,993	19,874,589



# INSURANCE DEPARTMENT

[www.ct.gov/cid](http://www.ct.gov/cid)

## AGENCY PURPOSE

The mission of the Connecticut Insurance Department is to serve consumers in a professional and timely manner by providing assistance and information to the public and to policy makers, by regulating the insurance industry in a fair and efficient manner

which promotes a competitive and financially sound insurance market for consumers, and by enforcing the insurance laws to ensure that consumers are treated fairly and are protected from unfair practices.

## RECENT HIGHLIGHTS

### MARKET CONDUCT UNIT

The Market Conduct Unit recovered \$2,770,989 in fines as a result of market conduct examinations during FY 2012.

### FRAUD, INVESTIGATIONS AND COMPLIANCE UNIT

The Fraud and Compliance Unit revoked 25 individual agent licenses and leveled fines totaling more than \$75,000.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

- Remove or Limit Inflation
- Remove Funding for Salary Increases for Appointed Officials

	<b>FY 2014</b>	<b>FY 2015</b>
Remove or Limit Inflation	-17,827	-44,027
Remove Funding for Salary Increases for Appointed Officials	-15,633	-32,843

### Reallocations or Transfers

- Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services

Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-25	-25
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### Technical Adjustments

- Revise GAAP Accrual Amounts

Revise GAAP Accrual Amounts	-943	-1,177
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## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total	Change From	Total	Change From	Total
	Authorized	FY 2013	Recommended	FY 2014	Recommended
<u>Permanent Full-Time Positions</u>					
Insurance Fund	159	0	159	0	159
<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	13,642,468	14,076,059	14,060,426	14,745,011	14,712,168
Other Expenses	2,022,453	2,045,280	2,027,428	2,071,480	2,027,428
<u>Capital Outlay</u>					
Equipment	40,060	119,750	119,750	52,600	52,600
<u>Other Current Expenses</u>					
Fringe Benefits	9,440,147	9,853,241	9,853,241	10,321,507	10,321,507
Indirect Overhead	472,973	602,646	602,646	629,765	629,765
TOTAL - Other Current Expenses	9,913,120	10,455,887	10,455,887	10,951,272	10,951,272
<u>Nonfunctional - Change to Accruals</u>	0	143,761	142,818	167,047	165,870
TOTAL - Insurance Fund	25,618,101	26,840,737	26,806,309	27,987,410	27,909,338
<u>Additional Funds Available</u>					
Private Funds	220,000	0	0	0	0
TOTAL - All Funds Net	25,838,101	26,840,737	26,806,309	27,987,410	27,909,338

# OFFICE OF CONSUMER COUNSEL

## AGENCY PURPOSE

- To advocate for all utility ratepayers to ensure just and reasonable rates and reliable utility service for customers of Connecticut's electric, gas, telephone, and water utilities and reasonable protections for cable television customers.
- To participate actively in proceedings before the Connecticut Public Utilities Regulatory Authority (PURA), the Federal Energy Regulatory Commission (FERC), the Federal Communications Commission (FCC), and state and federal courts.

## RECENT HIGHLIGHTS

Over \$700 million in direct savings to Connecticut ratepayers was achieved by the Office of Consumer Counsel this fiscal year as the office continued its advocacy in the four forums in which it appears.

The OCC Scorecard, which tallies docket work resulting in ratepayer savings, can be accessed at: <http://www.ct.gov/occ>.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

	FY 2014	FY 2015
• Remove or Limit Inflation	0	-7,779
• Remove Funding for Salary Increases for Appointed Officials	-6,850	-14,391
• Eliminate Funding for Vacancies <i>Removes funding for four vacant positions and associated fringe benefits expenses.</i>	-435,416	-467,602
• Reduce Other Expenses <i>Reduce funding for travel and consultant services.</i>	-20,091	-27,716

### Technical Adjustments

• Revise GAAP Accrual Amounts	-22,020	-5,092
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## AGENCY SUMMARY

Personnel Summary	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total Authorized	Change From FY 2013	Total Recommended	Change From FY 2014	Total Recommended
<u>Permanent Full-Time Positions</u>					
Consumer Counsel and Public Utility Control Fund	17	-4	13	0	13
Financial Summary	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	1,362,827	1,489,645	1,226,668	1,568,824	1,279,373
Other Expenses	396,029	371,748	351,657	379,527	344,032
<u>Capital Outlay</u>					
Equipment	5,600	2,200	2,200	2,200	2,200
<u>Other Current Expenses</u>					
Fringe Benefits	933,437	1,042,752	863,463	1,098,177	905,635
Indirect Overhead	67,695	69,625	69,625	72,758	72,758
TOTAL - Other Current Expenses	1,001,132	1,112,377	933,088	1,170,935	978,393
<u>Nonfunctional - Change to Accruals</u>					
TOTAL - Consumer Counsel/Public Utility Fund	2,765,588	2,997,990	2,513,613	3,141,017	2,618,437



# OFFICE OF THE HEALTHCARE ADVOCATE

[www.ct.gov/oha](http://www.ct.gov/oha)

## AGENCY PURPOSE

The Office of the Healthcare Advocate (OHA) assists health insurance consumers to make informed choices when selecting a health plan, understand their rights and responsibilities under their plan, appeal denials of service and reimbursement, and access services through information, referral and assistance.

OHA conducts systemic outreach and education to consumers throughout the state via personal appearances, presentations, and

media appearances, including educational programming on healthcare rights. OHA attends the senior health fairs conducted each year throughout Connecticut. OHA's outreach materials are available at [www.ct.gov/oha](http://www.ct.gov/oha) under "Publications".

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

	<b>FY 2014</b>	<b>FY 2015</b>
• Remove or Limit Inflation	-2,256	-5,439
• Remove Funding for Salary Increases for Appointed Officials	-6,708	-14,093
• Eliminate Enhanced Medicaid Recoveries Unit	-518,659	-547,808
<i>Reduce funding for four positions and associated fringe benefits expenses.</i>		
• Reduce Funding for Vacancies	-127,169	-135,737
<i>Removes funding for one vacant position and associated fringe benefits expenses.</i>		

### Technical Adjustments

• Revise GAAP Accrual Amounts	-24,899	-6,098
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## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total Authorized	Change From FY 2013	Total Recommended	Change From FY 2014	Total Recommended
<u>Permanent Full-Time Positions</u>					
Insurance Fund	18	-5	13	0	13
<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	1,268,100	1,375,247	993,680	1,433,558	1,022,421
Other Expenses	157,442	377,241	366,417	331,706	317,699
<u>Capital Outlay</u>					
Equipment	6,700	0	0	5,000	5,000
<u>Other Current Expenses</u>					
Fringe Benefits	841,954	962,673	700,272	1,003,491	725,559
Indirect Overhead	19,211	26,056	26,056	27,229	27,229
TOTAL - Other Current Expenses	861,165	988,729	726,328	1,030,720	752,788
<u>Nonfunctional - Change to Accruals</u>					
TOTAL - Insurance Fund	0	24,899	0	18,255	12,157
TOTAL - Insurance Fund	2,293,407	2,766,116	2,086,425	2,819,239	2,110,065
<u>Additional Funds Available</u>					
Federal and Other Activities	150,000	200,000	200,000	200,000	200,000
TOTAL - All Funds Net	2,443,407	2,966,116	2,286,425	3,019,239	2,310,065



# DEPARTMENT OF CONSUMER PROTECTION

[www.ct.gov/dcp](http://www.ct.gov/dcp)

## AGENCY PURPOSE

- To assure a fair and equitable marketplace for consumers by detecting, preventing and remediating harms that may occur as the result of unfair and deceptive acts and practices and unfair methods of competition in the conduct of trade or commerce.
- To eliminate the hazards of adulterated, contaminated, or unsanitary food products by regulating the manufacture and sale of food products in the State of Connecticut.
- To prevent the diversion of all controlled drugs by regulating the manufacture, distribution and sale of drugs, cosmetics and medical devices.
- To prevent the sale of liquor to minors and intoxicated persons and to ensure that licensed premises are safe and sanitary by regulating the distribution, sale, and dispensation of liquor.
- To assure the public that all legalized gambling is conducted in a fair and honest manner by ensuring compliance with statutes, regulations and procedures.

## RECENT HIGHLIGHTS

- The Drug Control Division, through application of the LEAN process, implemented electronic work flows, electronic inspections, and electronic report transfers which has resulted in more efficient processing for both the division and public.
- The Drug Control Division partnered with the Partnership Prevention Network, local community organizations and municipal police departments to develop and implement a statewide Drug Drop Box program. This program offers municipalities a viable, sustainable option for the proper removal of unused medications from homes for environmental reasons and to prevent misuse or abuse.
- The Food and Standards Division initiated a new program, under a grant from USDA, on country of origin labeling. Assigned inspections are being conducted to ensure that regulated products are properly labeled.
- Total wagering revenue from all forms of legal gambling regulated by the Gaming Division in FY 2012 was nearly \$17.0 billion, with \$15.1 billion returned to the general public in prizes and more than \$658.7 million transferred to the General Fund.
- The department realized a 25% increase over FY 2011 in the number of online license renewals and a 32% increase in the amount of revenue collected via online renewals.
- The licensing of all lottery agents was converted into the Department of Consumer Protection's e-Licensing system. Electronic licensing for casinos and off-track betting parlors is scheduled for Spring 2013 implementation.
- The Liquor Control Division implemented the sale of wine with gift basket permit and the farmers' market wine permit processes.

## RECOMMENDED SIGNIFICANT CHANGES

	<b>FY 2014</b>	<b>FY 2015</b>
<b>Reductions to Current Services</b>		
• Remove or Limit Inflation	-50,410	-101,161
• Remove Funding for Salary Increases for Appointed Officials	-19,396	-40,748
• Annualize FY 2013 Rescissions	-72,409	-72,409
• Reduce Personal Services Funding	-289,587	-307,888
<i>Removes funding for four vacant positions and savings associated with the revised lottery drawing process.</i>		
• Eliminate Funding for the Gaming Policy Board	-2,621	-2,621
<i>This board is proposed for elimination.</i>		
<b>Reallocations or Transfers</b>		
• Centralize Costs for On-line Licensing System at the Department of Administrative Services	-40,000	-40,000
• Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-22,821	-22,821
<b>Technical Adjustments</b>		
• Revise GAAP Accrual Amounts	-57,614	-3,376

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total Authorized	Change From FY 2013	Total Recommended	Change From FY 2014	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	230	2	232	0	232
Federal and Other Activities	37	0	37	0	37
<u>Other Positions Equated to Fulltime</u>					
General Fund	2	0	2	0	2
<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	14,073,407	14,868,085	14,559,102	15,575,482	15,226,846
Other Expenses	1,445,457	1,379,403	1,193,900	1,430,154	1,193,900
<u>Capital Outlay</u>					
Equipment	1	1	1	1	1
<u>Other Current Expenses</u>					
Gaming Policy Board	2,758	2,758	0	2,758	0
<u>Nonfunctional - Change to Accruals</u>					
	0	140,839	83,225	100,938	97,562
TOTAL - General Fund	15,521,623	16,391,086	15,836,228	17,109,333	16,518,309
<u>Additional Funds Available</u>					
Federal and Other Activities	442,590	448,982	448,982	254,907	254,907
Private Funds	8,155,258	7,865,577	7,865,577	8,138,381	8,138,381
TOTAL - All Funds Net	24,119,471	24,705,645	24,150,787	25,502,621	24,911,597



# DEPARTMENT OF LABOR

[www.ctdol.state.ct.us/](http://www.ctdol.state.ct.us/)

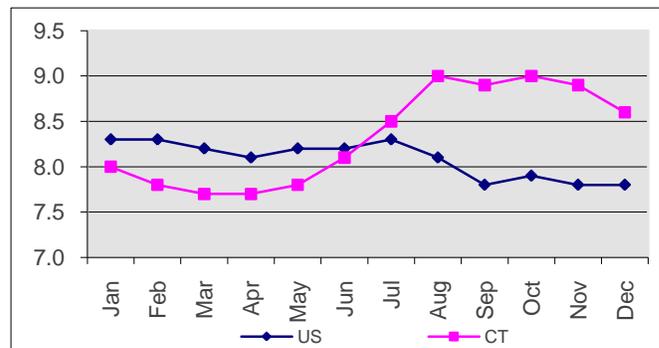
## AGENCY PURPOSE

The mission of the Connecticut Department of Labor (CTDOL) is to protect and promote the interests of Connecticut’s workers and assist workers and employers to be competitive in the global economy.

CTDOL accomplishes its mission by providing a variety of services that benefit the workplace. Services include: income support that assists workers between jobs and stimulates the local economy; protection on the job (through regulation of wages, safety and working conditions, and on-site health and safety consultations); work-related training programs; job search and recruitment assistance (through the local and regional job fairs and employer recruitments at *CTWorks* offices); tax credit incentive programs and maintenance of the collective bargaining relationship. As the Connecticut arm of the U.S. Bureau of Labor Statistics, the department collects, analyzes and disseminates workforce data to inform businesses, the general public, educational institutions, and government policymakers about employment issues and trends.

The services provided by CTDOL and the resulting successes of individuals using those services are heavily impacted by Connecticut’s unemployment rate.

The chart below reflects the non-farm unemployment rates for Connecticut and the U.S. for January 2012 through December 2012.



## RECENT HIGHLIGHTS

### EDUCATION BENEFITS FOR VETERAN

As of May of 2012, unemployed veterans between the ages of 35 and 60 have been able to apply for new benefits to cover education costs for up to one year through a joint program with the Department of Veterans’ Affairs that focuses on retraining 99,000 veterans for high-demand occupations.

The *Veteran Retraining Assistance Program (VRAP)* allows qualifying veterans to receive up to 12 months of assistance equal to the full-time Montgomery GI Bill – active duty rate, currently \$1,473 per month. For more information, veterans may go to the website at [www.benefits.va.gov/VOW](http://www.benefits.va.gov/VOW), or call the VA Call Centers toll free at 1-800-827-1000, or can access the VRAP application online at <https://www.ebenefits.va.gov>.

### SUBSIDIZED TRAINING & EMPLOYMENT PROGRAM

The *Subsidized Training & Employment Program (STEP UP)* provides two hiring incentives: 1) an incremental wage subsidy that is paid during the first 180 days of an employee’s hire date, and 2) a small manufacturer training grant which provides up to \$12,500 over a six-month period to help defray the costs of training a new employee. One of the main requirements for each program is that the new employee be unemployed at the time of hire.

In total, STEP UP will provide \$20 million in subsidies and training grants to help the state’s small businesses (100 or less employees) hire more workers. Examples of employment opportunities include: customer service representatives, machine operators, office assistants, carpenters, mechanics, fabricators, tool grinders and help desk technicians. Hourly wages range from \$8.50 to \$41.35. To date, employers have hired 642 new workers in both the manufacturing and business sectors and total obligations are in excess of \$5.2 million.

### CONNECTICUT’S EARLY WARNING SYSTEM DEMONSTRATION PROGRAM

*Getting Ahead of the Curve: Raising Educational/Skill Levels of Workers in Declining Industries:* CTDOL’s Rapid Response Unit provides administrative oversight of Connecticut’s Early Warning System (EWS) Demonstration Program. This program is used to target manufacturing employers in Connecticut experiencing critical workforce training needs and whose under-skilled workers, without such training, would be at risk of layoff. This one-time \$2 million demonstration grant is scheduled to end in June 2013.

Cumulative accomplishments to June 30, 2012 are as follows:

Employers Contacted/Visited	125
Employers Conducting Workforce Training/Receiving Funds	50
Amount of Contracts Awarded	\$1,550,695
Training Programs Planned or Completed	356
Workers Planned to Receive or Completing Training	1,862
Training Certificates Planned	2,244
Training Certificates Awarded	503

### VIRTUAL CALL CENTER

*Voice-Over Internet Protocol:* CTDOL replaced an outdated telephone system with a fully-operational Voice over Internet Protocol (VOIP) telephone network. This upgrade significantly increased the agency’s capacity to handle its unemployment insurance claim load when needed during peak times and higher unemployment periods. Virtual Call Centers were established which increases the capacity of unemployment insurance claimants that the agency can serve at one time and thus lower wait times. This also saves travel time as back-up staff no longer need to be physically stationed at a traditional call center. The virtual call center “went live” January 5, 2012 and averages 1,600 calls per week.

## RECOMMENDED SIGNIFICANT CHANGES

	<b>FY 2014</b>	<b>FY 2015</b>	
<b>Reductions to Current Services</b>			
• Remove or Limit Inflation	-555,197	-1,285,452	
• Remove or Limit Inflation - Banking Fund Accounts	-23,100	-67,118	
• Remove or Limit Inflation - Occupational Health Clinics	-14,230	-32,842	
• Remove Funding for Salary Increases for Appointed Officials	-25,320	-53,194	
• Annualize FY 2013 Rescissions	-437,978	-437,978	
• Annualize FY 2013 Deficit Mitigation Plan	-571,395	-571,395	
<i>Reduce funding for CETC, Jobs First Employment Services, and Incumbent Worker Training Program.</i>			
<i>Eliminate funding for Film Industry Training Program.</i>			
• Eliminate STRIDE Funding	-560,500	-560,500	
• Eliminate Incumbent Worker Training Funding	-377,500	-377,500	
• Eliminate STRIVE Funding	-256,500	-256,500	
• Reduce CETC Workforce Funds	-100,000	-100,000	
• Reduce Funding to Opportunities Industrialization Centers of America, Inc.	-100,000	-100,000	
• Reduce Customized Services Funding	-100,000	-100,000	
• Reduce Apprenticeship Account Funding	-50,000	-50,000	
<i>Funding is reduced to reflect current expenditures.</i>			
<b>Reallocations or Transfers</b>			
• Reallocate Employment Services From DSS	642,260	642,260	
<i>Reallocate funds provided by the Department of Social Services for the Employment Success Program to the Department of Labor.</i>			
• Transfer Employees' Review Board from DAS	22,210	22,210	
• Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-6,264	-6,264	
• Streamline Agency Account Structure	0	0	
<i>Consolidate Jobs Funnel, Apprenticeship, Career Resource Network and 21st Century Jobs funds into one employment services account.</i>			
<b>New or Expanded Services</b>	<b>FY 2014</b>	<b>FY 2015</b>	<b>FY 2016</b>
• Provide Additional Jobs First Employment Support Funding	747,500	555,000	555,000
<i>Funds are provided to implement several alternative and innovative strategies, policies, and procedures designed to improve employment outcomes for needy families, under the federal TANF waiver guidance.</i>			
<b>Technical Adjustments</b>			
• Revise GAAP Accrual Amounts - General Fund	-71,802	-17,761	
• Revise GAAP Accrual Amounts - Workers' Compensation Fund	-26	-40	

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total	Change From	Total	Change From	Total
	Authorized	FY 2013	Recommended	FY 2014	Recommended
<b><u>Permanent Full-Time Positions</u></b>					
General Fund	213	-28	185	0	185
Labor-ESD	730	0	730	0	730
Individual Development Acct Reserve Fund	1	0	1	0	1
Federal and Other Activities	12	0	12	0	12
<b><u>Other Positions Equated to Fulltime</u></b>					
General Fund	32	-2	30	-1	29
Labor-ESD	19	0	19	0	19

Budget Summary

<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	5,735,646	8,507,448	8,482,128	8,892,529	8,839,335
Other Expenses	1,021,670	1,045,406	964,324	1,075,642	964,324
<u>Capital Outlay</u>					
Equipment	2	1	1	1	1
<u>Other Current Expenses</u>					
CETC Workforce	850,000	862,048	663,697	882,023	670,595
Workforce Investment Act	29,154,000	29,154,000	29,154,000	29,154,000	29,154,000
Jobs Funnel Projects	425,000	433,986	0*	445,738	0*
Employees' Review Board	0	0	22,210	0	22,210
Connecticut's Youth Employment Program	4,500,000	4,595,144	4,500,000	4,719,583	4,500,000
Jobs First Employment Services	17,657,471	18,045,975	17,826,769	18,546,435	17,660,859
STRIDE	590,000	602,474	0	618,790	0
Apprenticeship Program	595,867	646,811	0*	670,298	0*
Spanish American Merchant Association	600,000	612,686	570,000	629,278	570,000
Connecticut Career Resource Network	157,880	164,605	0*	170,568	0*
21st Century Jobs	447,955	458,320	0*	471,136	0*
Incumbent Worker Training	450,000	459,514	0	471,958	0
STRIVE	270,000	275,709	0	283,175	0
Film Industry Training Program	368,750	376,546	0	386,744	0
Intensive Support Services	0	0	946,260	0	946,260
Employment Services	0	0	1,582,600	0	1,611,001
TOTAL - Other Current Expenses	56,066,923	56,687,818	55,265,536	57,449,726	55,134,925
<u>Nonfunctional - Change to Accruals</u>	0	190,951	119,149	94,325	76,564
TOTAL - General Fund	62,824,241	66,431,624	64,831,138	67,512,223	65,015,149
<u>Other Current Expenses</u>					
Opportunity Industrial Centers	500,000	510,500	400,000	535,515	400,000
Individual Development Accounts	100,000	102,100	100,000	107,103	100,000
Customized Services	500,000	510,500	400,000	524,500	400,000
TOTAL - Other Current Expenses	1,100,000	1,123,100	900,000	1,167,118	900,000
TOTAL - Banking Fund	1,100,000	1,123,100	900,000	1,167,118	900,000
<u>Other Current Expenses</u>					
Occupational Health Clinics	682,731	697,492	683,262	716,495	683,653
<u>Nonfunctional - Change to Accruals</u>	0	133	107	146	106
TOTAL - Workers' Compensation Fund	682,731	697,625	683,369	716,641	683,759
<u>Additional Funds Available</u>					
Employment Security Admin Fund	120,210,001	120,844,565	120,844,565	126,048,430	126,048,430
Federal and Other Activities	1,820,887	22,680	22,680	23,360	23,360
Special Funds, Non-Appropriated	486,306	500,716	500,716	515,790	515,790
Private Funds	1,619,287	1,268,104	1,268,104	1,303,046	1,303,046
TOTAL - All Funds Net	188,743,453	190,888,414	189,050,572	197,286,608	194,489,534

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.



# COMMISSION ON HUMAN RIGHTS AND OPPORTUNITIES

[www.state.ct.us/chro](http://www.state.ct.us/chro)

## AGENCY PURPOSE

- To enforce human rights laws that prohibit illegal discrimination in employment, housing, public accommodations and credit transactions.
- To monitor compliance with state contract compliance laws and with laws requiring affirmative action in the state government.
- To provide education to the public regarding the protections afforded by Connecticut's civil rights laws.

## RECENT HIGHLIGHTS

### ENFORCEMENT/COMPLIANCE

- Public Act 11-237 made significant changes to the way the Commission on Human Rights and Opportunities (CHRO) processes discriminatory practice complaints in the areas of employment, public accommodations and credit. The act is the most far reaching procedural reform brought about by the General Assembly in decades.
- Public Act 11-55 established a working group to review and suggest changes to the regulations governing Affirmative Action Plans. The working group has submitted the proposed new regulations to the commissioners for review and action.

### TRAINING

Housing Discrimination Unit staff provided training to housing providers, advocates and municipal housing officials throughout the year. The Legal Division trained staff, complainants' bar, respondents' bar, human resources personnel, businesses, legislators and other interested parties regarding the implementation of PA 11-237

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

- Remove or Limit Inflation
- Remove Funding for Salary Increases for Appointed Officials
- Annualize FY 2013 Rescissions
- Reduce Other Expenses

*Funding is reduced to reflect current expenditures.*

### Reallocations or Transfers

- Centralize Affirmative Action Planning Function in CHRO  
*Funding for nine positions is transferred to reflect the centralization of affirmative action planning under CHRO.*
- Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services
- Streamline Agency Account Structure  
*Reallocate funding for the Martin Luther King Jr. Commission to Other Expenses.*

### Technical Adjustments

- Revise GAAP Accrual Amounts

	<b>FY 2014</b>	<b>FY 2015</b>
Remove or Limit Inflation	-8,544	-20,339
Remove Funding for Salary Increases for Appointed Officials	-22,660	-47,605
Annualize FY 2013 Rescissions	-59,442	-59,442
Reduce Other Expenses	-50,000	-50,000
Centralize Affirmative Action Planning Function in CHRO	664,441	693,503
Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-10,264	-10,264
Streamline Agency Account Structure	0	0
Revise GAAP Accrual Amounts	24,633	2,367

## AGENCY SUMMARY

### Personnel Summary

#### Permanent Full-Time Positions

	FY 2013 Total Authorized	FY 2014 Change From FY 2013	FY 2014 Total Recommended	FY 2015 Change From FY 2014	FY 2015 Total Recommended
General Fund	74	9	83	0	83

Budget Summary

<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	5,196,701	5,443,417	6,045,198	5,718,568	6,324,466
Other Expenses	382,211	390,616	309,155	402,078	309,155
<u>Capital Outlay</u>					
Equipment	1	1	1	1	1
<u>Other Current Expenses</u>					
Martin Luther King, Jr. Commission	6,650	6,789	0*	7,122	0*
<u>Nonfunctional - Change to Accruals</u>	0	35,523	60,156	36,645	39,012
TOTAL - General Fund	5,585,563	5,876,346	6,414,510	6,164,414	6,672,634
<u>Additional Funds Available</u>					
Federal and Other Activities	78,600	78,600	78,600	78,600	78,600
Private Funds	3,100	3,100	3,100	3,100	3,100
TOTAL - All Funds Net	5,667,263	5,958,046	6,496,210	6,246,114	6,754,334

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.



# OFFICE OF PROTECTION & ADVOCACY FOR PERSONS WITH DISABILITIES

[www.ct.gov/opapd](http://www.ct.gov/opapd)

## AGENCY PURPOSE

To safeguard the civil and human rights of people with disabilities by:

- Investigating and exposing patterns of discrimination and abuse;
- Pursuing legal and administrative remedies for violations of rights;

- Providing information, referral, technical assistance and training to help empower individuals and groups to effectively advocate for themselves.
- Working with advocacy groups, service systems and communities to develop effective safeguards against discrimination and abuse.

## RECENT HIGHLIGHTS

- Secured favorable ruling and negotiated settlement in major housing discrimination case.
- Received and processed 1,115 reports of suspected abuse and neglect of adults with mental retardation, investigated or monitored the investigation of 1,006 allegations and oversaw implementation of 235 protective services plans.
- Provided case advocacy or legal representation to 716 individuals who were experiencing disability-based discrimination or denials of rights in educational programs or human service programs.
- Responded to 4,078 requests for information, referrals and assistance in understanding rights and responsibilities from people with disabilities, families, businesses and agencies.
- Provided training and informational material to approximately 2,600 individuals who attended 83 training events and information on the agency website to 138,380 visitors.

## RECOMMENDED SIGNIFICANT CHANGES

### **Reductions to Current Services**

- Remove or Limit Inflation
- Remove Funding for Salary Increases for Appointed Officials
- Annualize FY 2013 Rescissions
- Annualize FY 2013 Deficit Mitigation Plan

**FY 2014**
**FY 2015**

-4,689	-10,955
-6,126	-12,869
-6,000	-6,000
-67,000	-110,995

### **Reallocations or Transfers**

- Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services

-2,233	-2,233
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### **Technical Adjustments**

- Revise GAAP Accrual Amounts

-3,241	-2,657
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## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total Authorized	Change From FY 2013	Total Recommended	Change From FY 2014	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	31	0	31	0	31
<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	2,219,908	2,302,909	2,229,783	2,402,121	2,278,257
Other Expenses	210,856	216,112	203,190	222,378	203,190
<u>Capital Outlay</u>					
Equipment	1	1	1	1	1
<u>Nonfunctional - Change to Accruals</u>					
TOTAL - General Fund	2,430,765	2,530,688	2,441,399	2,637,508	2,491,799

Budget Summary

Additional Funds Available

Federal and Other Activities	1,503,874	1,549,311	1,549,311	1,543,411	1,543,411
TOTAL - All Funds Net	<u>3,934,639</u>	<u>4,079,999</u>	<u>3,990,710</u>	<u>4,180,919</u>	<u>4,035,210</u>

# WORKERS' COMPENSATION COMMISSION

[wcc.state.ct.us](http://wcc.state.ct.us)

## AGENCY PURPOSE

- To administer the workers' compensation laws of the State of Connecticut.
- To adjudicate and resolve disputes arising from the workers' compensation process.
- To educate employees and employers on their rights and responsibilities under the law.
- To review and approve applications for managed care plans.
- To certify self-insurance applications.
- To promote safety in the workplace.

## RECENT HIGHLIGHTS

### PAYOR-PROVIDER GUIDELINES IMPLEMENTED

The Workers' Compensation Commission developed payor-provider guidelines to educate medical care providers and insurance carriers of their responsibilities under Connecticut's laws and regulations. These guidelines provide methods to promote cooperation and communication among the parties.

### PRESCRIPTION FEE SCHEDULE INTRODUCED

Effective July 15, 2012, the commission included a provision in the 2012 official Connecticut practitioner fee schedule to address pharmaceuticals dispensed by physicians in their offices. This new guideline establishes the amount physicians will be reimbursed for dispensing pharmaceuticals in their offices by defining the average wholesale price (AWP) to be consistent with the rates for pharmacies. This new policy will allow doctors to dispense pharmaceuticals at their offices, but it will reduce the additional

costs associated with office dispensing that have arisen in the past few years.

### OPIOID MEDICAL PROTOCOLS DEVELOPED

The agency, in consultation with pain management specialists and the Workers' Compensation Commission Medical Advisory Panel, developed medical protocols for opioid (pain) management. Effective July 1, 2012, these new and innovative protocols redefine the prescribing/dispensing of opioids to workers' compensation claimants.

### MEDICAL PROTOCOLS UPDATED

Effective July 1, 2012, the commission issued revised medical protocols for treatment of injuries to the lumbar spine and cervical spine to reflect the latest changes in the medical field.

## RECOMMENDED SIGNIFICANT CHANGES

### **Reductions to Current Services**

- |   | <b>FY 2014</b> | <b>FY 2015</b> |
|---|----------------|----------------|
| • Remove or Limit Inflation   | -17,656        | -38,849        |
| • Reassign Caseload to Other District Offices<br><i>Reduces the number of district offices from eight to seven.</i> | -287,161       | -355,683       |

### **Reallocations or Transfers**

- |   |         |         |
|---|---------|---------|
| • Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services | -14,952 | -14,952 |
|---|---------|---------|

### **Technical Adjustments**

- |                               |         |       |
|-------------------------------|---------|-------|
| • Revise GAAP Accrual Amounts | -17,145 | 7,500 |
|-------------------------------|---------|-------|

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total Authorized	Change From FY 2013	Total Recommended	Change From FY 2014	Total Recommended
<u>Permanent Full-Time Positions</u>					
Workers' Compensation Fund	117	-5	112	0	112
<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	8,758,024	9,034,093	8,948,704	9,328,657	9,232,373
Other Expenses	2,284,102	2,542,665	2,368,057	2,515,034	2,269,233
<u>Capital Outlay</u>					
Equipment	15,900	28,625	28,625	52,000	52,000
<u>Other Current Expenses</u>					
Fringe Benefits	6,045,052	6,323,865	6,264,093	6,530,060	6,462,661
Indirect Overhead	716,918	575,355	575,355	601,246	601,246
TOTAL - Other Current Expenses	6,761,970	6,899,220	6,839,448	7,131,306	7,063,907
<u>Nonfunctional - Change to Accruals</u>					
TOTAL - Workers' Compensation Fund	17,819,996	18,619,931	18,283,017	19,115,822	18,713,838
<u>Additional Funds Available</u>					
Private Funds	102,410	102,410	102,410	102,410	102,410
TOTAL - All Funds Net	17,922,406	18,722,341	18,385,427	19,218,232	18,816,248

# DEPARTMENT OF AGRICULTURE


[www.ct.gov/doag](http://www.ct.gov/doag)

## AGENCY PURPOSE

- Foster agriculture by developing, promoting and regulating agriculture businesses and protecting agricultural and aquacultural resources.
- Protect consumers and animal health by regulating, inspecting and enforcing animal care and animal health standards for pet shops and commercial kennels.
- Protect public health and animal agriculture by enforcing livestock and poultry disease statutes and administering animal disease prevention and control programs.
- Protect domestic animals by responding to and investigating animal cruelty and animal neglect complaints.
- Protect public safety by supporting local animal control and police enforcement of animal bite statutes.
- Protect public health by regulating, inspecting and enforcing food production and manufacturing standards for the shellfish, fluid milk, cheese manufacturing industries and small non-USDA inspected poultry slaughter operations.
- Preserve agricultural and aquacultural resources by restricting non-agricultural uses and prohibiting their partitioning thus preserving the land and underwater land for food and fiber production.

## RECENT HIGHLIGHTS

### COMMUNITY FARMS PILOT PROGRAM

A community farms pilot program was initiated with the purpose of encouraging locally supported farmland preservation efforts. Two million dollars of funding was earmarked for this program. A municipal prequalifying deadline resulted in 23 cooperative agreements. By the deadline, eleven qualifying municipalities submitted applications for the pilot Community Farms Preservation Program.

