

# JUDICIAL DEPARTMENT

## AGENCY DESCRIPTION

The objectives of the Judicial Department are: to uphold the laws of the state by adjudicating criminal cases; to resolve disputes involving civil or personal rights; to interpret state statutes and to determine whether a law violates the Constitutions of the State or the United States; to insure the principles of fair and reasonable bail by interviewing all detained criminal defendants to determine whether they should remain incarcerated during the pendency of their case; to effectively resolve family and interpersonal conflicts through a comprehensive program of negotiation, mediation, evaluation and education, to provide safe and secure custody, treatment and rehabilitative services for children and families through the juvenile justice system, and to ensure meaningful access to justice by providing translation services to limited English speaking persons, reasonable accommodations under the the Americans with Disabilities Act (ADA) and services to assist self-represented parties

including public information centers, volunteer attorney days and plain language forms and publications.

Additional objectives are: to create and sustain a full range of alternatives to incarceration for both pre- and post-conviction adult and juvenile populations; to supervise probationers in the community and to encourage improvement in their conduct and condition; to enforce, review and adjust child support orders; to advocate for victims of crime and arrange for or provide services and financial compensation; to maintain secure and safe conditions in courthouses and other Judicial Department facilities, provide for the transportation of prisoners between courthouses and places of confinement, and to gather and share data regarding the judicial system with the Executive and Legislative Branches.

## RECOMMENDED SIGNIFICANT CHANGES

<b>Baseline Adjustments</b>	<b>2017-2018</b>	<b>2018-2019</b>
<ul style="list-style-type: none"> <li>Provide Funding for Statutory FY 2018 Judges' Salary Increases <i>Funding is provided to comply with Public Act 16-3 (May Spec. Sess.), which increases judges' salaries effective July 1, 2017.</i></li> </ul>	1,480,333	1,480,333
<ul style="list-style-type: none"> <li>Annualize Savings Due to Opening of the Torrington Courthouse</li> </ul>	800,788	753,230
<ul style="list-style-type: none"> <li>Annualize the Cost of Contracts for Parking and Privatization of Property Management and Building Security</li> </ul>	58,320	58,320
<ul style="list-style-type: none"> <li>Adjust Funding to Reflect Current Payroll Costs <i>Removes funding for FY 2017 wage increases that were not issued pending contract negotiation.</i></li> </ul>	-17,971,915	-17,971,915
<ul style="list-style-type: none"> <li>Adjust Appropriation to Reflect Declining Foreclosure Mediation Caseloads - Banking Fund</li> </ul>	-2,739,824	-2,739,824
<b>Reductions</b>	<b>2017-2018</b>	<b>2018-2019</b>
<ul style="list-style-type: none"> <li>Annualize FY 2017 Holdback <i>To align budget growth with available resources, funding is reduced to reflect the continuation of allocated bottom-line lapses contained in Public Act 16-2 (May Spec. Sess.).</i></li> </ul>	-19,994,773	-19,994,773
<ul style="list-style-type: none"> <li>Delay Funding for Judges' Salary Increases <i>Postpones judges' salary increases which would have been effective July 1, 2017, per Public Act 16-3 (May Spec. Sess.).</i></li> </ul>	-1,480,333	-1,480,333
<ul style="list-style-type: none"> <li>Reflect Growth In Probate Court Administration Fund Balance <i>The Probate Court Administration Fund (PCAF) is funded by various fees charged in the Probate Courts such as filing fees, accounting fees, and fees on decedents' estates. This reduction is possible based on the Probate Court Administrator's projection of growth in year-end fund balance from \$4.58 million on June 30, 2016 to \$11.2 million on June 30, 2017.</i></li> </ul>	-1,000,000	-1,000,000

## AGENCY PROGRAMS

<b>Personnel Summary</b>	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
<i>Permanent Full-Time Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	3,709	620	0	4,329	4,329	4,329	4,329	4,329
Federal Funds	3	0	0	3	3	3	3	3
Private Funds	42	7	0	49	49	49	49	49

<i>Agency Program by Total Funds</i>	FY 2016	FY 2017	FY 2018	FY 2018	FY 2018	FY 2019	FY 2019	FY 2019
	Actual	Estimated	Requested	Baseline	Recommended	Requested	Baseline	Recommended
Appellate/Supreme	14,112,616	14,224,465	13,690,678	13,671,942	13,671,942	13,734,580	13,671,942	13,671,942
Administration	24,693,430	21,948,313	22,515,262	21,193,440	21,193,440	22,889,862	21,193,440	21,193,440
Court Support Services	252,558,022	256,013,093	244,552,955	244,418,750	223,423,977	242,657,309	242,090,358	221,095,585
Information Technology	24,654,696	24,589,434	23,075,617	23,075,617	23,075,617	22,275,658	22,275,658	22,275,658
Superior Court	238,970,262	241,934,262	231,483,976	231,103,149	229,622,816	231,844,814	230,866,012	229,385,679
TOTAL Agency Programs - All Funds Gross	554,989,026	558,709,567	535,318,488	533,462,898	510,987,792	533,402,223	530,097,410	507,622,304
<i>Summary of Funding</i>								
General Fund	526,168,318	528,343,618	514,119,607	512,711,144	490,236,038	515,521,272	512,663,586	490,188,480
Banking Fund	5,938,239	6,350,389	3,610,565	3,610,565	3,610,565	3,610,565	3,610,565	3,610,565
Criminal Injuries Compensation Fund	2,764,350	2,934,088	3,381,215	2,934,088	2,934,088	3,381,215	2,934,088	2,934,088
Federal Funds	10,115,771	14,727,565	8,206,111	8,206,111	8,206,111	4,897,671	4,897,671	4,897,671
Private Funds	10,002,120	6,353,907	6,000,990	6,000,990	6,000,990	5,991,500	5,991,500	5,991,500
Special Non-Appropriated Funds	228	0	0	0	0	0	0	0
Total Agency Programs	554,989,026	558,709,567	535,318,488	533,462,898	510,987,792	533,402,223	530,097,410	507,622,304

## SUPREME AND APPELLATE COURTS

### **Statutory Reference**

Article 5th, Section 1 of the Connecticut Constitution; .C.G.S. Sections 51-1a, 51-14;C.G.S. Chapters 902, 883, 882 Part III.

### **Statement of Need or Program Objectives**

To promote the just resolution of conflicts by providing a forum for the direct review of appeals from the Superior Court through the Supreme and Appellate Court System.

### **Program Description**

The Supreme and Appellate Court System performs the following activities:

Establishes a file for every case, processes and reviews all filings for substantial compliance with the rules of practice, maintains the docket of cases from filing to assignment for argument, schedules eligible cases for pre-argument settlement conferences and enters orders and assigns cases for oral argument or a decision on the briefs.

Reviews cases for jurisdictional problems, prepares memoranda on jurisdictional questions, prepares screening reports on certain cases

prior to oral argument or submission of briefs and performs special research projects as required.

Reviews records and briefs, acts on motions and petitions for certification, hears oral arguments and writes opinions on cases that it decides.

Files written opinions with the Reporter of Judicial Decisions, compiles and maintains statistics on case flow, institutes rules of practice and responds to inquiries concerning such rules.

Establishes personnel policies and compensation plans for employees whose salaries are not fixed by statute.

Prepares written decisions of the Supreme Court and the Appellate Court for publication in the Connecticut Law Journal and in volume reports.

