

INDEX

AGENDA ITEMS FOR THE STATE BOND COMMISSION
SEPTEMBER 28, 2001
ROOM 1E LEGISLATIVE OFFICE BUILDING
10:30 A.M.

SOURCE OF FUNDS	ITEM NO.	GENERAL OBLIGATION ALLOCATIONS	REVENUE BONDS/OTHER TRANSACTIONS
SPECIAL ACT #2, 2001 JUNE SPECIAL SESSION SECTIONS 1 THROUGH 7	Items 1-6	\$14,022,979 =====	=====
SPECIAL ACT #2, 2001 JUNE SPECIAL SESSION SECTIONS 8 THROUGH 15	Items 7-9	\$7,301,284 =====	=====
PUBLIC ACT #167, 2000 AS AMENDED SECTIONS 1 THROUGH 7	Item 10	\$11,672,360 =====	=====
PUBLIC ACT #242, 1999 AS AMENDED SECTIONS 1 THROUGH 7	Items 11-15	\$4,571,569 =====	=====
PUBLIC ACT #242, 1999 AS AMENDED SECTIONS 12 THROUGH 19	Items 16-17	\$3,498,716 =====	\$100,000 =====
PUBLIC ACT #242, 1999 AS AMENDED SECTIONS 20 THROUGH 26	Items 18-21	\$16,587,835 =====	=====
PUBLIC ACT #242, 1999 AS AMENDED SECTIONS 31 THROUGH 38	Items 22-23	\$350,000 =====	=====
SPECIAL ACT #9, 1998 AS AMENDED SECTIONS 1 THROUGH 7	Items 24-26	\$1,697,711 =====	=====

September 28, 2001

AGENDA ITEMS FOR THE STATE BOND COMMISSION

SEPTEMBER 28, 2001

ROOM 1E LEGISLATIVE OFFICE BUILDING

10:30 A.M.

SOURCE OF FUNDS	ITEM NO.	GENERAL OBLIGATION ALLOCATIONS	REVENUE BONDS/OTHER TRANSACTIONS
SPECIAL ACT #1, 1997 JUNE SPECIAL SESSION AS AMENDED SECTIONS 8 THROUGH 15	Items 27-29	\$499,740 =====	=====
SPECIAL ACT #1, 1997 JUNE SPECIAL SESSION AS AMENDED SECTIONS 20 THROUGH 26	Items 30-34	\$7,230,388 =====	=====
PUBLIC ACT #181, 1996 AS AMENDED SECTIONS 1 THROUGH 7	Item 35	\$1,028,112 =====	=====
SPECIAL ACT #20, 1995 AS AMENDED SECTIONS 1 THROUGH 7	Items 36-38	\$987,710 =====	=====
SPECIAL ACT #20, 1995 AS AMENDED SECTIONS 12 THROUGH 17	Item 39	\$500,000 =====	=====
SPECIAL ACT #20, 1995 AS AMENDED SECTIONS 21 THROUGH 27	Items 37, 40-42	\$3,034,265 =====	=====
SPECIAL ACT #20, 1995 AS AMENDED SECTIONS 32 THROUGH 37	Item 43	\$136,511 =====	=====
SPECIAL ACT #7, 1991 AS AMENDED SECTIONS 32 THROUGH 37	Item 44	\$21,468 =====	=====
PUBLIC ACT #607, 1979 AS AMENDED SECTION 21	Item 45	\$5,285,000 =====	=====
PUBLIC ACT #7, 2001 JUNE SPECIAL SESSION	Item 46	\$250,000 =====	=====

September 28, 2001

SECTION 19

AGENDA ITEMS FOR THE STATE BOND COMMISSION

SEPTEMBER 28, 2001

ROOM 1E LEGISLATIVE OFFICE BUILDING

10:30 A.M.

SOURCE OF FUNDS	ITEM NO.	GENERAL OBLIGATION ALLOCATIONS	REVENUE BONDS/OTHER TRANSACTIONS
PUBLIC ACT #232, 1978 AS AMENDED SECTIONS 1THROUGH 11	Item 47	\$320,600 =====	=====
PUBLIC ACT #420, 1986 AS AMENDED SECTIONS 1-10	Item 48	\$26,018,622 =====	\$98,121,115 =====
PUBLIC ACT #270, 1990 AS AMENDED SECTION 33	Item 49	\$12,000,000 =====	=====
APPROVAL OF DECLARATION OF OFFICIAL INTENT PURSUANT TO FEDERAL INCOME TAX REGULATIONS	Item 50		

September 28, 2001

FINDINGS

AGENDA ITEMS FOR THE STATE BOND COMMISSION
SEPTEMBER 28, 2001
ROOM 1E LEGISLATIVE OFFICE BUILDING
10:30 A.M.

UNLESS OTHERWISE NOTED, THE SECRETARY OF THE STATE BOND COMMISSION HAS ON FILE THE FOLLOWING MATTERS WITH RESPECT TO EACH REQUEST MADE TO THE STATE BOND COMMISSION FOR TODAY'S MEETING AS DETAILED THROUGH THE ATTACHED AGENDA ITEMS.