### FARMLAND RESTORATION PROGRAM

The Farmland Restoration Program was initiated during the 2011 Jobs Special Session of the General Assembly. Five million dollars was authorized and allocated to restore and increase productive farmland statewide. The state matches private investment for qualifying projects up to \$20,000.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

	FY 2014	FY 2015
• Remove or Limit Inflation	-25,131	-51,942
• Remove or Limit Inflation - Regional Market Fund	-8,767	-17,996
• Remove Funding for Salary Increases for Appointed Officials	-6,883	-14,460
• Annualize FY 2013 Rescissions	-43,715	-43,715
• Annualize FY 2013 Deficit Mitigation Plan - Eliminate CT Grown Promotion	-9,500	-9,500

### Reallocations or Transfers

• Transfer Costs for Online Licensing <i>Funding for online licensing contracts is transferred to the Department of Administrative Services.</i>	-10,000	-10,000
• Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-7,234	-7,234
• Streamline Agency Account Structure <i>Funding for WIC Coupon Program for Fresh Produce and Senior Food Vouchers has been merged into one account. Additionally, funding for the Vibrio Bacterium Program, Environmental Conservation, Collection of Agricultural Statistics, Tuberculosis and Brucellosis Indemnity, and Fair Testing - Exhibits and Demonstrations has been merged into Other Exepnses.</i>	0	0

### Technical Adjustments

• Revise GAAP Accrual Amounts - General Fund	3,999	77
• Revise GAAP Accrual Amounts - Regional Market Operation Fund	-870	-18

## AGENCY SUMMARY

### Personnel Summary

	FY 2013 Total Authorized	FY 2014 Change From FY 2013	FY 2014 Total Recommended	FY 2015 Change From FY 2014	FY 2015 Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	48	1	49	0	49
Regional Market Operation Fund	7	0	7	0	7

Budget Summary

<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	3,317,987	3,621,371	3,636,369	3,791,555	3,798,976
Other Expenses	669,279	690,068	743,214	710,932	743,214
<u>Capital Outlay</u>					
Equipment	1	1	1	1	1
<u>Other Current Expenses</u>					
Vibrio Bacterium Program	1	1	0*	1	0*
Senior Food Vouchers	384,275	384,275	0*	382,229	0*
Environmental Conservation	90,000	90,000	0*	90,000	0*
TOTAL - Other Current Expenses	474,276	474,276	0	472,230	0
<u>Pmts to Other than Local Govts</u>					
Collection of Agricultural Statistics	1,026	1,048	0*	1,078	0*
Tuberculosis and Brucellosis Indemnity	900	920	0*	947	0*
Fair Testing - Exhibits and Demonstrations	4,040	4,128	0*	4,248	0*
Connecticut Grown Product Promotion	10,000	10,217	0	10,513	0
WIC Coupon Program for Fresh Produce	184,090	188,085	0*	193,539	0*
WIC and Senior Voucher Programs	0	0	508,067	0	506,021
TOTAL - Pmts to Other than Local Govts	200,056	204,398	508,067	210,325	506,021
<u>Nonfunctional - Change to Accruals</u>	0	21,370	25,369	20,951	21,028
TOTAL - General Fund	4,661,599	5,011,484	4,913,020	5,205,994	5,069,240
Personal Services	386,193	380,287	380,287	399,028	399,028
Other Expenses	273,007	281,774	273,007	291,003	273,007
<u>Capital Outlay</u>					
Equipment	1	1	1	1	1
<u>Other Current Expenses</u>					
Fringe Benefits	266,473	266,201	266,201	266,201	266,201
<u>Nonfunctional - Change to Accruals</u>	0	3,054	2,184	3,279	3,261
TOTAL - Regional Market Operation Fund	925,674	931,317	921,680	959,512	941,498
<u>Additional Funds Available</u>					
Federal and Other Activities	1,215,468	1,239,777	1,239,777	1,264,573	1,264,573
Federal Contributions	459,423	0	0	0	0
Private Funds	8,522,880	8,693,321	8,693,321	8,867,975	8,867,975
TOTAL - All Funds Net	15,785,044	15,875,899	15,767,798	16,298,054	16,143,286

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

# DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION

## AGENCY PURPOSE

- To ensure that the state's natural resources are preserved, conserved and protected.
- To ensure that environmental quality standards are implemented fairly and effectively.
- To ensure that energy policies and programs are developed and implemented to bring cheaper, cleaner, and more reliable energy to Connecticut's residents and businesses.
- To ensure appropriate regulatory oversight of public utility companies serves the public interest.
- To ensure that outdoor recreation opportunities are provided for residents and visitors through sound management of state parks and forests.

## RECENT HIGHLIGHTS

### *Launch of DEEP*

As a result of legislation that was signed into law as Public Act 11-80, the former Department of Environmental Protection, the former Department of Public Utility Control, and energy functions that had resided at the Office of Policy and Management, were merged to create the Department of Energy and Environmental Protection (DEEP). The new agency was created on July 1, 2011, charged with the dual responsibilities of creating a new energy future for the state and protecting Connecticut's environment and natural resources. The new agency was designed as a national model for the integration of energy and environmental policy.

### *Transformation*

The department is implementing a transformation agenda which includes: investments in technology to speed work and create more e-government opportunities for those doing business with the agency; streamlining and modernizing permitting and enforcement processes through a continuation of a LEAN initiative; permitting reform that allows the agency to effectively allocate resources to the most risky and high impact applications; and development of metrics to provide the public and decision makers with key indicators that track the progress being made in addressing energy and environmental issues.

### *Storm Recovery*

The department played a role in helping Connecticut recover from the two major storms of 2011 – Tropical Storm Irene and the October snowstorm – both of which caused heavy damage and historic power outages. Following these historic storms, the Public Utilities Regulatory Authority (PURA) launched an extensive review of the storm preparedness and response of the state's utilities. The department's forestry division formed the State Vegetation Management Task Force to recommend steps to improve the resiliency of roadside trees and forests against future catastrophic weather events while ensuring the reliability of the state's power grid. The agency was also charged with implementing a microgrid strategy, which will develop systems and programs to help ensure continued electrical service to town centers and mission-critical facilities in the event of a power outage.

### *New Approaches to Environmental Quality Challenges*

Seeking to apply new approaches to major environmental challenges facing our state, the agency launched major initiatives to revamp the

state's system for handling solid waste and to develop a more results-oriented approach to the cleanup of contaminated lands. The Waste Management for the 21<sup>st</sup> century initiative – working closely with the Governor's Modernizing Recycling Working Group – is developing plans to divert a higher percentage of materials from the waste stream by increasing reuse and recycling, which will allow our state and municipalities to benefit from the economic value of these materials. The top-to-bottom review of the department's cleanup programs to create a more efficient and streamlined approach to spills and site remediation, which will help reduce health risks, create jobs, and put more contaminated sites back into productive use.

### *Energy Agenda*

The department has moved quickly to implement important provisions of the 2011 energy legislation. The department's energy policy bureau developed the first integrated resource plan, which assesses the state's electrical needs for the future, and has developed the state's first comprehensive energy strategy, which recommends the best approaches for electricity, power for industry, and fuels for heating and transportation.

### *Utility Regulation*

Under Public Act 11-80, PURA performs the functions of the former Department of Public Utility Control. During its first year, PURA reduced rates for electricity, reviewed and approved – with certain conditions – the merger of Northeast Utilities and NSTAR, and investigated the actions of electric utility companies to prepare for and respond to the two major storms of 2011.

### *Great Outdoors*

The department made two major land acquisitions: the 449-acre Mason property, which preserves a sensitive trout stream and watershed in Vernon, and Beverly's Marina at Bantam Lake, in Morris, which provides the boating public with access to Connecticut's largest natural lake.

The US Department of Interior designated the Connecticut River and its watershed as the first in the nation Blueway, recognizing the river's importance to local and regional conservation, recreation, and restoration efforts.

## RECOMMENDED SIGNIFICANT CHANGES

	<b>FY 2014</b>	<b>FY 2015</b>
<b>Reductions to Current Services</b>		
• Remove or Limit Inflation	-480,563	-987,200
• Remove Funding for Salary Increases for Appointed Officials	-58,605	-123,119
• Annualize FY 2013 Rescissions	-107,290	-107,290
• Annualize FY 2013 Deficit Mitigation Plan <i>Eliminates funding for the Lobster Restoration program and reduces funding for agreements with the United States Geological Survey.</i>	-218,522	-218,522
• Reduce Overtime of Park Staff and Environmental Conservation Officers	-208,535	-214,791
• Reduce Expenses as a Result of Information Technology Investment <i>Reflects savings in storage, paper reduction, telephone and mailing costs as a result of implementation of new information technology initiatives.</i>	-205,000	-255,000
• Eliminate Funding for the Potable Water Program	-200,000	-205,800
• Reduce Number of State Run Hatcheries <i>Reflects closing of one state fish hatchery.</i>	-149,910	-149,910
• Eliminate Funding for Warehouse Lease	-120,000	-120,000
<b>Reallocations or Transfers</b>		
• Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-1,234	-1,234
• Streamline Agency Account Structure <i>Reallocate funding for Stream Gaging, Mosquito Control, State Superfund Site Maintenance, Laboratory Fees, Dam Maintenance, Interstate Environmental Commission, Agreement USGS Hydrological Study, Interstate Water Pollution Commission, Northeast Interstate Forest Fire Compact, Connecticut River Valley Flood Control Commission, Thames River Valley Flood Control Commission and Agreement USGS Quality Stream Monitoring to Personal Services and Other Expenses. Reallocate funding for Clean Air and Environmental Quality to Environmental Management and Assurance. Reallocate funding for Greenways, Pheasant Stocking and Environmental Conservation to Natural Resources and Outdoor Recreation.</i>	0	0
<b>Revenues</b>		
• Transfer Care and Control of the Five CRRRA Post Closure Landfills to the State <i>Provide funding for continued testing and maintenance of the five landfills. The state will receive a one-time transfer of \$30 million from CRRRA as a result of assuming care and control of these landfills.</i>	1,105,091	1,108,297
<b>Technical Adjustments</b>		
• Revise GAAP Accrual Amounts	-432,709	-91,040

## AGENCY SUMMARY

	FY 2013		FY 2014		FY 2015	
	Total	Change From	Total	Change From	Total	Change From
	Authorized	FY 2013	Recommended	FY 2014	Recommended	FY 2015
<b>Personnel Summary</b>						
<u>Permanent Full-Time Positions</u>						
General Fund	669	0	669	0	669	0
Consumer Counsel and Public Utility Control Fund	125	2	127	0	127	0
Federal and Other Activities	237	-2	235	0	235	0
Transportation Infrastructure	5	-1	4	0	4	0
Clear Water Fund	30	0	30	0	30	0
<u>Other Positions Equated to Fulltime</u>						
Federal and Other Activities	3	0	3	0	3	0
<b>Financial Summary</b>						
	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015	
	Estimated	Current	Total	Current	Total	
		Services	Recommended	Services	Recommended	
Personal Services	29,015,253	30,643,696	30,731,389	31,931,012	32,001,864	
Other Expenses	3,771,656	3,969,030	5,097,422	4,119,381	5,097,721	
<u>Capital Outlay</u>						
Equipment	1	1	1	1	1	

Other Current Expenses

Stream Gaging	199,561	203,891	0*	209,804	0*
Mosquito Control	259,168	267,584	0*	278,788	0*
State Superfund Site Maintenance	541,100	552,843	0*	568,875	0*
Laboratory Fees	170,309	174,005	0*	179,051	0*
Dam Maintenance	120,737	133,898	0*	139,485	0*
Emergency Spill Response	6,798,977	7,519,677	7,286,647	7,812,230	7,538,207
Solid Waste Management	2,360,398	2,724,481	3,829,572	2,849,311	3,957,608
Underground Storage Tank	975,276	1,001,749	952,363	1,050,135	999,911
Clean Air	4,829,325	4,732,367	0*	4,905,073	0*
Environmental Conservation	8,495,636	9,345,646	0*	9,654,941	0*
Environmental Quality	9,753,982	10,287,900	0	10,715,422	0
Pheasant Stocking Account	160,000	160,000	0*	160,000	0*
Greenways Account	1	1	0*	1	0*
Natural Resources and Outdoor Recreation	0	0	9,271,770	0	9,476,724
Environmental Management and Assurance	0	0	14,717,521	0	15,152,120
<b>TOTAL - Other Current Expenses</b>	<b>34,664,470</b>	<b>37,104,042</b>	<b>36,057,873</b>	<b>38,523,116</b>	<b>37,124,570</b>

Pmts to Other than Local Govts

Interstate Environmental Commission	48,783	49,842	0*	51,287	0*
Agreement USGS-Hydrological Study	155,456	158,829	0*	163,435	0*
N E Interstate Water Pollution Comm	28,827	29,452	0*	30,306	0*
Northeast Interstate Forest Fire Comp	3,295	3,366	0*	3,464	0*
Conn River Valley Flood Control Comm	32,395	33,098	0*	34,057	0*
Thames River Valley Flood Control Comm	48,281	49,328	0*	50,759	0*
Agree USGS Quality Stream Monitoring	215,412	220,086	0*	226,468	0*
<b>TOTAL - Pmts to Other than Local Govts</b>	<b>532,449</b>	<b>544,001</b>	<b>0</b>	<b>559,776</b>	<b>0</b>

Pmts to Local Governments

Lobster Restoration	200,000	204,340	0	210,266	0
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Nonfunctional - Change to Accruals

	0	431,264	0	379,083	289,533
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<b>TOTAL - General Fund</b>	<b>68,183,829</b>	<b>72,896,374</b>	<b>71,886,685</b>	<b>75,722,635</b>	<b>74,513,689</b>
Personal Services	11,602,054	11,052,321	11,022,629	11,558,027	11,495,649
Other Expenses	1,650,391	1,825,607	1,789,156	1,881,951	1,789,156

Capital Outlay

Equipment	26,000	19,500	19,500	19,500	19,500
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Other Current Expenses

Fringe Benefits	8,009,565	7,736,625	7,736,625	8,090,619	8,090,619
Indirect Overhead	197,792	150,000	150,000	156,750	156,750
<b>TOTAL - Other Current Expenses</b>	<b>8,207,357</b>	<b>7,886,625</b>	<b>7,886,625</b>	<b>8,247,369</b>	<b>8,247,369</b>

Pmts to Other than Local Govts

Operation Fuel	1,100,000	1,100,000	1,100,000	1,100,000	1,100,000
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Nonfunctional - Change to Accruals

	0	38,749	37,304	115,580	114,090
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<b>TOTAL - Consumer Counsel/Public Utility Fund</b>	<b>22,585,802</b>	<b>21,922,802</b>	<b>21,855,214</b>	<b>22,922,427</b>	<b>22,765,764</b>
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Additional Funds Available

Federal and Other Activities	50,538,540	38,816,321	38,816,321	37,734,543	37,734,543
Private Funds	19,547,410	18,878,303	18,878,303	18,774,820	18,774,820
<b>TOTAL - All Funds Net</b>	<b>160,855,581</b>	<b>152,513,800</b>	<b>151,436,523</b>	<b>155,154,425</b>	<b>153,788,816</b>

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

# COUNCIL ON ENVIRONMENTAL QUALITY

## AGENCY PURPOSE

- To monitor, analyze and report the status of Connecticut's air, water, land and wildlife to the Governor, General Assembly and citizens of Connecticut in the state's comprehensive environmental quality report.
- To recommend appropriate legislation and program improvements to correct deficiencies in state environmental policy.
- To publish the *Environmental Monitor* on-line and send electronically to all municipalities.
- To investigate and resolve citizens' complaints on environmental matters.
- To review projects and policies of other state agencies and provide advice.

*The Council on Environmental Quality is recommended for consolidation with the Office of Governmental Accountability in the Governor's budget as part of his proposal to restructure and transform state government.*

## RECOMMENDED SIGNIFICANT CHANGES

	<u>FY 2014</u>	<u>FY 2015</u>
<b>Reductions to Current Services</b>		
• Remove or Limit Inflation	-78	-182
• Annualize FY 2013 Rescissions	-177	-177
• Reduce Other Expenses to Reflect Current Expenditures	-1,558	-1,558
<b>Reallocations or Transfers</b>		
• Reallocate Funding for the Council on Environmental Quality to the Office of Governmental Accountability	-165,214	-172,209
<b>Technical Adjustments</b>		
• Revise GAAP Accrual Amounts	-709	-943

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total	Change From	Total	Change From	Total
	Authorized	FY 2013	Recommended	FY 2014	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	2	-2	0	0	0
<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	160,867	163,401	0	170,396	0
Other Expenses	3,547	3,625	0	3,729	0
<u>Capital Outlay</u>					
Equipment	1	1	0	1	0
<u>Nonfunctional - Change to Accruals</u>					
	0	709	0	943	0
TOTAL - General Fund	164,415	167,736	0	175,069	0



Department of Economic and  
Community Development

# DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT

[www.ct.gov/ecd](http://www.ct.gov/ecd)

## AGENCY PURPOSE

The Department of Economic and Community Development develops and implements strategies to increase the state's economic competitiveness.

DECD goals include:

- Coordinating the activities of all state agencies in advancing economic development opportunities;
- Fostering a productive business environment that enables businesses to succeed in the global economy;
- Advancing job creation and retention;
- Promoting, encouraging and implementing responsible growth principles and practices and regional cooperation;

- Advocating on behalf of Connecticut's business community;
- Marketing Connecticut to domestic and foreign businesses and workers and encouraging them to relocate to the state;
- Strengthening and revitalizing neighborhoods and communities by stimulating the development of affordable housing opportunities for moderate- and low-income families and through the implementation of other quality-of-life investments; and
- Preserving and promoting Connecticut's cultural and tourism assets in order to enhance the quality of life and economic vitality of the State.

*The programs that support housing in Connecticut are recommended for transfer to and consolidation within the Department of Housing in the Governor's budget as part of his proposal to restructure and transform state government.*

## RECENT HIGHLIGHTS

### ECONOMIC DEVELOPMENT

- As a part of the Enterprise Zone and Urban Jobs Program, a program providing tax credits to Connecticut companies, DECD certified 40 companies with a gross floor space of 711,329 square feet; 787 jobs were retained and 1,409 new jobs were created.
- Under the Urban and Industrial Site Reinvestment Tax Credit (URA) Program, a tax credit program available to corporations that make a minimum investment of \$5 million in distressed communities, DECD made 6 offers of assistance which resulted in \$184 million in tax credits. These credits are anticipated to create 2,360 jobs, retain 5,806 jobs, and leverage over \$194 million in private investment.
- In FY 2012, through the Manufacturing Assistance Act, DECD funded a total of 5 projects under the First Five Program involving \$41,000,000 in state funds, leveraging a total investment of \$214,995,080. These projects resulted in the retention of 3,996 jobs and the creation of 1,250 jobs in Connecticut. Highlights include the following projects: a \$15 million loan to CIGNA that will enable them to relocate its headquarters from Pennsylvania to Connecticut; and a \$20 million loan to NBC Sports to locate and retrofit studios in Stamford.
- In FY 2012, through the Small Business Express Program, DECD funded a total of 184 projects involving \$25,225,937 in state funds. These projects resulted in the retention of 1,465 jobs and the creation of 691 jobs in Connecticut. General categories of businesses to receive funding include: manufacturing, retail, tourism, healthcare, financial services, information technology, and service companies.
- DECD secured a \$546,822 State Trade and Export Promotion (STEP) grant from the U.S. Small Business Administration (SBA) directed to increase the number of small businesses that export and increase the value of exports for current small business

exporters. The agency has leveraged these funds to provide the services including; dedicated staff focused on assisting small businesses that participate in select export activities and training opportunities, granted 178 reimbursement awards, ranging from \$50-\$5,000, to eligible Connecticut small businesses to participate at key global focused events, supported the global presence of several Connecticut companies by sponsoring the following trade shows:

- Medica in Dusseldorf, Germany, the leading global showcase for medical device companies.
- Hannover Messe in Hannover, Germany – a first participation resulted in reported \$500,000 in anticipated sales.
- Farnborough Air Show in Farnborough, U.K. - the booth's sub-exhibitors reported 190 significant contacts and \$48.7 million in expected sales.
- DECD International staff was one of six individuals selected to participate in the North America-Quebec Young Leaders Program in Quebec and Montreal, Canada.
- During FY 2012, an estimated \$405 million was spent in Connecticut by qualified productions. 69 tax credit applications were processed and \$115.7 million in tax credits were issued to 27 production companies (\$90.7 million to 25 production companies, \$16 million in digital animation company tax credits, and \$8.9 million to three infrastructure projects).

### OFFICE OF TOURISM

- DECD took decisive and strategic action to get Connecticut ready to launch a new branding initiative and two-year marketing effort. The agency and its Office of Tourism, with the assistance of industry advisors, selected a new marketing team to work with the state and industry partners to: create a place brand based on functional and emotional values for the State of Connecticut; serve as the branding, marketing, advertising, online marketing and public relations agencies for the state; provide marketing

## Budget Summary

- services to promote tourism, business development and talent recruitment in concert with the state's economic development efforts; and help create adoption and understanding of the brand by key stakeholders within and outside the state.
- The most competitive branding effort ever for Connecticut officially kicked off in May. The initial marketing phase focused on tourism with a soft launch during the winter, the new spring/summer advertising campaign ran through Labor Day and a fall campaign followed immediately through November. The economic development marketing phase kicked off in early fall. *Still Revolutionary* is the state's new brand initiative designed to serve as a rallying point to help build pride among residents and bolster the state's reputation as a business and tourism destination. It is a 360-degree integrated campaign delivered through a variety of touch points including TV, radio print, digital, out of home, public relations and social media
- DECD's Office of Tourism provided travel assistance to 29% more potential visitors during FY 2012 via traditional inquiries, web traffic and counseling in State welcome centers (FY 2012: 3,044,601 vs. FY 2011: 2,351,375).
- Public relations efforts generated more than 660 million media impressions for the new brand and more than 400 million impressions for tourism related efforts. Key highlights include 93 national articles in publications such as *USA Today*, *The Wall Street Journal* and NBC News online and more than 1,200 *Still Revolutionary* articles.
- Tourism marketing efforts have now mobilized an active group of residents and visitors online – with a community of nearly 120,000 Facebook fans and 580,000+ opt in subscribers raising awareness for events, attractions and destinations throughout Connecticut on a regular basis.
- Through the tourism marketing grants and new tourism product development grants programs designed to assist cultural and tourism entities to better leverage the agency's marketing efforts, DECD funded a total of 20 tourism projects involving \$400,000 in state funds leveraging total project investments of \$6,923,991.

## RECOMMENDED SIGNIFICANT CHANGES

	<u>FY 2014</u>	<u>FY 2015</u>	
<b>Reductions to Current Services</b>			
• Remove or Limit Inflation	-676,282	-1,594,888	
• Remove Funding for Salary Increases for Appointed Officials	-30,672	-64,436	
• Annualize FY 2013 Rescissions	-605,937	-605,937	
• Annualize FY 2013 Deficit Mitigation Plan	-117,181	-117,181	
<i>Reduce funding for Other Expenses and various arts and culture grants.</i>			
• Eliminate Tourism District Funding	-1,593,600	-1,593,600	
• Reduce Economic Development Grants	-827,896	-827,896	
• Eliminate Main Street Initiatives	-162,450	-162,450	
• Eliminate Nanotechnology Study Funds	-113,050	-113,050	
• Reduce Culture, Tourism and Art Grant	-102,170	-102,170	
• Eliminate Small Business Innovation Research Grants	-90,844	-90,844	
<b>Reallocations or Transfers</b>			
• Transfer Housing Programs to the Department of Housing - General Fund	-18,391,407	-19,281,394	
<i>As recommended by the Interagency Council on Affordable Housing, housing programs are transferred to the Department of Housing.</i>			
• Transfer Housing Programs to the Department of Housing - Banking Fund	-172,299	-177,296	
<i>As recommended by the Interagency Council on Affordable Housing, housing programs are transferred to the Department of Housing.</i>			
• Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-7,413	-7,413	
• Streamline Agency Account Structure	0	0	
<i>Reallocate funding for Schubert Theater, Ivoryton Playhouse and Garde Arts Theatre to reflect that they are grants rather than other current expense payments. There is no change in the level of funding for these programs. Reallocate Statewide Marketing funds to Other Expenses.</i>			
<b>New or Expanded Services</b>			
• Maintain Commitment to Statewide Marketing	3,525,000	3,525,000	3,525,000
<b>Technical Adjustments</b>			
• Revise GAAP Accrual Amounts	-41,936	-6,910	

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total Authorized	Change From FY 2013	Total Recommended	Change From FY 2014	Total Recommended
<b><u>Permanent Full-Time Positions</u></b>					
General Fund	103	-14	89	0	89
Economic Development Fund	2	-1	1	0	1
Economic Assistance Revolving Fund.	25	0	25	0	25
Housing Repayment & Revolving Loan Fund	2	-2	0	0	0
SCPRIF	1	0	1	0	1
Federal and Other Activities	22	-11	11	0	11
<b><u>Other Positions Equated to Fulltime</u></b>					
General Fund	61	0	61	0	61
Federal and Other Activities	3	0	3	0	3
<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	8,254,749	8,732,782	7,982,848	9,108,556	8,307,352
Other Expenses	814,873	835,392	15,671,717	858,597	15,671,717
<b><u>Capital Outlay</u></b>					
Equipment	1	1	1	1	1
<b><u>Other Current Expenses</u></b>					
Elderly Rental Registry and Counselors	1,098,171	1,122,001	0	1,154,540	0
Statewide Marketing	11,475,000	11,724,008	0*	12,064,005	0*
Nanotechnology Study	119,000	119,000	0	119,000	0
CT Asso Performing Arts/Schubert Theater	378,712	386,930	0*	398,151	0*
Hartford Urban Arts Grant	378,712	386,930	359,776	398,151	359,776
New Britain Arts Council	75,743	77,387	71,956	79,631	71,956
Fair Housing	308,750	315,450	0	324,598	0
Main Street Initiatives	171,000	174,711	0	179,778	0
Office of Military Affairs	453,508	459,632	430,833	466,430	430,834
SBIR Matching Grants	95,625	95,625	0	95,625	0
Ivoryton Playhouse	150,000	153,255	0*	157,699	0*
Economic Development Grants	1,742,937	1,780,759	827,895	1,832,401	827,895
Garde Arts Theatre	300,000	306,510	0*	315,399	0*
Capitol Region Development Authority	5,920,145	6,748,612	6,620,145	6,474,022	6,170,145
TOTAL - Other Current Expenses	22,667,303	23,850,810	8,310,605	24,059,430	7,860,606
<b><u>Pmts to Other than Local Govts</u></b>					
Subsidized Assisted Living Demo	1,880,000	2,178,000	0	2,345,000	0
Congregate Facilities Operation Costs	7,087,047	7,434,184	0	7,991,671	0
Housing Assistance & Counseling Pgm	438,500	448,016	0	461,009	0
Elderly Congregate Rent Subsidy	2,389,796	2,189,654	0	2,276,419	0
Nutmeg Games	25,000	25,543	24,000	26,284	24,000
Discovery Museum	378,712	386,930	359,776	398,151	359,776
National Theatre for the Deaf	151,484	154,771	143,910	159,260	143,910
Culture, Tourism and Art Grant	2,000,000	2,043,400	1,797,830	2,102,659	1,797,830
CT Trust for Historic Preservation	210,396	214,962	199,876	221,195	199,876
Connecticut Science Center	630,603	644,287	599,073	662,971	599,073
Bushnell Theater	250,000	255,425	237,500	262,833	237,500
Local Theatre Grant	500,000	510,850	475,000	525,665	475,000
CT Asso Performing Arts/Schubert Theater	0	0	359,776	0	359,776
Ivoryton Playhouse	0	0	142,500	0	142,500
Garde Arts Theatre	0	0	285,000	0	285,000
TOTAL - Pmts to Other than Local Govts	15,941,538	16,486,022	4,624,241	17,433,117	4,624,241

Budget Summary

Pmts to Local Governments

Tax Abatement	1,704,890	1,704,890	0	1,704,890	0
Payment in Lieu of Taxes	2,204,000	2,204,000	0	2,204,000	0
Greater Hartford Arts Council	94,677	96,731	89,943	99,537	89,943
Stamford Center for the Arts	378,712	386,930	359,776	398,151	359,776
Stepping Stones Museum for Children	44,294	45,255	42,079	46,568	42,079
Maritime Center Authority	531,525	543,059	504,949	558,808	504,949
Tourism Districts	1,495,596	1,528,051	0	1,572,365	0
Amistad Committee for the Freedom Trail	44,294	45,255	42,079	46,568	42,079
Amistad Vessel	378,712	386,930	359,776	398,151	359,776
New Haven Festival of Arts and Ideas	797,287	814,588	757,423	838,211	757,423
New Haven Arts Council	94,677	96,731	89,943	99,537	89,943
Palace Theater	378,712	386,930	359,776	398,151	359,776
Beardsley Zoo	354,350	362,039	336,632	372,539	336,632
Mystic Aquarium	620,112	633,568	589,106	651,942	589,106
Quinebaug Tourism	41,101	41,993	0	43,211	0
Northwestern Tourism	41,101	41,993	0	43,211	0
Eastern Tourism	41,101	41,993	0	43,211	0
Central Tourism	41,101	41,993	0	43,211	0
Twain/Stowe Homes	95,674	97,750	90,890	100,585	90,890
<b>TOTAL - Pmts to Local Governments</b>	<b>9,381,916</b>	<b>9,500,679</b>	<b>3,622,372</b>	<b>9,662,847</b>	<b>3,622,372</b>
<u>Nonfunctional - Change to Accruals</u>	0	67,784	25,848	56,923	50,013
<b>TOTAL - General Fund</b>	<b>57,060,380</b>	<b>59,473,470</b>	<b>40,237,632</b>	<b>61,179,471</b>	<b>40,136,302</b>
<u>Other Current Expenses</u>					
Fair Housing	168,639	172,299	0	177,296	0
<b>TOTAL - Banking Fund</b>	<b>168,639</b>	<b>172,299</b>	<b>0</b>	<b>177,296</b>	<b>0</b>
<u>Additional Funds Available</u>					
Federal and Other Activities	38,821,432	38,093,509	3,058,312	34,467,870	3,193,650
Private Funds	4,485,375	3,296,430	3,296,430	3,392,785	3,392,785
<b>TOTAL - All Funds Net</b>	<b>100,535,826</b>	<b>101,035,708</b>	<b>46,592,374</b>	<b>99,217,422</b>	<b>46,722,737</b>

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.



# DEPARTMENT OF HOUSING

## AGENCY PURPOSE

Public Act 12-1, June Special Session, established the Department of Housing as the lead agency for housing-related matters in the state. The Department of Housing will provide centralized leadership and a comprehensive approach to eliminating homelessness and meeting the housing needs of low- and moderate-income individuals, families

and communities in Connecticut for quality and sustainable housing by enhancing the supply of, and access to, safe and affordable housing and by improving the infrastructure of neighborhoods and communities.

*The programs that support housing in Connecticut are recommended for transfer to and consolidation within the Department of Housing in the Governor's budget as part of his proposal to restructure and transform state government.*

## RECENT HIGHLIGHTS

### Interagency Council on Affordable Housing

Established the Department of Housing as required in Public Act 12-1 of the June Special Session through meetings of the Interagency Council on Affordable Housing created to advise and assist the Commissioner of the Department of Housing in the planning and implementation of the department. On January 15, 2013, the council submitted a report to the Governor and legislature with recommendations for implementation of the department.

### Opening Doors CT

Received the recommendations of the Reaching Home Campaign, "Opening Doors CT," a blueprint to end chronic homelessness among veterans in five years and among families, children and youth in ten years.

