### **CONNECTICUT STATE DEPARTMENT OF EDUCATION**

BUREAU OF HEALTH/NUTRITION, FAMILY SERVICES
AND ADULT EDUCATION



### **Request for Proposals (RFP)**

### **Coordinated School Health Pilot Program**

### 2012-13

Purpose: To provide funding for two Educational Reform Districts to engage the services of Coordinated School Health (CSH) Directors to lead the implementation of a districtwide coordinated approach to school health and address policies that reduce childhood obesity.

Pursuant to Public Act 12-1 (231) June 12 Special Session

RFP # 400 Application Due: September 28, 2012 Published: August 28, 2012



#### CONNECTICUT STATE DEPARTMENT OF EDUCATION

### Stefan Pryor Commissioner of Education

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AN EQUAL OPPORTUNITY/AFFIRMATIVE ACTION EMPLOYER

### **TABLE OF CONTENTS**

		PAGE
		NO.
	AM INFORMATION AND REQUIREMENTS	
I.	Background and Purpose of the Program	1
II.	Core Program Strategies	2
III.	Eight Components of a Coordinated Approach to School Health	5
IV.	Eligible Applicants	6
V.	Grant Duration and Amount of Funding	6
VI.	Matching Funds	6
VII.	Review of Proposals and Grant Awards	6
VIII.	Reporting Requirements	6
APPLICA	ATION REQUIREMENTS	
I.	Cover Page	8
II.	Abstract	8
III.	Program Narrative	8
IV.	Budget	11
GRANT	PROCESS	
I.	Review of Applications and Grant Awards	13
II.	Management Control of the Program	13
III.	Reservations and Restrictions	13
IV.	Due Date and Delivery of Applications	13
V.	Standard Considerations	14
APPLIC/	ATION PACKET	
I.	Application Cover Page	15
II.	ED 114 Budget Form	16
III.	Grant Budget Narrative	17
IV.	Optional Budget Form	21
APPENDICES		
Арр	endix A: Statement of Assurances	22

### PROGRAM INFORMATION AND REQUIREMENTS

### I. Background and Purpose of the Program

The Connecticut State Department of Education (CSDE) is seeking proposals from Educational Reform Districts to implement a coordinated approach to school health. During the 2012 legislative session, \$200,000 was allocated for two Educational Reform Districts to engage the services of a Coordinated School Health (CSH) Director to lead the implementation of a districtwide coordinated approach to school health, education and wellness and reducing childhood obesity.

Research has shown that student health status and student achievement are directly connected and, in fact, that student health is one of the most significant influences on learning and achievement. The 2011 Connecticut School Health Survey (a survey of Connecticut high school students) results show an association between health risk behaviors and academic achievement. Students that report grades as being mostly Ds and Fs are more than three-times as likely to report their health status as fair or poor, more than twice as likely to be current cigarette smokers, more than twice as likely to have smoked marijuana recently, more likely to have consumed alcohol recently, binge drink, sleep less and more likely to report having a sad or depressed mood. Students with poor grades were also less likely to report familial support. In order to ensure that all students are fit, healthy and ready to learn, schools and communities must address the health needs of all students.

The Centers for Disease Control and Prevention (CDC) recommends a coordinated approach to school health as a strategy to improve health and academic outcomes for students. A coordinated approach to school health brings schools together with community-based partners and families to assess health needs, set priorities, plan, implement and evaluate activities. It involves eight components working collaboratively towards the same health policy goals:

- 1. Comprehensive Health Education.
- 2. School Health Services.
- 3. Physical Education.
- 4. School Nutrition Services.
- 5. School Behavioral Health Services.
- 6. Staff Health and Wellness.
- 7. Healthy School Environment.
- 8. School-Family-Community Partnerships.

The CSDE has developed a number of guidance documents for use by districts and schools to promote a coordinated approach to school health. The CSDE Guidelines for a Coordinated Approach to School Health provides the framework for building school and district capacity to implement policies, practices and programs that reduce health and educational disparities for all students. The Connecticut State Board of Education (SBE) has adopted a Position Statement on a Coordinated Approach to School Health that calls for a systematic and comprehensive

delivery of services, programs and practices to meet the physical and mental health needs of students.

