

DEPARTMENT OF PUBLIC HEALTH

AGENCY DESCRIPTION

The Department of Public Health (DPH) is responsible for protecting the health and safety of the people of Connecticut; actively working to prevent disease and promote wellness through planning, education and programs such as prenatal care, immunizations, AIDS awareness and nutrition supplements; monitoring and assuring response to public health emergencies, infectious diseases, environmental and occupational health hazards; regulating health care providers such as

health facilities, public drinking water systems, water system operators, health professionals and emergency medical services; providing testing and monitoring support through the state laboratory, and collecting and analyzing health data to help plan future policy. DPH is also the repository for all birth, adoption, paternity, marriage and death certificates.

RECOMMENDED SIGNIFICANT CHANGES

	<u>2017-2018</u>	<u>2018-2019</u>
Baseline Adjustments		
• Reflect Anticipated Price Increases for Vaccines	1,764,133	2,989,806
• Adjust Funding for Local Health Departments and Districts <i>Reflects fully funding statutory per capita formula grants to full-time health departments and health districts.</i>	521,182	521,182
• Adjust Public Health Laboratory Maintenance Costs	70,597	72,714
Reductions		
• Annualize FY 2017 Lapsing Funds <i>To align budget growth with available resources, funding is reduced to reflect the continuation of allocated bottom-line lapses contained in Public Act 16-2 (May Spec. Sess.).</i>	-1,678,689	-1,678,689
• Reduce Support for Local and District Departments of Health by Twenty Percent	-921,020	-921,020
• Reduce Support for School Based Health Centers by Ten Percent	-761,442	-761,442
• Eliminate Funding for Community Health Centers	-358,728	-358,728
• Re-estimate Personal Services Requirements	-150,000	-150,000
• Eliminate Maternal Mortality Review Account	-1	-1
Reallocations		
• Reallocate Support for Newborn Screening Program to General Fund <i>In past years, a portion of fee receipts for newborn screening activities has been made available to partially offset DPH's costs of operating the program. Under this proposal, the program's full cost will be appropriated and all fee receipts will be credited to the General Fund as revenue.</i>	2,843,898	2,843,898
• Reallocate Health Programming from Biomedical Research Trust Fund <i>Restore funding at a reduced level for programming supported on a one-time basis through the Biomedical Research Trust Fund. Services include children's health initiatives, childhood lead poisoning prevention and response activities, services for children with special health care needs, and genetic diseases programming.</i>	2,459,571	2,459,571
• Transfer Office of Health Care Access to New Office of Health Strategy <i>The Office of Health Care Access is transferred to the new Office of Health Strategy as part of the Governor's proposal to restructure and transform state government.</i>	0	-1,975,432
Revenue		
• Increase Civil Penalties for Certain Healthcare Facilities <i>The current civil penalties for violations committed by a nursing home facility or residential care home have not been updated in over twenty five years. Increasing the maximum penalty for each Class A violation from \$5,000 to \$20,000, and for each Class B violation from \$3,000 to \$10,000 will result in estimated annual revenues of \$0.3 million.</i>	0	0
• Transfer Unobligated Principal from Tobacco and Health Trust Fund to General Fund <i>Under this proposal, \$1.0 million in unobligated funds from the Tobacco and Health Trust Fund will be transferred to the General Fund as revenue in FY 2019.</i>	0	0
• Redirect Healthcare Professional License Renewal Fee to General Fund <i>Public Act 15-244 increased healthcare professional license renewal fees by \$5 and directed the resulting revenues to a professional assistance program. The law expanded reporting of impaired healthcare professionals, however no resources were provided to the Department of Public Health to accommodate a resulting increase in workload. Under this proposal,</i>	0	0

Null	16,108	45,000	45,000	45,000	45,000	45,000	45,000	45,000
10557 Special Supplement Nutrition Pgm	724,241	926,752	1,004,000	1,004,000	1,004,000	1,004,000	1,004,000	1,004,000
10578 Wic Grants to States(Wgs)	20,698	0	0	0	0	0	0	0
66468 Capitalization Grants For Drinking Water	391,344	391,000	1,424,480	1,424,480	1,424,480	1,424,480	1,424,480	1,424,480
66701 Toxic Substances Compliance Monitoring	5,844	0	0	0	0	0	0	0
93069 Public Health Emergency Preparedness	800,560	800,000	800,000	800,000	800,000	800,000	800,000	800,000
93070 Environmental Public Health and Emergency Response	156,941	508,665	0	0	0	0	0	0
93136 Injury Preventn & Control Research/State & Community Prog	29,405	40,066	40,066	40,066	40,066	40,066	40,066	40,066
93268 Immunization Grants	505,110	505,110	505,110	505,110	505,110	505,110	505,110	505,110
93314 Early Hearing Detection and Intervention Informati	31,502	31,502	31,502	31,502	31,502	31,502	31,502	31,502
93323 Epidemiology and Lab Capacity For Infectious Diseases	78,339	78,338	165,915	165,915	165,915	165,915	165,915	165,915
93393 Cancer Cause & Prevention Research	41,882	50,000	50,000	50,000	50,000	50,000	50,000	50,000
93521 The Affordable Care Act	223	25,219	25,219	25,219	25,219	25,219	25,219	25,219
93733 Capacity Building Assistance to Strengthen Public Health Imm	14,145	73,623	18,406	18,406	18,406	0	0	0
93777 State Survey & Certification ofHealth	75,602	75,000	75,000	75,000	75,000	75,000	75,000	75,000
93889 National Bioterrorism Hospital Preparedness	79,035	80,000	75,000	75,000	75,000	80,000	80,000	80,000
93917 Hiv Care Formula Grants	210,619	208,618	210,891	210,891	210,891	213,233	213,233	213,233
93940 HIV Prevention Activities Health Depar	128,870	128,870	128,870	128,870	128,870	128,870	128,870	128,870
93994 Maternal & Child Health Services	213,356	213,356	213,356	213,356	213,356	213,356	213,356	213,356
96000 Social Security Administration	0	5,000	8,000	8,000	8,000	10,000	10,000	10,000
99999 Other	9,710	15,654	15,654	15,654	15,654	15,654	15,654	15,654
Total - All Funds	9,400,917	10,913,706	11,548,402	11,548,402	11,335,078	11,539,338	11,539,338	11,326,014

REGULATORY SERVICES

Statutory Reference

C.G.S. Sections 19a-2a, 19a-14, 19a-29a, 19a-36, 19a-200, 19a-202, 19a-207a, 19a-208, 19a-240-246, 19a-310, 19a-313, 19-320, 19a-329-333, 20-341a-m, 20-358-366, 20-435-442, 20-474-482, 22a-430(g), 22a-434a, 25-32 *et seq.*, 25-40, 31-40a, and 31-400.

Statement of Need and Program Objectives

To ensure the purity and adequacy of Connecticut's public drinking water through the development and enforcement of state and federal laws and regulations, thereby preventing waterborne illnesses and diseases, and promoting the production and distribution of public drinking water at the highest attainable standard. To ensure the quality of environmental public health and promote the protection of the public from known environmental health hazards. To ensure the delivery of public health services at the local level.

Program Description

The Drinking Water Section is responsible for the administration of all state and federal safe drinking water requirements. The section holds Environmental Protection Agency (EPA) primary enforcement powers and administers millions of dollars annually in low interest loans for drinking water infrastructure improvements through the Drinking Water State Revolving Fund. It assures the security, quality and adequacy of our state's public drinking water through certification,

technical assistance, education, regulatory enforcement, and regional participation in drinking water forums.

Over 2,600 entities provide drinking water to residents in Connecticut. Section staff annually inspect over 600 active public water suppliers, and review over 200 applications for water supply upgrades and improvements. Cross-connection and watershed surveys are reviewed annually to ensure the protection of water supply infrastructure and water sources. The section certifies and renews licenses to over 1,000 operators engaged in the operation, treatment and delivery of drinking water. The section implements and enforces 17 Safe Drinking Water Act (SDWA) rules, encompassing over 100 contaminants. Homeland security efforts and emergency response to drinking water issues are also coordinated.

The Environmental Health Section protects the public health by assuring the provision of effective environmental health services. Comprised of multiple programs having oversight of both regulated and unregulated professions, the section works closely with local health departments and sister agencies to prevent or mitigate environmental hazards. This goal is accomplished through a multidisciplinary response to environmental issues that arise, the development and enforcement of relevant statutes and regulations and the provision of technical assistance.

Section responsibilities include initial licensure and regulatory oversight of 8,800 environmental health practitioners; certification of 180 environmental laboratories; ongoing training and certification of 375 local health officials to inspect food service establishments; ongoing training and annual refresher courses in risk assessment and lead inspection for approximately 250 local health officials, which allows them to conduct risk assessments and lead inspections in response to childhood lead poisoning cases; and the training and certification of approximately 65 local health officials annually to review and approve subsurface sewage disposal systems. In addition to ensuring compliance with asbestos, lead, private well, sub-surface sewage disposal system, radon and food safety regulations and/or control strategies and providing technical assistance to the workforce and others, this section approves new public swimming pools and ensures the safe use of swimming areas. Section staff approve public mausoleums and columbaria, crematories and private burial grounds.

Section staff also review and approve plans for large subsurface sewage disposal systems, proprietary subsurface sewage system components and issue exceptions for central sewage systems, off-site sewage systems and for separating distance reductions to water supply wells. The Private Well Program provides education and outreach to the estimated 867,000 people in Connecticut served by

their own private well. Staff within the section's environmental and occupational health assessment program provide technical assistance on a variety of topics, assess risk from environmental and toxic hazards, and investigate outbreaks or unusual occurrences of illness that may be related to environmental or occupational exposure and measures for prevention of additional cases. Funds for lead poisoning prevention programs are awarded to local health departments who test all children under the age of two for lead poisoning. If a child is found to be lead poisoned, an epidemiological investigation and environmental assessment are conducted of the property where the child lives. DPH follows up to assist the local department with abatement and additional follow-up measures as appropriate.

The Office of Local Health Administration serves as the primary interface between DPH and Connecticut's local health departments (LHDs). Responsibilities include advising the Commissioner on the approval of appointments of local directors of health and acting directors of health; administering per capita grants-in-aid for LHDs; and providing technical assistance and consultation to DPH programs, local health directors, local officials and residents on local public health issues. The office also provides guidance to local health departments seeking to convert from part-time to full-time status, or to form new or join existing health districts.

Program Measures

	FY 2016 Actual	FY 2017 Estimated	FY 2018 Projected	FY 2019 Projected
Percentage of population receiving full-time local health services	94%	94%	96%	97%
Percentage of population served by community water systems in CT that provide drinking water meeting all applicable health standards	97.7%	97.7%	97.7%	97.7%
Percentage of community water systems monitoring for all health-based standards	91%	91%	91%	91%
Water supply well pollution assessment for septic system repair within sanitary protective radius	100	80	60	40

Personnel Summary

Permanent Full-Time Positions	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	52	4	0	56	56	62	56	70
Federal Funds	49	7	0	56	56	56	56	56
Private Funds	1	0	0	1	1	1	1	1

Other Positions Equated to Full-Time

	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
General Fund	6	6	6	6	6	6

Financial Summary by Program

General Fund	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
Personal Services	5,061,977	4,813,254	4,813,254	4,813,254	4,951,889	4,813,254	4,813,254	5,593,543
Other Expenses	106,568	73,209	73,209	73,209	130,740	73,209	73,209	275,154
<u>Other Current Expenses</u>								
Children's Health Initiatives	1,279,583	0	0	0	989,259	0	0	989,259
<u>Pmts to Local Governments</u>								
Local and District Departments of Health	4,367,839	4,083,916	4,083,916	4,605,098	3,684,078	4,083,916	4,605,098	3,684,078
Total-General Fund	10,815,967	8,970,379	8,970,379	9,491,561	9,755,966	8,970,379	9,491,561	10,542,034

<i>Other Funds Available</i>	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
Private Funds	19,684,714	20,333,681	20,307,500	20,307,500	20,307,500	20,309,400	20,309,400	20,309,400
<i>Federal Contributions</i>	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
10559 Summer Food Svc Pgm For Children	11,242	10,000	35,000	35,000	35,000	35,000	35,000	35,000
66032 State Indoor Radon Grants	199,901	190,000	190,000	190,000	190,000	190,000	190,000	190,000
66432 State Public Water System Supervision	1,498,204	2,261,813	2,261,813	2,261,813	2,261,813	2,261,813	2,261,813	2,261,813
66468 Capitalization Grants For Drinking Water	4,181,351	4,377,719	4,381,687	4,381,687	4,381,687	4,385,774	4,385,774	4,385,774
66472 Beach Monitoring & Notification	211,978	195,000	195,000	195,000	195,000	195,000	195,000	195,000
66701 Toxic Substances Compliance Monitoring	59,995	55,134	58,000	58,000	58,000	58,000	58,000	58,000
66707 Tscs Title Iv St Lead Grants Certificate	216,218	656,431	656,431	656,431	656,431	656,431	656,431	656,431
93069 Public Health Emergency Preparedness	5,240,515	4,546,703	4,546,703	4,546,703	4,546,703	4,546,703	4,546,703	4,546,703
93070 Environmental Public Health and Emergency Response	626,191	130,950	634,200	634,200	634,200	635,400	635,400	635,400
93074 Hospital Preparedness Program (Hpp) and Public Health EmERGE	779,219	782,025	783,050	783,050	783,050	784,450	784,450	784,450
93103 Food & Drug Administration Research	43,697	3,000	3,000	3,000	3,000	3,000	3,000	3,000
93103 Food and Drug Administration_Research	2,905	69,997	69,997	69,997	69,997	69,997	69,997	69,997
93240 State Capacity Building	498,477	498,307	498,307	498,307	498,307	498,307	498,307	498,307
93262 Occupational Safety and Health Program	55,412	58,600	59,000	59,000	59,000	60,000	60,000	60,000
93283 Cdc - Investigations and Technical Assistance	-125	0	0	0	0	0	0	0
93283 Ctrs-Disease Control & Prevention	3,689	49,571	51,058	51,058	51,058	52,590	52,590	52,590
93323 Epidemiology and Lab Capacity For Infectious Diseases	5,639	5,638	11,942	11,942	11,942	11,942	11,942	11,942
93521 The Affordable Care Act	168	0	0	0	0	0	0	0
93538 Affordable Care Act - National Environmental Publi	-1,277	0	0	0	0	0	0	0
93753 Child Lead Poisoning Prevention	367,630	373,921	373,921	373,921	373,921	373,921	373,921	373,921
93757 State Public Health Actions to Prevent and Control	6,300	0	0	0	0	0	0	0
93758 Preventive Health and Health Services Block Grant	3,601	4,000	4,000	4,000	4,000	4,000	4,000	4,000
93817 Hospital Preparedness Program (Hpp) Ebola Preparedness and R	579,839	580,250	581,150	581,150	581,150	582,100	582,100	582,100
93889 National Bioterrorism Hospital Preparedness	3,413,155	2,271,714	2,276,714	2,276,714	2,276,714	2,271,714	2,271,714	2,271,714
93945 Asst Pgm-Chronic Disease	5,875	0	0	0	0	0	0	0
97067 Homeland Security Grant Program	234,615	60,000	60,000	60,000	60,000	60,000	60,000	60,000
Total - All Funds	48,745,095	46,484,833	47,008,852	47,530,034	47,794,439	47,015,921	47,537,103	48,587,576

HEALTHCARE QUALITY AND SAFETY

Statutory Reference

C.G.S. Sections 19a-14, 19a-17, 19a-30, 19a-88, 19a-127I-n, 19a-490-560, and Chapters 369-381a, 383-388, and 398-399.

Statement of Need and Program Objectives

To protect the health and safety of the people of Connecticut through the regulatory oversight of health care professionals and

facilities; and to ensure that regulatory oversight is fair, educational and transparent.

Program Description

Regulatory oversight of health care professionals, providers and facilities is consolidated in the Branch of Healthcare Systems. Oversight is accomplished via programs that regulate entry through licensure/certification; monitor compliance with applicable state and federal laws and regulations; investigate complaints, incidents

<i>Federal Contributions</i>	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
93064 Laboratory Training, Evaluation, & Q	87,354	87,353	87,353	87,353	87,353	87,353	87,353	87,353
93069 Public Health Emergency Preparedness	-1,569	0	0	0	0	0	0	0
93283 Ctrs-Disease Control & Prevention	1,062	0	0	0	0	0	0	0
93506 ACA Nationwide Program For National and State Back	659,624	0	0	0	0	0	0	0
93576 Refugee & Entrant Assistance Grants	-4,421	0	0	0	0	0	0	0
93758 Preventive Health and Health Services Block Grant	59,858	60,000	60,000	60,000	60,000	60,000	60,000	60,000
93777 State Survey & Certification of Health	5,147,065	5,147,065	5,147,065	5,147,065	5,147,065	5,147,065	5,147,065	5,147,065
93994 Maternal & Child Health Services	-5,749	0	0	0	0	0	0	0
Total - All Funds	18,795,426	17,818,058	17,809,171	17,809,171	17,673,366	17,809,171	17,809,171	17,683,946

PUBLIC HEALTH INITIATIVES

Statutory Reference

C.G.S. Sections 7-36 *et seq.*, 8-219e, 8-266-270a, 10-204a, 10-206, 10-206b, 17b-185, 17b-808, 19a-2a, 19a-7, 19a-7a, 19a-7d, 19a-7f-h, 19a-7j, 19a-25, 19a-36, 19a-48-50, 19a-53-55, 19a-56a-b, 19a-59, 19a-59b-c, 19a-61, 19a-62a, 19a-74, 19a-110, 19a-110a, 19a-111a-b, 19a-112a, 19a-116, 19a-121, 19a-215-216, 19a-250-269, 19a-490(a), 19a-581-585, 19a-593, 20-474-482, 45a-691, and 52-146k.

Statement of Need and Program Objectives

To enable data-based preventive health decision-making by collecting and analyzing data on the occurrence of potentially preventable and emerging diseases and associated risk factors. To enhance preparedness for and response to public health emergencies involving infectious diseases by development and analysis of special surveillance systems, the development of a flexible emergency response capacity and participation in emergency response plans.

To promote health and prevent disease by lowering financial barriers to care and improving access to primary and preventive health care services. To reduce preventable chronic diseases, injuries and infectious diseases through surveillance, outbreak investigation, outreach, immunizations, education, prevention and health treatment.

Program Description

The department improves and protects the health of Connecticut's residents through promotion of primary and preventive health care at every stage of life; educates individuals and target population groups to make choices in diet, exercise and personal protective measures that enhance health, promote wellness, and reduce risk of injury and preventable disease; collects data to assess chronic and infectious disease and injury risk factors and monitors trends to improve individual and population health; conducts disease surveillance and

linked intervention activities such as patient counseling, public education, provision of vaccines or medicines, and organization of special clinics; and plans for and engages in capacity development for response to infectious diseases and possible bioterrorist threats.

The Community, Family and Health Equity Section (CFHES) is responsible for the administration of programs that address population health and the promotion of health equity throughout the life course (including pregnant women, mothers and infants, children and adolescents, and children and youth with special health care needs). Programs include: Women, Infants and Children (WIC); case management for pregnant women and teens; children and youth with special health care needs (medical homes, respite and extended services); family planning; health access programs; early hearing detection and intervention; maternal mortality review; intimate partner violence; primary care office; school based health clinics; sexual violence and rape prevention education; sickle cell disease outreach and support; asthma, breast and cervical cancer, diabetes, heart disease/stroke, nutrition/physical activity/obesity, tobacco, Zika surveillance, intervention and referrals; opioids and prescription drug overdose prevention. The section includes the following offices: the Genomics Office, the Office of Health Equity, the Office of Injury and Violence Prevention, and the Office of Oral Health. CFHES also conducts surveillance activities to develop strategic interventions, and to inform policy makers and local, state and national partners.

The Infectious Diseases Section encompasses surveillance programs for emerging infections and more than 50 acute communicable diseases including conditions potentially associated with bioterrorism; outbreak detection and investigation; planning for the public health response to infectious disease emergencies; and programs for the prevention of perinatal infectious diseases, vaccine-preventable diseases, human immunodeficiency virus (HIV), hepatitis, sexually transmitted diseases and tuberculosis.

93317 Emerging Infections Programs	36,391	36,391	36,391	36,391	36,391	36,391	36,391	36,391
93323 Epidemiology and Lab Capacity For Infectious Diseases	525,460	525,459	1,112,887	1,112,887	1,112,887	1,112,887	1,112,887	1,112,887
93393 Cancer Cause & Prevention Research	420	0	0	0	0	0	0	0
93424 Non-ACA/Pphf Building Capacity ofthe Public Health System T	29,590	40,000	40,000	40,000	40,000	40,000	40,000	40,000
93521 The Affordable Care Act	1,336,333	1,192,000	720,250	720,250	720,250	600,000	600,000	600,000
93524 Building Capacity ofthe Public Health System to Improve Pop	119,788	120,000	120,000	120,000	120,000	120,000	120,000	120,000
93539 Health Immunization Infrastructure and Performance	233,153	820,000	615,000	615,000	615,000	0	0	0
93566 Refugee and Entrant Assistance - State	101,839	110,000	110,000	110,000	110,000	110,000	110,000	110,000
93576 Refugee & Entrant Assistance Grants	55,286	100,000	100,000	100,000	100,000	100,000	100,000	100,000
93624 ACA - State Innovation Models: Funding For Model Design And	62,579	148,570	303,850	303,850	303,850	312,965	312,965	312,965
93733 Capacity Building Assistance to Strengthen Public Health Imm	337,273	1,068,297	267,074	267,074	267,074	0	0	0
93735 Prevention and Public Health Funds	118,354	156,722	156,722	156,722	156,722	156,722	156,722	156,722
93757 State Public Health Actions to Prevent and Control	838,518	644,541	644,541	644,541	644,541	644,541	644,541	644,541
93758 Preventive Health and Health Services Block Grant	583,576	875,265	875,265	875,265	875,265	875,265	875,265	875,265
93815 Domestic Ebola Supplement to the Epidemiology and Laboratory	53,296	337,152	202,291	202,291	202,291	0	0	0
93917 Hiv Care Formula Grants	26,339,771	26,581,789	26,581,789	26,581,789	26,581,789	26,581,789	26,581,789	26,581,789
93919 Cooperative Agreements For State-Based	704,654	1,276,862	1,276,862	1,276,862	1,276,862	1,276,862	1,276,862	1,276,862
93926 Healthy Start Initiative	670,012	671,000	672,000	672,000	672,000	672,550	672,550	672,550
93940 HIV Prevention Activities Health Depar	3,649,495	3,649,495	3,649,495	3,649,495	3,649,495	3,649,495	3,649,495	3,649,495
93943 Epidemiologic Research Studies ofAcquired Immunodeficiency	367,620	500,000	500,000	500,000	500,000	500,000	500,000	500,000
93944 Human Immunodeficiency Virus (HIV)/Acq	863,641	827,000	827,000	827,000	827,000	827,000	827,000	827,000
93945 Asst Pgm-Chronic Disease	1,005,546	1,496,996	1,496,996	1,496,996	1,496,996	1,496,996	1,496,996	1,496,996
93946 Cooperative Agreements to Support Stat	96,992	96,992	96,992	96,992	96,992	96,992	96,992	96,992
93977 Preventive Health Services Sexually Tr	505,922	506,732	507,800	507,800	507,800	508,665	508,665	508,665
93994 Maternal & Child Health Services	3,213,990	3,213,990	3,213,990	3,213,990	3,213,990	3,213,990	3,213,990	3,213,990
Total - All Funds	158,246,733	170,350,715	169,069,515	169,134,014	178,384,229	168,431,458	168,672,182	177,822,397

LABORATORY SERVICES

Statutory Reference

C.G.S. Sections 19a-26, 19a-29, 19a-55, 19a-111a-b; and 25-40.