### Supportive and Affordable Housing

Continued implementation of many new affordable and supportive housing initiatives.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

	<u>FY 2014</u>	<u>FY 2015</u>
• Remove or Limit Inflation	-245,175	-428,142
• Remove Funding for Salary Increases for Appointed Officials	-9,917	-20,833
• Annualize FY 2013 Rescissions	-210,881	-210,881
• Annualize FY 2013 Deficit Mitigation Plan	-435,427	-435,427
• Freeze Intake into Renter's Rebate Program	-2,028,781	-3,843,774
• Suspend Funding for Payment in Lieu of Taxes	-1,873,400	-1,873,400
• Suspend Funding for Tax Abatement	-1,444,646	-1,444,646

### Reallocations or Transfers

• Transfer Programs to the Department of Housing <i>As recommended by the Interagency Council on Affordable Housing, this option transfers various housing programs from the Department of Social Services, the Department of Mental Health and Addiction Services, the Office of Policy and Management and the Department of Economic and Community Development to the Department of Housing.</i>	104,073,284	111,316,993
• Reallocate Funds for Supportive Housing <i>This option transfers funds from the Department of Developmental Services (DDS) to the Department of Housing to support 15 units of supportive housing for new and existing DDS clients.</i>	450,000	450,000
• Streamline Agency Account Structure <i>This option merges the Fair Housing and Elderly Rental Registry and Counselors accounts into Other Expenses and combines the Subsidized Assisted Living Demonstration account, Congregate Facilities Operations Costs, Housing Assistance and Counseling Program funding, Elderly Congregate Rent Subsidy and Housing/Homeless Services into two accounts: Housing Supports and Shelters and Congregate Facilities.</i>	0	0

### New or Expanded Services

	<u>FY 2014</u>	<u>FY 2015</u>	<u>FY 2016</u>
• Support Rapid Re-Housing <i>Funds support a combination of housing relocation and stabilization services and short-term financial assistance to help homeless families move as quickly as possible into permanent housing and achieve stability in that housing.</i>	250,000	250,000	250,000
• Establish 100 New Units of Supportive Housing <i>This option funds 100 new project-based supportive housing units effective January 1, 2015.</i>	0	500,000	1,000,000

Budget Summary

**Technical Adjustments**

- Revise GAAP Accrual Amounts

55,377

7,043

**AGENCY SUMMARY**

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total Authorized	Change From FY 2013	Total Recommended	Change From FY 2014	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	2	13	15	0	15
Housing Repayment & Revolving Loan Fund	0	2	2	0	2
Federal and Other Activities	0	16	16	0	16
<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	180,000	256,632	1,364,835	272,211	1,400,703
Other Expenses	0	0	1,826,067	0	1,826,067
<u>Pmts to Other than Local Govts</u>					
Tax Relief for Elderly Renters	0	0	24,860,000	0	24,860,000
Housing Supports	0	0	48,272,721	0	53,297,229
Shelters and Congregate Facilities	0	0	22,289,427	0	22,979,463
TOTAL - Pmts to Other than Local Govts	0	0	95,422,148	0	101,136,692
<u>Nonfunctional - Change to Accruals</u>	0	0	55,377	0	7,043
TOTAL - General Fund	180,000	256,632	98,668,427	272,211	104,370,505
<u>Other Current Expenses</u>					
Fair Housing	0	0	168,639	0	168,639
TOTAL - Banking Fund	0	0	168,639	0	168,639
<u>Additional Funds Available</u>					
Federal and Other Activities	0	0	116,929,715	0	124,747,593
TOTAL - All Funds Net	180,000	256,632	215,766,781	272,211	229,286,737



# AGRICULTURAL EXPERIMENT STATION

*"Putting Science to Work for Society"*

[www.ct.gov/caes](http://www.ct.gov/caes)

## AGENCY PURPOSE

To perform a variety of research and analyses at laboratories in New Haven and Windsor and research farms in Hamden, Windsor, and Griswold. This research includes:

- Investigating mosquitoes and ticks that transmit disease organisms to people and animals and devising methods of monitoring and reducing these diseases.
- Evaluating new crops for biodiesel fuel and pest control.
- Devising ways to manage agricultural and forest pests using fewer and less toxic pesticides.
- Ensuring an ample and economical food supply by increasing crop yields, introducing new successful crops, and controlling pests and plant diseases.
- Protecting people from toxic substances found in food and water, mold in buildings, and from deficient food, drugs and agricultural products.
- Devising ways to control invasive aquatic plants in lakes and to restore normal plant growth in wetlands.

## RECENT HIGHLIGHTS

### FOOD SAFETY AND BIOSECURITY

In collaboration with the Department of Consumer Protection and other state agencies, foods and other consumer products were tested for pesticides, other unwanted chemicals, and microbes. In analyses of produce for pesticides, three of 52 products (6%) had excessive pesticide residues. Results were reported to the U. S. Food and Drug Administration, which resulted in a national recall of kale.

### INVASIVE AQUATIC PLANTS

Several species of invasive plants have been detected in lakes and ponds. Explosive growth of these weeds can greatly reduce water quality, displace native plant populations, and alter wildlife habitats. Decreased property values and negative impacts on recreation are major public concerns. Herbicides failed to control Eurasian water milfoil and curly leaf pondweed in a lake. Field experiments revealed that the introduction of grass carp controlled these invasive plants. In another study, the release of a predatory beetle on Eurasian water milfoil in Lake Candlewood is being evaluated.

### AGRICULTURAL ADVANCES

The winegrape industry in Connecticut is growing. There are at least 30 wineries with grape production on about 350 acres. A fungus infection, called powdery mildew, reduces fruit quality and control adds to farm costs. Using information on weather conditions (e.g., rainfall, temperature, and humidity) and presence of fungal spores, precise periods of fungicide treatment protected the grape crop from powdery mildew and resulted in a savings of about \$55 per acre in pesticide application costs. Fewer fungicide applications also decrease the chance of the fungus developing resistance to the pesticide and reduce worker and consumer exposure to fungicides.

### PATENT APPLICATIONS

A new discovery has been made to introduce a unique strawberry cultivar (Rubicon) that is resistant to a destructive insect (black vine weevil) and a fungus that attacks roots. Moreover, a new formulation has been developed to control bed bugs. Patent applications have been filed to protect these discoveries before efforts are made to commercialize the products.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

	<b>FY 2014</b>	<b>FY 2015</b>
• Remove or Limit Inflation	-53,904	-102,843
• Remove Funding for Salary Increases for Appointed Officials	-10,603	-22,274
• Annualize FY 2013 Deficit Mitigation Plan - Reduce Funding for Wildlife Disease Prevention	-4,479	-4,479

### Reallocations or Transfers

• Streamline Agency Account Structure	0	0
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*This option merges the Mosquito and Viral Disease Surveillance and Wildlife Disease Prevention accounts into Personal Services and Other Expenses.*

### Technical Adjustments

• Revise GAAP Accrual Amounts	-16,943	-2,766
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## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total Authorized	Change From FY 2013	Total Recommended	Change From FY 2014	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	69	0	69	0	69
Federal and Other Activities	26	0	26	0	26
<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	5,379,259	5,970,229	6,394,507	6,315,376	6,749,403
Other Expenses	901,360	951,087	1,028,324	994,575	1,028,324
<u>Capital Outlay</u>					
Equipment	1	1	1	1	1
<u>Other Current Expenses</u>					
Mosquito/Viral Disease Surveil	459,952	477,699	0*	499,049	0*
Wildlife Disease Prevention	89,571	92,802	0*	98,323	0*
TOTAL - Other Current Expenses	549,523	570,501	0	597,372	0
<u>Nonfunctional - Change to Accruals</u>					
TOTAL - General Fund	6,830,143	7,545,339	7,459,410	7,953,452	7,821,090
<u>Additional Funds Available</u>					
Federal and Other Activities	3,512,500	3,557,500	3,557,500	3,592,500	3,592,500
Special Funds, Non-Appropriated	242,500	197,500	197,500	197,500	197,500
Private Funds	487,500	482,500	482,500	492,500	492,500
TOTAL - All Funds Net	11,072,643	11,782,839	11,696,910	12,235,952	12,103,590

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.



# DEPARTMENT OF PUBLIC HEALTH

[www.ct.gov/dph](http://www.ct.gov/dph)

## AGENCY PURPOSE

- Protect and improve the health and safety of the people of Connecticut by:
  - Assuring the conditions under which people can be healthy;
  - Preventing disease, injury, and disability; and
  - Promoting the equal enjoyment of the highest attainable standard of health - a human right and priority of the state.
- Actively work to prevent disease and promote wellness through education and programs such as prenatal care, newborn screening, immunizations, AIDS awareness, and supplemental foods.
- Monitor infectious diseases, environmental and occupational health hazards, and birth defects.
- Assure planning for and response to public health emergencies.
- Regulate health care providers, including health facilities, health professionals and emergency medical services.
- Provide testing and monitoring support through the state laboratory.
- Collect and analyze health data for use in planning future policy.
- Serve as the repository for all birth, adoption, paternity, marriage, and death certificates.
- Ensure the availability of a safe and adequate drinking water supply for Connecticut's residents.

*The programs that support early childhood in Connecticut are recommended for transfer to and consolidation within the new Office of Early Childhood in the Governor's budget as part of his proposal to restructure and transform state government.*

## RECENT HIGHLIGHTS

- A strategic mapping process that includes DPH staff and key external partners was initiated in September 2011. Participants identified the agency's central challenge (improve health outcomes for all in Connecticut through leadership, expertise and focus), five strategic priorities, and critical actions to meet the central challenge. This ongoing process is the basis of departmental strategic planning efforts.
- A multi-year project to relocate the Connecticut public health laboratory from its aging facility in Hartford is nearing completion. A new state-of-the-art facility has begun operation in Rocky Hill. Operational and cost-saving efficiencies are being achieved, including those attributable to high-efficiency mechanical systems and other energy saving measures.
- Laboratory screening of all Connecticut newborns for severe combined immunodeficiency (SCID), a potentially deadly genetically-determined condition that results in the inability to make protective antibodies, has commenced. While most SCID babies appear normal at birth, it soon becomes apparent that they lack the ability to fight off routine infections.
- Utilization of the state's eLicense system has been expanded by migrating child day care and youth camp licensing databases into this online resource - continuing progress toward a single statewide licensing system for all licensed providers.
- Approximately 120 stores have been authorized to participate in the Women, Infants and Children (WIC) Program through an open enrollment process. Increasing the number of WIC vendors improves access to healthy foods for WIC participants and all residents, especially those who reside in locations considered "food deserts" and rural areas.
- A \$27 million four-year federal Maternal, Infant and Early Childhood Home Visiting Program grant has been secured to implement evidence-based home visiting programs in twenty communities. These programs improve the health and development of at-risk pregnant women, parents, caregivers, and children from birth to eight years of age.
- A patient navigation component has been incorporated into the Connecticut breast and cervical cancer early detection, well-integrated screening and evaluation for all women across the nation, and colorectal cancer control programs to assist participants with navigating the maze of doctors' offices, clinics, hospitals, and other components of the health care system.
- Nearly \$500,000 in Affordable Care Act funds has been awarded to the DPH Nutrition, Physical Activity and Obesity Program to support community prevention efforts to reduce chronic disease. These community transformation grant funds will help build capacity at the state and county level to implement policies and environmental and infrastructure improvements intended to reduce chronic disease rates and address health disparities.
- Changes that strengthen and streamline the complaint investigations and case resolution processes have been implemented based upon recommendations of a medical fellow engaged by the department to review its physician licensing, investigation and disciplinary processes.

## RECOMMENDED SIGNIFICANT CHANGES

	<u>FY 2014</u>	<u>FY 2015</u>	
<b>Reductions to Current Services</b>			
• Remove or Limit Inflation	-141,018	-315,696	
• Remove Funding for Salary Increases for Appointed Officials	-37,324	-78,414	
• Annualize FY 2013 Rescissions	-592,722	-592,722	
• Annualize FY 2013 Deficit Mitigation Plan - Reduce Lead Poisoning Education and Outreach Campaign	-3,392	-3,392	
• Eliminate School Based Health Center Service Expansion <i>Funds are removed for twenty two new or expanded school based health centers for which funds were originally appropriated in FY 2013.</i>	-2,723,666	-2,723,666	
• Reduce Direct Care Subsidies Due to Expanded Insured Population <i>Funds are reduced for community health centers and school based health centers in recognition of increased third party reimbursements.</i>	-461,606	-1,113,423	
• Eliminate Funding for Lead Poisoning Education and Outreach Campaign	-72,362	-72,362	
• Eliminate Funding for Charitable Dental Program <i>Funds are removed for a grant for a coordinator position within a private organization.</i>	-42,500	-42,500	
• Eliminate Funding for Fetal and Infant Mortality/Morbidity Prevention Planning	-19,000	-19,000	
• Mandate Online License Renewal <i>Effective 10/1/13, all doctors, dentists and nurses will be asked to renew their professional licenses on-line. Other expenses are reduced to reflect averted bank processing fees and postage costs. A \$5 license fee surcharge will be established to offset credit card processing charges incurred by the state.</i>	-8,600	-30,200	
<b>Reallocations or Transfers</b>			
• Transfer Early Childhood Functions to the Office of Early Childhood <i>Funding and positions are transferred to the new Office of Early Childhood to better align early childhood programs as required by PA 11-181. The child day care regulatory function and responsibility for administering the federal Affordable Care Act (ACA) Maternal and Infant Home Visitation program are transferred in FY 2015.</i>	0	-2,276,721	
• Reallocate Licensing System Costs to DAS	-40,000	-40,000	
• Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-6,571	-6,571	
• Streamline Agency Account Structure <i>In order to reduce the number of accounts and provide the agency with more flexibility in executing programs, accounts have been consolidated: (A) Medicaid Administration has been consolidated into Personal Services and Other Expenses. (B) Personnel costs and other expenses have been transferred from Children's Health Initiatives and Breast and Cervical Cancer Detection and Treatment into Personal Services and Other Expenses. (C) Needle and Syringe Exchange, X-Ray Screening and Tuberculosis Care, and Venereal Disease Control have been consolidated into a new account called Infectious Disease Prevention and Control. (D) Children with Special Health Care Needs has been consolidated into Children's Health Initiatives.</i>	0	0	
<b>Revenues</b>			
• Establish Fees for Healthcare Institution Design Review <i>Projects exceeding \$1,000,000 will be assessed a fee based on one-quarter percent (0.25%) of total construction cost. Revenues are estimated at \$50,000 annually.</i>	0	0	
• Establish Fees for Home Health Entities, Assisted Living Services Agencies and Hospices <i>Estimated revenues of \$33,300 in FY 2014 and \$33,800 in FY 2015 are associated with establishing various fees for entities for which no licensure fee presently exists.</i>	0	0	
<b>New or Expanded Services</b>			
• Ensure Effective Administration of Childhood Immunization Program <i>Three positions are provided to service additional health care providers in response to implementation of universal provider participation on 1/1/13.</i>	140,041	152,196	152,196
• Continue Administrative Support for Stem Cell Research Initiative <i>Funding from the Stem Cell Research Fund has historically been used by DPH for administrative expenses. Commencing in 2013, grants for stem cell research will instead be supported by bond funds. A General Fund adjustment is provided to continue necessary support through DPH for administrative activities. Up to \$115,000 in the balance of the Stem Cell Research Fund will be available to supplement these dollars in FY 2014.</i>	85,000	200,000	200,000

**Technical Adjustments**

- Revise GAAP Accrual Amounts

20,035      -125,302

**AGENCY SUMMARY**

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total Authorized	Change From FY 2013	Total Recommended	Change From FY 2014	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	506	6	512	-40	472
Federal and Other Activities	302	-2	300	-5	295
<u>Other Positions Equated to Fulltime</u>					
Federal and Other Activities	30	-2	28	0	28
<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	32,409,747	34,387,752	37,433,276	36,194,217	37,202,720
Other Expenses	8,034,224	6,691,621	6,615,726	7,025,462	6,816,313
<u>Capital Outlay</u>					
Equipment	1,278	1	1	1	1
<u>Other Current Expenses</u>					
Needle and Syringe Exchange	457,244	459,416	0*	459,416	0*
Children's Health Initiatives	2,433,365	2,051,217	3,042,115	2,065,957	3,042,115
Childhood Lead Poisoning	75,377	75,754	0	75,754	0
AIDS Services	4,975,686	4,983,844	4,975,686	4,994,983	4,975,686
Breast & Cervical Cancer Detectn/Treatment	2,230,275	2,234,800	1,922,815	2,247,795	1,922,815
Children w/Special Hlth Care Needs	1,278,016	1,284,405	0*	1,284,405	0*
Medicaid Administration	3,963,583	2,639,271	0*	2,787,905	0*
Fetal and Infant Mortality Review	20,000	20,174	0	20,410	0
Immunization Services	0	0	30,076,656	0	31,361,117
Infectious Disease Prevention and Control	0	0	1,841,926	0	1,841,926
TOTAL - Other Current Expenses	15,433,546	13,748,881	41,859,198	13,936,625	43,143,659
<u>Pmts to Other than Local Govts</u>					
Community Health Services	6,717,155	6,848,810	6,163,866	6,848,810	5,670,796
Rape Crisis	441,893	444,102	422,008	444,102	422,008
X-Ray Screening and Tuberculosis Care	1,201,026	1,242,512	0*	1,283,581	0*
Genetic Diseases Programs	832,908	837,072	795,427	837,072	795,427
Immunization Services	18,387,336	29,936,615	0*	31,208,921	0*
TOTAL - Pmts to Other than Local Govts	27,580,318	39,309,111	7,381,301	40,622,486	6,888,231
<u>Pmts to Local Governments</u>					
Local & District Departments of Health	4,662,487	4,676,836	4,676,836	4,676,836	4,676,836
Venereal Disease Control	196,191	197,171	0*	197,171	0*
School Based Health Clinics	11,543,438	12,852,482	9,973,797	12,852,482	9,815,050
TOTAL - Pmts to Local Governments	16,402,116	17,726,489	14,650,633	17,726,489	14,491,886
<u>Nonfunctional - Change to Accruals</u>					
TOTAL - General Fund	99,861,229	112,045,518	108,141,833	115,777,684	108,689,912
<u>Additional Funds Available</u>					
Federal and Other Activities	144,474,837	148,960,173	148,960,173	150,347,221	140,643,912
Private Funds	33,377,793	28,543,131	28,458,131	27,766,866	27,566,866
TOTAL - All Funds Net	277,713,859	289,548,822	285,560,137	293,891,771	276,900,690

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.



# OFFICE OF THE CHIEF MEDICAL EXAMINER

[www.ct.gov/ocme](http://www.ct.gov/ocme)

## AGENCY PURPOSE

### TO INVESTIGATE FATALITIES

- Deaths due to any form of injury, whether resulting from accident, suicide or homicide.
- Sudden or unexpected deaths not due to readily recognizable disease.
- Deaths occurring under suspicious circumstances (e.g. child abuse).
- Deaths of any individual whose body is to be disposed of in a manner that will render it unavailable for later examination.
- Deaths at or related to the workplace.
- Deaths due to disease that might constitute a threat to the public health.

### TO PROTECT THE PUBLIC HEALTH

- By diagnosing previously unsuspected contagious disease.
- By identifying hazardous environmental conditions in the workplace, the home and elsewhere.
- By identifying trends such as changes in the numbers of homicides, traffic fatalities, and drug and alcohol related deaths.
- By identifying new types and forms of drugs appearing in the state or existing drugs/substances becoming new subjects of abuse.
- By providing information that will lead to proper adjudication in criminal matters and prevent unnecessary litigation.

## RECOMMENDED SIGNIFICANT CHANGES

	<b>FY 2014</b>	<b>FY 2015</b>
<b>Reductions to Current Services</b>		
• Remove or Limit Inflation	-15,899	-20,712
• Remove Funding for Salary Increases for Appointed Officials	-17,700	-37,185
• Annualize FY 2013 Rescissions	-774	-774
• Annualize FY 2013 Deficit Mitigation Plan	-1,441	-1,411
<b>Reallocations or Transfers</b>		
• Streamline Agency Account Structure	0	0
<i>Funding for Medicolegal Investigations has been consolidated into the Other Expenses account.</i>		
<b>Technical Adjustments</b>		
• Revise GAAP Accrual Amounts	-756	-976

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total	Change From	Total	Change From	Total
	Authorized	FY 2013	Recommended	FY 2014	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	53	0	53	0	53
<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	4,317,148	4,465,170	4,447,470	4,711,260	4,674,075
Other Expenses	684,544	700,443	711,931	721,155	727,860
<u>Capital Outlay</u>					
Equipment	15,500	20,000	19,226	20,000	19,226
<u>Other Current Expenses</u>					
Medicolegal Investigations	28,828	28,828	0*	28,828	0*
<u>Nonfunctional - Change to Accruals</u>					
TOTAL - General Fund	5,046,020	5,236,373	5,199,803	5,508,822	5,447,764

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

# DDS DEPARTMENT OF DEVELOPMENTAL SERVICES

## AGENCY PURPOSE

[www.ct.gov/dds](http://www.ct.gov/dds)

To plan for and assist in the development of a comprehensive array of services for Connecticut citizens who have intellectual disabilities resulting from an IQ below 70 or Prader-Willi Syndrome by:

- Providing case management, respite, family support, residential and employment services to DDS consumers and their families through a system of public and private providers.
- Performing as lead agency for the Birth-to-Three program serving infants and toddlers with developmental delays.
- Ensuring appropriate delivery of health care services to consumers receiving DDS residential supports.
- Assisting DDS consumers involved in the criminal justice system to ensure appropriate representation and services.
- Coordinating a program for adults with Autism Spectrum Disorder who do not have intellectual disability.
- Coordinating the Voluntary Services Program for children who have mental retardation and behavioral health needs.
- Planning and managing emergency response activities for persons receiving DDS services.

***The programs that support early childhood in Connecticut are recommended for transfer to and consolidation within the new Office of Early Childhood in the Governor's budget as part of his proposal to restructure and transform state government.***

## RECENT HIGHLIGHTS

### WAITING LIST

Provided residential resources to 52 people from the emergency list and provided additional funding to 70 Home and Community Based Services (HCBS) waiver participants to fully meet their needs.

### FEDERAL WAIVERS

Received approval from the Centers for Medicare and Medicaid Services (CMS) for amendments to the Comprehensive and Individual and Family Supports waivers to serve individuals whose needs can be met with a smaller package of supports than what is offered in the other two waivers.

### CONSUMER MILESTONES

Assisted 1,059 persons to fully self-directed supports and 3,824 to control individual budgets for residential, and employment and day services and supports.

### PRIVATE PROVIDERS

Transitioned day service providers to an equitable need-based rate system by proceeding with need-based residential rates. These rate changes are expected to be completed in FY 2019. Additionally, DDS qualified 15 new providers, expanding the network to approximately 165 qualified providers.

### TRANSITIONED YOUTH

Transitioned forty youths from the Department of Children and Families (DCF) to DDS bringing the program total to 491. Requests for out-of-home placements were reviewed by the Children's Services

Committee, a group consisting of representatives from DCF, the Department of Education (SDE), DDS, the Office of the Child Advocate and families to assure all in home supports were tried first.

### RESPITE CENTERS

Served approximately 1,320 individuals in eleven respite centers statewide.

### EMPLOYMENT INITIATIVE

Focused on working collaboratively with the Department of Rehabilitation Services (DORS) to improve employment outcomes for people with intellectual disabilities who are served by both agencies. A pilot project for students was developed with staff from SDE, DORS and DDS. This pilot will develop best practices that can be replicated by all schools in Connecticut so that greater numbers of students with intellectual disabilities transition from high school directly to a competitive job.

### BIRTH TO THREE

Received, for the sixth year in a row, a determination of "meets requirements" by the Office of Special Education and Rehabilitative Services of the U.S. Department of Education for the Birth to Three program.

### AUTISM DIVISION

Hired the first autism division director and provided direct services for 78 individuals in the New Haven and Hartford areas.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

	<b>FY 2014</b>	<b>FY 2015</b>
• Remove or Limit Inflation	-1,864,356	-3,439,047
• Remove Funding for Salary Increases for Appointed Officials	-16,271	-34,183
• Annualize FY 2013 Rescissions	-15,654,336	-15,654,336
• Annualize FY 2013 Deficit Mitigation Plan	-3,100,944	-3,100,944
• Increase Efficiencies and Oversight in Residential Services and Supports	-3,560,000	-4,313,000

*Savings will be realized through a review of group home and residential center placements for consolidation opportunities. Additionally, family grants and/or individual budgets will be analyzed to ensure payment amounts are appropriate.*

## Budget Summary

• Close Public Residential Settings <i>This proposal, through attrition and reorganization, will close three non-respite, state-run group homes and three residential units at Southbury Training School. Clients currently living in these settings will remain in residential placement, albeit in alternative locations.</i>	-1,308,611	-2,764,881
• Incentivize the Use of In-Home Supports <i>Funding is reduced for residential placements to encourage an increased use of various in-home supports such as family support grants and respite programs for new and existing DDS clients.</i>	-760,000	-760,000
• Transfer Staff from Leased to State-Owned Space <b>Within Current Services</b>	0	-65,541
• Fund Caseload Growth and Prior Year Annualization <i>Approximately \$60 million is recommended throughout the biennium to support new caseload and annualize costs from prior year placements. Funding will support day programs for 752 individuals who have graduated or will be graduating from high school, or who are aging out of services provided by the Department of Children and Families or local education agencies. Funding will also support 274 residential placements under the Money Follows the Person or DDS' adult services program and 6 new forensic (court involved) cases in each year of the biennium.</i>	29,600,000	30,100,000
<b>Reallocations or Transfers</b>		
• Transfer Case Management of DDS Eligible Clients from the Department of Children and Families <i>This proposal includes funding for five case manager positions, enabling the transfer of additional DDS eligible clients from DCF. The budget assumes three case managers are hired in FY 2014 and the remaining two in FY 2015. The annualized cost for all five positions is \$320,000; the net savings to the state is approximately \$150,000 in FY 2014 and \$225,000 in FY 2015.</i>	115,000	308,000
• Transfer Birth to Three to the New Office of Early Childhood in FY 2015 <i>This proposal transfers the Birth to Three program and seven state funded positions to the new Office of Early Childhood effective July 1, 2014.</i>	0	-37,991,718
• Transfer Supportive Housing Funding to the Department of Housing <i>This proposal transfers \$450,000 to the Department of Housing to fund 15 Rental Assistance Program certificates (RAPs) that will be used to develop an appropriate supportive housing model for individuals with intellectual disabilities served by DDS. Savings of \$2.1 million are assumed in each year of the biennium to reflect the transfer of these individuals into less intensive placements.</i>	-450,000	-450,000
• Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-10,836	-10,836
• Streamline Agency Account Structure <i>In order to reduce the number of accounts and provide the agency with more flexibility in executing programs, accounts have been consolidated. The Human Resource Development and Clinical Services accounts have been consolidated into the Other Expenses account. Funding for Family Support Grants, Community Temporary Support Services, Community Respite Care Programs and Family Reunion Program have been consolidated into a new grant account called Family Supports.</i>	0	0
<b>Technical Adjustments</b>		
• Revise GAAP Accrual Amounts	-1,203,148	-1,625,681

## AGENCY SUMMARY

	FY 2013 Total Authorized	FY 2014 Change From FY 2013	FY 2014 Total Recommended	FY 2015 Change From FY 2014	FY 2015 Total Recommended
<b>Personnel Summary</b>					
<u>Permanent Full-Time Positions</u>					
General Fund	3,322	3	3,325	-5	3,320
Federal and Other Activities	8	0	8	-8	0
	FY 2013 Estimated	FY 2014 Current Services	FY 2014 Total Recommended	FY 2015 Current Services	FY 2015 Total Recommended
<b>Financial Summary</b>					
Personal Services	246,714,526	258,430,892	255,814,066	269,964,003	265,508,596
Other Expenses	21,942,944	23,389,893	26,606,025	24,287,291	26,450,681
<u>Capital Outlay</u>					
Equipment	1	1	1	1	1

Other Current Expenses

Human Resource Development	208,801	209,284	0*	209,777	0*
Family Support Grants	3,116,091	3,116,091	0*	3,116,091	0*
Cooperative Placements Program	22,923,542	24,243,608	23,088,551	25,241,082	24,079,717
Clinical Services	4,320,720	4,353,569	0*	4,387,964	0*
Early Intervention	34,862,523	37,286,804	37,286,804	37,286,804	0
Community Temporary Support Services	63,950	63,950	0*	63,950	0*
Community Respite Care Programs	313,828	313,828	0*	313,828	0*
Workers' Compensation Claims	15,246,035	15,917,722	15,246,035	16,611,330	15,246,035
Autism Services	1,631,873	1,639,872	1,637,528	1,643,073	1,637,528
Voluntary Services	31,381,907	32,727,469	32,376,869	32,727,469	32,376,869
Supplemental Payments for Medical Services	13,400,000	13,400,000	13,400,000	13,400,000	13,400,000
<b>TOTAL - Other Current Expenses</b>	<b>127,469,270</b>	<b>133,272,197</b>	<b>123,035,787</b>	<b>135,001,368</b>	<b>86,740,149</b>

Pmts to Other than Local Govts

Rent Subsidy Program	4,537,554	4,537,554	4,437,554	4,537,554	4,437,554
Family Reunion Program	128,156	128,156	0*	128,156	0*
Employment Opportunities & Day Svcs	200,341,298	217,835,522	214,325,283	227,651,409	224,141,170
Community Residential Services	437,859,368	451,737,107	434,901,326	470,932,801	453,347,020
Family Supports	0	0	3,600,926	0	3,600,926
<b>TOTAL - Pmts to Other than Local Govts</b>	<b>642,866,376</b>	<b>674,238,339</b>	<b>657,265,089</b>	<b>703,249,920</b>	<b>685,526,670</b>

Nonfunctional - Change to Accruals

	0	2,185,733	982,585	1,625,681	0
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<b>TOTAL - General Fund</b>	<b>1,038,993,117</b>	<b>1,091,517,055</b>	<b>1,063,703,553</b>	<b>1,134,128,264</b>	<b>1,064,226,097</b>
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Additional Funds Available

Federal and Other Activities	4,787,594	3,500,000	3,500,000	3,500,000	1,442,000
Private Funds	41,500	41,500	541,500	41,500	791,500
<b>TOTAL - All Funds Net</b>	<b>1,043,822,211</b>	<b>1,095,058,555</b>	<b>1,067,745,053</b>	<b>1,137,669,764</b>	<b>1,066,459,597</b>

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.



# DEPARTMENT OF MENTAL HEALTH AND ADDICTION SERVICES

## AGENCY PURPOSE

[www.ct.gov/dmhas](http://www.ct.gov/dmhas)

To assist persons with psychiatric and substance use disorders to recover and sustain their health through delivery of high quality services that are person-centered, value-driven, promote hope,

improve health and are anchored to a recovery-oriented system of care.

*The programs that support housing in Connecticut are recommended for transfer to and consolidation within the Department of Housing in the Governor's budget as part of his proposal to restructure and transform state government.*

## RECENT HIGHLIGHTS

### Improved Service System

- Expanded the Military Support Program clinical panel to 430 clinicians for 25 National Guard units that have been or will soon be affected by deployment(s).
- Continued training law enforcement personnel to work effectively with persons with psychiatric disorders in order to reduce incarceration and recidivism resulting in 921 officers from over 65 departments trained since 2004.
- Implemented the FUSE (Frequent Users of Services) program, which provides a permanent rental subsidy to individuals with serious mental illness who are involved in the criminal justice system to allow them to develop a stable residence and live successfully in the community.
- Secured over \$3 million in federal funds to reduce minors' access to tobacco products, to enhance the substance abuse prevention infrastructure and to increase mental health programs on college campuses. Enhanced linkages among state agencies to develop and implement models of prevention and care coordination.

### Quality of Care Management

- Diverted 42 individuals from nursing home admission through the Nursing Home Diversion and Transition Program and transitioned 106 out of nursing homes back to the community.
- Established the Veterans Jail Diversion Program to provide jail diversion and trauma recovery services for veterans in the New London County courts resulting in substantive systems integration among 29 agencies representing judicial, law enforcement, state agencies, veteran administration, Vet centers, Department of Defense, and community providers. The initiative will be expanded statewide in the coming year.
- Continued to enhance provider quality report cards as a provider performance management tool that includes key outcome data at both the agency and program level.
- Expanded the DMHAS Co-Occurring Practice Improvement Collaborative to include 10 additional DMHAS-funded residential addiction treatment programs to assist them in better serving individuals with co-occurring mental health and substance use disorders.

- Achieved a 31% increase in discharges of individuals from Connecticut Valley Hospital to the community improving access to inpatient capacity and flow through the DMHAS system of care.
- Assisted 11,000 individuals in recovery to become employed through the implementation of the evidence-based supported employment model statewide.

### Workforce/Organizational Effectiveness

- Implemented a web-based provider data system that is in full compliance with the federal National Outcome Measures and a prevention data system that manages substance abuse prevention services data to support performance-based outcome measurement.
- Trained 150 DMHAS clinicians in the clinical needs of returning veterans; health care services, eligibility criteria, key points of contact and referral methods for accessing services through the U.S. Department of Veterans Affairs and Vet Center systems.

### Resource Base

- Expanded the Connecticut Behavioral Health Partnership (CT BHP) consisting of the Department of Children and Families and the Department of Social Services, to include DMHAS and contracted with an administrative services organization (ASO) to manage an integrated behavioral health service system for Connecticut's Medicaid recipients.
- Received over \$12 million in federal grants for Screening, Brief Intervention and Referral to Treatment Programs, the Connecticut Campus Suicide Prevention Initiative, the Connecticut Tobacco Enforcement Program to enhance and the Connecticut State Prevention Enhancement Initiative to enhance and expand statewide prevention infrastructure to promote emotional health and reduce the likelihood of substance abuse and their related consequences.
- Continued to house 1,000 formerly homeless individuals and their families with mental health and substance use disorders through a \$11 million federal HUD grant, increased our supportive housing inventory by adding nearly 70 units, and funded 4 new supportive housing projects in coordination with the Interagency Committee on Supportive Housing which also issued a request for proposal for the creation of an additional 150 units of supportive housing.