A major focus of this pilot program is to strengthen policies that reduce childhood obesity. Over the past three decades, the prevalence of overweight among children has more than doubled for those children ages 2-5 and adolescents ages 12-19, and has more than tripled for children 6-11 years of age. Overweight children and youth have a very high probability of becoming overweight adults. This places them on a fast track towards prematurely developing chronic diseases of old age such as heart disease and type 2 diabetes as well as increased rates of loneliness, depression, being teased or bullied, smoking and alcohol consumption. Results of the 2011 Connecticut School Health Survey demonstrate that Connecticut high school students are overweight, not eating healthy and spending many hours inactive as shown in the following:

- 14.1 percent of students are overweight;
- 12.5 percent of students are obese;
- 35.3 percent ate vegetables less than one time per day;
- 27.1 percent of students watched TV three or more hours per day; and
- 30.5 percent played video or computer games or used a computer for three or more hours on an average school day (not including schoolwork).

According to the CDC, "schools play a critical role in improving the dietary and physical activity behaviors of children and adolescents" and has outlined <u>specific guidelines</u> to assist schools in the development, implementation and evaluation of school-based healthy eating and physical activity policies and practices for students.

### II. Core Program Strategies

Although the primary mission of schools is education, neither students nor staff can be successful when health-related factors interfere with teaching and learning. A coordinated approach to school health incorporates the structures and practices needed to address these health-related factors. Schools and districts should include the following five Core Program Strategies in their plans to promote a coordinated approach to school health:

- 1. Ensuring Leadership oversight and support.
- 2. *Organizing School Health Teams* structures for coordinating activities.
- 3. **Conducting an Assessment** determining what is needed and what is already in place to address those needs.
- 4. *Creating an Action Plan* setting priorities, developing implementation strategies, and evaluating the process.
- 5. **Developing a Communications Plan** communicating with and involving the community.

### Strategy One - Ensuring Leadership

Committed leadership is essential for the successful implementation of a coordinated approach to school health. Past experience has shown that lack of time and dedicated staff are the

leading barriers to the success of this approach. District commitments to ensure leadership include:

- appointing a full-time CSH Director and providing that Director with the necessary resources (space, time, funding, etc.) to assist with the coordination of policies and programs (requirement of the grant);
- appointing a representative district-level council;
- preparing plans (district and building level) based on identified needs with input from school and community stakeholders, including families, students, teachers and staff and community agencies;
- ensuring compliance with all school policies including school health and wellness policies; and
- conducting regular evaluation and reporting on program implementation.

### **Strategy Two – Organizing School Health Teams**

An effective approach to coordinated school health requires the involvement of:

- district-level CSH Director;
- district-level school health council; and
- building-level school health teams and coordinators.

**District-level school health director:** Funded districts must engage the services of a full-time, dedicated CSH Director. This position is responsible for organizing the district council; maintaining communication among team members; managing the needs assessment and planning process; monitoring progress and leveraging resources to meet the physical, social and emotional needs of students.

**District-level school health council:** The appointment of an active district-level school health council is necessary to coordinate school health activities and lays the foundation for a districtwide, systematic approach to policy development, implementation and monitoring.

**Building-level school health teams**: Each school needs to take responsibility for wellness-related curricula, programs and services within its building. The formation of a building-level school health-related team may be required to coordinate activities.

Possible members of school and district teams may include the following:

- school principal
- school nurse
- health education teacher
- physical education teacher
- school medical adviser (preferred)
- family and consumer sciences teachers
- other classroom teachers

- mental health professionals
- food service director
- school facilities manager
- parents
- students
- businesses
- representatives of youth-serving and health-related community agencies

Instead of forming new teams at the district and school level, administrators may choose to build on existing wellness-related teams such as an indoor air quality team, a school improvement team, a drug-free schools team, or an emergency preparedness team, etc.

### Strategy Three - Conducting an Assessment

Before developing a plan, schools, districts and community groups need to gather data to determine what is already in place and identify the gaps. Tools for gathering data about existing health policies, programs and services include the following:

- School Health Index, Centers for Disease Control and Prevention;
- HealthierUS Schools Challenge, United States Department of Agriculture;
- Healthy School Report Card, Association for Supervision and Curriculum Development;
- Healthy Schools Program Inventory, Alliance for a Healthier Generation; and
- Wellness School Assessment Tool, Yale Rudd Center for Food Policy & Obesity.

### **Strategy Four – Creating an Action Plan**

A well-developed plan provides a blueprint for implementation and lays the foundation for effective use of resources. Steps for creating an action plan include the following:

- developing priorities;
- designing a plan;
- involving community members;
- evaluating outcomes;
- ensuring sustainability; and
- obtaining funding.