Prepares the annual revision of the Connecticut Practice Book that incorporates the amendments adopted by the judges; informs judges, attorneys and the public of decisions of the Supreme and Appellate Courts

### **Program Measures**

	FY 2016	FY 2017	FY 2018	FY 2019
	Actual	Estimated	Projected	Projected
Supreme Court Cases Pending at Start of Period	183	183	183	183
Supreme Court Cases Added (includes transfers from Appellate Court)	168	168	168	168
Petitions for Certification filed in Supreme Court	346	346	346	346
Supreme Court Cases Disposed	209	209	209	209
Supreme Court Cases Pending at End of Period	142	142	142	142

Appellate Court Cases Pending at Start of Period	1,041	1,041	1,041	1,041
Appellate Court Cases Added (includes transfers from Supreme Court)	1,262	1,262	1,262	1,262
Petitions for Certification filed in Appellate Court	34	34	34	34
Appellate Court Cases Disposed	1,153	1,153	1,153	1,153
Appellate Court Cases Pending at End of Period	1,150	1,150	1,150	1,150
Cases Transferred to Supreme Court from Appellate Court	58	58	58	58
Cases Transferred to Appellate Court from Supreme Court	80	80	80	80
Cases Screened - Supreme Court	124	124	124	124
Cases Screened - Appellate Court	504	504	504	504
Screening Reports Prepared - Supreme Court	28	28	28	28
Screening Reports Prepared - Appellate Court	204	204	204	204
Supreme Court Written Opinions Published	120	125	125	125
Appellate Court Written Opinions Published	507	520	520	520
Superior Court Written Opinions Published	5	5	5	5
Total Opinions Published	632	650	650	650
Published Pages of Opinions (Supreme Court)	4,064	4,200	4,200	4,200
Published Pages of Opinions (Appellate Court)	7,291	7,500	7,500	7,500
Average number of days between decision and electronic publication (Supreme Court)	22	24	24	24
Average number of days between decision and electronic publication (Appellate Court)	27	28	28	28
Average number of days between decision and written publication (Supreme Court)	31	32	32	32
Average number of days between decision and written publication (Appellate Court)	35	31	31	31

<b>Personnel Summary</b>								
<i>Permanent Full-Time Positions</i>	FY 2016 Filled	FY 2016 Vacant	FY 2017 Change	FY 2017 Total	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
General Fund	99	5	0	104	104	104	104	104

<b>Financial Summary by Program</b>								
<i>General Fund</i>	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
Personal Services	12,633,136	12,763,680	12,229,893	12,211,157	12,211,157	12,273,795	12,211,157	12,211,157
Other Expenses	1,479,480	1,460,785	1,460,785	1,460,785	1,460,785	1,460,785	1,460,785	1,460,785
Total-General Fund	14,112,616	14,224,465	13,690,678	13,671,942	13,671,942	13,734,580	13,671,942	13,671,942
Total - All Funds	14,112,616	14,224,465	13,690,678	13,671,942	13,671,942	13,734,580	13,671,942	13,671,942

## ADMINISTRATION

### **Statutory Reference**

C.G.S. Sections 51-1b(a), 51-182, 51-5a.

### **Statement of Need and Program Objectives**

To carry out the Judicial Department's mission to resolve matters brought before it in a fair, timely and effective manner.

### **Program Description**

The Chief Court Administrator and the Deputy Chief Court Administrator are responsible for, among other things, the efficient operation of the Connecticut Judicial Department, the prompt disposition of cases and the assignment of superior court judges to specific court locations. In addition, the Chief Court Administrator represents the department on a myriad of boards and commissions.

The Office of the Chief Court Administrator is composed of the Administrative Services and the External Affairs Divisions.

Administrative Services develops systems, maintains records and processes all financial transactions of the department; prepares and substantiates fiscal year budget requests; prepares and analyzes current year expenditure projections and allocates resources within the department; processes and supervises rentals, leases, supplies, materials and equipment and maintains all supplies and equipment used in connection with the department; assesses current and projected space needs, monitors all lease arrangements and requests bond funds for capital projects through the submission of a five-year capital plan; develops personnel standards and procedures, processes and maintains personnel records and represents the department in all collective bargaining negotiations; collects and summarizes information from court records; accounts for and distributes all revenue collected during daily operations of the court and notifies appropriate agencies of criminal dispositions.

External Affairs serves as the liaison between the department and the other branches of government and community organizations; responds to numerous requests for information from policy makers, news professionals and members of the public; develops the department's legislative agenda under the direction of the Chief Justice and the Chief Court Administrator; reviews all proposed legislation for potential impact on the department; administers the speakers' bureau that provides a panel of judges who are available to speak to community audiences on court-related topics; coordinates

the intern program that provides meaningful placement opportunities for students to gain valuable experience; develops outreach programs in collaboration with various private and public agencies to educate and inform members of the community about the department and coordinates public events designed to invite the public to tour courthouses and learn about the role of the courts.

**Program Measures**

	FY 2016 Actual	FY 2017 Estimated	FY 2018 Projected	FY 2019 Projected
Requisitions processed	3,650	3,595	3,577	3,649
Days from purchase to delivery	27	27	26	25
% New hires - minority	36	40	40	40
% New hires - women	46	45	45	45
Orientations held for new employees	15	15	15	15
Payroll changes	54,680	54,950	55,650	55,840
Revenues Collected (Total \$\$)	80,751,000	78,764,500	76,826,900	79,961,400
Bank Deposit (Total Number)	25,919	25,900	25,920	25,900
Vouchers Processed (Total Number)	58,604	59,000	59,000	59,200

**Personnel Summary**

*Permanent Full-Time Positions*

	FY 2016 Filled	FY 2016 Vacant	FY 2017 Change	FY 2017 Total	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
General Fund	139	14	0	153	153	153	153	153
Private Funds	3	0	0	3	3	3	3	3

**Financial Summary by Program**

*General Fund*

	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
Personal Services	9,902,859	10,120,320	9,552,944	9,531,122	9,531,122	9,592,544	9,531,122	9,531,122
Other Expenses	1,863,464	1,793,830	1,993,830	1,793,830	1,793,830	1,993,830	1,793,830	1,793,830
<u>Other Current Expenses</u>								
Probate Court	0	0	1,100,000	0	0	1,435,000	0	0
Workers' Compensation Claims	6,411,833	6,042,106	6,042,106	6,042,106	6,042,106	6,042,106	6,042,106	6,042,106
Legal Aid	1,660,000	1,552,382	1,552,382	1,552,382	1,552,382	1,552,382	1,552,382	1,552,382
Other Current Expenses	8,071,833	7,594,488	8,694,488	7,594,488	7,594,488	9,029,488	7,594,488	7,594,488
Total-General Fund	19,838,156	19,508,638	20,241,262	18,919,440	18,919,440	20,615,862	18,919,440	18,919,440

*Other Funds Available*

	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
Private Funds	4,600,271	2,281,900	2,274,000	2,274,000	2,274,000	2,274,000	2,274,000	2,274,000

*Federal Contributions*

	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
Null	3,747	6,253	0	0	0	0	0	0
16554 National Criminal History Improvement Pgm	44,835	151,522	0	0	0	0	0	0
16575 Crime Victim Assistance	10,143	0	0	0	0	0	0	0
16576 Crime Victim Compensation	8,150	0	0	0	0	0	0	0
16738 E Byrne Memorial Justice Assistance Grant	48,379	0	0	0	0	0	0	0

16813 Nics Act Record Improvement Program	22,448	0	0	0	0	0	0	0
93586 State Court Improvement Program	112,554	0	0	0	0	0	0	0
93597 Grants For Access & Visitation Programs	4,747	0	0	0	0	0	0	0
Total - All Funds	24,693,430	21,948,313	22,515,262	21,193,440	21,193,440	22,889,862	21,193,440	21,193,440

## COURT SUPPORT SERVICES

### **Statutory Reference**

C.G.S. Section 51-1d, 46b-121, 46b-123 and 46b-123-24, 46b-128-130, 46b-133-134, 4-141, 46b-121h, 46b-121i, 46b-121k, 46b-123, 46b-127, 46b-132, 46b-132a, 46b-127, and 46b-133, 54-63(b), 54-63(d), 54-103(b), 54-106, and 54-123(a).

### **Statement of Need and Program Objectives**

To provide direction and administrative support to the operational units of the Court Support Services Division (CSSD). Organization units include Central Office/Office of the Executive Director, Operations, Administration, and Program and Staff Development providing support for Adult, Juvenile, and Detention Services. There is a decentralized operations management structure with regional service areas reporting to the Central Office.

### **Program Description**

- Identifies, develops, implements and institutionalizes policies and procedures to provide the most effective and efficient services to the Court, supervision and monitoring for adults and juveniles, as well as assists in achieving the fair and timely resolution of family and interpersonal conflicts and domestic violence criminal cases.
- Oversees the operation of the juvenile detention facilities to ensure compliance with OSHA standards and divisional operational policies. Investigates detainee complaints and incidents within the detention facilities and ensures compliance with all provisions of a court order pertaining to conditions of confinement of juveniles.
- Administers interstate compact agreements and establishes and oversees a statewide network of contracted community based treatment, evaluation, social services and alternative to incarceration programs for adults, juveniles and families involved with the courts.
- Identifies and implements research and evaluation of best practice services, reports and analyzes outcome and performance data on CSSD services, conducts research and identification of evidence based practices proven to reduce recidivism and implements new services and delivery models within a statewide network of contracted community based services.

