



**DENISE W. MERRILL**  
SECRETARY OF THE STATE  
CONNECTICUT

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- PRESS RELEASE -

## **SECRETARY OF THE STATE AND ATTORNEY GENERAL COLLECT MORE THAN \$1 MILLION FROM COMPANIES DOING BUSINESS ILLEGALLY IN CONNECTICUT**

**HARTFORD, CT:** Connecticut Secretary of the State Denise Merrill and Attorney General George Jepsen announced that enforcement efforts against out-of-state companies operating in Connecticut without legal authority have yielded \$1.1 million in Fiscal Year 2016. The penalties were paid out by more than 240 companies, the largest fine being \$39,630.

Secretary Merrill, Connecticut's Chief Business Registrar said, "We will do everything we can to make it easy to do business in the state. My office recently launched the online business formation tool, which will assist out-of-state entities obtain a certificate of authority to operate in Connecticut. We do understand that in many cases the failure to register was unintentional. However, in order to ensure accountability to consumers we must have a record of businesses in operation. It is worth noting that the amount collected is significantly lower than last year, which leads us to believe that efforts to inform out-of-state businesses of their responsibilities have been successful."

"Out-of-state companies may not be aware that they must register before conducting business in Connecticut; however, it is important that they do so," said Attorney General Jepsen. "Registration provides consumers with a layer of security and ensures that all businesses are operating on a level playing field. It is my continued hope that, through these joint enforcement efforts, we can educate out-of-state businesses about their responsibilities under Connecticut law and help to encourage compliance. I commend Secretary Merrill and the efforts her office has made in recent years to expand the online services available to businesses that make the registration process much easier."

Connecticut Better Business Bureau spokesman, Howard Schwartz, says this is about more than just a regulatory issue.

“This is about fairness for businesses in their home state,” according to Schwartz. “This also about protecting consumers from companies that did not follow the rules like everybody else. Consumers should make sure companies and individuals they do business with are registered, properly licensed, insured and competent.”

State law requires that business corporations, nonstock corporations, limited partnerships, limited liability companies, limited liability partnerships, and statutory trusts formed outside of Connecticut must obtain a certificate of authority from the Secretary of the State’s Office and pay a fee set by statute before transacting business in Connecticut.

In 2015, almost 5,000 foreign entities properly filed with the Secretary of the State’s Office.

Businesses are required to obtain authorization to do business in Connecticut in order to protect consumers with a grievance against an out-of-state firm. Authorization requires businesses to appoint an agent for service of process in Connecticut, which is able to accept legal papers if court action is taken. Companies in violation of the state’s law are subject to a \$300 fine for every month the company is transacting business in Connecticut without legal authority. In addition, some business corporations are required to pay the annual license fee required by statute for years they should have been registered with the Secretary of the State’s office. After obtaining a certificate of authority to transact business in Connecticut, out-of-state companies must also file a yearly annual report with the Secretary of the State’s office.

The collections ranged from \$135 to \$39,630. This year’s total of \$1.1 million is a good deal lower than the more than \$1.7 million collected from 353 out-of-state companies in FY 2015.

(A complete list of companies paying fines is attached)

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<b>NAME OF ENTITY</b>	<b>AMOUNT COLLECTED</b>
Hasten Beds, Inc.	\$2,385.00
J.S. Pachulis Land Development Services, Inc.	\$1,200.00
Calloway Laboratories, Inc.	\$12,487.50
Spruce Direct Investment Fund LP	\$1,200.00
BKD Twenty-One Management Company, Inc.	\$3,585.00
Kenco Material Handling Solutions, LLC	\$1,200.00
Vertical Pharmaceuticals, LLC	\$3,000.00
Trigen Laboratories, LLC	\$3,000.00
Vertical Trigen Holdings, LLC	\$3,000.00
Healthy Choice Mattress, LLC	\$233.19
Transportation Technology, Inc.	\$725.00
Cycle Computing, LLC	\$1,007.05
Belgravia Investors, LLC	\$2,100.00
Arctic Information Technology, Inc.	\$3,885.00
J & S All State Contracting, Inc.	\$3,885.00
Jpl & Associates, LLC	\$1,200.00
Jorgensen Laboratories, Inc.	\$1,500.00
E & A Painting Contractors, Inc.	\$135.00
Colonial Medical Supply Co., Inc.	\$5,385.00
Pharmerica Professional Services, LLC	\$5,100.00