**Developing priorities:** The priority for grant funds is to employ strategies known to reduce childhood obesity. Specifically, districts and schools will be asked to develop and implement local policies to promote healthy eating and physical activity. As a result of the first year's efforts, districts should have written and enforced policies that address nutrition and physical activity and may include the following priority policies:

- prohibiting the use of food as a reward;
- healthy fundraising;
- prohibiting the withholding of physical activity (including recess time) or the imposing of physical activity (such as running laps) as a punishment;
- use of locally grown products in food served to students; and
- scheduling of recess before lunch.

**Designing a plan:** A written plan spells out clearly why, how, when and by whom activities will be accomplished. The plan of action should incorporate the eight component areas of a coordinated approach to school health inclusive of related research-based guidelines and strategies for school health and safety programs.

**Involving community members:** Schools cannot do it alone. Community partners are important to a coordinated approach to school health. Examples include: local health

departments, hospitals, medical professionals, parks and recreation, businesses, civic organizations and colleges and universities.

**Evaluating outcomes:** Evaluation identifies what needs to happen, improves implementation and demonstrates effectiveness. Outcome measures provide information for describing the impact of implementation such as:

- Are students more physically active?
- What has been the change in the number of overweight students?
- Are there fewer absences due to asthma?
- Is the district compliant with all federal and state mandates addressing student health?

**Ensuring sustainability:** Establishing or strengthening a coordinated school health approach requires an understanding of systemic change. Structures and procedures that help to ensure continuation of a school program may include the following:

- administration-level advocate;
- alignment with a school or district's philosophy or mission;
- policies that make the school or district accountable;
- written procedures, goals and objectives;
- permanent staff assigned to the effort;
- community involvement and support;

- initial and ongoing professional development;
- ongoing process evaluation;
- routine reporting on actions and their effectiveness to the school board, school staff, parents and community members; and
- reliable funding, including line items in the budget.

**Obtaining additional funding:** One component of the school health planning process is to identify current funding sources that address health programs and services. Subsequent activities may look for opportunities to leverage resources or possibly acquire additional resources to support priorities previously identified in the assessment process. **For the purpose of this grant, action plans are <u>not required</u> to contain any activities designed to obtain additional funding.** 

### **Strategy Five – Developing a Communication Plan**

No matter how well-developed the plan is or how well-designed a program is, if no one takes advantage of the opportunities offered, no one benefits. The aim is to make systemic change in organizations or behavior change in individuals attractive, affordable and easy to access. Most schools and districts have experience communicating with students, families, staff and the community and know what works best for them. Implementation of a comprehensive communications plan is key to the success of a district's coordinated approach to school health.

### III. Eight Components of a Coordinated Approach to School Health

The coordinated approach to school health provides the framework for the eight CSH components to work together to improve students' health and capacity to learn. By

strengthening policies for implementing each component, together they can address health barriers to learning and promote academic achievement. The eight components are:

- 1. Comprehensive Health Education.
- 2. School Health Services.
- 3. Physical Education.
- 4. School Nutrition Services.
- 5. School Behavioral Health Services.
- 6. Staff Health and Wellness.
- 7. Healthy School Environment.
- 8. School-Family-Community Partnerships.

Representatives from each of the component areas should be considered when forming district school health councils and school health teams. The CSDE's <u>Guidelines for a Coordinated</u> <u>Approach to School Health</u> includes specific information on each of the eight components including: definitions; rationale; policy recommendations; implementation strategies; applicable legislation; references and resources. The CDC provides additional <u>strategies</u> to promote a coordinated approach to school health.

### IV. Eligible Applicants

Applications will be accepted from local boards of education representing the ten Educational Reform Districts listed below:

- Bridgeport
- East Hartford
- Hartford
- Meriden
- New Britain

- New Haven
- New London
- Norwich
- Waterbury
- Windham

### V. Grant Duration and Amount of Funding

This application covers a one-year period beginning November 1, 2012, and ending June 30, 2013. The CSDE expects to grant \$100,000 to two successful Educational Reform Districts.

### VI. Matching Funds

Matching funds are not required; however, extra consideration will be given to applicants that demonstrate in-kind contributions from the local school district, and cash and in-kind contributions from community partners.

### VII. Review of Proposals and Grant Awards

Applications Due: September 28, 2012 Grants Awards Issued: November 1, 2012

### **VIII. Reporting Requirements**

The board of education for each local and regional school district receiving funds shall report annually and quarterly to the program manager at the CSDE on reporting forms provided. The

state program manager will conduct at least two site visits during the grant period. Districts must comply with any additional reporting requirements developed by the state.

### **APPLICATION REQUIREMENTS**

The grant application must be double spaced with one inch margins and include the following:

### I. Cover Page

Use the form provided. The cover page should be the first page of the application.