In the area of Juvenile Services, CSSD provides safe and secure custody, treatment and rehabilitative services for children and families by the efficient management of a juvenile justice system which recognizes the needs, rights and responsibilities of children, families and the community. Juvenile Services, which includes contracted services, performs the following functions:

- Identifies needs and risk factors of children and families that contribute to delinquent behavior and Family with Service Needs violations through an established classification system.

- Diverts children from the judicial process through non-judicial supervision services and referrals to appropriate community-based agencies and diversion programs.
- Assists the Court in making appropriate residential placements.
- Provides access to court-based assessments for appropriate medical, mental health and substance abuse services.
- Schedules and monitors payments in cases where restitution is ordered by the court.
- Appears in court in judicial cases, prepares pre-dispositional studies for the court, provides input about juveniles and responds to judicial inquiries.
- Supervises children placed on probation and keeps informed of the child's conduct through personal, family, school, community and/or other agency contacts and keeps the court informed of the child's conduct.
- Monitors community service hours completed and obtains community service sites in certain cases.

Detention Services provides secure and therapeutic confinement to those juveniles who present a danger to the community or themselves. A classification system is utilized to determine the most appropriate facility for the juvenile. The service also operates two residential detention facilities. Community-based contracted services, including Secure Detention and Alternative to Detention Programs are also administered. More specifically, Detention Services:

- Provides shelter, meals, clothing, medical, dental, mental health and case management services for juvenile detainees.
- Makes available educational services provided by local school districts.
- Provides a range of recreational and other gender-specific programs appropriate for the juvenile population, including psycho-educational groups to address trauma, substance abuse, anger management and violence prevention.
- Maintains records concerning all children in detention.
- Transports juveniles from detention facilities and alternative detention programs to court facilities for hearings and to other locations for evaluations and additional professional services as required. Maintain American Correctional Association and National Commission on Correctional Health Care accreditations. Conducts a comprehensive intake and screening admission process to identify strengths/risk needs.
- Provides comprehensive healthcare services including; medical, mental health, dental and pharmacological.
- Provides discharge planning recommendations and aftercare planning to the probation officer and family.

Finally, CSSD's Adult Services system monitors the behavior of offenders in the community and uses suitable methods to aid and encourage improvement in their conduct and condition. The program also assists the courts in the resolution of family and domestic violence matters.

The CSSD Adult Services delivery system is comprised of three major disciplines: adult probation, bail, and family services. These disciplines perform the following functions:

- Supervises all individuals sentenced to probation in accordance with statutory requirements and provides them with the opportunity to promote positive change, reduce recidivism and ensure the successful completion of probation.
- Provides access and support to victims through victim impact statements, restitution and the monitoring of conditions of probation.
- Ensures principles of fair and reasonable bail as guaranteed by the state and federal constitutions.
- Provides pre-dispositional studies and recommendations to the court to assist in disposition of criminal cases.
- Assists in the return of probation violators to court in a timely and efficient manner.
- Monitors and reports to the court on the defendant's compliance with pretrial and release conditions.
- Determines eligibility for the Pretrial Alcohol Education Program, Drug Education Program and other diversionary programs and formulates recommendations for the court.
- Conducts independent interviews and assessments for all detainees unable to post bond prior to arraignment.
- Verifies interview information and investigates state and national criminal history information.
- Recommends specific release conditions necessary to ensure court appearance and public safety in appropriate cases; notifies each defendant interviewed of scheduled court

appearance and, on order of the court, notifies defendants who have failed to appear prior to the issuance of a rearrest warrant; verifies, monitors and records the activities of individuals conditionally released; re-interviews defendants at correctional centers post arraignment to provide alternative to incarceration bond modification plans to the judge and provides written progress reports to the court on conditional releases including recommendations for graduated sanctions for pretrial misconduct.

- Classifies and supervises offenders by assessed risk level.
- Makes referrals to, and collaborates with, appropriate community services and programs.
- Provides program coordination, defendant assessment and release supervision for Drug, Domestic Violence and Community Courts.
- Facilitates the identification and exploration of issues involving child custody and/or parental access through mediation and conflict resolution conferences to resolve parenting disputes in a non-coercive and confidential manner.
- Conducts comprehensive and issue focused evaluations involving in-depth assessments of the family and/or the issues impacting a parenting plan that result in recommended plans shared with the parties, attorneys, and the court.
- Conducts pretrial and final judgment settlement negotiations with attorneys and self-represented litigants that also serve as the intake/screening for custody and access referrals and civil restraining order petition negotiation.
- Completes pre-arraignment risk assessments for all family violence cases and prepares recommendations for the court. Conducts comprehensive family violence case assessments for defendants referred subsequent to the arraignment process. Provides monitoring/pre-trial supervision of individuals referred for family diversionary programs or court ordered interventions/sanctions.

*Program Measures*

	FY 2016	FY 2017	FY 2018	FY 2019
	Actual	Estimated	Projected	Projected
Adult Probation-% of Adult Probation cases completing supervision successfully	61	61	61	61
Adult Probation-% of adult probationers rearrested within 24 months of beginning supervision	40	39	39	39
Adult Probation-% of Violation of Probation Warrants that are for technical violations only	35	35	35	35
Adult Probation-% of probationers who are employed either full or part time	44	44	44	44
Adult Pretrial-Pre-trial defendant Interviews by the Jail Re-Interview Program	20,355	10,000	10,000	10,000
Adult Pretrial-% of defendants successfully completing the Alcohol Education Program	94	92	92	92
Family Services-% Mediation Cases Resolved Successfully (Civil Court)	70	72	72	72
Family Services-% Rate of Return for Subsequent Service Post-Agreement (Civil Court)	5	6	6	6
Family Services-% DV Rearrest post supervision completion	9	9	9	9
Family Services-% of DV defendants successfully completing Pre-Trial Supervision	85	85	85	85
Juvenile Probation-% of Juvenile Probation cases completing supervision successfully	82	82	82	82
Juvenile Probation-% of juvenile probationers rearrested within 24 months of beginning supervision	57	56	56	56
Juvenile Probation-% Take Into Custody and Warrants Rate	4	5	5	5

Juvenile Detention-Total Juvenile Detention Admissions	1,693	1,500	1,500	1,500
Juvenile Detention-Average Daily Juvenile Detention Population (% Capacity)	34	34	34	34
Juvenile Detention-Total Unique Juveniles Admitted to Detention	1,100	1,050	1,050	1,050
Juvenile Detention-Average days in Secure Detention	13	13	13	13
Administration-% Rearrest Rate post Alternative Incarceration Program Completion	27	27	27	27
Administration-% Rearrest Rate post Child, Youth and Family Support Center Completion	20	20	20	20
Administration-% Rearrest Rate post DV Intervention Program Completion	12	12	12	12

**Personnel Summary**

<i>Permanent Full-Time Positions</i>	FY 2016 Filled	FY 2016 Vacant	FY 2017 Change	FY 2017 Total	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
General Fund	1,296	191	0	1,487	1,487	1,487	1,487	1,487
Federal Funds	3	0	0	3	3	3	3	3
Private Funds	39	7	0	46	46	46	46	46

**Financial Summary by Program**

<i>General Fund</i>	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
Personal Services	120,516,524	122,936,112	117,398,350	117,171,932	112,171,932	117,743,919	117,171,932	112,171,932
Other Expenses	11,290,987	10,893,847	10,532,885	10,625,098	10,625,098	10,597,885	10,602,921	10,602,921
<u>Other Current Expenses</u>								
Alternative Incarceration Program	55,663,992	52,111,449	52,111,449	52,111,449	48,902,638	52,111,449	52,111,449	48,902,638
Justice Education Center, Inc.	491,714	466,217	466,217	466,217	466,217	466,217	466,217	466,217
Juvenile Alternative Incarceration	27,807,807	25,788,309	25,788,309	25,788,309	20,683,458	25,788,309	25,788,309	20,683,458
Juvenile Justice Centers	2,940,338	2,786,379	2,786,379	2,786,379	0	2,786,379	2,786,379	0
Probate Court	0	6,000,000	6,000,000	6,000,000	4,450,000	6,000,000	6,000,000	4,450,000
Youthful Offender Services	14,227,298	13,311,287	13,311,287	13,311,287	10,445,555	13,311,287	13,311,287	10,445,555
Children of Incarcerated Parents	582,250	544,503	544,503	544,503	544,503	544,503	544,503	544,503
Youth Violence Initiative	2,030,663	1,925,318	1,925,318	1,925,318	1,925,318	1,925,318	1,925,318	1,925,318
Youth Services Prevention	3,273,968	3,187,174	3,187,174	3,187,174	2,708,174	3,187,174	3,187,174	2,708,174
Juvenile Planning	250,000	233,792	233,792	233,792	233,792	233,792	233,792	233,792
Other Current Expenses	107,268,030	106,354,428	106,354,428	106,354,428	90,359,655	106,354,428	106,354,428	90,359,655
Total-General Fund	239,075,541	240,184,387	234,285,663	234,151,458	213,156,685	234,696,232	234,129,281	213,134,508