Statement of Need and Program Objectives

To protect the health and safety of Connecticut residents by providing high quality, timely and cost-effective laboratory services for detection of infectious disease agents, newborn genetic, metabolic and endocrine disorders and environmental chemicals and toxins; and by providing test data to health and environmental agencies for disease prevention and control and for environmental remediation.

Program Description

The public health laboratory supports the needs of all communities in the state by analyzing human clinical specimens and environmental samples submitted by federal and state agencies,

local health departments, the health care community and water utilities. Test results and analytical data are used to monitor for agents harmful to the public, to identify the cause of outbreaks, and to assure that control measures are effective. The laboratory is comprised of the following testing units:

Biological Science Services tests for infectious agents in humans, animals, food and water and provides reference testing in support of epidemiological surveillance and outbreak investigations using advanced molecular testing methodologies. Laboratory services include testing for infectious disease agents, such as tuberculosis, influenza, norovirus, sexually transmitted diseases, blood parasites, and viral diseases transmitted by mosquitoes and ticks.

The laboratory also provides testing for rabies virus, DNA fingerprinting of food-borne pathogens, and testing of all Connecticut newborns for the presence of 67 inherited disorders

that cause severe mental and/or physical illnesses. It provides blood lead testing for uninsured and underinsured children. The laboratory is designated as the state's biological emergency response laboratory, is certified to analyze samples for biological threat agents, and participates in the National Laboratory Response Network. The laboratory provides identification services for "white powder" events for state and federal law enforcement.

Environmental Chemistry Services tests for over 100 toxic chemicals in: housing and schools; public drinking water supplies and private wells; in rivers, lakes and streams; in wastewater and soils; and in consumer products and other materials where there is potential human exposure. The section also provides analytical support by

testing environmental samples collected for investigations involving elevated blood lead levels in children. The laboratory tests environmental samples such as paint chips, folk medicines and other environmental sources implicated in exposures of children with elevated blood lead levels. Other services include monitoring the nuclear power industry, serving on the state's nuclear response team, and maintaining preparedness and capabilities to respond to radiation or other toxins in food or environmental samples. The laboratory is designated as the state's chemical emergency response laboratory to provide testing of clinical specimens in the event of an incident involving chemical threat agents.

Program Measures

	FY 2016	FY 2017	FY 2018	FY 2019
	Actual	Estimated	Projected	Projected
Number of newborn specimens screened for genetic, metabolic and endocrine disorders	38,534	38,000	37,500	37,500
Laboratory testing quality: number of external accreditations earned/percent correct on proficiency performance/number of proficiency tests performed	11/98.8%/1,180	11/98.8%/1,180	10/99.0%/1,180	10/99.0%/1,180
Number of specimen and sample collection/transport kits for infectious diseases sent to CT submitters	56,500	57,000	57,000	57,000
Number of environmental test procedures for chemicals and toxins	21,754	21,900	23,000	23,000
Number of specimens and samples tested/year	147,503	148,000	149,500	149,500

Personnel Summary

Permanent Full-Time Positions

	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	63	8	0	71	71	76	71	76
Federal Funds	26	1	0	27	27	27	27	27
Private Funds	4	1	0	5	5	5	5	5

Financial Summary by Program

General Fund

	FY 2016	FY 2017	FY 2018	FY 2018	FY 2018	FY 2019	FY 2019	FY 2019
	Actual	Estimated	Requested	Baseline	Recommended	Requested	Baseline	Recommended
Personal Services	3,906,256	3,947,882	3,947,882	3,947,882	4,907,817	3,947,882	3,947,882	4,907,817
Other Expenses	4,320,493	4,195,994	4,195,994	4,266,591	5,197,846	4,195,994	4,268,708	5,199,963
<i>Other Current Expenses</i>								
Children's Health Initiatives	0	0	0	0	599,177	0	0	599,177
Total-General Fund	8,226,749	8,143,876	8,143,876	8,214,473	10,704,840	8,143,876	8,216,590	10,706,957

Other Funds Available

	FY 2016	FY 2017	FY 2018	FY 2018	FY 2018	FY 2019	FY 2019	FY 2019
	Actual	Estimated	Requested	Baseline	Recommended	Requested	Baseline	Recommended
Private Funds	3,182,378	3,454,090	3,454,090	3,454,090	0	3,454,090	3,454,090	0

Federal Contributions

	FY 2016	FY 2017	FY 2018	FY 2018	FY 2018	FY 2019	FY 2019	FY 2019
	Actual	Estimated	Requested	Baseline	Recommended	Requested	Baseline	Recommended
10479 Food Safety Cooperative Agreements	96,010	84,500	84,500	84,500	84,500	84,500	84,500	84,500
66432 State Public Water System Supervision	129,045	194,817	194,817	194,817	194,817	194,817	194,817	194,817
66468 Capitalization Grants For Drinking Water	211	761	761	761	761	761	761	761
66472 Beach Monitoring & Notification	29,702	20,000	20,000	20,000	20,000	20,000	20,000	20,000
93069 Public Health Emergency Preparedness	967,393	800,000	800,000	800,000	800,000	800,000	800,000	800,000
93074 Hospital Preparedness Program (Hpp) and Public Health Emerge	19,804	20,850	21,250	21,250	21,250	22,475	22,475	22,475

93103 Food & Drug Administration Research	228,165	250,000	250,000	250,000	250,000	250,000	250,000	250,000
93116 Grants For Tuberculosis Control Programs	50,702	50,702	50,702	50,702	50,702	50,702	50,702	50,702
93268 Immunization Grants	2,294	2,294	2,294	2,294	2,294	2,294	2,294	2,294
93283 Ctrs-Disease Control & Prevention	164,508	164,508	164,508	164,508	164,508	164,508	164,508	164,508
93317 Emerging Infections Programs	112,459	112,458	112,458	112,458	112,458	112,458	112,458	112,458
93323 Epidemiology and Lab Capacity For Infectious Diseases	406,535	406,535	861,013	861,013	861,013	861,013	861,013	861,013
93521 The Affordable Care Act	232,312	232,343	232,343	232,343	232,343	232,343	232,343	232,343
93815 Domestic Ebola Supplement to the Epidemiology and Laboratory	309,179	1,646,096	987,658	987,658	987,658	0	0	0
93940 HIV Prevention Activities Health Depar	191,117	191,117	191,117	191,117	191,117	191,117	191,117	191,117
93977 Preventive Health Services Sexually Tr	73,656	0	0	0	0	0	0	0
93994 Maternal & Child Health Services	551,609	551,609	551,609	551,609	551,609	551,609	551,609	551,609
Total - All Funds	14,973,828	16,326,556	16,122,996	16,193,593	15,229,870	15,136,563	15,209,277	14,245,554

COMMISSIONER'S PROGRAMS

Statutory Reference

C.G.S. Sections 19a-1a, 19a-1d, 19a-2a, 19a-4j-k, 19a-7, 19a-7a, 19a-14, 19a-25, 19a-32c, 19a-127k-l, 19a-178, 19a-200 *et seq.*, 19a-240 *et seq.*, 19a-634, and 46b-20 *et seq.*

Statement of Need and Program Objectives

To establish program direction and ensure conformance with overall agency policy. To plan for and respond effectively to public health emergencies.

Program Description

Various offices provide support to the agency's major programs.

The Office of the Commissioner establishes program direction and ensures conformance with overall agency policy.

The Communications and Government Relations Office provides a full range of communication activities that serve the department and its stakeholders. The office is responsible for legislative and regulatory information and referral activities, including the implementation of strategies to achieve the goals of the department's legislative agenda. Key functions include public information, freedom of information, media and community relations, marketing communications, issues management and public affairs, internet services, internal communications, and crisis and emergency risk communications. The office tracks and analyzes public health-related legislation, ensures the implementation of approved legislation, coordinates the development of regulations and maintains the Public Health Code.

The Office of the General Counsel presides over hearings and renders decisions in cases concerning: individual healthcare providers who are not overseen by licensing boards; orders issued by local health directors; orders concerning the Women, Infants and Children's (WIC) program; facility licensees; voluntary and involuntary transfers of water companies; orders issued to water companies; involuntary discharges from long-term care facilities; reporting adverse actions to federally mandated databases; and conducting need for new or

expanded service hearings concerning emergency medical service providers. The office also investigates, responds to, and represents the department in Commission on Human Rights and Opportunities proceedings and Office of Health Care Access public hearings; provides legal and administrative support for fourteen professional licensing boards; provides legal guidance on ethics questions and other legal issues, and ensures compliance with the Health Insurance Portability and Accountability Act of 1996 (HIPAA).

The Office of Public Health Preparedness and Response (OPHPR) is responsible for the design, development and implementation of public health emergency plans and initiatives. The office ensures compliance with state and federal mandates with respect to public health preparedness, and is responsible for identifying and securing grants in support of these initiatives. It provides incident command, operational management of DPH and coordination with strategic partners in emergency situations. The office also coordinates the Centers for Disease Control and Prevention (CDC) Public Health Emergency Preparedness effort, statewide Medical Reserve Corps and the Assistant Secretary of Preparedness and Response Hospital Preparedness Program. In addition, OPHPR oversees the mobile field hospital project, including directing deployments when required.

The Office of Public Health Systems Improvement manages, coordinates and supports organization-wide and multi-sector activities that result in measurable improvements in public health structures, systems and outcomes. Specific activities include: establishing an agency performance management system and implementing quality improvement processes that are developed and managed using a data-driven focus; conducting agency strategic planning, statewide health assessment, and statewide population health planning; organizing, planning for, and coordinating agency activities and documentation toward meeting national accreditation standards; providing quality improvement training, coaching, and technical assistance opportunities to public health personnel; and coordinating planning activities to enhance workforce development.

Program Measures

	FY 2016	FY 2017	FY 2018	FY 2019
	Actual	Estimated	Projected	Projected
Number of projects using quality improvement methods and tools to improve service delivery	14	18	18	18
Percentage of departmental programs having a performance dashboard	42%	60%	80%	100%
Percentage of documentation requirements met related to national public health accreditation standards	100%	100%	100%	100%
Percentage of staff trained in quality improvement methods	35%	45%	55%	65%

Personnel Summary

	FY 2016 Filled	FY 2016 Vacant	FY 2017 Change	FY 2017 Total	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
General Fund	25	8	0	33	33	33	33	33
Federal Funds	6	2	0	8	8	8	8	8

Other Positions Equated to Full-Time

	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
General Fund	2	2	2	2	2	2

Financial Summary by Program

	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
General Fund								
Personal Services	2,395,173	2,321,498	2,321,498	2,321,498	2,265,671	2,321,498	2,321,498	2,265,671
Other Expenses	163,534	260,505	260,505	260,505	244,540	260,505	260,505	244,540
Total-General Fund	2,558,707	2,582,003	2,582,003	2,582,003	2,510,211	2,582,003	2,582,003	2,510,211

Other Funds Available

	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
Private Funds	1,367	23,015	0	0	0	0	0	0

Federal Contributions

	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
10557 Special Supplement Nutrition Pgm	142	500	500	500	500	500	500	500
66468 Capitalization Grants For Drinking Water	41,696	42,946	44,235	44,235	44,235	45,562	45,562	45,562
93069 Public Health Emergency Preparedness	443,647	600,000	600,000	600,000	600,000	600,000	600,000	600,000
93070 Environmental Public Health and Emergency Response	-1,166	0	0	0	0	0	0	0
93074 Hospital Preparedness Program (Hpp) and Public Health EmERGE	988	1,028	1,125	1,125	1,125	1,250	1,250	1,250
93251 Universal Newborn Hearing Screening	143,101	143,101	143,101	143,101	143,101	143,101	143,101	143,101
93283 Ctrs-Disease Control & Prevention	1,191	0	0	0	0	0	0	0
93292 National Public Health Improvement Initiative	6,553	0	0	0	0	0	0	0
93323 Epidemiology and Lab Capacity For Infectious Diseases	14,890	14,890	31,535	31,535	31,535	31,535	31,535	31,535
93507 Strengthening Public Health Infrastructure For Imp	14,297	0	0	0	0	0	0	0
93521 The Affordable Care Act	561	560	560	560	560	560	560	560
93624 ACA - State Innovation Models: Funding For Model Design And	303,691	89,400	92,300	92,300	92,300	95,000	95,000	95,000
93758 Preventive Health and Health Services Block Grant	1,219,596	1,240,736	1,240,736	1,240,736	1,240,736	1,240,736	1,240,736	1,240,736
93889 National Bioterrorism Hospital Preparedness	-24,011	0	0	0	0	0	0	0
93917 Hiv Care Formula Grants	61,065	59,232	59,232	59,232	59,232	59,232	59,232	59,232
93946 Cooperative Agreements to Support Stat	39	0	0	0	0	0	0	0

93991 Preventive Health & Health Services	-170	0	0	0	0	0	0	0	0
97067 Homeland Security Grant Program	90,125	0	0	0	0	0	0	0	0
Total - All Funds	4,876,309	4,797,411	4,795,327	4,795,327	4,723,535	4,799,479	4,799,479	4,727,687	

HEALTH STATISTICS AND SURVEILLANCE

Statutory Reference

C.G.S. Sections 7-42, 7-50, 7-51, 7-62b, 7-73, 19a-2a, 19a-7(a), 19a-41, 19a-42, 19a-45, 19a-127(k), 19a-322, and 19a-127.

Statement of Need and Program Objectives

To provide comprehensive health data for planning; conduct population health status monitoring; and provide support to DPH staff and local, regional and state partners.

Program Description

The Health Statistics and Surveillance Section (HSS) is responsible for data collection, analysis and dissemination of a wide range of critical public health data. The section assures that access to certified copies of vital records is efficient, and that comprehensive and accurate population health statistics that portray the health status of Connecticut's population are accessible to the public, health care providers, researchers, and legislators. HSS collects and analyzes data in six areas: vital records (births, deaths, marriages and fetal deaths); behavioral risk factor population surveys for adults and youth; inpatient hospitalizations; adverse event reports from hospitals and health care facilities; reports on all newly diagnosed cancer cases; and annual state-town population estimates.

The Surveillance, Analysis and Reporting Unit analyzes and reports annually on a wide variety of health data: births, deaths, healthcare facilities reports of adverse events, inpatient hospitalizations and

population estimates. These data are critical for local health status monitoring efforts. The unit provides support for staff that use these data as well as for the healthcare quality and genomics programs within DPH.

The Statewide Vital Records Section registers births, deaths and marriages; maintains the state paternity registry and collaborates with the Department of Social Services and obstetric hospitals to encourage unwed couples to establish paternity; and processes all adoptions for Connecticut-born children, foreign-born children adopted by Connecticut residents, and adoptions finalized in Connecticut. This section issues certified copies of birth, marriage, death and fetal death upon request from eligible parties.

The Connecticut Tumor Registry is an electronic database of information on over 750,000 cancers diagnosed in Connecticut residents from 1935 through 2014. It is used by researchers to examine cancer patterns, risk factors and other cancer concerns in Connecticut. With continuing support from the National Cancer Institute, the state has the oldest cancer registry in the nation.

The Health Survey Unit administers the national Behavioral Risk Factor Surveillance Survey system (BRFSS) and the Youth Behavior Component (YBC) of the Connecticut School Health Survey (CSHS), which provides current data on risk behaviors and health care practices that affect our population's health. The health data is analyzed to identify strategic health care and school health needs within Connecticut and to monitor progress in meeting those needs.

Program Measures

	FY 2016	FY 2017	FY 2018	FY 2019
	Actual	Estimated	Projected	Projected
Vital record events in CT: Births	33,582	33,111	32,369	31,627
Vital record events in CT: Deaths	29,574	29,640	29,707	29,773
Vital record events in CT: Marriages	19,168	19,088	19,008	18,927
Vital record events in CT: Fetal Deaths	154	147	142	136
Paternity Actions	13,500	13,500	13,500	13,500
Cancers diagnosed in CT residents	20,802	20,893	20,893	21,073

Personnel Summary

Permanent Full-Time Positions	FY 2016 Filled	FY 2016 Vacant	FY 2017 Change	FY 2017 Total	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
General Fund	19	1	0	20	20	20	20	20
Federal Funds	28	1.88	-1	28.88	30	30	30	30

Financial Summary by Program

General Fund	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
--------------	----------------	-------------------	-------------------	------------------	---------------------	-------------------	------------------	---------------------

Personal Services	1,315,816	1,424,799	1,424,799	1,424,799	1,390,261	1,424,799	1,424,799	1,390,261
Other Expenses	144,189	129,410	129,410	129,410	121,479	129,410	129,410	121,479
Total-General Fund	1,460,005	1,554,209	1,554,209	1,554,209	1,511,740	1,554,209	1,554,209	1,511,740

<i>Federal Contributions</i>	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
Null	66,015	70,000	70,000	70,000	70,000	70,000	70,000	70,000
10578 Wic Grants to States(Wgs)	42,249	0	0	0	0	0	0	0
93066 State Vital Statistics Improvement Program	50,000	17,201	17,201	17,201	17,201	17,201	17,201	17,201
93069 Public Health Emergency Preparedness	72,858	0	0	0	0	0	0	0
93079 Cooperative Agreements to Promote Adolescent Healt	16,556	40,000	40,000	40,000	40,000	40,000	40,000	40,000
93136 Injury Prevention & Control Research	67,395	170,640	170,640	170,640	170,640	170,640	170,640	170,640
93296 Grant to Improve Minority Health	1,629	0	0	0	0	0	0	0
93336 Behavioral Risk Factor Surveillance System	277,880	267,877	267,877	267,877	267,877	267,877	267,877	267,877
93393 Cancer Cause & Prevention Research	2,640,894	2,688,238	2,688,238	2,688,238	2,688,238	2,688,238	2,688,238	2,688,238
93424 Non-ACA/Pphf Building Capacity ofthe Public Health System	2,182	0	0	0	0	0	0	0
93507 Strengthening Public Health Infrastructure For Imp	63,600	0	0	0	0	0	0	0
93624 ACA - State Innovation Models: Funding For Model Design And	521,937	148,550	149,200	149,200	149,200	150,950	150,950	150,950
93758 Preventive Health and Health Services Block Grant	44,536	45,000	45,000	45,000	45,000	45,000	45,000	45,000
93994 Maternal & Child Health Services	290,748	290,748	290,748	290,748	290,748	290,748	290,748	290,748
99999 Other	449,304	167,706	167,706	167,706	167,706	167,706	167,706	167,706
Total - All Funds	6,067,788	5,460,169	5,460,819	5,460,819	5,418,350	5,462,569	5,462,569	5,420,100

OFFICE OF HEALTH CARE ACCESS

Statutory Reference

C.G.S. Sections 19a-486 through 486i, and Chapter 368z.

Statement of Need and Program Objectives

To administer the Certificate of Need (CON) program to ensure that access to quality health care services is maintained or improved and costly duplication of services is prevented.

Program Description

The Office of Health Care Access' (OHCA) regulatory and planning activities are intended to increase accessibility, continuity and quality of health care services, prevent unnecessary duplication of health resources and provide financial stability and cost containment of health care services. The CON program promotes access, ensures quality and controls costs by limiting the establishment or termination of certain health care services, the acquisition of certain medical equipment, the acquisition of hospitals by for-profit entities and the change in ownership of certain health care facilities to those that are found to be needed, based on twelve statutory criteria.

By law, the office's biennial Statewide Health Care Facilities and Services Plan must be considered when rendering a CON decision. It contains standards, guidelines and methodologies to be utilized in the CON review process. The plan examines areas of unmet need, identifies gaps in health care services, and includes utilization data and information and statistics on preventable hospitalizations. OHCA updates an inventory of health care facilities, services and equipment every two years.

The office also collects, analyzes and reports on a wide range of hospital financial and discharge data, including revenues, expenses, uncompensated care, inpatient utilization, and payer mix, as well as various financial performance measures and utilization trends. OHCA collects various notifications, data and information related to group practices, affiliations, medical foundations and facility fees. Hospital financial and discharge data are used in support of the CON program and OHCA's facility and services planning efforts, as well as to inform the public, the health care industry and policy makers on the state's health care environment. To assist the public in accessing useful healthcare information, OHCA maintains an interactive tool on its website that provides Connecticut hospital utilization, quality and cost information such as quality ratings and service use rates.