### II. Abstract (one page maximum)

Provide a brief overview of how the applicant district will implement a coordinated approach to school health during the 2012-13 school year.

### III. Program Narrative

### A. Need and Readiness for Project (two pages maximum)

20 points

- 1. Describe the demographic, socio-economic, academic, physical and mental health characteristics of the district's student population using current and available district, community and state data to show a need for a coordinated approach to school health.
- 2. Describe the infrastructure and practice currently in place at district and building levels to address student health and wellness. Provide a specific example of the district's past experience in addressing a social, emotional or health issue. Please comment on the following:
  - a. What issue(s) did the district address?
  - b. What led to the identification of the issue?
  - c. What tool was used to make the assessment?
  - d. What other types of data were reviewed?
  - e. Was a formal action plan developed?
  - f. What was the level of staff, student, family and community involvement?
  - g. What was the outcome of the district's effort(s) to address the issue(s)?

### B. Project Infrastructure and Implementation (five pages maximum)

25 points

Please provide narrative for each of the five Core Program Strategies:

- 1. Ensuring Leadership:
  - a. Explain the roles and responsibilities of district leadership in promoting a coordinated approach to school health.
  - b. Attach a formal job description detailing the experience/qualifications of the CSH Director position (excluded from 5 page limit).
  - c. Detail the district plan to recruit a district CSH Director to assist with implementing and evaluating the district's coordinated school health efforts.
  - d. Attach a copy of the district organizational chart including position of the full-time, dedicated CSH Director (excluded from 5 page limit).

### 2. Organizing Teams:

- a. Outline the plan to identify and recruit the members who will serve on the district-level school health council and provide a proposed schedule of meetings for the 2012-13 school year.
- b. Identify the plan for convening school-level health teams with diverse representation in each of the district's schools and outline the roles and responsibilities of school principals with respect to these teams. It is expected that all schools in the district will have an active and representative school health team by June 30, 2013.
- c. Include letters of support (one page each) from the following:
  - up to three key community members;
  - parent/teacher/student organization;
  - district board of education;
  - school medical advisor; and
  - district food service director.

Letters should indicate level of involvement with the pilot project and identify any contributing resources (staffing, training, financial contributions, etc.). Letters are excluded from the 5 page limit.

### 3. Conducting an Assessment:

- a. Describe the plan to conduct district and school assessments to see what policy, practices, programs and services are in place and what gaps exist to address the physical and mental health needs of students and staff.
- b. Identify the assessment tool(s) that the district plans to use to help schools identify the strengths and weaknesses of school health policies and programs. If the district plans to use a tool other than those suggested in the Core Program Strategies section, please describe the tool and rationale for selecting it. Assessments should be completed in time to inform individual school and district action plans.
- c. Identify other data sources (such as grades, test scores, absences, behavior-related referrals, detention and dropout rates, asthma rates, etc.) that will be used to inform health-related program and policy planning at the district and school level.
- d. Describe how the district will assess compliance with the District Wellness Policy under the new Healthy, Hunger-Free Kids Act of 2010. To assist with this process, it is expected that all districts will complete the Wellness School Assessment Tool (WellSAT). This tool offers a consistent and reliable means of assessing the comprehensiveness and strength of school wellness policies.

### 4. Creating an Action Plan:

- a. Describe how the district will develop district and school action plans to address priorities identified through the assessment process. Plans should be completed by June 30, 2013, and begin implementation during the 2013-14 school year.
- b. Outline how the district will monitor the implementation of health and wellness policies and act to remedy any deficiencies.

### 5. Developing a Communications Plan:

- a. Describe how the district plans to communicate information about CSH activities to the following:
  - board of education;
  - parents;
  - students;
  - faculty and staff; and
  - community.

Applicants are encouraged to include an implementation chart/table that includes at <u>least one goal for each of the five Core Program Strategies</u>. Other details to include in the implementation chart include objectives to reach each goal; activities to support the objectives; timelines and responsible parties. The implementation chart/table is excluded from the page limitation for this section.

### C. Eight Components of a Coordinated Approach to School Health (one page maximum) 10 points

- 1. Outline specifically how each of the eight components will be integrated into the implementation of a coordinated approach to school health at both the school and district level.
- 2. Include information on how the district wellness policy will be integrated with a coordinated approach to school health.