<i>Other Funds Available</i>	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
Private Funds	4,600,745	3,442,715	3,442,715	3,442,715	3,442,715	3,436,500	3,436,500	3,436,500
Special Non-Appropriated Funds	228	0	0	0	0	0	0	0

<i>Federal Contributions</i>	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
Null	118,090	56,497	0	0	0	0	0	0
16321 Antiterrorism & Emergency Assistance	2,124,495	706,209	100,000	100,000	100,000	0	0	0
16554 National Criminal History Improvement Program	1,619	1,078,293	0	0	0	0	0	0
16575 Crime Victim Assistance	5,915,401	10,084,202	6,724,577	6,724,577	6,724,577	4,524,577	4,524,577	4,524,577
16576 Crime Victim Compensation	644,525	460,790	0	0	0	0	0	0
16588 Violence Against Women Formula Grants	36,079	0	0	0	0	0	0	0
93586 State Court Improvement Program	4,998	0	0	0	0	0	0	0
93597 Grants For Access & Visitation Programs	36,301	0	0	0	0	0	0	0
Total - All Funds	252,558,022	256,013,093	244,552,955	244,418,750	223,423,977	242,657,309	242,090,358	221,095,585



<i>Federal Contributions</i>	Actual	Estimated	Requested	Baseline	Recommended	Requested	Baseline	Recommended
Null	52,200	0	0	0	0	0	0	0
16554 Criminal Disposition Reporting	0	622,273	622,273	622,273	622,273	0	0	0
16554 National Criminal History Improvement Pgm	12,912	0	0	0	0	0	0	0
16554 National Criminal History Improvement Program	316,163	340,923	0	0	0	0	0	0
16554 Protection Order Registry Improvements	0	144,936	144,936	144,936	144,936	0	0	0
16554 Uniform Arrest Rep Sync System	0	29,475	29,475	29,475	29,475	0	0	0
16738 E Byrne Memorial Justice Assistance Grant	415,344	0	0	0	0	0	0	0
16813 Nics Act Record Improvement Program	26,618	0	0	0	0	0	0	0
93586 State Court Improvement Program	156,023	88,248	0	0	0	0	0	0
Total - All Funds	24,654,696	24,589,434	23,075,617	23,075,617	23,075,617	22,275,658	22,275,658	22,275,658

## SUPERIOR COURT

### **Statutory Reference**

C.G.S. Sections 1-24, 4a-18, 6-32, 6-32d, 6-32f, 6-32g, 6-38f, 6-38i, 17a-699(d), 17b-137, 17b-745(a)(8), 31-294d, 38a-497a, 46b-1 through 46b-12c, 46b-15 through 46b-16a, 46b-38a through 46b-38c, 46b-38f through 46b-38i, 46b-40 through 46b-75, 46b-80 through 46b-88, 46b-115 through 46b-115jj, 46b-120 through 46b-151h, 46b-160 through 46b-211, 46b-215 through 46b-224, 46b-225, 46b-231 through 46b-232a, 46b-301 through 46b-321, 46b-301 to 46b-425, 47a-69, 47a-70, 49-31k through 49-31o and 49-31t through 49-31v, 49-31m-n, 51-5c, 51-90 through 51-94, 51-164m through 51-164o, 51-164t, 51-165, 51-181b through 51-181e, 51-193l through 51-193u, 51-194 through 51-197, 51-197c-f, 51-217 through 51-247c, 51-344, 51-344a, 51-344b, 51-348, 52-50, 52-185 through 52-235b, 52-257 through 52-262, 52-362, 52-434 (a)(4), 52-434(d), 52-549a through 52-549d, 52-549n through 52-549aa, 53a-3, 54-1b through 54-36p, 54-64d, 54-201 through 54-235, Article 5th, Section 1 of the Connecticut Constitution as amended by Article XX

### **Statement of Need and Program Objectives**

To provide support services to the Superior Court judges, family support magistrates, judicial officers and non-judicial officers to process all cases in a prompt and efficient manner. The Support Enforcement, Victim Services, and Judicial Marshals units play supportive roles in the day-to-day operations within the court system.

### **Program Description**

The Superior Court is composed of 201 judges including the justices of the Supreme Court and the judges of the Appellate Court. Superior Court judges decide issues dealing with extremely serious problems affecting people's lives - their liberty, their children, their spousal relationships and their business relationships. This may involve the sentencing of a convicted defendant, the determination of which parent will raise a child, the awarding of damages to an accident victim or the question of whether a person should be taken off a life support system. Among other things, judges participate in the selection of jurors, advise defendants of their rights in criminal matters, set bail conditions for defendants, sentence defendants found guilty of a violation of state statute, grant dissolutions of marriage, hear and adjudicate cases involving child protection and juvenile delinquency and appoint counsel for indigent parties.

The Superior Court Operations Division supports the administration of justice by providing quality services and information to judges, family support magistrates, judicial officers and non-judicial officers the bar and the public. It ensures that courts have sufficient staff and resources to assist judges, family support magistrates, judicial officers and non-judicial officers in the resolution of disputes. Specialized staff maintain security in the courthouses, transport prisoners between places of confinement, assist with the collection of child support and provide information, compensation and advocacy services to people victimized by crime.

The Superior Court includes thirteen judicial districts, twenty geographical areas, six housing sessions and eleven juvenile courts, Centralized Small Claims, the Centralized Infraction Bureau, and Jury Administration. Superior Court staff:

- Prepares a case file, reviews and processes all petitions and official documents associated with each case and assigns all matters for judicial hearings, produces an official court record in all court proceedings except small claims and minor motor vehicle matters, maintains and updates written policies and procedures to ensure uniform operations of the clerks' offices and provides operational direction to the clerks' offices.
- Manages the operation of special court sessions (i.e. Community Court, Drug Court, Complex Litigation, Domestic Violence, Child Protection, and Land Use in order to effectively process matters requiring specialized court resources).
- Manages and administers the operation of two mediation centers in the Hartford and Waterbury Judicial Districts.
- Responsible for fiscal management of trust and avails accounts.
- Responsible for ensuring data integrity of all computerized case management systems utilized by the courts.
- Maintains registry of restraining, protective and no-contact orders for use by law enforcement.
- Interprets proceedings for limited-English proficient parties in all criminal cases and Juvenile Matters and, if available, in family, civil and housing cases. Translates court ordered documents and tapes.