## RECOMMENDED SIGNIFICANT CHANGES

	<u>FY 2014</u>	<u>FY 2015</u>	
<b>Reductions to Current Services</b>			
• Remove or Limit Inflation	-2,902,440	-5,471,017	
• Remove Funding for Salary Increases for Appointed Officials	-19,855	-41,712	
• Annualize FY 2013 Rescissions	-729,411	-729,411	
• Annualize FY 2013 Deficit Mitigation Plan	-759,526	-759,526	
• Savings Associated with the Affordable Care Act <i>This option adjusts various grant accounts effective January 1, 2014 to reflect a reduction in need for state subsidies for under- and uninsured individuals and services as a result of the Affordable Care Act.</i>	-21,050,000	-42,100,000	
• Eliminate Funding for Research Activities at the CT Mental Health Center	-906,438	-906,438	
• Reduce Funding for Legal Services <i>This option will reduce funding for legal services to the level required under the consent decree.</i>	-280,441	-280,441	
<b>Within Current Services</b>			
• Expand Medicaid Eligibility Pursuant to the Affordable Care Act <i>The budget includes funding to extend Medicaid benefits under the Medicaid Low-Income Adults program (HUSKY D) to individuals with income between 55% and 133% of the federal poverty level. These costs will be fully reimbursed by the federal government through 2016, after which the federal reimbursement will be phased down to 90% in 2020. Additional costs of \$41.3 million in FY 2014 and \$240.8 million in FY 2015 are reflected in the Department of Social Services.</i>	10,310,000	60,200,000	
• Fund Caseload Growth <i>Over \$50 million is recommended over the biennium to support caseload growth in the young adult services program, additional waiver slots for individuals with acquired/traumatic brain injury leaving Connecticut Valley Hospital (CVH), placements in the Home and Community-Based Services waiver for individuals with mental illness transitioning from nursing homes, funding to support services for individuals declared discharge-ready to leave CVH, as well as five percent caseload growth in each year for behavioral health services for single low-income adults up to 55% federal poverty level.</i>	26,900,000	23,100,000	
<b>Reallocations or Transfers</b>			
• Reallocate Positions for Unified Human Services Contracting <i>This option transfers six positions from the Department of Social Services to the Department of Mental Health and Addiction Services to promote a unified contracting system for certain human services agencies.</i>	362,165	373,030	
• Transfer Rental Assistance Program (RAP) Certificates to the Department of Housing <i>Funding for rental assistance vouchers associated with the Mental Health Home and Community-Based waiver and Frequent Users Systems Engagement (FUSE) supportive housing program is transferred to consolidate all RAP certificates in the new Department of Housing.</i>	-1,105,000	-1,105,000	
• Centralize Affirmative Action Planning Function in CHRO <i>Funding for two positions is transferred to reflect the centralization of affirmative action planning under CHRO.</i>	-135,367	-139,395	
• Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-36,086	-36,086	
• Consolidate Department of Children and Families Solnit Center - South Campus and Connecticut Valley Hospital Food Services <i>This option creates efficiencies by transferring food services staff from the Department of Children and Families to the Department of Mental Health and Addiction Services.</i>	0	0	
• Streamline Agency Account Structure <i>This option consolidates the like funding in the Jail Diversion, Prison Overcrowding, and Persistent Violent Felony Offender accounts into a new Community Forensic Services account and merges Professional Services, Behavioral Health Medication and Nursing Home Contract funding into Other Expenses.</i>	0	0	
<b>New or Expanded Services</b>			
• Establish Health Homes <i>Funding is provided to support the development of a Health Home initiative to better coordinate behavioral and physical healthcare for the DMHAS population. This new funding, combined with in-kind support of state operated and privately provided services, will result in \$50 million in additional revenue over the biennium due to a 90% federal match available under the Affordable Care Act.</i>	10,000,000	10,000,000	10,000,000
• Provide Support Services for 100 Units of Supportive Housing <i>This option funds wrap-around services for 100 units of supportive housing expected to come on line January 1, 2015.</i>	0	500,000	1,000,000

Budget Summary

**Technical Adjustments**

- Revise GAAP Accrual Amounts

-425,983      211,281

**AGENCY SUMMARY**

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total Authorized	Change From FY 2013	Total Recommended	Change From FY 2014	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	3,264	48	3,312	0	3,312
Federal and Other Activities	16	0	16	0	16
<u>Other Positions Equated to Fulltime</u>					
Federal and Other Activities	6	0	6	-3	6
<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	172,873,389	180,773,386	180,401,942	194,551,456	194,164,992
Other Expenses	27,812,305	30,247,472	47,069,212	31,459,619	47,069,212
<u>Capital Outlay</u>					
Equipment	1	1	1	1	1
<u>Other Current Expenses</u>					
Housing Supports and Services	16,299,667	16,953,792	15,832,467	16,976,082	16,332,467
Managed Service System	39,915,163	40,135,013	50,131,113	40,193,763	50,184,413
Legal Services	817,481	820,693	499,378	820,693	499,378
Connecticut Mental Health Center	8,665,721	8,665,721	7,325,997	8,665,721	7,325,997
Professional Services	11,788,898	11,920,380	0*	12,099,907	0*
Behavioral Health for Low-Income Adults	178,489,353	202,306,092	202,305,969	261,185,165	261,184,875
Workers' Compensation Claims	10,594,566	11,154,029	10,594,566	11,740,004	10,594,566
Nursing Home Screening	622,784	622,784	591,645	622,784	591,645
Young Adult Services	63,981,110	70,261,562	69,942,480	76,452,928	75,866,518
TBI Community Services	14,267,815	15,368,358	15,296,810	17,151,294	17,079,532
Jail Diversion	4,506,446	4,568,096	0*	4,677,684	0*
Behavioral Health Medications	6,169,095	6,441,074	0*	6,717,153	0*
Prison Overcrowding	6,540,370	6,625,208	0*	6,739,551	0*
Medicaid Adult Rehabilitation Option	4,783,262	4,803,175	4,803,175	4,803,175	4,803,175
Discharge and Diversion Services	14,025,649	17,581,521	17,412,660	20,231,521	20,062,660
Home and Community Based Services	9,799,089	12,945,018	12,937,339	17,390,016	17,371,852
Persistent Violent Felony Offenders Act	671,701	675,235	0*	675,235	0*
Nursing Home Contract	300,000	500,000	0*	500,000	0*
Community Forensic Services	0	0	11,711,457	0	11,926,473
TOTAL - Other Current Expenses	392,238,170	432,347,751	419,385,056	507,642,676	493,823,551
<u>Pmts to Other than Local Govts</u>					
Grants for Substance Abuse Services	24,929,551	25,142,934	18,992,934	25,142,934	12,842,934
Grants for Mental Health Services	76,475,894	76,859,714	61,959,714	76,859,714	47,059,714
Employment Opportunities	10,470,087	10,522,428	10,522,428	10,522,428	10,522,428
TOTAL - Pmts to Other than Local Govts	111,875,532	112,525,076	91,475,076	112,525,076	70,425,076
<u>Nonfunctional - Change to Accruals</u>					
TOTAL - General Fund	704,799,397	757,777,694	739,789,312	848,411,687	807,926,972
<u>Additional Funds Available</u>					
Federal and Other Activities	47,575,309	50,331,809	50,331,809	43,328,969	32,195,569
Private Funds	21,564,595	18,443,123	18,443,123	18,452,480	18,452,480
TOTAL - All Funds Net	773,939,301	826,552,626	808,564,244	910,193,136	858,575,021

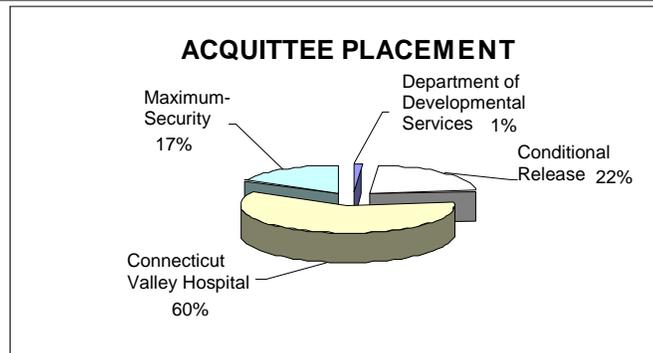
\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

# PSRB PSYCHIATRIC SECURITY REVIEW BOARD

## AGENCY PURPOSE

[www.ct.gov/psrb](http://www.ct.gov/psrb)

The Psychiatric Security Review Board is the state agency to which the Superior Court commits persons who are found not guilty of a crime by reason of mental disease or mental defect. It is PSRB's responsibility to review the status of acquittees through an administrative hearing process and order the level of supervision and treatment for the acquittee necessary to ensure public safety. The board is governed by Connecticut General Statutes, Sections 17a-580 through 17a-603.



## RECENT HIGHLIGHTS

- Maintained zero percent criminal recidivism of conditionally released acquittees, as reflected by no arrests.
- Participated as a member in the state's multi-agency initiative to improve the quality and completeness of records available in the National Instant Criminal Background Check System.
- Introduced statutory language changes pertaining to sex offender registration for applicable acquittees.
- Streamlined office procedures and cross-trained staff to effectively downsize agency staff from four full-time employees to three staff members.
- Conducted bi-monthly Psychiatric Security Review Board trainings in partnership with the Department of Mental Health and Addiction Services and provided on-site statewide training to a variety of community providers.
- Conducted agency procedures within statutorily mandated timeframes.

## RECOMMENDED SIGNIFICANT CHANGES

	<b>FY 2014</b>	<b>FY 2015</b>
<b>Reductions to Current Services</b>		
• Remove or Limit Inflation	-681	-1,609
• Remove Funding for Salary Increases for Appointed Officials	-6,137	-12,892
• Annualize FY 2013 Rescissions	-7,471	-7,471
<b>Technical Adjustments</b>		
• Revise GAAP Accrual Amounts	-563	-345

## AGENCY SUMMARY

	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total	Change From	Total	Change From	Total
	Authorized	FY 2013	Recommended	FY 2014	Recommended
<b>Personnel Summary</b>					
<u>Permanent Full-Time Positions</u>					
General Fund	3	0	3	0	3
<b>Financial Summary</b>					
	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	249,027	259,597	245,989	273,318	252,955
Other Expenses	31,469	32,150	31,469	33,078	31,469
<u>Capital Outlay</u>					
Equipment	1	1	1	1	1
<u>Nonfunctional - Change to Accruals</u>					
	0	1,274	711	1,471	1,126
TOTAL - General Fund	280,497	293,022	278,170	307,868	285,551

# DEPARTMENT OF REHABILITATION SERVICES

## AGENCY PURPOSE

- Provision of a wide array of individualized vocational rehabilitation services to individuals with disabilities, especially those with significant disabilities, so that they may enter or retain competitive employment and live independently.
- Provision of statewide comprehensive, community-based rehabilitative services for adults who are legally blind or deaf and blind, children who are visually impaired, legally blind or deaf and blind in order to maximize inclusion and participation in education and community activities.
- Determination of disability for individuals applying for Supplemental Security Income (SSI) or Social Security Disability Insurance (SSDI).
- Provision of driver training and determination of special equipment requirements for people with physical disabilities who wish to obtain a Connecticut driver's license.
- Provision of public education, training and advocacy on matters pertaining to blindness, deafness or hearing impairment.
- Delivery of specialized programs and services that enable agency clients and the community at large to achieve greater access to sign and tactile interpreters, assistive technology, benefit counseling, public information, public safety, job seeking and news media in accessible formats.
- Operation of a comprehensive lending library of educational textbooks and materials in Braille and large-print for use by school districts across the state.

## RECENT HIGHLIGHTS

- The department served 8,896 individuals with disabilities seeking assistance in preparing for, obtaining or retaining employment and helped 1,236 achieve competitive employment for at least 90 days.
- Seven industry specific training and placement programs were created in FY 2012.
- Tuition-based workforce development programs designed to train job seekers with disabilities assisted 478 individuals.
- The department loaned out \$82,952 to consumers to purchase assistive technology (AT) devices and services and helped consumers save over \$371,500 through the AT recycling and refurbishment initiatives.
- 244 individuals borrowed AT devices.
- 540 individuals received demonstrations of AT devices.
- 3,570 individuals participated in AT-related trainings.
- The disability determination services program was awarded the Regional Commissioner's Superior Public Service Award in recognition of excellence in providing timely and accurate disability determinations for Connecticut citizens.
- Disability determination staff processed approximately 40,000 client applications for Social Security Disability Insurance and Supplemental Security Income.
- 47 entrepreneurs participated in the business enterprise program increasing the number of employees within their operations to 76.

## RECOMMENDED SIGNIFICANT CHANGES

	<b>FY 2014</b>	<b>FY 2015</b>
<b>Reductions to Current Services</b>		
• Remove or Limit Inflation	-28,427	-66,785
• Remove Funding for Salary Increases for Appointed Officials	-7,841	-16,473
• Annualize FY 2013 Rescissions	-371,548	-371,548
• Incentivize use of Agency Teachers for Visually-Impaired Students <i>Currently, school districts can either obtain teachers for visually-impaired students at no cost through the department, or can hire their own teachers and seek reimbursement for the salary of their teachers. This option removes funding to reimburse towns for this activity.</i>	-1,058,905	-1,058,905
• Eliminate State Funds for Independent Living Centers	-528,680	-528,680
• Reduce Funding for Employment Opportunities Program	-252,626	-252,626
<b>Reallocations or Transfers</b>		
• Transfer the Driver's Training Program to General Fund <i>This option transfers funding for 3 positions and funding for the Driver's Training Program from the Special Transportation Fund to the General Fund.</i>	0	0
• Streamline Agency Account Structure <i>This option consolidates several accounts. First, Vocational Rehabilitation-Disabled and Vocational Rehabilitation-Blind are combined into one Vocational Rehabilitation account. Second, the Enhanced Employment Opportunities account and the Employment Opportunities account are merged into one Employment Opportunities account. Lastly, Personal Services, Other Expenses and Fringe Benefits within the Worker's Compensation Fund are consolidated into the Rehabilitative Program account.</i>	0	0

**Technical Adjustments**

• Revise GAAP Accrual Amounts - General Fund	-54,742	865
• Revise GAAP Accrual Amounts - Worker's Compensation Fund	-3,537	-5,630

**AGENCY SUMMARY**

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total Authorized	Change From FY 2013	Total Recommended	Change From FY 2014	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	109	3	112	0	112
Special Transportation Fund	3	-3	0	0	0
Workers' Compensation Fund	6	0	6	0	6
Federal and Other Activities	342	5	347	0	347
<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	4,749,662	5,754,333	5,950,718	6,081,336	6,277,563
Other Expenses	992,846	1,617,261	1,632,775	1,648,436	1,629,580
<u>Capital Outlay</u>					
Equipment	2	1	1	1	1
<u>Other Current Expenses</u>					
Part-Time Interpreters	191,633	197,824	196,200	204,817	201,522
Educ Aid Blind/Visually Handicap Child	4,821,904	4,954,272	3,603,169	5,214,942	3,795,388
Employment Opportunities	676,381	683,036	1,411,294	685,925	1,411,294
TOTAL - Other Current Expenses	5,689,918	5,835,132	5,210,663	6,105,684	5,408,204
<u>Pmts to Other than Local Govts</u>					
Vocational Rehabilitation - Disabled	7,423,780	7,460,892	0*	7,460,892	0*
Supplementary Relief and Services	104,448	104,971	99,749	104,971	99,749
Vocational Rehabilitation	894,928	899,402	8,360,294	899,402	8,360,294
Special Training for the Deaf Blind	300,085	301,585	286,581	301,585	286,581
Connecticut Radio Information Service	87,640	87,640	83,258	87,640	83,258
Employment Opportunities	1,058,119	1,063,409	0*	1,063,409	0*
Independent Living Centers	551,804	556,270	0	556,270	0
TOTAL - Pmts to Other than Local Govts	10,420,804	10,474,169	8,829,882	10,474,169	8,829,882
<u>Nonfunctional - Change to Accruals</u>					
TOTAL - General Fund	0	53,319	0	37,488	39,821
Personal Services	21,853,232	23,734,215	21,624,039	24,347,114	22,185,051
Other Expenses	195,074	204,226	0	212,699	0
Other Expenses	14,436	30,125	0	27,174	0
<u>Nonfunctional - Change to Accruals</u>					
TOTAL - Special Transportation Fund	0	1,423	0	1,468	0
Personal Services	209,510	235,774	0	241,341	0
Other Expenses	487,578	484,591	0*	506,819	0*
Other Expenses	24,500	25,031	0*	25,756	0*
<u>Other Current Expenses</u>					
Rehabilitative Services	1,261,913	1,289,296	2,110,315	1,326,685	2,148,107
Fringe Benefits	336,429	339,311	0*	354,875	0*
TOTAL - Other Current Expenses	1,598,342	1,628,607	2,110,315	1,681,560	2,148,107
<u>Nonfunctional - Change to Accruals</u>					
TOTAL - Workers' Compensation Fund	0	3,537	0	5,630	0
Additional Funds Available	2,110,420	2,141,766	2,110,315	2,219,765	2,148,107
Federal and Other Activities	54,377,619	53,991,476	53,991,476	54,050,394	54,050,394
TOTAL - All Funds Net	78,550,781	80,103,231	77,725,830	80,858,614	78,383,552

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.



# DEPARTMENT OF TRANSPORTATION

[www.ct.gov/dot](http://www.ct.gov/dot)

## AGENCY PURPOSE

- To provide a safe and efficient intermodal transportation network that improves the quality of life and promotes economic vitality for the state and the region.
- To construct, repair, and maintain the state highway system consisting of over 10,000 lane miles and over 3,950 bridges.
- To provide commuter rail service along the New Haven Line and its branch lines and on the Shore Line East corridor.
- To promote continued operation of all urban and rural bus services, ridesharing and transportation demand management programs.
- To provide effective regulation of the taxi, livery, intrastate motor bus and intrastate household goods moving industries.
- To maintain, operate and develop five state-owned general aviation airports, while transitioning jurisdiction and control of such facilities from the department to the Connecticut Airport Authority. The agency also maintains, operates and develops Bradley International Airport during its transition to the authority. Bradley's costs are fully financed with revenues generated at the airport through the Bradley Enterprise Fund.
- To maintain, operate and develop the Connecticut River ferries and facilities located at the Port of New London.
- To focus available resources in the most effective manner; to ensure that all transportation systems are operated and maintained in a safe manner; to maintain all transportation systems in a state of good repair; to make investments that increase the productivity of existing systems; to utilize transportation investments to promote and facilitate economic development; and to provide additional transportation capacity where it is essential.
- To support and adhere to responsible growth principles and ensure that transportation projects are consistent with the state's plan of conservation and development, Connecticut's energy strategy plan, and the state's climate change efforts.
- To place special emphasis on working with other state agencies and municipalities to promote development at and near transit stations as a means of maximizing the state's investment in transit and supporting economic growth.
- To monitor transportation trends and forecast future needs of the transportation system and to develop transportation plans and services to address those needs.
- To assure compliance with federal requirements and maintain eligibility for federal funds, and to maximize the amount of federal funding for Connecticut.

## RECENT HIGHLIGHTS

- New Haven Harbor Crossing Corridor - Q Bridge – The northbound side of the Pearl Harbor Memorial Bridge, or “the Q,” was opened in June 2012, under budget and three months ahead of schedule. The southbound side is scheduled to open in 2015.
- CTfastrak – Construction has begun on CTfastrak, formerly known as the New Britain-Hartford busway. The 9.4 mile route is anticipated to be in operation by the end of 2014.
- Connecticut Airport Authority - The department has begun the transition of Bradley International Airport and the state's five other general aviation airports — Danielson, Groton/New London, Hartford/Brainard, Waterbury/Oxford, and Windham — to the newly created Connecticut Airport Authority (CAA). Public Act 11-84 established the CAA, governed by an 11-member board, to develop, improve and operate the aforementioned airports as well as any airports the CAA subsequently owns, operates and manages.
- Service Plazas – The redevelopment of the twenty-three service plazas is underway. As of January 1, 2013, a total of eight plazas (Milford N&S on I-95, Plainfield N&S on I-395, and North Haven N&S and Fairfield N&S on Rte. 15) have been redeveloped and reopened to the public. Nine other plazas will be under construction as of February 1, 2013 with the remaining six locations under design. Redevelopment of all sites is currently on schedule for completion in the fall of 2014.
- M-8 Cars - New electric multiple unit M-8 rail cars continue to be placed in service on the New Haven Line. As of January 7, 2013, 166 M-8s have been accepted for service covering 52.2% of total weekly New Haven Main Line service: 50.2% Monday-Thursday, 46.3% on Fridays, 63.3% on Saturdays and 67.9% on Sundays. The department has exercised its option for the purchase of 25 additional single M-8 cars. The total M-8 fleet will be 405 cars.
- Federal High Speed Rail Funding – The department received high speed rail grants of \$190.9 million for construction and upgrades on the New Haven-Hartford-Springfield (NHHS) Line. Construction began in the fall of 2012. Major NHHS train service expansion is scheduled to begin in 2016.
- Rail Station/Parking Expansions - Fairfield Metro Station on New Haven Line with approximately 1,400 parking spaces opened in December 2011. The West Haven station on the New Haven Line is currently under construction and is scheduled to open mid-2013 with 650 parking spaces. The new Westbrook station on Shore Line East with new high level platforms and a pedestrian overpass is also currently under construction and will open in the fall of 2013.

## RECOMMENDED SIGNIFICANT CHANGES

	<b>FY 2014</b>	<b>FY 2015</b>	
<b>Reductions to Current Services</b>			
• Remove or Limit Inflation	-2,487,538	-4,181,915	
• Annualize FY 2013 Deficit Mitigation Plan - Reduce Pay As You Go	-2,999,278	-2,999,278	
• Annualize FY 2013 Deficit Mitigation Plan - Eliminate Transit Improvement Program	-1,905,532	-1,905,532	
• Annualize FY 2013 Deficit Mitigation Plan - Reduce Highway & Bridge Renewal - Equipment	-1,623,058	-1,623,058	
• Annualize FY 2013 Deficit Mitigation Plan - Reduce Equipment Account	-489,061	-489,061	
• Annualize FY 2013 Deficit Mitigation Plan - Reduce Personal Services	-337,090	-337,090	
• Annualize FY 2013 Deficit Mitigation Plan - Reduce Minor Capital Projects	-60,361	-60,361	
• Remove Funding for Salary Increases for Appointed Officials	-23,450	-49,265	
• Reduce Subsidy by Increasing Bus Fares and Revenue <i>Increase bus fare to \$1.50 on January 1, 2014.</i>	-4,059,280	-8,118,555	
• Reduce Subsidy by Increasing ADA Paratransit Fares and Revenue <i>Increase fares on DOT-operated routes on January 1, 2014.</i>	-30,771	-61,542	
• Reduce Operating Capital Support Funding for Rail Operations	-2,000,000	-2,000,000	
• Reduce the Tweed-New Haven Airport Grant by 20%	-300,000	-300,000	
• Eliminate Funding for Non-ADA Dial-A-Ride Program	-576,361	-576,361	
• Bond Town Aid Road <i>Bonding is proposed at \$60 million in each year of the biennium.</i>	-30,000,000	-30,000,000	
• Bond Pay As You Go Activities	-19,736,407	-19,914,832	
<b>Reallocations or Transfers</b>			
• Centralize Affirmative Action Planning Function in CHRO <i>Funding for two positions is transferred to reflect the centralization of affirmative action planning under CHRO.</i>	-174,273	-181,696	
• Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-3,516	-3,516	
<b>New or Expanded Services</b>			
• Adjust Authorized Position Count by 67 Positions	0	0	<b>FY 2016</b> 0
<b>Technical Adjustments</b>			
• Revise GAAP Accrual Amounts	-732,497	805,708	

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total	Change From	Total	Change From	Total
	Authorized	FY 2013	Recommended	FY 2014	Recommended
<u>Permanent Full-Time Positions</u>					
Special Transportation Fund	2,976	107	3,083	0	3,083
<b>Financial Summary</b>					
	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	148,127,154	161,877,068	161,342,255	170,610,279	170,042,228
Other Expenses	51,220,834	55,939,927	53,448,873	57,422,804	53,237,373
<u>Capital Outlay</u>					
Equipment	1,743,000	1,906,010	1,416,949	1,878,880	1,389,819
Highway & Bridge Renewal-Equipment	7,000,000	11,253,074	9,630,016	9,605,381	7,982,323
TOTAL - Capital Outlay	8,743,000	13,159,084	11,046,965	11,484,261	9,372,142
<u>Other Current Expenses</u>					
Minor Capital Projects	332,500	500,000	439,639	510,000	449,639
Highway Planning and Research	3,105,000	3,155,986	3,155,986	3,246,823	3,246,823
Rail Operations	145,588,220	148,419,140	146,419,140	152,720,554	150,720,554
Bus Operations	138,989,614	147,484,127	143,424,847	155,090,724	146,972,169
Tweed-New Haven Airport Grant	1,500,000	1,500,000	1,200,000	1,500,000	1,200,000
ADA Para-transit Program	28,820,850	30,283,005	30,252,234	32,996,991	32,935,449
Non-ADA Dial-A-Ride Program	576,361	576,361	0	576,361	0
Pay-As-You-Go Transportation Projects	22,687,740	22,735,685	0	22,914,110	0

Budget Summary

Transit Improvement Program	1,905,532	1,905,532	0	1,905,532	0
TOTAL - Other Current Expenses	<u>343,505,817</u>	<u>356,559,836</u>	<u>324,891,846</u>	<u>371,461,095</u>	<u>335,524,634</u>
<u>Pmts to Local Governments</u>					
Town Aid Road Grants	0	30,000,000	0	30,000,000	0
<u>Nonfunctional - Change to Accruals</u>	<u>0</u>	<u>1,683,272</u>	<u>950,775</u>	<u>1,011,431</u>	<u>1,817,139</u>
TOTAL - Special Transportation Fund	<u>551,596,805</u>	<u>619,219,187</u>	<u>551,680,714</u>	<u>641,989,870</u>	<u>569,993,516</u>



# DEPARTMENT OF SOCIAL SERVICES

## AGENCY PURPOSE

[www.ct.gov/dss](http://www.ct.gov/dss)

The Department of Social Services (DSS) provides a continuum of core services to families and individuals who need assistance in maintaining or achieving their full potential for self-direction, self-reliance and independent living in cooperation with other state agencies, municipalities, and community-based organizations. The

department administers, coordinates, plans, delivers and funds approximately ninety health and human service programs to support eligible children, youth, families, adults, elderly and people with disabilities. Over half a million Connecticut individuals are assisted by DSS' programs.

*The programs that support housing in Connecticut are recommended for transfer to and consolidation within the Department of Housing in the Governor's budget as part of his proposal to restructure and transform state government.*

*The programs that support the elderly in Connecticut are recommended for transfer to and consolidation within the State Department on Aging in the Governor's budget as part of his proposal to restructure and transform state government.*

*The programs that support early childhood in Connecticut are recommended for transfer to and consolidation within the new Office of Early Childhood in the Governor's budget as part of his proposal to restructure and transform state government.*

## RECENT HIGHLIGHTS

### CONNECT: MODERNIZING DSS SERVICE DELIVERY

To improve access for Connecticut residents, enhance customer service, and achieve better quality outcomes, DSS has embarked on a major initiative to modernize and upgrade service delivery. Named ConneCT, this initiative will transform the way DSS does business by giving staff the tools they need to get the work done in a more timely and efficient manner. Applicants and clients will have real-time access to their case information through online and interactive voice-response systems, along with a web-based pre-screening and application portal. Document management and workflow are also key elements of the initiative. The end result will be improved access to critical services for the public and a more efficient work environment for staff.

### REBUILDING CAPACITY

The department has taken a number of measures to address its timeliness and accuracy of eligibility processing. The department is rebuilding its workforce, including hiring, training, and deploying a total of more than 259 new positions, 200 of which are eligibility workers, in calendar year 2012. The department also has retained the services of a business process re-engineering firm with a proven track record in helping other states deal with the kinds of challenges and pressures that DSS is experiencing. This will complement and support the department's ConneCT initiative.

### ADMINISTRATIVE SERVICES ORGANIZATIONS

HUSKY A, HUSKY B and the Charter Oak Health Plan provide access to affordable health care for children and adults up to age 65. On January 1, 2012, medical services under these programs were transitioned from a managed care infrastructure that included three capitated health plans and a small primary care case management pilot to a medical administrative services organization (ASO); the medical ASO also began authorizing and managing the medical health services for HUSKY C (Aged, Blind and Disabled) and HUSKY D (Low-Income Adults). With this restructuring, state-of-the-art care management services were extended to all medical assistance recipients, creating new opportunities to achieve better health outcomes and streamline administrative costs. The medical and behavioral health ASOs provide a broad range of services, including: member support, intensive care management, predictive modeling

based on medical data, statewide and provider specific performance measurement and profiling, utilization management, and member grievances and appeals. The ASOs coordinate in supporting the needs of individuals with co-occurring medical and behavioral health conditions through a behavioral health unit staffed by credentialed individuals that is co-located with the medical ASO. The dental ASO has also been an instrumental partner to the department in providing dental care management, increasing provider participation, and improving access to care.

### NEW MEDICAL INITIATIVES

DSS has implemented a number of innovative new medical initiatives to improve health and care. Rewards to Quit is a five-year federal grant of up to \$10 million to engage smokers and their medical providers in counseling and training, peer coaching, and other smoking-cessation techniques. The Person-Centered Medical Home (PCMH) initiative assists primary care practices obtain PCMH recognition from the National Committee for Quality Assurance, with key features including medical care coordination within primary care practices, capacity for non-face-to-face and after hours support for patients, and use of interoperable electronic health records. Technical assistance to practices is being provided by the department's medical ASO.

### MONEY FOLLOWS THE PERSON

The federal Money Follows the Person (MFP) Rebalancing Demonstration Grant encourages states to reduce their reliance on institutional care for Medicaid recipients by transitioning individuals from institutional settings to community settings with appropriate supports. The program, which began operation in December of 2008, has been a leading force in the state's efforts to rebalance the system of long-term services and supports to create a system that better supports consumers' informed choice. As of December 2012, 1,254 persons have transitioned to the community under MFP; an additional 150 non-MFP clients were also transitioned to the community.

Funded by the federal Centers for Medicare and Medicaid Services, the MFP "right-sizing" initiative aims to rebalance Connecticut's long-term services and supports system by removing barriers and providing persons participating in the Medicaid program choices

regarding where they receive those services and supports. Recognizing that removing barriers to choice is likely to result in decreased demand for institutional care, the right-sizing initiative encourages nursing facilities to diversify their existing business

model by awarding competitive grants to nursing facilities that align business practices with the state's strategic plan to rebalance long-term services and supports.