1. ANY HUMAN SERVICES FACILITY COLOCATION STATEMENT IF SO REQUESTED BY THE SECRETARY OF THE OFFICE OF POLICY AND MANAGEMENT PURSUANT TO CGS §4B-23.
2. ANY PROJECT WHICH INVOLVES THE USE OF 25 ACRES OR MORE OF PRIME FARMLAND FOR NONAGRICULTURAL PURPOSES HAS BEEN REVIEWED IN ACCORDANCE WITH THE PROVISIONS OF PUBLIC ACT NO. 83-102 (AN ACT CONCERNING STATE PROJECTS WHICH TAKE PRIME FARMLAND) AND THAT THE COMMISSIONER OF AGRICULTURE HAS FILED A STATEMENT INDICATING THAT EACH SUCH PROJECT PROMOTES AGRICULTURE OR THE GOAL OF AGRICULTURAL LAND PRESERVATION, OR THERE IS NO REASONABLE ALTERNATIVE SITE FOR THE PROJECT, OR THE PROJECT IS NOT APPLICABLE UNDER THE ACT.
3. ANY CAPITAL DEVELOPMENT IMPACT STATEMENT REQUIRED TO BE FILED WITH THE SECRETARY OF THE OFFICE OF POLICY AND MANAGEMENT, IF SO REQUIRED BY THE SECRETARY, PURSUANT TO CGS §4B-23.
4. ANY ADVISORY STATEMENT REGARDING THE STATE CONSERVATION AND DEVELOPMENT POLICIES PLAN REQUIRED BY CGS §16A-31 FOR EACH ITEM FOR WHICH AN ADVISORY STATEMENT IS REQUIRED BY CGS §16A-31, AND THE ITEM IS EITHER IN CONFORMITY WITH THE PLAN OR NOT ADDRESSED THEREIN.

September 28, 2001

ITEM NO. 1 (Cont'd.)

Funds are requested as follows:

Construction (Bid)	\$1,614,000
Contingency	161,400
Architect's Fee	124,000
DPW Fee	94,000
Survey/Borings	8,200
Hazardous Material Removal	57,000
Testing/Inspections	<u>25,000</u>
Total	<u>\$2,083,600</u>
Financing:	

S.A. 01-2, Sec. 2(b)(1), This Request	\$1,900,000
Agency Funds	<u>183,600</u>
Total	<u>\$2,083,600</u>

September 28, 2001

SPECIAL ACT #2, 2001
JUNE SPECIAL SESSION
SEC. 8-15

ITEM NO. 7

DEPARTMENT OF ENVIRONMENTAL PROTECTION

GRANTS-IN-AID OR LOANS TO MUNICIPALITIES FOR ACQUISITION OF LAND, FOR PUBLIC PARKS, RECREATIONAL AND WATER QUALITY IMPROVEMENTS, WATER MAINS, AND WATER POLLUTION CONTROL FACILITIES, INCLUDING SEWER PROJECTS, PROVIDED (A) NOT MORE THAN \$5,000,000 OF SAID AMOUNT SHALL BE USED TO ABATE POLLUTION FROM COMBINED SEWER AND STORMWATER RUNOFF OVERFLOWS TO THE CONNECTICUT RIVER, (B) NOT MORE THAN \$2,000,000 OF SAID AMOUNT SHALL BE USED FOR ENVIRONMENTAL REMEDIATION AT A SCHOOL IN SOUTHTON, INCLUDING ANY EXPENSES INCURRED AFTER JULY 1, 2000, (C) NOT MORE THAN \$1,500,000 OF SAID AMOUNTS SHALL BE USED FOR ENVIRONMENTAL REMEDIATION AT A SCHOOL IN HAMDEN, INCLUDING ANY EXPENSES INCURRED AFTER JULY 1, 2000, AND (D) NOT MORE THAN \$500,000 OF SAID AMOUNT SHALL BE USED TO PROVIDE POTABLE WATER FOR A SCHOOL IN VERNON

REQUESTED: An Allocation and Bond
Authorization (to Agency).....\$4,286,284

FROM: Sec. 9(b)(1) Acct. No. 1873-3100-171

Total Earmarking	\$19,000,000
Previous Allocations	-0-
Balance Unallocated	<u>\$19,000,000</u>

REASON FOR REQUEST:

These funds are requested to provide grants-in-aid to municipalities for various projects as detailed below.

This Request

1. Town of Southington: These funds are requested to provide a grant-in-aid to the Town of Southington to reimburse the Southington Public School System for environmental remediation expenses incurred at the Southington High School as a result of a contamination incident on September 6, 2000. The contamination was in the form of a PCB leak in the central timer system that controlled all clocks in the school.

Funds are requested as follows:

State Grant, This Request	\$2,000,000
---------------------------	-------------

2. Town of Hamden: These funds are requested to provide a grant-in-aid to the Town of Hamden for environmental investigation and remediation work and any additional maintenance and monitoring work necessary at the Hamden Middle School property and buildings.

September 28, 2001

ITEM NO. 7 (Cont'd.)