Program Measures

	FY 2016	FY 2017	FY 2018	FY 2019
	Actual	Estimated	Projected	Projected
Number of CON decisions rendered	26	26	26	26
Number of CON determinations	58	58	58	58
Number of CON hearings conducted	14	14	14	14

Personnel Summary

	FY 2016 Filled	FY 2016 Vacant	FY 2017 Change	FY 2017 Total	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
<i>Permanent Full-Time Positions</i>								
General Fund	18	3	0	21	21	23	21	0
Private Funds	1	1	-2	0	0	0	0	0

Other Positions Equated to Full-Time

	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
General Fund	1	1	1	1	1	1

Financial Summary by Program

	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
<i>General Fund</i>								
Personal Services	1,664,452	1,837,382	1,837,382	1,837,382	1,926,282	1,837,382	1,837,382	0
Other Expenses	41,000	40,526	40,526	40,526	38,042	40,526	40,526	0
Total-General Fund	1,705,452	1,877,908	1,877,908	1,877,908	1,964,324	1,877,908	1,877,908	0

Other Funds Available

	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
Private Funds	62,115	20,000	0	0	0	0	0	0
Total - All Funds	1,767,567	1,897,908	1,877,908	1,877,908	1,964,324	1,877,908	1,877,908	0

AGENCY FINANCIAL SUMMARY - GENERAL FUND**Current Expenses by Minor Object**

	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
<i>Personal Services</i>						
Salaries & Wages-Full Time	33,308,891	33,648,373	33,648,373	34,293,483	33,648,373	33,155,099
Salaries & Wages-Temporary	38,284	231,494	231,494	231,494	231,494	231,494
Salaries & Wages-Part Time	714,970	807,515	807,515	872,419	807,515	821,755
Longevity Payments	205,358	180,000	180,000	181,829	180,000	159,329
Overtime	40,591	75,000	75,000	75,000	75,000	65,625
Accumulated Leave	328,458	415,000	415,000	415,000	415,000	363,125
Other Salaries & Wages	7,174	10,000	10,000	10,000	10,000	8,750
Other	170,760	0	0	0	0	0
TOTAL - Personal Services-Personal Services	34,814,486	35,367,382	35,367,382	36,079,225	35,367,382	34,805,177

Other Expenses

Capital Outlays	1,167	0	0	0	0	0
Communications	343,457	296,086	296,086	277,939	296,086	277,074
Electricity	695,270	695,270	695,270	652,659	695,270	652,659
Employee Benefits	0	106	106	100	106	100

Employee Expenses	38,653	40,799	40,799	38,299	40,799	34,761
Employee Travel	30,788	29,764	29,764	27,940	29,764	26,255
Equipment Rental and Maintenance	591,479	490,204	490,204	460,160	490,204	460,160
Food And Beverages	850	850	850	798	850	798
Information Technology	415,291	879,671	879,671	825,757	879,671	818,256
Motor Vehicle Costs	211,187	214,432	214,432	201,290	214,432	201,290
Natural Gas	119,881	125,000	125,000	117,339	125,000	117,339
Other / Fixed Charges	32,305	32,305	32,305	30,325	32,305	30,325
Other Services	879,793	781,263	781,263	1,203,674	781,263	1,326,295
Premises Expenses	1,851,090	1,782,616	1,782,616	1,743,962	1,782,616	1,745,985
Professional Services	100,003	100,489	100,489	94,331	100,489	94,331
Purchased Commodities	1,304,183	1,205,344	1,205,344	1,911,613	1,205,344	1,909,047
Water	67,502	67,503	67,503	63,366	67,503	63,366
TOTAL-Other Expenses	6,682,899	6,741,702	6,741,702	7,649,552	6,741,702	7,758,041

Other Current Expenses

Children's Health Initiatives	2,228,871	0	0	3,058,748	0	3,058,748
Childhood Lead Poisoning	63,655	0	0	0	0	0
Children with Special Health Care Needs	978,884	0	0	0	0	0
Maternal Mortality Review	0	1	1	0	1	0
TOTAL-Other Current Expenses	3,271,410	1	1	3,058,748	1	3,058,748

Pmts to Other Than Local Govts

Community Health Services	1,801,585	2,008,515	2,008,515	1,478,104	2,008,515	1,478,104
Rape Crisis	610,838	558,104	558,104	539,966	558,104	539,966
Genetic Diseases Programs	235,516	0	0	0	0	0
TOTAL-Pmts to Other Than Local Govts	2,647,939	2,566,619	2,566,619	2,018,070	2,566,619	2,018,070

Pmts to Local Governments

Local and District Departments of Health	4,367,839	4,083,916	4,083,916	3,684,078	4,083,916	3,684,078
School Based Health Clinics	11,597,078	11,280,633	11,280,633	10,152,570	11,280,633	10,152,570
TOTAL-Pmts to Local Governments	15,964,917	15,364,549	15,364,549	13,836,648	15,364,549	13,836,648

Character & Major Object Summary

	FY 2016	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
	Actual	Estimated	Requested	Recommended	Requested	Recommended
Personal Services	34,814,486	35,367,382	35,367,382	36,079,225	35,367,382	34,805,177
Other Expenses	6,682,899	6,741,702	6,741,702	7,649,552	6,741,702	7,758,041
Other Current Expenses	3,271,410	1	1	3,058,748	1	3,058,748
Pmts to Other Than Local Govts	2,647,939	2,566,619	2,566,619	2,018,070	2,566,619	2,018,070
Pmts to Local Governments	15,964,917	15,364,549	15,364,549	13,836,648	15,364,549	13,836,648
TOTAL-General Fund	63,381,651	60,040,253	60,040,253	62,642,243	60,040,253	61,476,684

AGENCY FINANCIAL SUMMARY - INSURANCE FUND

Other Current Expenses

Needle and Syringe Exchange Program	455,105	459,416	459,416	459,416	459,416	459,416
AIDS Services	4,857,414	4,975,686	4,975,686	4,975,686	4,975,686	4,975,686
Breast and Cervical Cancer Detection and Treatment	2,111,168	2,150,565	2,150,565	2,150,565	2,150,565	2,150,565

Immunization Services	32,728,049	34,000,718	35,700,352	45,382,653	36,749,800	46,508,326
TOTAL-Other Current Expenses	40,151,736	41,586,385	43,286,019	52,968,320	44,335,467	54,093,993
<i>Pmts to Other Than Local Govts</i>						
X-Ray Screening and Tuberculosis Care	803,047	1,115,148	1,115,148	1,115,148	1,115,148	1,115,148
TOTAL-Pmts to Other Than Local Govts	803,047	1,115,148	1,115,148	1,115,148	1,115,148	1,115,148
<i>Pmts to Local Governments</i>						
Venereal Disease Control	197,171	197,171	197,171	197,171	197,171	197,171
TOTAL-Pmts to Local Governments	197,171	197,171	197,171	197,171	197,171	197,171
<i>Character & Major Object Summary</i>						
	FY 2016	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
	Actual	Estimated	Requested	Recommended	Requested	Recommended
Other Current Expenses	40,151,736	41,586,385	43,286,019	52,968,320	44,335,467	54,093,993
Pmts to Other Than Local Govts	803,047	1,115,148	1,115,148	1,115,148	1,115,148	1,115,148
Pmts to Local Governments	197,171	197,171	197,171	197,171	197,171	197,171
TOTAL-Insurance Fund	41,151,954	42,898,704	44,598,338	54,280,639	45,647,786	55,406,312

Overtime	0	0	0	0	0	9,375
Accumulated Leave	0	0	0	0	0	51,875
Other Salaries & Wages	0	0	0	0	0	1,250
TOTAL - Personal Services-Personal Services	0	0	0	0	0	1,937,390

Other Expenses

Communications	0	0	0	0	0	865
Employee Expenses	0	0	0	0	0	3,538
Employee Travel	0	0	0	0	0	1,685
Information Technology	0	0	0	0	0	7,501
Other Services	0	0	0	0	0	21,793
Premises Rent Expense-Landlord	0	0	0	0	0	94
Purchased Commodities	0	0	0	0	0	2,566
TOTAL-Other Expenses	0	0	0	0	0	38,042

Character & Major Object Summary

	FY 2016	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
	Actual	Estimated	Requested	Recommended	Requested	Recommended
Personal Services	0	0	0	0	0	1,937,390
Other Expenses	0	0	0	0	0	38,042
TOTAL-General Fund	0	0	0	0	0	1,975,432

AGENCY FINANCIAL SUMMARY - INSURANCE FUND

Current Expenses by Minor Object

	FY 2016	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
<i>Personal Services</i>	Actual	Estimated	Requested	Recommended	Requested	Recommended
Salaries & Wages-Full Time	0	0	0	0	0	729,528
TOTAL - Personal Services-Personal Services	0	0	0	0	0	729,528

Other Expenses

Employee Travel	0	0	0	0	0	20,000
Other Services	0	0	0	0	0	200,000
Professional Services	0	0	0	0	0	1,500,000
Purchased Commodities	0	0	0	0	0	807,267
TOTAL-Other Expenses	0	0	0	0	0	2,527,267

Other Current Expenses

Fringe Benefits	0	0	0	0	0	574,832
TOTAL-Other Current Expenses	0	0	0	0	0	574,832

Character & Major Object Summary

	FY 2016	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
	Actual	Estimated	Requested	Recommended	Requested	Recommended
Personal Services	0	0	0	0	0	729,528
Other Expenses	0	0	0	0	0	2,527,267
Other Current Expenses	0	0	0	0	0	574,832
TOTAL-Insurance Fund	0	0	0	0	0	3,831,627



OFFICE OF THE CHIEF MEDICAL EXAMINER

<http://www.ct.gov/ocme/>

AGENCY DESCRIPTION

The Office of the Chief Medical Examiner (OCME), functioning under the regulations of the Commission on Medicolegal Investigations, is the only state agency that investigates and certifies fatalities in the following categories: deaths due to any form of injury, whether resulting from accident, suicide or homicide or under suspicious circumstances; sudden or unexpected deaths not due to readily recognizable disease or within 24 hours of hospital admission; deaths of any individual whose body is to be disposed of in a manner which will render it unavailable for later examination; deaths resulting from employment; deaths due to disease which might constitute a threat to the public health, and deaths under anesthesia, in the operating or recovery room, following transfusions, or during diagnostic procedures.

Medicolegal investigations protect the public health by diagnosing previously unsuspected contagious disease; by identifying hazardous environmental conditions in the workplace, in the home and elsewhere; by identifying trends such as changes in numbers of homicides, traffic fatalities and drug and alcohol related deaths, by issuing an accurate death certificate with an etiologically specific underlying cause of death, and by identifying new types and forms of drugs appearing in the state, or existing drugs/substances becoming new subjects of abuse. Information provided by these death investigations may assist in the proper adjudication in criminal and civil matters.

RECOMMENDED SIGNIFICANT CHANGES

Baseline Adjustments

- Annualize FY 2016 Deficiencies

Provides \$181,135 for shortfalls in Personal Services and \$175,000 in Other Expenses.

	<u>2017-2018</u>	<u>2018-2019</u>
Annualize FY 2016 Deficiencies	356,135	356,135
Provide Funding for Equipment	20,080	16,990

- Provide Funding for Equipment

Reductions

- Annualize FY 2017 Holdbacks

To align budget growth with available resources, funding is reduced to reflect the continuation of allocated bottom-line lapses contained in Public Act 16-2 (May Spec. Sess.).

	<u>2017-2018</u>	<u>2018-2019</u>
Annualize FY 2017 Holdbacks	-59,836	-59,836

Revenue

- Increase Cremation Certificate Fee

Increase the cremation certificate fee from \$150 to \$200, resulting in a General Fund revenue increase of \$831,050 annually.

	<u>2017-2018</u>	<u>2018-2019</u>
Increase Cremation Certificate Fee	0	0

AGENCY PROGRAMS

Personnel Summary

Permanent Full-Time Positions

	<u>FY 2016 Filled</u>	<u>FY 2016 Vacant</u>	<u>FY 2017 Change</u>	<u>FY 2017 Total</u>	<u>FY 2018 Requested</u>	<u>FY 2018 Recommended</u>	<u>FY 2019 Requested</u>	<u>FY 2019 Recommended</u>
General Fund	40	10	0	50	50	50	50	50

Other Positions Equated to Full-Time

	<u>FY 2016 Actual</u>	<u>FY 2017 Estimated</u>	<u>FY 2018 Requested</u>	<u>FY 2018 Recommended</u>	<u>FY 2019 Requested</u>	<u>FY 2019 Recommended</u>
General Fund	5	5	9	9	9	9

Agency Program by Total Funds

	<u>FY 2016 Actual</u>	<u>FY 2017 Estimated</u>	<u>FY 2018 Requested</u>	<u>FY 2018 Baseline</u>	<u>FY 2018 Recommended</u>	<u>FY 2019 Requested</u>	<u>FY 2019 Baseline</u>	<u>FY 2019 Recommended</u>
Medicolegal Investigations	6,492,966	6,204,516	7,331,998	6,280,731	6,220,895	7,390,828	6,277,641	6,217,805
TOTAL Agency Programs - All Funds Gross	6,492,966	6,204,516	7,331,998	6,280,731	6,220,895	7,390,828	6,277,641	6,217,805

Summary of Funding

General Fund	6,492,966	6,204,516	7,331,998	6,280,731	6,220,895	7,390,828	6,277,641	6,217,805
Total Agency Programs	6,492,966	6,204,516	7,331,998	6,280,731	6,220,895	7,390,828	6,277,641	6,217,805

AUTOPSIES AND EXAMINATIONS

Statutory Reference

C.G.S. Sections 19a-400 through 19a-414.

Statement of Need and Program Objectives

To provide accurate certification of the cause and manner of death and to identify, document, and interpret relevant forensic scientific information for use in public health surveillance and criminal and civil legal proceedings necessary for the investigation of violent, suspicious, and sudden unexpected deaths.

Program Description

The initial investigation begins when a death is reported to the agency (24/7/365). An inquiry including a scene investigation, if appropriate, is made into the circumstances surrounding the death and a determination is made whether an autopsy is required.

Medicolegal autopsies are performed by forensic pathologists at the Farmington facility. In CY 2015, the agency had 20,684 deaths reported of which 17,829 were accepted under the agency's jurisdiction. Of those, 2,612 were brought in for autopsy or examination. These autopsy and examination numbers are approximately 27% percent higher than reported in CY 2014.

In conjunction with such examinations, toxicological (chemical) analysis of body fluids and tissues and other forensic scientific examinations are performed. Autopsy report completion times exceeded accreditation requirements with greater than 90% of reports completed within 90 days following the autopsy. The agency's 60 day report completion rate is 87%.

Complete records of all investigations are maintained by the agency and are available to the family of the deceased; to any federal, state or municipal governmental agency or public health authority investigating the death; to insurance companies with a legitimate interest in the death; to all parties in civil litigation proceedings; and to treating physicians. In addition, records may be made available to other individuals with the written consent of the family, by court order, or by applicable state statute.

The office shares information with other state agencies involved in monitoring fatalities such as the Departments of Children and Families, Transportation, Correction, and Public Health and the Office of the Child Advocate as well as the various agencies interested in the control of narcotics and other drugs. There may be involvement with federal agencies such as the Occupational Safety and Health Administration (when death may relate to a hazard in the workplace), the National Transportation Safety Board (in the event of an airplane crash), the Federal Bureau of Investigation (when death is incident to violation of federal law), the National Violent Death Reporting System, and the Drug Enforcement Agency. Deaths due to potentially contagious disease are promptly reported to the epidemiology section of the Connecticut Department of Public Health.

Forensic pathologists and investigators are actively involved in the education of law enforcement officers, medical and law students, pathology residents, attorneys, emergency medical technicians, nurses, and mortuary students. The professional staff is available for pre-trial conferences with attorneys from both sides of litigation to discuss available forensic, pathologic, and other scientific information.

Program Measures

	FY 2016	FY 2017	FY 2018	FY 2019
	Actual	Estimated	Projected	Projected
Percent of autopsy reports completed within 60 days	88%	85%	80%	75%
Percent of autopsy reports completed within 90 days	97%	95%	93%	91%
Average turn-around-time for toxicology reports (NMS)	12 days	12 days	12 days	12 days
Number of autopsies	2,350	2,600	2,850	3,000
Number of cremations	16,623	17,000	17,300	17,600
Number of reported cases	20,589	20,900	21,200	21,500
Number of OCME death scenes	1,562	1,600	1,650	1,700
Percent of OCME scenes attended by an OCME investigator	98%	96%	90%	80%
Investigator death certificates (out-of-office certifications)	823	850	875	900
Remains transported by the OCME	1,590	1,800	2,000	2,200
Remains transported by contract service	1,074	1,200	1,250	1,300
Organ and Tissue Donation: Total organ, tissue, and eye recovery procedures on OCME cases	300	320	340	360

Personnel Summary		FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
<i>Permanent Full-Time Positions</i>		Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund		40	10	0	50	50	50	50	50
<i>Other Positions Equated to Full-Time</i>				FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
General Fund				5	5	9	9	9	9
Financial Summary by Program		FY 2016	FY 2017	FY 2018	FY 2018	FY 2018	FY 2019	FY 2019	FY 2019
<i>General Fund</i>		Actual	Estimated	Requested	Baseline	Recommended	Requested	Baseline	Recommended
Personal Services		4,924,396	4,871,690	5,740,721	4,782,825	4,736,809	5,740,721	4,782,825	4,736,809
Other Expenses		1,526,104	1,293,167	1,518,703	1,448,167	1,435,536	1,580,623	1,448,167	1,435,536
<u>Capital Outlay</u>									
Equipment		18,938	16,824	49,739	26,904	26,400	46,649	23,814	23,310
<u>Other Current Expenses</u>									
Medicolegal Investigations		23,528	22,835	22,835	22,835	22,150	22,835	22,835	22,150
Total-General Fund		6,492,966	6,204,516	7,331,998	6,280,731	6,220,895	7,390,828	6,277,641	6,217,805
Total - All Funds		6,492,966	6,204,516	7,331,998	6,280,731	6,220,895	7,390,828	6,277,641	6,217,805

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object		FY 2016	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
<i>Personal Services</i>		Actual	Estimated	Requested	Recommended	Requested	Recommended
Salaries & Wages-Full Time		3,900,565	3,872,088	4,699,434	3,826,072	4,699,434	3,826,072
Salaries & Wages-Temporary		36,664	0	0	0	0	0
Salaries & Wages-Part Time		193,234	260,105	390,655	260,105	390,655	260,105
Longevity Payments		11,822	11,821	11,821	11,821	11,821	11,821
Overtime		423,994	417,193	147,193	147,193	147,193	147,193
Accumulated Leave		70,966	19,542	19,542	19,542	19,542	19,542
Other Salaries & Wages		277,815	286,200	423,160	423,160	423,160	423,160
Reimbursements		9,336	4,741	43,741	43,741	43,741	43,741
Other		0	0	5,175	5,175	5,175	5,175
TOTAL - Personal Services-Personal Services		4,924,396	4,871,690	5,740,721	4,736,809	5,740,721	4,736,809
<i>Other Expenses</i>							
Client Services		1,263	1,264	1,264	1,264	1,264	1,264
Communications		37,582	36,446	36,446	36,446	36,446	36,446
Employee Expenses		1,148	1,149	1,149	1,149	1,149	1,149
Employee Travel		2,108	2,108	2,108	2,108	2,108	2,108
Equipment Rental and Maintenance		19,690	26,119	36,119	36,119	36,119	36,119
Information Technology		52,972	52,973	52,973	52,973	52,973	52,973
Motor Vehicle Costs		107,831	111,607	120,223	111,607	120,223	111,607
Other / Fixed Charges		84	0	0	0	0	0
Other Services		975,313	806,057	961,057	901,057	1,021,057	901,057
Premises Expenses		14,136	6,950	6,950	6,950	6,950	6,950
Professional Services		49,213	50,863	52,783	38,232	54,703	38,232
Purchased Commodities		264,764	197,631	247,631	247,631	247,631	247,631

TOTAL-Other Expenses	1,526,104	1,293,167	1,518,703	1,435,536	1,580,623	1,435,536
<i>Equipment</i>						
Equipment	18,938	16,824	49,739	26,400	46,649	23,310
TOTAL-Equipment	18,938	16,824	49,739	26,400	46,649	23,310
<i>Other Current Expenses</i>						
Medicolegal Investigations	23,528	22,835	22,835	22,150	22,835	22,150
TOTAL-Other Current Expenses	23,528	22,835	22,835	22,150	22,835	22,150
<i>Character & Major Object Summary</i>						
	FY 2016	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Personal Services	4,924,396	4,871,690	5,740,721	4,736,809	5,740,721	4,736,809
Other Expenses	1,526,104	1,293,167	1,518,703	1,435,536	1,580,623	1,435,536
Capital Outlay	18,938	16,824	49,739	26,400	46,649	23,310
Other Current Expenses	23,528	22,835	22,835	22,150	22,835	22,150
TOTAL-General Fund	6,492,966	6,204,516	7,331,998	6,220,895	7,390,828	6,217,805

DEPARTMENT OF DEVELOPMENTAL SERVICES

AGENCY DESCRIPTION

It is the responsibility of the Department of Developmental Services (DDS) to plan for and assist in the development of a comprehensive array of supports and services for Connecticut citizens who have intellectual disability or Prader-Willi Syndrome.

Eligibility for Services

Section 1-1g of the Connecticut General Statutes defines “intellectual disability” as “significant limitation in intellectual functions and deficits in adaptive behavior that originated during the developmental period before eighteen years of age.” Thus, three factors must be in place for a person to be diagnosed as having intellectual disability: the person has an intelligence quotient (IQ) of less than 70 as measured by a standardized intelligence test; the everyday behavior or adaptive behavior of the person is markedly below what is expected for someone of the same age in the same cultural group; and the intellectual disability occurred before the individual’s 18th birthday.

INDIVIDUALS ELIGIBLE FOR DDS SERVICES (as of June 2016)				
Age Range	In Home	Out of Home	Total	Pct
Children (0-17)	2,499	93	2,592	15%
Young Adults (18-21)	1,476	217	1,693	10%
Adults (22 and older)	5,171	7,268	12,439	74%
Total	9,146	7,578	16,724	100%
Percent	55%	45%		

The Department’s Mission

The mission of DDS is to partner with the individuals supported by the agency and their families, to support lifelong planning and to join with others to create and promote meaningful opportunities for individuals to fully participate as valued members of their communities. All citizens supported by DDS are valued contributors to their communities as family members, friends, neighbors, students, employees, volunteers, members of civic and religious associations, voters and advocates. These individuals: live, learn, work and enjoy community life in places where they can use their personal strengths, talents and passions; have safe, meaningful and empowering relationships; have families who feel supported from the earliest years and throughout their lifetimes; have lifelong opportunities and the assistance to learn things that matter to them; make informed choices and take responsibility for their lives and experience the dignity of risk; earn money to facilitate personal choices; and know their rights and responsibilities and pursue opportunities to live the life they choose.

Services and Trends

Persons with intellectual disability have much in common with non-disabled citizens in Connecticut, although individuals who have

intellectual disability often need lifelong support to exercise their rights to become full and contributing members of their communities.

As of June 30, 2016, 16,724 persons with intellectual disability were made eligible for services through the department. Each of these individuals may have access to, at a minimum, family support services, including helpline case management. In a comparison of demographic information from 10 years ago, the number of people made eligible for DDS supports under the age of 45 is nearly identical. However, the number of people made eligible for supports who are 45 or older has grown by 16%.

DDS operates three Home and Community-Based Services (HCBS) Waivers, under which most of the department’s supports are funded. Services delivered through and outside of these waivers include primarily residential and day/employment supports. It is these supports that are typically annually funded for supported individuals; additional individual and family supports may be offered on an intermittent basis.

Residential services and supports are tailored to meet the needs of the individual in his or her family or own home, in a community companion home, in a community living arrangement, with continuous residential supports, with supported living, or in a campus setting. These supports are offered by private providers or DDS. Individualized residential supports are designed to best meet the individual’s needs, goals and preferences to live in the community in a home of their choosing.

Self-directed services and supports may be delivered in a family or individual’s own home, and are provided either by private agencies or by employees hired by the individual or his or her legal representative. The number of individuals utilizing agreements to purchase and arrange their own residential supports totaled 1,225 as of June 30, 2016. Individuals who self-direct their own supports are required to utilize a fiscal intermediary to assure appropriate payment, reimbursement and overall fiscal accountability.

In addition to residential supports, the department offers day and employment services. Programs specifically aimed at achievement of employment goals include individualized or group supported employment, pre-vocational programs, and a new type of service aimed at preparing individuals for competitive employment, called transitional services. Individuals may also access day support options or individualized day supports, which lead to the acquisition, improvement, and/or retention of skills and abilities to prepare individuals for work and/or community participation, or support meaningful socialization, leisure, and retirement activities. Day and employment supports are primarily offered through private providers, with a small number of individuals supported by department staff, or self-directing their own services.

The department continues to operate the behavioral services program for children who have intellectual disability and behavioral support needs. As of September 1, 2016, this program supported

420 children and their families. Over 80% of the children are served with in-home supports.