## RECOMMENDED SIGNIFICANT CHANGES

<b>Reductions to Current Services</b>	<b>FY 2014</b>	<b>FY 2015</b>
• Remove or Limit Inflation	-1,042,367	-3,605,077
• Remove Statutory or Regulatory Inflation Adjustments - Remove Rate Add for Nursing Homes <i>Under current statute, DSS is required to rebase nursing home rates no more than once every two years and no less than once every four years. The current services budget includes a rate increase of 4.7% in FY 2014 to reflect the rebasing of rates. To comply with DSS' regulations, the current services budget also includes a 2.0% inflationary adjustment in FY 2015. This proposal eliminates these increases over the biennium.</i>	-53,420,000	-81,040,000
• Remove Statutory or Regulatory Inflation Adjustments - Suspend Cost of Living Adjustments for Clients on Public Assistance <i>Effective July 1, 2013 and July 1, 2014, recipients of Temporary Family Assistance, State Administered General Assistance, and the Aid to the Aged, Blind and Disabled programs are scheduled to receive a state-funded cost of living adjustment. This proposal eliminates the projected standards increase of 2.1% in each year of the biennium.</i>	-4,643,091	-9,447,906
• Remove Statutory or Regulatory Inflation Adjustments - Remove Rate Add for Boarding Homes <i>Under current statute, DSS is required to annually determine rates for various boarding homes. Per DSS' regulations, boarding home rate increases are based on actual cost reports submitted by facilities, barring any legislation to remove rate increases for a particular fiscal year. This proposal eliminates the projected rate increases of 2.0% that have been included in the current services budget for boarding homes.</i>	-2,253,795	-4,617,651
• Remove Statutory or Regulatory Inflation Adjustments - Remove Rate Add for Intermediate Care Facilities <i>To comply with DSS' regulations, the current services budget includes a 2.2% increase in FY 2014 and a 2.0% increase in FY 2015 for intermediate care facilities for those with developmental disabilities. These rate increases are eliminated over the biennium.</i>	-1,380,000	-2,730,000
• Annualize FY 2013 Rescissions	-17,456,094	-17,456,094
• Annualize FY 2013 Deficit Mitigation Plan - Reduce Disproportionate Share Hospital Funding <i>This proposal, in combination with the proposal that annualizes the FY 2013 rescissions, will result in a 50% reduction in funding for the Disproportionate Share Hospital account.</i>	-120,819,082	-120,819,082
• Annualize FY 2013 Deficit Mitigation Plan - Reduce Enhanced Hospital Funding from User Fee Increase <i>A user fee on hospitals was reinstated effective July 1, 2011. Prior to the deficit mitigation plan, the revenue gained from the user fee assessment, as well as any federal dollars gained from that initial federal claiming, was to be redistributed to the hospitals in the form of disproportionate share hospital (DSH) payments and supplemental Medicaid payments. For FY 2013, hospitals were to be assessed a user fee of \$349.1 million while receiving a total of \$399.5 million in DSH and Medicaid payments, which would have allowed the hospitals, in the aggregate, to have a net gain of \$50.4 million. This proposal, which annualizes the deficit mitigation plan, reduces the enhanced funding to \$12.0 million.</i>	-38,400,000	-38,400,000
• Annualize FY 2013 Deficit Mitigation Plan - Eliminate ASO Transition Supplemental Payments <i>In the process of converting from managed care to an administrative services organization (ASO), the department developed rate melds to integrate the different rate structures that existed under the managed care organizations and the fee-for-service payment model under the ASO. As expenses have increased significantly under the new ASO payment structure, this proposal, which was adopted by the legislature in the December special session, mitigates these increases by eliminating funding for the ASO transition supplemental payments for outpatient, physician and behavioral health services.</i>	-23,460,000	-23,460,000
• Annualize FY 2013 Deficit Mitigation Plan - Revise the Fee Schedule for Certain Services under Medicaid <i>Under this proposal, which was adopted by the legislature in the December special session, DSS will: (1) restructure the reimbursement for medical equipment, devices and supplies and laboratory services; (2) reduce the facility per diem payment for hospice services to reflect the overlap in the services provided by a facility and the hospice agency; (3) mirror the Medicare pricing methodology by paying a lower fee to community-based physicians when the services are provided in a hospital or ambulatory surgery center; and (4) no longer reimburse for non-emergency ambulance services at a rate that is higher than the rate for emergency ambulance services.</i>	-7,700,000	-7,800,000

<ul style="list-style-type: none"> <li>● Annualize FY 2013 Deficit Mitigation Plan - Eliminate Incentive Payments and Enhanced Reimbursement to FQHCs <i>Prior to the deficit mitigation plan, DSS was providing increased reimbursement to federally qualified health centers (FQHCs) participating under the "Glide Path," which supports practices as they move towards National Committee for Quality Assurance (NCQA) recognition as patient-centered medical homes (PCMH), as well as to FQHCs that have attained NCQA recognition as PCMH providers. In addition, FQHCs participating as PCMH providers were eligible for supplemental payments based on quality performance incentives and improvements. Given that the FQHCs have traditionally operated under a medical home model by offering a full range of primary and preventive health care services and recognizing that they are already receiving higher reimbursements than most providers under Medicaid, the need to incentivize FQHCs to move toward a PCMH model is reduced. Thus any additional funding provided to the FQHCs under PCMH is removed. FQHCs participating in the Glide Path will remain eligible for technical assistance to help them in achieving NCQA recognition.</i></li> </ul>	-5,300,000	-7,300,000
<ul style="list-style-type: none"> <li>● Annualize FY 2013 Deficit Mitigation Plan - Restructure Non-Emergency Medical Transportation <i>Similar to a number of other states, this proposal, which was adopted by the legislature in the December special session, will allow the use of vehicles other than ambulances to provide non-emergency transportation for individuals who are medically stable and do not require medical attention but must lie flat during transport.</i></li> </ul>	-5,400,000	-5,400,000
<ul style="list-style-type: none"> <li>● Annualize FY 2013 Deficit Mitigation Plan - Revise the Approval Process for Customized Wheelchairs <i>DSS pays for far more adaptive wheelchairs for nursing facility clients than Massachusetts, which has twice the population. Under this proposal, which was adopted by the legislature in the December special session, clinical teams will be sent into facilities to assess clients and confirm the need for customized wheelchairs before the purchase of such chairs will be approved. DSS will also review wheelchair repairs and part replacements and will utilize refurbished wheelchairs, parts and components when appropriate.</i></li> </ul>	-4,500,000	-4,500,000
<ul style="list-style-type: none"> <li>● Annualize FY 2013 Deficit Mitigation Plan - Reduce Pharmacy Dispensing Fee <i>This proposal maintains the dispensing fee of \$1.70, which was adopted by the legislature in the December special session.</i></li> </ul>	-2,600,000	-2,600,000
<ul style="list-style-type: none"> <li>● Annualize FY 2013 Deficit Mitigation Plan - Revise Ambulatory Surgery Center Fees and Add Home Infusion as a Covered Service <i>The increase in outpatient hospital costs experienced under the medical ASO is due in part to the shift of outpatient surgeries and home infusion services to the hospitals from less costly settings. This proposal, which was adopted by the legislature in the December special session, updates DSS' coverage and payment methodologies in two areas. First, DSS' ambulatory surgery center fee schedule will be expanded to include many procedures customarily performed in ambulatory centers, which are currently performed for Medicaid clients in hospital outpatient departments. Second, coverage of home infusion will be added as a bundled service; most commercial plans provide extensive home infusion services in lieu of providing medication therapies in inpatient and outpatient hospital settings.</i></li> </ul>	-2,200,000	-2,200,000
<ul style="list-style-type: none"> <li>● Annualize FY 2013 Deficit Mitigation Plan - Modify Prior Authorization Requirements <i>Since the transition from managed care to an administrative services organization, utilization of home health services and physical, occupational and speech therapies has increased. Under this proposal, DSS will require prior authorization for more services to ensure that the services provided are medically necessary.</i></li> </ul>	-2,200,000	-2,200,000
<ul style="list-style-type: none"> <li>● Annualize FY 2013 Deficit Mitigation Plan - Tighten Requirements for Purchase of Sleep Apnea Machines <i>Sleep apnea machines, such as continuous positive airway pressure (CPAP) machines, are among the most frequently ordered durable medical equipment under DSS' medical programs. Under this proposal, which was adopted by the legislature in the December special session, the department will adopt Medicare's guidelines for the purchase of CPAP machines and supplies.</i></li> </ul>	-1,900,000	-2,000,000
<ul style="list-style-type: none"> <li>● Annualize FY 2013 Deficit Mitigation Plan - Limit Enhanced Reimbursement for Independent Pharmacies <i>In the FY 2013 midterm budget, the legislature added funding to increase reimbursement for independent pharmacies, which were defined as privately owned community pharmacies with five or fewer stores in Connecticut. The increase in reimbursement (from the average wholesale price (AWP) minus 16% to AWP minus 14%) is contingent upon federal approval of the Medicaid state plan amendment, which is still pending. Under this proposal, which was adopted by the legislature in the December special session, the enhanced reimbursement for independent pharmacies will be limited to AWP minus 15%.</i></li> </ul>	-550,000	-550,000
<ul style="list-style-type: none"> <li>● Annualize FY 2013 Deficit Mitigation Plan - Reduce Funding for Low Priority Programs <i>Funding for Teen Pregnancy Prevention and Transportation to Work is reduced.</i></li> </ul>	-500,000	-500,000

Budget Summary

<ul style="list-style-type: none"> <li>• Annualize FY 2013 Deficit Mitigation Plan - Restructure Chiropractic Coverage under Medicaid <i>In the FY 2013 midterm budget, the legislature added funding to pay for services provided by independent chiropractors for adults under Medicaid. Because of concerns with the utilization and expenditures that could be incurred as a result of this expansion, expenditures were capped at \$250,000 per year. The program is state-funded and is not eligible for federal reimbursement because it is capped. Under this proposal, which was adopted by the legislature in the December special session, chiropractic services for adults will no longer be covered. In addition, chiropractic services for children are only covered to the extent that they are medically necessary.</i></li> </ul>	-360,000	-360,000
<ul style="list-style-type: none"> <li>• Remove Funding for Salary Increases for Appointed Officials</li> </ul>	-37,771	-79,352
<ul style="list-style-type: none"> <li>• Reduce Disproportionate Share Hospital Funding <i>Recognizing the increase in the number of insured beginning in January 2014 and the corresponding reduction in the level of uncompensated care that will need to be provided by the nation's hospitals, the Affordable Care Act reduces federal DSH payments beginning in federal fiscal year 2014. While the federal reductions will be phased-in over several years, given the fiscal difficulties the state is facing and the significantly higher reimbursement hospitals are receiving as a result of the transition from the State Administered General Assistance program to the Medicaid for Low-Income Adults program, this proposal eliminates the balance of funding in the Disproportionate Share Hospital account in FY 2015. Because federal law still requires states to have a DSH program, \$20 million in Medicaid funding for hospital supplemental payments in FY 2015 will be used for this purpose.</i></li> </ul>	0	-134,243,423
<ul style="list-style-type: none"> <li>• Enhance Efforts to Curtail Medicaid Fraud <i>Additional use of consultant groups to perform predictive analytics will allow the state to better identify patterns of waste, fraud and abuse in government programs and will provide the tools to conduct additional Medicaid investigations and recover state dollars that were expended due to fraudulent claims.</i></li> </ul>	-60,000,000	-60,000,000
<ul style="list-style-type: none"> <li>• Transition Certain Adults to the Health Insurance Exchange <i>With the availability of insurance coverage through the Health Insurance Exchange beginning in calendar year 2014, this proposal eliminates coverage for ConnPACE clients and certain adults under HUSKY A (with income over 133% of the federal poverty level) effective January 1, 2014. In addition, due to the outreach that will be available under the Exchange, funding for the HUSKY Outreach account is eliminated effective January 1, 2014. Note: Coverage under the Charter Oak Health Plan is also transitioned, but under current services since this program was established to assist those who would not otherwise have access to affordable health insurance. Under the Affordable Care Act, the previous barriers to access to affordable health care are removed with the expansion of health care coverage under Medicaid to individuals up to 133% of the federal poverty level, the establishment of the Exchange, and the federal tax credits that reduce premium costs, as well as reduced cost sharing for low-income individuals.</i></li> </ul>	-5,921,593	-59,459,686
<ul style="list-style-type: none"> <li>• Reduce Enhanced Funding for Nursing Homes and Intermediate Care Facilities from User Fee Increase <i>Effective July 1, 2012, the nursing home user fee was increased to maximize the amount of revenue to the state, while also providing new funding to the nursing home industry through their Medicaid rates. The user fee was also extended to public and private intermediate care facilities for the developmentally disabled. The revenue gained from the user fee assessment, as well as any federal dollars gained from that initial federal claiming, is returned to these facilities in the form of increased Medicaid rates. In FY 2013, nursing homes will be assessed an additional user fee of \$39.3 million while realizing a Medicaid rate increase of \$59.0 million, for a net gain of \$19.7 million. For private intermediate care facilities, these facilities will be assessed a user fee of \$4.0 million while realizing a Medicaid rate increase of \$6.1 million, for a net gain of \$2.1 million in FY 2013. Under this proposal, this enhanced funding will be reduced by 75%.</i></li> </ul>	-12,200,000	-16,300,000
<ul style="list-style-type: none"> <li>• Implement Step Therapy under the Pharmacy Program <i>Currently, if a prescription is written for a non-preferred drug, the prescriber is required to either (1) request and obtain prior authorization in order to have that drug dispensed or (2) change to a preferred alternative. This option proposes to modify this process for classes of drugs on the preferred drug list, excluding mental health drugs. In order for a client to receive a non-preferred product, the prescriber will need to provide documentation that the client has tried a preferred product. This requirement will result in the increased use of lower cost drugs, either generic or preferred brand drugs, depending on the amount of rebates received.</i></li> </ul>	-11,800,000	-15,800,000
<ul style="list-style-type: none"> <li>• Eliminate Enhanced Hospital Funding from User Fee Increase <i>In an earlier proposal that annualizes the deficit mitigation plan, the net gain to the hospitals of \$50.4 million under the user fee was reduced to \$12.0 million. Under this option, the balance of the enhanced funding will be eliminated.</i></li> </ul>	-12,000,000	-12,000,000

<ul style="list-style-type: none"> <li>● Provide Medical Interpreter Services under Medicaid through the ASO <i>Current statute requires DSS to amend the Medicaid state plan to include foreign language interpreter services provided to any beneficiary with limited English proficiency as a covered service under the Medicaid program not later than July 1, 2013. With the conversion from managed care to an ASO structure, the medical ASO now provides interpreting services. Thus, the requirement that DSS implement the use of medical billing codes for foreign language interpreter services is eliminated as it is no longer needed. It should be noted that the current structure allows the state to maximize federal reimbursement since the ASO's expenditures can be claimed as an administrative service with 75% federal reimbursement as opposed to the 50% reimbursement that would be available if DSS implemented the program in the manner required under statute.</i></li> </ul>	-7,500,000	-8,200,000
<ul style="list-style-type: none"> <li>● Restructure Behavioral Health Reimbursement <i>Under this proposal, DSS will restructure the reimbursement for certain behavioral health services, including methadone maintenance.</i></li> </ul>	-4,100,000	-5,100,000
<ul style="list-style-type: none"> <li>● Transfer Funds for Smoking Cessation <i>In FY 2013, \$3.4 million was transferred from the Tobacco and Health Trust Fund to the Medicaid account to support smoking cessation programs. Under this proposal, \$3.4 million will continue to be transferred from the Fund to help offset the costs of smoking cessation in each year of the biennium.</i></li> </ul>	-3,400,000	-3,400,000
<ul style="list-style-type: none"> <li>● Eliminate Funding for Transportation Services <i>The Transportation to Work account is intended to provide funds for several transportation programs to assist Temporary Family Assistance and other TFA eligible adults with transportation services needed to reach self-sufficiency through work and work-related activities. Although transportation is one of the most significant barriers for individuals to secure employment, the department's current ridership surveys indicate that only 20% of riders under this program receive TFA or are TFA eligible. In an earlier proposal that annualizes the deficit mitigation plan, program funding is reduced by \$400,000. Under this option, the balance of funding for the program is eliminated. TFA recipients that are active in the Department of Labor's Jobs First Employment Services (JFES) program will have access to bus tokens and other transportation supports under JFES.</i></li> </ul>	-2,628,671	-2,628,671
<ul style="list-style-type: none"> <li>● Align Pharmacy Dispensing Fee with State Employee Plan <i>Under an earlier proposal that annualizes the FY 2013 deficit mitigation plan, the dispensing fee was reduced from \$2.00 to \$1.70. Under this option, the dispensing fee will be further reduced to align with the current levels under the state employee and retiree programs.</i></li> </ul>	-2,400,000	-2,600,000
<ul style="list-style-type: none"> <li>● Continue to Address Needs for Medicaid Clients with HIV/AIDS through Existing Programs <i>If implemented, the HIV/AIDS waiver will provide home and community-based services beyond those traditionally offered under Medicaid for up to 50 individuals. Services under the waiver would be similar to those available under the department's other waiver programs. Under this proposal, the statutory requirement that the department submit a waiver to serve HIV/AIDS clients is eliminated. Individuals who would have otherwise received services under the waiver will continue to be eligible for traditional services under the Medicaid state plan as well as services that they may be eligible for under existing coverage rules.</i></li> </ul>	-1,260,000	-2,220,000
<ul style="list-style-type: none"> <li>● Retain Nurturing Families and Help Me Grow under the Children's Trust Fund <i>This proposal eliminates funding for the following programs in the Children's Trust Fund: Family Empowerment, Family School Connection, the Children's Law Center and the Kinship Fund. A total of \$10.6 million will continue to be available to fund the Nurturing Families Network, which provides intensive home visiting for new parents who are at high risk of abusing or neglecting their child, and the Help Me Grow program, which provides services to identify children at risk for developmental or behavioral problems and to connect these children to existing community resources.</i></li> </ul>	-1,978,316	-1,978,316
<ul style="list-style-type: none"> <li>● Reduce or Eliminate Funding for Various Programs <i>Under this proposal, funding for a portion of the programs in the following accounts is eliminated: Teen Pregnancy Prevention, Services to the Elderly, Services to Persons with Disabilities, Child Care Quality Enhancements, and Community Services.</i></li> </ul>	-1,600,642	-1,600,642
<ul style="list-style-type: none"> <li>● Apply Annual Social Security Increases to Offset Costs under the Aid to the Aged, Blind and Disabled (AABD) Program <i>In past years, any cost of living adjustments (COLA) received as part of an AABD client's Social Security benefit were considered an increase in income and applied to the client's cost of care. As a result of a legislative change, effective FY 2006, AABD clients now retain their Social Security COLA (by increasing the unearned income disregard) without a concurrent reduction in their state benefit. This proposal reinstates the previous policy of applying any federal COLA to offset the cost of care.</i></li> </ul>	-789,000	-1,578,000

Budget Summary

<ul style="list-style-type: none"> <li>• Reduce Funding for Healthy Start <i>Since the inception of the Healthy Start program, staff time has shifted from direct service to completing presumptive eligibility forms for HUSKY enrollment. This option realigns Healthy Start to its initial program purpose and eliminates the HUSKY enrollment efforts that have become a main focal point of this program.</i></li> </ul>	-930,311	-930,311
<ul style="list-style-type: none"> <li>• Eliminate Enhanced Reimbursement for Independent Pharmacies <i>Under an earlier proposal that annualizes the deficit mitigation plan, the enhanced reimbursement added by the legislature for independent pharmacies was reduced from AWP minus 14% to AWP minus 15%. Under this proposal, the enhanced reimbursement for independent pharmacies is eliminated. Reimbursement for independent pharmacies will revert back to earlier levels (AWP minus 16%) and will be consistent with the reimbursement provided for chain pharmacies. Since independent pharmacies often form their own purchasing pools, it is not clear the extent to which the drug costs for independent pharmacies exceed that of chain pharmacies. It should be noted that because the Medicaid state plan amendment is still pending with the federal government, independent pharmacies have not yet received any of the enhanced reimbursement.</i></li> </ul>	-500,000	-550,000
<ul style="list-style-type: none"> <li>• Eliminate Residential Care Home Rate Increase <i>Last session, the legislature added \$500,000 to residential care homes for FY 2013. This option eliminates these additional funds.</i></li> </ul>	-458,333	-500,000
<ul style="list-style-type: none"> <li>• Close Roll-over to Priority Group 6 in Care 4 Kids <i>Currently, under the Care 4 Kids program, when a family's income increases to above 50% of the state median income, the family will move from Priority Group 2 (PG-2) or Priority Group 4 (PG-4) to Priority Group 6 (PG-6). PG-2 is for post-TFA individuals with income less than 50% of the state median income (\$50,987 for a family of four). PG-4 is for non-TFA families with income less than 50% SMI. PG-6 is for non-TFA families with income between 50% and 75% SMI. Under this proposal, families whose income increases above 50% SMI will no longer be able to move to PG-6.</i></li> </ul>	-278,000	-619,000
<ul style="list-style-type: none"> <li>• Eliminate HUSKY Performance Monitoring <i>The HUSKY Performance Monitoring account funds a contract to provide analyses of trends in HUSKY enrollment, access to care and utilization of health care services. With the new ASO structure implemented January 1, 2012, the ASO is now responsible for reporting on trends in HUSKY enrollment, access and utilization. While the additional analysis provided under this account is informative, it is not critical and, as a result, this option eliminates funding under the account.</i></li> </ul>	-208,050	-208,050

**Within Current Services**

<ul style="list-style-type: none"> <li>• Increase Primary Care Provider Reimbursement as Required under the Affordable Care Act <i>The budget includes funding to increase Medicaid reimbursement to Medicare levels for primary care services provided by primary care physicians in calendar years 2013 and 2014. These costs will be fully reimbursed by the federal government. Note: The FY 2014 amount includes funding for FY 2013 obligations.</i></li> </ul>	103,860,000	46,620,000
<ul style="list-style-type: none"> <li>• Expand Medicaid Eligibility Pursuant to the Affordable Care Act <i>The budget includes funding to extend Medicaid benefits under the Medicaid for Low-Income Adults program (HUSKY D) to individuals with income between 55% and 133% of the federal poverty level. These costs will be fully reimbursed by the federal government through 2016, after which the federal reimbursement will be phased down to 90% in 2020. Additional costs of \$10.3 million in FY 2014 and \$60.2 million in FY 2015 are reflected in the Department of Mental Health and Addiction Services.</i></li> </ul>	41,250,000	240,810,000

**Reallocations or Transfers**

<ul style="list-style-type: none"> <li>• Reallocate Child Care Related Programs and Provide Support for the Office of Early Childhood <i>This option moves the following programs to the new Office of Early Childhood in FY 2014: Care 4 Kids, Charts-A-Course and funding for the Child Care 2-1-1 contract with United Way. In FY 2015, programs under the Children's Trust Fund (the Nurturing Families Network and Help Me Grow) are also transferred. In addition, funding for the related program staff and other expenses items are transferred to the Office of Early Childhood, as well as support for one fiscal position to the State Department of Education.</i></li> </ul>	-102,458,214	-116,244,405
<ul style="list-style-type: none"> <li>• Reallocate Funds to the New Department of Housing <i>This option moves the following programs to the new Department of Housing: Emergency Shelters for Homeless, Residences for Person with AIDS, Transitional Living, Rental Assistance Program, Special Projects (including Child Care in Homeless Shelters), Housing / Mediation Services, Rent Bank and the Security Deposit Guarantee Program. In addition, funding for seven program staff and a contract with the Connecticut Coalition to End Homelessness is transferred. Funding for Domestic Violence Shelters is not transferred under this proposal.</i></li> </ul>	-57,515,797	-62,049,529

• Reallocate Funds to the New State Department on Aging <i>This option moves the following programs to the new State Department on Aging: Elderly Health Care at Bella Vista, Elderly Nutrition, Elderly Health Screening, Retired Senior Volunteer Program, Area Agencies on Aging, Medicare Legal Assistance, Day Care for Alzheimer Victims, Geriatric Assessment, Alzheimer Respite Care, Congregate Housing Services and Fall Prevention. In addition, funding for 26 program staff and related other expenses items are transferred. Funding for Protective Services for the Elderly is not transferred under this proposal.</i>	-9,389,378	-9,492,540		
• Reallocate Employment Services to the Department of Labor <i>This option transfers the Employment Success Program, funded under the Community Services umbrella account, to the Department of Labor.</i>	-642,260	-642,260		
• Realign Contracting Functions <i>This option transfers funding for six positions to the new centralized purchase of services contracting unit located in the Department of Mental Health and Addiction Services.</i>	-362,165	-373,030		
• Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-82,080	-82,080		
• Centralize Affirmative Action Planning Function in CHRO <i>Funding for one position is transferred to reflect the centralization of affirmative action planning under CHRO.</i>	-68,509	-71,552		
• Transfer Funding for Citizenship Training to the Secretary of State	-50,000	-50,000		
• Streamline Agency Account Structure - Medicaid <i>Because of the administrative difficulties of having the Medicaid program divided into multiple accounts, this proposal consolidates the account structure by reverting back to a single Medicaid account.</i>	0	0		
• Streamline Agency Account Structure - Other <i>This proposal consists of several consolidations. First, Genetic Tests in Paternity Action and Refunds of Collections are consolidated under a new account entitled Child Support Refunds and Reimbursements. Second, the State-Funded Supplemental Nutrition Assistance Program is consolidated under the existing Nutrition Assistance account. Third, Old Age Assistance, Aid to the Blind, and Aid to the Disabled are consolidated under a new account entitled Aid to the Aged, Blind and Disabled. Fourth, Emergency Assistance, Food Stamp Training Expenses, Healthy Start, Services to Persons with Disabilities, Human Resource Development - Hispanic Programs, Services to the Elderly (Protective Services to the Elderly), Safety Net Services, Housing/Homeless Services (Domestic Violence Shelters), Human Services Infrastructure Community Action Program and Teen Pregnancy Prevention are consolidated under the existing Community Services account.</i>	0	0		
<b>New or Expanded Services</b>			<b>FY 2014</b>	<b>FY 2015</b>
• Provide Additional Funding to the Connecticut Children's Medical Center			5,000,000	5,000,000
<b>Technical Adjustments</b>				<b>FY 2016</b>
• Revise GAAP Accrual Amounts			-35,638,165	-26,527,560

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total	Change From	Total	Change From	Total
	Authorized	FY 2013	Recommended	FY 2014	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	1,883	-41	1,842	-7	1,835
Federal and Other Activities	15	-4	11	0	11
Private Funds	34	0	34	0	34
<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current	Total	Current	Total
	Services	Services	Recommended	Services	Recommended
Personal Services	111,676,774	116,784,573	113,670,778	125,764,231	121,835,729
Other Expenses	109,149,735	122,720,997	120,791,491	121,735,215	117,189,466
<u>Capital Outlay</u>					
Equipment	1	1	1	1	1

Budget Summary

Other Current Expenses

Children's Trust Fund	13,133,084	13,198,738	10,563,768	13,198,738	0
HUSKY Performance Monitoring	219,000	219,000	0	219,000	0
HUSKY Outreach	335,564	335,564	159,393	335,564	0
Genetic Tests in Paternity Actions	191,142	191,142	0*	191,142	0*
State-Funded Suppl Nutrition Assistance	1,333,966	685,149	0*	725,059	0*
HUSKY B Program	29,890,000	30,460,000	30,460,000	30,540,000	30,540,000
Charter Oak Health Plan	6,975,000	4,280,000	4,280,000	0	0
<b>TOTAL - Other Current Expenses</b>	<b>52,077,756</b>	<b>49,369,593</b>	<b>45,463,161</b>	<b>45,209,503</b>	<b>30,540,000</b>

Pmts to Other than Local Govts

Medicaid	0	0	5,068,803,000	0	5,276,465,000
Old Age Assistance	36,417,524	37,629,862	0*	39,949,252	0*
Aid to the Blind	758,644	812,205	0*	855,251	0*
Aid to the Disabled	60,649,215	63,289,492	0*	67,961,417	0*
Temporary Assist to Families - TANF	113,187,034	112,139,791	109,836,065	112,058,614	107,450,059
Emergency Assistance	1	1	0*	1	0*
Food Stamp Training Expenses	12,000	12,000	0*	12,000	0*
Conn Pharmaceutical Assist to Elderly	310,000	286,500	126,500	338,500	0
Healthy Start	1,497,708	1,505,196	0*	1,505,196	0*
DMHAS – Disproportionate Share	108,935,000	108,935,000	108,935,000	108,935,000	108,935,000
Connecticut Home Care Program	47,316,100	46,690,000	44,324,196	47,950,000	45,584,196
Human Resource Dev-Hispanic Pgms	941,034	945,739	0*	945,739	0*
Services to the Elderly	3,929,683	4,006,441	0*	4,006,441	0*
Safety Net Services	1,900,307	1,909,807	0*	1,909,807	0*
Transportation for Employment Indep	3,171,386	3,187,240	0	3,187,240	0
Refunds of Collections	57,792	150,000	0*	150,000	0*
Services for Persons with Disabilities	630,379	633,531	0*	633,531	0*
Child Care Services - TANF/CCDBG	104,440,819	99,245,400	0	102,108,658	0
Nutrition Assistance	449,912	452,161	1,114,815	452,161	1,154,725
Housing/Homeless Services	57,594,605	61,621,648	0*	66,146,156	0*
Disproportionate Share-Med Emer Asst	268,486,847	268,486,847	134,243,423	268,486,847	0
State Administered General Assistance	14,723,163	17,283,300	16,990,000	17,866,800	17,262,000
Child Care Quality Enhancements	3,764,506	3,783,325	0	3,783,325	0
Connecticut Children's Medical Center	10,579,200	10,579,200	15,579,200	10,579,200	15,579,200
Community Services	1,641,524	1,789,886	14,225,728	1,789,886	14,225,728
Alzheimer Respite Care	2,294,388	2,294,388	0	2,294,388	0
Human Svcs Infrastructure CAP	3,436,148	3,453,326	0*	3,453,326	0*
Teen Pregnancy Prevention	1,923,957	1,933,575	0*	1,933,575	0*
Medicaid - Acute Care Services	1,476,542,501	1,577,660,000	0*	1,731,030,000	0*
Medicaid - Professional Medical Care	813,752,531	982,940,000	0*	1,025,380,000	0*
Medicaid - Other Medical Services	680,415,738	715,210,000	0*	787,860,000	0*
Medicaid - Home/Community-Based Services	510,728,527	532,650,000	0*	562,510,000	0*
Medicaid - Nursing Home Facilities	1,242,438,293	1,267,360,000	0*	1,263,790,000	0*
Medicaid - Other Long Term Care Facilities	180,093,798	184,350,000	0*	188,300,000	0*
Medicaid - Admin Services & Adjustments	74,372,944	78,880,000	0*	83,340,000	0*
Aid to the Aged, Blind and Disabled	0	0	96,301,366	0	98,070,718
Child Support Refunds and Reimbursements	0	0	331,585	0	331,585
<b>TOTAL - Pmts to Other than Local Govts</b>	<b>5,827,393,208</b>	<b>6,192,105,861</b>	<b>5,610,810,878</b>	<b>6,511,502,311</b>	<b>5,685,058,211</b>

Pmts to Local Governments

Human Resource Dev-Hispanic Pgms	5,337	5,364	0*	5,364	0*
Teen Pregnancy Prevention	144,321	145,042	0*	145,042	0*
Services to the Elderly	44,629	44,853	0	44,853	0
Housing/Homeless Services	637,212	640,398	0	640,398	0
Community Services	87,707	88,146	0	88,146	0
<b>TOTAL - Pmts to Local Governments</b>	<b>919,206</b>	<b>923,803</b>	<b>0</b>	<b>923,803</b>	<b>0</b>

Nonfunctional - Change to Accruals

	0	37,781,197	2,143,032	62,387,421	35,859,861
<b>TOTAL - General Fund</b>	<b>6,101,216,680</b>	<b>6,519,686,025</b>	<b>5,892,879,341</b>	<b>6,867,522,485</b>	<b>5,990,483,268</b>

## Budget Summary

Other Expenses	475,000	475,000	0	475,000	0
TOTAL - Insurance Fund	<u>475,000</u>	<u>475,000</u>	<u>0</u>	<u>475,000</u>	<u>0</u>
<i><u>Additional Funds Available</u></i>					
Federal and Other Activities	433,440,584	449,862,340	350,319,296	460,950,806	360,543,122
Private Funds	5,637,176	5,617,176	5,617,176	5,617,176	5,571,176
TOTAL - All Funds Net	<u>6,540,769,440</u>	<u>6,975,640,541</u>	<u>6,248,815,813</u>	<u>7,334,565,467</u>	<u>6,356,597,566</u>

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

# STATE DEPARTMENT ON AGING

## AGENCY PURPOSE

Established on January 1, 2013, the State Department on Aging (SDA) is responsible for developing programs and providing services for nearly one fifth of Connecticut's population. Approximately 710,000 citizens in the state are over the age of 60, an increase of 18 percent

over the last decade. All department programs are designed to improve the quality of life and to help elderly people live with dignity, security and independence.

***The programs that support the elderly in Connecticut are recommended for transfer to and consolidation within the State Department on Aging in the Governor's budget as part of his proposal to restructure and transform government.***

## RECOMMENDED SIGNIFICANT CHANGES

	<b>FY 2014</b>	<b>FY 2015</b>
<b>Reductions to Current Services</b>		
• Remove or Limit Inflation	-2,416	-5,295
• Remove Funding for Salary Increases for Appointed Officials	-12,240	-25,715
• Annualize FY 2013 Rescissions	-321,130	-321,130
• Eliminate Geriatric Assessment Funds	-45,795	-45,795
<b>Reallocations or Transfers</b>		
• Reallocate Funds from Department of Social Services <i>The State Department on Aging (SDA) came into existence on January 1, 2013. General Fund dollars are reallocated from the Department of Social Services (DSS) to continue support of 26 full-time positions and 1 part-time position; operating expenses related to the State Unit on Aging and the Office of the Long Term Care Ombudsman; the CHOICES program; grants formerly funded via DSS's Services to the Elderly account; and grants supporting services to persons in congregate housing for the elderly.</i>	8,914,378	9,017,540
• Reallocate Fall Prevention Program from Department of Social Services <i>Insurance Fund dollars are reallocated from the Department of Social Services to continue support of a fall prevention program.</i>	475,000	475,000
<b>Technical Adjustments</b>		
• Revise GAAP Accrual Amounts	100,494	13,675

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total Authorized	Change From FY 2013	Total Recommended	Change From FY 2014	Total Recommended
<b>Permanent Full-Time Positions</b>					
General Fund	2	26	28	0	28
Federal and Other Activities	0	2	2	0	2
<b>Other Positions Equated to Fulltime</b>					
General Fund	0	1	1	0	1
<b>Financial Summary</b>					
	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	72,500	221,273	2,243,831	234,748	2,344,734
Other Expenses	27,400	55,403	195,577	56,023	195,577
<b>Capital Outlay</b>					
Equipment	100	1	1	1	1
<b>Pmts to Other than Local Govts</b>					
Programs for Senior Citizens	0	0	6,370,065	0	6,370,065
<b>Nonfunctional - Change to Accruals</b>					
	0	0	100,494	0	13,675
TOTAL - General Fund	100,000	276,677	8,909,968	290,772	8,924,052
<b>Other Current Expenses</b>					
Fall Prevention	0	0	475,000	0	475,000
TOTAL - Insurance Fund	0	0	475,000	0	475,000

Additional Funds Available

Federal and Other Activities	0	0	19,130,161	0	19,130,161
Private Funds	0	0	9,000	0	9,000
TOTAL - All Funds Net	<u>100,000</u>	<u>276,677</u>	<u>28,524,129</u>	<u>290,772</u>	<u>28,538,213</u>



# SOLDIERS, SAILORS AND MARINES' FUND

## AGENCY PURPOSE

- To provide temporary financial assistance to needy wartime veterans and their families.
- To provide veterans with guidance and information focused on assisting them in regaining financial self-sufficiency.
- To refer veterans to appropriate state, federal and local organizations in circumstances where long-term assistance is required.