	<u>This Request</u>
Funds are requested as follows:	
State Grant, This Request	1,500,000
3. Town of Vernon: These funds are requested to provide a grant-in-aid to the Town of Vernon to fund a water main extension for the Lake Street School. The school's well water contains high levels of manganese and iron.	
Funds are requested as follows:	
State Grant, This Request	500,000
4. Town of Plymouth: These funds, as well as those under Item <u>16</u> , are requested to provide a grant-in-aid to the Town of Plymouth for the expansion of athletic fields.	
Funds are requested as follows:	
State Grant, This Request	1,284
5. Town of North Branford: These funds are requested to provide a grant-in-aid to the Town of North Branford for improvements to the high school track.	
Funds are requested as follows:	
State Grant, This Request	<u>285,000</u>
Total, This Request	<u>\$4,286,284</u>

September 28, 2001

PUBLIC ACT #2, 2001
JUNE SPECIAL SESSION
SEC. 8-15

ITEM NO. 8

DEPARTMENT OF SOCIAL SERVICES

GRANTS-IN-AID FOR NEIGHBORHOOD FACILITIES, CHILD CARE PROJECTS, ELDERLY CENTERS, SHELTER FACILITIES FOR VICTIMS OF DOMESTIC VIOLENCE, EMERGENCY SHELTERS AND RELATED FACILITIES FOR THE HOMELESS, MULTI-PURPOSE HUMAN RESOURCE CENTERS AND FOOD DISTRIBUTION FACILITIES

BROOKFIELD AND BERLIN

REQUESTED: An Allocation and Bond Authorization
(to Agency)

\$415,000

FROM: Sec. 9(h)

Acct. No. 1873-6100-154
Project No. 6100 01 119, 120

Total Earmarking	\$3,500,000
Previous Allocations	-0-
Balance Unallocated	<u>\$3,500,000</u>

REASON FOR REQUEST:

These funds are requested to provide two grants-in-aid as detailed below.

This Request

- I. These funds are requested to provide a grant-in-aid to the Regional YMCA of Western Connecticut in Brookfield to assist in the costs associated with renovations to a 8,700 sq. ft. building for use as a child care center. Upon completion this facility will provide space for an additional 100 children.

This project consists of code compliance improvements, parking, air conditioning enhancements and sewer connections.

Funds are requested as follows:

Total Project Cost	\$ 900,000	
Less: Donations	200,000	
Bank Loan	<u>385,000</u>	
State Grant, This Request		\$315,000

September 28, 2001

II. These funds are requested to provide a grant-in-aid to New Britain-Berlin YMCA in Berlin to assist in the costs associated with renovations and expansion of the YMCA Child Development Center. Upon completion this facility will provide space for an additional 17 children from the ages of 3 to 6 years in age.

This project consists of the construction of a 625 sq. ft. addition, improvements to the bathrooms and food and storage areas, air conditioning enhancements and a new activity area.

Funds are requested as follows:

Total Project Cost	\$ 377,900	
Less: Donations	77,900	
Foundation Funds	<u>200,000</u>	
State Grant, This Request		<u>100,000</u>
Total State Grants, This Request		<u>\$415,000</u>

In accordance with Section 15 of S.A. 01-2, "...any grant-in-aid ...which is made to an entity which is not a political subdivision of the state, the contract entered into pursuant to section 9 of this act shall provide that if the premises for which such grant-in-aid was made ceases, within ten years of the date of such grant, to be used as a facility for which such grant was made, an amount equal to the amount of such grant, minus ten per cent for each full year which has elapsed since the date of such grant, shall be repaid to the state and that a lien shall be placed on such land in favor of the state to ensure that such amount will be repaid in the event of such change in use, except that if the premises for which such grant-in-aid was made are owned by the state, a municipality or a housing authority, no lien shall be placed."

ITEM NO. 10 (Cont'd.)

Financing:

P.A. 00-167, Sec. 2(c)(1)(B), This Request		\$11,672,360
P.A. 99-242, Sec. 21(k)(2)(C), Item No. <u>20</u>	\$7,880,000	
Previous Funding	<u>875,000</u>	8,755,000
S.A. 97-1, Sec. 2(m)(5)(C), Previous Funding		<u>840,000</u>
Total		<u>\$21,267,360</u>

September 28, 2001

PUBLIC ACT #242, 1999

AS AMENDED

SEC. 12-19

ITEM NO. 16

DEPARTMENT OF ENVIRONMENTAL PROTECTION

GRANTS-IN-AID TO MUNICIPALITIES FOR ACQUISITION OF LAND, FOR PUBLIC PARKS, RECREATIONAL AND WATER QUALITY IMPROVEMENTS, WATER MAINS AND WATER POLLUTION CONTROL FACILITIES, INCLUDING SEWER PROJECTS AND A PROGRAM FOR THE CLEAN-UP OF CONTAMINATED SOIL AND/OR THE REMOVAL AND REPLACEMENT OF LEAKING UNDERGROUND STORAGE TANKS

REQUESTED: 1) An Allocation and Bond
Authorization (to Agency).....\$498,716
2) Use of Previously Allocated
Funds Available (to Agency)..... 100,000
Total \$598,716

FROM: Sec. 13(b)(1) Acct. No. 1873-3100-158

Total Earmarking \$14,000,000
Previous Allocations 13,501,284
Balance Unallocated \$ 498,716

Previously Allocated Funds Available: \$100,000

REASON FOR REQUEST:

These funds are requested to provide grants-in-aid to municipalities for various projects as detailed below.

This Request

1. Trumbull: At the April 27, 2001 State Bond Commission meeting, funds were approved a grant-in-aid to the Town of Trumbull to purchase and install a multifaceted accessible playground at Indian Ledge Park. This request is to reauthorize the funds to purchase and install handicapped accessible playground equipment at five playgrounds throughout Trumbull.

Funds are requested as follows:

State Grant, This Request \$100,000

2. Plymouth: These funds, as well as those under Item 7, are requested to provide a grant-in-aid to the Town of Plymouth for the expansion and/or improvements to athletic fields at the Fisher school.