- Provides specialized mediation assistance in all judicial districts to parties in residential mortgage foreclosure matters. Assists homeowners with completion and submission of financial applications for mortgage assistance, coordinates referrals to state and community assistance programs, facilitates communication and pre-judgment settlements through mediation, and ensures compliance with foreclosure mediation statute, standing orders, court orders, and federal assistance program guidelines.
- Provides specialized services in housing matters in six judicial districts including on-site inspections of the condition of the premises, mediation between the parties, assistance in finding financial and other resources to help resolve the issue and monitoring of compliance with court ordered repairs.
- Compiles, analyzes and prepares reports on caseload statistics for use in the allocation of personnel and other resources.
- Administers the Judicial Branch Law Library System, providing professional law librarian services in twelve courthouse libraries to self-represented parties, members of the general public, attorneys, courthouse personnel, family support magistrates and the judges of the Superior Court.
- Provides direct legal research services on pending matters to family support magistrates and the judges of the Superior Court.
- Summons jurors and implements procedures for the improvement of jury administration.
- Governs members of the bar; receives applications for admission to the bar; receives, investigates and maintains records of grievance complaints against attorneys and persons not authorized to practice law; prosecutes findings of probable cause that an attorney has committed misconduct and, when appropriate, presents grievances in court.
- Administers Alternative Dispute Resolution (ADR) programs in order to speed resolution of cases and decrease the number of trials.
- Manages the operation of thirteen Court Service Centers and eleven Public Information Desks in order to provide court procedural assistance to self-represented parties, attorneys and members of the general public.
- Manages the operation of eighteen Volunteer Attorney Programs in family, foreclosure, contract collections and small claims.
- Provides pre-bench orientation and continuing education for all judges.
- Provides limited English proficiency and diversity training for all judges and Judicial Branch staff.
- Provides performance improvement opportunities and public service training for Judicial Branch staff based on the Branch's four core values of integrity, fairness, respect and professionalism.
- Provides civil rights training to vendors and service providers who receive Branch contracts funded under the Department of Justice.
- Supervises the payment of any child, medical and spousal support orders and determines appropriate enforcement action to take in accordance with federal and state performance standards through use of automated enforcement processes and professional discretion.
- Induces the defaulting party to resume payments through personal contacts (initial counseling), informal resolutions and/or formal enforcement action (income withholding, contempt citations, license revocation, etc.).
- In cases requiring formal enforcement actions, initiates an application for contempt, issues a summons for parties to appear, performs service of process, investigates and prepares cases for court, conducts pre-hearing conferences in an attempt to resolve the issue; and presents information to the court and issues *capias mittimus* if ordered, when the defendant fails to appear.
- Executes duties and responsibilities associated with the Uniform Interstate Family Support Act (UIFSA), which includes the maintenance of: a registry of all foreign support orders; a registry of all foreign paternity judgments; and a registry of all support orders entered by the Family Support Magistrate Division. SES serves as a support enforcement agency in all actions filed under UIFSA and serves as the State Information Agent pursuant to UIFSA.
- Reviews child support orders in Title IV-D cases at the request of the parties or the IV-D agency, to assess deviations from child support guidelines, including calculation of presumptive child support guideline amount, preparation of motions, service of process, pre-hearing conferences and presentation of cases in court.
- Initiates review and adjustment of child support cases with a substantial change in circumstances.
- Monitors Title IV-D cases for medical support enforcement, and administratively directs employers to comply with court orders.
- Monitors employer compliance with child support income withholding orders.
- Maintains a state case registry of all paternity and support orders established or modified in the state.
- Assists the Department of Social Services (DSS) in administrative enforcement activities by participating in administrative hearings conducted by DSS.
- Processes and serves non IV-D income withholding orders and provides notice of all court activity to parties in IV-D cases.
- Operates toll free customer service call center.

Through the Support Enforcement unit, the court system enforces all Title IV-D child support and medical support court orders in accordance with federal and state regulations, rules and statutes and reviews orders, and when appropriate, initiates an action for modification before a family support magistrate. The Support Enforcement Services (SES) staff:

Through the Office of Victim Services (OVS) unit, the court promotes, designs, administers and delivers statewide services to victims of violent crime. To develop, implement and manage programs that support crime victims' unmet needs, the Office of Victim Services unit staff:

- Administers the state's crime victim compensation program, which provides financial reimbursement to victims of violent crime and in some cases, to their dependents and relatives.
- Provides court based victim advocacy services, which include notification of victims' rights, notification of court proceedings, assistance with victim impact statements, referrals to related services, and accompaniments to court.

- Provides victim assistance services for Board of Pardons and Parole hearings.
- Provides notification and information/referral services through a telephone helpline, a post-conviction notification program and the Protection Order Registry.
- Provides notification of certain criminal justice events by managing the Statewide Automated Victim Information and Notification (CT SAVIN) system.
- Contracts with and monitors community based nonprofit agencies that provide crisis intervention, counseling, advocacy and other direct services to victims of crime.
- Provides training to the criminal justice system, community partners, and the public on victim rights, OVS services and available resources.
- Staffs the statewide advisory council for victims of crime; provides training and technical assistance to state and local agencies and to statewide victim services coalitions and groups.
- Provides reimbursement to hospitals for the costs associated with the collection of evidence in sexual assault cases.
- Manages the Sexual Assault Forensic Examiners on-call program, which trains and makes forensic examiners available to victims of sexual assault (available at participating hospitals).

Through the Judicial Marshals unit, the court system maintains the security of all courthouses and Judicial Department facilities, ensuring the safety of the public and staff and provides transportation for prisoners between courthouses and correctional facilities. The operation of the Judicial Marshal Services unit is managed by a central administrative office with local operations divided into thirteen judicial districts. The Administrative Judge for each Judicial District oversees the marshal functions in the respective district, in conjunction with the Chief Judicial Marshal in each district, who is also charged with the day-to-day scheduling and supervision of staff. The Judicial Marshal Services are responsible for providing the following:

- Security in all courthouses and Judicial Department facilities; including, courtroom security for Superior Court and family support matters.
- Transportation of prisoners between courthouses and correctional facilities.
- Transportation of prisoners to and from treatment facilities.
- Supervision of prisoners within Judicial Department courthouses.
- Operating a twenty-four hour lockup facility at the Lafayette Street Courthouse in Hartford.
- Serving *capias mittimus* issued by a Family Support Magistrates.
- Responsible for monitoring jurors in criminal cases involving a crime that is punishable by life imprisonment.

#### Program Measures

	FY 2016	FY 2017	FY 2018	FY 2019
	Actual	Estimated	Projected	Projected
Court Operations-% of Criminal cases at Geographical Areas pending over time standards	34.5	35.6	35.9	36.2
Court Operations-% of Criminal cases at Judicial Districts pending over time standards	29.5	31.1	31.5	32.0
Court Operations-% Turnover Rate for Criminal cases at Judicial Districts	1.00	0.99	0.98	0.97
Court Operations-% Turnover Rate for Criminal cases at Geographical Areas	0.98	0.98	0.97	0.95
Court Operations-% Turnover Rate for Motor Vehicle cases at Geographical Areas	1.10	1.05	1.01	1.00
Court Operations-% of Summary Process cases disposed within 20-day time standard	40.0	39.0	42.0	43.0
Court Operations-% Turnover Rate for Family cases at Judicial Districts	1.03	1.03	1.02	1.00
Court Operations-% Turnover Rate for Civil cases at Judicial Districts	1.11	1.09	1.08	1.05
Court Operations-% Turnover Rate for Small Claims cases including Housing Small Claims (HSC)	0.96	1.18	1.00	0.99
Court Operations-Foreclosures added	13,360	12,276	12,500	13,500
Court Operations- Cases Added Juvenile Delinquency/Family with Services Needs	13,695	12,621	11,854	11,262
Court Operations- Petitions Added - Child Protection	12,161	12,180	12,223	12,369
Judge Support-Number of legal research requests	4,496	4,521	4,546	4,571
Judge Support-Number of patron visits at Judicial Branch Law Libraries	181,543	184,311	187,079	189,847
Judge Support-Number of telephone inquiries and emails completed by Law Library staff	13,181	14,981	16,781	18,581
Interpreters-Number of occasions requiring on interpreter	41,573	42,488	43,423	44,380
Transcription Services-% of transcripts delivered within time standards (Felony Sentencing)	98.4	99.0	99.0	99.0
Transcription Services-% of transcripts delivered within time standards (Appeals)	93.0	95.0	95.0	95.0
Marshals-Average number of screenings at metal detector	27,000	28,500	29,800	31,000
Marshals-Average number of prisoners transported daily	750	810	875	925
Marshals-Average number of prisoners in courthouse lockup per day	475	515	600	625
Marshals-Number of child support <i>capias</i> arrests made by judicial marshals in courthouses	277	350	375	400

Victim Services-Within allocated funding, compensate victims of crimes as authorized by law (\$000)	1,978,133	2,025,000	2,025,000	2,025,000
Victim Services-Number of claimants found eligible to to receive an order of compensation	2,058	2,100	2,100	2,100
Victim Services-Number of calls received (hotline and informational)	3,420	3,500	3,650	3,800
Victim Services-Number of protection order registry letters generated to victims	25,988	26,000	26,000	26,000
Victim Services-Number of victims served by OVS victim services advocates	7,032	7,100	7,250	7,400
Victim Services-Number of human trafficking victims receiving shelter services	3	5	5	5
Victim Services-Number of individuals trained on human trafficking issues	76	125	125	125
Support Enforcement-Number of obligated child support cases	155,816	151,680	149,026	146,418
Support Enforcement-% of current support collected and distributed	61.8	62.3	62.8	63.3
Support Enforcement-Average number of obligated cases per officer	2,105	2,107	2,129	2,153
Support Enforcement-% of cases meeting federal standard for enforcement	90.0	95.0	95.0	95.0
Support Enforcement-Number of cases reviewed for modification services	9,942	11,128	10,933	10,742
Support Enforcement-Number of support orders with medical support ordered	96,719	93,700	92,061	90,450
Support Enforcement-Number of capias orders executed	967	980	1,000	1,000
Support Enforcement-Non IV-D income withholding cases opened -(new)	1,582	1,624	1,625	1,625