***The Soldiers, Sailors and Marines' Fund agency is proposed to be eliminated, with responsibility for administration of the fund's assets transferred to the American Legion.***

## RECENT HIGHLIGHTS

The Soldiers, Sailors and Marines' Fund commenced its ninety-fourth year of service to Connecticut's veterans, having celebrated the ninety-third anniversary of the agency's establishment on May 21st, 2012.

The agency has recently implemented the initial phase of a systematic review of records of deceased veterans, principally of World War II, in coordination with the State Library, with the object of identifying extraneous records for destruction and identifying those of potential historical value for eventual transfer to the state archives.

The agency participated in demobilization briefings for returning Connecticut National Guard and Reserve units in order to ensure awareness of the assistance available to veterans from the Soldiers, Sailors and Marines' Fund.

The agency participated in "Stand Down" at the Veterans' Home in Rocky Hill and in "Veterans' Day" in Hartford, both as part of the agency's outreach to veterans. The latter was an opportunity to inform and educate legislators and legislative staff about the agency and its mission.

## RECOMMENDED SIGNIFICANT CHANGES

### ***Reductions to Current Services***

- Remove or Limit Inflation
- Transfer Management of SSMF to the American Legion

*This proposal will transfer investment, management and expenditure responsibility of the Soldiers, Sailors and Marines' Fund from the State of Connecticut to the American Legion. All unionized agency employees will be placed in vacancies in other state agencies.*

	<b>FY 2014</b>	<b>FY 2015</b>
Remove or Limit Inflation	-920	-2,176
Transfer Management of SSMF to the American Legion	-3,072,475	-3,128,585

## AGENCY SUMMARY

### ***Personnel Summary***

	FY 2013 Total Authorized	FY 2014 Change From FY 2013	FY 2014 Total Recommended	FY 2015 Change From FY 2014	FY 2015 Total Recommended
<u><i>Permanent Full-Time Positions</i></u>					
Soldiers, Sailors and Marines' Fund	9	-9	0	0	0

### ***Financial Summary***

	FY 2013 Estimated	FY 2014 Current Services	FY 2014 Total Recommended	FY 2015 Current Services	FY 2015 Total Recommended
Personal Services	592,380	614,160	0	646,063	0
Other Expenses	42,397	43,317	0	44,573	0
<u><i>Other Current Expenses</i></u>					
Award Payments to Veterans	1,979,800	1,979,800	0	1,979,800	0
Fringe Benefits	424,835	430,609	0	453,128	0
TOTAL - Other Current Expenses	2,404,635	2,410,409	0	2,432,928	0
<u><i>Nonfunctional - Change to Accruals</i></u>	0	5,509	0	7,197	0
TOTAL - Soldiers, Sailors and Marines' Fund	3,039,412	3,073,395	0	3,130,761	0



# DEPARTMENT OF EDUCATION

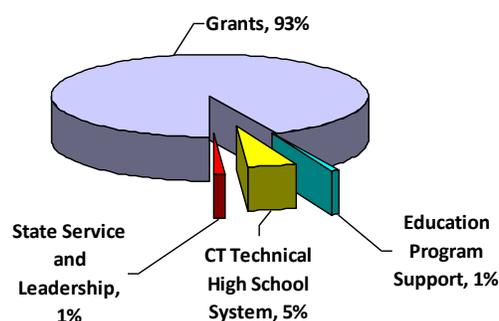
[www.state.ct.us/sde](http://www.state.ct.us/sde)

## AGENCY PURPOSE

- Work with local school districts to improve student achievement and close the achievement gap by providing necessary supports and interventions to districts and schools.
- Support school districts with leadership, curriculum, research, planning, evaluation, education technology data analyses and other assistance as needed.
- Distribute funds to school districts through 28 grant programs, including Education Cost Sharing (by far the largest grant to districts) at \$2 billion.
- An integral component in the new accountability program, the Student Performance Index (SPI)—an average of student performance in all tested grades and subjects for a given school—allows for the evaluation of school performance across all tested grades, subjects and performance levels. The new school measurement system recognizes positive school performance and offers insight around areas in need of improvement.
- Ensure the quality of teachers and school leaders through the development and implementation of educator evaluation and
- The Connecticut Technical High School System (CTHSS) is the state's largest secondary school system serving over 10,700 high school students and 440 adult learners. The CTHSS was overseen by the State Board of Education as a division of SDE and effective July 1, 2012, in accordance with Public Act 12-

supports and by providing professional development opportunities.

MAJOR PROGRAM AREAS  
(Based on FY 2012 Budget)



116, a new 11-member Connecticut Technical High School System Board was created. The new board includes members from education and industry as well as the commissioners from the Department of Labor and the Department of Economic and Community Development.

*The programs that support early childhood in Connecticut are recommended for transfer to and consolidation within the new Office of Early Childhood in the Governor's budget as part of his proposal to restructure and transform state government.*

## RECENT HIGHLIGHTS

### EARLY CHILDHOOD EDUCATION

The education reform package, Public Act 12-116, *An Act Concerning Education Reform*, allocated \$6.775 million to fund 1,000 new school readiness slots, increasing families' access to high quality pre-school for children ages three and four in low-income communities. Of the 1,000 new slots created in FY 2012-2013, 750 slots serve children in the 19 priority school readiness districts. A significant portion of the high-needs designated new slots—500 seats—was focused in a subset of the lowest performing school districts.

### ALLIANCE DISTRICTS

Developed to empower school districts to develop plans which embrace key reforms, the Alliance District program was allocated \$39.5 million dollars in Public Act 12-116 to be distributed to the 30 lowest performing districts. Each district submitted a locally conceived, evidence-based plan that outlines specific measures aimed to improve student achievement, contained multi-year objectives, and set performance targets. The alliance district designation lasts for a 5-year term.

### COMMISSIONER'S NETWORK

Another key component of the education reform package, the Commissioner's Network was established to provide new resources and flexibilities to improve student achievement in the state's lowest performing schools. During the 2012-2013 school year, the CSDE approved four schools to join the Commissioner's Network. They voluntarily applied and were required to submit a turnaround plan that outlined intensive and transformative strategies to succeed in areas that other reform efforts had failed. The turnaround committee included representatives from school district administration, parents, teachers and the Education Commissioner's designee.

### NO CHILD LEFT BEHIND WAIVER

In May of 2012, the U.S. Department of Education approved CSDE's flexibility request (or waiver) from certain requirements of No Child Left Behind (NCLB), allowing the CSDE to establish a new accountability system to assess school performance. The new performance measurement system improves the CSDE's ability to provide more accurate and appropriate interventions, support and recognition to local schools.

**TEACHER AND ADMINISTRATOR EVALUATION GUIDELINES**

New state laws provide the opportunity to develop, define and implement an educator evaluation and support system based on a new standard of effective practice. In June of 2012, the State Board of Education approved the *CT Guidelines for Educator Evaluation* developed by the Performance Evaluation Advisory Council (PEAC).

These guidelines served to inform implementation of model teacher and administrator evaluation and support system pilot programs during the 2012-2013 school year. The new educator evaluation and support system will take effect in districts statewide in the 2013-2014 school year.

**RECOMMENDED SIGNIFICANT CHANGES**

	<b>FY 2014</b>	<b>FY 2015</b>
<b>Reductions to Current Services</b>		
• Remove or Limit Inflation	-2,678,008	-5,269,983
• Extend Cap on Statutory Formula Grants	-42,976,663	-52,474,274
<i>The following grants are held at the FY 2013 level: Adult Education, Health and Welfare Services for Pupils in Private Schools, Excess Cost - Student Based and Non-Public School Transportation.</i>		
• Remove Funding for Salary Increases for Appointed Officials	-17,003	-35,720
• Annualize FY 2013 Rescissions	-7,711,926	-7,711,926
• Annualize FY 2013 Deficit Mitigation Plan	-9,545,161	-9,768,161
• Eliminate Funding for Transportation of School Children	-79,699,059	-81,977,464
<i>Funding for the reimbursement of public school transportation costs is eliminated and replaced by a \$5 million competitive grant to incentivize regionalized transportation.</i>		
• Eliminate Funding for Lower Priority or Non-Statewide Programs	-12,108,136	-12,108,136
<i>Funding for lower priority or non-statewide programs is eliminated in order to preserve funding to programs that are the core mission of the State Department of Education. Programs eliminated are: Neighborhood Youth Centers; Leadership, Education, Athletics Partnership (LEAP); non-Sheff Interdistrict Cooperation grants; the Connecticut Pre-Engineering Program; the After School Program; Parent Universities; School Health Coordinator Pilot; Technical Assistance for Regional Cooperations and EvenStart.</i>		
• Transfer Funding for Healthy Foods Initiative to Community Investment Account	-3,661,604	-3,806,300
• Reduce Education Funding for Discretionary Programs	-1,718,438	-1,718,438
<i>Funding for the following discretionary programs are reduced by 10.5%: Primary Mental Health, Longitudinal Data Systems, School Accountability, Wrap Around Services, Bridges to Success, K-3 Reading Assessment Pilot, Regional Education Services, Head Start - Early Childhood Link and Healthy Foods Initiative.</i>		
<b>Reallocations or Transfers</b>		
• Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-39,255	-39,255
• Reallocate State Owned PILOT Funding to ECS	73,641,646	73,641,646
<i>Funding is transferred to the ECS grant and distributed to towns based on the PILOT grants they received in FY 2013.</i>		
• Transfer a Position for Fiscal Support from the Department of Social Services	59,780	64,970
<i>A position is transferred in order to provide fiscal (non-contract) support for the Office of Early Childhood, which will be within the State Department of Education for administrative purposes only.</i>		
• Reallocate Early Childhood Education and Care Programs	-111,761,073	-111,342,715
<i>Funding and positions are transferred to the new Office of Early Childhood to better align early childhood programs as required by PA 11-181. The Early Childhood Program, School Readiness, Child Care Services, Head Start, Quality Enhancement funding and programs used to garner a private match are moved to the new agency in FY 2014. The early childhood special education program, funded through federal funds, is moved in FY 2015.</i>		
• Streamline Agency Account Structure	0	0
<i>In order to reduce the number of accounts and provide the agency with more flexibility in executing programs, the following accounts have been consolidated into one account called School Improvement: Basic Skills Exam Teachers in Training, Teachers' Standards Implementation, Development of Mastery Exams, Resource Equity Assessment, Longitudinal Data Systems, School Accountability, Wrap Around Services, Commissioner's Network, New or Replicated Schools, Bridges to Success, K-3 Reading Assessment Pilot and Talent Development.</i>		
• Transfer Technical High Schools Project Management to State Department of Education	0	0
<i>Five positions, funded with bond funding, are transferred from the Department of Construction Services to the State Department of Education to manage the construction of technical high schools projects.</i>		

**New or Expanded Services**

	<u>FY 2014</u>	<u>FY 2015</u>	<u>FY 2016</u>
<ul style="list-style-type: none"> <li>• Increase Funding for Education Cost Sharing (ECS)  <i>Additional state funding for ECS is distributed to towns based on the phase in of the formula recommended by the ECS Task Force in January, 2013. The task force recommended several changes to the ECS formula including:</i> <ul style="list-style-type: none"> <li>• No town will receive less ECS aid in 2014 or 2015 than it did in 2013. 117 towns get more ECS funding through the new formula</li> <li>• A new child poverty measure will be used. Free and Reduced Priced Lunch (FRPL) Eligibility will replace Title I poverty</li> <li>• Current 15% weighting of Limited English Proficient (LEP) students will be eliminated and replaced by 30% weighting of FRPL Eligibility</li> <li>• For most wealthy communities, the minimum aid ratio will be reduced from 9% to 2%. The minimum aid ratio for Alliance Districts will be 10%</li> <li>• Household income data will be streamlined and replaced by more current data. Census Bureau Per Capita Income (PCI) and Median Household Income (MHI), which is updated once a decade, is replaced by MHI produced and updated annually through the Department of Economic and Community Development</li> <li>• The foundation is increased from \$9,867 to \$11,754, a 19% increase</li> </ul> </li> </ul> <p><i>In FY 2014, Alliance Districts will receive 10% of the target aid recommended by the task force and non-Alliance Districts will receive 1%. The phase in will continue in FY 2015, with Alliance Districts receiving 20% of the recommended target aid and non-Alliance Districts receiving 2%.</i></p>	50,756,719	101,513,445	152,270,164
<ul style="list-style-type: none"> <li>• Add Funding for Talent Development and Common Core  <i>Funding is added in order to continue the implementation of the teacher evaluation system and to align curriculum to Common Core State Standards and provide the necessary training for teachers and administrators for both initiatives. This funding is included in a new account called School Improvement.</i></li> </ul>	12,000,000	12,000,000	12,000,000
<ul style="list-style-type: none"> <li>• Increase Funding for Commissioner's Network  <i>Funding will allow another eight schools, in addition to the current four schools, to participate in the Commissioner's Network in FY 2014 and another nine schools in FY 2015 that work with the State Department of Education to implement reforms to turnaround the lowest performing schools. This funding is included in a new account called School Improvement.</i></li> </ul>	3,300,000	10,800,000	10,800,000
<ul style="list-style-type: none"> <li>• Add Funding for New State Charter Schools  <i>Funding will allow the State Department of Education to approve one additional state charter school in FY 2014 and three more in FY 2015. This funding is included in the ECS line item.</i></li> </ul>	1,785,000	8,415,000	12,155,000
<ul style="list-style-type: none"> <li>• Add Funding for New Local Charter Schools  <i>Funding will allow the State Department of Education to approve two new local charter schools in FY 2014 and three more in FY 2015. This funding is included in a new account called School Improvement.</i></li> </ul>	110,000	710,000	1,275,000
<p><b>Technical Adjustments</b></p> <ul style="list-style-type: none"> <li>• Revise GAAP Accrual Amounts</li> </ul>	-314,330	17,944	

**AGENCY SUMMARY**

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total	Change From	Total	Change From	Total
	Authorized	FY 2013	Recommended	FY 2014	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	1,680	8	1,688	29	1,717
Federal and Other Activities	125	-4	121	-1	120
Vocational Education Extension	1	0	1	0	1
<u>Other Positions Equated to Fulltime</u>					
General Fund	866	4	870	0	870
Federal and Other Activities	1	0	1	0	1
<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	19,950,048	19,592,389	17,726,383	20,539,215	18,622,632
Other Expenses	3,726,563	5,868,347	5,575,149	5,984,089	5,575,149

Budget Summary

Capital Outlay

Equipment	1	1	1	1	1
<u>Other Current Expenses</u>					
Basic Skills Exam Teachers in Training	1,270,775	1,304,324	0*	1,351,979	0*
Teachers' Standards Implementation Pgm	3,096,508	3,156,508	0*	3,156,508	0*
Early Childhood Program	6,022,489	6,748,095	0	6,761,533	0
Develop of Mastery Exams Grades 4,6&8	19,050,559	20,148,978	0*	20,974,161	0*
Primary Mental Health	507,294	518,302	427,209	533,333	427,209
Leadership,Educ, Athletics-Partnership	765,000	781,601	0	804,267	0
Adult Education Action	240,687	240,687	240,687	240,687	240,687
Connecticut Pre-Engineering Program	262,500	268,196	0	275,974	0
Resource Equity Assessment	299,683	183,048	0*	183,048	0*
Neighborhood Youth Centers	1,338,300	1,367,341	0	1,406,994	0
Longitudinal Data Systems	1,500,000	1,532,550	0*	1,576,994	0*
School Accountability	2,201,405	2,249,394	0*	2,315,156	0*
Sheff Settlement	14,293,799	9,259,263	9,259,263	9,409,526	9,409,526
Community Plans for Early Childhood	450,000	459,765	0	473,098	0
Improving Early Literacy	150,000	150,000	0	150,000	0
Parent Trust	500,000	500,000	0	500,000	0
Regional Vocational-Technical School Sys	134,841,977	148,985,842	146,551,879	159,028,223	155,632,696
Child Care Services	18,419,752	18,419,752	0	18,419,752	0
Science Pgm-Educational Reform Districts	455,000	464,874	0	478,355	0
Wrap Around Services	450,000	450,000	0*	450,000	0*
Parent Universities	250,000	250,000	0	250,000	0
School Health Coordinator Pilot	200,000	200,000	0	200,000	0
Commissioner's Network	7,500,000	7,500,000	0*	7,500,000	0*
Technical Assistance-Regional Cooperation	100,000	102,170	0	105,133	0
New or Replicated Schools	200,000	204,340	0*	210,266	0*
Bridges to Success	712,500	712,500	0*	712,500	0*
K-3 Reading Assessment Pilot	2,700,000	2,700,000	0*	2,700,000	0*
Talent Development	3,500,000	7,500,000	0*	7,500,000	0*
School Improvement	0	0	59,440,949	0	68,397,453
TOTAL - Other Current Expenses	221,278,228	236,357,530	215,919,987	247,667,487	234,107,571
<u>Pmts to Other than Local Govts</u>					
American School for the Deaf	10,264,242	10,676,242	10,659,030	11,169,242	11,152,030
Regional Education Services	1,384,613	1,414,659	1,166,026	1,455,684	1,166,026
Head Start Services	2,748,150	2,807,785	0	2,889,211	0
Head Start Enhancement	1,773,000	1,811,474	0	1,864,007	0
Family Resource Centers	7,981,488	8,154,686	7,582,414	8,391,172	7,582,414
Youth Service Bureau Enhancement	620,300	620,300	620,300	620,300	620,300
Head Start - Early Childhood Link	2,090,000	2,135,353	0	2,197,278	0
Child Nutrition State Match	2,354,000	2,354,000	2,354,000	2,354,000	2,354,000
Health Foods Initiative	3,613,997	4,093,818	0	4,238,514	0
EvenStart	500,000	500,000	0	500,000	0
TOTAL - Pmts to Other than Local Govts	33,329,790	34,568,317	22,381,770	35,679,408	22,874,770
<u>Pmts to Local Governments</u>					
Vocational Agriculture	6,485,565	6,626,302	6,485,565	6,818,465	6,485,565
Transportation of School Children	24,884,748	84,699,059	5,000,000	86,977,464	5,000,000
Adult Education	21,025,690	22,894,506	21,033,915	23,546,267	21,045,036
Health Serv for Pupils Private Schools	4,297,500	6,841,788	4,297,500	7,040,984	4,297,500
Education Equalization Grants	2,007,594,057	2,017,556,557	2,140,230,922	2,026,694,557	2,206,532,648
Bilingual Education	1,916,130	1,957,710	1,916,130	2,014,484	1,916,130
Priority School Districts	121,875,581	121,965,960	46,057,206	121,205,365	45,577,022
Young Parents Program	229,330	234,306	229,330	241,101	229,330
Interdistrict Cooperation	10,131,935	10,364,034	4,346,369	10,368,044	4,350,379
School Breakfast Program	2,220,303	2,300,041	2,300,041	2,379,962	2,379,962
Excess Cost - Student Based	139,805,731	177,323,378	139,805,731	185,905,829	139,805,731

Non-Public School Transportation	3,595,500	4,649,637	3,595,500	4,724,961	3,595,500
School to Work Opportunities	213,750	218,388	213,750	224,721	213,750
Youth Service Bureaus	2,989,268	3,054,135	2,989,268	3,142,706	2,989,268
OPEN Choice Program	22,090,956	35,018,594	35,018,594	40,616,736	40,616,736
Magnet Schools	242,361,711	274,999,020	270,449,020	290,800,025	286,250,025
After School Program	4,500,000	4,597,650	0	4,730,982	0
School Readiness Quality Enhancement	4,100,678	4,189,663	0	4,311,163	0
TOTAL - Pmts to Local Governments	2,620,318,433	2,779,490,728	2,683,968,841	2,821,743,816	2,771,284,582
<u>Nonfunctional - Change to Accruals</u>	0	1,081,574	767,244	1,037,672	1,055,616
TOTAL - General Fund	2,898,603,063	3,076,958,886	2,946,339,375	3,132,651,688	3,053,520,321
<u>Additional Funds Available</u>					
Federal and Other Activities	460,676,515	460,210,673	444,442,745	460,210,673	439,499,143
Private Funds	6,773,681	3,273,681	3,273,681	3,273,681	3,273,681
TOTAL - All Funds Net	3,366,053,259	3,540,443,240	3,394,055,801	3,596,136,042	3,496,293,145

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

# OFFICE OF EARLY CHILDHOOD

## AGENCY PURPOSE

- Public Act 11-181 called for the establishment of a coordinated system of early care and education and child development.
- The Office of Early Childhood will coordinate educational opportunities and early childhood services to better serve children birth to age 8.

## RECOMMENDED SIGNIFICANT CHANGES

### Reallocations or Transfers

	<u>FY 2014</u>	<u>FY 2015</u>	
<ul style="list-style-type: none"> <li>Reallocate Early Childhood Education Programs from the State Department of Education <i>Funding and positions are transferred to the new Office of Early Childhood to better align early childhood programs as required by PA 11-181. The Early Childhood Program, School Readiness, Child Care Services, Head Start, Quality Enhancement funding and programs used to garner private matching funds are moved to the new agency in FY 2014. The early childhood special education program, funded through federal funds, is moved in FY 2015.</i></li> </ul>	111,803,073	111,384,715	
<ul style="list-style-type: none"> <li>Reallocate Child Care Programs from the Department of Social Services <i>Funding and positions are transferred to the new Office of Early Childhood to better align early childhood programs as required by PA 11-181. The Care 4 Kids program and the remaining quality enhancement funds are transferred in FY 2014. The Children's Trust Fund is moved in FY 2015.</i></li> </ul>	102,398,434	116,179,435	
<ul style="list-style-type: none"> <li>Reallocate Connecticut Charts a Course from the Board of Regents <i>Funding and positions are transferred to the new Office of Early Childhood to better align early childhood programs as required by PA 11-181. Staff working at Connecticut Charts a Course are transferred in FY 2014.</i></li> </ul>	473,657	486,499	
<ul style="list-style-type: none"> <li>Reallocate Birth to Three from the Department of Developmental Services <i>Funding and positions are transferred to the new Office of Early Childhood to better align early childhood programs as required by PA 11-181. The Birth to Three program is transferred in FY 2015.</i></li> </ul>	0	37,991,718	
<ul style="list-style-type: none"> <li>Transfer the Child Care Regulatory Function from the Department of Public Health <i>Funding and positions are transferred to the new Office of Early Childhood to better align early childhood programs as required by PA 11-181. The child care regulatory function is transferred in FY 2015. In addition, the federal Home Visiting grant will be transferred to this new agency in FY 2015.</i></li> </ul>	0	2,276,721	
<ul style="list-style-type: none"> <li>Streamline Agency Account Structure <i>In order to reduce the number of accounts and provide the agency with more flexibility in executing programs, accounts have been consolidated:</i> <i>(A) Children's Trust Fund, Community Plans for Early Childhood, Improving Early Literacy, and Parent Trust Fund Program have been consolidated into a new account called Community &amp; Family Programs.</i> <i>(B) Child Care Services, Child Care Quality Enhancements and a portion of School Readiness Quality Enhancement have been consolidated into a new account called Child Care Services &amp; Quality Enhancement.</i> <i>(C) Head Start Services, Head Start Enhancement and Head Start - Early Childhood Link have been consolidated into a new account called Head Start.</i> <i>(D) School Readiness and a portion of School Readiness Quality Enhancement have been consolidated into a new account called School Readiness &amp; Quality Enhancement.</i></li> </ul>	0	0	
<h3>New or Expanded Services</h3> <ul style="list-style-type: none"> <li>Provide Funding for the New Office of Early Childhood <i>An Office of Early Childhood, within the State Department of Education for administrative purposes only, is established to better align early childhood programs as required by PA 11-181. Funding for four leadership and administrative positions, consulting services, and increased funding to garner private matching funding in early childhood are provided.</i></li> </ul>	<u>FY 2014</u> 774,747	<u>FY 2015</u> 935,839	<u>FY 2016</u> 967,019
<h3>Technical Adjustments</h3> <ul style="list-style-type: none"> <li>Revise GAAP Accrual Amounts</li> </ul>	11,895,804	2,984,766	

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total Authorized	Change From FY 2013	Total Recommended	Change From FY 2014	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	0	17	17	54	71
Federal and Other Activities	0	3	3	5	8
<u>Other Positions Equated to Fulltime</u>					
General Fund	0	1	1	0	1
<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	0	0	1,795,967	0	5,329,561
Other Expenses	0	0	291,000	0	495,000
<u>Capital Outlay</u>					
Equipment	0	0	1	0	1
<u>Other Current Expenses</u>					
Early Childhood Program	0	0	6,748,003	0	6,761,345
Early Intervention	0	0	0	0	37,286,804
Community & Family Programs	0	0	1,250,000	0	11,963,768
Child Care Services & Quality Enhancement	0	0	24,474,567	0	24,474,567
TOTAL - Other Current Expenses	0	0	32,472,570	0	80,486,484
<u>Pmts to Other than Local Govts</u>					
Child Care Services - TANF/CCDBG	0	0	98,967,400	0	101,489,658
Head Start	0	0	6,055,148	0	6,055,148
TOTAL - Pmts to Other than Local Govts	0	0	105,022,548	0	107,544,806
<u>Pmts to Local Governments</u>					
School Readiness & Quality Enhancement	0	0	75,867,825	0	75,399,075
<u>Nonfunctional - Change to Accruals</u>					
TOTAL - General Fund	0	0	227,345,715	0	272,239,693
<u>Additional Funds Available</u>					
Federal and Other Activities	0	0	15,807,928	0	34,261,381
TOTAL - All Funds Net	0	0	243,153,643	0	306,501,074

# CONNECTICUT STATE LIBRARY

[www.cslib.org](http://www.cslib.org)

## AGENCY PURPOSE

- Provide high-quality library and information services to state government and the citizens of Connecticut.
- Work cooperatively with related agencies and constituent organizations in providing those services.
- Preserve and make accessible the records of Connecticut's history and heritage.
- Promote the development and growth of high-quality information services on an equitable basis statewide.
- Provide leadership and cooperative opportunities to the library, educational and historical communities in order to enhance the value of individual and collective service missions.

## RECENT HIGHLIGHTS

### ARCHIVES & PUBLIC RECORDS

Grants in the amount of \$635,500 were awarded to 146 municipalities supporting improvements in the preservation and management of historic documents across the state. The State Archives acquired 56 accessions including General Assembly papers, 2008-2009, including original bills, public acts, special acts, and resolutions; Connecticut River Valley Flood Control Commission records, 1953-2010; Connecticut State Police Troop L arrest log books, 1941-1999; Irving J. Stolberg papers, circa 1968-1994; and record books and probate files from 26 probate districts. The intake of probate records from districts being merged with other districts began in 2010 precipitating a space crisis at the State Library's Van Block Facility. In FY 2012 the shelving capacity increased to 4,500 cubic feet due to the installation of compact shelving. This is the second section installed replacing fixed shelving. The total increase for the past two years of compact shelving installation has increased shelving capacity by 9,600 cubic feet.

The State Archives placed a number of new digital collections online: Blizzard of 1888; Bradley Field World War II Activities, 1942-1945; September 11, 2001 – Connecticut State Government Responds; and William H. Thompson Photographs of Hartford, 1903-1906.

### ACCESS SERVICES

During the unexpected snowstorm and extensive power outage in October 2011, many first-time patrons utilized the library to access their email, search the internet, power up their electronic devices, and use Wi-Fi services. Many reference questions were received about tree removal, property damage, shelters, and food and water safety concerns, as well as historical information on other Connecticut disasters. Upon learning of the devastating fire to the Somers Congregational Church, State Library staff offered copies of material in the library's collections, including original building plans, to assist in rebuilding efforts.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

	<b>FY 2014</b>	<b>FY 2015</b>
• Remove or Limit Inflation	-136,996	-287,780
• Remove Funding for Salary Increases for Appointed Officials	-7,726	-16,230
• Annualize FY 2013 Rescissions	-145,595	-145,595
• Annualize FY 2013 Deficit Mitigation Plan	-146,130	-146,130
<i>Reduce funding for the State-Wide Digital Library and Legal/Legislative Library Materials accounts.</i>		
• Reduce Connecticard Payments	-200,000	-200,000
• Eliminate Funding for Computer Access Program	-180,500	-180,500
• Reduce Connecticut Humanities Council Funding	-107,882	-107,882

### COLLECTION SERVICES

Over 28,500 items were added to the general collections. The use of online collections increased dramatically with 1,828,672 item views in the digital collections. 7,255 objects were added to the State Library's digital collections, for a total of 48,548 digital objects, available via ContentDM, Flickr and the Internet Archive. State publications is the largest collection with 2,830 new objects archived for a total of 23,347 state publications.

### LIBRARY DEVELOPMENT

ICONN - [www.iconn.org](http://www.iconn.org) - there were a total of 8,925,243 page views (a measure of when search results are actually viewed): 14.6% from public library patrons; 14.3% from school library patrons; and 71.1% from college library patrons. The page views total represents a 17.9% increase over the previous fiscal year.

The Connecticard program allows Connecticut residents to use any library in the state with their hometown library card. Use of this popular service has increased by about 20% in the last ten years, with over 4.9 million items borrowed. This grant program that partially reimburses public libraries for these nonresident loans awarded \$1,000,000 to 174 libraries.

Public Library Construction - The State Library Board awarded a grant to Hartford Public Library for \$153,333 in state bond funds. These funds will be matched by local funds for \$460,000 in total project costs in this community. The Bond Commission approved this grant as well as previously awarded public library construction grants to Avon Public Library for \$1,000,000, Cheshire Public Library for \$50,000, and Sherman Public Library for \$1,000,000.

**Reallocations or Transfers**

- Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services -2,880 -2,880

**Technical Adjustments**

- Revise GAAP Accrual Amounts -1,272 -623

**AGENCY SUMMARY**

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total Authorized	Change From FY 2013	Total Recommended	Change From FY 2014	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	55	0	55	0	55
<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	4,915,076	5,008,699	5,000,973	5,232,343	5,216,113
Other Expenses	710,355	727,354	695,685	753,604	695,685
<u>Capital Outlay</u>					
Equipment	1	1	1	1	1
<u>Other Current Expenses</u>					
State-Wide Digital Library	2,094,590	2,146,135	1,989,860	2,199,788	1,989,860
Interlibrary Loan Delivery Service	275,751	258,471	258,471	268,122	268,122
Legal/Legislative Library Materials	827,992	845,959	786,592	870,492	786,592
Computer Access	190,000	194,750	0	194,750	0
TOTAL - Other Current Expenses	3,388,333	3,445,315	3,034,923	3,533,152	3,044,574
<u>Pmts to Other than Local Govts</u>					
Support Cooperating Library Serv Units	350,000	357,595	332,500	367,965	332,500
<u>Pmts to Local Governments</u>					
Grants to Public Libraries	214,283	218,933	203,569	225,282	203,569
Connecticard Payments	1,000,000	1,021,700	800,000	1,051,329	800,000
Connecticut Humanities Council	2,157,633	2,157,633	1,941,870	2,157,633	1,941,870
TOTAL - Pmts to Local Governments	3,371,916	3,398,266	2,945,439	3,434,244	2,945,439
<u>Nonfunctional - Change to Accruals</u>					
TOTAL - General Fund	0	23,454	22,182	31,572	30,949
TOTAL - General Fund	12,735,681	12,960,684	12,031,703	13,352,881	12,265,261
<u>Additional Funds Available</u>					
Federal and Other Activities	2,075,000	2,050,000	2,050,000	2,050,000	2,050,000
Private Funds	960,700	950,700	950,700	950,700	950,700
TOTAL - All Funds Net	15,771,381	15,961,384	15,032,403	16,353,581	15,265,961

# OFFICE OF HIGHER EDUCATION

## AGENCY PURPOSE

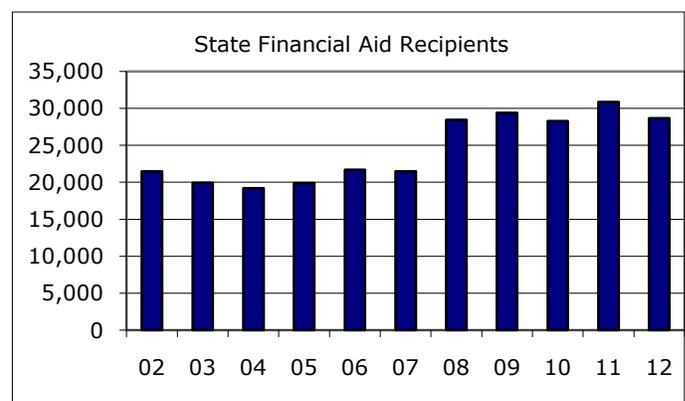
[www.ctohe.org](http://www.ctohe.org)

The public system of higher education in Connecticut consists of 18 degree-granting institutions organized into: the University of Connecticut, its five regional branch campuses and health center; and the Board of Regents including the four regional Connecticut State Universities, the twelve Connecticut Community-Technical Colleges, and Charter Oak State College, the state's only external degree-granting institution. Twenty-eight independent colleges and universities, the U.S. Coast Guard Academy and more than seventy private occupational schools also serve Connecticut.