Funds are requested as follows:

State Grant, This Request 198,716

September 28, 2001

This Request

3. Avon: These funds are requested to provide a grant-in-aid to the Town of Avon to construct an all-purpose athletic field adjacent to Avon High School.

Development of the field includes the field itself, access drive and parking area, walkway, irrigation, utilities, benches, bleachers and supporting athletic field game equipment (goals).

Funds are requested as follows:

State Grant, This Request 200,000

4. Town of Wolcott: These funds are requested to provide a grant-in-aid to the Town of Wolcott for expansion at Alcott Field.

Funds are requested as follows:

State Grant, This Request 100,000

Total, This Request \$598,716

PUBLIC ACT #242, 1999

AS AMENDED

SEC. 12-19

ITEM NO. 17

DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT

GRANTS-IN-AID TO MUNICIPALITIES AND NONPROFIT ORGANIZATIONS THAT ARE EXEMPT UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE FOR CULTURAL AND ENTERTAINMENT-RELATED ECONOMIC DEVELOPMENT PROJECTS

EAST HADDAM

REQUESTED: An Allocation and Bond
Authorization (to Agency) \$3,000,000

FROM: Sec. 13(d)(3) Acct. No. 1873-3500-070
Project No. 2001 041 002

Total Earmarking	\$5,000,000
Previous Allocations	<u>2,000,000</u>
Balance Unallocated	<u>\$3,000,000</u>

REASON FOR REQUEST:

These funds are requested to provide a grant-in-aid to the Goodspeed Opera House Foundation, Inc., a 501(c)(3) nonprofit organization, to assist in the Phase II costs of expanding the Opera House in East Haddam.

Phase II consists of the design, permitting and construction costs related to a new theater, plaza, parking, site improvements and company housing. The new theater will include 650-700 seats and will be within walking distance of the current theater. The new housing will involve 40-50,000 sq. ft. of new construction.

Funds are requested as follows:

Total Project Cost		\$28,000,000
Less: Goodspeed Funds	\$21,000,000	
PA 99-242, Sec. 13(d)(3),		
Previous Funding	2,000,000	
Future Funds Required	<u>2,000,000</u>	<u>25,000,000</u>
State Grant, This Request		<u>\$3,000,000</u>

September 28, 2001

ITEM NO. 17(Cont'd)

In accordance with Section 19 of P.A. 99-242, "...any grant-in-aid ...which is made to any entity which is not a political subdivision of the state, the contract entered into pursuant to section 13 of this act shall provide that if the premises for which such grant-in-aid was made ceases, within ten years of the date of such grant, to be used as a facility for which such grant was made, an amount equal to the amount of such grant, minus ten per cent for each full year which has elapsed since the date of such grant, shall be repaid to the state and that a lien shall be placed on such land in favor of the state to ensure that such amount will be repaid in the event of such change in use, except that if the premises for which such grant-in-aid was made are owned by the state, a municipality or a housing authority, no lien shall be placed."

September 28, 2001

PUBLIC ACT #242, 1999

AS AMENDED

SEC. 20-26

ITEM NO. 18

DEPARTMENT OF ENVIRONMENTAL PROTECTION

DAM REPAIRS, INCLUDING STATE-OWNED DAMS

REQUESTED: An Allocation and Bond
Authorization (To Agency).....\$1,294,723

FROM: Sec. 21(e)(3) Acct. No. 3001-3100-030
Project No. WR-343061001

Total Earmarking \$5,000,000
Previous Allocations 3,705,277
Balance Unallocated \$1,294,723

REASON FOR REQUEST:

These funds are requested, along with those under Items 13 and 30, to provide construction funding for repairs to Higganum Reservoir Dam, a state owned structure located in Haddam.

The dam is a high hazard structure and has been maintained with a 10-foot drawdown from its normal water surface elevation due to ongoing safety concerns.

Financing:

Total Project Cost	\$6,402,478
Less: Previous Funding, SA 95-20, Sec. 22(h)(2)	167,800
SA 93-2, Sec. 30(d)(2)	176,000
PA 99-242, Sec. 2(f)(4), Item <u>13</u>	2,273,720
SA 97-1, Sec. 21(g)(4), Item <u>30</u>	<u>2,490,235</u>
Total, This Request	<u>\$1,294,723</u>

PUBLIC ACT #242, 1999

AS AMENDED

SEC. 31-38

ITEM NO. 22

DEPARTMENT OF SOCIAL SERVICES

GRANTS-IN-AID FOR NEIGHBORHOOD FACILITIES, CHILD CARE PROJECTS, ELDERLY CENTERS, SHELTER FACILITIES FOR VICTIMS OF DOMESTIC VIOLENCE, EMERGENCY SHELTERS AND RELATED FACILITIES FOR THE HOMELESS, MULTI-PURPOSE HUMAN RESOURCE CENTERS AND FOOD DISTRIBUTION FACILITIES

MIDDLEBURY

REQUESTED: An Allocation and Bond
Authorization (to Agency) \$200,000

FROM: Sec. 32(g)(1) Acct. No. 1873-6100-152
Project No. 6100 02 0042

Total Earmarking	\$4,000,000
Previous Allocations	-0-
Balance Unallocated	<u>\$4,000,000</u>

This is one of 2 items requested from the unallocated balance available under this section of the bond act. Total Requested \$350,000

REASON FOR REQUEST:

At the April 27, 2001 State Bond Commission meeting funds were approved to provide a grant-in-aid to the Town of Middlebury to assist in the costs associated with improvements to a senior center. Due to higher than expected bids, these funds are requested to provide a supplemental grant-in-aid to the Town of Middlebury to assist in the costs associated with renovations to the Shepardson Community Senior Center. This project consists of the installation of an elevator and upgrades to existing ramps and bathroom facilities.