Dack LLC	\$1,350.00
Kinetic Innovative Seating System LLC	\$1,140.00
J Papas & Sons, Inc.	\$800.00
LFT, LTD.	\$1,200.00
New System Associates LLC	\$18,937.50
Senderra RX Partners, LLC	\$3,900.00
Avalon at Stratford, LLC	\$3,300.00
Inn at IronMasters, LLC	\$5,588.23
RLB Holdings Belle Haven, LLC	\$2,565.00
Construction Labor Contractors, LLC	\$1,800.00
Ecopool, Inc.	\$15,833.12
Alex and Ani, LLC	\$10,500.00
Rentals Unlimited, Inc.	\$6,000.00
Sea & Shore Contracting, Inc.	\$13,818.00
Meridian OHC Partners, Limited Partnership	\$6,000.00
Eshaw, LLC	\$1,800.00
ICON Medical Network, LLC	\$1,200.00
Intelligent Medical Objects, Inc.	\$2,685.00
True3, LLC	\$4,950.00
Rudox Engine and Equipment Company	\$8,517.51
TerraForm Resi Solar I, LLC	\$1,500.00
Health Market Science, Inc.	\$2,400.00
Autogenomics, Inc.	\$15,240.00

Benefit Allocation Systems, Inc.	\$570.00
Matr Solutions, LLC	\$1,050.00
J. Lauritzen (U.S.A.), Inc.	\$11,205.00
AI International Kentucky, Inc.	\$1,650.00
Hughes Environmental Engineering, Inc.	\$19,807.50
Aaron Pollock, P.C.	\$1,500.00
Hurst Review Services, Inc.	\$6,300.00
Maplebear Inc.	\$3,885.00
Nordic Construction, Inc.	\$2,880.00
United Bank Limited	\$3,150.00
Building & Hospitality Connection, LLC	\$2,850.00
Dollar Tree Sourcing Company, LLC	\$8,400.00
NGI Capital, Inc.	\$1,950.00
Summit Default Services, LLC	\$4,500.00
Serta Simmons Bedding, LLC	\$1,800.00
Kehe Distributors of Connecticut, LLC	\$6,450.00
Prospect Ventures (I) LLC	\$2,100.00
Prospect Street Energy, LLC	\$2,100.00
NB Ventures, Inc.	\$1,350.00
Lowell Bernan Construction, Inc.	\$3,870.00
NRES, Inc.	\$2,385.00
Archipelago Inc.	\$1,350.00
American Trainco, LLC	\$4,800.00
Nexant, Inc.	\$6,885.00

Promontory Financial Group, LLC	\$1,800.00
Connor Athletic Products, Inc.	\$2,100.00
Piramal Critical Care, Inc.	\$10,050.00
Siemens Convergence Creators Corp.	\$1,500.00
Altisource Solutions, Inc.	\$1,500.00
Newsela, Inc.	\$5,685.00
Rivers Consulting & Staffing, Inc.	\$360.00
Primotec LLC	\$1,237.50
YourPeople, Inc.	\$8,070.00
Hypori, Inc.	\$1,800.00
Avata, Inc.	\$3,885.00
Delphinus Engineering, Inc.	\$7,185.00
Astora Women's Health, LLC	\$1,200.00
Community Cash Management Corporation	\$3,300.00
NCC Group Security Services, Inc.	\$3,000.00
Grain Group, LLC	\$2,700.00
Flynn & Reynolds Agency, Inc.	\$900.00
Foundation Stabilizers, Inc.	\$1,710.00
Convergent Dental, Inc.	\$8,070.00
Unifi Manufacturing, Inc.	\$27,075.00
RoseWaldorf LLC	\$2,400.00
Morris and McDaniel, Inc.	\$2,100.00
PBE Companies, LLC	\$3,900.00