The Office of Higher Education was re-organized in July 2011 to administer programs supporting Connecticut's higher education system. Those programs include academic program review and approval for independent institutions, as well as private occupational and hospital-based schools; three student financial aid programs for Connecticut undergraduates, in addition to programs for teachers and lawyers; and programs for students through the Minority Advancement Program, the Commission on Community Service, and Teacher Quality Partnership Grants.

## RECENT HIGHLIGHTS

- In the fall of 2012, 202,858 students were enrolled in Connecticut's public and independent institutions of higher education. This total represents the fifteenth consecutive year of growth. At the same time, 42,590 degrees were conferred by Connecticut's colleges and universities. Over the last decade, enrollment has increased 20 percent and degrees have increased 40 percent. Although growth in enrollment has slowed over the last few years, more students are not only starting but completing their educations than ever before.
- Over the ten year period ending in 2012, financial aid funding increased 17 percent and recipients increased 34 percent resulting in an average award of \$1,800. This funding is instrumental to continued access to higher education in this difficult economy.



## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

- Remove or Limit Inflation
- Remove Funding for Salary Increases for Appointed Officials
- Annualize FY 2013 Rescissions
- Annualize FY 2013 Deficit Mitigation Plan
- Reduce Funding for Student Financial Aid Programs
- Reduce Funding for Minority Advancement Program to Reflect Anticipated FY 2013 Carry Forward
- Eliminate Funding for English Language Learners Program
- Support Program Director Position for the Alternative Route to Certification Program with Student Tuition and Fees
- Eliminate Funding for International Initiatives

### Reallocations or Transfers

- Reallocate Funding and Staff for Business Functions from the Board of Regents  
*Funding for six positions is transferred from the Board of Regents to the Office of Higher Education.*
- Establish the Governor's Scholarship Program

### Revenues

- Establish Program Approval Fees for Licensure and Accreditation

### Technical Adjustments

- Revise GAAP Accrual Amounts

### FY 2014

### FY 2015

-1,007,779	-2,387,329
-8,304	-17,445
-1,328,781	-1,328,781
-455,101	-455,101
-3,989,579	-3,989,579
-661,069	0
-95,000	-95,000
-85,892	-92,840
-66,500	-66,500
483,795	498,308
0	0
250,000	250,000
25,240	2,674

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total Authorized	Change From FY 2013	Total Recommended	Change From FY 2014	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	16	5	21	0	21
Federal and Other Activities	13	0	13	0	13
<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	1,128,146	1,123,072	1,598,563	1,183,787	1,664,650
Other Expenses	112,537	112,566	106,911	116,129	106,911
<u>Capital Outlay</u>					
Equipment	1	1	1	1	1
<u>Other Current Expenses</u>					
Minority Advancement Program	2,176,817	2,179,028	1,517,959	2,181,737	2,181,737
Alternate Route to Certification	100,000	104,876	0	111,824	0
National Service Act	0	331,707	315,289	341,628	325,210
International Initiatives	66,500	66,500	0	66,500	0
Minority Teacher Incentive Program	471,374	471,374	447,806	471,374	447,806
English Language Learner Scholarship	100,000	100,000	0	100,000	0
TOTAL - Other Current Expenses	2,914,691	3,253,485	2,281,054	3,273,063	2,954,753
<u>Pmts to Other than Local Govts</u>					
Capitol Scholarship Program	4,722,351	4,824,826	0*	4,964,746	0*
Awards Children Deceased/Disabled Vets	4,000	4,000	3,800	4,000	3,800
CT Independent College Student Grant	16,158,319	16,508,955	0*	16,987,715	0*
CT Aid for Public College Students	25,500,000	26,053,350	0*	26,808,897	0*
Connecticut Aid to Charter Oak	59,393	60,682	0*	62,442	0*
Governor's Scholarship	0	0	40,736,398	0	40,736,398
TOTAL - Pmts to Other than Local Govts	46,444,063	47,451,813	40,740,198	48,827,800	40,740,198
<u>Nonfunctional - Change to Accruals</u>					
TOTAL - General Fund	50,599,438	51,945,707	44,756,737	53,408,995	45,477,402
<u>Additional Funds Available</u>					
Federal and Other Activities	3,043,092	3,078,025	3,078,025	3,045,165	3,045,165
Private Funds	1,008,500	1,015,000	1,015,000	1,025,000	1,025,000
TOTAL - All Funds Net	54,651,030	56,038,732	48,849,762	57,479,160	49,547,567

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.



# UNIVERSITY OF CONNECTICUT

[www.uconn.edu](http://www.uconn.edu)

## AGENCY PURPOSE

- Founded in 1881, the University of Connecticut serves as the flagship for public higher education and the primary doctoral degree granting public institution in the state, and is dedicated to excellence demonstrated through national and international recognition.
- Through freedom of academic inquiry and expression, creates and disseminates knowledge by means of scholarly and creative achievements, graduate and professional education, and outreach.
- Through a focus on teaching and learning, helps every student grow intellectually and become a contributing member of the state, national, and world communities.
- Through research, teaching, service, outreach, and public engagement, embraces diversity and cultivates leadership, integrity, and engaged citizenship in the University's students, faculty, staff, and alumni.
- As the state's flagship public university, and as a land and sea grant institution, promotes the health and well being of Connecticut's citizens through enhancing the social, economic, cultural, and natural environments of the state and beyond.

## RECENT HIGHLIGHTS

### RANKING

For the 14th consecutive year, the University of Connecticut was named the top public university in New England in *U.S. News & World Report: America's Best Colleges*. The 2013 report ranked UConn 21<sup>st</sup> among 173 public American universities with national reputations. *Kiplinger's Personal Finance* ranked UConn in the top 30 best value public colleges nationally.

The university was elected to membership in Universitas 21, an international network of leading research-intensive universities in 16 countries. UConn is only the second U.S. university invited into the network, which comprises some of the world's major institutions of higher education.

### FRESHMEN ENROLLMENT

In Fall 2012, 30,256 students were enrolled in degree credit programs at the Storrs campus, regional campuses (Avery Point, Stamford, Torrington, West Hartford, and Waterbury), School of Law and Graduate Business Learning Center in Hartford, School of Social Work in West Hartford, and Schools of Medicine and Dental Medicine and graduate programs at the Health Center in Farmington.

Freshmen applications to UConn have risen dramatically, from 10,809 for Fall 1995 to 31,363 for Fall 2012. Over 4,400 new freshmen and 1,150 new transfers joined the UConn community in Fall 2012. At all of UConn's campuses, 77 percent of the new freshmen were Connecticut residents, and 30 percent were from minority groups.

### STUDENT RETENTION

Student retention continued to be remarkably high, with 93% of all freshmen and 91% of minority freshman at Storrs remaining for their sophomore year. Six-year graduation rates at Storrs ranked UConn 12<sup>th</sup> among 58 public research universities for all freshmen

(with a rate of 82%) and 21<sup>st</sup> out of 58 for minority freshmen (a rate of 77%).

### DEGREES CONFERRED

Approximately 7,800 degrees were conferred in FY 2012 for completions of undergraduate, graduate, and professional programs at the Storrs, regional and health center campuses. Since its founding in 1881, the university has conferred 264,429 degrees.

### RESEARCH

UConn research, training and public service grants totaled approximately \$204 million in FY 2012 from federal, state and other external funding sources. There are more than 85 active centers and institutes involved in research, outreach and graduate education across all University campuses. A small sampling of the centers includes the following: Biotechnology/Bioservices Center, Center for Environmental Sciences and Engineering, Center for Health, Intervention and Prevention, Center for Land Use Education and Research, Center for Public Health and Health Policy, Center for Regenerative Biology, Connecticut Center for Economic Analysis, Connecticut Center for Entrepreneurship and Innovation, Connecticut Global Fuel Cell Center, Connecticut Information Technology Institute, Connecticut Sea Grant College Program, Institute of Materials Science, Marine Sciences and Technology Center, Neag Center for Gifted Education and Talent Development, Northeast Underwater Research Technology and Education Center, and Roper Center for Public Opinion Research.

The University's research contributes to the economic development of Connecticut through a variety of state-supported initiatives, including Bioscience Connecticut and the planning of a Technology Park for university-industry partnerships for research and innovations, technology commercialization and job growth, and advances in health care and health care access.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

	<u>FY 2014</u>	<u>FY 2015</u>
• Annualize FY 2013 Rescissions	-10,261,597	-10,261,597
• Close the Kirklyn M. Kerr Veterinary Education Program <i>The program will be closed to new enrollees. For existing cohorts, the program was pre-paid, thus no current program participants will lose benefits.</i>	-400,000	-400,000
• Reduce Support for the Center for Advanced Technology	-225,000	-225,000

### Reallocations or Transfers

• Reallocate Fringe Benefits for the Higher Education Units	97,180,289	107,888,123
• Streamline Agency Account Structure <i>Funding for Tuition Freeze, Regional Campus Enhancement, Veterinary Diagnostic Laboratory, CommPACT Schools, and the Connecticut Center for Advanced Technology has been consolidated into the Operating Expenses account.</i>	0	0

### New or Expanded Services

	<u>FY 2014</u>	<u>FY 2015</u>	<u>FY 2016</u>
• Provide Funding for Next Generation Connecticut <i>From FY 2015 through FY 2024, this plan will hire 259 new faculty (200 will be in science, technology, engineering and math) and enroll 6,580 additional undergraduates.</i>	0	17,400,000	33,800,000

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total Authorized	Change From FY 2013	Total Recommended	Change From FY 2014	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	2,931	-584	2,347	66	2,413
University of Connecticut Operating Fund	2,177	0	2,177	0	2,177
UConn Research Foundation	440	0	440	0	440
<u>Other Positions Equated to Fulltime</u>					
University of Connecticut Operating Fund	880	0	880	0	880
UConn Research Foundation	398	0	398	0	398
<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
<u>Other Current Expenses</u>					
Operating Expenses	192,336,268	200,806,874	300,722,839	212,454,908	340,862,102
Tuition Freeze	4,267,696	4,267,696	0*	4,267,696	0*
Regional Campus Enhancement	7,538,003	7,864,577	0*	8,247,972	0*
Veterinary Diagnostic Laboratory	90,000	90,000	0*	90,000	0*
CommPACT Schools	500,000	500,000	0*	500,000	0*
Connecticut Center for Advanced Technology	500,000	500,000	0*	500,000	0*
TOTAL - Other Current Expenses	205,231,967	214,029,147	300,722,839	226,060,576	340,862,102
<u>Pmts to Other than Local Govts</u>					
Kirklyn M Kerr Grant Program	400,000	400,000	0	400,000	0
TOTAL - General Fund	205,631,967	214,429,147	300,722,839	226,460,576	340,862,102
<u>Additional Funds Available</u>					
University of Connecticut Operating Fd	716,276,918	757,461,456	757,461,456	793,792,081	793,792,081
UConn Research Foundation	33,785,000	33,358,107	33,358,107	33,636,457	33,636,457
Federal and Other Activities	99,255,489	99,040,580	99,040,580	98,815,580	98,815,580
TOTAL - All Funds Net	1,054,949,374	1,104,289,290	1,190,582,982	1,152,704,694	1,267,106,220

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

# UNIVERSITY OF CONNECTICUT HEALTH CENTER



## AGENCY PURPOSE

- To educate individuals pursuing careers in medical and dental health care and education, public health, biomedical, and behavioral sciences and to help health care professionals maintain their proficiency through continuing education programs.
- To advance knowledge through basic science, biomedical, clinical, behavioral and social research.
- To deliver health care services effectively and efficiently, applying the latest advances in research.
- To deliver health care and wellness services to underserved citizens.
- To further Connecticut's economic development by transferring its research discoveries into new technologies, products and jobs.

## RECENT HIGHLIGHTS

- The Health Center used a \$3.8 million philanthropic gift to create an integrated imaging and treatment suite that enhances patient care, particularly in cancer and cardiology.
- A new patient navigator program is now available for patients with cancer, and their families, at the Health Center. The navigator helps connect patients and families with resources they need – from help finding support groups to medical appointments – throughout their cancer experience.
- The UConn chapter of the American Medical Student Association (AMSA) was awarded a prestigious 2009 Paul R. Wright Award for Excellence in Medical Education. It is the first time UConn medical students have received this award, which was presented at the AMSA's annual convention. The award recognizes a medical school, chosen by the nations' medical students, whose exemplary achievements in medical education foster the development of socially responsive physicians.

## RECOMMENDED SIGNIFICANT CHANGES

	<b>FY 2014</b>	<b>FY 2015</b>
<b>Reductions to Current Services</b>		
• Annualize FY 2013 Rescissions	-25,285	-25,285
• Annualize FY 2013 Deficit Mitigation Plan	-4,121,609	-4,121,609
• Reduce Operating Fund Support	-1,486,437	-1,486,437
• Modify the Fire Department Operations <i>Reduce overtime costs by: billing municipalities for paramedic services, recouping neo-natal transport costs, or increasing support from local fire departments.</i>	-420,391	-420,391
<b>Within Current Services</b>		
• Provide Operating Support for Bioscience Initiative <i>Funding for 19 clinical scientist faculty and researchers is provided in FY 2014 and an additional 18 faculty and researchers in FY 2015.</i>	11,900,724	16,765,958
<b>Reallocations or Transfers</b>		
• Reallocate Fringe Benefits for the Higher Education Units	71,566,732	74,607,351
• Streamline Agency Account Structure <i>Funding for Area Health Education Centers (AHEC) has been consolidated into the Operating Expenses account.</i>	0	0
<b>Technical Adjustments</b>		
• Revise GAAP Accrual Amounts	-312,171	-26,014

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total	Change From	Total	Change From	Total
	Authorized	FY 2013	Recommended	FY 2014	Recommended
<b><u>Permanent Full-Time Positions</u></b>					
General Fund	1,661	19	1,680	18	1,698
University of Connecticut Health Center Operating Fund	1,227	17	1,244	20	1,264
UConn Health Center Research	615	16	631	22	653
UConn Health Center Clinical Programs	1,428	45	1,473	48	1,521

<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
<u>Other Current Expenses</u>					
Operating Expenses	112,160,920	128,603,891	194,622,608	138,957,234	208,016,570
AHEC for Bridgeport	505,707	505,707	0*	505,707	0*
TOTAL - Other Current Expenses	112,666,627	129,109,598	194,622,608	139,462,941	208,016,570
<u>Nonfunctional - Change to Accruals</u>	0	1,328,017	1,015,846	1,129,447	1,103,433
TOTAL - General Fund	112,666,627	130,437,615	195,638,454	140,592,388	209,120,003
<u>Additional Funds Available</u>					
UConn Hlth Cntr Operating Fund	305,783,001	318,219,284	318,219,284	332,832,756	332,832,756
UConn Health Center Research	94,638,485	88,779,000	88,779,000	91,570,000	91,570,000
UConn Health Center Clinical Programs	260,393,551	315,103,000	315,103,000	331,445,000	331,445,000
TOTAL - All Funds Net	773,481,664	852,538,899	917,739,738	896,440,144	964,967,759

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.



# TEACHERS' RETIREMENT BOARD

[www.ct.gov/trb](http://www.ct.gov/trb)

## AGENCY PURPOSE

To administer a retirement program that provides retirement, disability and survivorship benefits for Connecticut public school educators and their survivors and beneficiaries; to sponsor a Medicare supplement health insurance programs to retired members and eligible dependents; to provide a health insurance subsidy to retired members and their eligible dependents who participate in the health insurance through the last employing board of education; and to provide a retirement savings vehicle in the form of the voluntary account.

The 14 member Board of Directors delegates the management and administration of the retirement system to the administrator. The

administrator and staff perform all agency activities including determining eligibility for benefits, paying benefits, collecting revenue and maintaining members' accounts. Members apply for various benefits such as retirement, disability, survivorship, health insurance and the purchase of various forms of membership and service credit. Staff determine the eligibility for and the amount of the benefit and initiate the benefit payment. Staff also determine the cost of membership and service credit, bill members for the cost of the credit, and collect and credit payment. The agency maintains a website ([www.ct.gov/trb](http://www.ct.gov/trb)) which includes a description of all its services and benefits along with the necessary forms.

## RECENT HIGHLIGHTS

As of June 30, 2012 there were 52,913 active members, 32,726 retired members, 265 disabled members and 13,745 inactive members. During FY 2012 1,584 members retired with an average age of 62, an average length of service of 30.41 years and an average

salary base of \$85,839; the average annual retirement benefits was \$49,694. The agency has 22 full-time staff members with operating and personal services costs for FY 2012 at approximately \$20 per member and beneficiary per year.

## RECOMMENDED SIGNIFICANT CHANGES

	<b>FY 2014</b>	<b>FY 2015</b>
<b>Reductions to Current Services</b>		
• Remove or Limit Inflation	-13,767	-18,797
• Annualize FY 2013 Rescissions	-31,719	-32,639
• Eliminate the State's Share of Retiree Health Costs and Municipal Retiree Health Subsidy <i>The retiree share of the TRB sponsored health plan will remain at one-third of the monthly premium. The TRB Health Fund will subsidize two-thirds of the monthly premium and 100% of the municipal health subsidy.</i>	-32,479,160	-38,214,160
• Reduce Personal Services	-85,687	-89,872
• Reduce Claims Audit Expense	-32,407	-33,347
<b>Reallocations or Transfers</b>		
• Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-6,965	-6,965
<b>Technical Adjustments</b>		
• Revise GAAP Accrual Amounts	-4,667	-489

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total	Change From	Total	Change From	Total
	Authorized	FY 2013	Recommended	FY 2014	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	27	0	27	0	27
<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	1,435,749	1,713,758	1,628,071	1,797,442	1,707,570
Other Expenses	634,381	648,148	563,290	666,945	575,197
<u>Capital Outlay</u>					
Equipment	1	1	1	1	1
Teachers' Retirement Board		B - 110			Education

Pmts to Other than Local Govts

Retirement Contributions	787,536,000	948,540,000	948,540,000	984,110,000	984,110,000
Retirees Health Service Cost	16,374,940	25,216,000	0	30,951,000	0
Municipal Retiree Health Insurance Costs	5,915,610	7,263,160	0	7,263,160	0
TOTAL - Pmts to Other than Local Govts	809,826,550	981,019,160	948,540,000	1,022,324,160	984,110,000
<u>Nonfunctional - Change to Accruals</u>	0	18,705	14,038	10,955	10,466
TOTAL - General Fund	811,896,681	983,399,772	950,745,400	1,024,799,503	986,403,234



# BOARD OF REGENTS FOR HIGHER EDUCATION

[www.ctregents.org](http://www.ctregents.org)

## AGENCY PURPOSE

- Established in 2011, through a re-organization, the Board of Regents for Higher Education (BOR) is the governing body for the 17 Connecticut State Colleges and Universities' institutions across the state -- twelve community colleges, four Connecticut State Universities, and Charter Oak State College.
- The board also holds broad responsibilities for development and coordination of statewide higher education policy.
- The board sets statewide tuition and student fee policies; establishes financial aid policies; reviews and recommends any new academic programs and furthers the higher educational interests of the state.

## RECENT HIGHLIGHTS

### ENROLLMENT

In fall 2012, over 95,000 students were enrolled in credit-bearing academic programs in the 17 ConnSCU institutions, representing just under half of all enrolled students in the state.

### DIVERSITY

BOR institutions are committed to racial and economic diversity, with almost a third (32.8 percent) of all students from underrepresented races/ethnic groups. Just over a third (34.8 percent) of students in the community colleges and almost a quarter (23.8 percent) of those in the Connecticut State Universities qualify for Pell grants, federal aid that goes to students with the greatest financial need.

### FOCUS ON CONNECTICUT

Over 95 percent of students attending BOR institutions are from the state of Connecticut, and the overwhelming majority stay in the state following graduation. The Connecticut State Universities remain the primary destination of graduates from the state's community colleges, with 50 percent of incoming transfer students to CSUs coming from community colleges.

### DEGREES AND CERTIFICATES CONFERRED

A record 14,879 degrees and certificates were conferred in FY 2012 for completions of undergraduate and graduate programs at the 17 institutions.

## RECOMMENDED SIGNIFICANT CHANGES

	<b><u>FY 2014</u></b>	<b><u>FY 2015</u></b>
<b><i>Reductions to Current Services</i></b>		
• Remove or Limit Inflation	-42,203	-57,624
• Remove Funding for Salary Increases for Appointed Officials	-30,457	-63,987
• Partial Annualization of FY 2013 Rescissions	-5,874,346	-5,874,346
<b><i>Reallocations or Transfers</i></b>		
• Reallocate Fringe Benefits for the Higher Education Units	168,769,164	177,644,587
• Transfer Funding and Position to the Office of Higher Education for Business Office Functions <i>Funding for six positions is transferred from the Board of Regents to the Office of Higher Education.</i>	-483,795	-498,308
• Transfer CT Charts a Course Staff to the Office of Early Education <i>Funding and associated expenses for five positions are transferred.</i>	-473,657	-486,499
<b><i>Technical Adjustments</i></b>		
• Revise GAAP Accrual Amounts	-333,943	-430

## AGENCY SUMMARY

<b><i>Personnel Summary</i></b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total Authorized	Change From FY 2013	Total Recommended	Change From FY 2014	Total Recommended
<b><i>Permanent Full-Time Positions</i></b>					
General Fund	4,528	5	4,533	15	4,548
State University Operating/Tuition Fund	1,015	0	1,015	0	1,015
Community Technical College Operating and Tuition Fund	241	0	241	0	241
<b><i>Other Positions Equated to Fulltime</i></b>					
General Fund	6	-6	0	0	0
Community Technical College Operating and Tuition Fund	380	0	380	0	380

<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
<u>Other Current Expenses</u>					
National Service Act	328,365	0	0	0	0
Charter Oak State College	2,456,083	2,500,297	3,287,900	2,598,655	3,434,213
Regional Community - Technical Colleges	143,196,097	147,261,197	227,795,428	154,445,043	239,361,020
Connecticut State University	141,194,660	143,601,924	224,123,979	150,542,999	235,451,031
Board of Regents for Higher Education	1,274,581	1,300,998	1,321,815	1,354,865	1,359,121
TOTAL - Other Current Expenses	288,449,786	294,664,416	456,529,122	308,941,562	479,605,385
<u>Nonfunctional - Change to Accruals</u>	0	781,566	447,623	979,751	979,321
TOTAL - General Fund	288,449,786	295,445,982	456,976,745	309,921,313	480,584,706
<u>Additional Funds Available</u>					
State University Operating/Tuition Fd	495,285,788	149,991,812	149,991,812	157,619,936	157,619,936
Community Tech College Operating Fund	212,351,028	216,561,035	216,561,035	225,614,728	225,614,728
Federal and Other Activities	7,990,675	8,650,982	8,650,982	9,486,080	9,486,080
Special Funds, Non-Appropriated	46,261,994	44,052,698	44,052,698	43,616,351	43,616,351
Private Funds	9,734,873	10,669,063	10,669,063	11,107,769	11,107,769
TOTAL - All Funds Net	1,060,074,144	725,371,572	886,902,335	757,366,177	928,029,570



# DEPARTMENT OF CORRECTION

[www.doc.state.ct.us](http://www.doc.state.ct.us)

## AGENCY PURPOSE

To protect the public, protect staff, and provide safe, secure, and humane supervision of offenders with opportunities that support restitution, rehabilitation and successful community reintegration.

## RECENT HIGHLIGHTS

### COST SAVINGS EFFICIENCIES

As a result of the success of its efforts to effectively manage the inmate population, which resulted in a ten year low in the inmate population, the agency closed Webster Correctional Institution in January 2010, Gates Correctional Institutional in June 2011 and Bergin Correctional Institution in August 2011, bringing the total annual savings to state taxpayers to more than \$27 million.

### THE HUMAN RESOURCES UNIT

The Human Resources Unit was able to decrease current workers' compensation lost time cases from 207 in August 2009 to 111 in FY 2011. This was accomplished through dedicated, centralized case management and the utilization of the agency's Light Duty/Return to Work Program.

### MANAGEMENT INFORMATION (MIS) AND FISCAL SERVICES

The agency was approved for \$15 million in state bonding funds to replace its more than 30-year old computerized inmate tracking system. Once completed, the new tracking system will interface with the state's comprehensive criminal justice computer system, which will allow the instantaneous review of inmate information by law enforcement, the courts and others who are charged with public safety.

### BEST PRACTICES UNIT

The agency created a new Best Practices Unit to foster and promote evaluation-based and results-based policies and practices. Through measuring and comparing the agency's operations, programming and performance with the highest correctional standards nationwide, the unit will assist in assuring that the best outcome is being achieved.

Working in collaboration with sister agencies and in association with Mothers Against Drunk Driving (MADD), the first Driving Under the Influence (DUI)/Home Confinement Program was designed and implemented. Under the program, the DOC commissioner may allow

DUI offenders to continue to be productive members of society in the community after a thorough, facility-based, case-by-case assessment of their circumstances and need for treatment. MADD is studying the potential of utilizing the program as a national model.

### PUBLIC SAFETY

The agency began implementation of the Risk Reduction Earned Credits Program. Unlike good time provisions in the past that merely gave offenders extensive time off their sentence on the first day of incarceration, the risk reduction credits must be earned through programmatic participation and behavioral compliance. The credits dovetail with the agency Offender Accountability Plan, which individually identifies programming offenders should engage in to address their deficiencies and better prepares the offenders' successful community reintegration.

The agency opened the January Center on the grounds of the Corrigan-Radgowski Correctional Center. The high security facility will house 24 sex offenders, 12 DOC inmates nearing release and transitioning back into the community and 12 offenders who are on probation under the supervision of the Court Support Services Division of the Judicial Branch. The program fills a void in reentry and reintegration services to offenders who have been designated as sex offenders.

The agency joined with the Board of Pardons and Paroles to jointly institute the Ohio Risk Assessment Survey (ORAS) as a means of gauging the programmatic needs of the offender population as well as an inmate's suitability for community release options. This joint venture assures for the first time that an initial assessment of an offender entering the correctional system will stay with the inmate throughout the inmate's incarceration and will ultimately be utilized as a basis for any release considerations. In Connecticut, ORAS will form the basis for a new assessment model to be known as SCORES, the Statewide Collaborative Offender Risk Evaluation System.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

	<b>FY 2014</b>	<b>FY 2015</b>
• Remove or Limit Inflation	-6,593,641	-12,128,310
• Remove Funding for Salary Increases for Appointed Officials	-39,828	-83,673
• Annualize FY 2013 Rescissions	-764,011	-764,011
• Annualize FY 2013 Deficit Mitigation Plan - Eliminate Distance Learning	-95,000	-95,000
• Annualize FY 2013 Deficit Mitigation Plan - Reduce Funding for Legal Services to Prisoners	-43,530	-43,530
• Manage Attendance Policies and Reduce Overtime	-2,500,000	-2,500,000
• Convert Full-time Parole Board Members to Part-time	-121,347	-321,339

**Reallocations or Transfers**

• Centralize Affirmative Action Planning Function in CHRO <i>Funding for one position is transferred to reflect the centralization of affirmative action planning under CHRO.</i>	-57,585	-60,688
• Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-52,848	-52,848
• Streamline Agency Account Structure <i>Funding for Aid to Paroled and Discharged Inmates is merged into Other Expenses and funding for Volunteer Services is combined with Community Support Services.</i>	0	0

**Technical Adjustments**

• Revise GAAP Accrual Amounts	-1,116,766	62,432
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**AGENCY SUMMARY**

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total Authorized	Change From FY 2013	Total Recommended	Change From FY 2014	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	6,348	-1	6,347	0	6,347
Federal and Other Activities	7	0	7	0	7
Correction Industries	83	0	83	0	83
<u>Other Positions Equated to Fulltime</u>					
Federal and Other Activities	12	0	12	0	12
<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	405,722,164	431,036,984	428,453,457	445,541,244	442,926,055
Other Expenses	74,424,386	78,771,713	74,233,383	82,125,844	74,233,383
<u>Capital Outlay</u>					
Equipment	1	1	1	1	1
<u>Other Current Expenses</u>					
Stress Management	35,000	0	0	0	0
Workers' Compensation Claims	27,636,219	28,803,147	26,886,219	30,021,143	26,886,219
Inmate Medical Services	85,629,399	90,634,263	89,713,923	95,803,145	93,932,101
Board of Pardons and Paroles	5,778,325	6,196,507	6,053,114	6,534,952	6,169,502
Distance Learning	100,000	100,000	0	100,000	0
TOTAL - Other Current Expenses	119,178,943	125,733,917	122,653,256	132,459,240	126,987,822
<u>Pmts to Other than Local Govts</u>					
Aid to Paroled and Discharged Inmates	9,500	9,500	0*	9,500	0*
Legal Services to Prisoners	870,595	870,595	827,065	870,595	827,065
Volunteer Services	170,758	174,463	0*	179,522	0*
Community Support Services	40,572,949	40,775,777	40,937,998	40,775,777	40,937,998
TOTAL - Pmts to Other than Local Govts	41,623,802	41,830,335	41,765,063	41,835,394	41,765,063
<u>Nonfunctional - Change to Accruals</u>					
TOTAL - General Fund	640,949,296	681,047,291	669,662,735	704,231,310	688,244,343
<u>Additional Funds Available</u>					
Federal and Other Activities	3,512,071	3,025,000	3,025,000	3,025,000	3,025,000
Special Funds, Non-Appropriated	43,371	0	0	0	0
Private Funds	281,995	216,000	216,000	216,000	216,000
TOTAL - All Funds Net	644,786,733	684,288,291	672,903,735	707,472,310	691,485,343

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.



# DEPARTMENT OF CHILDREN AND FAMILIES

[www.ct.gov/dcf](http://www.ct.gov/dcf)

## AGENCY PURPOSE

The department is empowered under Section 17a-3 of the Connecticut General Statutes as a comprehensive, consolidated agency serving children and families. Its mandates include child protective and family services, juvenile justice services, behavioral health services, prevention and educational services.

The department promotes children's safety, health and learning by:

- Utilizing family-centered policy, practice and programs, with an emphasis on strengths rather than deficits;
- Applying the science of brain development in early childhood and adolescence;

- Advancing trauma-informed practice to assist clients that have experienced significant adversity in their lives;
- Partnering with the community and strengthening interagency collaborations at the state level;
- Expanding agency leadership and management capacity, and public accountability for results; and
- Building a learning organization.

## RECENT HIGHLIGHTS

- Successfully implemented fundamental practice reforms, including:
  - Workers conduct announced visits whenever possible, consistent with child safety;
  - Limiting use of congregate care settings, especially for young children;
  - Out-of-state placements must be approved by the commissioner; and
  - Placements of children with relatives have increased.
- Adopted the strengthening families practice model, a strengths-based, family-focused service model developed by the Center for the Support of Families. Core elements of Connecticut's program include family-centered practice, purposeful visits, family assessment, and child and family teaming.
 