Funds are requested as follows:

Total Project Cost		\$630,000
Less: Local Funds	\$30,000	
Community Development Block Grant	300,000	
SA 95-20, Sec. 13(g),		
Previous Funding	<u>100,000</u>	<u>430,000</u>
State Grant, This Request		<u>\$200,000</u>

September 28, 2001

ITEM NO. 22 (Cont'd)

In accordance with Section 38 of P.A. 99-242, "...any grant-in-aid ...which is made to any entity which is not a political subdivision of the state, the contract entered into pursuant to section 32 of this act shall provide that if the premises for which such grant-in-aid was made ceases, within ten years of the date of such grant, to be used as a facility for which such grant was made, an amount equal to the amount of such grant, minus ten per cent for each full year which has elapsed since the date of such grant, shall be repaid to the state and that a lien shall be placed on such land in favor of the state to ensure that such amount will be repaid in the event of such change in use, except that if the premises for which such grant-in-aid was made are owned by the state, a municipality or a housing authority, no lien shall be placed."

September 28, 2001

PUBLIC ACT #242, 1999

AS AMENDED

SEC. 31-38

ITEM NO. 23

DEPARTMENT OF SOCIAL SERVICES

GRANTS-IN-AID FOR NEIGHBORHOOD FACILITIES, CHILD CARE PROJECTS, ELDERLY CENTERS, SHELTER FACILITIES FOR VICTIMS OF DOMESTIC VIOLENCE, EMERGENCY SHELTERS AND RELATED FACILITIES FOR THE HOMELESS, MULTI-PURPOSE HUMAN RESOURCE CENTERS AND FOOD DISTRIBUTION FACILITIES

NEW FAIRFIELD

REQUESTED: An Allocation and Bond
Authorization (to Agency) \$150,000

FROM: Sec. 32(g)(1) Acct. No. 1873-6100-152

Total Earmarking	\$4,000,000
Previous Allocations	-0-
Balance Unallocated	<u>\$4,000,000</u>

This is one of 2 items requested from the unallocated balance available under this section of the bond act. Total Requested \$350,000

REASON FOR REQUEST:

These funds are requested to provide a grant-in-aid to the Regional YMCA of Western Connecticut for the costs associated with renovations to an 18th century farmhouse for use as an educational conference center for corporations, school faculties and community agencies.

This conference center will allow the Great Hollow Wilderness School to conduct corporate team building programs, meetings, small conferences and events.

Funds are requested as follows:

State Grant, This Request \$150,000

In accordance with Section 38 of P.A. 99-242, "...any grant-in-aid ...which is made to any entity which is not a political subdivision of the state, the contract entered into pursuant to section 32 of this act shall provide that if the premises for which such grant-in-aid was made ceases, within ten years of the date of such grant, to be used as a facility for which such grant was made, an amount equal to the amount of such grant, minus ten per cent for each full year which has elapsed

September 28, 2001

since the date of such grant, shall be repaid to the state and that a lien shall be placed on such land in favor of the state to ensure that such amount will be repaid in the event of such change in use, except that if the premises for which such grant-in-aid was made are owned by the state, a municipality or a housing authority, no lien shall be placed."

September 28, 2001

PUBLIC ACT #181, 1996

AS AMENDED

SEC. 1-7

ITEM NO. 35

UNIVERSITY OF CONNECTICUT HEALTH CENTER

ALTERATIONS AND IMPROVEMENTS FOR ACADEMIC AND RESEARCH PROGRAMS

REQUESTED: An Allocation and Bond Authorization.....\$1,028,112

FROM: Sec. 2(c)

Acct. No. 3961-7302-070

Total Earmarking	\$1,938,700
Previous Allocations	-0-
Balance Unallocated	<u>\$1,938,700</u>

REASON FOR REQUEST:

These funds are requested to finance various renovations and improvements to academic and research areas at the University of Connecticut Health Center in Farmington.

Funds are requested as follows:

<u>Project</u>	<u>Estimated Costs</u>
Construct Dental Clinic Sterilization Unit	\$ 657,112
Renovate Medical/Dental Teaching Support Areas	<u>371,000</u>
Total	<u>\$1,028,112</u>

SPECIAL ACT #20, 1995

AS AMEMDED

SEC. 12-17

ITEM NO. 39

DEPARTMENT OF ENVIRONMENTAL PROTECTION

CONTAINMENT, REMOVAL OR MITIGATION OF IDENTIFIED HAZARDOUS WASTE
DISPOSAL SITES

HAMDEN

REQUESTED: An Allocation and Bond
Authorization (to Agency)\$500,000

FROM: Sec. 13(a)(3) Acct. No. 1873-3100-141

Total Earmarking	\$9,000,000
Previous Allocations	<u>7,545,000</u>
Balance Unallocated	<u>\$1,455,000</u>

REASON FOR REQUEST:

These funds are requested to conduct a remedial investigation and feasibility study to determine the extent and degree of contamination from historic industrial and municipal solid waste landfilling on town-owned and private residential properties in the Newhall Street neighborhood in Hamden. The study will assess current site conditions and evaluate remedial alternatives. The boundaries of the affected area have not yet been determined.