<i>Personnel Summary</i>	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	2,055	388	0	2,443	2,443	2,443	2,443	2,443

<i>Financial Summary by Program</i>	FY 2016	FY 2017	FY 2018	FY 2018	FY 2018	FY 2019	FY 2019	FY 2019
<i>General Fund</i>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Baseline</u>	<u>Recommended</u>	<u>Requested</u>	<u>Baseline</u>	<u>Recommended</u>
Personal Services	189,619,801	192,765,934	183,977,585	183,919,882	182,439,549	184,147,325	183,919,882	182,439,549
Other Expenses	38,467,681	36,830,234	37,834,088	37,958,091	37,958,091	38,236,942	37,932,710	37,932,710
<u><i>Other Current Expenses</i></u>								
Forensic Sex Evidence Exams	1,388,690	1,348,010	1,348,010	1,348,010	1,348,010	1,348,010	1,348,010	1,348,010
Alternative Incarceration Program	679,521	636,154	636,154	636,154	636,154	636,154	636,154	636,154
Victim Security Account	2,142	8,792	8,792	8,792	8,792	8,792	8,792	8,792
Children's Law Center	109,838	102,717	102,717	102,717	102,717	102,717	102,717	102,717
Other Current Expenses	2,180,191	2,095,673	2,095,673	2,095,673	2,095,673	2,095,673	2,095,673	2,095,673
Total-General Fund	230,267,673	231,691,841	223,907,346	223,973,646	222,493,313	224,479,940	223,948,265	222,467,932

<i>Financial Summary by Program</i>	FY 2016	FY 2017	FY 2018	FY 2018	FY 2018	FY 2019	FY 2019	FY 2019
<i>Banking Fund</i>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Baseline</u>	<u>Recommended</u>	<u>Requested</u>	<u>Baseline</u>	<u>Recommended</u>
<u><i>Other Current Expenses</i></u>								
Foreclosure Mediation Program	5,938,239	6,350,389	3,610,565	3,610,565	3,610,565	3,610,565	3,610,565	3,610,565
Total-Banking Fund	5,938,239	6,350,389	3,610,565	3,610,565	3,610,565	3,610,565	3,610,565	3,610,565

<i>Financial Summary by Program</i>	FY 2016	FY 2017	FY 2018	FY 2018	FY 2018	FY 2019	FY 2019	FY 2019
<i>Criminal Injuries Compensation Fund</i>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Baseline</u>	<u>Recommended</u>	<u>Requested</u>	<u>Baseline</u>	<u>Recommended</u>
<u><i>Other Current Expenses</i></u>								
Criminal Injuries Compensation	2,764,350	2,934,088	3,381,215	2,934,088	2,934,088	3,381,215	2,934,088	2,934,088
Total-Criminal Injuries Compensation Fund	2,764,350	2,934,088	3,381,215	2,934,088	2,934,088	3,381,215	2,934,088	2,934,088

<i>Federal Contributions</i>	FY 2016	FY 2017	FY 2018	FY 2018	FY 2018	FY 2019	FY 2019	FY 2019
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Baseline</u>	<u>Recommended</u>	<u>Requested</u>	<u>Baseline</u>	<u>Recommended</u>
16576 Crime Victim Compensation Ffy15	0	596,000	298,000	298,000	298,000	298,000	298,000	298,000
16582 Voca Victim Assistance Training	0	150,188	75,094	75,094	75,094	75,094	75,094	75,094
93586 Court Improvement Prog Ffy16	0	74,298	74,298	74,298	74,298	0	0	0
93586 SCIP - Data Sharing Prog FFY16	0	68,729	68,729	68,729	68,729	0	0	0
93586 SCIP - Training Prog FFY16	0	68,729	68,729	68,729	68,729	0	0	0

Total - All Funds	238,970,262	241,934,262	231,483,976	231,103,149	229,622,816	231,844,814	230,866,012	229,385,679
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## AGENCY FINANCIAL SUMMARY - GENERAL FUND

<i>Current Expenses by Minor Object</i>	FY 2016	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
<i>Personal Services</i>	Actual	Estimated	Requested	Recommended	Requested	Recommended
Salaries & Wages-Full Time	314,649,521	319,919,988	303,319,783	296,495,931	303,899,754	296,495,931
Salaries & Wages-Temporary	6,436,675	6,621,940	6,810,599	6,818,802	6,818,802	6,818,802
Salaries & Wages-Part Time	7,658,962	7,603,567	7,798,654	7,807,136	7,807,136	7,807,136
Longevity Payments	5,638,098	5,590,846	5,590,846	5,590,846	5,590,846	5,590,846
Overtime	1,889,506	2,030,236	2,079,792	2,081,947	2,081,947	2,081,947
Accumulated Leave	2,253,218	3,294,938	3,294,938	3,294,938	3,294,938	3,294,938
Other Salaries & Wages	626,854	688,946	688,946	688,946	688,946	688,946
Other	4,963,329	4,526,974	4,526,974	4,526,974	4,526,974	4,526,974
<b>TOTAL - Personal Services-Personal Services</b>	<b>344,116,163</b>	<b>350,277,435</b>	<b>334,110,532</b>	<b>327,305,520</b>	<b>334,709,343</b>	<b>327,305,520</b>
 <i>Other Expenses</i>						
Capital Outlays	1,849,554	2,001,795	2,001,795	2,001,795	2,001,795	2,001,795
Chilled Water	267,981	270,409	270,409	270,409	270,409	270,409
Client Services	139,148	134,999	134,999	134,999	134,999	134,999
Communications	2,550,089	2,425,001	2,425,001	2,425,001	2,425,001	2,425,001
Electricity	5,826,720	5,879,520	5,879,520	5,879,520	5,879,520	5,879,520
Employee Expenses	275,897	269,999	269,999	269,999	269,999	269,999
Employee Travel	1,578,609	1,514,999	1,514,999	1,514,999	1,514,999	1,514,999
Equipment Rental and Maintenance	965,789	965,000	965,000	965,000	965,000	965,000
Food And Beverages	88,619	88,001	88,001	88,001	88,001	88,001
Hot Water	232,083	234,186	234,186	234,186	234,186	234,186
Information Technology	6,139,524	5,978,000	5,978,000	5,978,000	5,978,000	5,978,000
Motor Vehicle Costs	1,248,753	1,208,982	1,208,982	1,208,982	1,208,982	1,208,982
Natural Gas	839,176	834,820	834,820	834,820	834,820	834,820
Natural Gas and Propane	0	11,960	11,960	11,960	11,960	11,960
Oil #2	14,404	14,535	14,535	14,535	14,535	14,535
Other / Fixed Charges	2,645,381	1,720,493	1,720,493	1,720,493	1,720,493	1,720,493
Other Services	9,272,027	8,745,000	8,745,000	8,745,000	8,745,000	8,745,000
Premises Expenses	14,265,696	13,915,638	15,291,657	15,269,877	15,759,511	15,255,891
Premises Rent Expense-Landlord	7,988,480	7,620,754	6,887,627	7,125,623	6,887,627	7,092,051
Professional Services	3,692,203	3,625,001	3,625,001	3,625,001	3,625,001	3,625,001
Purchased Commodities	4,201,477	4,107,930	4,307,930	4,107,930	4,307,930	4,107,930
Sewer	213,220	215,152	215,152	215,152	215,152	215,152
Water	237,271	239,420	239,420	239,420	239,420	239,420
<b>TOTAL-Other Expenses</b>	<b>64,532,101</b>	<b>62,021,594</b>	<b>62,864,486</b>	<b>62,880,702</b>	<b>63,332,340</b>	<b>62,833,144</b>
 <i>Other Current Expenses</i>						
Forensic Sex Evidence Exams	1,388,690	1,348,010	1,348,010	1,348,010	1,348,010	1,348,010
Alternative Incarceration Program	56,343,513	52,747,603	52,747,603	49,538,792	52,747,603	49,538,792
Justice Education Center, Inc.	491,714	466,217	466,217	466,217	466,217	466,217