Families and staff report that the agency's non-adversarial, strength-based approach builds stronger relationships and better outcomes without compromising safety.
- Implemented the differential response system (DRS) in March 2012. DRS serves as a family-assessment rather than investigative gateway to child welfare services for referred families whose child safety risk level is low. Six community partner agencies support children and families and connect them to an array of resources designed to promote their safety and well-being.
- Achieved substantially improved outcomes in the eleven month period ending December 1, 2012:
  - 14 percent reduction in children in care;
  - 78 percent fewer out-of-state placements;
  - 20 percent reduction in children in congregate care:
    - 82 percent fewer, age 6 or under,
    - 64 percent fewer, ages 7 through 12;
  - 33 percent increase in children in a relative home;
  - 33 percent more children in a kinship home; and
  - 13 percent increase in children in family care.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

	<u>FY 2014</u>	<u>FY 2015</u>
• Remove or Limit Inflation	-3,569,967	-7,074,503
• Remove Funding for Salary Increases for Appointed Officials	-31,518	-66,215
• Annualize FY 2013 Rescissions	-2,116,696	-2,116,696
• Annualize FY 2013 Deficit Mitigation Plan - Reduce Capacity of Therapeutic Group Homes <i>Funding is removed for four therapeutic group homes as more children are being served in their communities.</i>	-4,071,467	-4,071,467
• Annualize FY 2013 Deficit Mitigation Plan - Enhance Service Utilization Management <i>Achieve savings by enhanced regional service utilization management for the procurement of individualized services for children and families.</i>	-1,927,203	-1,917,260
• Annualize FY 2013 Deficit Mitigation Plan - Credential Transportation Services <i>Ensure children's safety and achieve cost containment by credentialing transportation providers.</i>	-809,298	-809,298
• Eliminate Private Residential Treatment Rate Increases <i>Funding is removed to reflect suspension of rate adjustments usually determined by single cost accounting for residential treatment facilities and elimination of inflationary increases for out-of-state rates.</i>	-4,667,773	-7,609,593

• Adjust Staffing to Reflect Reduced Caseloads <i>Funding for thirty vacant positions is removed in recognition of reduced agency caseloads as a result of differential response system implementation.</i>	-2,033,010	-2,033,010
• Establish Internal Audit Function <i>Achieve savings by developing an internal audit capacity to achieve efficiencies in operating expenses.</i>	-1,131,546	-1,153,652
• Achieve Savings from Consolidating Food Service Workers with DMHAS <i>Achieve personnel cost savings by consolidating ten Solnit Center - South Campus food service workers under the Department of Mental Health and Addiction Services' Connecticut Valley Hospital.</i>	-300,000	-300,000
• Transfer Case Management for DDS Eligible Clients <i>Funding associated with four positions is removed to reflect assumption of case management responsibilities for eligible clients by DDS.</i>	-260,642	-531,710
• Eliminate Support for Neighborhood Centers <b>Reallocations or Transfers</b>	-250,414	-250,414
• Consolidate Affirmative Action Planning Function <i>Funding for one position is transferred to reflect the centralization of affirmative action planning under CHRO.</i>	-84,265	-89,242
• Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-57,353	-57,353
• Streamline Agency Account Structure <i>In order to reduce the number of accounts and provide the agency with more flexibility in executing programs, various accounts have been consolidated. (A) Personnel costs have been transferred from Local Systems of Care into Personal Services; grant dollars have been consolidated into Community KidCare. (B) Short Term Residential Treatment has been consolidated into Board and Care for Children - Residential. (C) Emergency Needs has been consolidated into Individualized Family Supports. (D) Psychiatric Clinics for Children and Extended Day Treatment have been consolidated into Community KidCare. (E) Health Assessment and Consultation has been consolidated into Board and Care - Adoption &amp; Foster. (F) Family Support Services has been consolidated into various accounts according to service type. (G) No Nexus Special Education has been consolidated into Board and Care for Children - Residential. (H) Substance Abuse Screening has been consolidated into Substance Abuse Services. (I) Family Preservation has been consolidated into Child Welfare Support Services. (J) Board and Care for Children - Adoption and Board and Care for Children - Foster have been combined into a new Board and Care - Adoption &amp; Foster account. (K) Covenant to Care has been consolidated into Board and Care - Adoption &amp; Foster. (L) Family Violence Outreach and Counseling has been consolidated into Support for Recovering Families.</i>	0	0
<b>Revenues</b>		
• Maximize Revenue for Services to Children Over the Age of 18 <i>Revenues are estimated at \$7.0 million annually in response to initiating claiming of federal reimbursement for services to young adults.</i>	0	0
<b>Technical Adjustments</b>		
• Revise GAAP Accrual Amounts	-362,931	-19,244

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total	Change From	Total	Change From	Total
	Authorized	FY 2013	Recommended	FY 2014	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	3,247	-36	3,211	-4	3,207
Federal and Other Activities	19	0	19	0	19

Budget Summary

<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	255,094,477	269,459,178	267,388,888	283,061,041	280,732,189
Other Expenses	35,369,572	37,038,069	35,295,292	38,396,224	35,295,292
<u>Capital Outlay</u>					
Equipment	1	1	1	1	1
<u>Other Current Expenses</u>					
Short Term Residential Treatment	716,712	720,260	0*	720,260	0*
Substance Abuse Screening	1,754,417	1,762,897	0*	1,762,897	0*
Workers' Compensation Claims	10,322,750	11,743,472	11,247,553	12,255,558	11,247,553
Local Systems of Care	2,106,261	1,981,113	0*	2,045,456	0*
Family Support Services	13,521,487	13,564,463	0*	13,564,463	0*
Emergency Needs	1,500,000	1,528,928	0*	1,568,235	0*
Differential Response System	9,250,000	9,250,000	8,346,386	9,250,000	8,346,386
TOTAL - Other Current Expenses	39,171,627	40,551,133	19,593,939	41,166,869	19,593,939
<u>Pmts to Other than Local Govts</u>					
Health Assessment and Consultation	970,471	975,447	0*	975,713	0*
Psychiatric Clinics for Children	14,191,575	14,261,295	0*	14,261,295	0*
Extended Day Treatment	5,524,198	5,550,639	0*	5,550,639	0*
Juvenile Justice Services	13,426,966	13,693,856	12,841,172	13,705,708	12,841,172
Child Abuse and Neglect Intervention	5,406,288	5,432,784	8,250,045	5,432,784	8,250,045
Prevention Services	4,872,641	4,898,664	6,945,515	4,905,175	6,945,515
Family Violence Outreach and Counseling	1,754,906	1,758,369	0*	1,758,369	0*
Support for Recovering Families	16,842,319	16,910,822	17,215,747	16,910,822	17,215,747
No Nexus Special Education	7,421,437	5,667,779	0*	5,978,176	0*
Family Preservation Services	5,412,453	5,439,380	0*	5,439,380	0*
Substance Abuse Services	4,245,454	4,266,029	9,491,729	4,268,998	9,491,729
Child Welfare Support Services	3,236,915	3,253,186	8,237,150	3,253,876	8,237,150
Board and Care for Children - Adoption	89,641,649	91,119,841	0*	92,955,828	0*
Board and Care for Children - Foster	113,299,761	115,657,776	0*	116,604,847	0*
Board and Care - Residential	177,009,783	149,396,071	147,816,271	152,882,259	148,589,740
Individualized Family Supports	14,870,781	13,325,005	12,175,293	13,659,875	12,175,293
Community KidCare	23,675,730	23,813,693	53,469,807	23,867,166	53,469,807
Covenant to Care	167,353	168,181	0*	168,181	0*
Neighborhood Center	262,272	253,520	0	253,520	0
Board and Care - Adoption & Foster	0	0	202,858,717	0	204,538,714
TOTAL - Pmts to Other than Local Govts	502,232,952	475,842,337	479,301,446	482,832,611	481,754,912
<u>Nonfunctional - Change to Accruals</u>	0	1,648,090	1,285,159	1,682,138	1,662,894
TOTAL - General Fund	831,868,629	824,538,808	802,864,725	847,138,884	819,039,227
<u>Additional Funds Available</u>					
Federal and Other Activities	14,095,297	13,576,920	13,576,920	12,297,957	12,297,957
Private Funds	63,500	63,500	63,500	63,500	63,500
TOTAL - All Funds Net	846,027,426	838,179,228	816,505,145	859,500,341	831,400,684

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.



# JUDICIAL DEPARTMENT

[www.iud.ct.gov](http://www.iud.ct.gov)

## AGENCY PURPOSE

- To operate a fair, efficient and open court system responsible for adjudicating all state criminal, civil, family and administrative cases.
- To maintain secure and safe conditions in courthouses and other Judicial Department facilities.
- To create and sustain a full range of alternatives to incarceration and evidence-based services for adult and juvenile populations.
- To advocate for victims of violent crime and to ensure that they are provided with services and financial compensation.
- To effectively resolve family and interpersonal conflicts in cases before the court through a comprehensive program of negotiation, mediation, evaluation and education.
- To provide safe and secure custody, treatment and rehabilitative services for children and families through the juvenile justice system.
- To assist parents by enforcing, reviewing and adjusting child support orders.
- To reduce recidivism of persons placed on probation by utilizing effective supervision practices and intervention strategies that promote positive behavior change and restorative justice principles with persons placed on probation.

## RECENT HIGHLIGHTS

### REPLACEMENT OF CIVIL/FAMILY CASE SYSTEM

As part of the continuing effort to move the Judicial Department from its historic framework of paper files to an electronic environment, a significant milestone was reached when the entire civil/family case system was migrated from a 40-year-old mainframe to a state of the art civil/family case management and e-filing system in June 2012. This modern, innovative application, which was developed in-house, has allowed numerous improvements to the civil/family case process to be made over the past year. Expedited processing of new cases has made it possible for information about newly filed cases to be accessed on the Internet on the same day the case was filed. Restrictive barriers on the number of parties involved in a case and the number of motions and orders that can be accommodated were eliminated. Case updates are made in real-time and are available for on-line viewing immediately by the public, attorneys and parties. In civil cases, *Order and Memorandum of Decision* documents and notices sent by the court (over 600,000 documents and 1.5 million notices) have been made available on the Internet.

### ELECTRONIC PROCESSING OF TICKETS

The Judicial Department has implemented an e-citation system, which allows traffic tickets and summons to be produced and processed electronically. This system eliminates the need to manually enter data into the criminal/motor vehicle computer system, resulting in greater efficiencies and improved accuracy. The average ticket issue-to-entry time for electronic tickets has been reduced from 32.4 days to 7.5 days. As of October 2012, this initiative covers 20 local police departments and over 100 state police cruisers. Federal funding has been secured to allow

expansion to additional departments in the coming year. The e-citation system was awarded a national "Best Practices Award" by the Association of Transportation Safety Professionals.

In addition, the Centralized Infractions Bureau (CIB) e-pay website, which allows the payment of infraction tickets on-line, has been upgraded to accept not guilty pleas and to process multiple infraction tickets with one transaction. Currently, CIB e-pay is averaging 290 payments per week.

### IMPROVED ACCESS TO COURTROOM PROCEEDINGS

The agency has improved and expanded access to courtroom proceedings by utilizing the For the Record (FTR) system. FTR equipment has been installed in 75% of the Judicial Department's 270 courtrooms and hearing rooms - significant progress towards the goal of equipping all locations with the necessary hardware. To provide access to FTR recordings, an internet portal was established for justices, judges, court monitors and clerks to access digitally recorded courtroom proceedings. A similar portal was set up for use by Executive Branch staff who may need FTR access in the future. This initiative will result in greater efficiencies and cost-savings for the Judicial Department and many others.

In the juvenile realm, as of September 2012, all Juvenile Matters dockets, both child protection and delinquency, are available to attorneys and authorized users through Judicial Department E-Services. A juris number, password and agreement to adhere to specific confidentiality terms are required to obtain access. This application averages about 12,000 website visitors per month.

## **ADDRESSING DOMESTIC VIOLENCE**

Three recent initiatives strengthen the Judicial Department's response to domestic violence, thereby enhancing victim safety:

The alert notification/GPS victim notification program was established as a pilot program in three court locations (Bridgeport, Danielson and Hartford). The goal of the pilot, which was funded by a federal grant, was to enhance the surveillance of high risk family violence offenders in Connecticut. During the operation of the pilot, 172 defendants were ordered by a judge to participate in the alert notification/GPS program. This included 24/7 monitoring of the defendant, increased case management services, and enhanced responses to violations of court-ordered conditions. Pilot results demonstrate an increase in victim safety as a result of the initiative. Although the federal funding for the program expired in the spring of 2011, based on its success, funding to continue the pilot program for another year was provided in the FY 2013 state budget.

The Judicial Department, in consultation with two national experts in domestic violence, and in collaboration with the Connecticut Coalition Against Domestic Violence, will expand the risk assessment process to include lethality screening. The purpose of this initiative is to incorporate the five most predictive lethality factors in the assessment process and alert the court of this potential danger. The initiative will be piloted in New Haven and New London.

Domestic violence units have been established in every Adult Probation Office. The probation officers assigned to these units are specially trained and work very closely with the courts that have domestic violence dockets. These officers also work closely with victim advocates and rely on the Judicial Department's contracted domestic violence treatment programs.

## **EXPANSION OF THE VOLUNTEER ATTORNEY PROGRAM**

To address the needs of the increasing number of parties without attorneys, the Judicial Department has established a volunteer attorney program in five court locations to provide assistance and legal advice to self-represented parties in family and foreclosure cases. Recognizing the importance of this effort, the Attorney General's Office has recommended that funds from the National Foreclosure Settlement be allocated to the Judicial Department to purchase courthouse equipment and to hire a statewide coordinator to oversee the expansion of the volunteer attorney program in foreclosure matters.

## **IMPLEMENTATION OF "RAISE THE AGE"**

The "Raise the Age" initiative to transfer jurisdiction of 16 and 17 year-olds charged with a crime to the juvenile justice system was completed when jurisdiction over 17 year-olds was transferred to Juvenile Court on July 1, 2012. The

comprehensive planning effort that the Judicial Department engaged in over several years has allowed this expansion of jurisdiction to be implemented smoothly.

Since implementation, cases for 17 year-olds account for 25% of all juvenile delinquency cases filed and have increased the docket by 33%. Funding provided by the state budget has allowed for the hiring of additional juvenile probation officers, detention officers and clinical coordinators, as well as the expansion of community based services, which has allowed for the effective treatment and management of the expanded juvenile population.

## **RECIDIVISM REDUCTION**

In 2009, when the Court Support Services Division implemented Results-Based Accountability (RBA) principles, risk reduction performance goals were set for each operating unit. Primary among these goals was a reduction in re-arrest rates for those involved with CSSD Adult, Juvenile and Family Services. Since 2010, the 24-month adult probationer re-arrest rate has dropped by two percentage points, and the 24-month juvenile probationer re-arrest rate has dropped by three percentage points. CSSD contractors are also held to these performance goals, and the Alternative in the Community (AIC) program re-arrest rate in the twelve months following services have dropped by two percentage points since 2010.

## **VIDEO-CONFERENCING FOR BAIL INTERVIEWS**

In 2011 the Court Support Services Division's Bail Services unit implemented a pilot video conferencing project in the Enfield Police Department whereby bail staff used video-conferencing equipment to interview individuals held on bond at the station. The purpose of the program was to make bail determinations more quickly and efficiently by eliminating the hours of travel time that are involved in travel to multiple police stations. This benefits both the individuals being held and the police departments holding them. Based upon the success of the Enfield pilot program, legislation was passed in 2012 to enable expansion to other locations. The program has been expanded to the Manchester and West Haven police departments, as well as a number of Department of Correction facilities.

## **CONNECTICUT STATEWIDE AUTOMATED VICTIM INFORMATION AND NOTIFICATION**

The Connecticut Statewide Automated Victim Information and Notification (CT SAVIN) program was expanded to provide automated notifications about the status of protective orders and standing criminal protective orders. In addition, a Spanish version of the CT SAVIN home page was brought on-line, and notifications were made available in Polish, in addition to English and Spanish.

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total Authorized	Change From FY 2013	Total Recommended	Change From FY 2014	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	4,307	0	4,307	0	4,307
Banking Fund	51	0	51	0	51
Federal and Other Activities	50	0	50	0	50
<u>Other Positions Equated to Fulltime</u>					
General Fund	70,597	0	70,597	0	70,597
<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	308,215,578	327,620,161	327,620,161	344,329,610	344,329,610
Other Expenses	64,348,251	65,173,251	65,173,251	67,708,438	67,708,438
<u>Capital Outlay</u>					
Equipment	25,000	0	0	0	0
<u>Other Current Expenses</u>					
Forensic Sex Evidence Exams	1,134,060	1,441,460	1,441,460	1,441,460	1,441,460
Alternative Incarceration Program	55,117,917	56,504,295	56,504,295	56,504,295	56,504,295
Justice Education Center, Inc.	294,469	545,828	545,828	545,828	545,828
Juvenile Alternative Incarceration	28,293,671	28,117,478	28,117,478	28,117,478	28,117,478
Juvenile Justice Centers	3,120,619	3,136,361	3,136,361	3,136,361	3,136,361
Probate Court	7,275,000	7,600,000	7,600,000	9,000,000	9,000,000
Youthful Offender Services	12,246,992	18,177,084	18,177,084	18,177,084	18,177,084
Victim Security Account	9,276	9,402	9,402	9,402	9,402
Children of Incarcerated Parents	322,250	582,250	582,250	582,250	582,250
Legal Aid	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000
Juvenile Jurisdiction Policy/Operations	22,250	0	0	0	0
Youth Violence Initiative	750,000	1,500,000	1,500,000	1,500,000	1,500,000
Judge's Increases	0	1,796,754	1,796,754	3,688,736	3,688,736
TOTAL - Other Current Expenses	110,086,504	120,910,912	120,910,912	124,202,894	124,202,894
<u>Nonfunctional - Change to Accruals</u>	0	2,381,725	2,381,725	2,279,008	2,279,008
TOTAL - General Fund	482,675,333	516,086,049	516,086,049	538,519,950	538,519,950
<u>Other Current Expenses</u>					
Foreclosure Mediation Program	5,324,914	5,521,606	5,521,606	5,902,565	5,902,565
<u>Nonfunctional - Change to Accruals</u>	0	31,686	31,686	43,256	43,256
TOTAL - Banking Fund	5,324,914	5,553,292	5,553,292	5,945,821	5,945,821
<u>Other Current Expenses</u>					
Criminal Injuries Compensation Fund	3,602,121	3,380,286	3,380,286	2,787,016	2,787,016
TOTAL - Criminal Injuries Compensation Fund	3,602,121	3,380,286	3,380,286	2,787,016	2,787,016
<u>Additional Funds Available</u>					
Federal and Other Activities	7,229,422	3,614,741	3,614,741	463,594	463,594
Private Funds	10,043,115	10,125,590	10,125,590	10,168,428	10,168,428
TOTAL - All Funds Net	508,874,905	538,759,958	538,759,958	557,884,809	557,884,809



# PUBLIC DEFENDER SERVICES COMMISSION

[www.ocpd.state.ct.us](http://www.ocpd.state.ct.us)

## AGENCY PURPOSE

- Ensure the constitutional administration of criminal justice within the state criminal court system by maintaining a public defender office at all juvenile and adult court locations throughout the state.
- Provide legal representation to indigent accused children and adults in criminal trials and appeals, extradition proceedings, habeas corpus proceedings arising from a criminal matter, delinquency and juvenile post-conviction matters, Psychiatric Security Review Board cases, post-conviction petitions for DNA testing, and to convicted persons seeking exoneration through the Connecticut Innocence Project.
- Provide social work services to clients for referrals to alternative pre-trial programs and sentencing alternatives to incarceration and to assist them in addressing personal and social problems to prevent recidivism within the criminal justice system.
- Contribute to public safety initiatives by participation in the development of specialized programs that promote successful reentry through reduced violence, homelessness and recidivism by utilizing Domestic Violence Courts, Community Courts, Diversionary Programs, Drug Intervention, Alternatives to Incarceration and Team Child programs.
- Provide a balanced perspective within the criminal justice community by participation on state policy boards, task forces, and committees involved in addressing criminal and juvenile justice issues.
- Fulfill the state’s constitutional obligation to provide counsel for indigent accused in a professional, effective and cost efficient manner.
- Ensure that all indigent children and adults involved in child welfare matter in the superior court receive competent representation.
- Provide qualified guardian ad litem representation for children involved in family court cases.
- Provide representation for contemnors in support enforcement cases.

## RECENT HIGHLIGHTS

### Consolidation of Public Defender Services and Child Protection Agencies

The consolidation of the Public Defender Services and Child Protection Agencies is complete. The Office of Chief Public Defender (OCPD) has initiated many efficiencies and improvements in child welfare case assignments, guardian ad litem in family court and representation of contemnors in support enforcement matters. While the Child Protection Division is largely an assigned counsel

contract system, OCPD has also focused on Child Protection training for permanent juvenile public defender supervisors and staff.

### Raise the Age –Juvenile Court Jurisdiction

The agency has also concentrated on hiring additional juvenile staff pursuant to Raise the Age funding who have experience in both delinquency and child protection matters to provide more holistic representation.

## RECOMMENDED SIGNIFICANT CHANGES

### Reallocations or Transfers

- Reallocate Funding for Assigned Counsel

**FY 2014**      **FY 2015**

0                      0

*At the request of the Chief Public Defender, funding for the Assigned Counsel - Child Protection account has been consolidated into the Assigned Counsel - Criminal account.*

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Total	Change From	Total	Change From	Total
	Authorized	FY 2013	Recommended	FY 2014	Recommended
<b><u>Permanent Full-Time Positions</u></b>					
General Fund	445	0	445	0	445
Federal and Other Activities	4	0	4	0	4
<b><u>Financial Summary</u></b>					
	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	37,618,994	40,240,051	40,240,051	42,044,712	42,044,712
Other Expenses	1,471,204	1,545,428	1,545,428	1,550,119	1,550,119

Other Current Expenses

Assigned Counsel - Criminal	8,522,248	8,950,900	17,100,900	8,950,900	17,100,900
Expert Witnesses	2,125,000	2,200,000	2,200,000	2,200,000	2,200,000
Training and Education	95,219	130,000	130,000	130,000	130,000
Assigned Counsel - Child Protection	9,981,524	7,575,000	0*	7,575,000	0*
Contracted Attorneys Related Expenses	151,577	150,000	150,000	150,000	150,000
Family Contracted Attorneys/AMC	608,149	575,000	0*	575,000	0*
TOTAL - Other Current Expenses	21,483,717	19,580,900	19,580,900	19,580,900	19,580,900
<u>Nonfunctional - Change to Accruals</u>	0	227,040	224,916	310,117	260,298
TOTAL - General Fund	60,573,915	61,593,419	61,591,295	63,485,848	63,436,029
<u>Additional Funds Available</u>					
Federal and Other Activities	145,505	85,500	85,500	84,500	84,500
TOTAL - All Funds Net	60,719,420	61,678,919	61,676,795	63,570,348	63,520,529

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

# MISCELLANEOUS APPROPRIATION TO THE GOVERNOR

## PURPOSE

A contingency appropriation is made available to the Governor for emergency expenditures in accordance with Section 4-84 C.G.S. A minimal amount is recommended to keep the account open.

## AGENCY SUMMARY

<i>Financial Summary</i>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
<u><i>Other Current Expenses</i></u>					
Governor's Contingency Account	1	1	1	1	1
TOTAL - General Fund	1	1	1	1	1

## DEBT SERVICE – STATE TREASURER

### PURPOSE

The servicing of all state debt obligations is performed by the State Treasurer. Funds for the payment of debt service are appropriated to non-functional accounts.

### RECOMMENDED SIGNIFICANT CHANGES

<b>Reductions to Current Services</b>	<b>FY 2014</b>	<b>FY 2015</b>
• Restructure Debt Service <i>Savings achieved as a result of the plan to eliminate the state's GAAP deficit through the issuance of up to \$750 million in GAAP deficit bonds in FY 2014 and disciplined appropriations to amortize the remaining deficit, as well as restructuring of the FY 2009 economic recovery notes.</i>	-150,000,000	-150,000,000
• Reduce Debt Service in Anticipation of Premium Income	-20,000,000	-20,000,000
<b>Technical Adjustments</b>		
• Revise GAAP Accrual Amounts	-7,068	8,281

### AGENCY SUMMARY

<b>Financial Summary</b>	FY 2013 Estimated	FY 2014 Current Services	FY 2014 Total Recommended	FY 2015 Current Services	FY 2015 Total Recommended
<u>Other Current Expenses</u>					
Debt Service	1,626,307,248	1,665,000,853	1,495,000,853	1,785,881,403	1,615,881,403
UConn 2000 - Debt Service	117,729,372	135,251,409	135,251,409	156,037,386	156,037,386
CHEFA Day Care Security	5,500,000	5,500,000	5,500,000	5,500,000	5,500,000
Pension Obligation Bonds - TRB	121,386,576	145,076,576	145,076,576	133,922,226	133,922,226
TOTAL - Other Current Expenses	1,870,923,196	1,950,828,838	1,780,828,838	2,081,341,015	1,911,341,015
<u>Nonfunctional - Change to Accruals</u>	0	7,068	0	3,040	11,321
TOTAL - General Fund	1,870,923,196	1,950,835,906	1,780,828,838	2,081,344,055	1,911,352,336
<u>Other Current Expenses</u>					
Debt Service	457,974,187	473,814,137	473,814,137	493,218,293	493,218,293
TOTAL - Special Transportation Fund	457,974,187	473,814,137	473,814,137	493,218,293	493,218,293
<u>Other Current Expenses</u>					
Debt Service	7,147	0	0	0	0
TOTAL - Regional Market Operation Fund	7,147	0	0	0	0
TOTAL - All Funds Net	2,328,904,530	2,424,650,043	2,254,642,975	2,574,562,348	2,404,570,629

# RESERVE FOR SALARY ADJUSTMENTS

## PURPOSE

Funds are provided to finance collective bargaining and related costs that were not included in individual agency budgets at the time the recommended budget was formulated.

## AGENCY SUMMARY

<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
<u>Other Current Expenses</u>					
Reserve for Salary Adjustments	44,121,463	51,245,957	51,245,957	60,249,658	60,249,658
TOTAL - General Fund	44,121,463	51,245,957	51,245,957	60,249,658	60,249,658
<u>Other Current Expenses</u>					
Reserve for Salary Adjustments	3,031,683	3,558,297	3,558,297	3,661,897	3,661,897
TOTAL - Special Transportation Fund	3,031,683	3,558,297	3,558,297	3,661,897	3,661,897
TOTAL - All Funds Net	47,153,146	54,804,254	54,804,254	63,911,555	63,911,555

# DAS WORKERS' COMPENSATION CLAIMS – DAS

## PURPOSE

The Department of Administrative Services designs the State of Connecticut Workers' Compensation Program, establishes statewide reporting and processing procedures, manages statewide fiscal and

accounting functions, procures and manages the third party claim administration contract and offers a wide array of loss control and safety services statewide.

## RECENT HIGHLIGHTS

### *Workers Compensation*

DAS Workers Compensation established a pilot program to centralize all workers' compensation agency claim processing and management functions away from the SMART agencies to DAS Workers' Compensation Division. Centralizing the function creates efficiencies

in claim processing and management. Most of all it provided consistent standards to be more easily applied and reduces error rates in Core-CT.

## RECOMMENDED SIGNIFICANT CHANGES

### **Reductions to Current Services**

- Remove or Limit Inflation

<b>FY 2014</b>	<b>FY 2015</b>
-1,419,649	-2,900,379

## AGENCY SUMMARY

<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
<u><i>Other Current Expenses</i></u>					
Workers' Compensation Claims	26,964,041	28,333,598	27,187,707	29,528,984	27,187,707
TOTAL - General Fund	26,964,041	28,333,598	27,187,707	29,528,984	27,187,707
<u><i>Other Current Expenses</i></u>					
Workers' Compensation Claims	6,544,481	6,818,239	6,544,481	7,103,583	6,544,481
TOTAL - Special Transportation Fund	6,544,481	6,818,239	6,544,481	7,103,583	6,544,481
TOTAL - All Funds Net	33,508,522	35,151,837	33,732,188	36,632,567	33,732,188

# STATE COMPTROLLER – MISCELLANEOUS

## PURPOSE

Through Connecticut General Statute, the Office of the State Comptroller is charged with payment of claims settled with or judicially decided against the State of Connecticut. Once presented

with the required judicial order or settlement agreement, payments are processed against this appropriation, the amount of which is determined by the state legislature.

## RECOMMENDED SIGNIFICANT CHANGES

### Reallocations or Transfers

- Transfer Claims Costs to the Comptroller

**FY 2014**

100,000

**FY 2015**

100,000

*The payment of small claims against the state will be transferred from DAS to the Comptroller's adjudicated claims account.*

### New or Expanded Services

- Appropriate Amounts Required to Amortize GAAP Deficit

**FY 2014**

29,800,000

**FY 2015**

29,800,000

**FY 2016**

29,800,000

*Provides funding to support the amortization, over 15 years, of the estimated cumulative GAAP deficit as of June 30, 2013, which is anticipated to be reduced from approximately \$1.2 billion to \$447 million as a result of debt restructuring. For more information about the debt restructuring proposal, please see the "Restructure Debt Service" writeup under the Office of the Treasurer - Debt Service.*

## AGENCY SUMMARY

<b>Financial Summary</b>	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
<u>Other Current Expenses</u>					
Adjudicated Claims	4,000,000	4,000,000	4,100,000	4,000,000	4,100,000
Amortize GAAP Deficit	0	0	29,800,000	0	29,800,000
TOTAL - Other Current Expenses	4,000,000	4,000,000	33,900,000	4,000,000	33,900,000
TOTAL - General Fund	4,000,000	4,000,000	33,900,000	4,000,000	33,900,000

# STATE COMPTROLLER - FRINGE BENEFITS

## PURPOSE

The Office of the State Comptroller is charged with administrative oversight over all statewide employee fringe benefit accounts in both the General Fund and Special Transportation Fund. These accounts cover employer contributions for state employee unemployment compensation, group life insurance, social security taxes, tuition

reimbursement, health insurance for state employees and retirees, and state employee, judicial, elected official, and higher education retirement plans. Oversight of these accounts includes budgeting, analyzing, forecasting, and processing payments against legislatively approved appropriations.

## RECOMMENDED SIGNIFICANT CHANGES

	FY 2014	FY 2015	
<b>Reductions to Current Services</b>			
• Reduce Pharmacy Costs	-10,000,000	-10,000,000	
• Adjust for Net Impact of Position Changes - Reduction Adjustments to the General Fund	-62,100	-196,700	
<b>Within Current Services</b>			
• Fund UCHC Fringe Benefit Cost Differential <i>Provides funding for the fringe benefit cost differential of the University of Connecticut Health Center pursuant to PA 12-1, June Special Session.</i>	13,500,000	13,500,000	
• Provide Additional Funds for Fees Associated with PPACA <i>The state employee and retired employee health plans will be impacted by various fees beginning January 1, 2014 that are associated with implementation of the Patient Protection and Affordable Care Act (PPACA).</i>	6,700,000	13,400,000	
<b>Reallocations or Transfers</b>			
• Reallocate Fringe Benefits for the Higher Education Units <i>Transfer fringe benefits from the General Fund fringe benefit accounts to the higher education block grants.</i>	-337,516,186	-360,140,062	
• Adjust for Net Impact of Position Changes - Reallocation Adjustments to the General Fund	380,600	416,800	
• Adjust for Net Impact of Position Changes - Reallocation Adjustments to the Transportation Fund	400	400	
<b>New or Expanded Services</b>			
• Adjust for Net Impact of Position Changes - Expansion Adjustments to the General Fund	354,400	381,700	408,400
• Adjust Fringe Benefits for Enhancements to UConn Stamford Campus and Storrs Main Campus	0	5,604,406	5,604,406
<b>Technical Adjustments</b>			
• Revise GAAP Accrual Amounts - General Fund	662,813	616,252	
• Revise GAAP Accrual Amounts - Special Transportation Fund	-26,838	-26,198	

## AGENCY SUMMARY

Financial Summary	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
<b>Other Current Expenses</b>					
Unemployment Compensation	8,901,932	8,193,117	8,281,017	8,598,207	8,643,507
Employee Retirement Contribution	721,490,818	916,044,178	766,459,148	966,970,578	809,719,439
Higher Ed Alternative Retirement Sys	31,155,127	35,675,055	5,459,845	36,706,055	5,021,069
Pension & Ret Other Statutory	1,842,652	1,730,420	1,730,420	1,749,057	1,749,057
Judges & Comp Commissioner Ret	16,005,904	16,298,488	16,298,488	17,731,131	17,731,131
Group Life Insurance	8,754,807	8,808,780	8,200,382	9,341,453	8,702,069
Employers Social Security Tax	221,956,828	223,832,974	181,485,873	233,738,374	189,243,610
State Employees Health Serv Cost	568,012,615	571,966,787	452,791,340	615,130,987	490,222,104
Retired Employee Health Serv Cost	614,094,650	704,329,721	699,329,721	750,152,150	745,152,150
Tuition Reimburs Training, Travel	0	3,127,500	3,127,500	3,127,500	3,127,500
TOTAL - Other Current Expenses	2,192,215,333	2,490,007,020	2,143,163,734	2,643,245,492	2,279,311,636
<b>Nonfunctional - Change to Accruals</b>	0	23,756,499	24,419,312	16,584,694	17,200,946
TOTAL - General Fund	2,192,215,333	2,513,763,519	2,167,583,046	2,659,830,186	2,296,512,582
<b>Other Current Expenses</b>					
Unemployment Compensation	644,928	237,011	237,011	248,862	248,862
Employee Retirement Contribution	107,869,254	108,327,000	108,327,000	130,124,000	130,124,000
Group Life Insurance	334,000	286,000	286,000	292,000	292,000

Budget Summary

Employers Social Security Tax	17,800,089	15,864,400	15,864,800	16,537,600	16,538,000
State Employees Health Serv Cost	36,416,890	39,748,900	39,748,900	42,363,700	42,363,700
TOTAL - Other Current Expenses	163,065,161	164,463,311	164,463,711	189,566,162	189,566,562
<i>Nonfunctional - Change to Accruals</i>	0	682,441	655,603	1,902,866	1,876,668
TOTAL - Special Transportation Fund	163,065,161	165,145,752	165,119,314	191,469,028	191,443,230
TOTAL - All Funds Net	2,355,280,494	2,678,909,271	2,332,702,360	2,851,299,214	2,487,955,812