Sidney Frank Importing Co., Inc.	\$39,630.00
Dobric Family Limited Partnership	\$6,600.00
Johnson & Johnson Consumer Inc.	\$2,100.00
Inspirigen LLC	\$1,200.00
Quality Marble Fabrication and Restoration Corp.	\$2,850.00
URS Group, Inc.	\$3,000.00
SGIA LLC	\$16,530.00
Sustainable Growth Advisers, LP	\$16,530.00
TruBridge of Ohio, Inc.	\$9,870.00
Astari Americas, Inc.	\$3,885.00
Trident Asset Management LLC	\$4,050.00
Founders Hall Foundation, Inc.	\$2,058.00
Dr. Conforti, Consulting, LLC	\$900.00
Assisi Institute, LLC	\$900.00
L & R Distributors, Inc.	\$12,982.50
Peter Roberts & Associates, Inc.	\$8,700.00
RedBrick Health Corporation	\$2,100.00
King Inc. Connecticut North Inc.	\$900.00
Accunet Solutions, Inc.	\$1,800.00
Payreel, Inc.	\$3,600.00
Cornerstone Energy Services, Inc.	\$2,250.00
Smile for a Lifetime, Inc.	\$1,425.00
MS Portfolio, LLC	\$2,700.00

CED Technologies International, Inc.	\$14,880.00
Glenn Associates, Inc.	\$4,275.00
Turing Pharmaceuticals LLC	\$1,800.00
MD SloarSciences Corp.	\$4,275.00
TEG Staffing, Inc.	\$1,485.00
Pedersen Kammert & Co.	\$1,446.92
Autoclear, LLC	\$4,050.00
Spruce Asset Management USA Inc.	\$3,585.00
Ascend Management Innovations LLC	\$22,800.00
MediaAgility Inc.	\$8,655.00
Hiab USA Inc.	\$6,570.00
RIN Capital LLC	\$900.00
Telux Systems, LLC	\$900.00
Vertucom, Inc.	\$1,050.00
NGL Energy Operating LLC	\$3,600.00
Locus Telecommunications, LLC	\$3,600.00
Tim Hebert's Construction Services, Inc.	\$1,710.00
B & T Field Merchandising LLC	\$20,700.00
Custom Exterior Systems, Inc.	\$5,250.00
Alku, LLC	\$1,800.00
Bloxx, Inc.	\$2,100.00
Walz Inc.	\$2,100.00
N2y LLC	\$25,545.00

EZLinks Golf LLC	\$3,735.00
RAB Lighting Inc.	\$2,400.00
Alliance Payroll Services, LLC	\$2,400.00
Encuity Research, LLC	\$12,300.00
Apptio, Inc.	\$2,400.00
Central Mass Contracting Corp	\$1,800.00
Academic Partnerships, LLC	\$2,400.00
Disturbing Tha Peace Touring, Inc.	\$4,785.00
Sonatype, Inc.	\$900.00
Hill's Pet Nutrition Sales, Inc.	\$13,282.50
Everbridge, Inc.	\$10,732.50
GS Contracting Services, Inc.	\$1,500.00
JM Funding, Inc.	\$900.00
Zoom Technical Services, Inc.	\$1,200.00
States Recovery Systems, Inc.	\$2,850.00
Wildlife Management Institute, Inc.	\$6,600.00
Media Bistro Holdings LLC	\$1,500.00
Seagate US LLC	\$5,400.00
Intellinet Consulting, LLC	\$4,500.00
Tobi Dynavox LLC	\$4,800.00
Education Pioneers, Inc.	\$3,420.00
Anderson Merchandisers, LLC	\$5,100.00
Euro Fence, Inc.	\$13,554.00

Allied Account Services, Inc.	\$9,343.74
Tearscience, Inc.	\$5,970.00
Controlled Environment Certification Services, Inc.	\$1,200.00
Pryor Learning Solutions, Inc.	\$10,710.00
Lewtan Technologies, Inc.	\$1,200.00
ReNuke Services, Inc.	\$2,085.00
Eagle Eyes Verify, LLC	\$1,800.00
TSF Management, Inc.	\$1,200.00
Kenan KAG Services, Inc.	\$1,200.00
Another Creation by Michele Pulver, LLC	\$2,956.25
Thomas Christe Swimming Pool Service and Maintenance, Inc.	\$9,855.00
Ross, Jeffrey & Antle LLC	\$12,345.00
First Step Group, LLC	\$2,100.00
Pinnacle Recovery, Inc.	\$9,000.00
Cambridge Credit Counseling Corp.	\$3,795.00
Graenest Partners LLC	\$2,700.00
Missionpoint Partners LLC	\$2,700.00
Cohen Consumer Law LLC	\$570.00
Cedar Capital LLC	\$2,700.00
Utility Integration Solutions, LLC	\$2,100.00
Water Pik, Inc.	\$1,350.00
Behan Family Farms LLC	\$570.00