RECOMMENDED SIGNIFICANT CHANGES

Baseline Adjustments	2017-2018	2018-2019	
<ul style="list-style-type: none"> Fund Caseload Growth for Employment and Day Services Ageouts <i>Funding will support annualization of FY 2017 placements and day programs for 93 individuals in FY 2018 and 99 individuals in FY 2019 who will be aging out of services provided by the Department of Children and Families or local education agencies.</i> 	3,790,699	6,245,543	
<ul style="list-style-type: none"> Annualize FY 2017 Funding for Individuals Who Transferred from the Autism Waiver at DSS to the Behavioral Services Program at DDS <i>Reflects funding for the transfer of nine individuals from DSS to DDS.</i> 	214,400	214,400	
<ul style="list-style-type: none"> Annualize FY 2017 Spending Plan Initiatives <i>Reflects annualization of the agency's FY 2017 initiatives including the conversions of 40 community living arrangements from publically operated to privately operated and the closure of the Ella Grasso and Meriden Regional Centers. A transfer in the amount of \$10.4 million to support private provider costs is reflected under DSS for a net savings to the state of \$6 million.</i> 	-16,437,805	-16,437,805	
<ul style="list-style-type: none"> Annualize FY 2017 Savings for Behavioral Services Program Ageouts 	-2,180,223	-2,180,223	
<ul style="list-style-type: none"> Reflect Savings Due to Southbury Training School Cottage Closure 	-500,000	-500,000	
<ul style="list-style-type: none"> Reduce Supplemental Payments for Medical Services Funding to Reflect Current Census 	-253,310	-253,310	
Reductions	2017-2018	2018-2019	
<ul style="list-style-type: none"> Annualize FY 2017 Holdbacks <i>To align budget growth with available resources, funding is reduced to reflect the continuation of allocated bottom-line lapses contained in Public Act 16-2 (May Spec. Sess.).</i> 	-6,707,554	-6,707,554	
<ul style="list-style-type: none"> Close the Southbury Training School Fire Department <i>Reflects the closure of the Southbury Training School Fire Department and transfers responsibility to the town of Southbury.</i> 	-1,634,779	-1,634,779	
<ul style="list-style-type: none"> Reflect Savings for Behavioral Services Program Ageouts <i>Funding is reduced to reflect savings for individuals who are aging out of the program.</i> 	-1,451,840	-1,451,840	
<ul style="list-style-type: none"> Reduce Family Support Grant Funding <i>Reflects a reduction in grant funding provided to families for non-emergent activities.</i> 	-370,084	-370,084	
<ul style="list-style-type: none"> Reduce Workers' Compensation Funding to Reflect Current Estimates 	-250,000	-250,000	
Reallocations	2017-2018	2018-2019	
<ul style="list-style-type: none"> Transfer Abuse and Neglect Responsibilities to DDS <i>Public Act 16-66 transfers abuse and neglect responsibilities currently provided by the Office of Protection and Advocacy to the Department of Rehabilitation Services. However, this function is moved to DDS in an effort to create a stronger, more efficient system.</i> 	1,009,178	1,009,178	
<ul style="list-style-type: none"> Transfer Funding for Individuals in Skilled Nursing Facilities to DSS to Claim Federal Reimbursement on Specialized Services <i>Transfer day supports funding for individuals residing in nursing homes on a long term basis to the Department of Social Services in order to maximize federal reimbursements.</i> 	-1,812,731	-2,719,097	
<ul style="list-style-type: none"> Close the Transitional Unit at Meriden Regional Center <i>Reflects the transfer of individuals to private group homes and the transfer of \$900,000 to the Department of Social Services to support private provider placement costs. Results in a net savings to the state of \$600,000.</i> 	-1,505,196	-1,505,196	
Expansions	2017-2018	2018-2019	2019-2020
<ul style="list-style-type: none"> Provide Funds for ID Partnership Initiatives <i>Funding will support initiatives to help address the waiting list and explore initiatives that can develop a broader continuum of community-based residential services.</i> 	3,300,000	3,800,000	3,800,000
<ul style="list-style-type: none"> Provide Funds for Supportive Housing Supports <i>Reflects funding for wrap-around services for 70 individuals with intellectual disability or an autism diagnosis residing in new supportive housing units anticipated to open in late FY 2019.</i> 	0	350,000	1,400,000

AGENCY PROGRAMS

<i>Personnel Summary</i>	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
<i>Permanent Full-Time Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	2,421	897	-220	3,098	2,968	2,948	2,968	2,948
Federal Funds	3	0	0	3	3	3	3	3

<i>Other Positions Equated to Full-Time</i>	FY 2016	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
	Actual	Estimated	Requested	Recommended	Requested	Recommended
General Fund	958	970	970	970	970	970

<i>Agency Program by Total Funds</i>	FY 2016	FY 2017	FY 2018	FY 2018	FY 2018	FY 2019	FY 2019	FY 2019
	Actual	Estimated	Requested	Baseline	Recommended	Requested	Baseline	Recommended
Agency Management Services	45,226,865	37,575,118	39,519,709	39,519,709	37,051,885	39,519,709	39,519,709	37,051,885
Resource Supports	66,477,559	57,462,462	57,125,527	57,339,927	57,341,732	57,125,527	57,339,927	57,591,732
Employment & Day Supports	232,698,165	244,080,189	256,205,925	248,079,136	243,556,569	266,523,940	250,533,980	245,105,047
Residential Supports	717,785,704	186,860,667	168,566,333	167,673,425	165,239,005	168,688,481	167,673,425	165,839,005
TOTAL Agency Programs - All Funds Gross	1,062,188,293	525,978,436	521,417,494	512,612,197	503,189,191	531,857,657	515,067,041	505,587,669

<i>Summary of Funding</i>	FY 2016	FY 2017	FY 2018	FY 2018	FY 2018	FY 2019	FY 2019	FY 2019
	Actual	Estimated	Requested	Baseline	Recommended	Requested	Baseline	Recommended
General Fund	1,059,215,744	525,138,436	518,577,494	509,772,197	500,349,191	529,017,657	512,227,041	502,747,669
Federal Funds	955,721	800,000	2,800,000	2,800,000	2,800,000	2,800,000	2,800,000	2,800,000
Private Funds	2,008,479	40,000	40,000	40,000	40,000	40,000	40,000	40,000
Special Non-Appropriated Funds	8,349	0	0	0	0	0	0	0
Total Agency Programs	1,062,188,293	525,978,436	521,417,494	512,612,197	503,189,191	531,857,657	515,067,041	505,587,669

AGENCY MANAGEMENT SERVICES

Statutory Reference

C.G.S. Section 17a-210.

Statement of Need and Program Objectives

To plan, develop, implement, and direct a comprehensive array of services for Connecticut's citizens with intellectual disability.

Program Description

Agency Management Services ensure that a comprehensive, integrated, equitable, and cost-effective system of services is available to individuals with intellectual disability through coordinated planning, systems improvement, and standardizations of best practices.

Ongoing staff development opportunities are available to public and private employees at regional and statewide levels. Training programs designed to develop and enhance employee competence occur in the classroom, through web-based learning opportunities, on-the-job training, and through mentoring activities. Programs include: health and wellness, safety and basic protections, medication administration, specialized supports, person-centered planning, individual and family support, case management, and quality management.

<i>Personnel Summary</i>	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
<i>Permanent Full-Time Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	389	144	-36	497	495	494	495	494
Federal Funds	3	0	0	3	3	3	3	3

<i>Other Positions Equated to Full-Time</i>	FY 2016	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
	Actual	Estimated	Requested	Recommended	Requested	Recommended
General Fund	154	155	155	155	155	155

<i>Financial Summary by Program</i>	FY 2016	FY 2017	FY 2018	FY 2018	FY 2018	FY 2019	FY 2019	FY 2019
<i>General Fund</i>	Actual	Estimated	Requested	Baseline	Recommended	Requested	Baseline	Recommended
Personal Services	34,773,956	30,578,744	30,523,335	30,523,335	28,238,811	30,523,335	30,523,335	28,238,811
Other Expenses	6,982,264	6,178,570	6,178,570	6,178,570	5,995,270	6,178,570	6,178,570	5,995,270
<u>Other Current Expenses</u>								
Cooperative Placements Program	68	0	0	0	0	0	0	0
Clinical Services	17,830	13,804	13,804	13,804	13,804	13,804	13,804	13,804
Autism Services	551,555	0	0	0	0	0	0	0
Other Current Expenses	569,453	13,804	13,804	13,804	13,804	13,804	13,804	13,804
Total-General Fund	42,325,673	36,771,118	36,715,709	36,715,709	34,247,885	36,715,709	36,715,709	34,247,885
<i>Other Funds Available</i>	FY 2016	FY 2017	FY 2018	FY 2018	FY 2018	FY 2019	FY 2019	FY 2019
	Actual	Estimated	Requested	Baseline	Recommended	Requested	Baseline	Recommended
Private Funds	1,937,122	4,000	4,000	4,000	4,000	4,000	4,000	4,000
Special Non-Appropriated Funds	8,349	0	0	0	0	0	0	0
<i>Federal Contributions</i>	FY 2016	FY 2017	FY 2018	FY 2018	FY 2018	FY 2019	FY 2019	FY 2019
	Actual	Estimated	Requested	Baseline	Recommended	Requested	Baseline	Recommended
84027 Special Education Grants to States	132,374	0	0	0	0	0	0	0
93618 Help America Vote Act Grant	18,000	0	0	0	0	0	0	0
93630 Developmental Disabilities Basic Support	777,341	800,000	800,000	800,000	800,000	800,000	800,000	800,000
93778 Medical Assistance Program	28,006	0	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000
Total - All Funds	45,226,865	37,575,118	39,519,709	39,519,709	37,051,885	39,519,709	39,519,709	37,051,885

RESOURCE SUPPORTS

Statutory Reference

C.G.S. Sections 17a-210 and 17a-219a.

Statement of Need and Program Objectives

To coordinate the planning, development and administration of a system of case management supports and services based on individual needs and preferences. These supports maximize federal resources with a 50% reimbursement to the General Fund.

To reduce the emotional and financial cost to families who care for children with disabilities and assist families with children with disabilities to find the support services and assistance to lead lives in their communities.

Program Description

Case management is available to individuals eligible for DDS services and is designed to assist individuals and their families to plan for and coordinate needed supports and services, including those directly provided by DDS, purchased from private providers, or self-directed. The agency operates regional helplines for individuals without specifically assigned case managers. As of FY 2016, 3,894

individuals and their families were eligible to receive helpline supports.

Family Supports offer a wide range of supports and services that assist families who care for individuals with intellectual disability. Supports may include: respite, short-term crisis support, in-home supports, skill training, transportation, behavioral support, clinical supports, and grants to defray extraordinary one-time expenses. During FY 2016, 1,176 people utilized public respite centers (184 children and 992 adults), 1,755 individuals received one or more grants (466 children and 1,289 adults) and an average of 880 individuals/families were served by individual and family support teams each quarter.

Specialized supports are available to individuals who require assistance due to physical disability, challenging behaviors, or health needs. Department staff, contracted specialists, and community providers conduct assessments and develop plans for specialized support services that include: behavioral, physical and occupational therapy, nursing, medical, dental, dietary, psychological, and psychiatric, communication, and adaptive devices and technology.

Personnel Summary

Permanent Full-Time Positions

	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended

General Fund	330	122	-30	422	418	418	418	418
			FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
<i>Other Positions Equated to Full-Time</i>								
General Fund			130	133	133	133	133	133
Financial Summary by Program								
<i>General Fund</i>	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
Personal Services	56,526,478	49,706,999	49,615,230	49,615,230	49,281,897	49,615,230	49,615,230	49,281,897
Other Expenses	1,251,705	1,107,628	1,107,628	1,107,628	1,107,628	1,107,628	1,107,628	1,107,628
<i>Other Current Expenses</i>								
Family Support Grants	3,696,868	3,734,242	3,734,242	3,734,242	3,326,776	3,734,242	3,734,242	3,326,776
Cooperative Placements Program	30,149	0	0	0	0	0	0	0
Clinical Services	3,054,967	2,365,073	2,119,907	2,119,907	2,112,511	2,119,907	2,119,907	2,112,511
Autism Services	1,255,740	0	0	0	0	0	0	0
Behavioral Services Program	627,502	512,520	512,520	726,920	726,920	512,520	726,920	726,920
ID Partnership Initiatives	0	0	0	0	750,000	0	0	1,000,000
Other Current Expenses	8,665,226	6,611,835	6,366,669	6,581,069	6,916,207	6,366,669	6,581,069	7,166,207
Total-General Fund	66,443,409	57,426,462	57,089,527	57,303,927	57,305,732	57,089,527	57,303,927	57,555,732
<i>Other Funds Available</i>								
Private Funds	34,150	36,000	36,000	36,000	36,000	36,000	36,000	36,000
Total - All Funds	66,477,559	57,462,462	57,125,527	57,339,927	57,341,732	57,125,527	57,339,927	57,591,732

EMPLOYMENT AND DAY SUPPORTS

Statutory Reference

C.G.S Sections 17a-217, 17a-218 and 17a-226.

Statement of Need and Program Objectives

To provide pre-vocational services for individuals to perform in a work setting, with a path to integrated community employment and to provide intensive ongoing supports to individuals and groups to enable participants to maintain competitive employment.

To provide services to individuals tailored to their specific personal outcomes related to the improvement and/or retention of skills and abilities to prepare and support an individual for community participation.

Program Description

Pre-vocational services consist of supports delivered for the purpose of furthering habilitation goals such as attendance, task completion, problem solving, interpersonal relations and safety, as outlined in a participant's person-centered services and supports plan. Services should be designed to create a path to integrated community based employment for which a participant is compensated at or above the minimum wage, but not less than the customary wage and level of benefits paid by the employer for the same or similar work performed by individuals without disabilities. In FY 2016, 266 individuals were supported through pre-vocational programs.

Group Supported Employment (GSE) provides ongoing supports for a group of individuals in a structured work environment, who are on the path to competitive employment. The individuals may be dispersed throughout the worksite among workers without

disabilities, congregated as a group in one part of the worksite; or as part of a mobile work crew. During FY 2016, 3,299 individuals were supported through GSE programs.

Day Support Options offer adults with intellectual disability opportunities to experience valued adult roles, develop relationships, and make personal choices while participating in leisure, recreation and, volunteer service activities within their community. Activities occur in a variety of natural settings such as stores, libraries, restaurants, recreational facilities and community centers. Supports include the development, maintenance or enhancement of independent functioning skills including but not limited to sensory-motor, cognition, personal grooming, hygiene, toileting, assistance in developing and maintaining friendships of choice and skills to use in daily interactions; the development of work skills; opportunities to earn money; and opportunities to participate in community activities. During FY 2016, 4,811 individuals participated in this program.

Individual Supported Employment (ISE) provides intensive, ongoing supports that enable participants, who because of their disabilities need supports, to perform in a regular work setting. Without the supports competitive employment at or above the minimum wage is unlikely.

Supported employment may include:

- Assistance in locating a job or developing a job on behalf of the participant in a variety of settings, particularly work sites where persons without disabilities are employed;

- Services and supports that assist the participant in achieving self-employment through the operation of a business;
- Activities needed to sustain paid work by the participant, including supervision and training;
- Job site training, transportation, family support, or any service necessary to achieve and maintain the supported employment placement, throughout the term of the employment;
- Supports that vary in the intensity of initial job development, intensive training, and decreasing periodic monitoring; and/or
- Contact with the participant at the work site to assess job stability unless it is determined that off-site monitoring is more appropriate for a particular participant.

ISE is time-limited, and not intended for use to provide ongoing long-term one-on-one support to enable an individual to complete work activities. In FY 2016, 603 individuals were supported through this program.

Adult Day Health supports are provided through a community-based program designed to meet the needs of cognitively and physically impaired adults through a structured, comprehensive program that provides a variety of health, social and related support services including, but not limited to, socialization, supervision and monitoring, personal care and nutrition in a protective setting during any part of a day. In FY 2016, 30 individuals were supported through this program.

Individualized Day Supports provide services to individuals, tailored to their specific personal outcomes related to the acquisition, improvement and/or retention of skills and abilities to prepare and support an individual for work and/or community participation and/or meaningful retirement activities. Supports include non-vocational, vocational and retirement supports. Non-vocational and vocational individualized day supports cannot be provided in or from a facility-based day program. Individualized day retirement program may be provided in the home in accordance with the individual's plan. In FY 2016, 1,230 individuals received individualized day supports.

Program Measures

	FY 2016 Actual	FY 2017 Estimated	FY 2018 Projected	FY 2019 Projected
Self-Directed Employment and Day	442	481	521	560
Employment and Day (Private)	9,723	10,346	10,734	11,149
Employment and Day (Public)	255	0	0	0

Personnel Summary

	FY 2016 Filled	FY 2016 Vacant	FY 2017 Change	FY 2017 Total	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
<i>Permanent Full-Time Positions</i>								
General Fund	78	29	-7	100	34	34	34	34

Other Positions Equated to Full-Time

	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
General Fund	31	31	31	31	31	31

Financial Summary by Program

	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
<i>General Fund</i>								
Personal Services	7,114,793	6,256,449	5,400,178	5,400,178	5,066,845	5,400,178	5,400,178	5,066,845
Other Expenses	193,571	171,290	48,361	48,361	48,361	48,361	48,361	48,361
<u>Other Current Expenses</u>								
Clinical Services	2,697	2,088	2,088	2,088	2,088	2,088	2,088	2,088
<u>Pmts to Other Than Local Govts</u>								
Employment Opportunities and Day Services	225,349,897	237,650,362	250,755,298	242,628,509	238,439,275	261,073,313	245,083,353	239,987,753
Total-General Fund	232,660,958	244,080,189	256,205,925	248,079,136	243,556,569	266,523,940	250,533,980	245,105,047

Other Funds Available

	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
Private Funds	37,207	0	0	0	0	0	0	0

Total - All Funds	232,698,165	244,080,189	256,205,925	248,079,136	243,556,569	266,523,940	250,533,980	245,105,047
-------------------	-------------	-------------	-------------	-------------	-------------	-------------	-------------	-------------

RESIDENTIAL SUPPORTS

Statutory Reference

C.G.S. Sections 17a-210, 17a-227(d) and 17a-228.

Statement of Need and Program Objectives

To provide services and supports to individuals necessary to develop relationships, exercise personal choice, develop competence and participate in community life within a family environment.

To provide continuous supports to ensure the health and safety of individuals with intellectual disability in a community-based home or to provide less than 24-hour supports to allow for individuals to live in their own home in order to live as independently as possible.

To provide intermediate care facilities for individuals who require a more intensive level of care.

Program Description

Community Companion Homes (CCH) offer individuals the opportunity to live within a family setting. Families are recruited, licensed and trained by department staff to share their home with one to three individuals with intellectual disability. A CCH family provider provides individuals assistance with the acquisition, retention, or improvement of skills related to activities of daily living, such as personal grooming and cleanliness, bed making and household chores, eating and the preparation of food, and the social and adaptive skills necessary to enable the individual to reside in a community-based setting. In FY 2016, 370 persons with intellectual disability received supports in these settings.

Community Living Arrangements (CLA) provide continuous supports to ensure the health and safety of individuals with intellectual disability in a community-based home licensed by DDS. Individuals are provided assistance with the acquisition, retention, or improvement of skills related to activities of daily living, such as personal grooming and cleanliness, bed making and household chores, eating and the preparation of food, and the social and adaptive skills necessary to enable the individual to reside in a community-based setting. CLAs create an environment that assists individuals they serve to become contributing citizens in their community as family members, friends, neighbors, students, employees, volunteers, members of civic and religious associations, voters, advocates, etc. They do this by assisting individuals to:

- Live, learn, work and enjoy life in their community in places where they can use their personal strengths, talents and passions;
- Develop safe, meaningful and empowering relationships with people other than service providers;
- Develop skills through lifelong learning and gain skills and competencies;

- Know their rights and responsibilities, make informed choices, take responsibility for their lives, and experience the dignity of risk; and/or
- Earn money and pursue opportunities to live the life they choose.

CLAs are operated by DDS or private providers under contract with the department. In FY 2016, 3,747 individuals resided in CLAs.

Intermediate Care Facilities (ICF) operated by DDS include three regional centers and Southbury Training School (STS). All regional campus units, as well as STS, are certified and are therefore eligible for 50% Medicaid reimbursement. As of June, 2016, the population at STS had declined to 261 residents and the population at the campus units had declined to 429 individuals.

Other Private Facilities provide specialized services that are purchased from residential treatment facilities and residential schools for individuals with significant behavioral or medical conditions. The department's goal is to only utilize such settings as a temporary support and then support people to return to living in community-based settings.

Individual Home Supports (IHS) assist with the acquisition, improvement and/or retention of skills and provide the necessary supports to achieve personal outcomes that enhance an individual's ability to live in their community. Supports are tailored to the individual's needs, and may include assistance in locating an apartment or home in the community, health care, shopping, managing finances, housekeeping, transportation, developing relationships, and leisure activities. As of June 30, 2016, 2,738 individuals were supported through IHS.

Continuous Residential Supports (CRS) ensure the health and safety of individuals with intellectual disability in a non-licensed, community-based home for three or fewer individuals. This type of setting must have readily available third shift staff, awake or asleep, and have supports available throughout non-work hours. CRS assists with the acquisition, improvement and/or retention of skills and provides the necessary support to achieve personal outcomes that enhance an individual's ability to live in their community. Supports may include provision of instruction and training in one or more need areas to enhance the individual's ability to access and use the community; implement strategies to address behavioral, medical or other needs identified in the individual plan; implement all therapeutic recommendations including speech, occupational, and physical and assist in following special diets and other therapeutic routines; mobility training or travel training; adaptive communication training; training or practice in basic consumer skills such as shopping or banking; and assisting the individual with personal care activities as needed. In FY 2016, 725 individuals were supported in CRS settings.

Program Measures

Self-Directed Residential (Family or own-home)

FY 2016	FY 2017	FY 2018	FY 2019
<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
1,225	1,267	1,309	1,352

Individualized Home Supports (Private)	1,359	1,390	1,429	1,470
Community Residential (Private)	4,169	4,251	4,341	4,428
Intermediate Care Facility (Private)	361	361	361	361
Individualized Home Supports (Public)	154	0	0	0
Community Residential (Public)	312	279	116	0
Intermediate Care Facility/Campus Units (Public)	429	403	367	331

Personnel Summary								
<i>Permanent Full-Time Positions</i>	FY 2016 Filled	FY 2016 Vacant	FY 2017 Change	FY 2017 Total	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
General Fund	1,624	602	-147	2,079	2,021	2,002	2,021	2,002

<i>Other Positions Equated to Full-Time</i>			FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
General Fund			643	651	651	651	651	651

Financial Summary by Program								
<i>General Fund</i>	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
Personal Services	145,716,825	128,137,223	113,134,555	112,634,555	110,837,393	113,134,555	112,634,555	110,837,393
Other Expenses	11,162,743	9,877,866	8,626,825	8,626,825	8,592,854	8,626,825	8,626,825	8,592,854
<u>Other Current Expenses</u>								
Housing Supports and Services	0	0	0	0	0	0	0	350,000
Family Support Grants	3,940	3,980	3,980	3,980	3,980	3,980	3,980	3,980
Cooperative Placements Program	23,895,524	0	0	0	0	0	0	0
Clinical Services	322,206	249,443	249,443	249,443	170,531	249,443	249,443	170,531
Workers' Compensation Claims	14,646,756	14,508,429	14,508,429	14,508,429	13,823,176	14,508,429	14,508,429	13,823,176
Autism Services	1,292,949	0	0	0	0	0	0	0
Behavioral Services Program	30,352,664	24,790,901	22,610,678	22,610,678	20,399,736	22,610,678	22,610,678	20,399,736
Supplemental Payments for Medical Services	4,365,926	4,262,613	4,262,613	4,009,303	3,881,425	4,262,613	4,009,303	3,881,425
ID Partnership Initiatives	0	0	0	0	2,550,000	0	0	2,800,000
Total--Other Current Expenses	74,879,965	43,815,366	41,635,143	41,381,833	40,828,848	41,635,143	41,381,833	41,428,848
<u>Pmts to Other Than Local Govts</u>								
Rent Subsidy Program	4,854,494	5,030,212	5,169,810	5,030,212	4,979,910	5,291,958	5,030,212	4,979,910
Community Residential Services	481,171,677	0	0	0	0	0	0	0
Pmts to Other Than Local Govts	486,026,171	5,030,212	5,169,810	5,030,212	4,979,910	5,291,958	5,030,212	4,979,910
Total-General Fund	717,785,704	186,860,667	168,566,333	167,673,425	165,239,005	168,688,481	167,673,425	165,839,005
Total - All Funds	717,785,704	186,860,667	168,566,333	167,673,425	165,239,005	168,688,481	167,673,425	165,839,005

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object						
<i>Personal Services</i>	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
Salaries & Wages-Full Time	163,751,519	143,996,168	135,634,204	132,019,378	135,634,204	132,019,378
Salaries & Wages-Temporary	2,097,607	1,844,547	1,814,077	1,802,529	1,814,077	1,802,529
Salaries & Wages-Part Time	22,085,773	19,421,296	17,009,376	16,976,292	17,009,376	16,976,292
Longevity Payments	1,516,684	1,333,708	1,254,779	1,236,966	1,254,779	1,236,966
Overtime	45,125,871	39,681,786	35,108,254	33,643,515	35,108,254	33,643,515
Accumulated Leave	2,695,304	2,370,137	2,284,694	2,262,085	2,284,694	2,262,085