### D. Policy, System and Environmental Change Priorities 10 points (one page maximum)

- Identify at least one nutrition policy <u>and</u> one physical activity policy that the district will adopt during the 2012-13 school year. The <u>CSDE Action Guide for School Nutrition and Physical Activity Policies</u> provides comprehensive guidance for school districts on developing and implementing local policies to promote healthy eating and physical activity. Special consideration
   (5 additional points) will be added to district application scores that identify the adoption of <u>at least two</u> of the following priority policies in the application:
  - a. prohibiting the use of food as a reward;
  - b. healthy fundraising;

- prohibiting the withholding of physical activity (including recess time) or the imposing of physical activity (such as running laps) as forms of punishment;
- d. use of locally grown products in food served to students; and
- e. scheduling of recess before lunch.
- 2. Describe how the district will implement, communicate and monitor the selected policies.

### E. Adequacy of Resources (one page maximum)

10 points

- 1. Provide a description of resources to be made available to the CSH Pilot Program. Consider the following suggested resources when responding to this section:
  - a. office space;
  - b. support staff;
  - c. additional funding;
  - d. dedicated agenda item at faculty and board of education meetings; and
  - e. other resources.

Special consideration (up to five additional points) will be added to district application scores that demonstrate in-kind contributions from the local school district, and cash and in-kind contributions from community partners (Report additional funding resources on the Optional Budget Form on page 21).

### F. Program Evaluation (one page maximum)

10 points

 Describe how the district's coordinated approach to school health will be evaluated (include formative, process and outcome evaluation details). Refer to Chapter 2 in the CSDE's <u>Guidelines for a Coordinated Approach to School Health</u> for evaluation resources.

### G. Continuation of Project (one page maximum)

5 points

1. While it is anticipated that this funding will continue beyond the 2012-13 school year, describe how the school district will continue to support the program after the termination of state funding.

### IV. Budget (where indicated, use budget forms provided)

10 points

#### A. Cost Effectiveness

1. All applicants must provide a statement describing the cost effectiveness of the project, for example, that the project promotes integration and coordination of all school health related activities in order to reduce fragmentation and duplication of services and provides opportunities to leverage and expand resources that address school health-related policies, practices and programs.

2. The budget must first and foremost, allocate funding to engage the services of a full time District CSH Director. Any remaining funds may be used to support CSH Pilot Program implementation.

### B. ED 114 Budget Form

Complete the ED114 Budget Form (page 16). If other resources are allocated to the CSH Pilot Program, complete the Optional Budget Form on page 21.

### C. Budget Narrative Form

Complete the Budget Narrative Form (page 17). The budget narrative should describe the basis for determining the amounts shown on the budget page in detail. The budget narrative may be single-spaced. Both the budget and the narrative should be aligned with the activities described in the proposal narrative, effective and efficient use of funds justified and the full range of resources that will be used to accomplish the goal of the project described clearly.

### **Grant Process**

### I. Review of Applications and Grant Awards

The CSDE reserves the right to make a grant award under this program without discussion with the applicants. Therefore, applications should be submitted which present the project in the most favorable light from both technical and cost standpoints. All awards are subject to the availability of funds. Districts awarded funds under this grant program should not commit such funds until an official grant award letter is received.

### II. Management Control of the Program

The grantee should have complete management control of this grant. While CSDE staff may be consulted for their expertise, they will not be responsible for the selection of subgrantees or vendors nor will they be involved in the expenditure and payment of funds obligated by the grantee.

For questions regarding application procedures or proposal format, contact Donna Heins, Associate Education Consultant at 860-807-2082 or <a href="mailto:donna.heins@ct.gov">donna.heins@ct.gov</a> or Kari Sullivan, Education Service Specialist at 860-807-2041 or <a href="mailto:kari.sullivan@ct.gov">kari.sullivan@ct.gov</a>.

### III. Reservations and Restrictions

The CSDE reserves the right not to fund an applicant or grantee if it is determined that the grantee cannot manage the fiscal responsibilities required under this grant.

### IV. Due Date and Delivery of Applications

Applications, IRRESPECTIVE OF POSTMARK DATE, must be received by 4:00 p.m. on or before September 28, 2012.

All submissions <u>must</u> have one (1) original signed in blue ink, including required assurances, and three (3) copies. All applications become the property of the CSDE and are part of the public domain.

Facsimile (faxed) copies of applications will not be accepted. Only applications with original signatures will be accepted.

Note: The CSDE will not make copies on behalf of districts failing to meet this requirement. The application will be deemed incomplete and ineligible for review if requisite copies are not submitted.