Funds are requested as follows:

Study, This Request	<u>\$500,000</u>
---------------------	------------------

SPECIAL ACT #20, 1995

AS AMENDED

SEC. 32-37

ITEM NO. 43 **REVISED**

DEPARTMENT OF ENVIRONMENTAL PROTECTION

GRANTS-IN-AID TO MUNICIPALITIES FOR THE PURPOSE OF PROVIDING
POTABLE WATER

REQUESTED: An Allocation and Bond
Authorization (to Agency).....\$136,511

FROM: Sec. 33(a)(1) Acct. No. 1873-3100-143
Project No. WS164

Total Earmarking	\$3,000,000
Previous Allocations	<u>896,652</u>
Balance Unallocated	<u>\$2,103,348</u>

REASON FOR REQUEST:

These funds are requested to provide a grant-in-aid to the City of New Haven for the construction of a public water main extension of approximately 940 linear feet in the vicinity of Crofton and Riverview Streets in New Haven. **The funds will also be used to provide house service connections and the abandonment of drinking water supply wells to three homes. Although fourteen residences in this area will benefit from the installation of a potable water supply line, three of the homes have drinking water wells that have been polluted by pesticides.** No responsible party has been identified.

Funds are requested as follows:

State Grant, This Request	<u>\$136,511</u>
---------------------------	------------------

PUBLIC ACT #607, 1979

AS AMENDED

SEC. 21

ITEM NO. 45 (REVISED)

OFFICE OF POLICY AND MANAGEMENT

GRANTS-IN-AID FOR URBAN DEVELOPMENT PROJECTS INCLUDING ECONOMIC AND COMMUNITY DEVELOPMENT, TRANSPORTATION, ENVIRONMENTAL PROTECTION, PUBLIC SAFETY, CHILDREN AND FAMILIES AND SOCIAL SERVICES PROJECTS AND PROGRAMS, INCLUDING, IN THE CASE OF ECONOMIC AND COMMUNITY DEVELOPMENT PROJECTS ADMINISTERED ON BEHALF OF THE OFFICE OF POLICY AND MANAGEMENT BY THE DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT, ADMINISTRATIVE COSTS INCURRED BY THE DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT

REQUESTED:	1) An Allocation and Bond Authorization (to Agency)	\$5,285,000
	2) Use of Previously Allocated Funds Available (to Agency)	<u>4,583,205</u>
	Total, This Request	<u>\$9,868,205</u>
FROM: Sec. 21(b)(6)(B)	Acct. No. 3795-2000-800	
	3795-3500-800	
	3795-6100-800	

Total Authorized	\$685,300,000
Previous Allocations	<u>514,646,513</u>
Balance Unallocated	<u>\$170,653,487</u>

REASON FOR REQUEST: This Request

I. Department of Public Safety

A. These funds are requested to provide a grant-in-aid to the Town of Hamden to assist in the costs associated with the development of a new police/fire headquarters.

Funds are requested as follows:

Total Grant	\$500,000	
Less: Previous Funding	<u>200,000</u>	
Grant-in-aid to the Town of Hamden		\$300,000

II. Department of Economic and Community Development

- A. These funds are requested to provide a grant-in-aid to the Town of Milford for the costs associated with the revitalization of the Devon Center of Milford.

This project consists of the removal of overhead electric facilities and re-feeding customers with underground electric lines, site improvements and preparation and creation of a pedestrian plaza, including a clock tower, street and lighting improvements, new parking and an information kiosk.

Funds are requested as follows:

Grant-in-aid to the Town of Milford	600,000
-------------------------------------	---------

- B. These funds are requested to provide a grant-in-aid to the Wadsworth Atheneum in Hartford to assist in the costs associated with a major expansion and development project.

The estimated total cost of this project is \$43.75 million with private contributions estimated to total \$31.25 million. The estimated schedule of State allocations and private contributions is detailed below.

Project No. 2000 064 012

<u>Date</u>	<u>Private Match</u>	<u>State Grant</u>	<u>Total</u>
2000		\$ 5,000,000	\$ 5,000,000
2001	\$18,000,000	4,000,000	22,000,000
2002	7,000,000	3,500,000	10,500,000
Balance	<u>6,250,000</u>	<u>0</u>	<u>6,250,000</u>
Total	<u>\$31,250,000</u>	<u>\$12,500,000</u>	<u>\$43,750,000</u>

Funds are requested as follows:

Total Project Cost	\$43,750,000	
Less: Private Funds	31,250,000	
Previous State Funds	5,000,000	
Future State Funds	<u>3,500,000</u>	
Grant-in-aid to the Wadsworth Atheneum		4,000,000

ITEM NO. 45 (REVISED)(Cont'd)

- C. These funds are requested to provide a grant-in-aid to the Nutmeg Conservatory for the Arts to assist in the costs associated with improvements to its building in Torrington. The Conservatory provides training in classical ballet, music and drama.

This project includes renovations to the old Chamber of Commerce building which includes studios, dormitory rooms and administrative offices. Adjacent to this building is a new structure of 30,000 sq, ft, which includes the premier studio. These state funds will be use for renovations of 5 studios, fire protection and electrical improvements, and structural, concrete and masonry work.