Other Salaries & Wages	5,756,615	5,062,124	4,616,000	4,541,859	4,616,000	4,541,859
Other	1,102,679	969,649	951,914	942,322	951,914	942,322
TOTAL - Personal Services-Personal Services	244,132,052	214,679,415	198,673,298	193,424,946	198,673,298	193,424,946

Other Expenses

Client Services	538,625	476,627	473,667	476,627	473,667	476,627
Communications	1,339,415	1,186,485	1,126,298	1,116,497	1,126,298	1,116,497
Diesel-Generator	2,667	2,360	2,360	2,360	2,360	2,360
Electricity	1,820,819	1,611,236	1,503,872	1,503,872	1,503,872	1,503,872
Employee Expenses	6,083	5,383	5,383	4,178	5,383	4,178
Employee Travel	699,067	618,602	618,519	609,519	618,519	609,519
Equipment Rental and Maintenance	523,633	463,360	441,984	428,468	441,984	428,468
Food And Beverages	3,077,262	2,723,057	2,428,961	2,360,710	2,428,961	2,360,710
Information Technology	280,621	248,320	248,320	247,645	248,320	247,645
Motor Vehicle Costs	2,999,322	2,654,088	2,428,575	2,336,823	2,428,575	2,336,823
Natural Gas	878,678	777,539	735,371	735,371	735,371	735,371
Oil #2	168,558	149,156	132,186	132,186	132,186	132,186
Other Services	701,187	620,478	530,098	515,773	530,098	515,773
Premises Expenses	2,450,599	2,168,525	1,942,645	1,903,145	1,942,645	1,903,145
Premises Rent Expense-Landlord	1,293,127	1,144,283	1,144,283	1,144,283	1,144,283	1,144,283
Professional Services	1,128,533	998,634	998,370	938,370	998,370	938,370
Propane	21,867	19,350	17,299	17,299	17,299	17,299
Purchased Commodities	1,306,736	1,156,325	946,449	1,034,243	946,449	1,034,243
Salaries and Wages	1,413	0	0	0	0	0
Sewer	200,490	177,413	167,097	167,097	167,097	167,097
Water	151,581	134,133	69,647	69,647	69,647	69,647
TOTAL-Other Expenses	19,590,283	17,335,354	15,961,384	15,744,113	15,961,384	15,744,113

Other Current Expenses

Housing Supports and Services	0	0	0	0	0	350,000
Family Support Grants	3,700,808	3,738,222	3,738,222	3,330,756	3,738,222	3,330,756
Cooperative Placements Program	23,925,741	0	0	0	0	0
Clinical Services	3,397,700	2,630,408	2,385,242	2,298,934	2,385,242	2,298,934
Workers' Compensation Claims	14,646,756	14,508,429	14,508,429	13,823,176	14,508,429	13,823,176
Autism Services	3,100,244	0	0	0	0	0
Behavioral Services Program	30,980,166	25,303,421	23,123,198	21,126,656	23,123,198	21,126,656
Supplemental Payments for Medical Services	4,365,926	4,262,613	4,262,613	3,881,425	4,262,613	3,881,425
ID Partnership Initiatives	0	0	0	3,300,000	0	3,800,000
TOTAL-Other Current Expenses	84,117,341	50,443,093	48,017,704	47,760,947	48,017,704	48,610,947

Pmts to Other Than Local Govts

Rent Subsidy Program	4,854,494	5,030,212	5,169,810	4,979,910	5,291,958	4,979,910
Employment Opportunities and Day Services	225,349,897	237,650,362	250,755,298	238,439,275	261,073,313	239,987,753
Community Residential Services	481,171,677	0	0	0	0	0
TOTAL-Pmts to Other Than Local Govts	711,376,068	242,680,574	255,925,108	243,419,185	266,365,271	244,967,663

Character & Major Object Summary

	FY 2016	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Personal Services	244,132,052	214,679,415	198,673,298	193,424,946	198,673,298	193,424,946

Other Expenses	19,590,283	17,335,354	15,961,384	15,744,113	15,961,384	15,744,113
Other Current Expenses	84,117,341	50,443,093	48,017,704	47,760,947	48,017,704	48,610,947
Pmts to Other Than Local Govts	711,376,068	242,680,574	255,925,108	243,419,185	266,365,271	244,967,663
TOTAL-General Fund	1,059,215,744	525,138,436	518,577,494	500,349,191	529,017,657	502,747,669

DEPARTMENT OF MENTAL HEALTH AND ADDICTION SERVICES

<http://www.ct.gov/dmhas>

AGENCY DESCRIPTION

The Department of Mental Health and Addiction Services is responsible for promoting recovery from psychiatric and substance use disorders by providing an integrated network of comprehensive, effective and efficient mental health and addiction services and supports that foster self-sufficiency, dignity and respect. DMHAS' prevention system is designed to promote the overall health and wellness of individuals across the lifespan by preventing or delaying substance use and promoting mental health.

systems of care; promote input from people receiving services, families and advocates; address the special needs of people needing care; emphasize public safety and risk management; promote an interactive system of public and private care; apply evidence-based models to care management and delivery; assure accountability for both state and private providers; manage services in the context of health care and entitlement reforms; and enhance strategic planning and collaboration across state agencies.

The service and management objectives are to develop comprehensive, accessible and locally-based recovery-oriented

RECOMMENDED SIGNIFICANT CHANGES

	2017-2018	2018-2019
Baseline Adjustments		
<ul style="list-style-type: none"> • Adjust Funding to Reflect Increased Costs <i>Reflects increased costs in Other Expenses related to security service wage increases, Professional Services due to increased translation and medical services costs, Workers' Compensation Claims, Nursing Home Screening and Behavioral Health Medications accounts.</i> 	3,178,556	3,178,556
<ul style="list-style-type: none"> • Provide Funding for Caseload Growth and Annualization <i>Supports new caseload growth for behavioral health services for low-income adults and Money Follows the Person placements and provides full year funding for programs implemented partially through the year.</i> 	2,725,726	5,442,278
Reductions		
<ul style="list-style-type: none"> • Annualize FY 2017 Holdbacks <i>To align budget growth with available resources, funding is reduced to reflect the continuation of allocated bottom-line lapses contained in Public Act 16-2 (May Spec. Sess.).</i> 	-14,202,887	-14,202,887
<ul style="list-style-type: none"> • Reduce Grants for Mental Health, Substance Abuse Services and Employment Opportunities <i>Reflects a reduction in need for state subsidies for uninsured individuals and services as a result of the Affordable Care Act.</i> 	-4,659,620	-4,659,620
<ul style="list-style-type: none"> • Reduce Funding to Reflect Restructuring of State Operated Services <i>Reflects savings due to the conversion of certain state-operated services to private operation during FY 2018.</i> 	-2,492,628	-4,985,256
<ul style="list-style-type: none"> • Consolidate Mental Health and Substance Abuse Advocacy Organizations <i>Reflects the reorganization of behavioral health boards and councils.</i> 	-1,205,025	-1,205,025
<ul style="list-style-type: none"> • Reduce Funding for Connecticut Mental Health Center 	-946,845	-946,845
<ul style="list-style-type: none"> • Relocate Detoxification Services to Middletown <i>Relocates a 21-bed program from the Blue Hills campus in Hartford to Middletown.</i> 	-911,193	-1,214,924
<ul style="list-style-type: none"> • Reduce Funding to Reflect Program Efficiencies <i>Reflects efficiencies in the following accounts: Managed Service System, TBI Community Services and Home and Community Based Services.</i> 	-465,298	-465,298
<ul style="list-style-type: none"> • Fund Legal Services at Consent Decree Level 	-388,290	-388,290
<ul style="list-style-type: none"> • Reduce Funding for Connecticut Hospital Association 	-69,844	-69,844
Reallocations		
<ul style="list-style-type: none"> • Streamline Agency Account Structure <i>To provide increased flexibility and efficiency, funding from the Jail Diversion, Prison Overcrowding and Persistent Violent Felony Offenders Act accounts is consolidated into a new Forensic Services account. TBI Community Services and the Home and Community Based Services accounts are consolidated into a new Community Based Services account and Grants for Substance Abuse Services, Grants for Mental Health Services and Employment Opportunities accounts are consolidated into a new Grants for Behavioral Health Services account.</i> 	0	0

AGENCY PROGRAMS

<i>Personnel Summary</i>								
<i>Permanent Full-Time Positions</i>								
	FY 2016 Filled	FY 2016 Vacant	FY 2017 Change	FY 2017 Total	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
General Fund	3,124	314	0	3,438	3,438	3,438	3,438	3,438
Federal Funds	7	3	0	10	3	3	2	2
Private Funds	2	0	0	2	2	2	2	2
<i>Other Positions Equated to Full-Time</i>								
			FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
General Fund			312	221	221	221	221	221
<i>Agency Program by Total Funds</i>								
	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
Agency Management Services	47,316,357	43,160,759	44,772,665	43,992,886	43,149,160	45,156,390	43,985,525	43,141,799
Emergency / Crisis	25,242,390	24,605,271	24,497,229	24,497,229	24,147,358	24,489,729	24,489,729	24,139,858
Outpatient	97,318,338	93,932,920	92,646,267	92,646,267	86,343,320	90,287,791	90,287,791	81,587,216
Special Programs	57,698,570	51,276,392	52,635,584	51,660,584	50,181,751	54,608,084	51,660,584	50,086,751
Residential Treatment	78,904,091	74,274,295	79,186,311	75,664,983	72,935,451	84,388,942	76,106,175	73,376,643
Housing	107,270,474	106,898,368	108,929,315	105,158,965	101,792,602	113,875,518	104,652,608	101,286,245
Mental Health Inpatient	8,087,387	1,641,704	4,592,404	3,807,902	2,727,227	4,663,794	3,843,597	2,762,922
Forensic Inpatient	76,674,859	72,618,077	72,618,077	72,618,077	72,258,866	72,618,077	72,618,077	72,258,866
Substance Abuse Inpatient	34,109,307	32,379,862	32,388,987	32,388,987	31,120,214	32,398,478	32,393,733	30,821,229
Case Management	115,574,877	135,239,141	143,321,159	136,066,261	132,539,036	147,725,037	138,071,821	134,544,596
Rehabilitation	33,948,823	33,278,063	32,778,218	32,778,218	31,928,250	32,618,218	32,618,218	31,768,250
Ancillary Services	2,049,436	2,513,751	2,620,917	2,620,917	2,509,338	2,623,141	2,622,029	2,510,450
Advocacy and Prevention	15,226,104	17,615,286	15,082,768	15,082,768	13,319,643	14,925,795	14,925,795	13,162,670
Education and Training	2,677,400	2,174,132	1,997,439	1,997,439	1,724,364	1,994,790	1,994,790	1,721,715
Research	6,536,821	5,951,713	5,545,240	5,545,240	4,508,513	5,402,403	5,402,403	4,365,676
TOTAL Agency Programs - All Funds Gross	708,635,234	697,559,734	713,612,580	696,526,723	671,185,093	727,776,187	695,672,875	667,534,886
<i>Summary of Funding</i>								
General Fund	636,574,138	625,573,825	648,563,964	631,478,107	606,136,477	666,297,971	634,194,659	606,056,670
Insurance Fund	397,299	408,924	408,924	408,924	408,924	408,924	408,924	408,924
Federal Funds	52,777,446	57,397,828	50,416,579	50,416,579	50,416,579	46,846,179	46,846,179	46,846,179
Private Funds	18,858,701	14,179,157	14,223,113	14,223,113	14,223,113	14,223,113	14,223,113	14,223,113
Special Non-Appropriated Funds	27,650	0	0	0	0	0	0	0
Total Agency Programs	708,635,234	697,559,734	713,612,580	696,526,723	671,185,093	727,776,187	695,672,875	667,534,886

AGENCY MANAGEMENT SERVICES

Statutory Reference

C.G.S. Sections 17a-450, 17a-451, 17a-480, 17a-636 and 17a-637.

Statement of Need and Program Objectives

To ensure that DMHAS provides appropriate, efficient and effective programs and services to Connecticut's adults who suffer from psychiatric or substance use disorders within the resources available. To lead the state's community-based prevention, intervention and

treatment efforts through the development of a comprehensive policy and the assurance of the quality and appropriate range of services provided by the statewide network of grant-funded programs. To plan for, integrate, coordinate, support and direct the delivery of programs and services to individuals through systems of care.

Program Description

The Management and Support Program has five major functions: conduct comprehensive statewide planning, research, data collection and policy analysis to support the development of programs that address psychiatric and substance use disorders; support administrative and financial management of the agency's programs including the operation of state programs by performing such functions as accounting, budget coordination, grants/contracts management, field audits and personnel services; ensure responsible

program management and the provision of quality services through on-site monitoring of grantees, program evaluation, training and technical assistance and inform the general public (through press releases and television public service announcements), community organizations, municipalities, legislators and other state agencies about psychiatric and substance use disorders and available resources in the state. In response to the federal Nursing Home Reform Act, DMHAS is also involved in determining the appropriateness of nursing home care and the need for active treatment for persons experiencing mental illness.

Program Measures

	FY 2016	FY 2017	FY 2018	FY 2019
	Actual	Estimated	Projected	Projected
Audit Reviews Conducted	8	6	6	6

Personnel Summary

<i>Permanent Full-Time Positions</i>	FY 2016 Filled	FY 2016 Vacant	FY 2017 Change	FY 2017 Total	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
General Fund	388	0	-172	216	216	216	216	216

Other Positions Equated to Full-Time

	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
General Fund	21	2	2	2	2	2

Financial Summary by Program

<i>General Fund</i>	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
Personal Services	22,382,778	21,040,383	21,040,383	21,040,383	20,829,980	21,040,383	21,040,383	20,829,980
Other Expenses	2,122,637	1,947,046	1,947,046	1,947,046	1,891,739	1,947,046	1,947,046	1,891,739
<u>Other Current Expenses</u>								
Housing Supports and Services	125	0	0	0	0	0	0	0
Managed Service System	1,239,997	546,717	546,717	546,717	541,250	546,717	546,717	541,250
Professional Services	6,862,374	5,986,396	5,986,396	5,986,396	5,806,804	5,986,396	5,986,396	5,806,804
General Assistance Managed Care	604,826	521,812	521,812	521,812	516,592	521,812	521,812	516,592
Workers' Compensation Claims	11,628,890	10,752,101	12,507,854	11,728,075	11,405,512	12,898,940	11,728,075	11,405,512
Young Adult Services	286	0	0	0	0	0	0	0
TBI Community Services	6,000	0	0	0	0	0	0	0
Jail Diversion	-319	0	0	0	0	0	0	0
Behavioral Health Medications	1,241,262	1,041,627	1,041,627	1,041,627	1,010,378	1,041,627	1,041,627	1,010,378
Prison Overcrowding	1,324	0	0	0	0	0	0	0
Discharge and Diversion Services	71,088	0	0	0	0	0	0	0
Home and Community Based Services	1,036,568	1,130,861	1,130,861	1,130,861	0	1,130,861	1,130,861	0
Community Based Services	0	0	0	0	1,096,936	0	0	1,096,936
Other Current Expenses	22,692,421	19,979,514	21,735,267	20,955,488	20,377,472	22,126,353	20,955,488	20,377,472
Total-General Fund	47,197,836	42,966,943	44,722,696	43,942,917	43,099,191	45,113,782	43,942,917	43,099,191

Other Funds Available

	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
Private Funds	68,019	36,000	36,000	36,000	36,000	36,000	36,000	36,000
Special Non-Appropriated Funds	25,805	0	0	0	0	0	0	0

<i>Federal Contributions</i>	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
93103 Food & Drug Administration Research	2,278	127,414	0	0	0	0	0	0
93150 Projects For Assistance In Transition	2,490	2,500	2,500	2,500	2,500	2,500	2,500	2,500
93243 Null	0	6,270	1,459	1,459	1,459	4,108	4,108	4,108
93243 Substance Abuse & Mental Health Services	7,517	7,560	0	0	0	0	0	0
93243 Substance Abuse/Mental Health Srvs Projects Regionl/National	12,412	14,072	10,010	10,010	10,010	0	0	0
Total - All Funds	47,316,357	43,160,759	44,772,665	43,992,886	43,149,160	45,156,390	43,985,525	43,141,799

EMERGENCY/CRISIS SERVICES

Statutory Reference

C.G.S. Section 17a-476.

Statement of Need and Program Objectives

To assess and treat adults and families in acute emotional crisis in order to stabilize their condition and prevent hospitalization when possible and to arrange for further treatment when necessary.

Program Description

Available seven days a week, primarily during first and second shift hours, mental health workers rapidly assess and treat individuals and families through face-to-face and telephone contacts. These services emphasize reducing suicide risk, risk of harm to others and likelihood of hospitalization. Emergency services are provided in crisis intervention centers, general hospital emergency departments, walk-in clinics or by mobile crisis teams. Crisis services may also include short-term respite beds. Follow-up treatment is arranged as necessary.

Program Measures

	FY 2016 Actual	FY 2017 Estimated	FY 2018 Projected	FY 2019 Projected
Crisis Counseling Clients Served	6,741	6,741	6,741	6,741
Crisis/Respite Beds	129	129	129	129
Crisis/Respite Beds Clients Served	1,149	1,149	1,149	1,149
Crisis/Jail Diversion Clients Served	4,163	4,163	4,163	4,163

Personnel Summary

Permanent Full-Time Positions

	FY 2016 Filled	FY 2016 Vacant	FY 2017 Change	FY 2017 Total	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
General Fund	99	7	3	109	109	109	109	109

Other Positions Equated to Full-Time

	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
General Fund	12	9	9	9	9	9

Financial Summary by Program

General Fund

	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
Personal Services	12,511,022	12,002,624	12,002,624	12,002,624	11,882,598	12,002,624	12,002,624	11,882,598
Other Expenses	1,309,478	1,255,295	1,255,295	1,255,295	1,217,634	1,255,295	1,255,295	1,217,634

Other Current Expenses

Housing Supports and Services	238,328	19,696	19,696	19,696	19,105	19,696	19,696	19,105
Managed Service System	2,849,554	2,761,357	2,761,357	2,761,357	2,733,744	2,761,357	2,761,357	2,733,744
Connecticut Mental Health Center	64,957	63,598	63,598	63,598	61,690	63,598	63,598	61,690

Professional Services	118,729	103,573	103,573	103,573	100,466	103,573	103,573	100,466
Young Adult Services	81,485	81,485	81,485	81,485	79,040	81,485	81,485	79,040
Jail Diversion	159,342	159,342	159,342	159,342	0	159,342	159,342	0
Behavioral Health Medications	4,019	3,327	3,327	3,327	3,226	3,327	3,327	3,226
Prison Overcrowding	105,207	111,390	111,390	111,390	0	111,390	111,390	0
Discharge and Diversion Services	283,817	283,817	283,817	283,817	275,302	283,817	283,817	275,302
Forensic Services	0	0	0	0	262,611	0	0	262,611
Total--Other Current Expenses	3,905,438	3,587,585	3,587,585	3,587,585	3,535,184	3,587,585	3,587,585	3,535,184
<i>Pmts to Other Than Local Govts</i>								
Grants for Substance Abuse Services	421,186	580,345	580,345	580,345	0	580,345	580,345	0
Grants for Mental Health Services	5,345,781	5,321,694	5,321,694	5,321,694	0	5,321,694	5,321,694	0
Grants for Behavioral Health Services	0	0	0	0	5,762,256	0	0	5,762,256
Pmts to Other Than Local Govts	5,766,967	5,902,039	5,902,039	5,902,039	5,762,256	5,902,039	5,902,039	5,762,256
Total-General Fund	23,492,905	22,747,543	22,747,543	22,747,543	22,397,672	22,747,543	22,747,543	22,397,672
<i>Other Funds Available</i>								
	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
Private Funds	0	218,632	218,632	218,632	218,632	218,632	218,632	218,632
<i>Federal Contributions</i>								
	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
93243 Substance Abuse & Mental Health Services	114,963	85,542	0	0	0	0	0	0
93889 National Bioterrorism Hospital Preparedness	52,500	30,000	7,500	7,500	7,500	0	0	0
93958 Block Grants For Community Mental Health	1,467,653	1,467,653	1,467,653	1,467,653	1,467,653	1,467,653	1,467,653	1,467,653
93959 Block Grants For Prevention & Treatment	114,369	55,901	55,901	55,901	55,901	55,901	55,901	55,901
Total - All Funds	25,242,390	24,605,271	24,497,229	24,497,229	24,147,358	24,489,729	24,489,729	24,139,858

OUTPATIENT SERVICES

Statutory Reference

C.G.S. Sections 17a-450, 17a-453a, 17a-476 and 17a-676.

Statement of Need and Program Objectives

To improve or maintain the psychological functioning of adults who require ongoing clinical treatment for mental health and substance use disorders through individual, group or family therapy and medication support when necessary.

Program Description

Health professionals evaluate, diagnose and treat individuals or families through medication and regularly scheduled therapy visits as needed. Treatment helps to improve or sustain the level of

functioning of adults who might otherwise require hospitalization. Outpatient services also focus on the special needs of the communities in which individuals are located to best serve persons who are elderly, are members of minority groups, are poor or are persons with prior hospitalizations. The treatment plan is developed by the service recipient and clinician and is tailored to the recipient's needs. Outpatient treatment (including prescription and monitoring of medication) may be of an extended duration. Service types include traditional outpatient services, intake and evaluation, treatment for problem gambling, partial hospitalization, intensive outpatient and Medication Assisted Treatment (MAT), e.g., methadone or buprenorphine.