### **Mailing and Delivery Information:**

Donna Heins Program Manager Connecticut State Department of Education 25 Industrial Park Road Middletown, CT 06457

### **Application Contact**

Donna Heins, 860-807-2082, <u>donna.heins@ct.gov</u> or Kari Sullivan, 860-807-2041, <u>kari.sullivan@ct.gov</u>.

#### V. Standard Considerations

### A. Obligations of Grantees

All bidders are hereby notified that the grant to be awarded is subject to contract compliance requirements as set forth in Connecticut General Statutes Section 4a-60 and Section 4a-60a and Sections 4a-68j-1 et seq. of the Regulations of Connecticut State Agencies.

Furthermore, the grantee must submit periodic reports of its employment and subcontracting practices in such form, in such manner and in such time as may be prescribed by the Commission on Human Rights and Opportunities.

#### B. Freedom of Information Act

All of the information contained in a proposal submitted in response to this RFP is subject to the provisions of Chapter 3 of the Connecticut General Statutes (Public Records and Meetings and Freedom of Information Act (FOIA) Sections 1-200 to 1-242, inclusive). The FOIA declares that except as provided by federal law or state statute, records maintained or kept on file by any public agency (as defined in the statute) are public records and every person has the right to inspect such records and receive a copy of such records.

### **COVER PAGE**

### **CONNECTICUT STATE DEPARTMENT OF EDUCATION**

Coordinated School Health Pilot Program **2012-13** 

	\$			
School District	Amount of State Funding Requested \$			
Program Title	Amount of Additional Funds (not required)			
District Information				
Name and Title of District Contact Person				
Address:				
Phone:				
E-mail:				
	fication:			
I hereby certify that the information contained in this application is true and accurate to the best of my knowledge and belief.				
Signature of Superintendent	Date			
Superintendent's Name (typed)				

### ED114 Budget Form FISCAL YEAR 2012-13

GRANTEE NAME: TOWN CODE:			
GRANT TITLE: School Health Coordinator Pilot			
PROJECT TITLE: Coordinated School Health Pilot Program			
ACCOUNTING CLASSIFICATION: FUND: 11000 SPID: 12546 YEAR: 2013 PROGRA	AM: 82154		
CHARTFIELD1:170003 CHARTFIELD2:			
GRANT PERIOD: November 1, 2012 – June 30, 2013 AUTHORIZED AM			
	BUDGET		
	AMOUNT		
111A ADMINISTRATOR/SUPERVISOR SALARIES			
111B TEACHERS			
112B CLERICAL			
119 OTHER			
200 PERSONAL SERVICES-EMPLOYEE BENEFITS			
322 INSERVICE (INSTRUCTIONAL PROGRAM IMPROVEMENT			
SERVICES)			
330 OTHER PROFESSIONAL/TECHNICAL SERVICES			
580 TRAVEL			
590 OTHER PURCHASED SERVICES			
611 INSTRUCTIONAL SUPPLIES			
612 ADMINISTRATIVE SUPPLIES			
642 LIBRARY BOOKS			
690 OTHER SUPPLIES			
700 PROPERTY/EQUIPMENT			
TOTAL			
ORIGINAL REQUEST DATE			
STATE DEPARTMENT OF EDUCATION DATE OF			
PROGRAM MANAGER AUTHORIZATION APPROVAL			
REVISED REQUEST DATE			

### **Grant Budget Narrative**

Complete a budget narrative for each category for which you are applying. Make copies as necessary.

CODE	OBJECT	AMOUNT
111A	Administrator/Supervisor Salaries	\$
	Amounts paid to administrative employees of the grantee not involved in providing	
	direct services to pupils/clients.	
111B	Teachers	\$
	Salaries for employees providing direct instruction/counseling to pupils/clients,	
	including staff for whom the grantee is paying employee benefits and who are on the grantee payroll.	
112B	Clerical	\$
	Salaries for grantee employees performing clerical/secretarial services. This	·
	category includes all gross salaries for these individuals while they are on the	
	grantee payroll, including overtime salaries or salaries of temporary employees.	
119	Other	\$
		<b>*</b>
	Salary for any other grantee employee not fitting into objects 111A, 111B, 112A or 112B.	
		<u> </u>

CODE	OBJECT	AMOUNT
200	Personal Services-Employee Benefits	\$
	Amounts paid by the grantee on behalf of the employees whose salaries are reported in objects 111A, 111B, 112A, 112B or 119.	
322	In-service (Instructional Program Improvement Services)	\$
	Payments for services performed by persons qualified to assist teachers and supervisors to enhance the quality of the teaching process. This category includes curriculum, consultants, in-service training specialists, etc., who are not on the grantee payroll.	
330	Other Professional/Technical Services  Payments for professional or technical services that are not directly related to instructional activities. This category includes payments for data processing, management consultants, legal services, etc. Do not include the cost of an independent auditor in this category.	\$
580	Travel	\$
	Expenditures for transportation, meals, hotel and other expenses associated with staff travel, including per diem payments to staff in lieu of reimbursement for subsistence (room and board).	