Juvenile Alternative Incarceration	27,807,807	25,788,309	25,788,309	20,683,458	25,788,309	20,683,458
Juvenile Justice Centers	2,940,338	2,786,379	2,786,379	0	2,786,379	0
Probate Court	0	6,000,000	7,100,000	4,450,000	7,435,000	4,450,000
Workers' Compensation Claims	6,411,833	6,042,106	6,042,106	6,042,106	6,042,106	6,042,106
Youthful Offender Services	14,227,298	13,311,287	13,311,287	10,445,555	13,311,287	10,445,555
Victim Security Account	2,142	8,792	8,792	8,792	8,792	8,792
Children of Incarcerated Parents	582,250	544,503	544,503	544,503	544,503	544,503
Legal Aid	1,660,000	1,552,382	1,552,382	1,552,382	1,552,382	1,552,382
Youth Violence Initiative	2,030,663	1,925,318	1,925,318	1,925,318	1,925,318	1,925,318
Youth Services Prevention	3,273,968	3,187,174	3,187,174	2,708,174	3,187,174	2,708,174
Children's Law Center	109,838	102,717	102,717	102,717	102,717	102,717
Juvenile Planning	250,000	233,792	233,792	233,792	233,792	233,792
TOTAL-Other Current Expenses	117,520,054	116,044,589	117,144,589	100,049,816	117,479,589	100,049,816

**Character & Major Object Summary**

	FY 2016	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
	Actual	Estimated	Requested	Recommended	Requested	Recommended
Personal Services	344,116,163	350,277,435	334,110,532	327,305,520	334,709,343	327,305,520
Other Expenses	64,532,101	62,021,594	62,864,486	62,880,702	63,332,340	62,833,144
Other Current Expenses	117,520,054	116,044,589	117,144,589	100,049,816	117,479,589	100,049,816
TOTAL-General Fund	526,168,318	528,343,618	514,119,607	490,236,038	515,521,272	490,188,480

## AGENCY FINANCIAL SUMMARY - BANKING FUND

*Other Current Expenses*

Foreclosure Mediation Program	5,938,239	6,350,389	3,610,565	3,610,565	3,610,565	3,610,565
TOTAL-Other Current Expenses	5,938,239	6,350,389	3,610,565	3,610,565	3,610,565	3,610,565

**Character & Major Object Summary**

	FY 2016	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
	Actual	Estimated	Requested	Recommended	Requested	Recommended
Other Current Expenses	5,938,239	6,350,389	3,610,565	3,610,565	3,610,565	3,610,565
TOTAL-Banking Fund	5,938,239	6,350,389	3,610,565	3,610,565	3,610,565	3,610,565

## AGENCY FINANCIAL SUMMARY - CRIMINAL INJURIES COMPENSATION FUND

*Other Current Expenses*

Criminal Injuries Compensation	2,764,350	2,934,088	3,381,215	2,934,088	3,381,215	2,934,088
TOTAL-Other Current Expenses	2,764,350	2,934,088	3,381,215	2,934,088	3,381,215	2,934,088

**Character & Major Object Summary**

	FY 2016	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
	Actual	Estimated	Requested	Recommended	Requested	Recommended
Other Current Expenses	2,764,350	2,934,088	3,381,215	2,934,088	3,381,215	2,934,088
TOTAL-Criminal Injuries Compensation Fund	2,764,350	2,934,088	3,381,215	2,934,088	3,381,215	2,934,088

# PUBLIC DEFENDER SERVICES COMMISSION

## AGENCY DESCRIPTION

The Public Defender Services Commission is responsible for:

- Ensuring the constitutional administration of criminal justice within the state court system by maintaining a public defender office at all court locations throughout the state.
- Providing legal representation to indigent accused persons in criminal trials and appeals, extradition proceedings, habeas corpus proceedings arising from a criminal matter, delinquency matters, Psychiatric Security Review Board cases and post-conviction petitions for DNA testing.
- Providing social work services to clients to assist in addressing and resolving personal and social problems that lead to arrest and prosecution within the criminal justice system.
- Contributing to crime prevention by participation in specialized programs, including domestic violence courts, community courts, youthful offender dockets, diversionary programs, drug intervention, alternatives to incarceration and team case management.
- Providing a balanced perspective within the criminal justice community by participation on state policy boards, task forces and committees involved in addressing criminal justice issues.
- Fulfilling the state's constitutional obligation to provide competent defense counsel in a professional, effective and cost efficient manner for indigent accused.
- Providing competent assigned counsel for adults and children in the above matters where an ethical conflict of interest requires representation by outside counsel.
- Providing counsel for indigent children and adults involved in child welfare cases before the superior court, providing guardian ad litem in family court cases, and providing representation for contemnors in support enforcement cases.
- Ensuring that appeals are expedited as required by the Appellate and Supreme Courts.
- Providing funding for reasonable expert services for pro-se indigent defendants in criminal cases.

## RECOMMENDED SIGNIFICANT CHANGES

### Baseline Adjustments

- Annualize FY 2017 Deficiency

To annualize recognized deficiencies in the following accounts: \$2,400,000 in Personal Services, \$1,700,000 in Other Expenses, and \$200,000 in Expert Witnesses.

	<b>2017-2018</b>	<b>2018-2019</b>
	4,300,000	4,300,000

### Reductions

- Annualize FY 2017 Holdbacks

To align budget growth with available resources, funding is reduced to reflect the continuation of allocated bottom-line lapses contained in Public Act 16-2 (May Spec. Sess.).

	<b>2017-2018</b>	<b>2018-2019</b>
	-2,480,898	-2,480,898

## AGENCY PROGRAMS

### Personnel Summary

#### Permanent Full-Time Positions

	FY 2016 Filled	FY 2016 Vacant	FY 2017 Change	FY 2017 Total	FY 2018 Requested	FY 2018 Recommended	FY 2019 Requested	FY 2019 Recommended
General Fund	388	59	0	447	447	447	447	447

### Agency Program by Total Funds

	FY 2016 Actual	FY 2017 Estimated	FY 2018 Requested	FY 2018 Baseline	FY 2018 Recommended	FY 2019 Requested	FY 2019 Baseline	FY 2019 Recommended
Management Services	8,216,005	8,239,495	8,374,495	8,239,495	6,470,515	8,374,495	8,239,495	6,470,515
Legal Services	54,780,409	53,417,019	53,417,019	53,417,019	52,705,101	53,417,019	53,417,019	52,705,101
Child Protection Commission	8,426,562	8,198,969	8,198,969	8,198,969	8,198,969	8,198,969	8,198,969	8,198,969
TOTAL Agency Programs - All Funds Gross	71,422,976	69,855,483	69,990,483	69,855,483	67,374,585	69,990,483	69,855,483	67,374,585

### Summary of Funding

General Fund	71,415,281	69,855,483	69,990,483	69,855,483	67,374,585	69,990,483	69,855,483	67,374,585
Federal Funds	7,695	0	0	0	0	0	0	0
Total Agency Programs	71,422,976	69,855,483	69,990,483	69,855,483	67,374,585	69,990,483	69,855,483	67,374,585

## MANAGEMENT SERVICES

### **Statutory Reference**

C.G.S. Sections 51-289, et seq.

### **Statement of Need and Program Objectives**

To maintain effective legal representation for the poor by establishing and implementing policies and providing administrative services and training to the staff of forty-three public defender offices and specialized units throughout the state.

### **Program Description**

This unit operates a centralized system which directs activities, allocates resources and supervises the operations of the Public Defender Services Commission. Thirteen managers implement and direct overall policies established by a seven-member governing commission.

Management Services approves and contracts with assigned counsel to handle cases in which conflicts of interest preclude representation by a public defender. It also establishes fee schedules and pays these special public defenders for their services; compiles, analyzes and evaluates statistics with reference to caseload and case movement and establishes and maintains procedures and standards for full-time personnel and evaluates their performance.

The unit institutes and conducts training programs for attorneys, investigators, social workers and secretarial staff; prepares operating budgets, manuals, reports and publications for the entire division and insures logistical support for all adult and juvenile courts throughout the state.

Management Services acts as liaison with other state agencies, including the Judicial Department, the Division of Criminal Justice, the Department of Children and Families, the Department of Mental Health and Addiction Services, and the Department of Correction to assess and coordinate inter-related operations. It provides preliminary defense of its personnel in habeas corpus, grievance and professional liability actions; prepares and distributes legal research, memoranda and newsletters to all offices and provides special units of defense to respond to programs initiated by the Judicial Department or the Division of Criminal Justice.

Management Services responds to and acts upon questions and complaints from the general public and individual clients; establishes programs to seek reimbursement from clients able to pay for all or a portion of legal services provided; develops procedures, maintains records, processes all financial actions of the division; purchases materials and equipment and handles the payment of expert witnesses.