Program Measures

	FY 2016 Actual	FY 2017 Estimated	FY 2018 Projected	FY 2019 Projected
MH Outpatient Clients Served	38,200	38,200	38,200	38,200

MH Intensive Outpatient Clients Served	519	519	519	519
Methadone Maintenance Number of Slots	9,416	9,416	9,416	9,416
Methadone Maintenance Clients Served	15,738	15,738	15,738	15,738
Addiction Outpatient Number of Slots	3,845	3,845	3,845	3,845
Addiction Outpatient Clients Served	18,094	18,094	18,094	18,094
Addiction Standard IOP Number of Slots	336	336	336	336
Addiction Standard IOP Clients Served	4,231	4,231	4,231	4,231
Addiction PHP Number of Slots	35	35	35	35
Addiction PHP Clients Served	161	161	161	161

Personnel Summary

<i>Permanent Full-Time Positions</i>	FY 2016 Filled	FY 2016 Vacant	FY 2017 Change	FY 2017 Total	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
General Fund	395	10	40	445	445	445	445	445
Federal Funds	1	2	-1	2	0	0	0	0
Private Funds	2	0	0	2	2	2	2	2

<i>Other Positions Equated to Full-Time</i>	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
General Fund	40	29	29	29	29	29

Financial Summary by Program

<i>General Fund</i>	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
Personal Services	40,387,754	38,918,166	38,918,166	38,918,166	34,258,314	38,918,166	38,918,166	29,658,488
Other Expenses	5,990,619	5,400,163	5,400,163	5,400,163	4,682,638	5,400,163	5,400,163	4,127,116
<u>Other Current Expenses</u>								
Housing Supports and Services	288,315	0	0	0	0	0	0	0
Managed Service System	4,542,658	3,278,478	3,278,478	3,278,478	7,995,358	3,278,478	3,278,478	12,745,023
Connecticut Mental Health Center	4,171,981	4,084,656	4,084,656	4,084,656	3,962,116	4,084,656	4,084,656	3,962,116
Professional Services	1,185,360	1,034,049	1,034,049	1,034,049	1,003,028	1,034,049	1,034,049	1,003,028
General Assistance Managed Care	1,287,778	0	0	0	0	0	0	0
Young Adult Services	3,719,038	5,340,417	5,340,417	5,340,417	3,188,261	5,340,417	5,340,417	1,196,316
TBI Community Services	88,980	94,305	94,305	94,305	0	94,305	94,305	0
Behavioral Health Medications	120,370	101,010	101,010	101,010	97,980	101,010	101,010	97,980
Prison Overcrowding	3,941	0	0	0	0	0	0	0
Discharge and Diversion Services	282,578	299,806	299,806	299,806	290,812	299,806	299,806	290,812
Home and Community Based Services	757,384	561,345	561,345	561,345	0	561,345	561,345	0
Community Based Services	0	0	0	0	635,981	0	0	635,981
Total-Other Current Expenses	16,448,383	14,794,066	14,794,066	14,794,066	17,173,536	14,794,066	14,794,066	19,931,256
<u>Pmts to Other Than Local Govts</u>								
Grants for Substance Abuse Services	7,160,407	6,443,839	6,443,839	6,443,839	0	6,443,839	6,443,839	0
Grants for Mental Health Services	16,250,830	15,170,363	15,170,363	15,170,363	0	15,170,363	15,170,363	0
Grants for Behavioral Health Services	0	0	0	0	18,309,162	0	0	18,309,162
Pmts to Other Than Local Govts	23,411,237	21,614,202	21,614,202	21,614,202	18,309,162	21,614,202	21,614,202	18,309,162
Total-General Fund	86,237,993	80,726,597	80,726,597	80,726,597	74,423,650	80,726,597	80,726,597	72,026,022

<i>Other Funds Available</i>	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
Private Funds	2,622,692	3,349,227	3,349,227	3,349,227	3,349,227	3,349,227	3,349,227	3,349,227

<i>Federal Contributions</i>	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
------------------------------	----------------	-------------------	-------------------	------------------	---------------------	-------------------	------------------	---------------------

93243 Null	0	525,000	700,000	700,000	700,000	700,000	700,000	700,000
93243 Substance Abuse & Mental Health Services	511,121	254,720	0	0	0	0	0	0
93243 Substance Abuse/Mental Health Srvs Projects Regionl/National	1,126,894	3,565,409	2,358,476	2,358,476	2,358,476	0	0	0
93667 Social Services Block Grant	365,534	339,622	339,622	339,622	339,622	339,622	339,622	339,622
93958 Block Grants For Community Mental Health	1,732,204	649,468	649,468	649,468	649,468	649,468	649,468	649,468
93959 Block Grants For Prevention & Treatment	4,721,900	4,522,877	4,522,877	4,522,877	4,522,877	4,522,877	4,522,877	4,522,877
Total - All Funds	97,318,338	93,932,920	92,646,267	92,646,267	86,343,320	90,287,791	90,287,791	81,587,216

SPECIAL PROGRAMS

Statutory Reference

C.G.S. Sections 17a-450, 17a-476, 17a-560 and 17a-576.

Statement of Need and Program Objectives

This program consists of several distinct services serving different populations:

To assist the courts in diagnosing the mental status of individuals being processed through the criminal justice system and to provide ongoing psychiatric care and treatment to individuals found not guilty by reason of insanity.

To overcome communication barriers in treating persons who are deaf/hard-of-hearing and suffer from mental illness by operating diagnostic outpatient treatment services with staff specially trained in treating these individuals.

To provide mental health services to young adults with specialized needs at the level of service required.

To provide appropriate community-based services to persons with Acquired Brain Injury or Traumatic Brain Injury (ABI/TBI) upon discharge from DMHAS facilities or to divert them from unnecessary inpatient admissions.

To reduce incarceration of persons with behavioral health disorders by providing courts with clinical alternatives to incarceration when appropriate.

Program Description

DMHAS funds and operates Community Forensic Services to provide clinical and community support services and housing to people with mental illness and/or addictions who are justice involved.

Offices of Forensic Evaluation are located in Bridgeport, New Haven, Hartford and Norwich. Services are tailored to the service recipient's needs. Service types include traditional outpatient services, intake and evaluation, treatment for compulsive gambling, partial hospitalization, intensive outpatient and Medication Assisted

Treatment (MAT). These offices are responsible for assessments as required by statute. In addition, court clinics provide consultations to public defenders, judges, criminal justice and correctional personnel. Forensic psychiatrists are employed for the purpose of providing expert advice to DMHAS about competency restoration and services for insanity aquittees and other high-risk individuals with severe mental illness.

Crisis Intervention Teams (CITs) assist trained police officers in dealing with persons in psychiatric crisis. Police are trained across the state on dealing with persons with psychiatric disorders. CITs serve Hartford, Bridgeport, Fairfield, Stamford, Norwalk, Greenwich, Waterbury, New Haven, West Haven, Norwich, New London, Waterford, Groton, Danbury and Newington.

Deaf/Hard-of-Hearing Outpatient Services are provided by specially trained staff. In addition, the agency trains professionals to provide culturally-sensitive services, operates a network of consultative services and develops intermediate care programs and housing for individuals who have been discharged from state hospitals.

Young Adult Services are provided to young adults who may have been referred from the Department of Children and Families (DCF) and, per a clinical assessment, have been determined to require ongoing mental health services. These services may be provided in residential or outpatient settings and may include intensive supervision.

Community Services for People with ABI/TBI consist primarily of case management services and residential supports which are closely linked to the neuropsychiatry service at Connecticut Valley Hospital and the ABI services of the Department of Social Services.

Jail Diversion Programs are provided statewide to all geographical area courts. These programs are provided on-site at the court to identify, diagnose, refer into treatment and monitor defendants with behavioral health treatment needs, thus reducing the need for incarceration and facilitating access to treatment.

Program Measures

Special Populations/Transitional Youth Clients Served

	FY 2016	FY 2017	FY 2018	FY 2019
	Actual	Estimated	Projected	Projected
	1,225	1,245	1,265	1,285

Personnel Summary		FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
<i>Permanent Full-Time Positions</i>		Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund		372	27	-3	396	396	396	396	396
<i>Other Positions Equated to Full-Time</i>				FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
General Fund				24	16	16	16	16	16
Financial Summary by Program		FY 2016	FY 2017	FY 2018	FY 2018	FY 2018	FY 2019	FY 2019	FY 2019
<i>General Fund</i>		Actual	Estimated	Requested	Baseline	Recommended	Requested	Baseline	Recommended
Personal Services		3,955,593	3,817,531	3,817,531	3,817,531	3,779,356	3,817,531	3,817,531	3,779,356
Other Expenses		2,163,932	1,980,194	1,980,194	1,980,194	1,920,774	1,980,194	1,980,194	1,920,774
<u>Other Current Expenses</u>									
Housing Supports and Services		125	0	0	0	0	0	0	0
Managed Service System		2,984,524	1,677,035	1,677,035	1,677,035	1,660,265	1,677,035	1,677,035	1,660,265
Connecticut Mental Health Center		1,107,047	1,083,875	1,083,875	1,083,875	1,051,359	1,083,875	1,083,875	1,051,359
Professional Services		1,291,864	1,126,958	1,126,958	1,126,958	1,093,149	1,126,958	1,126,958	1,093,149
General Assistance Managed Care		23,530	0	0	0	0	0	0	0
Young Adult Services		35,419,305	29,992,936	30,789,628	30,377,128	29,477,342	31,449,628	30,377,128	29,477,342
TBI Community Services		914,487	969,586	1,532,086	969,586	0	2,844,586	969,586	0
Jail Diversion		3,734,248	3,592,619	3,592,619	3,592,619	0	3,592,619	3,592,619	0
Prison Overcrowding		3,163,295	2,894,946	2,894,946	2,894,946	0	2,894,946	2,894,946	0
Discharge and Diversion Services		231,977	374,757	374,757	374,757	363,514	374,757	374,757	363,514
Home and Community Based Services		10,000	10,000	10,000	10,000	0	10,000	10,000	0
Persistent Violent Felony Offenders Act		666,805	625,145	625,145	625,145	0	625,145	625,145	0
Pre-Trial Account		93,613	0	0	0	0	0	0	0
Forensic Services		0	0	0	0	6,804,329	0	0	6,709,329
Community Based Services		0	0	0	0	950,198	0	0	950,198
Total--Other Current Expenses		49,640,820	42,347,857	43,707,049	42,732,049	41,400,156	45,679,549	42,732,049	41,305,156
<u>Pmts to Other Than Local Govts</u>									
Grants for Substance Abuse Services		208,339	330,810	330,810	330,810	0	330,810	330,810	0
Grants for Mental Health Services		225,004	0	0	0	0	0	0	0
Grants for Behavioral Health Services		0	0	0	0	281,465	0	0	281,465
Pmts to Other Than Local Govts		433,343	330,810	330,810	330,810	281,465	330,810	330,810	281,465
Total-General Fund		56,193,688	48,476,392	49,835,584	48,860,584	47,381,751	51,808,084	48,860,584	47,286,751
<i>Other Funds Available</i>		FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
Private Funds		1,504,322	2,800,000	2,800,000	2,800,000	2,800,000	2,800,000	2,800,000	2,800,000
<i>Federal Contributions</i>		FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
14267 Continuum of Care Program		560	0	0	0	0	0	0	0
Total - All Funds		57,698,570	51,276,392	52,635,584	51,660,584	50,181,751	54,608,084	51,660,584	50,086,751

RESIDENTIAL TREATMENT

Statutory Reference

C.G.S. Sections 17a-476, 17a-453a and 17a-676.

Statement of Need and Program Objectives

To promote the recovery of adults suffering from severe and prolonged mental illnesses and/or substance use disorders by ensuring clean, safe and affordable living environments are available

for learning and re-learning rehabilitative skills necessary for independent living.

Program Description

Mental health residential services offer a wide variety of housing opportunities, including group homes, family-style community residences, supervised and supported apartment programs as well as transitional residential programs. Some individuals may need support for an extended period while others can live independently after shorter periods of rehabilitated supports. Services, which vary in intensity with the independence of the living environment, include staff supervision, counseling and follow-up support to assure residents receive needed community supports and psychiatric services.

Residential substance use services offer a range of treatment and ongoing living opportunities. Residential detoxification programs offer medical management of the withdrawal from alcohol and drugs. Counseling which addresses recovery from substance use is an integral part of the daily treatment process. Residential rehabilitation programs offer recovery-oriented treatment services in a structured, therapeutic environment for individuals who require supports in order to maintain a drug-free lifestyle. Services include halfway houses, residential drug-free programs and a range of intensive residential programs. The range of services represents a continuum of care that affords an individual a progression through appropriate levels of care.

Program Measures

	FY 2016	FY 2017	FY 2018	FY 2019
	Actual	Estimated	Projected	Projected
Group Homes Beds	173	173	173	173
Group Homes Clients Served	241	241	241	241
Community Based Residential Rehabilitation Number of Beds	1,124	897	907	917
Community Based Residential Rehabilitation Clients Served	6,397	5,193	5,845	5,912
Residential Detoxification PNP Number of Beds	116	116	116	116
Residential Detoxification PNP Clients Served	7,118	7,118	7,118	7,118
Long Term Care Number of Beds	50	50	50	50
Long Term Care Clients Served	163	163	163	163

Personnel Summary

<i>Permanent Full-Time Positions</i>	FY 2016 Filled	FY 2016 Vacant	FY 2017 Change	FY 2017 Total	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
General Fund	31	5	4	40	40	40	40	40

Other Positions Equated to Full-Time

	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
General Fund	3	2	2	2	2	2

Financial Summary by Program

<i>General Fund</i>	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
Personal Services	4,452,297	4,267,780	4,267,780	4,267,780	4,225,102	4,267,780	4,267,780	4,225,102
Other Expenses	325,789	289,090	289,090	289,090	280,416	289,090	289,090	280,416
<u>Other Current Expenses</u>								
Managed Service System	4,319,185	3,846,769	3,846,769	3,846,769	3,708,301	3,846,769	3,846,769	3,708,301
General Assistance Managed Care	23,744,879	26,938,998	29,036,293	27,787,443	27,518,054	31,411,269	28,228,635	27,959,246
Young Adult Services	987,601	277,711	1,580,791	277,711	269,380	3,652,591	277,711	269,380
TBI Community Services	6,150,221	6,485,730	6,485,730	6,485,730	0	6,485,730	6,485,730	0
Jail Diversion	39,391	39,391	39,391	39,391	0	39,391	39,391	0
Prison Overcrowding	313,385	302,398	302,398	302,398	0	302,398	302,398	0
Medicaid Adult Rehabilitation Option	4,687,974	4,401,704	4,832,329	4,401,704	4,269,653	5,291,393	4,401,704	4,269,653
Discharge and Diversion Services	4,727,944	4,120,008	4,662,251	4,662,251	4,538,651	4,662,251	4,662,251	4,538,651
Home and Community Based Services	570,130	1,237,380	1,776,153	1,237,380	0	2,072,944	1,237,380	0
Forensic Services	0	0	0	0	331,535	0	0	331,535

Community Based Services	0	0	0	0	7,398,188	0	0	7,398,188
Total--Other Current Expenses	45,540,710	47,650,089	52,562,105	49,040,777	48,033,762	57,764,736	49,481,969	48,474,954
<u>Pmts to Other Than Local Govts</u>								
Grants for Substance Abuse Services	5,993,043	5,923,368	5,923,368	5,923,368	0	5,923,368	5,923,368	0
Grants for Mental Health Services	5,929,808	5,327,394	5,327,394	5,327,394	0	5,327,394	5,327,394	0
Grants for Behavioral Health Services	0	0	0	0	9,579,597	0	0	9,579,597
Pmts to Other Than Local Govts	11,922,851	11,250,762	11,250,762	11,250,762	9,579,597	11,250,762	11,250,762	9,579,597
Total-General Fund	62,241,647	63,457,721	68,369,737	64,848,409	62,118,877	73,572,368	65,289,601	62,560,069
	FY 2016	FY 2017	FY 2018	FY 2018	FY 2018	FY 2019	FY 2019	FY 2019
<i>Other Funds Available</i>	Actual	Estimated	Requested	Baseline	Recommended	Requested	Baseline	Recommended
Private Funds	10,441,621	4,763,329	4,763,329	4,763,329	4,763,329	4,763,329	4,763,329	4,763,329
	FY 2016	FY 2017	FY 2018	FY 2018	FY 2018	FY 2019	FY 2019	FY 2019
<i>Federal Contributions</i>	Actual	Estimated	Requested	Baseline	Recommended	Requested	Baseline	Recommended
93667 Social Services Block Grant	1,023,314	1,089,644	1,089,644	1,089,644	1,089,644	1,089,644	1,089,644	1,089,644
93958 Block Grants For Community Mental Health	178,674	178,674	178,674	178,674	178,674	178,674	178,674	178,674
93959 Block Grants For Prevention & Treatment	5,018,835	4,784,927	4,784,927	4,784,927	4,784,927	4,784,927	4,784,927	4,784,927
Total - All Funds	78,904,091	74,274,295	79,186,311	75,664,983	72,935,451	84,388,942	76,106,175	73,376,643

HOUSING

Statutory Reference

C.G.S. Sections 17a-453a, 17a-476 and 17a-676.

Statement of Need and Program Objectives

To promote the recovery of adults suffering from severe and prolonged mental illnesses and/or substance use disorders by ensuring that recovery-oriented support services are available to

support individuals through their recovery process. By successfully engaging individuals in a variety of community-based support services, individuals increase their ability to plan and manage their lives. These services include supervised and supported housing, the PILOTS Supportive Housing program, the Next Steps Supportive Housing Collaborative, the federally funded Shelter Plus Care program, recovery houses and sober housing.

Program Measures

	FY 2016	FY 2017	FY 2018	FY 2019
	Actual	Estimated	Projected	Projected
Shelters DMHAS Funded	8	8	8	8
Shelters Capacity	248	248	248	248
Shelters Clients Served	701	701	701	701
Mental Health Supervised Apartments Capacity	692	724	763	802
Mental Health Supervised Apartments Clients Served	898	930	969	1,008
Mental Health Residential Support Capacity	1,019	421	421	421
Mental Health Residential Support Clients Served	2,301	950	950	950

Personnel Summary

	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
<i>Permanent Full-Time Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	34	0	3	37	37	37	37	37

	FY 2016	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
--	---------	---------	---------	---------	---------	---------

<i>Other Positions Equated to Full-Time</i>			Actual	Estimated	Requested	Recommended	Requested	Recommended
General Fund			4	3	3	3	3	3
<i>Financial Summary by Program</i>								
<i>General Fund</i>	FY 2016	FY 2017	FY 2018	FY 2018	FY 2018	FY 2019	FY 2019	FY 2019
	Actual	Estimated	Requested	Baseline	Recommended	Requested	Baseline	Recommended
Personal Services	1,767,863	1,698,778	1,698,778	1,698,778	1,681,790	1,698,778	1,698,778	1,681,790
Other Expenses	327,228	291,858	291,858	291,858	283,102	291,858	291,858	283,102
<i>Other Current Expenses</i>								
Housing Supports and Services	3,065,405	1,478,237	1,478,237	1,478,237	1,433,890	1,478,237	1,478,237	1,433,890
Managed Service System	17,478,443	17,793,041	17,793,041	17,793,041	17,615,111	17,793,041	17,793,041	17,615,111
Professional Services	917	800	800	800	776	800	800	776
General Assistance Managed Care	5,989,261	5,655,027	5,673,846	5,673,846	5,617,296	5,902,093	5,902,093	5,845,543
Young Adult Services	22,959,916	23,427,970	25,023,320	23,427,970	22,725,130	27,575,880	23,427,970	22,725,130
Jail Diversion	34,142	0	0	0	0	0	0	0
Prison Overcrowding	635,257	588,511	588,511	588,511	0	588,511	588,511	0
Discharge and Diversion Services	14,418,904	18,094,801	20,269,801	18,094,801	17,551,957	23,169,801	18,094,801	17,551,957
Home and Community Based Services	253,244	148,025	148,025	148,025	0	148,025	148,025	0
Forensic Services	0	0	0	0	570,856	0	0	570,856
Community Based Services	0	0	0	0	143,584	0	0	143,584
Total--Other Current Expenses	64,835,489	67,186,412	70,975,581	67,205,231	65,658,600	76,656,388	67,433,478	65,886,847
<i>Pmts to Other Than Local Govts</i>								
Grants for Substance Abuse Services	1,549,400	1,206,570	1,206,570	1,206,570	0	1,206,570	1,206,570	0
Grants for Mental Health Services	17,316,233	15,620,181	15,620,181	15,620,181	0	15,620,181	15,620,181	0
Grants for Behavioral Health Services	0	0	0	0	15,032,763	0	0	15,032,763
Pmts to Other Than Local Govts	18,865,633	16,826,751	16,826,751	16,826,751	15,032,763	16,826,751	16,826,751	15,032,763
Total-General Fund	85,796,213	86,003,799	89,792,968	86,022,618	82,656,255	95,473,775	86,250,865	82,884,502
<i>Other Funds Available</i>	FY 2016	FY 2017	FY 2018	FY 2018	FY 2018	FY 2019	FY 2019	FY 2019
	Actual	Estimated	Requested	Baseline	Recommended	Requested	Baseline	Recommended
Private Funds	1,128,306	798,322	798,322	798,322	798,322	798,322	798,322	798,322
<i>Federal Contributions</i>	FY 2016	FY 2017	FY 2018	FY 2018	FY 2018	FY 2019	FY 2019	FY 2019
	Actual	Estimated	Requested	Baseline	Recommended	Requested	Baseline	Recommended
14238 Shelter Plus Care	-37,475	0	0	0	0	0	0	0
14267 Continuum of Care Program	16,286,210	15,508,539	15,508,539	15,508,539	15,508,539	15,508,539	15,508,539	15,508,539
93243 Substance Abuse & Mental Health Services	154,684	0	0	0	0	0	0	0
93243 Substance Abuse/Mental Health Srvs Projects Regional/National	2,760,408	2,492,826	734,604	734,604	734,604	0	0	0
93536 The Affordable Care Act Medicaid Incentives For Prevention O	14,209	0	0	0	0	0	0	0
93667 Social Services Block Grant	374,556	404,764	404,764	404,764	404,764	404,764	404,764	404,764
93958 Block Grants For Community Mental Health	108,917	108,917	108,917	108,917	108,917	108,917	108,917	108,917
93959 Block Grants For Prevention & Treatment	684,446	1,581,201	1,581,201	1,581,201	1,581,201	1,581,201	1,581,201	1,581,201
Total - All Funds	107,270,474	106,898,368	108,929,315	105,158,965	101,792,602	113,875,518	104,652,608	101,286,245

MENTAL HEALTH INPATIENT SERVICES

Statutory Reference
C.G.S. Section 17a-458.

Statement of Need and Program Objectives

To improve the mental health (level of functioning) of adults gravely disabled by mental illness and those dangerous to themselves or

others in order to enable them to live in a less restrictive treatment setting through the provision of inpatient treatment programs.

Program Description

There are three DMHAS facilities offering inpatient mental health services: Connecticut Valley Hospital (CVH), Connecticut Mental Health Center and Greater Bridgeport Community Mental Health

Center, each providing services to a wide range of psychiatrically disabled adults. CVH provides specialized services to individuals involved with the criminal justice system. All DMHAS inpatient facilities provide a range of therapeutic programs designed to meet the treatment needs of adults in the most cost-effective manner possible. Specialty services include geriatrics, traumatic/acquired brain injury, cognitive rehabilitation and dialectical behavior training.

Program Measures

	FY 2016 Actual	FY 2017 Estimated	FY 2018 Projected	FY 2019 Projected
Southwest Connecticut Mental Health System Beds	62	62	62	62
Southwest Connecticut Mental Health System Average Daily Census	61	61	61	61
Southwest Connecticut Mental Health System Admissions	94	94	94	94
Southwest Connecticut Mental Health System Discharges	95	95	95	95
Southwest Connecticut Mental Health System Clients Served	125	125	125	125
Connecticut Mental Health Center Beds	32	32	32	32
Connecticut Mental Health Center Average Daily Census	26	26	26	26
Connecticut Mental Health Center Admissions	193	193	193	193
Connecticut Mental Health Center Discharges	194	194	194	194
Connecticut Mental Health Center Clients Served	163	163	163	163
Connecticut Valley Hospital Beds	230	230	230	230
Connecticut Valley Hospital Average Daily Census	218	218	218	218
Connecticut Valley Hospital Admissions	160	160	160	160
Connecticut Valley Hospital Discharges	161	161	161	161
Connecticut Valley Hospital Clients Served	347	347	347	347

Personnel Summary

Permanent Full-Time Positions

	FY 2016 Filled	FY 2016 Vacant	FY 2017 Change	FY 2017 Total	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
General Fund	600	113	59	772	772	772	772	772

Other Positions Equated to Full-Time

	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
General Fund	65	44	44	44	44	44

Financial Summary by Program

General Fund

	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
Personal Services	-10,250,312	-12,841,712	-12,841,712	-12,841,712	-13,485,480	-12,841,712	-12,841,712	-13,485,480
Other Expenses	4,576,890	2,830,717	3,714,560	2,936,278	2,773,357	3,714,560	2,936,278	2,773,357
<u>Other Current Expenses</u>								
Managed Service System	655,154	0	0	0	0	0	0	0
Connecticut Mental Health Center	1,158,676	1,134,424	1,134,424	1,134,424	1,100,391	1,134,424	1,134,424	1,100,391
Professional Services	1,967,915	1,716,711	2,599,925	2,599,875	2,548,374	2,599,925	2,599,875	2,548,374
General Assistance Managed Care	1,384,905	1,716,089	1,784,732	1,784,732	1,767,571	1,856,122	1,820,427	1,803,266
Young Adult Services	1,488	0	0	0	0	0	0	0
Behavioral Health Medications	5,282,071	4,432,542	5,547,542	5,541,372	5,408,396	5,547,542	5,541,372	5,408,396
Discharge and Diversion Services	562,509	518,447	518,447	518,447	502,894	518,447	518,447	502,894
Nursing Home Contract	457,297	430,879	430,879	430,879	417,953	430,879	430,879	417,953

Total--Other Current Expenses <i>Pmts to Other Than Local Govts</i>	11,470,015	9,949,092	12,015,949	12,009,729	11,745,579	12,087,339	12,045,424	11,781,274
Grants for Mental Health Services	926,924	983,607	983,607	983,607	0	983,607	983,607	0
Grants for Behavioral Health Services	0	0	0	0	973,771	0	0	973,771
Pmts to Other Than Local Govts	926,924	983,607	983,607	983,607	973,771	983,607	983,607	973,771
Total-General Fund	6,723,517	921,704	3,872,404	3,087,902	2,007,227	3,943,794	3,123,597	2,042,922
<i>Other Funds Available</i>	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
Private Funds	1,362,025	720,000	720,000	720,000	720,000	720,000	720,000	720,000
Special Non-Appropriated Funds	1,845	0	0	0	0	0	0	0
Total - All Funds	8,087,387	1,641,704	4,592,404	3,807,902	2,727,227	4,663,794	3,843,597	2,762,922

FORENSIC INPATIENT SERVICES

Statutory Reference

C.G.S. Sections 17a-560 through 17a-576.