CODE	OBJECT	AMOUNT
590	Other Purchased Services	\$
	All other payments for services rendered by organizations or personnel not on the GRANTEE payroll and not detailed in 580. These include: insurance costs (other than employee benefits) - payments for all types of insurance coverage including property, liability and fidelity; printing and binding - publication costs; and advertisement - any expenditures for announcements in professional publications, newspapers or broadcasts over radio or television including personnel recruitment, legal ads and the purchase and sale of property.	
611	Instructional Supplies	\$
	Expenditures for consumable items purchased for instructional use.	
612	Administrative Supplies  Expenditures for consumable items directly related to program administrative (non-instructional) activities.	\$
642	Library Books  Expenditures for library books, reference books, periodicals and newspapers that are purchased for use by the school library.	\$
690	Other Supplies  Allowable expenditures for any other supply, which is not instructional or administrative in nature. This category would include maintenance supplies,	<b>\</b>

CODE	OBJECT	AMOUNT
	heating supplies and transportation supplies.	
700	Property/Equipment	\$
	In accordance with the Connecticut State Comptroller's definition of equipment, including all items of equipment (machinery, tools, furniture, vehicles, apparatus, etc.) with a value of over \$1,000 and a useful life of more than one year.	
	TOTAL	\$

## Coordinated School Health Pilot Program Optional Budget Form

Complete this form <u>only</u> if additional resources (in addition to grant funding) will be supporting the implementation of the Coordinated School Health Pilot Program.

Resource	In-Kind Value	Cash	Details
(e.g., office space, supplies,	in-kina value	Value	(e.g., how long is the resource
		value	(e.g., flow long is the resource
technical assistance, etc.)			available, who is contributing the
			resource, etc.)
Total			

### APPENDICES

### **APPENDIX A: STATEMENT OF ASSURANCES**

# CONNECTICUT STATE DEPARTMENT OF EDUCATION STANDARD STATEMENT OF ASSURANCES GRANT PROGRAMS

PROJECT TITLE:	
THE APPLICANT:	HEREBY ASSURES THAT:
	(insert Agency/School/CBO Name)

- **A.** The applicant has the necessary legal authority to apply for and receive the proposed grant;
- **B.** The filing of this application has been authorized by the applicant's governing body, and the undersigned official has been duly authorized to file this application for and on behalf of said applicant, and otherwise to act as the authorized representative of the applicant in connection with this application;
- **C.** The activities and services for which assistance is sought under this grant will be administered by or under the supervision and control of the applicant;
- **D.** The project will be operated in compliance with all applicable state and federal laws and in compliance with regulations and other policies and administrative directives of the State Board of Education and the Connecticut State Department of Education;
- **E.** Grant funds shall not be used to supplant funds normally budgeted by the agency;
- **F.** Fiscal control and accounting procedures will be used to ensure proper disbursement of all funds awarded;
- **G.** The applicant will submit a final project report (within 60 days of the project completion) and such other reports, as specified, to the Connecticut State Department of Education, including information relating to the project records and access thereto as the Connecticut State Department of Education may find necessary;

- **H.** The Connecticut State Department of Education reserves the exclusive right to use and grant the right to use and/or publish any part or parts of any summary, abstract, reports, publications, records and materials resulting from this project and this grant;
- If the project achieves the specified objectives, every reasonable effort will be made to continue the project and/or implement the results after the termination of state/federal funding;
- J. The applicant will protect and save harmless the State Board of Education from financial loss and expense, including legal fees and costs, if any, arising out of any breach of the duties, in whole or part, described in the application for the grant;
- K. At the conclusion of each grant period, the applicant will provide for an independent audit report acceptable to the grantor in accordance with Sections 7-394a and 7-396a of the Connecticut General Statutes, and the applicant shall return to the Connecticut State Department of Education any moneys not expended in accordance with the approved program/operation budget as determined by the audit;
- L. REQUIRED LANGUAGE (NON-DISCRIMINATION)
  - 1) References in this section to "contract" shall mean this grant agreement and references to "contractor" shall mean the Grantee.

For the purposes of this section, "Commission" means the Commission on Human Rights and Opportunities.