Funds are requested as follows:

Total Project Cost	\$5,137,647	
Less: Funds Raised	4,831,647	
Future Needs	<u>56,000</u>	
Grant-in-aid to the Nutmeg Conservatory		250,000

- D. These funds are requested, along with those under Item 45A, to provide a grant-in-aid to the Norwich Community Development Corporation to assist in the costs associated with the construction of a 5 story building and a parking garage in Norwich.

This project will include retail space on the first level and office space on the remaining 4 floors which will be leased by the Mashantucket Pequot Tribal Nation for a period of 20 years. The garage will be 2 levels and will accommodate 130 vehicles.

Funds are requested as follows:

Total Project Cost	\$18,983,079	
Less: Bank Financing	11,833,079	
Local Funds	1,000,000	
PA 79-607, Sec. 21(b)(1), Item <u>45A</u>	<u>1,566,795</u>	
Grant-in-aid to the NCDC		4,583,205

ITEM NO. 45 (REVISED)(Cont'd)

III. Department of Social Services

- A. These funds are requested to provide a grant-in-aid to the Wallingford Committee on Aging, Inc. to assist in the costs associated with the completion of the new senior center in Wallingford.

This project consists of final construction, the purchase of equipment, electrical work, site improvements.

Funds are requested as follows:

Total Project cost	\$2,700,000	
Less: Local Funds	2,463,714	
Funds Raised	<u>101,286</u>	
State Grant, This Request		<u>135,000</u>
Total State Grants, This Request		<u>\$9,868,205</u>

PUBLIC ACT #607, 1979

AS AMENDED

SEC. 21

ITEM NO. 45A

DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT

ECONOMIC AND COMMUNITY DEVELOPMENT PROJECTS

REQUESTED: An Allocation and Bond Authorization
(to Agency) \$1,566,795

FROM: Sec. 21(b)(1) Acct. No. 3795-3500-300
Project No. 2001 104 007

Total Earmarking	\$79,300,000
Previous Allocations	65,825,547
Balance Unallocated	<u>\$13,474,453</u>

REASON FOR REQUEST:

These funds are requested, along with those under Item 45, to provide a grant-in-aid to the Norwich Community Development Corporation to assist in the costs associated with the construction of a 5 story building and a parking garage in Norwich.

This project will include retail space on the first level and office space on the remaining 4 floors which will be leased by the Mashantucket Pequot Tribal Nation for a period of 20 years. The garage will be 2 levels and will accommodate 130 vehicles.

Funds are requested as follows:

Total Project Cost		\$18,983,079
Less: Bank Financing	\$11,833,079	
Local Funds	1,000,000	
PA 79-607, Sec. 21(b)(6)(B), Item <u>45</u>	<u>4,583,205</u>	<u>17,416,284</u>
State Grant, This Request		<u>\$1,566,795</u>

PUBLIC ACT #7, 2001
JUNE SPECIAL SESSION
SEC. 19

ITEM NO. 46

OFFICE OF POLICY AND MANAGEMENT

SMALL TOWN ECONOMIC DEVELOPMENT PROGRAM

WOODBURY

REQUESTED: An Allocation and Bond Authorization
(to Agency) \$250,000

FROM: Sec. 19(a) Acct. No.1873 1310 800

Total Authorized	\$20,000,000
Previous Allocations	-0-
Balance Unallocated	<u>\$20,000,000</u>

REASON FOR REQUEST:

These funds are requested to provide a grant-in-aid to the Town of Woodbury for the costs associated with the construction of a sidewalk and pedestrian bridge on Route 6 in Woodbury.

This project will be the final link between an elderly housing complex and the government and cultural center of the town. The project lies in the right of way of the Department of Transportation which has accepted the design.

Funds are requested as follows:

State Grant, This Request \$250,000

It is anticipated that this project grant agreement will be administered by the Department of Economic and Community Development on behalf of the Office of Policy and Management.

PUBLIC ACT #232, 1978

AS AMENDED

SEC. 1-11

ITEM NO. 47

DEPARTMENT OF AGRICULTURE

PRESERVATION OF CONNECTICUT AGRICULTURAL LANDS

REQUESTED: An Allocation and Bond Authorization
(to Agency)

\$320,600

Acct. No. 3783-3002-200

Project No. 9 28 01 FARM

Total Authorized	\$85,750,000
Previous Allocations	<u>81,375,525</u>
Balance Unallocated	<u>\$ 4,374,475</u>

REASON FOR REQUEST:

These funds are requested to finance the acquisition of development rights for one farm as detailed below.

Owner: Lois Osier
Location: Abbe Road, Enfield
Acreage: 63± acres
Type of Farm: Dairy, tobacco and vegetable Support

Appraisals:	<u>Clapp</u>	<u>DOAG Staff</u>
Acreage	63	63
Development Rights Value	\$310,000	\$310,000
Development Rights Value Per Acre	\$4,920	\$4,920

Funds are requested as follows:

Cost of Development Rights (63 acres @ \$4,920/acre)	\$310,000
Survey	6,800
Title Insurance	1,100
Legal and Recording Fees	<u>2,700</u>
Total, Osier Farm	<u>\$320,600</u>

The State Properties Review Board approved the purchase of development rights for the Osier Farm September 10, 2001.