Statement of Need and Program Objectives

To protect the individual and society by operating a maximum-security hospital that provides tertiary-level psychiatric care and treatment of adults with forensic involvement who have presented diagnostic and/or severe behavioral management problems for other DMHAS facilities or Department of Correction facilities.

To perform court ordered evaluations of adults with forensic involvement. To provide ongoing psychiatric care and treatment to individuals found not guilty by reason of insanity.

Program Description

The Whiting Forensic Division of CVH consists of the state's sole maximum-security hospital, as well as intermediate level inpatient services. The division accepts referrals of men and women 18 years of age or older from both psychiatric and correctional institutions, as well as persons committed by the superior court for evaluation and treatment. Services provided include psychiatric and nursing care, occupational therapy, education services, vocational assessment and recreational activities. The division also provides assessments of dangerous offenders, research, teaching and training services in the field of forensic psychiatry.

Program Measures

	FY 2016 Actual	FY 2017 Estimated	FY 2018 Projected	FY 2019 Projected
Beds	232	232	232	232
Average Daily Census	224	224	224	224
Admissions	273	273	273	273
Discharges	274	274	274	274
Clients Served	481	481	481	481

Personnel Summary

Permanent Full-Time Positions

	FY 2016 Filled	FY 2016 Vacant	FY 2017 Change	FY 2017 Total	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
General Fund	644	70	21	735	735	735	735	735

Other Positions Equated to Full-Time

	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
General Fund	82	53	53	53	53	53

Financial Summary by Program

General Fund

	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
Personal Services	69,725,852	66,959,837	66,959,837	66,959,837	66,733,266	66,959,837	66,959,837	66,733,266

Other Expenses	6,469,629	5,279,526	5,279,526	5,279,526	5,158,247	5,279,526	5,279,526	5,158,247
<u>Other Current Expenses</u>								
Professional Services	374,357	326,571	326,571	326,571	316,774	326,571	326,571	316,774
Young Adult Services	96,203	0	0	0	0	0	0	0
Prison Overcrowding	8,415	52,143	52,143	52,143	0	52,143	52,143	0
Forensic Services	0	0	0	0	50,579	0	0	50,579
Other Current Expenses	478,975	378,714	378,714	378,714	367,353	378,714	378,714	367,353
Total-General Fund	76,674,456	72,618,077	72,618,077	72,618,077	72,258,866	72,618,077	72,618,077	72,258,866
	FY 2016	FY 2017	FY 2018	FY 2018	FY 2018	FY 2019	FY 2019	FY 2019
<i>Other Funds Available</i>	Actual	Estimated	Requested	Baseline	Recommended	Requested	Baseline	Recommended
Private Funds	403	0	0	0	0	0	0	0
Total - All Funds	76,674,859	72,618,077	72,618,077	72,618,077	72,258,866	72,618,077	72,618,077	72,258,866

SUBSTANCE ABUSE INPATIENT

Statutory Reference

C.G.S. Section 17a-635(4).

Statement of Need and Program Objectives

To reduce the negative consequences associated with alcohol and other drugs by reducing alcohol and other drug abuse through a system that is responsive to the individual's needs, increasing the number of individuals seeking care, increasing the participation of family and significant others in treatment and funding a range of direct care services that are responsive to individuals' varying levels of dysfunction.

Program Description

Treatment and rehabilitation is provided for individuals, regardless of ability to pay, through the direct operation by DMHAS of substance abuse inpatient beds. There are two primary treatment settings:

Residential Medically Managed Detoxification Services involve 24-hour medically-directed evaluation, care and treatment of adults with substance use disorders, within a medically managed inpatient setting that includes 24-hour physician and nursing coverage.

Intensive Medically Monitored Inpatient Treatment Services offer an organized service, staffed by designated addiction treatment personnel, including physicians, that provides a planned regimen of 24-hour professionally directed evaluation, care and treatment in an inpatient setting. These services are provided to adults whose sub-acute biomedical and emotional/behavioral problems are sufficiently severe to require inpatient care.

Program Measures

	FY 2016	FY 2017	FY 2018	FY 2019
	Actual	Estimated	Projected	Projected
Beds	152	152	152	152
Patient Days	51,945	51,945	51,945	51,945
Clients Served	2,717	2,728	2,730	2,732
Utilization Ratio (%)	94%	94%	94%	94%

Personnel Summary

Permanent Full-Time Positions

	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	253	50	23	326	326	326	326	326

Other Positions Equated to Full-Time

	FY 2016	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
	Actual	Estimated	Requested	Recommended	Requested	Recommended
General Fund	22	22	22	22	22	22

<i>Financial Summary by Program</i>	FY 2016	FY 2017	FY 2018	FY 2018	FY 2018	FY 2019	FY 2019	FY 2019
<i>General Fund</i>	Actual	Estimated	Requested	Baseline	Recommended	Requested	Baseline	Recommended
Personal Services	29,765,151	28,613,857	28,613,857	28,613,857	27,416,526	28,613,857	28,613,857	27,112,795
Other Expenses	3,645,338	3,115,477	3,115,477	3,115,477	3,062,862	3,115,477	3,115,477	3,062,862
<i>Other Current Expenses</i>								
Managed Service System	4,305	0	0	0	0	0	0	0
Professional Services	215,173	187,706	187,706	187,706	182,075	187,706	187,706	182,075
General Assistance Managed Care	184,111	228,139	237,264	237,264	234,983	246,755	242,010	239,729
Behavioral Health Medications	240,913	202,166	202,166	202,166	196,101	202,166	202,166	196,101
Total--Other Current Expenses	644,502	618,011	627,136	627,136	613,159	636,627	631,882	617,905
<i>Pmts to Other Than Local Govts</i>								
Grants for Substance Abuse Services	50,338	32,517	32,517	32,517	0	32,517	32,517	0
Grants for Behavioral Health Services	0	0	0	0	27,667	0	0	27,667
Pmts to Other Than Local Govts	50,338	32,517	32,517	32,517	27,667	32,517	32,517	27,667
Total-General Fund	34,105,329	32,379,862	32,388,987	32,388,987	31,120,214	32,398,478	32,393,733	30,821,229
<i>Other Funds Available</i>								
Private Funds	3,978	0	0	0	0	0	0	0
Total - All Funds	34,109,307	32,379,862	32,388,987	32,388,987	31,120,214	32,398,478	32,393,733	30,821,229

CASE MANAGEMENT

Statutory Reference

C.G.S. Sections 17a-450 and 17a-476.

Statement of Need and Program Objectives

To promote the recovery of persons with psychiatric disabilities by helping them remain in the community using individualized planning, linkage to other services, support, monitoring and advocacy.

Program Description

This program provides persons with a psychiatric illness a clinical case manager who ensures that the person is actively involved in the services he or she requires to achieve a satisfactory level of quality of

life in the community. Adults are assigned to one of two levels of case management depending upon their assessed need for services: Assertive Community Treatment (ACT) which is more intensive and Community Support Program (CSP) which is less intensive. Case managers identify required services by assessing an individual's needs and developing a service plan with that person and with others to meet those needs. Case management includes activities such as linkage to services and community supports, monitoring the service recipient's progress and appropriateness of services, advocacy, crisis prevention and intervention and outreach. Case management may also be provided within residential services.

Program Measures

	FY 2016	FY 2017	FY 2018	FY 2019
	Actual	Estimated	Projected	Projected
Case Management Clients Served	4,728	4,963	5,500	5,560
Community Support Programs Clients Served	5,760	7,100	7,100	7,100

Personnel Summary

<i>Permanent Full-Time Positions</i>	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
<i>General Fund</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	231	28	19	278	278	278	278	278

Other Positions Equated to Full-Time

	FY 2016	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
	Actual	Estimated	Requested	Recommended	Requested	Recommended
General Fund	14	12	12	12	12	12

<i>Financial Summary by Program</i>	FY 2016	FY 2017	FY 2018	FY 2018	FY 2018	FY 2019	FY 2019	FY 2019
<i>General Fund</i>	Actual	Estimated	Requested	Baseline	Recommended	Requested	Baseline	Recommended
Personal Services	16,875,620	16,240,067	16,240,067	16,240,067	16,077,667	16,240,067	16,240,067	16,077,667
Other Expenses	1,877,543	1,687,464	1,687,464	1,687,464	1,636,827	1,687,464	1,687,464	1,636,827
<u><i>Other Current Expenses</i></u>								
Housing Supports and Services	19,051,050	22,365,541	24,210,541	22,365,541	21,694,576	24,210,541	22,365,541	21,694,576
Managed Service System	21,595,267	22,511,064	22,511,064	22,511,064	22,285,953	22,511,064	22,511,064	22,285,953
Professional Services	5,161	4,502	4,502	4,502	4,367	4,502	4,502	4,367
General Assistance Managed Care	7,455,624	5,960,860	5,960,860	5,960,860	5,901,252	5,960,860	5,960,860	5,901,252
Young Adult Services	11,305,086	20,401,126	20,401,126	20,401,126	19,789,092	20,401,126	20,401,126	19,789,092
TBI Community Services	1,113,378	1,980,352	1,980,352	1,980,352	0	1,980,352	1,980,352	0
Jail Diversion	469,059	469,059	469,059	469,059	0	469,059	469,059	0
Behavioral Health Medications	5,738	4,816	4,816	4,816	4,673	4,816	4,816	4,673
Prison Overcrowding	1,910,978	1,880,575	1,880,575	1,880,575	0	1,880,575	1,880,575	0
Discharge and Diversion Services	2,113,191	1,345,225	1,345,225	1,345,225	1,304,868	1,345,225	1,345,225	1,304,868
Home and Community Based Services	12,321,400	20,737,959	26,999,977	21,590,079	0	31,403,855	23,595,639	0
Forensic Services	0	0	0	0	2,279,145	0	0	2,279,145
Community Based Services	0	0	0	0	22,616,815	0	0	24,622,375
Total-Other Current Expenses	77,345,932	97,661,079	105,768,097	98,513,199	95,880,741	110,171,975	100,518,759	97,886,301
<u><i>Pmts to Other Than Local Govts</i></u>								
Grants for Substance Abuse Services	3,570,538	3,770,087	3,770,087	3,770,087	0	3,770,087	3,770,087	0
Grants for Mental Health Services	12,165,116	11,937,171	11,937,171	11,937,171	0	11,937,171	11,937,171	0
Grants for Behavioral Health Services	0	0	0	0	15,025,528	0	0	15,025,528
Pmts to Other Than Local Govts	15,735,654	15,707,258	15,707,258	15,707,258	15,025,528	15,707,258	15,707,258	15,025,528
Total-General Fund	111,834,749	131,295,868	139,402,886	132,147,988	128,620,763	143,806,764	134,153,548	130,626,323
<i>Other Funds Available</i>	FY 2016	FY 2017	FY 2018	FY 2018	FY 2018	FY 2019	FY 2019	FY 2019
Private Funds	Actual	Estimated	Requested	Baseline	Recommended	Requested	Baseline	Recommended
	208,001	211,300	211,300	211,300	211,300	211,300	211,300	211,300
<i>Federal Contributions</i>	FY 2016	FY 2017	FY 2018	FY 2018	FY 2018	FY 2019	FY 2019	FY 2019
14267 Continuum of Care Program	Actual	Estimated	Requested	Baseline	Recommended	Requested	Baseline	Recommended
	9,046	0	0	0	0	0	0	0
93150 Projects For Assistance In Transition	786,540	795,000	795,000	795,000	795,000	795,000	795,000	795,000
93243 Substance Abuse & Mental Health Services	220,000	25,000	0	0	0	0	0	0
93536 The Affordable Care Act Medicaid Incentives For Prevention O	92,156	0	0	0	0	0	0	0
93667 Social Services Block Grant	60,646	60,646	60,646	60,646	60,646	60,646	60,646	60,646
93958 Block Grants For Community Mental Health	327,562	327,562	327,562	327,562	327,562	327,562	327,562	327,562
93959 Block Grants For Prevention & Treatment	2,036,177	2,523,765	2,523,765	2,523,765	2,523,765	2,523,765	2,523,765	2,523,765
Total - All Funds	115,574,877	135,239,141	143,321,159	136,066,261	132,539,036	147,725,037	138,071,821	134,544,596

REHABILITATION

Statutory Reference

C.G.S. Sections 17a-453a, 17a-476, 17a-676 and 17a-635.

Statement of Need and Program Objectives

To assist persons with psychiatric and substance use disorders to successfully engage in community-based employment through the provision of specialized work-related services and supports and to participate in community life by teaching them vocational and daily

living skills, improving their interpersonal skills and increasing their ability to plan and manage their lives.

Program Description

Specialized employment services and supports enable persons with mental illness or substance abuse disorders to participate successfully in the competitive labor market and include vocational counseling and assessment, the development of specific occupational skills, job seeking and retention training, assistance with job search, self-esteem building, life planning and relapse prevention.

Follow-up and ongoing support through one-to-one counseling and peer support groups are made available as needed.

In accordance with individual needs, recovery-oriented rehabilitation services provided may include the following:

Daily living skills: Training in hygiene, cooking, nutrition, budgeting and housekeeping to enable the person to live with the maximum possible independence.

Interpersonal skills: Training in relating to other individuals and groups within the community.

Life management skills: Training to use personal, social and recreation time more effectively and to increase self-esteem.

Employment skills: Training to learn and master appropriate work-related behaviors, such as punctuality, regular attendance, perseverance, the ability to interact with co-workers and the ability to follow directions.

Program Measures

	FY 2016 Actual	FY 2017 Estimated	FY 2018 Projected	FY 2019 Projected
Employment Services Clients Served	4,638	4,288	4,288	4,288
Social Rehabilitation Clients Served	6,791	6,791	6,791	6,791

Personnel Summary

Permanent Full-Time Positions	FY 2016 Filled	FY 2016 Vacant	FY 2017 Change	FY 2017 Total	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
General Fund	42	0	4	46	46	46	46	46

Other Positions Equated to Full-Time

	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
General Fund	13	10	10	10	10	10

Financial Summary by Program

General Fund	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
Personal Services	3,352,145	3,221,317	3,221,317	3,221,317	3,189,104	3,221,317	3,221,317	3,189,104
Other Expenses	349,065	348,026	348,026	348,026	337,584	348,026	348,026	337,584
<u>Other Current Expenses</u>								
Housing Supports and Services	230,884	100,887	100,887	100,887	97,860	100,887	100,887	97,860
Managed Service System	3,860,775	3,603,381	3,603,381	3,603,381	3,567,347	3,603,381	3,603,381	3,567,347
Young Adult Services	794,785	814,561	814,561	814,561	790,124	814,561	814,561	790,124
TBI Community Services	0	81,318	81,318	81,318	0	81,318	81,318	0
Discharge and Diversion Services	117,346	211,334	211,334	211,334	204,994	211,334	211,334	204,994
Community Based Services	0	0	0	0	78,878	0	0	78,878
Total--Other Current Expenses	5,003,790	4,811,481	4,811,481	4,811,481	4,739,203	4,811,481	4,811,481	4,739,203
<u>Pmts to Other Than Local Govts</u>								
Grants for Substance Abuse Services	815,165	397,472	397,472	397,472	0	397,472	397,472	0
Grants for Mental Health Services	12,556,973	11,660,476	11,660,476	11,660,476	0	11,660,476	11,660,476	0
Employment Opportunities	9,714,888	9,460,957	9,460,957	9,460,957	0	9,460,957	9,460,957	0
Grants for Behavioral Health Services	0	0	0	0	20,783,870	0	0	20,783,870
Pmts to Other Than Local Govts	23,087,026	21,518,905	21,518,905	21,518,905	20,783,870	21,518,905	21,518,905	20,783,870
Total-General Fund	31,792,026	29,899,729	29,899,729	29,899,729	29,049,761	29,899,729	29,899,729	29,049,761

Financial Summary by Program

	FY 2016	FY 2017	FY 2018	FY 2018	FY 2018	FY 2019	FY 2019	FY 2019
--	---------	---------	---------	---------	---------	---------	---------	---------

<i>Insurance Fund</i>	Actual	Estimated	Requested	Baseline	Recommended	Requested	Baseline	Recommended
<i>Other Current Expenses</i>								
Managed Service System	397,299	408,924	408,924	408,924	408,924	408,924	408,924	408,924
Total-Insurance Fund	397,299	408,924	408,924	408,924	408,924	408,924	408,924	408,924
<i>Federal Contributions</i>								
	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
93150 Projects For Assistance In Transition	8,167	0	0	0	0	0	0	0
93243 Substance Abuse & Mental Health Services	79,381	19,845	0	0	0	0	0	0
93243 Substance Abuse/Mental Health Srvs Projects Regionl/National	640,000	640,000	160,000	160,000	160,000	0	0	0
93536 The Affordable Care Act Medicaid Incentives For Prevention O	17,535	0	0	0	0	0	0	0
93958 Block Grants For Community Mental Health	984,093	1,769,666	1,769,666	1,769,666	1,769,666	1,769,666	1,769,666	1,769,666
93959 Block Grants For Prevention & Treatment	30,322	539,899	539,899	539,899	539,899	539,899	539,899	539,899
Total - All Funds	33,948,823	33,278,063	32,778,218	32,778,218	31,928,250	32,618,218	32,618,218	31,768,250

ANCILLARY SERVICES

Statutory Reference

C.G.S. Section 17a-676.

Statement of Need and Program Objectives

Ancillary support services are available to further enhance local systems of care.

Program Description

Ancillary services include transportation services and basic needs vouchers (food, clothing, toiletries). Also available are pharmacy, special education and nursing home screening services; all designed to provide enhanced access to local systems of care for service recipients.

Personnel Summary

Permanent Full-Time Positions

	FY 2016 Filled	FY 2016 Vacant	FY 2017 Change	FY 2017 Total	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
General Fund	3	0	-1	2	2	2	2	2

Other Positions Equated to Full-Time

	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
General Fund	5	3	3	3	3	3

Financial Summary by Program

General Fund

	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
Personal Services	85,122	81,822	81,822	81,822	81,004	81,822	81,822	81,004
Other Expenses	55,626	49,301	49,301	49,301	47,821	49,301	49,301	47,821
<i>Other Current Expenses</i>								
Managed Service System	549,473	826,605	826,605	826,605	818,339	826,605	826,605	818,339
General Assistance Managed Care	119,095	114,038	116,177	116,177	115,037	118,401	117,289	116,149
Nursing Home Screening	584,618	547,757	652,784	652,784	636,352	652,784	652,784	636,352
Behavioral Health Medications	-55	0	0	0	0	0	0	0
Discharge and Diversion Services	98,133	98,133	98,133	98,133	95,189	98,133	98,133	95,189
Home and Community Based Services	0	121,459	121,459	121,459	0	121,459	121,459	0
Community Based Services	0	0	0	0	117,814	0	0	117,814
Total-Other Current Expenses	1,351,264	1,707,992	1,815,158	1,815,158	1,782,731	1,817,382	1,816,270	1,783,843

Pmts to Other Than Local Govts

Grants for Substance Abuse Services	389,822	507,034	507,034	507,034	0	507,034	507,034	0
Grants for Mental Health Services	122,292	122,292	122,292	122,292	0	122,292	122,292	0
Grants for Behavioral Health Services	0	0	0	0	552,472	0	0	552,472
Pmts to Other Than Local Govts	512,114	629,326	629,326	629,326	552,472	629,326	629,326	552,472
Total-General Fund	2,004,126	2,468,441	2,575,607	2,575,607	2,464,028	2,577,831	2,576,719	2,465,140

<i>Federal Contributions</i>	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
93959 Block Grants For Prevention & Treatment	45,310	45,310	45,310	45,310	45,310	45,310	45,310	45,310
Total - All Funds	2,049,436	2,513,751	2,620,917	2,620,917	2,509,338	2,623,141	2,622,029	2,510,450

ADVOCACY AND PREVENTION

Statutory Reference

C.G.S. Sections 17a-451, 17a-459, 17a-476, 17a-560-576, 17a-635(4), 17a-636(a) (4) and (9), 17a-637(c) and 54-56g (d).

Statement of Need and Program Objectives

To reduce factors that are known to cause illness and problem behaviors and promote factors that buffer individuals and promote good health and wellness.

To reduce the probability that individuals at some time will need intervention or treatment for psychiatric or substance abuse disabilities; and to limit the further development/progression of psychiatric and substance abuse problems by identifying individuals with such problems and referring them to appropriate treatment (early intervention).

Program Description

DMHAS collaborates with and funds several advocacy groups to ensure that its clients are provided efficient mental health and addiction services that foster self-sufficiency, dignity and respect.

DMHAS' prevention system is designed to promote the overall health and wellness of individuals and communities by preventing or delaying substance use. Prevention services are comprised of six key strategies including information dissemination, education, alternative activities, strengthening communities, promoting positive values, and problem identification and referral to services.