<i>Personnel Summary</i>	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
<i>Permanent Full-Time Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	75	9	0	84	84	84	84	84
<i>Financial Summary by Program</i>	FY 2016	FY 2017	FY 2018	FY 2018	FY 2018	FY 2019	FY 2019	FY 2019
<i>General Fund</i>	Actual	Estimated	Requested	Baseline	Recommended	Requested	Baseline	Recommended
Personal Services	7,973,078	7,981,094	7,981,094	7,981,094	6,482,032	7,981,094	7,981,094	6,482,032
Other Expenses	235,232	258,401	393,401	258,401	107,824	393,401	258,401	107,824
<i>Other Current Expenses</i>								
Expert Witnesses	0	0	0	0	-119,341	0	0	-119,341
Total-General Fund	8,208,310	8,239,495	8,374,495	8,239,495	6,470,515	8,374,495	8,239,495	6,470,515
<i>Federal Contributions</i>	FY 2016	FY 2017	FY 2018	FY 2018	FY 2018	FY 2019	FY 2019	FY 2019
	Actual	Estimated	Requested	Baseline	Recommended	Requested	Baseline	Recommended
93586 State Court Improvement Program	7,695	0	0	0	0	0	0	0
Total - All Funds	8,216,005	8,239,495	8,374,495	8,239,495	6,470,515	8,374,495	8,239,495	6,470,515

## LEGAL SERVICES

### **Statutory Reference**

C.G.S. Sections 51-289, et seq.

**Statement of Need and Program Objectives**

To fulfill the state’s constitutional obligation to provide counsel to indigent, accused persons.

To enable poor defendants to exercise their legal rights in criminal and juvenile courts by ensuring access to legal representation that the client otherwise could not afford.

**Program Description**

Within the Geographical Area, Judicial District and Juvenile Matters Public Defender Court Field Offices, the public defender or staff is responsible for conducting the initial interview with an accused person to determine eligibility for services. The court, after considering the public defender’s recommendation, makes the final determination and appoints the public defender as attorney for an indigent accused person. Assignments are made to investigators and social workers. Plea-bargaining, consultations and pre-trial conferences are completed and, as necessary, trials are conducted. Once pleas or verdicts are entered, pre-sentence interviews with probation and sentencing hearings take place. Post-trial proceedings, such as sentence modification, motions to correct illegal sentence pursuant to State v. Casiano, sentence review, appeal and habeas corpus may be instituted. If an appeal is brought, it may be assigned to the trial attorney, to an attorney within the Legal Services Unit, or to a special public defender specifically approved to handle appeals.

The Legal Services Unit supervises the processing and briefing of appeals, including appeals by special public defenders on a non-

contractual basis. When necessary, this office also pursues appeals in federal court, including the U.S. Supreme Court. The unit provides legal research assistance to the trial attorneys.

Within the overall representation of the client, the following other specialized services are provided: the Assigned Counsel Unit provides outside counsel for clients in all public defender cases where a conflict of interest requires assignment and in child protection cases; the Habeas Corpus Unit handles post-conviction habeas corpus matters; the Capital Defense and Trial Services Unit represents indigent accused in cases where the state is seeking the death penalty and in the appeals of cases for those clients sentenced to death; the Psychiatric Defense Unit handles cases involving psychiatric issues and represents mentally-ill clients under the jurisdiction of the Psychiatric Security Review Board; the Juvenile Post-Conviction and Re-Entry Unit represents convicted juveniles during their period of commitment to the Department of Children and Families and assists them with reentry into their families, schools and communities; the Connecticut Public Defender Innocence Project reviews claims of actual innocence from long-term prisoners in order to pursue exoneration if appropriate; and the Public Defender Social Worker Program specializes in presenting alternative dispositions to incarceration, and providing social work services including referrals to and collaboration with social service agencies, the Court Support Services Division of the Judicial Department, the Department of Mental Health and Addiction Services, the Department of Children and Families, and the Department of Correction.

<i>Personnel Summary</i>	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
<i>Permanent Full-Time Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	291	48	0	339	339	339	339	339
<i>Financial Summary by Program</i>	FY 2016	FY 2017	FY 2018	FY 2018	FY 2018	FY 2019	FY 2019	FY 2019
<i>General Fund</i>	Actual	Estimated	Requested	Baseline	Recommended	Requested	Baseline	Recommended
Personal Services	32,759,802	31,810,233	31,810,233	31,810,233	31,810,233	31,810,233	31,810,233	31,810,233
Other Expenses	940,631	1,005,853	1,005,853	1,005,853	1,005,853	1,005,853	1,005,853	1,005,853
<i>Other Current Expenses</i>								
Assigned Counsel - Criminal	18,000,001	17,262,707	17,162,707	17,262,707	16,550,789	17,162,707	17,262,707	16,550,789
Expert Witnesses	3,022,079	3,253,478	3,353,478	3,253,478	3,253,478	3,353,478	3,253,478	3,253,478
Training And Education	57,896	84,748	84,748	84,748	84,748	84,748	84,748	84,748
Other Current Expenses	21,079,976	20,600,933	20,600,933	20,600,933	19,889,015	20,600,933	20,600,933	19,889,015
Total-General Fund	54,780,409	53,417,019	53,417,019	53,417,019	52,705,101	53,417,019	53,417,019	52,705,101
Total - All Funds	54,780,409	53,417,019	53,417,019	53,417,019	52,705,101	53,417,019	53,417,019	52,705,101

**AGENCY FINANCIAL SUMMARY - GENERAL FUND**

<i>Current Expenses by Minor Object</i>	FY 2016	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
<i>Personal Services</i>	Actual	Estimated	Requested	Recommended	Requested	Recommended
Salaries & Wages-Full Time	40,222,887	39,250,508	39,250,508	37,751,446	39,250,508	37,751,446

Salaries & Wages-Temporary	69,094	79,094	79,094	79,094	79,094	79,094
Salaries & Wages-Part Time	437,581	417,581	417,581	417,581	417,581	417,581
Longevity Payments	1,204,434	1,204,434	1,204,434	1,204,434	1,204,434	1,204,434
Accumulated Leave	565,845	565,845	565,845	565,845	565,845	565,845
Other	531,217	374,153	374,153	374,153	374,153	374,153
TOTAL - Personal Services-Personal Services	43,031,058	41,891,615	41,891,615	40,392,553	41,891,615	40,392,553

*Other Expenses*

Communications	48,008	58,008	58,008	58,008	58,008	58,008
Electricity	13,763	13,763	13,763	13,763	13,763	13,763
Employee Expenses	8,275	8,275	8,275	8,275	8,275	8,275
Employee Travel	142,613	142,613	142,613	142,613	142,613	142,613
Equipment Rental and Maintenance	37,978	37,978	37,978	37,978	37,978	37,978
Food And Beverages	10,815	15,878	15,878	15,878	15,878	15,878
Information Technology	132,922	122,922	257,922	122,922	257,922	122,922
Motor Vehicle Costs	27,059	37,059	37,059	37,059	37,059	37,059
Other Services	536,659	590,345	590,345	439,768	590,345	439,768
Premises Expenses	12,716	0	0	0	0	0
Premises Rent Expense-Landlord	152,217	152,157	152,157	152,157	152,157	152,157
Professional Services	9,596	9,596	9,596	9,596	9,596	9,596
Purchased Commodities	105,132	58,008	58,008	58,008	58,008	58,008
Reimbursements	0	89,838	89,838	89,838	89,838	89,838
TOTAL-Other Expenses	1,237,753	1,336,440	1,471,440	1,185,863	1,471,440	1,185,863

*Other Current Expenses*

Assigned Counsel - Criminal	23,891,496	23,154,202	23,054,202	22,442,284	23,054,202	22,442,284
Expert Witnesses	3,122,079	3,353,478	3,453,478	3,234,137	3,453,478	3,234,137
Training And Education	92,896	119,748	119,748	119,748	119,748	119,748
Contracted Attorneys Related Expenses	39,999	0	0	0	0	0
TOTAL-Other Current Expenses	27,146,470	26,627,428	26,627,428	25,796,169	26,627,428	25,796,169

*Character & Major Object Summary*

	FY 2016	FY 2017	FY 2018	FY 2018	FY 2019	FY 2019
	Actual	Estimated	Requested	Recommended	Requested	Recommended
Personal Services	43,031,058	41,891,615	41,891,615	40,392,553	41,891,615	40,392,553
Other Expenses	1,237,753	1,336,440	1,471,440	1,185,863	1,471,440	1,185,863
Other Current Expenses	27,146,470	26,627,428	26,627,428	25,796,169	26,627,428	25,796,169
TOTAL-General Fund	71,415,281	69,855,483	69,990,483	67,374,585	69,990,483	67,374,585