September 28, 2001

PUBLIC ACT #420, 1986

AS AMENDED

SEC. 1-10

ITEM NO. 48

DEPARTMENT OF ENVIRONMENTAL PROTECTION

CLEAN WATER FUND

REQUESTED: 1) An Allocation and Bond Authorization
of General Obligation Bonds (to Agency).....\$ 26,018,622
2) An Allocation and Bond Authorization
of Revenue Bonds (to Agency)..... 98,121,115
Total, This Request.....\$124,139,737

FROM: Acct. No. 6864-3100-999 \$ 2,916,692
 Acct. No. 6864-3105-999 \$ 7,475,523
 Acct. No. 6865-3105-888 \$74,121,115
 Acct. No. 6866-3105-999 \$15,626,407
 Acct. No. 6868-3105-888 \$24,000,000

	<u>Revenue Bonds</u>	<u>G.O. Bonds</u>
Total Authorized	\$1,080,400,000	\$757,830,000
Previous Allocations	<u>805,558,361</u>	<u>622,058,311</u>
Balance Unallocated	<u>\$ 274,841,639</u>	<u>\$135,771,689</u>

REASON FOR REQUEST:

These funds are requested to provide 1) a grant and loan to the City of Stamford for construction of improvements to the wastewater treatment facility and 2) a loan to the City of New Britain for Phase II construction of a new water treatment plant. Also, included in the grant amount are administrative costs associated with the Stamford project.

In accordance with Public Act 86-420, as amended, a combined sewer project shall receive a grant of fifty percent of the cost and a loan of fifty percent of the cost. Any other eligible water quality project shall receive a grant of twenty percent of the cost and a loan of eighty percent of the cost. However, a construction project undertaken for nitrogen removal shall receive a project grant of up to thirty per cent of the cost of the project associated with nitrogen removal and a loan for the remainder of the costs of the project, not exceeding one hundred per cent. Also in accordance with Public Act 86-420, as amended, revenue bonds shall not constitute a charge, lien or encumbrance, legal or equitable, upon any property of the State or of any political subdivision thereof, except the property mortgaged or otherwise encumbered under the provisions and for the purposes of Sections 22a-475 to 22a-483 of the General Statutes, inclusive.

September 28, 2001

ITEM NO. 48 (Cont'd.)

This Request

City of Stamford

Construction funding for the wastewater treatment facility to remove nitrogen and chlorine toxicity

Grant (G.O)	\$ 7,475,523	
Administration (G.O.)	2,916,692	
Long Island Sound (G.O.)	15,626,407	
Loan (Revenue)	<u>74,121,115</u>	
Total, This Request		\$100,139,737

City of New Britain

Account Number 2000A-DC

Phase II construction of the new water treatment plant and related infrastructure, including a low lift pump station, clearwell storage tanks, piping upgrade and solids handling facilities at the existing Shuttle Meadow Reservoir Water Treatment Plant location.

Total Project Cost (Phase I and II)	\$56,394,873	
Less: Previous Funding	12,682,987	
EPA/Local Funding	19,711,886	
Loan (Revenue)	<u>24,000,000</u>	
Total, This Request		<u>24,000,000</u>
Grand Total, This Request		<u>\$124,139,737</u>

ITEM NO. 49 (Cont'd)

This
Request

Funds are Requested as follows:

This Request \$2,000,000

II. These funds are requested to provide lump sum funding to the Department for the DECD/CDA seamless funding program.

This program allows both CDA and DECD to participate in loans to businesses at a blended interest rate that makes the financing more attractive to businesses.

In accordance with the provisions of section 3-20(t) of the Connecticut General Statutes, the State Bond Commission is requested to establish an interest rate on loans provided under this allocation of no more 7%.

The Department will provide quarterly reports to the State Bond Commission on the status of loans provided under the seamless funding program.

Funds are Requested as follows:

This Request 10,000,000

Total, This Request \$12,000,000

September 28, 2001

ITEM NO. 50

STATE TREASURER/OFFICE OF POLICY AND MANAGEMENT

REQUESTED: Approval of Requests and Certificates and Resolutions and the Filing of the State Treasurer's Certificate as to State Indebtedness and the Declaration of Official Intent Pursuant to Federal Income Tax Regulations

SUGGESTED MOTION:

RESOLVED, (1) that the requests and certificates and the appropriate resolutions to effect the bond authorizations approved today are hereby approved and adopted; (2) that the resolutions and the State Treasurer's certificate as to state indebtedness made in accordance with section 3-21 of the general statutes, as amended, be made a part of the record of today's meeting; (3) that the State hereby declares the official intent of the State required pursuant to Section 1.150-2 of the Federal Income Tax Regulations, Title 26, with respect to each of the agenda items approved today, to the effect that the State reasonably expects to reimburse from the proceeds of borrowings any and all expenditures paid from the bond fund accounts designated and functionally described in each such agenda item or bond authorization resolution, in an amount anticipated not to exceed the amount of the authorization contained in such item or bond authorization resolution, and that the Secretary of the Office of Policy and Management and the Treasurer are authorized to amend this declaration of official intent on behalf of the State for any such item; and (4) that the State Treasurer is authorized to make representations and enter into agreements to provide secondary market disclosure information with respect to borrowings by State authorities or other entities, or by the State itself, in connection with which the State may be an obligated party under Rule 15c2-12 of the Securities and Exchange Commission.

September 28, 2001