For the purposes of this section "minority business enterprise" means any small contractor or supplier of materials fifty-one percent or more of the capital stock, if any, or assets of which is owned by a person or persons: (1) Who are active in the daily affairs of the enterprise, (2) who have the power to direct the management and policies of the enterprise and (3) who are members of a minority, as such term is defined in subsection (a) of section 32-9n; and "good faith" means that degree of diligence which a reasonable person would exercise in the performance of legal duties and obligations. "Good faith efforts" shall include, but not be limited to, those reasonable initial efforts necessary to comply with statutory or regulatory requirements and additional or substituted efforts when it is determined that such initial efforts will not be sufficient to comply with such requirements.

2) (a) The contractor agrees and warrants that in the performance of the contract such contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of race, color, religious creed, age, marital status, national origin, ancestry, sex, mental retardation or physical disability, including, but not limited to,

blindness, unless it is shown by such contractor that such disability prevents performance of the work involved, in any manner prohibited by the laws of the United States or of the state of Connecticut. The contractor further agrees to take affirmative action to insure that applicants with job-related qualifications are employed and that employees are treated when employed without regard to their race, color, religious creed, age, marital status, national origin, ancestry, sex, mental retardation, or physical disability, including, but not limited to, blindness, unless it is shown by such contractor that such disability prevents performance of the work involved; (b) the contractor agrees, in all solicitations or advertisements for employees placed by or on behalf of the contractor, to state that it is an "affirmative action-equal opportunity employer" in accordance with regulations adopted by the Commission; (c) the contractor agrees to provide each labor union or representative of workers with which such contractor has a collective bargaining agreement or other contract or understanding and each vendor with which such contractor has a contract or understanding, a notice to be provided by the Commission advising the labor union or workers' representative of the contractor's commitments under this section, and to post copies of the notice in conspicuous places available to employees and applicants for employment; (d) the contractor agrees to comply with each provision of this section and sections 46a-68e and 46a-68f and with each regulation or relevant order issued by said Commission pursuant to sections 46a-56, 46a-68e and 46a-68f; (e) the contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the contractor as relate to the provisions of this section and section 46a-56.

- 3) Determination of the contractor's good faith efforts shall include but shall not be limited to the following factors: the contractor's employment and subcontracting policies, patterns and practices; affirmative advertising, recruitment and training; technical assistance activities and such other reasonable activities or efforts as the Commission may prescribe that are designed to ensure the participation of minority business enterprises in public works projects.
- 4) The contractor shall develop and maintain adequate documentation, in a manner prescribed by the Commission, of its good faith efforts.
- 5) The contractor shall include the provisions of section (2) above in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the state and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The contractor shall take such

action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with section 46a-56; provided, if such contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission, the contractor may request the state of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the state and the state may so enter.

- 6) The contractor agrees to comply with the regulations referred to in this section as the term of this contract and any amendments thereto as they exist on the date of the contract and as they may be adopted or amended from time to time during the term of this contract and any amendments thereto.
- 7) (a) The contractor agrees and warrants that in the performance of the contract such contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of sexual orientation, in any manner prohibited by the laws of the United States or of the state of Connecticut, and that employees are treated when employed without regard to their sexual orientation; (b) the contractor agrees to provide each labor union or representative of workers with which such contractor has a collective bargaining agreement or other contract or understanding and each vendor with which such contractor has a contract or understanding, a notice to be provided by the Commission on Human Rights and Opportunities advising the labor union or workers' representative of the contractor's commitments under this section, and to post copies of the notice in conspicuous places available to employees and applicants for employment; (c) the contractor agrees to comply with each provision of this section and with each regulation or relevant order issued by said Commission pursuant to section 46a-56; (d) the contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the contractor which relate to the provisions of this section and section 46a-56.
- 8) The contractor shall include the provisions of section (7) above in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the state and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with section 46a-56; provided, if such contractor becomes involved in, or is

threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission, the contractor may request the state of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the state and the state may so enter.

- **M.** The grant award is subject to approval of the Connecticut State Department of Education and availability of state or federal funds.
- **N.** The applicant agrees and warrants that Sections 4-190 to 4-197, inclusive, of the Connecticut General Statutes concerning the Personal Data Act and Sections 10-4-8 to 10-4-10, inclusive, of the Regulations of Connecticut State Agencies promulgated there under are hereby incorporated by reference.

I, the undersigned authorized official; hereby certify that these assurances shall be fully implemented.

Superintendent Signature:		
Name: <i>(typed)</i>		
Title: <i>(typed)</i>		
Date:		