Diroma Home Improvements, LLC	\$2,610.00
Stephen Turner, Inc.	\$1,800.00
Atrium Staffing of NJ LLC	\$2,700.00
MPM Food Equipment Group, Inc.	\$4,950.00
Tongore Management LLC	\$1,200.00
WPP Group USA, Inc.	\$5,400.00
Acquia Inc.	\$9,570.00
Humanim, Inc.	\$2,400.00
Gateway Leasing, Inc.	\$3,000.00
Doctor on Demand, Inc.	\$5,085.00
Evets Electric, Inc.	\$2,100.00
Tricentis USA Corp.	\$2,400.00
TISICS, LLC	\$9,900.00
Organix Recycling, LLC	\$3,600.00
Hildene Capital Management LLC	\$3,450.00
Concrete Surfaces Unlimited	\$570.00
JNR Adjustment Company, Inc.	\$5,085.00
Symphony Performance Health, Inc.	\$1,800.00
NStar Group, Inc.	\$1,200.00
R.E. Erickson Co., Inc.	\$900.00
The Snip-Its Corporation	\$600.00
Veterinary Information Network, Inc.	\$6,750.00
Vareco Holdings LLC	\$1,350.00

Perspectus Architecture, LLC	\$7,200.00
Velux America LLC	\$1,500.00
Brooklyn Ventures LLC	\$16,500.00
Oasis Outsourcing Contracts II, Inc.	\$2,085.00
World Compliance, Inc.	\$1,800.00
SAQ Holdings Corp.	\$1,800.00
Dancker, Sellew & Douglas, Inc.	\$22,927.50
American Customer Care, Inc.	\$11,458.44
De-Fence, Inc.	\$6,682.56
Sentenia Systems, Inc.	\$2,125.00
Overseas Ministries Study Center, Inc.	\$4,340.00
DMC Services, LLC	\$1,868.75
West Fair Oak Floor Corp.	\$2,985.00
American Limousine of CT Inc.	\$1,983.38
Franklin Equipment Services Corp.	\$1,575.00
2 Columbus Avenue Corp.	\$2,362.56
CCB Credit Services, Inc.	\$2,175.00
Fuller Construction Corporation	\$7,323.84
ArborTech Tree Services, LLC	\$825.00
Port Chester Rug & Linoleum Co., Inc.	\$1,961.74
Medac, Inc.	\$2,062.50
Thomas George Associates Ltd.	\$7,625.00
Workplace Essentials, Inc.	\$6,116.28

JMG Productions, Inc.	\$2,918.63
Personnel Data Systems, Inc.	\$2,500.00
Skip's Outdoor Accents, Inc.	\$11,055.00
Campanella Construction Company, Inc.	\$4,697.99
Access Food & Beverage, Inc.	\$2,126.25
Global Indemnity Insurance Agency, Inc.	\$4,099.81
Noreaster Lacrosse, LLC	\$605.00
Dennison Lubricants, Inc.	\$1,439.25
Cornerstone Energy Services, Inc.	\$2,250.00
Allied Account Services, Inc.	\$406.25
Insightxm, Inc.	\$337.50
Surgical Monitoring Services, Inc.	\$1,293.75
Ruggiero CPA LLC	\$100.00
The Liner Specialists, Inc.	\$219.38
Cherokee Dewatering LLC	\$387.50
Bentley Veterinary Practice PLLC	\$1,900.00
Debt Management, Inc.	\$3,050.00

**Total: \$1,112,187.37**

## **Collected from 244 Entities**

**The five files with the largest penalty collections:**

- 1) Sidney Frank Importing Co., Inc. \$39,630.00**
- 2) Unifi Manufacturing, Inc. \$27,075.00**
- 3) N2y LLC \$25,545.00**

- 4) **Dancker, Sellew & Douglas, Inc.**      **\$22,927.50**
- 5) **Ascend Management Innovations LLC**      **\$22,800.00**