Program Measures

	FY 2016	FY 2017	FY 2018	FY 2019
	Actual	Estimated	Projected	Projected
Number of Funded Providers	200	207	203	203
Number of Evidence-Based Strategies	203	225	225	225
Number of Persons Served	3,590,062	3,700,000	3,700,000	3,700,000

Personnel Summary

<i>Permanent Full-Time Positions</i>	FY 2016 Filled	FY 2016 Vacant	FY 2017 Change	FY 2017 Total	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
General Fund	8	0	3	11	11	11	11	11
Federal Funds	6	1	1	8	3	3	2	2

Other Positions Equated to Full-Time

	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
General Fund	0	11	11	11	11	11

Financial Summary by Program

<i>General Fund</i>	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
Personal Services	682,866	656,536	656,536	656,536	649,970	656,536	656,536	649,970

Other Expenses	318,429	283,976	283,976	283,976	275,455	283,976	283,976	275,455
<i>Other Current Expenses</i>								
Managed Service System	1,095,582	826,652	826,652	826,652	818,385	826,652	826,652	818,385
Legal Services	983,886	921,947	921,947	921,947	505,999	921,947	921,947	505,999
Connecticut Mental Health Center	392,974	384,749	384,749	384,749	373,207	384,749	384,749	373,207
Professional Services	18,926	16,510	16,510	16,510	16,015	16,510	16,510	16,015
General Assistance Managed Care	80,000	80,000	80,000	80,000	79,200	80,000	80,000	79,200
Young Adult Services	144,938	195,531	195,531	195,531	189,665	195,531	195,531	189,665
Pre-Trial Account	587,278	639,538	639,538	639,538	0	639,538	639,538	0
Total--Other Current Expenses	3,303,584	3,064,927	3,064,927	3,064,927	1,982,471	3,064,927	3,064,927	1,982,471
<i>Pmts to Other Than Local Govts</i>								
Grants for Substance Abuse Services	882,175	502,552	502,552	502,552	0	502,552	502,552	0
Grants for Mental Health Services	594,842	594,842	594,842	594,842	0	594,842	594,842	0
Grants for Behavioral Health Services	0	0	0	0	431,812	0	0	431,812
Pmts to Other Than Local Govts	1,477,017	1,097,394	1,097,394	1,097,394	431,812	1,097,394	1,097,394	431,812
Total-General Fund	5,781,896	5,102,833	5,102,833	5,102,833	3,339,708	5,102,833	5,102,833	3,339,708
<i>Other Funds Available</i>								
	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
Private Funds	1,326,821	1,132,318	1,132,318	1,132,318	1,132,318	1,132,318	1,132,318	1,132,318
<i>Federal Contributions</i>								
	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
84184 Drug-Free Schools and Communities-National Programs	8,610	0	0	0	0	0	0	0
93103 Food & Drug Administration Research	867,222	1,069,920	0	0	0	0	0	0
93243 Null	0	878,711	1,171,615	1,171,615	1,171,615	1,171,615	1,171,615	1,171,615
93243 Substance Abuse & Mental Health Services	2,082,249	1,912,475	156,973	156,973	156,973	0	0	0
93243 Substance Abuse/Mental Health Srvs Projects Regional/National	951,791	3,244,188	3,244,188	3,244,188	3,244,188	3,244,188	3,244,188	3,244,188
93958 Block Grants For Community Mental Health	116,496	116,496	116,496	116,496	116,496	116,496	116,496	116,496
93959 Block Grants For Prevention & Treatment	4,091,019	4,158,345	4,158,345	4,158,345	4,158,345	4,158,345	4,158,345	4,158,345
Total - All Funds	15,226,104	17,615,286	15,082,768	15,082,768	13,319,643	14,925,795	14,925,795	13,162,670

EDUCATION AND TRAINING

Statutory Reference

C.G.S. Section 17a-476.

Statement of Need and Program Objectives

To improve the understanding of mental illness and substance use disorders by citizens, service providers and government employees who come into contact with people with these disorders by increasing the availability of information, education, training and consultation opportunities.

To provide continuing development and training for professional and para-professional staff in support of the knowledge and technical skills required to perform the prevention, diagnostic, treatment and rehabilitation and recovery-oriented tasks necessary to operate DMHAS' programs.

Program Description

DMHAS currently provides education and training services through a public/private training model to mental health professionals, substance abuse counselors, direct care workers, addiction prevention professionals, criminal justice staff, managers, supervisors, administrators and peers. Education, training, prevention, academic and resource linkages are offered through an array of sources. The DMHAS Office of Recovery Affairs is involved with advocating on behalf of people using DMHAS services and in providing Connecticut residents with helpful information about mental health, mental illness and substance use disorders and how to obtain treatment and support services.

Training is also available for professionals and volunteers in the field of substance abuse prevention, intervention and treatment through the DMHAS Office of Workforce Development as well as the Prevention Training Collaborative. Education and training is based on

a scope and sequence of skills and knowledge that encompass facets of treatment, cultural competence, women's issues and prevention. This ensures that counselors, prevention specialists and other helping

professionals can receive the course work necessary for re-certification and ongoing professional development.

<i>Personnel Summary</i>	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
<i>Permanent Full-Time Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	4	4	-4	4	4	4	4	4
<i>Financial Summary by Program</i>	FY 2016	FY 2017	FY 2018	FY 2018	FY 2018	FY 2019	FY 2019	FY 2019
<i>General Fund</i>	Actual	Estimated	Requested	Baseline	Recommended	Requested	Baseline	Recommended
Personal Services	278,970	268,222	268,222	268,222	265,540	268,222	268,222	265,540
Other Expenses	94,235	83,038	83,038	83,038	10,703	83,038	83,038	10,703
<i>Other Current Expenses</i>								
Connecticut Mental Health Center	260,336	254,887	254,887	254,887	247,240	254,887	254,887	247,240
Professional Services	23,268	20,298	20,298	20,298	19,689	20,298	20,298	19,689
Total--Other Current Expenses	283,604	275,185	275,185	275,185	266,929	275,185	275,185	266,929
<i>Pmts to Other Than Local Govts</i>								
Grants for Substance Abuse Services	1,139,899	1,272,453	1,272,453	1,272,453	0	1,272,453	1,272,453	0
Grants for Behavioral Health Services	0	0	0	0	1,082,651	0	0	1,082,651
Pmts to Other Than Local Govts	1,139,899	1,272,453	1,272,453	1,272,453	1,082,651	1,272,453	1,272,453	1,082,651
Total-General Fund	1,796,708	1,898,898	1,898,898	1,898,898	1,625,823	1,898,898	1,898,898	1,625,823
<i>Other Funds Available</i>	FY 2016	FY 2017	FY 2018	FY 2018	FY 2018	FY 2019	FY 2019	FY 2019
<i>Private Funds</i>	Actual	Estimated	Requested	Baseline	Recommended	Requested	Baseline	Recommended
Private Funds	48,335	0	0	0	0	0	0	0
<i>Federal Contributions</i>	FY 2016	FY 2017	FY 2018	FY 2018	FY 2018	FY 2019	FY 2019	FY 2019
	Actual	Estimated	Requested	Baseline	Recommended	Requested	Baseline	Recommended
93243 Null	0	70,298	98,541	98,541	98,541	95,892	95,892	95,892
93243 Substance Abuse & Mental Health Services	812,305	187,280	0	0	0	0	0	0
93243 Substance Abuse/Mental Health Svs Projects Regionl/National	20,052	17,656	0	0	0	0	0	0
Total - All Funds	2,677,400	2,174,132	1,997,439	1,997,439	1,724,364	1,994,790	1,994,790	1,721,715

RESEARCH

Statutory Reference

C.G.S. Sections 17a-451 and 17a-459.

Statement of Need and Program Objectives

To improve the prevention, treatment and rehabilitation methods used in providing mental health and substance abuse services by carrying out basic, epidemiologic and clinical research activities through partnership agreements with the University of Connecticut (UCONN) and Yale University.

Program Description

The DMHAS Research Division is a unique arrangement with the UCONN. Research Division staff are hired through UCONN and considered faculty and professional staff at the School of Social Work,

but collectively serve as a DMHAS unit. As such, the DMHAS Research Division is a nationally recognized leader among state mental health and substance abuse agencies in services and applied research. DMHAS researchers, sometimes with partners at the University of Connecticut and other universities, have investigated many issues of policy relevance in the mental health and addiction fields.

In addition to responding to the research needs of DMHAS and other state agencies, such as the Department of Correction and the Department of Children and Families, the Research Division, either independently or in collaboration with DMHAS, has applied for and received federal funds to conduct program evaluation and research in such areas as supportive housing, homeless families, criminal justice diversion, co-occurring mental health and substance abuse disorders,

consumer-operated services, trauma-informed care, mental health service quality indicators, substance abuse treatment outcomes, the needs of veterans, the concerns of young adults and implementation science. The DMHAS Research Division continues to conduct research to understand the processes underlying mental illness and substance abuse, and to evaluate new techniques to respond to them. Research conducted in Connecticut informs decision-makers at both local and national levels about the effectiveness of treatment, prevention and community-based interventions. Study findings are also reported in professional journals and at national conferences.

Through its partnership with Yale University, DMHAS also fosters and promotes the conduct of important research and evaluation work

through the Connecticut Mental Health Center. The Abraham Ribicoff facilities pursue basic and translational research on the nature and treatment of psychiatric and substance use disorders, prodromal and first episode clinics attempt to reduce the secondary disability currently associated with serious mental illnesses; Division of Substance Abuse programs develop and evaluate programs to address addictions; The Consultation Center focuses on prevention and school-based interventions; and the Program for Recovery and Community Health formulates and evaluates social policies and community-based interventions to promote the recovery, community inclusion, and citizenship of DMHAS clients and their families.

Personnel Summary								
<i>Permanent Full-Time Positions</i>								
	FY 2016 Filled	FY 2016 Vacant	FY 2017 Change	FY 2017 Total	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
General Fund	20	0	1	21	21	21	21	21
<i>Other Positions Equated to Full-Time</i>								
			FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
General Fund			7	5	5	5	5	5
Financial Summary by Program								
<i>General Fund</i>								
	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
Personal Services	2,086,363	2,000,132	2,000,132	2,000,132	1,980,130	2,000,132	2,000,132	1,980,130
Other Expenses	220,282	289,400	289,400	289,400	277,691	289,400	289,400	277,691
<i>Other Current Expenses</i>								
Housing Supports and Services	59,585	25,000	25,000	25,000	24,250	25,000	25,000	24,250
Managed Service System	387,082	515,802	515,802	515,802	510,644	515,802	515,802	510,644
Connecticut Mental Health Center	1,158,259	1,134,015	1,134,015	1,134,015	153,150	1,134,015	1,134,015	153,150
Professional Services	129,028	112,558	112,558	112,558	109,180	112,558	112,558	109,180
General Assistance Managed Care	64,489	55,536	55,536	55,536	54,981	55,536	55,536	54,981
Young Adult Services	482,138	371,124	371,124	371,124	359,990	371,124	371,124	359,990
TBI Community Services	16,722	0	0	0	0	0	0	0
Prison Overcrowding	29,602	31,000	31,000	31,000	0	31,000	31,000	0
Home and Community Based Services	67,499	74,851	74,851	74,851	0	74,851	74,851	0
Forensic Services	0	0	0	0	30,070	0	0	30,070
Community Based Services	0	0	0	0	72,605	0	0	72,605
Other Current Expenses	2,394,404	2,319,886	2,319,886	2,319,886	1,314,870	2,319,886	2,319,886	1,314,870
Total-General Fund	4,701,049	4,609,418	4,609,418	4,609,418	3,572,691	4,609,418	4,609,418	3,572,691
<i>Other Funds Available</i>								
	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
Private Funds	144,178	150,029	193,985	193,985	193,985	193,985	193,985	193,985
<i>Federal Contributions</i>								
	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
93243 Null	0	300,000	400,000	400,000	400,000	400,000	400,000	400,000
93243 Substance Abuse & Mental Health Services	1,149,112	475,293	55,350	55,350	55,350	0	0	0

93243 Substance Abuse/Mental Health Srvs Projects Regional/National	458,482	332,973	202,487	202,487	202,487	115,000	115,000	115,000
93958 Block Grants For Community Mental Health	84,000	84,000	84,000	84,000	84,000	84,000	84,000	84,000
Total - All Funds	6,536,821	5,951,713	5,545,240	5,545,240	4,508,513	5,402,403	5,402,403	4,365,676

AGENCY FINANCIAL SUMMARY - GENERAL FUND

<i>Current Expenses by Minor Object</i>	FY 2016	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
<i>Personal Services</i>	Actual	Estimated	Requested	Recommended	Requested	Recommended
Salaries & Wages-Full Time	196,119,253	188,564,185	188,564,185	187,450,729	188,564,185	187,450,729
Salaries & Wages-Temporary	6,844,906	6,581,223	6,581,223	6,515,410	6,581,223	6,515,410
Salaries & Wages-Part Time	9,882,108	9,501,422	9,501,422	9,406,409	9,501,422	9,406,409
Longevity Payments	1,000,451	961,910	961,910	952,291	961,910	952,291
Overtime	46,874,211	44,559,210	44,559,210	38,602,597	44,559,210	33,699,040
Accumulated Leave	2,111,914	2,030,556	2,030,556	2,010,251	2,030,556	2,010,251
Other Salaries & Wages	12,051,954	11,587,680	11,587,680	11,471,803	11,587,680	11,471,803
Reimbursements	-77,218,547	-77,218,547	-77,218,547	-77,218,547	-77,218,547	-77,218,547
Other	392,834	377,701	377,701	373,924	377,701	373,924
TOTAL - Personal Services-Personal Services	198,059,084	186,945,340	186,945,340	179,564,867	186,945,340	174,661,310
 <i>Other Expenses</i>						
Capital Outlays	282,650	241,568	241,568	234,322	241,568	234,322
Client Services	265,414	226,836	226,836	220,032	226,836	220,032
Communications	1,405,628	1,201,318	1,201,318	1,165,279	1,201,318	1,165,279
Diesel-Generator	16,736	14,304	14,304	13,873	14,304	13,873
Electricity	3,011,168	2,573,495	2,573,495	2,496,289	2,573,495	2,496,289
Employee Benefits	1,770	1,512	1,512	1,467	1,512	1,467
Employee Expenses	97,724	83,520	83,520	11,171	83,520	11,171
Employee Travel	263,242	224,978	224,978	218,227	224,978	218,227
Equipment Rental and Maintenance	1,632,488	1,395,204	1,395,204	1,353,349	1,395,204	1,353,349
Food And Beverages	4,038,812	3,451,771	3,765,605	3,389,076	3,765,605	3,389,076
Information Technology	3,397,209	2,903,423	2,903,423	2,816,322	2,903,423	2,816,322
Motor Vehicle Costs	1,338,086	1,143,596	1,143,596	1,109,287	1,143,596	1,109,287
Natural Gas	1,266,750	1,082,626	1,082,626	1,053,271	1,082,626	1,053,271
Oil #2	26,307	22,483	22,483	21,810	22,483	21,810
Other / Fixed Charges	833,116	712,023	712,023	690,662	712,023	690,662
Other Services	2,284,480	1,952,430	2,057,991	1,878,123	2,057,991	1,756,829
Premises Expenses	4,868,468	4,160,836	4,360,836	3,921,918	4,360,836	3,810,852
Premises Rent Expense-Landlord	2,645,694	2,261,141	2,261,141	1,991,446	2,261,141	1,789,579
Professional Services	1,085,302	927,552	927,552	899,727	927,552	899,727
Purchased Commodities	2,954,554	2,525,109	2,789,557	2,365,199	2,789,557	2,243,904
Reimbursements	-2,600,000	-2,600,000	-2,600,000	-2,600,000	-2,600,000	-2,600,000
Salaries and Wages	1,001	851	851	827	851	827
Sewer	228,753	195,501	195,501	189,635	195,501	189,635
Steam	445,450	380,706	380,706	369,283	380,706	369,283
Water	55,918	47,788	47,788	46,255	47,788	46,255

TOTAL-Other Expenses	29,846,720	25,130,571	26,014,414	23,856,850	26,014,414	23,301,328
<i>Other Current Expenses</i>						
Housing Supports and Services	22,933,817	23,989,361	25,834,361	23,269,681	25,834,361	23,269,681
Managed Service System	61,561,999	58,186,901	58,186,901	62,254,697	58,186,901	67,004,362
Legal Services	983,886	921,947	921,947	505,999	921,947	505,999
Connecticut Mental Health Center	8,314,230	8,140,204	8,140,204	6,949,153	8,140,204	6,949,153
Professional Services	12,193,072	10,636,632	11,519,846	11,200,697	11,519,846	11,200,697
General Assistance Managed Care	40,938,498	41,270,499	43,466,520	41,804,966	46,152,848	42,515,958
Workers' Compensation Claims	11,628,890	10,752,101	12,507,854	11,405,512	12,898,940	11,405,512
Nursing Home Screening	584,618	547,757	652,784	636,352	652,784	636,352
Young Adult Services	75,992,269	80,902,861	84,597,983	76,868,024	89,882,343	74,876,079
TBI Community Services	8,289,788	9,611,291	10,173,791	0	11,486,291	0
Jail Diversion	4,435,863	4,260,411	4,260,411	0	4,260,411	0
Behavioral Health Medications	6,894,318	5,785,488	6,900,488	6,720,754	6,900,488	6,720,754
Prison Overcrowding	6,171,404	5,860,963	5,860,963	0	5,860,963	0
Medicaid Adult Rehabilitation Option	4,687,974	4,401,704	4,832,329	4,269,653	5,291,393	4,269,653
Discharge and Diversion Services	22,907,487	25,346,328	28,063,571	25,128,181	30,963,571	25,128,181
Home and Community Based Services	15,016,225	24,021,880	30,822,671	0	35,523,340	0
Persistent Violent Felony Offenders Act	666,805	625,145	625,145	0	625,145	0
Nursing Home Contract	457,297	430,879	430,879	417,953	430,879	417,953
Pre-Trial Account	680,891	639,538	639,538	0	639,538	0
Forensic Services	0	0	0	10,329,125	0	10,234,125
Community Based Services	0	0	0	33,110,999	0	35,116,559
TOTAL-Other Current Expenses	305,339,331	316,331,890	338,438,186	314,871,746	356,172,193	320,251,018
<i>Pmts to Other Than Local Govts</i>						
Grants for Substance Abuse Services	22,180,312	20,967,047	20,967,047	0	20,967,047	0
Grants for Mental Health Services	71,433,803	66,738,020	66,738,020	0	66,738,020	0
Employment Opportunities	9,714,888	9,460,957	9,460,957	0	9,460,957	0
Grants for Behavioral Health Services	0	0	0	87,843,014	0	87,843,014
TOTAL-Pmts to Other Than Local Govts	103,329,003	97,166,024	97,166,024	87,843,014	97,166,024	87,843,014
Character & Major Object Summary						
	FY 2016	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
	Actual	Estimated	Requested	Recommended	Requested	Recommended
Personal Services	198,059,084	186,945,340	186,945,340	179,564,867	186,945,340	174,661,310
Other Expenses	29,846,720	25,130,571	26,014,414	23,856,850	26,014,414	23,301,328
Other Current Expenses	305,339,331	316,331,890	338,438,186	314,871,746	356,172,193	320,251,018
Pmts to Other Than Local Govts	103,329,003	97,166,024	97,166,024	87,843,014	97,166,024	87,843,014
TOTAL-General Fund	636,574,138	625,573,825	648,563,964	606,136,477	666,297,971	606,056,670

AGENCY FINANCIAL SUMMARY - INSURANCE FUND

<i>Other Current Expenses</i>						
Managed Service System	397,299	408,924	408,924	408,924	408,924	408,924
TOTAL-Other Current Expenses	397,299	408,924	408,924	408,924	408,924	408,924
Character & Major Object Summary						
	FY 2016	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019

	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Other Current Expenses	397,299	408,924	408,924	408,924	408,924	408,924
TOTAL-Insurance Fund	397,299	408,924	408,924	408,924	408,924	408,924

PSYCHIATRIC SECURITY REVIEW BOARD

AGENCY DESCRIPTION

The Psychiatric Security Review Board (PSRB) has jurisdiction over all persons acquitted of a crime by reason of mental disease or defect who are committed by Superior Court. The six-member, autonomous board consists of a psychiatrist, psychologist, parole/probation

expert, a layperson, a victim services advocate and an attorney who functions as the chairperson and is appointed by the Governor in accord with the General Assembly.

RECOMMENDED SIGNIFICANT CHANGES

Baseline Adjustments	2017-2018	2018-2019
• Adjust Funding for Personal Services	7,500	7,500
Reductions	2017-2018	2018-2019
• Annualize FY 2017 Holdbacks	-3,482	-3,482

To align budget growth with available resources, funding is reduced to reflect the continuation of allocated bottom-line lapses contained in Public Act 16-2 (May Spec. Sess.).

AGENCY PROGRAMS

Personnel Summary	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
<i>Permanent Full-Time Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	3	0	0	3	3	3	3	3
Agency Program by Total Funds	FY 2016	FY 2017	FY 2018	FY 2018	FY 2018	FY 2019	FY 2019	FY 2019
	Actual	Estimated	Requested	Baseline	Recommended	Requested	Baseline	Recommended
Psychiatric Security Review	279,016	293,813	298,163	301,313	297,831	298,163	301,313	297,831
TOTAL Agency Programs - All Funds Gross	279,016	293,813	298,163	301,313	297,831	298,163	301,313	297,831
Summary of Funding								
General Fund	279,016	293,813	298,163	301,313	297,831	298,163	301,313	297,831
Total Agency Programs	279,016	293,813	298,163	301,313	297,831	298,163	301,313	297,831

PSYCHIATRIC SECURITY REVIEW

Statutory Reference

C.G.S. Sections 17a-580 through 17a-603, 53a-169, 54-250(10) and 54-256.

Statement of Need and Program Objectives

To protect public safety through the oversight of persons acquitted of a crime by reason of mental disease or defect. To determine the level of supervision, treatment and placement of an acquittee that is required to protect the public.

Program Description

The Psychiatric Security Review Board holds statutorily mandated public hearings that may result in any of the following orders:

maximum security confinement, confinement in a hospital, temporary leave from the hospital or conditional release to the community. The board may recommend to the court either discharge from the board or continued confinement to the board beyond the original commitment term. The board monitors acquittees in the community and those in institutions through mandated reporting requirements. The board provides notification to victims of all board hearings and their right to make a victim impact statement. In addition, the board registers sex offenders per Connecticut law and registers all acquittees with the Department of Emergency Services and Public Protection for enforcement of gun control laws.

Program Measures

	FY 2016	FY 2017	FY 2018	FY 2019
	Actual	Estimated	Projected	Projected
Percent of hearings held within statutory timeframe	100%	100%	100%	100%
Maintaining a less than 5% criminal conviction recidivism rate for conditionally released acqittees	100%	100%	100%	100%
Issuance of Reports to Court and Memoranda of Decision within statutory timeframe	99%	95%	95%	95%

Personnel Summary*Permanent Full-Time Positions*

	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	3	0	0	3	3	3	3	3

Financial Summary by Program*General Fund*

	FY 2016	FY 2017	FY 2018	FY 2018	FY 2018	FY 2019	FY 2019	FY 2019
	Actual	Estimated	Requested	Baseline	Recommended	Requested	Baseline	Recommended
Personal Services	250,627	266,610	270,960	274,110	271,444	270,960	274,110	271,444
Other Expenses	28,389	27,203	27,203	27,203	26,387	27,203	27,203	26,387
Total-General Fund	279,016	293,813	298,163	301,313	297,831	298,163	301,313	297,831
Total - All Funds	279,016	293,813	298,163	301,313	297,831	298,163	301,313	297,831

AGENCY FINANCIAL SUMMARY - GENERAL FUND**Current Expenses by Minor Object***Personal Services*

	FY 2016	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
	Actual	Estimated	Requested	Recommended	Requested	Recommended
Salaries & Wages-Full Time	248,829	265,244	269,594	270,078	269,594	270,078
Longevity Payments	1,366	1,366	1,366	1,366	1,366	1,366
Other	432	0	0	0	0	0
TOTAL - Personal Services-Personal Services	250,627	266,610	270,960	271,444	270,960	271,444

Other Expenses

Employee Travel	1,481	0	0	0	0	0
Food And Beverages	133	0	0	0	0	0
Other Services	26,775	27,203	27,203	26,387	27,203	26,387
TOTAL-Other Expenses	28,389	27,203	27,203	26,387	27,203	26,387

Character & Major Object Summary

	FY 2016	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
	Actual	Estimated	Requested	Recommended	Requested	Recommended
Personal Services	250,627	266,610	270,960	271,444	270,960	271,444
Other Expenses	28,389	27,203	27,203	26,387	27,203	26,387
TOTAL-General Fund	279,016	293,813	298,163	297,831	298,163	297,831

